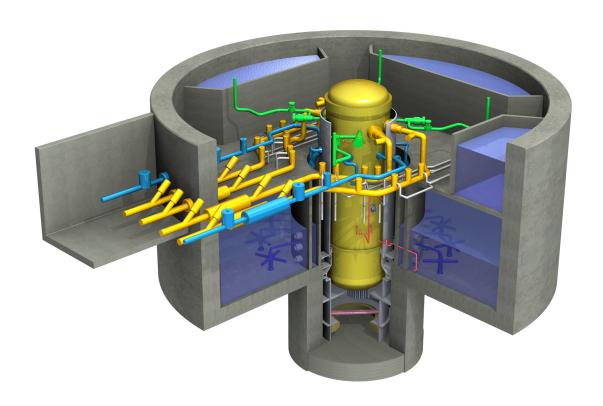
GE Hitachi Nuclear Energy

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ESBWR Design Control Document Tier 2 & Generic Technical Specifications

Chapter 16
Technical Specifications

16. TECHNICAL SPECIFICATIONS

16.0 INTRODUCTION

The ESBWR Generic Technical Specifications (GTS) are provided as required by 10 CFR 50.36, "Technical specifications," and 10 CFR 50.36a, "Technical specifications on effluents from nuclear power reactors." The ESBWR GTS were developed utilizing NUREG-1434, "Standard Technical Specifications General Electric Plants, BWR/6," Revision 3.1, to the extent practical. The ESBWR GTS are supported by a separate document (Chapter 16B, Bases) that provides the bases for important aspects of each Specification, other than Design Features and Administrative Controls

The GTS have been derived from the safety analyses and evaluations included in this application, and include the following as required by 10 CFR 50.36 and 50.36a:

- Safety limits, limiting safety system settings, and limiting control settings
- Limiting conditions for operation;
- Surveillance requirements;
- Design features; and
- Administrative controls.

10 CFR 50.36 requires a limiting condition for operation for each item meeting one or more of the following Section 50.36(c)(2)(ii) criteria:

- (A) Criterion 1. Installed instrumentation that is used to detect, and indicate in the control room, a significant abnormal degradation of the reactor coolant pressure boundary.
- (B) Criterion 2. A process variable, design feature, or operating restriction that is an initial condition of a Design Basis Accident or Transient Analysis that either assumes the failure of or presents a challenge to the integrity of a fission product barrier.
- (C) Criterion 3. A structure, system, or component that is part of the primary success path and which functions or actuates to mitigate a Design Basis Accident or Transient that either assumes the failure of or presents a challenge to the integrity of a fission product barrier.
- (D) Criterion 4. A structure, system, or component which operating experience or probabilistic risk assessment has shown to be significant to public health and safety.

The ESBWR GTS are intended as a guide in the future development of plant specific Technical Specifications (TS) for plants whose license applications reference the ESBWR standard plant. Brackets are used to identify information that is plant specific. Brackets are also used to identify information that is pending completion by the license applicant because the detailed design, equipment selection, or other efforts are not sufficiently complete to finalize the information required in Technical Specifications.

The "Use and Application" section of the ESBWR GTS is consistent with NUREG-1434 with the exception of the inclusion of a new "Stable Shutdown" MODE. This change reflects an initiative to recognize that plant temperatures below 215.6°C (420°F) are an acceptable stable, safe shutdown condition.

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Limiting Conditions for Operation (LCOs) for the ESBWR GTS reflect the criteria specified in 10 CFR 50.36 consistent with evaluations of these criteria as reflected in the Standard Technical Specifications for the most recent Boiling Water Reactor (BWR) designs, NUREG-1434, Revision 3.1. Where the ESBWR passive design presented new features and analyses, these were evaluated against the criteria for inclusion in these GTS. In general, the ESBWR passive design results in a significant reduction in the number of structures, systems, and parameters for which LCOs are required. Elimination of LCO requirements for offsite electrical circuits, emergency diesel generators, and active decay heat removal systems are examples of significant differences between the ESBWR GTS and NUREG-1434.

The completion times used in the ESBWR GTS for the actions required when LCOs are not met are generally consistent with NUREG-1434 for similar conditions, where practical. In some instances, the NUREG-1434 completion times are overly conservative for the ESBWR because they do not reflect the reliability of the ESBWR passive design.

Surveillance requirements and frequencies for monitoring plant status and testing are generally consistent with NUREG-1434, where practical. In some instances, the NUREG-1434 surveillance requirements and frequencies are overly conservative for the ESBWR because they do not reflect the reliability of the ESBWR passive design.

On completion of the Combined License Information requirements, Technical Specifications are incorporated into an appendix to the Combined License (COL) when issued. Subsequent amendments are controlled in accordance with 10 CFR 50.90. Further, the Technical Specifications requirements will become applicable as identified in the COL. The supporting Bases document is not incorporated into the license, but subsequent to the issuance of the COL, the Bases document is separately controlled in accordance with the Technical Specifications Bases Control Program included within the Technical Specifications Programs and Manuals section.

Manuals, reports, and program documents identified in the GTS are also not considered a part of this document, nor a part of the Technical Specifications or Bases documents.

This set of GTS is provided as a guide for the development of plant specific Technical Specifications for COL applications. COL applicants referencing the ESBWR DCD will replace the preliminary information provided in brackets ("[...]"), and annotated with "16.0-1-A" labels, with final plant specific information (16.0-1-A). Table 16.0-1-A summarizes the COL applicant items and provides the associated Reviewer's Notes. The guidance of associated Reviewer's Notes is for information only as an aide to COL applicant disposition of the item.

16.0.1 COL Information

16.0-1-A COL Applicant Bracketed Items

COL applicants referencing the ESBWR DCD will replace the preliminary information provided in brackets ("[...]"), and annotated with "16.0-1-A" labels, with final plant specific information.

