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Docket No. 50-331

Iowa Electric Light and Power Company
 ATTN: Mr. Duane Arnold, President
 Security Building
 P. O. Box 351
 Cedar Rapids, Iowa 52406

Gentlemen:

DUANE ARNOLD ENERGY CENTER

Cracks have been detected in the collet housings of the control rod drives at Dresden Unit 3, Browns Ferry 1, and Vermont Yankee. The problem appears to be a stress assisted corrosion problem that may be generic to most boiling water reactors. In light of this experience, we believe that appropriate changes to technical specifications for this type reactor are needed that will prohibit extended operation with immovable rods. Accordingly, unless you inform us in writing within 20 days of the date of this letter that you do not agree with this course of action, including your reasons, we plan to initiate steps to issue the enclosed change to the technical specifications of your facility. A copy of our related safety evaluation on this matter is enclosed.

Sincerely,

151

George Lear, Chief
 Operating Reactors Branch #3
 Division of Reactor Licensing

AAA-3

Enclosure:

1. Technical Specifications
2. Safety Evaluation

OFFICE >	ORB#3	ORB#2	ORB#3	OELD		
SURNAME >	WPaulson:kmf	RSilver	GLear	2 O'Fallon (js)		
DATE >	9/14/75	9/18/75	9/22/75	9/19/75		

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③

Iowa Electric Light & Power Company - -

cc:

Jack R. Newman, Esquire
Harold F. Reis, Esquire
Lowenstein, Newman, Reis and Axelrad
1025 Connecticut Avenue, N. W.
Washington, D. C. 20036

Cedar Rapids Public Library
426 Third Avenue, S. E.
Cedar Rapids, Iowa 52401

3 REACTIVITY CONTROL

Applicability:

Applies to the operational status of the control rod system.

Objective:

To assure the ability of the control rod system to control reactivity.

Specification:Reactivity LimitationsReactivity margin - core loading

A sufficient number of control rods shall be operable so that the core could be made subcritical in the most reactive condition during the operating cycle with the strongest control rod fully withdrawn and all other operable control rods fully inserted.

2. Control Rod Exercise

- a. Control rods which cannot be moved with control rod drive pressure shall be considered inoperable. If a partially or fully withdrawn control rod drive cannot be moved with drive or scram pressure the reactor shall be brought to a shutdown condition within 48 hours unless investigation demonstrates that the cause of the failure is not due to a failed control rod drive mechanism collet housing.

4.3 REACTIVITY CONTROL

Applicability:

Applies to the surveillance requirements of the control rod system.

Objective:

To verify the ability of the control rod system to control reactivity.

Specification:A. Reactivity Limitations1. Reactivity margin - core loading

Sufficient control rods shall be withdrawn following a refueling outage when core alterations were performed to demonstrate with a margin of $0.38 \Delta k/k$ that the core can be made subcritical at any time in the subsequent fuel cycle with the analytically determined strongest operable control rod fully withdrawn and all other operable rods fully inserted.

2. Control Rod Exercise

- a. Each partially or fully withdrawn operable control rod shall be exercised one notch at least once each week when operating above 30% power. This test shall be performed at least once per 24 hours in the event power operation is continuing above 30% power with two or more inoperable control rods or in the event power operation is continuing above 30% power with one fully or partially withdrawn rod which cannot be moved and for which control rod drive mechanism damage has not been ruled out. The surveillance need not be completed within 24 hours if the number of inoperable rods has been reduced to less than two and if it has been demonstrated that control rod drive mechanism collet housing failure is not the cause of an immovable control rod.

