Inspector Identifies an ISSUE OF CONCERN (IOC) 19 Does IOC Warrant a Yes No Prepare for WILLFUL VIOLATION and ALLEGATION REVIEW Conduct ARB **BOARD** (ARB)? 20 Is OI No Investigation Warranted? Yes 21 22 Can cROP screening proceed No Wait for Completion without compromising of Investigation INVESTIGATION? (PD Presumed) > Three Possible 3 Inputs 23 Yes Does Two No INVESTIGATION Possible **No WILLFULNESS** Confirm a WILLFUL Inputs VIOLATION? 24 . Yes Confirmed VIOLATION; Confirmed WILLFULNESS; 25 Confirmed PD; Go to Is the PD Work with OE via Regional No Figure 2, More-than-Minor Enforcement Coordinator to Block A (e.g. Is it a determine the SL of the FINDING)? VIOLATION. - Continue screening cROP Yes PD at Block 25 26 27 Disposition FINDING **No FINDING** (minus VIOLATION) (No impact on disposition of 28 through cROP now Willful Violation if present) Go to Figure 3, Block C

Figure 1: Screen Issue of Concern for Willfulness; Coordinate Accordingly

Figure 2: TE Screen for Regulatory Process Impact or Actual Consequence; cROP Screen – Is Issue of Concern a Performance Deficiency, More-than-Minor, a Violation, a Non-Finding Violation, or otherwise

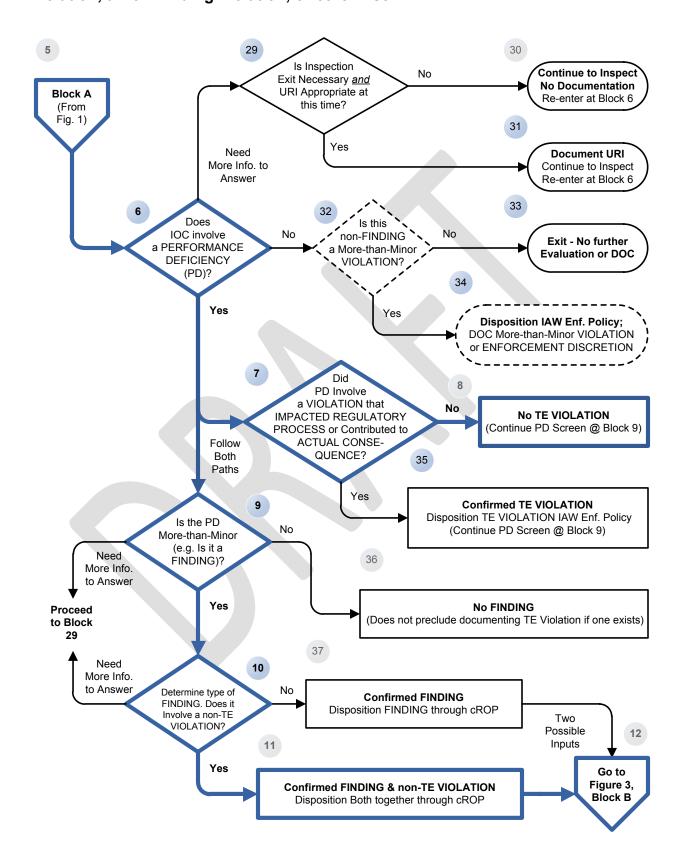


Figure 3: Determine Significance, Evaluate for CCA, and Whether to Document an Abbreviated Finding

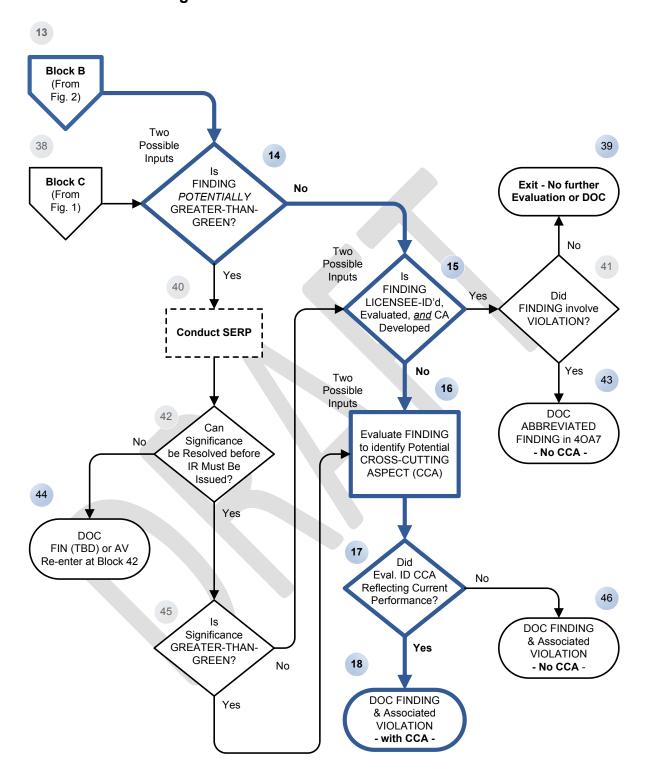


Figure 4: Determine Significance

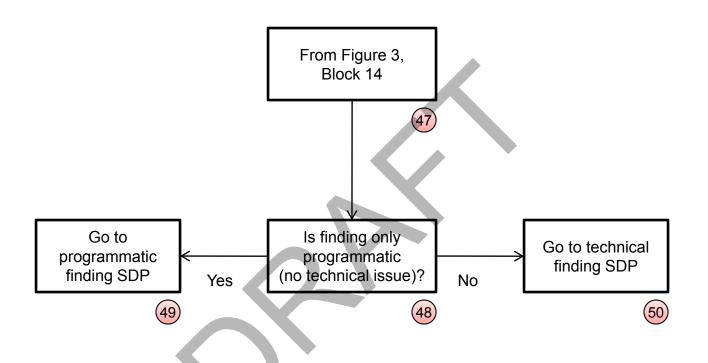


Figure 5: Construction Programmatic Finding SDP Flowchart

