

**AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT**

BPA NO.

1. CONTRACT ID CODE

PAGE

OF

1

3

2. AMENDMENT/MODIFICATION NO

MOD304

3. EFFECTIVE DATE

See Blk 16C

4. REQUISITION/PURCHASE REQ NO

NMS-11-038 NMS-11-39 NMS-11-40

5. PROJECT NO.(If applicable)

6 ISSUED BY

CODE

3100

7. ADMINISTERED BY (If other than Item 6)

CODE

3100

U.S. Nuclear Regulatory Commission  
Div. of Contracts  
Attn: Valerie Whipple  
Mail Stop: TWB-01-B10M  
Washington, DC 20555

U.S. Nuclear Regulatory Commission  
Div. of Contracts  
Mail Stop: TWB-01-B10M  
Washington, DC 20555

8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code)

SOUTHWEST RESEARCH INSTITUTE

6220 CULEBRA RD

SAN ANTONIO TX 782385166

(X)

9A. AMENDMENT OF SOLICITATION NO

9B DATED (SEE ITEM 11)

10A. MODIFICATION OF CONTRACT/ORDER NO  
NRC-02-07-006

10B. DATED (SEE ITEM 13)

X

10-15-1987

CODE

FACILITY CODE

**11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS**

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers  is extended,  is not extended.

Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods:

(a) By completing Items 8 and 15, and returning \_\_\_\_\_ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)

**SEE PAGE 2 FOR ACCOUNTING INFORMATION**

**13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.**

(X) A. THIS CHANGE ORDER IS ISSUED PURSUANT TO. (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.

B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b)

X C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF. FAR 52.232-22 Limitation of Funds

D. OTHER (Specify type of modification and authority)

**E. IMPORTANT:** Contractor  is not,  is required to sign this document and return \_\_\_\_\_ copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible)

Please see attached pages.

Duns: 007936842 NAICS: 562211 PSC: R421

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect

15A. NAME AND TITLE OF SIGNER (Type or print)

16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print)

Valerie Whipple  
Contracting Officer

15B. CONTRACTOR/OFFEROR

15C. DATE SIGNED

16B. UNITED STATES OF AMERICA

16C. DATE SIGNED

(Signature of person authorized to sign)

BY  (Signature of Contracting Officer)

4/8/11

The purpose of this modification is to obligate and authorize funds under **Job Code J5664** in the amount of **\$135,000** and **Job Code J5661** in the amount of **\$550,000**.

**ACCOUNTING DATA:**

B&R: 11-50-387-183 JC: J5664 BOC: 252A APPN: x0200 NMS-11-040 FAIMIS: 112183 \$135,000  
B&R: 11-50-337-183 JC: J5661 BOC: 252A APPN: x0200 NMS-11-039 FAIMIS: 112182 \$275,000  
B&R: 11-50-337-183 JC: J5661 BOC: 252A APPN: x0200 NMS-11-038 FAIMIS: 112181 \$275,000

Accordingly, the following changes are made:

1. Sections B. 2. E. b., d, and e. are revised to obligate and authorize funding under **Job Code J5664** in the amount of **\$135,000** and to obligate and authorize funding under **Job Code J5661** in the amount of **\$550,000**, as shown on the attached change pages 9, 9a, and 9b.

All other terms and conditions of this contract, including the ceiling amount for the fourth renewal period of \$123,376,281.00, remain the same.

A summary of new obligations for the fourth renewal period of this contract is given below:

Job Code D1035 (HLW)

FY07 obligation amount: \$2,762,990  
FY08 obligation amount: \$17,291,992.29  
FY09 obligation amount: \$18,405,809.11  
FY10 obligation amount: \$9,042,117.00  
Cumulative total of NRC obligations for JC D1035 (HLW): \$47,502,908.40

Job Code J5633 (Newhall Pass)

FY08 obligation amount: \$117,792  
FY09 obligation amount: \$45,000  
Cumulative total of NRC obligations for J5633: \$162,792

Job Code J5608 (MacArthur Maze Fire)

FY08 obligation amount: \$88,121  
Cumulative total of NRC obligations for J5608: \$88,121