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| <p>b. The control rod directional control valves for inoperable control rods shall be disarmed electrically and the control rods shall be in such positions that Specification 3.3.A.1 is met.</p> | <p>b. A second licensed operator shall verify the conformance to Specification 3.3.A.2d before a rod may be bypassed in the Rod Sequence Control System.</p> |
| <p>c. Control rods with inoperable accumulators or those whose position cannot be positively determined shall be considered inoperable.</p> | <p>c. Once per week when the plant is in operation, check status of pressure and level alarms for each CRD accumulator.</p> |
| <p>d. Control rods with a failed "Full-in" or "Full-out" position switch may be bypassed in the Rod Sequence Control System and considered operable if the actual rod position is known. These rods must be moved in sequence to their correct positions (full-in on insertion or full-out on withdrawal).</p> | |
| <p>e. Control rods with scram times greater than those permitted by Specification 3.3.C.3 are inoperable, but if they can be inserted with control rod drive pressure they need not be disarmed electrically.</p> | |
| <p>f. Inoperable control rods shall be positioned such that Specification 3.3.A.1 is met. In addition,</p> | |

maximum contribution to shutdown reactivity. If it is disarmed electrically in a non-fully inserted position, that position shall be consistent with the shutdown reactivity limitation stated in Specification 3.3.A.1. This assures that the core can be shut down at all times with the remaining control rods assuming the strongest operable control rod does not insert. Inoperable bypassed rods will be limited within any group to not more than one control rod of a (5 x 5) twenty-five control rod array. If damage within the control rod drive mechanism and in particular, cracks in drive internal housings, cannot be ruled out, then a generic problem affecting a number of drives cannot be ruled out. Circumferential cracks resulting from stress assisted intergranular corrosion have occurred in the collet housing of drives at several BWRs. This type of cracking could occur in a number of drives and if the cracks propagated until severance of the collet housing occurred, scram could be prevented in the affected rods. Limiting the period of operation with a potentially severed rod and requiring increased surveillance after detecting one stuck rod will assure that the reactor will not be operated with a large number of rods with failed collet housings. The use of the individual rod bypass switches in the Rod Sequence Control System to substitute for a failed "full in" or "full out" position switch will not be limited as long as the actual position of the control rod is known.

2. Control Rod Withdrawal

- a. Control rod drop accidents as discussed in the FSAR can lead to significant core damage. If coupling integrity is maintained, the possibility of a rod drop accident is eliminated. The overtravel position feature provides a positive check as only uncoupled

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D. C. 20555

SAFETY EVALUATION BY THE OFFICE OF NUCLEAR REACTOR REGULATION

SUPPORTING AMENDMENT TO LICENSE NO. DPR-49

AND

CHANGES TO THE TECHNICAL SPECIFICATIONS

INOPERABLE CONTROL ROD LIMITATIONS

IOWA ELECTRIC LIGHT AND POWER COMPANY

DUANE ARNOLD ENERGY CENTER

DOCKET NO. 50-331

INTRODUCTION

On June 27, 1975, Commonwealth Edison Company (CE) informed NRC that cracks had been discovered on the outside surface of the collet housings of four control rod drives at Dresden Unit 3⁽¹⁾. The cracks were discovered while performing maintenance of the control rod drives; the reactor was shutdown for refueling and maintenance. In a letter dated July 3, 1975, CE informed us that if the cracks propagated until the collet housing failed, the affected control rod could not be moved⁽²⁾. In a meeting with representatives of General Electric (GE) and CE the NRC staff was advised that further inspections revealed cracks in 19 of the 52 Dresden 3 control rod drives inspected, in one spare Dresden 2 control rod drive, in one Vermont Yankee spare control rod drive and in two GE test drives⁽³⁾. In a report dated July 30, 1975, after additional rod drives were inspected, CE stated that cracks had been found in 24 of 65 drives inspected⁽⁴⁾. Recently, the Tennessee Valley Authority reported that cracks were found in the collet housing of

- (1) Telegram to J. Keppler, Region III of the NRC, June 27, 1975, Docket No. 50-249.
- (2) Letter from B. B. Stephenson, Commonwealth Edison Company to James G. Keppler, U. S. Nuclear Regulatory Commission, July 3, 1975, Docket No. 50-249.
- (3) Memo from L. N. Olshan, Division of Technical Review (DTR) to T. M. Novak, DTR, "Meeting on Cracks Found in Dresden 3 Control Rod Drive Collet Retainer Tubes," July 18, 1975.
- (4) Letter from B. B. Stephenson, Commonwealth Edison Company to James G. Keppler, U. S. Nuclear Regulatory Commission, July 30, 1975, Docket No. 50-249.