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Table 16.0-1-A (page 1 of 10) COL - Applicant Open Items

COL Item	Description	Reviewer's Note
1.1-1	Pressure Temperature Limits Report (PTLR) definition	Remove brackets if COL applicant determines that a PTLR will be incorporated in TS 3.4.4 and TS 5.6.4. In lieu of a PTLR, COL applicants may insert their plant specific pressure and temperature (P/T) curves as figures in TS 3.4.4 and delete the definition of Pressure Temperature Limits Report (PTLR) and TS 5.6.4.
3.1.3-1	Stuck control rod separation requirements between "slow" control rod(s)	"Slow" scram time allowance is optional based upon analysis as outlined in the LCO Bases for Specification 3.1.4. With "slow" scram time option elected verification of the additional separation to stuck control rods is necessary (first bracketed option remains); when the "slow" scram time allowance is not elected, the optional Required Action A.1 (and associated Bases) is deleted.
3.1.3-2	Maximum scram time limits for operable control rods	"Slow" scram time allowance is optional based upon analysis as outlined in the LCO Bases for Specification 3.1.4. With "slow" scram time option elected the first bracketed option remains (brackets removed) and the second option is deleted. Acceptance criteria for OPERABLE control rod scram times (time to % insertion) are to be included. With "slow" scram time allowance not elected, the first bracketed option is deleted and the second option remains (brackets removed).
3.1.4-1	"Slow" control rod optional allowances	"Slow" scram time allowance is optional based upon analysis as outlined in the LCO Bases for Specification 3.1.4. The options are presented with pairs of brackets. With "slow" scram time option elected the first bracketed option remains (brackets removed) and the second option is deleted. Acceptance criteria for Control Rod Scram times for slow control rods including limits for the maximum number of slow and inoperable control rods are to be included.
		With "slow" scram time allowance not elected, the first bracketed option is deleted and the second option remains (brackets removed).
3.1.5-1	Minimum and nominal control rod scram accumulator pressure	Provide minimum control rod scram accumulator pressure that supports maximum scram time assumption.
3.1.7-1	Alternative Action for sodium pentaborate concentration not within limits	Applicant may propose alternatives including examples such as: (i) degradations and appropriate compensatory times for single versus multiple accumulators; (ii) level degradations; (iii) concentration degradations; (iv) pressure degradations. In general, degradations that impact Anticipated Transient Without Scram (ATWS) mitigation capability, but continue to provide cold SHUTDOWN MARGIN (SDM) capability, would be allowed Completion Times on the order of 72 hours. Similarly, for degradations that impact single failure assumed post-accident flooding assumptions, but continue to provide adequate flooding support without assuming an additional single failure, the applicant may propose Completion Times on the order of 7 days.

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Table 16.0-1-A (page 2 of 10) COL - Applicant Open Items

COL Item	Description	Reviewer's Note
3.3.1.1-2	Allowance to exclude certain sensors or other instrumentation components from response time testing	Applicants or Licensees may remove brackets and adopt this provision by application of Specification 5.5.7, "Bases Control Program," after appropriate assessment and incorporation into the plant licensing basis of an NRC approved methodology evaluating sensor and instrumentation loop response time requirements. All implementation requirements of the NRC Safety Evaluation Report for the methodology must be addressed. This allowance is provided as a template for potential future assessments.
3.3.1.2-1	Allowance to exclude certain portions of the actuation circuitry from response time testing	Applicants or Licensees may remove brackets and adopt this provision by application of Specification 5.5.7, "Bases Control Program," after appropriate assessment and incorporation into the plant licensing basis of an NRC approved methodology evaluating sensor and instrumentation loop response time requirements. All implementation requirements of the NRC Safety Evaluation Report for the methodology must be addressed. This allowance is provided as a template for potential future assessments.
3.3.1.4-2	Allowance to exclude certain sensors or other instrumentation components from response time testing	Applicants or Licensees may remove brackets and adopt this provision by application of Specification 5.5.7, "Bases Control Program," after appropriate assessment and incorporation into the plant licensing basis of an NRC approved methodology evaluating sensor and instrumentation loop response time requirements. All implementation requirements of the NRC Safety Evaluation Report for the methodology must be addressed. This allowance is provided as a template for potential future assessments.
3.3.1.5-2	Allowance to exclude certain portions of the actuation circuitry from response time testing	Applicants or Licensees may remove brackets and adopt this provision by application of Specification 5.5.7, "Bases Control Program," after appropriate assessment and incorporation into the plant licensing basis of an NRC approved methodology evaluating sensor and instrumentation loop response time requirements. All implementation requirements of the NRC Safety Evaluation Report for the methodology must be addressed. This allowance is provided as a template for potential future assessments.

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Table 16.0-1-A (page 3 of 10) COL - Applicant Open Items

COL Item	Description	Reviewer's Note
3.3.5.1-2	Allowance to exclude certain sensors or other instrumentation components from response time testing	Applicants or Licensees may remove brackets and adopt this provision by application of Specification 5.5.7, "Bases Control Program," after appropriate assessment and incorporation into the plant licensing basis of an NRC approved methodology evaluating sensor and instrumentation loop response time requirements. All implementation requirements of the NRC Safety Evaluation Report for the methodology must be addressed. This allowance is provided as a template for potential future assessments.
3.3.5.2-1	Allowance to exclude certain portions of the actuation circuitry from response time testing	Applicants or Licensees may remove brackets and adopt this provision by application of Specification 5.5.7, "Bases Control Program," after appropriate assessment and incorporation into the plant licensing basis of an NRC approved methodology evaluating sensor and instrumentation loop response time requirements. All implementation requirements of the NRC Safety Evaluation Report for the methodology must be addressed. This allowance is provided as a template for potential future assessments.
3.3.5.3-2	Allowance to exclude certain sensors or other instrumentation components from response time testing	Applicants or Licensees may remove brackets and adopt this provision by application of Specification 5.5.7, "Bases Control Program," after appropriate assessment and incorporation into the plant licensing basis of an NRC approved methodology evaluating sensor and instrumentation loop response time requirements. All implementation requirements of the NRC Safety Evaluation Report for the methodology must be addressed. This allowance is provided as a template for potential future assessments.
3.3.5.4-1	Allowance to exclude certain portions of the actuation circuitry from response time testing	Applicants or Licensees may remove brackets and adopt this provision by application of Specification 5.5.7, "Bases Control Program," after appropriate assessment and incorporation into the plant licensing basis of an NRC approved methodology evaluating sensor and instrumentation loop response time requirements. All implementation requirements of the NRC Safety Evaluation Report for the methodology must be addressed. This allowance is provided as a template for potential future assessments.
3.3.6.1-2	Allowance to exclude certain sensors or other instrumentation components from response time testing	Applicants or Licensees may remove brackets and adopt this provision by application of Specification 5.5.7, "Bases Control Program," after appropriate assessment and incorporation into the plant licensing basis of an NRC approved methodology evaluating sensor and instrumentation loop response time requirements. All implementation requirements of the NRC Safety Evaluation Report for the methodology must be addressed. This allowance is provided as a template for potential future assessments.

