

APPENDIX A3

A. CHECKLIST FOR ITAAC CLOSURE REVIEW

Docket No. _____

Licensee Name _____

Combined License No. _____

ICN ADAMS ML No. _____

ITAAC ID No. _____ Targeted _____ Non-Targeted _____

ITAAC Family Designation or enter N/A _____

Name of DCIP Operations Engineer _____

Names of Additional Reviewers _____

An operations engineer from the Office of New Reactors, Division of Construction Inspection and Operational Programs (DCIP) and other reviewers will enter "Yes" in the blank at the beginning of a statement below if the whole statement is true, "No" if any part of the statement is not true, and "N/A" if the statement is not applicable.

- a. _____ The ICN identifies all of the following: (1) licensee, (2) plant site name, (3) unit number, and (4) plant's docket number.
- b. _____ The ITAAC, as restated in the ICN, agrees with the version of the ITAAC in the combined license.
- c. _____ If ITAAC is a "Reference ITAAC", the ITAAC it references has been verified as successfully completed.
- d. _____ All ITAAC-related construction and ITAAC findings relevant to the ITAAC are listed in the ICN as closed, the NRC staff reviewer has confirmed that these findings are closed, and required Region II inspections have been completed for the ITAAC.
- e. _____ If the licensee performed the inspections, tests, and/or analyses for an as-built ITAAC at location(s) other than the final installed location, the licensee has, based on the guidance in NEI 08-01, provided in the ITAAC determination basis (IDB) of the ICN either a summary of a technical justification or a reference to a generic technical justification that establishes why it was acceptable to perform the ITAAC at location(s) other than its final installed location.
- f. _____ The ICN indicates that the licensee completed the ITAAC as affirmed by the signature of a licensee representative.
- g. _____ Person(s) with the requisite technical and engineering knowledge has/have determined that the IDB contains sufficient information, including summarizing

the methodology for performing the ITAAC, to conclude that the licensee has successfully performed the inspection, test, and/or analysis stated in the ITAAC.

h. _____ Person(s) with the requisite technical and engineering knowledge has/have determined that the IDB contains sufficient information to conclude that the licensee has fully met the entire acceptance criterion stated in the ITAAC.

If statements "a" through "h" are all "Yes" or all are "Yes" except step(s) "c" and/or "e" being "N/A", the ICN has sufficient information; otherwise, the ICN is rejected, and the NRC must communicate with the licensee about providing a new initial ICN of record. If step(s) "g" and/or "h" are "No," for reasons other than insufficient information in the ICN, the DCIP operations engineer must initiate the required steps to evaluate for an ITAAC finding and subsequently the steps to evaluate other ITAAC in the same ITAAC family that may be affected by the ITAAC finding.

The reviewers can provide additional comments in the space provided below on the bases for verifying that the licensee either successfully completed the ITAAC or did not successfully complete the ITAAC including, but not limited to, identifying pertinent ICN statements, comments on performance of ITAAC at other than final installed location, listing documents reviewed from licensee's ITAAC completion package, etc.

The staff and supervisor have verified that the licensee successfully completed the inspections, tests, and analyses prescribed for this ITAAC, and that the acceptance criteria have been met.

This determination of the successful completion of this ITAAC is subject to the licensee's ability to maintain the condition that the acceptance criteria are met and is based on information available at this time. This determination will be used to support a recommendation to the Commission that it can find pursuant to 10 CFR 52.103(g) that all acceptance criteria of the combined license are met. The ITAAC closure verification process is not finally concluded for this ITAAC until the Commission makes an affirmative finding under 10 CFR 52.103(g). If new information subsequently disputes this determination, this ITAAC will be reopened as necessary.

DCIP Operations Engineer _____

Date _____

DCIP Branch Chief _____

Date _____