seven of nineteen drives inspected at Browns Ferry 1 and Vermont Yankee found cracks in the collet housing of 4 of 10 control rod drives inspected. Because a number of control rod drives have been affected, because complete failure of the drive collet housing could prevent scram of the affected rod, and because we do not consider existing license requirements adequate in view of the collet housing cracks experienced, we have concluded that the Technical Specifications should be changed for those reactors with control rod drive designs susceptible to collet housing cracks. The change should assure that reactors which could be affected would not be operated for extended periods of time with a control rod which cannot be moved.

DESCRIPTION

The control rod drive is a hydraulically operated unit made up primarily of pistons, cylinders and a locking mechanism to hold the movable part of the drive at the desired position. The movable part of the drive includes an index tube with circumferential grooves located six inches apart. The collet assembly which serves as the index tube locking mechanism contains fingers which engage a groove in the index tube when the drive is locked in position. In addition to the collet, the collet assembly includes a return spring, a guide cap, a collet retainer tube (collet housing) and collet piston seals. The collet housing surrounds the collet and spring assembly. The collet housing is a cylinder with an upper section of wall thickness 0.1 inches and a lower section with a wall thickness of about 0.3 inches. The cracks occurred on the outer surface of the upper thin walled section near the change in wall thickness.

1. Consequences of Cracking

The lower edges of the grooves in the index tube are tapered, allowing index tube insertion without mechanically opening the collet fingers, as they can easily spring outward. If the collet housing were to fail completely at the reported crack location, the coil collet spring could force the upper part of the collet housing and spring retainer upward, to a location where the spring and spring retainer would be adjacent to the collet fingers. The clearance between the collet fingers and the spring when in this location will not permit the collet fingers to spring out of the index tube groove. This would lock the index tube in this position so that the control rod could not be inserted or withdrawn.

The failure of up to eight control rods to operate has previously been evaluated and the Technical Specifications presently allow up to eight rods to be inoperable. If more than eight rods are inoperable or if the scram reactivity rate is too small or if shutdown reactivity requirements are not met, the existing Technical Specifications require the reactor to be brought to a cold shutdown condition. Reactor power operation with up to eight rods inoperable would not involve a new hazards consideration nor would it endanger the health and safety of the public.

2. Probable Cause of Cracking

The cause of the cracking appears to be a combination of thermal cycling and intergranular stress corrosion cracking. The thermal cycling results from insertion and scram movements. During these movements hot reactor water is forced down along the outside of the collet housing, while cool water is flowing up the inside and out of flow holes in the housing. These thermal cycles are severe enough to yield the material, leaving a high residual tensile stress on the outer surface.

The collet housing material is type 304 austenitic stainless steel. The lower portion of the collet housing has a thicker wall and its inner surface is nitrided for wear resistance. In 1960-61, similar drives using high hardness 17-4 PH material for index tubes and other parts were found to have developed cracks. The problem caused GE to switch to nitrided stainless steel. The nitriding process involves a heat treatment in the 1050 F to 1100 F range, which sensitizes the entire collet housing, making it susceptible to oxygen stress corrosion cracking.

The cooling water used in the drives is aerated water. This water contains sufficient oxygen for stress corrosion to occur in the sensitized material if it is subjected to the proper combination of high stresses and elevated temperatures.

We believe that the cracking is caused by a combination of thermal fatigue and stress corrosion. GE has determined that both full stroke insertion and scram will cause high thermal stress. The cracks are completely intergranular and extensively branched, indicating that corrosion is a major factor. The type of thermal cycling, plus the buildup of corrosion products in the cracks between cycles probably results in a ratcheting action. This is also indicated by the "bulged" appearance of the cracks on the OD.

3. Probability of Early Failure

We believe that the cracking is progressive and is cycle dependent. Although the details of the cracking process are still not clear, we have not identified any mechanism that would cause rapid cracking with progression to complete circumferential failure.