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Table 16.0-1-A (page 4 of 10) COL - Applicant Open Items

COL Item	Description	Reviewer's Note
3.3.6.2-1	Allowance to exclude certain portions of the actuation circuitry from response time testing	Applicants or Licensees may remove brackets and adopt this provision by application of Specification 5.5.7, "Bases Control Program," after appropriate assessment and incorporation into the plant licensing basis of an NRC approved methodology evaluating sensor and instrumentation loop response time requirements. All implementation requirements of the NRC Safety Evaluation Report for the methodology must be addressed. This allowance is provided as a template for potential future assessments.
3.3.6.3-2	Allowance to exclude certain sensors or other instrumentation components from response time testing	Applicants or Licensees may remove brackets and adopt this provision by application of Specification 5.5.7, "Bases Control Program," after appropriate assessment and incorporation into the plant licensing basis of an NRC approved methodology evaluating sensor and instrumentation loop response time requirements. All implementation requirements of the NRC Safety Evaluation Report for the methodology must be addressed. This allowance is provided as a template for potential future assessments.
3.3.6.4-1	Allowance to exclude certain portions of the actuation circuitry from response time testing	Applicants or Licensees may remove brackets and adopt this provision by application of Specification 5.5.7, "Bases Control Program," after appropriate assessment and incorporation into the plant licensing basis of an NRC approved methodology evaluating sensor and instrumentation loop response time requirements. All implementation requirements of the NRC Safety Evaluation Report for the methodology must be addressed. This allowance is provided as a template for potential future assessments.
3.3.7.1-2	Control Room Habitability Area option for design features to protect occupant exposures to hazardous chemicals	Based on COL Information Item 6.4-2-A if the applicant determines that the maximum concentrations for the room intakes for a given chemical do not exceed the toxicity limits from RG 1.78 prior to 2 minutes, toxic gas monitoring instrumentation is not required and the bracketed phrases are deleted.
3.3.7.1-3	Allowance to exclude certain sensors or other instrumentation components from response time testing	Applicants or Licensees may remove brackets and adopt this provision by application of Specification 5.5.7, "Bases Control Program," after appropriate assessment and incorporation into the plant licensing basis of an NRC approved methodology evaluating sensor and instrumentation loop response time requirements. All implementation requirements of the NRC Safety Evaluation Report for the methodology must be addressed. This allowance is provided as a template for potential future assessments.

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Table 16.0-1-A (page 5 of 10) COL - Applicant Open Items

COL Item	Description	Reviewer's Note
3.3.7.2-1	Control Room Habitability Area option for design features to protect occupant exposures to hazardous chemicals	Based on COL Information Item 6.4-2-A if the applicant determines that the maximum concentrations for the room intakes for a given chemical do not exceed the toxicity limits from RG 1.78 prior to 2 minutes, toxic gas monitoring instrumentation is not required and the bracketed phrases are deleted.
3.3.7.2-2	Allowance to exclude certain portions of the actuation circuitry from response time testing	Applicants or Licensees may remove brackets and adopt this provision by application of Specification 5.5.7, "Bases Control Program," after appropriate assessment and incorporation into the plant licensing basis of an NRC approved methodology evaluating sensor and instrumentation loop response time requirements. All implementation requirements of the NRC Safety Evaluation Report for the methodology must be addressed. This allowance is provided as a template for potential future assessments.
3.4.4-1	Reference to Pressure Temperature Limits Report (PTLR)	Remove brackets if COL applicant determines that a PTLR will be incorporated. In lieu of a PTLR, COL applicants may insert their plant specific P/T curves as figures in TS 3.4.4 and replace bracketed references to the PTLR with references to the P/T Figures.
3.4.4-2	Temperature for applicability of verification that reactor vessel flange and head flange temperatures are within limits	Provide appropriate value in accordance with the plant specific pressure/temperature limits submitted by the COL applicant.
3.4.4-3	Topical report(s) providing the methodology for determining the P/T limits	Provide reference to P/T methodology topical used by the COL applicant to prepare the plant specific pressure / temperature limits.
3.7.2-1	Control Room Habitability Area option for design features to protect occupant exposures to hazardous chemicals	Based on COL Information Item 6.4-2-A if the applicant determines that the maximum concentrations for the room intakes for a given chemical do not exceed the toxicity limits from RG 1.78 prior to 2 minutes, toxic gas monitoring instrumentation is not required and the bracketed phrases are deleted.
3.7.4-1	Main Turbine Bypass System COLR-MCPR penalty option for TBV out of service	An MCPR penalty is optional based upon completion of the required analyses to demonstrate that, given the specific inoperabilities that can be postulated and the number of turbine bypass valves (TBVs) affected for each inoperability, sufficient margin exists to operate the unit with an MCPR penalty without exceeding the Fuel Cladding Integrity Safety Limit (FCISL) and the cladding 1% plastic strain limit during the licensing basis events requiring an acceptable operating MCPR limit as an initial condition. NRC approved analytical methods that evaluate the MCPR penalty must be included in TS 5.6.3, CORE OPERATING LIMITS REPORT (COLR), list of methods.

