

July 18, 2011

MEMORANDUM TO: Stephen D. Dingbaum
Assistant Inspector General for Audits
Office of the Inspector General

FROM: Kathryn O. Greene, Director */RA SStewart-Clark for/*
Office of Administration

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF THE NUCLEAR
REGULATORY COMMISSION'S GRANT MANAGEMENT
PROGRAM (OIG-09-A-16)

The following provides a status of planned actions in response to recommendations 1, 2, and 5 as contained in the subject audit report.

Recommendation 1:

Resolve outstanding Lean Six Sigma [LSS] issues, including definition of the competitive grant process, roles and responsibilities, development of a shared electronic grant database, and scope of SBCR [Office of Small Business and Civil Rights] reviews.

Status:

The Office of Administration (ADM) revised Management Directive (MD) 11.6, "Financial Assistance Program" to include a definition of the competitive grant process, roles and responsibilities, and the scope of SBCR reviews. Office comments on the revised MD have been resolved, and the MD currently is under review for final signature. ADM expects that MD 11.6 will be issued by December 31, 2011.

With respect to the development of a shared electronic database, ADM developed a SharePoint site for grants management which is available at:
<http://portal.nrc.gov/edo/adm/dc/grants/default.aspx>.

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(301) 492-3627

Recommendation 2:

Update Management Directive 11.6 to comprehensively address the NRC's [Nuclear Regulatory Commission's] competitive and non-competitive grant program, including (a) roles and responsibilities of individuals and offices involved in the grant process, (b) process for awarding grants, and (c) required monitoring by project officers.

Status:

ADM revised MD 11.6 to address these issue areas. The MD currently is under review for final signature, and ADM expects that it will be issued by December 31, 2011.

Recommendation 5:

Ensure that staff working on grants complete the required training within the specified timeframe identified in response to recommendation 4.

Status:

ADM awarded a contract in September 2010 for grants training to include both core and elective grants management courses required under the NRC's Grants Management and Certification Program. The majority of grants management staff have completed the core courses, and elective courses are currently being scheduled. ADM expects that the staff training will be completed by December 31, 2011.

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