The axial loads on the housings are very low at all times so that through wall cracks would have to progress at least 90% around the circumference before there would be concern about a circumferential failure. Although one housing at Dresden 3 had three cracks which nearly joined around the circumference, no cracks at Dresden 3 were through wall and none of the housings examined approached the degree of cracking necessary for failure. The collet housing has three flow holes in the thin section equally spaced around the circumference. The observed cracks have been confined primarily to the areas below and between the holes and near the area where the wall thickness of the collet housing changes. Since all the cracks except those located at the change in wall thickness are fairly shallow and since those at the change in wall thickness are largely confined to the circumferential area between holes, the net strength of the cracked housings is still far greater than necessary to perform their function.

A test drive at GE that had experienced over 4000 scram cycles had a more extensive developed crack pattern. Although the satisfactory experience with this cracked test housing is encouraging, its performance may not be correlated directly to that of drives in service, as this test drive was subjected to lower temperatures, and possibly less severe thermal cycles than could be encountered in actual service. The cracks were first noticed on the test drive after about 2000 cycles - many more cycles than the cracked housings at Dresden 3 had experienced.

The chance that a large number of collet housing would fail completely at about the same time is very remote. This is primarily true because the distributions of failures by cracking mechanisms such as stress corrosion and fatigue are not linear functions. That is, failure is a function of log time or log cycles. Distribution of failures of similar specimens generally follow a log normal pattern, with one to two orders of magnitude in time or cycles between failures of the first and failures of the last specimen. As no collet housing has yet failed, we are confident that there would be very few, if any, failures during the next time period corresponding to the total service life to date.

4. Changes to Technical Specifications

Existing limiting conditions of operation allow operation to continue with up to eight inoperable control rods. Existing surveillance requirements specify that daily surveillance of the condition of all fully or partially withdrawn rods would not have to begin until two rods are found inoperable. We do not consider that these existing limiting conditions of operation and surveillance requirements sufficiently limit the possibility of operating for an extended period of time with a number of rod drive mechanisms which cannot be moved. We have therefore concluded that the Technical Specifications should be changed as discussed below.

- (a) One stuck control rod does not create a significant safety concern. However, if a rod cannot be moved and the cause of the failure cannot be determined, the rod could have a failed collet housing. A potentially failed collet housing would be indicative of a problem which could eventually affect the scram capability of more than one control rod. Since the cracks appear to be of a type which propagate slowly, it is highly unlikely that a second control rod would experience a failed collet housing within a short period of time after the first failure. Therefore, a period of time of 48 hours can be allowed to determine the cause of failure. This period is considered long enough to determine if the cause of failure is not in the drive mechanism, yet short enough to be reasonably assured that a second collet failure does not occur. Therefore Section 3.3.A.2 (Control Rod Exercise) should be expanded to require that if a control rod cannot be moved during normal operation, testing or scram, the reactor shall be shutdown within 48 hours if the reason that it cannot be moved cannot be shown to be due to causes other than a failed collet housing.
- (b) If a control rod drive cannot be moved, the cause of the stuck rod might be a problem affecting other rods. To ensure prompt detection of any additional control rod drive failures which could prevent movement, Section 4.3.A.2 should be expanded to require surveillance every 24 hours of all partially and fully withdrawn rods if one rod drive is found to be stuck.

Until permanent corrective measures are taken to resolve the potential for stuck control rods due to failed collet housings, we believe that these additional specifications provide reasonable assurance that an unacceptable number of control rod collet housing will not fail during

operation. Upon completion of the investigations being performed by GE, additional corrective actions may permit revision of these requirements.

CONCLUSION

We have concluded, based on the considerations discussed above, that:
(1) there is reasonable assurance that the health and safety of the public will not be endangered by operation in the proposed manner, and (2) such activities will be conducted in compliance with the Commission's regulations and the issuance of this amendment will not be inimical to the common defense and security or to the health and safety of the public.

Date: **SEP 23 1975**