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Table 16.0-1-A (page 6 of 10) COL - Applicant Open Items

COL Item	Description	Reviewer's Note
3.7.4-2	Turbine Bypass Valve cycling frequency	For SR 3.7.4.1, a Frequency of 31 days shall be specified unless an evaluation is performed and approved by the NRC using sufficient industry, site-specific, or manufacturer's operating experience or reliability studies that justifies extension to a longer Frequency (e.g., 92 days), a Reference to the evaluation and NRC approval is added to these Bases, and a commitment is made to establish appropriate procedural controls governing valve operation that support the extended Frequency.
3.7.6-1	SCRRI/SRI COLR- MCPR penalty option for inoperable control rods	An MCPR penalty is optional based upon completion of the required analyses to demonstrate that, given the specific inoperabilities that can be postulated and the number of selected control rods affected for each inoperability, sufficient margin exists to operate the unit with an MCPR penalty without exceeding the Fuel Cladding Integrity Safety Limit (FCISL) and the cladding 1% plastic strain limit during the licensing basis events requiring an acceptable operating MCPR limit as an initial condition. NRC approved analytical methods that evaluate the MCPR penalty must be included in TS 5.6.3, COLR, list of methods.
3.8.1-1	Acceptance criteria for battery charger testing.	Provide acceptance criteria for battery charger testing consistent with battery size.
3.8.1-2	Deleted	Deleted
3.8.1-3	Deleted	Deleted
3.8.1-4	Battery Cell Parameters	Provide battery cell parameters consistent with manufacturer specifications.
3.8.1-5	Battery margin for aging factor and state of charge uncertainty.	Provide battery margin including aging factor and state of charge uncertainty.
3.8.3-1	Acceptance criteria for verification that battery is fully charged.	Provide acceptance criteria for verification that battery is fully charged consistent with battery manufacturer recommendations. Use of float current monitoring option requires that battery manufacturer confirm acceptability and acceptance criteria and that battery capacity includes margin for state of charge uncertainty
3.8.3-2	Deleted	Deleted

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Table 16.0-1-A (page 7 of 10) COL - Applicant Open Items

COL Item	Description	Reviewer's Note
3.8.3-3	Battery Cell Parameters	Provide battery cell parameters consistent with manufacturer specifications.
3.8.3-4	Battery margin for aging factor and state of charge uncertainty.	Provide battery margin including aging factor and state of charge uncertainty.
3.9.5-1	Minimum scram accumulator pressure	Provide minimum scram accumulator pressure that supports maximum scram time assumption.
4.1-1	Plant-specific description of site location.	The plant specific description of site location. The intent of the description is to convey the exclusion area as defined by 10 CFR Part 100. A Figure is an acceptable option.
5.2.2-1	Non-licensed operator manning requirements	Applicant to determine if unit will be on a multi-unit site. Two-unit sites with both units shutdown or defueled require a total of three non-licensed operators for the two units.
5.3.1-1	Unit staff qualifications requirements	Minimum qualifications for members of the unit staff shall be specified by use of an overall qualification statement referencing an ANSI Standard acceptable to the NRC staff or by specifying individual position qualifications. Generally, the first method is preferable; however, the second method is adaptable to those unit staffs requiring special qualification statements because of unique organizational structures.
5.4.1-1	Guidance documents for written procedures	Applicant to provide appropriate guidance documents for operating procedures
5.4.1-2	Guidance documents for emergency operating procedures	Applicant to provide appropriate guidance document for EOPs to implement the requirements of NUREG-0737 and to NUREG-0737, Supplement 1.
5.5.6-1	Outdoor Liquid Storage Tank Radioactivity Monitoring Program	Applicants incorporating unprotected outdoor liquid radioactive waste storage tanks in their design must incorporate the bracketed requirements and surveillance program for unprotected outdoor storage tanks.
5.5.9-1	Containment Leakage Rate Testing Program exceptions to Regulatory Guide 1.163	Applicants are to determine if additional exception(s) to Regulatory Guide 1.163 are applicable, and describe the additional exception(s).
5.5.10-1	Battery Cell Parameters	Provide battery cell parameters consistent with manufacturer specifications.

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Table 16.0-1-A (page 8 of 10) COL - Applicant Open Items

COL Item	Description	Reviewer's Note
5.5.11-1	Setpoint Control Program Methodology and Implementation	The referenced NRC approved setpoint methodology shall meet the following guidance, and shall be applicable to Technical Specification required automatic protection instrumentation function surveillances that require verification that setpoints (or channel outputs) are within the necessary range and accuracy (e.g., CHANNEL CALIBRATIONS):
		1. The methodology allows little variation in the values calculated by different analysts using identical input values (such as uncertainties and channel calibration drift)
		2. The as-left value of the instrument channel trip setting shall be the value at which the channel was set at the completion of the surveillance with no additional adjustment of the instrument channel.
		3. The as-found value of the instrument channel trip setting shall be the trip setting value measured during the subsequent performance of the surveillance before making any adjustment to the instrument channel that could change the trip setting value.
		4. If the requirements of 5.5.11.c.1 include an allowance for the as-found value to be compared with the specified Nominal Trip Setpoint (NTSP _F ,) the following conditions shall be applied:
		b. The setting tolerance band (i.e., the specified ALT) must be included in the total loop uncertainty; and
		c. The pre-defined test acceptance criteria band (i.e., the specified As-Found Tolerance [AFT]) for the as-found value must include either the setting tolerance band (the specified ALT) or the uncertainties associated with the setting tolerance band (the specified ALT), but not both of these.