Job Code J5562 (WIR)

FY08 obligation amount: \$283,000  
FY09 obligation amount: \$500,000  
FY10 obligation amount: \$469,000  
FY11 obligation amount: \$244,500  
Cumulative total of NRC obligations for J5562: \$1,496,500

Job Code J5639 (Comprehensive Assessment of Severe Road and Rail  
Transportation Accidents Involving Long Duration Fires)

FY09 obligation amount: \$250,000  
Cumulative total of NRC obligations for J5639: \$250,000

Job Code J5606 (SFST TAD)

FY09 obligation amount: \$190.89  
Cumulative total of NRC obligations for J5606: \$190.89

Job Code J5661 (Key Regulatory and Technical Issues)  
FY10 obligation amount: \$1,675,000  
FY 11 obligation amount: **\$850,000**  
Cumulative total of NRC obligations for JC J5661: **\$2,525,000**

Job Code J5662 (BETA TPA and User Guide)  
FY10 obligation amount: \$1,675,000  
Cumulative total of NRC obligations for JC J5662: \$1,675,000

Job Code J5656 (Calvert Cliffs SFST)  
FY10 obligation amount: \$325,000  
Cumulative total of NRC obligations for JC J5656: \$325,000

Job Code F1191 (ETR)  
FY10 obligation amount: \$200,786  
FY11 obligation amount: \$72,373  
Cumulative total of NRC obligations for JC F1191: \$273,159

Job Code J5664 (FCSS Technical Basis for Licensing Reprocessing Facilities)  
FY10 obligation amount: \$500,000  
FY11 obligation amount: **\$175,000**  
Cumulative total of NRC obligations for JC J5664: **\$675,000**

Job Code J5670 (International Programs)  
FY10 obligation amount: \$400,000  
Cumulative total of NRC obligations for JC J5670: \$400,000

Job Code J5679 (Waste Confidence)  
FY11 obligation amount: \$100,000  
Cumulative total of NRC obligations for JC J5679: \$100,000  
**\*\*NOTE: Work previously under JC5661\*\***











Job Code J5682 (Regulatory Process Improvements)  
FY11 obligation amount: \$50,000  
Cumulative total of NRC obligations for JC J5682: \$50,000

**This modification obligates FY11 funds in the amount of \$685,000.**

e. Total amount authorized by Operations/Project Plans was as follows:

High-Level Waste (HLW) Job Code: D1035 Amount: \$82,000,000	Private Fuel Storage (PFS) Job Code: J5226 Amount: \$207,542
INEEL ISFSI-2 Job Code: J5410 Amount: \$685,819.72	Diablo Canyon Job Code: J5390 Amount: \$482,753.90
Tunnel Fire Environment Job Code: J5434 Amount: \$65,712.26	Humboldt Bay Job Code: J5501 Amount: \$932,636.67
WIR DOE South Carolina and Idaho Job Code: J5543 Total: \$266,240.88 Job Code: J5562 Total: \$1,642,953	MacArthur Maze Fire Job Code: J5608 Total: \$94,249  SFST TAD Job Code: J5606 Total: \$220,000

f. The total award fee available, the award fee earned thus far and the applicable evaluation period are as follows:

<u>Evaluation Period</u>	<u>Available Award Fee</u>	<u>Award Fee Earned</u>
22 9/28/02-9/26/03		
23 9/27/03-9/24/04		
24 9/27/04-9/24/05		
25 9/30/05-9/29/06		
26 9/30/06-9/28/07		

**Current Data**

E. September 29, 2007 - September 28, 2012 (Fourth Renewal period, Contract No. NRC-02-07-006)

- a. The total estimated ceiling amount of this Cost-Plus-Award-Fee type contract for the above mentioned period is \$123,376,281.
- b. The amount presently obligated by the Government with respect to this contract for this period is **\$55,523,480.40**. In addition, carryover funds in the estimated amount of \$3,727,149.29 are available for use in this renewal period as indicated below. The award fee pool will be as stated in the AFDP. The plan will reflect the actual award fee pool based on cumulative estimated costs for performance of approved Operations Plans. The applicable base fee percentage is also described in the AFDP.

