

EDO Principal Correspondence Control

FROM: DUE: 12/30/11

EDO CONTROL: G20110561
DOC DT: 07/28/11
FINAL REPLY:

Stephen D. Dingbaum, OIG

TO:

Borchardt, EDO

FOR SIGNATURE OF :

** GRN **

CRC NO:

Uhle, RES

DESC:

ROUTING:

Status of Recommendations: Audit of the Committee
to Review Generic Requirements (OIG-09-A-06)
(EDATS: OEDO-2011-0532)

Borchardt
Weber
Virgilio
Ash
Mamish
OGC/GC
Arildsen, OEDO

DATE: 07/28/11

ASSIGNED TO:

CONTACT:

RES

Uhle

SPECIAL INSTRUCTIONS OR REMARKS:

Please provide a complete response to Stephen D. Dingbaum, OIG addressing ALL resolved recommendations. Be sure to include the target completion date and identify the current point-of-contact for each recommendation. Forward final memo via email (Forward as Attachment) to Judy Gordon, cc: Stephen Dingbaum, Steven Zane and RidsEdoMailCenter by December 30, 2011. If applicable, be sure to attach in email any Enclosures to the response. See additional instructions attached.

Template: EDO-001

ERIDS: EDO-01

EDATS

Electronic Document and Action Tracking System



EDATS Number: OEDO-2011-0532

Source: OEDO

General Information

Assigned To: RES

OEDO Due Date: 12/30/2011 11:00 PM

Other Assignees:

SECY Due Date: NONE

Subject: Status of Recommendations: Audit of the Committee to Review Generic Requirements (OIG-09-A-06)

Description:

CC Routing: NONE

ADAMS Accession Numbers - Incoming: NONE

Response/Package: NONE

Other Information

Cross Reference Number: G20110561, OIG-09-A-06

Staff Initiated: NO

Related Task:

Recurring Item: NO

File Routing: EDATS

Agency Lesson Learned: NO

OEDO Monthly Report Item: NO

Process Information

Action Type: Memo

Priority: Medium

Signature Level: RES

Sensitivity: None

Urgency: NO

Approval Level: No Approval Required

OEDO Concurrence: NO

OCM Concurrence: NO

OCA Concurrence: NO

Special Instructions: Please provide a complete response to Stephen D. Dingbaum, OIG addressing ALL resolved recommendations. Be sure to include the target completion date and identify the current point-of-contact for each recommendation. Forward final memo via email (Forward as Attachment) to Judy Gordon, cc: Stephen Dingbaum, Steven Zane and RidsEdoMailCenter by December 30, 2011. If applicable, be sure to attach in email any Enclosures to the response. See additional instructions attached.

Document Information

Originator Name: Stephen D. Dingbaum

Date of Incoming: 7/28/2011

Originating Organization: OIG

Document Received by OEDO Date: 7/28/2011

Addressee: R. W. Borchardt, EDO

Date Response Requested by Originator: 12/30/2011

Incoming Task Received: Memo



**UNITED STATES
NUCLEAR REGULATORY COMMISSION**
WASHINGTON, D.C. 20555-0001

OFFICE OF THE
INSPECTOR GENERAL

July 28, 2011

MEMORANDUM TO: R. William Borchart
Executive Director for Operations

FROM: Stephen D. Dingbaum /RA/
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATION: AUDIT OF THE
COMMITTEE TO REVIEW GENERIC
REQUIREMENTS (OIG-09-A-06)

REFERENCE: CHAIRMAN, COMMITTEE TO REVIEW GENERIC
REQUIREMENTS, MEMORANDUM DATED
JUNE 21, 2011

Attached is the Office of the Inspector General's analysis and status of recommendation 1 as discussed in the agency's response dated June 21, 2011. Based on the response, recommendation 1 remains resolved. Please provide an updated status on recommendation 1 by December 30, 2011.

If you have questions or concerns, please call me at 415-5915 or RK Wild, Team Leader, at 415-5948.

Attachment: Status of Recommendations

cc: N. Mamish, OEDO
J. Andersen, OEDO
J. Arildsen, OEDO
C. Jaegers, OEDO

Audit Report

AUDIT OF THE COMMITTEE TO REVIEW GENERIC REQUIREMENTS

OIG-09-A-06

Status of Recommendation

Recommendation 1: Develop, document, implement, and communicate an agencywide process for reviewing backfit issues to ensure that generic backfits are appropriately justified based on NRC regulations and policy.

Agency Response Dated
June 21, 2011:

With regard to this recommendation, the Committee to Review Generic Requirements (CRGR) updated and revised the CRGR Charter, which documents the agencywide process for reviewing backfit issues and ensures that generic backfits are appropriately justified based on NRC regulations. The policy was subsequently approved by the Executive Director for Operations (EDO) and issued on March 8, 2011, as revision 8. Various agency communication tools were used, including a memorandum to the EDO (ADAMS Accession No. ML11094A009) and an agency announcement (Announcement No. 046 Date: April 12, 2011), to inform the agency of the issuance of the charter. A link to the document was also placed on the CRGR external Web site to inform external stakeholders of the availability of the revised CRGR Charter.

On June 15, 2011, the CRGR issued the draft Management Directive (MD) 8.4, "Management of Facility-Specific Backfitting and Information," to the various relevant offices for a 30-day comment period. External stakeholders views were incorporated into the draft MD. The CRGR anticipates providing a draft final MD 8.4 to the Office of Administration by September 2011 for its final edits and formatting.

In addition to these activities, the CRGR and Office of the General Counsel, in cooperation with the Office of Human Resources, are working together to establish a centralized agency resource for backfit training. The next step will be to develop a training module on

Audit Report

AUDIT OF THE COMMITTEE TO REVIEW GENERIC REQUIREMENTS

OIG-09-A-06

Status of Recommendation

Recommendation 1 (continued):

the overall process and then to develop program-specific modules that can be used by the program offices and regions as appropriate. The planned implementation of this centralized training program is scheduled for the end of calendar year 2011 as stated in the July 1, 2010, action plan (ADAMS Accession No. ML101170084).

OIG Analysis:

The current update continues to meet the intent of the original recommendation. OIG will close the recommendation once we receive and review the final version of MD 8.4 as well as the new backfit training programs to ensure implementation of the recommendation's intent.

Status:

Resolved.

Additional Instructions to Action Offices/Regions on Responding to OIG Requests for Status Updates for OIG Report Recommendations

The action Offices/Region should provide a memorandum addressed to the Assistant Inspector General for Audits (AIGA) signed by the Office Director or Regional Administrator. The response should provide the status of each recommendation in the associated OIG audit report.

The memorandum should provide updated status for the OIG report recommendations by the date specified. An extension will not be granted since the memorandum is requesting a status of the recommendation(s), not the completion of the recommendation(s).

Please ensure the updated status memorandum addresses all “resolved” and “unresolved” recommendations and include for each recommendation separately:

- (a) the recommendation by number, repeating its text verbatim,
- (b) a response that describes under each recommendation the action(s) needed for closure,
- (c) the target completion date for the action(s), and
- (d) the identification of the current point-of-contact.

When appropriate, ensure applicable document(s) to support OIG closure of recommendation(s) are attached as Enclosures and/or provide an ADAMS accession number with viewer rights. Be sure to include the audit number, for example: OIG-11-A-01, and task number(s) to the case reference field in the ADAMS profile.

Forward the memorandum to OIG via email, as described in the Special Instructions or Remarks in the associated action item ticket.

Please note that status update memoranda that are in response to publically available OIG audit report recommendations should be profiled in ADAMS to be publically available, and the memorandum should not be marked to the contrary.