Table 16.0-1-A (page 9 of 10) COL - Applicant Open Items

COL Item	Description	Reviewer's Note
5.5.12-1	Control Room Habitability Area (CRHA) Boundary Program requirements for hazardous chemical releases	The applicant will identify potential site-specific toxic or hazardous materials that may affect control room habitability in order to meet the requirements of TMI Action Plan III. D.3.4 and GDC 19.
5.5.13-1	Deleted	Deleted
5.6.1-1	Annual Radiological Environmental Operating Report allowance for multiple unit stations to submit a single report	Applicant to determine if allowance is applicable and remove brackets, or delete statement, as appropriate.
5.6.1-2	Annual Radiological Environmental Operating Report format	Applicants may remove brackets and adopt the format of Radiological Assessment Branch Technical Position, Revision 1, November 1979, or propose another format reference acceptable to the NRC
5.6.2-1	Radioactive Effluent Release Report allowance for multiple unit stations to submit a single report and requirements for multiple unit report contents	Applicant to determine if allowance is applicable and remove brackets, or delete statement, as appropriate.
5.6.3-1	CORE OPERATING LIMITS REPORT (COLR) reference to Specification 3.7.4, "Main Turbine Bypass System"	Applicant to determine if alternative LCO making LCO 3.2.2, "MINIMUM CRITICAL POWER RATIO (MCPR)," limits for an inoperable Turbine Bypass System, as specified in the Core Operating Limits Report (COLR) applicable will be incorporated. If incorporated, remove brackets.
5.6.3-2	CORE OPERATING LIMITS REPORT (COLR) additional guidance	Any additional individual specifications that address core operating limits must be referenced and the associated NRC approved methods used to determine the core operating limits must be provided in 5.6.3.b.

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Table 16.0-1-A (page 10 of 10) COL - Applicant Open Items

COL Item	Description	Reviewer's Note
COL Item 5.6.4-1	Reactor Coolant System (RCS) PRESSURE AND TEMPERATURE LIMITS REPORT (PTLR) listing of analytical methods used to determine the RCS pressure and temperature limits	Reviewer's Note Identify the Topical Report(s) by number and title or identify the NRC Safety Evaluation for a plant specific methodology by NRC letter and date. The PTLR will contain the complete identification for each of the TS referenced Topical Reports used to prepare the PTLR (i.e., report number, title, revision, date, and any supplements). The methodology for the calculation of the P/T limits for NRC approval should include the following provisions: 1. The methodology shall describe how the neutron fluence is calculated (reference new Regulatory Guide when issued). 2. The Reactor Vessel Material Surveillance Program shall comply with Appendix H to 10 CFR 50. The reactor vessel material irradiation surveillance specimen removal schedule shall be provided, along with how the specimen examinations shall be used to update the PTLR curves. 3. The adjusted reference temperature for each reactor beltline material shall be calculated, accounting for radiation embitterment, in accordance with Regulatory Guide 1.99,
		 Revision 2. 4. The limiting adjusted reference temperature shall be incorporated into the calculation of the pressure and temperature limit curves in accordance with NUREG-0800 Standard Review Plan 5.3.2, Pressure-Temperature Limits. 5. The minimum temperature requirements of Appendix G to 10 CFR Part 50 shall be incorporated into the pressure and temperature limit curves. In lieu of a PTLR, COL applicants may insert their plant specific P/T curves as figures in TS 3.4.4 and delete TS 5.6.4.

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Definitions 1 1

1.0 USE AND APPLICATION

1.1 Definitions

- NOTE -

The defined terms of this section appear in capitalized type and are applicable throughout these Technical Specifications and Bases.

Term Definition

ACTIONS ACTIONS shall be that part of a Specification that prescribes

Required Actions to be taken under designated Conditions

within specified Completion Times.

CHANNEL CALIBRATION A CHANNEL CALIBRATION shall be the adjustment, as

necessary, of the channel output such that it responds within the necessary range and accuracy to known values of the parameter that the channel monitors. The CHANNEL CALIBRATION shall encompass all devices in the channel required for channel OPERABILITY and the CHANNEL FUNCTIONAL TEST. Calibration of instrument channels with resistance temperature detector (RTD) or thermocouple sensors may consist of an in place qualitative assessment of sensor behavior and normal calibration of the remaining adjustable devices in the channel. The CHANNEL

CALIBRATION may be performed by means of any series of

sequential, overlapping, or total channel steps.

CHANNEL CHECK A CHANNEL CHECK shall be the qualitative assessment, by

observation, of channel behavior during operation. This determination shall include, where possible, comparison of the channel indication and status to other indications or status derived from independent instrument channels

measuring the same parameter.

CHANNEL FUNCTIONAL TEST A CHANNEL FUNCTIONAL TEST shall be the injection of a

simulated or actual signal into the channel as close to the sensor as practicable to verify OPERABILITY of all devices in the channel required for channel OPERABILITY. The

CHANNEL FUNCTIONAL TEST may be performed by means

of any series of sequential, overlapping, or total channel

steps.

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Definitions 1.1

1.1 Definitions

CONTROL ROOM
HABITABILITY AREA (CRHA)
HEATING, VENTILATION, AND
AIR CONDITIONING (HVAC)
SUBSYSTEM (CRHAVS)
RESPONSE TIME

The CRHAVS RESPONSE TIME shall be that time interval from when the monitored parameter exceeds its CRHAVS initiation setpoint at the channel sensor until the CRHAVS equipment is capable of performing its safety function (i.e., the dampers travel to their required positions, fans start, etc.). The response time may be measured by means of any series of sequential, overlapping, or total steps so that the entire response time is measured. In lieu of measurement, response time may be verified for selected components provided that the components and methodology for verification have been previously reviewed and approved by the NRC.

CORE ALTERATION

CORE ALTERATION shall be the movement of any fuel, sources, or reactivity control components, within the reactor vessel with the vessel head removed and fuel in the vessel. The following exceptions are not considered to be CORE ALTERATIONS:

- Movement of startup range neutron monitors, local power range monitors, fixed in-core calibration detectors, or special movable detectors (including undervessel replacement); and
- b. Control rod movement, provided there are no fuel assemblies in the associated core cell.

Suspension of CORE ALTERATIONS shall not preclude completion of movement of a component to a safe position.

CORE OPERATING LIMITS REPORT (COLR)

The COLR is the unit specific document that provides cycle specific parameter limits for the current reload cycle. These cycle specific limits shall be determined for each reload cycle in accordance with Specification 5.6.3. Plant operation within these limits is addressed in individual Specifications.

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Definitions 1.1

1.1 Definitions

DOSE EQUIVALENT I-131

DOSE EQUIVALENT I-131 shall be that concentration of I-131 (microcuries/gram) that alone would produce the same Committed Effective Dose Equivalent as the quantity and isotopic mixture of I-131, I-132, I-133, I-134, and I-135 actually present. The dose conversion factors used for this calculation shall be those listed in Federal Guidance Report 11, "Limiting Values of Radionuclide Intake and Air Concentration and Dose Conversion Factors for Inhalation, Submersion, and Ingestion," 1988.