- c. Evaluation of the award fee earned will be accomplished in accordance with the current AFDP (Attachment 11). Neither the determination as to the amount of fee available during a given period, the amount of award fee earned, nor the determination of the criteria under which the subject award fee will be made, shall be subject to FAR Subpart 52.233-1, entitled, "Disputes".
- d. Total funds currently obligated by Job Code (JC) are as follows:

High-Level Waste (HLW)  
Job Code: D1035  
New Funds: \$47,502,908.40  
Carryover: \$2,891,902.10  
Total: \$50,394,810.50

Private Fuel Storage (PFS)  
Job Code: J5226  
New Funds:  
Carryover:  
Total:

WIR DOE South Carolina and Idaho  
Job Code: J5562  
New Funds: \$1,496,500.00  
Carryover: \$484,481.08  
Total: \$1,980,981.08

MacArthur Maze Fire  
Job Code: J5608  
New Funds: \$88,121  
Carryover: \$60,210.16  
Total: \$148,331.16

SFST TAD  
Job Code: J5606  
New Funds: \$190.89  
Carryover: \$287,365.06  
Total: \$287,555.95

Newhall Pass  
Job Code: J5633  
New Funds: \$162,792  
Carryover: \$0  
Total: \$162,792

SFST (Comprehensive Assessment of Severe Road and Rail Transportation)  
Job Code: J5639  
New Funds: \$250,000  
Carryover: \$0  
Total: \$250,000

BETA TPA Code and User Guide  
Job Code: J5662  
New Funds: \$1,675,000  
Carryover: \$0  
Total: \$1,675,000

Key Regulatory and Technical Issues  
Job Code: J5661  
New Funds: **\$2,525,000**  
Carryover: \$0  
Total: **\$2,525,000**

Calvert Cliffs SFST  
Job Code: J5656  
New Funds: \$325,000  
Carryover: \$0  
Total: \$325,000

Environmental Tropical Report (ETR)  
Job Code: F1191  
New Funds: \$273,159  
Carryover: \$0  
Total: \$273,159

International Programs  
Job Code: J5670  
New Funds: \$400,000  
Carryover: \$0  
Total: \$400,000

FCSS Licensing Reprocessing Facilities  
Job Code: J5664  
New Funds: **\$675,000**  
Carryover: \$0  
Total: **\$675,000**

Waste Confidence  
Job Code: J5679  
New Funds: \$100,000  
Carryover: \$0  
Total: \$100,000

Regulatory Process Improvements

Job Code: J5682  
New Funds: \$50,000  
Carryover: \$0  
Total: \$50,000

e. Total amount authorized by Operations Plan is as follows:

High-Level Waste (HLW)

Job Code: D1035  
Amount: \$45,135,442

Private Fuel Storage (PFS)

Job Code: J5226  
Amount:

WIR DOE South Carolina and Idaho

Job Code: J5562  
Amount: \$1,812,924  
Job Code: J5543  
Amount: (\$33,759.12)

MacArthur Maze Fire

Job Code: J5608  
Amount: \$125,210.16

SFST TAD

Job Code: J5606  
Amount: \$243,877.89

Newhall Pass

Job Code: J5633  
Amount: \$193,331

SFST (Comprehensive Assessment of  
Severe Road and Rail Transportation  
Accidents Involving Long Duration Fires)

Job Code: J5639  
Amount: \$250,000

BETA TPA Code and User Guide

Job Code: J5662  
Amount: \$1,675,000

Key Regulatory and Technical Issues

Job Code: J5661  
Amount: **\$2,525,000**

Calvert Cliffs - SFST

Job Code: J5656  
Amount: \$325,000

Environmental Topical Report

Job Code: F1191  
Amount: \$273,159

International Programs

Job Code: J5670  
Amount: \$400,000

FCSS Licensing Reprocessing Facilities

Job Code: J5664  
Amount: **\$675,000**

Waste Confidence

Job Code: J5679  
Amount: \$100,000

Regulatory Process Improvements

Job Code: J5682  
Amount: \$50,000