SYSTEM (ECCS) RESPONSE TIME

EMERGENCY CORE COOLING The ECCS RESPONSE TIME shall be that time interval from when the monitored parameter exceeds its ECCS initiation setpoint at the channel sensor until the ECCS equipment is capable of performing its safety function (i.e., the valves travel to their required positions, etc.). The response time may be measured by means of any series of sequential, overlapping, or total steps so that the entire response time is measured. In lieu of measurement, response time may be verified for selected components provided that the components and methodology for verification have been previously reviewed and approved by the NRC.

ISOLATION CONDENSER SYSTEM (ICS) RESPONSE TIME

The ICS RESPONSE TIME shall be that time interval from when the monitored parameter exceeds its ICS initiation setpoint at the channel sensor until the ICS equipment is capable of performing its safety function (i.e., the valves travel to their required positions, etc.). The response time may be measured by means of any series of sequential, overlapping, or total steps so that the entire response time is measured. In lieu of measurement, response time may be verified for selected components provided that the components and methodology for verification have been previously reviewed and approved by the NRC.

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Definitions 1 1

1.1 Definitions

ISOLATION SYSTEM RESPONSE TIME

The ISOLATION SYSTEM RESPONSE TIME shall be that time interval from when the monitored parameter exceeds its isolation initiation setpoint at the channel sensor until the isolation valves travel to their required positions. The response time may be measured by means of any series of sequential, overlapping, or total steps so that the entire response time is measured. In lieu of measurement, response time may be verified for selected components provided that the components and methodology for verification have been previously reviewed and approved by the NRC.

LEAKAGE

LEAKAGE shall be:

a. Identified LEAKAGE

- LEAKAGE into the drywell such as that from pump seals or valve packing that is captured and conducted to a sump or collecting tank; or
- LEAKAGE into the drywell atmosphere from sources that are both specifically located and known either not to interfere with the operation of leakage detection systems or not to be pressure boundary LEAKAGE;

b. Unidentified LEAKAGE

All LEAKAGE into the drywell that is not identified LEAKAGE;

c. <u>Total LEAKAGE</u>

Sum of the identified and unidentified LEAKAGE; and

d. <u>Pressure Boundary LEAKAGE</u>

LEAKAGE through a nonisolable fault in a Reactor Coolant System (RCS) component body, pipe wall, or vessel wall.

LINEAR HEAT GENERATION RATE (LHGR)

The LHGR shall be the heat generation rate per unit length of fuel rod. It is the integral of the heat flux over the heat transfer area associated with the unit length.

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Definitions 1.1

1.1 Definitions

TEST

LOGIC SYSTEM FUNCTIONAL A LOGIC SYSTEM FUNCTIONAL TEST shall be a test of all logic components required for OPERABILITY of a logic circuit, from as close to the sensor as practicable up to, but not including, the actuated device, to verify OPERABILITY. The LOGIC SYSTEM FUNCTIONAL TEST may be performed by means of any series of sequential, overlapping, or total system steps so that the entire logic system is tested.

MINIMUM CRITICAL POWER RATIO (MCPR)

The MCPR shall be the smallest Critical Power Ratio (CPR) that exists in the core for each class of fuel. The CPR is that power in the assembly that is calculated by application of the appropriate correlation(s) to cause some point in the assembly to experience boiling transition, divided by the actual assembly operating power.

MODE

A MODE shall correspond to any one inclusive combination of mode switch position, average reactor coolant temperature, and reactor vessel head closure bolt tensioning specified in Table 1.1-1 with fuel in the reactor vessel.

OPERABLE — **OPERABILITY**

A system, subsystem, train, division, component, or device shall be OPERABLE or have OPERABILITY when it is capable of performing its specified safety function(s) and when all necessary attendant instrumentation, controls, normal or emergency electrical power, cooling and seal water, lubrication, and other auxiliary equipment that are required for the system, subsystem, train, division, component, or device to perform its specified safety function(s) are also capable of performing their related support function(s).

[PRESSURE AND TEMPERATURE LIMITS REPORT (PTLR)

COL 16.0-1-A 1.1-1

The PTLR is the unit specific document that provides the reactor vessel pressure and temperature limits, including heatup and cooldown rates, for the current reactor vessel fluence period. These pressure and temperature limits shall be determined for each fluence period in accordance with Specification 5.6.4.1

RATED THERMAL POWER (RTP)

RTP shall be a total reactor core heat transfer rate to the reactor coolant of 4500 MWt.

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Definitions 1 1

1.1 Definitions

REACTOR PROTECTION SYSTEM (RPS) RESPONSE TIME

The RPS RESPONSE TIME shall be that time interval from when the monitored parameter exceeds its RPS trip setpoint at the channel sensor until de-energization of the scram pilot valve solenoids. The response time may be measured by means of any series of sequential, overlapping, or total steps so that the entire response time is measured. In lieu of measurement, response time may be verified for selected components provided that the components and methodology for verification have been previously reviewed and approved by the NRC.

SHUTDOWN MARGIN (SDM)

SDM shall be the amount of reactivity by which the reactor is subcritical or would be subcritical assuming that:

- a. The reactor is xenon free;
- b. The moderator temperature is 20°C (68°F); and
- c. All control rods are fully inserted except for the control rod or control rod pair of highest reactivity worth, which is assumed to be fully withdrawn. With control rods not capable of being fully inserted, the reactivity worth of these control rods must be accounted for in the determination of SDM.

STAGGERED TEST BASIS

A STAGGERED TEST BASIS shall consist of the testing of one of the systems, subsystems, channels, or other designated components during the interval specified by the Surveillance Frequency, so that all systems, subsystems, channels, or other designated components are tested during *n* Surveillance Frequency intervals, where *n* is the total number of systems, subsystems, channels, or other designated components in the associated function.

THERMAL POWER

THERMAL POWER shall be the total reactor core heat transfer rate to the reactor coolant.

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Definitions 1.1

1.1 Definitions

TURBINE BYPASS SYSTEM RESPONSE TIME

The TURBINE BYPASS SYSTEM RESPONSE TIME consists of two components:

- a. The time from initial movement of the main turbine stop valve or control valve until 80% of the turbine bypass capacity is established; and
- b. The time from initial movement of the main turbine stop valve or control valve until initial movement of the turbine bypass valve.

The response time may be measured by means of any series of sequential, overlapping, or total steps such that the entire response time is measured.

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Definitions 1.1

1.1 Definitions

Table 1.1-1 (page 1 of 1) MODES

MODE	TITLE	REACTOR MODE SWITCH POSITION	AVERAGE REACTOR COOLANT TEMPERATURE °C (°F)
1	Power Operation	Run	NA
2	Startup	Refuel ^(a) or Startup	NA
3	Hot Shutdown ^(a)	Shutdown	> 215.6 (420)
4	Stable Shutdown ^(a)	Shutdown	≤ 215.6 (420) and > 93.3 (200)
5	Cold Shutdown ^(a)	Shutdown	≤ 93.3 (200)
6	Refueling ^(b)	Shutdown or Refuel	NA

⁽a) All reactor vessel head closure bolts fully tensioned.

⁽b) One or more reactor vessel head closure bolts less than fully tensioned.

Logical Connectors 1.2

1.0 USE AND APPLICATION

1.2 Logical Connectors

PURPOSE

The purpose of this section is to explain the meaning of logical connectors.

Logical connectors are used in Technical Specifications (TS) to discriminate between, and yet connect, discrete Conditions, Required Actions, Completion Times, Surveillances and Frequencies. The only logical connectors that appear in TS are <u>AND</u> and <u>OR</u>. The physical arrangement of these connectors constitutes logical conventions with specific meanings.

BACKGROUND

Several levels of logic may be used to state Required Actions. These levels are identified by the placement (or nesting) of the logical connectors and by the number assigned to each Required Action. The first level of logic is identified by the first digit of the number assigned to a Required Action and the placement of the logical connector in the first level of nesting (i.e., left justified with the number of the Required Action). The successive levels of logic are identified by additional digits of the Required Action number and by successive indentions of the logical connectors.

When logical connectors are used to state a Condition, Completion Time, Surveillance, or Frequency, only the first level of logic is used, and the logical connector is left justified with the statement of the Condition, Completion Time, Surveillance or Frequency.

EXAMPLES

The following examples illustrate the use of logical connectors.

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Logical Connectors 1.2

1.2 Logical Connectors

EXAMPLES (continued)

EXAMPLE 1.2-1

ACTIONS

CONDITION		REQUIRED ACTION	COMPLETION TIME
A. LCO not met.		A.1 Verify	
		AND	
		A.2 Restore	

In this example, the logical connector <u>AND</u> is used to indicate that, when in Condition A, both Required Actions A.1 and A.2 must be completed.

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Logical Connectors 1.2

1.2 Logical Connectors

EXAMPLES (continued)

EXAMPLE 1.2-2

ACTIONS

CONDITION	REQUIRED ACTION	COMPLETION TIME
A. LCO not met.	A.1 Trip	
	<u>OR</u>	
	A.2.1 Verify	
	AND	
	A.2.2.1 Reduce	
	<u>OR</u>	
	A.2.2.2 Perform	
	<u>OR</u>	
	A.3 Align	

This example represents a more complicated use of logical connectors. Required Actions A.1, A.2, and A.3 are alternative choices, only one of which must be performed as indicated by the use of the logical connector <u>OR</u> and the left justified placement. Any one of these three Actions may be chosen. If A.2 is chosen, then both A.2.1 and A.2.2 must be performed as indicated by the logical connector <u>AND</u>. Required Action A.2.2 is met by performing A.2.2.1 or A.2.2.2. The indented position of the logical connector <u>OR</u> indicates that A.2.2.1 and A.2.2.2 are alternative choices, only one of which must be performed.

1.0 USE AND APPLICATION

1.3 Completion Times

PURPOSE

The purpose of this section is to establish the Completion Time convention and to provide guidance for its use.

BACKGROUND

Limiting Conditions for Operation (LCOs) specify minimum requirements for ensuring safe operation of the unit. The ACTIONS associated with an LCO state Conditions that typically describe the ways in which the requirements of the LCO can fail to be met. Specified with each stated Condition are Required Action(s) and Completion Time(s).

DESCRIPTION

The Completion Time is the amount of time allowed for completing a Required Action. It is referenced to the time of discovery of a situation (e.g., inoperable equipment or variable not within limits) that requires entering an ACTIONS Condition unless otherwise specified, providing the unit is in a MODE or specified condition stated in the Applicability of the LCO. Required Actions must be completed prior to the expiration of the specified Completion Time. An ACTIONS Condition remains in effect and the Required Actions apply until the Condition no longer exists or the unit is not within the LCO Applicability.

If situations are discovered that require entry into more than one Condition at a time within a single LCO (multiple Conditions), the Required Actions for each Condition must be performed within the associated Completion Time. When in multiple Conditions, separate Completion Times are tracked for each Condition starting from the time of discovery of the situation that required entry into the Condition.

Once a Condition has been entered, subsequent trains, divisions, subsystems, components, or variables expressed in the Condition, discovered to be inoperable or not within limits, will <u>not</u> result in separate entry into the Condition, unless specifically stated. The Required Actions of the Condition continue to apply to each additional failure, with Completion Times based on initial entry into the Condition.

However, when a <u>subsequent</u> train, division, subsystem, component, or variable expressed in the Condition is discovered to be inoperable or not within limits, the Completion Time(s) may be extended. To apply this Completion Time extension, two criteria must first be met. The subsequent inoperability:

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1.3

1.3 Completion Times

DESCRIPTION (continued)

- a. Must exist concurrent with the first inoperability; and
- b. Must remain inoperable or not within limits after the first inoperability is resolved.

The total Completion Time allowed for completing a Required Action to address the subsequent inoperability shall be limited to the more restrictive of either:

- a. The stated Completion Time, as measured from the initial entry into the Condition, plus an additional 24 hours; or
- b. The stated Completion Time as measured from discovery of the subsequent inoperability.

The above Completion Time extensions do not apply to those Specifications that have exceptions that allow completely separate reentry into the Condition (for each train, division, subsystem, component, or variable expressed in the Condition) and separate tracking of Completion Times based on this re-entry. These exceptions are stated in individual Specifications.

The above Completion Time extension does not apply to a Completion Time with a modified "time zero." This modified "time zero" may be expressed as a repetitive time (i.e., "once per 8 hours," where the Completion Time is referenced from a previous completion of the Required Action versus the time of Condition entry) or as a time modified by the phrase "from discovery . . ."

EXAMPLES

The following examples illustrate the use of Completion Times with different types of Conditions and changing Conditions.

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1.3 Completion Times

EXAMPLES (continued)

EXAMPLE 1.3-1

ACTIONS

	CONDITION	REQUIRED ACTION	COMPLETION TIME
В.	Required Action and associated Completion Time not met.	B.1 Be in MODE 3. AND B.2 Be in MODE 5.	12 hours 36 hours

Condition B has two Required Actions. Each Required Action has its own separate Completion Time. Each Completion Time is referenced to the time that Condition B is entered.

The Required Actions of Condition B are to be in MODE 3 within 12 hours AND in MODE 5 within 36 hours. A total of 12 hours is allowed for reaching MODE 3 and a total of 36 hours (not 48 hours) is allowed for reaching MODE 5 from the time that Condition B was entered. If MODE 3 is reached within 6 hours, the time allowed for reaching MODE 5 is the next 30 hours because the total time allowed for reaching MODE 5 is 36 hours.

If Condition B is entered while in MODE 3, the time allowed for reaching MODE 5 is the next 36 hours.

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1.3 Completion Times

EXAMPLES (continued)

EXAMPLE 1.3-2

ACTIONS

CONDITION		REQUIRED ACTION	COMPLETION TIME
A.	One valve inoperable.	A.1 Restore valve to OPERABLE status.	7 days
B.	Required Action and associated Completion Time not met.	B.1 Be in MODE 3. AND	12 hours
		B.2 Be in MODE 5.	36 hours

When a valve is declared inoperable, Condition A is entered. If the valve is not restored to OPERABLE status within 7 days, Condition B is also entered and the Completion Time clocks for Required Actions B.1 and B.2 start. If the inoperable valve is restored to OPERABLE status after Condition B is entered, Conditions A and B are exited, and therefore, the Required Actions of Condition B may be terminated.

When a second valve is declared inoperable while the first valve is still inoperable, Condition A is not re-entered for the second valve. LCO 3.0.3 is entered, since the ACTIONS do not include a Condition for more than one inoperable valve. The Completion Time clock for Condition A does not stop after LCO 3.0.3 is entered, but continues to be tracked from the time Condition A was initially entered.

While in LCO 3.0.3, if one of the inoperable valves is restored to OPERABLE status and the Completion Time for Condition A has not expired, LCO 3.0.3 may be exited and operation continued in accordance with Condition A.

While in LCO 3.0.3, if one of the inoperable valves is restored to OPERABLE status and the Completion Time for Condition A has expired, LCO 3.0.3 may be exited and operation continued in accordance

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1.3 Completion Times

EXAMPLES (continued)

with Condition B. The Completion Time for Condition B is tracked from the time the Condition A Completion Time expired.

On restoring one of the valves to OPERABLE status, the Condition A Completion Time is not reset, but continues from the time the first valve was declared inoperable. This Completion Time may be extended if the valve restored to OPERABLE status was the first inoperable valve. A 24 hour extension to the stated 7 days is allowed, provided this does not result in the second valve being inoperable for > 7 days.

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1.3 Completion Times

EXAMPLES (continued)

EXAMPLE 1.3-3

ACTIONS

	CONDITION		REQUIRED ACTION	COMPLETION TIME
Α.	One Function X subsystem inoperable.	A.1	Restore Function X subsystem to OPERABLE status.	7 days
B.	One Function Y subsystem inoperable.	B.1	Restore Function Y subsystem to OPERABLE status.	72 hours
C.	One Function X subsystem inoperable.	C.1	Restore Function X subsystem to OPERABLE status.	72 hours
	<u>AND</u>	<u>OR</u>		
	One Function Y subsystem inoperable.	C.2	Restore Function Y subsystem to OPERABLE status.	72 hours

When one Function X subsystem and one Function Y subsystem are inoperable, Condition A and Condition B are concurrently applicable. The Completion Times for Condition A and Condition B are tracked separately for each subsystem starting from the time each subsystem was declared inoperable and the Condition was entered. A separate Completion Time is established for Condition C and tracked from the time the second subsystem was declared inoperable (i.e., the time the situation described in Condition C was discovered).

If Required Action C.2 is completed within the specified Completion Time, Conditions B and C are exited. If the Completion Time for Required Action A.1 has not expired, operation may continue in accordance with Condition A. The remaining Completion Time in Condition A is measured from the time the affected subsystem was declared inoperable (i.e., initial entry into Condition A).

It is possible to alternate between Conditions A, B, and C in such a manner that operation could continue indefinitely without ever restoring

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1.3

1.3 Completion Times

EXAMPLES (continued)

systems to meet the LCO. However, doing so would be inconsistent with the basis of the Completion Times. Therefore, there shall be administrative controls to limit the maximum time allowed for any combination of Conditions that result in a single contiguous occurrence of failing to meet the LCO. These administrative controls shall ensure that the Completion Times for those Conditions are not inappropriately extended.

EXAMPLE 1.3-4

ACTIONS

CONDITION		REQUIRED ACTION	COMPLETION TIME
A.	One or more valves inoperable.	A.1 Restore valve(s) to OPERABLE status.	4 hours
B.	Required Action and associated Completion Time not met.	B.1 Be in MODE 3. AND B.2 Be in MODE 5.	12 hours 36 hours

A single Completion Time is used for any number of valves inoperable at the same time. The Completion Time associated with Condition A is based on the initial entry into Condition A and is not tracked on a per valve basis. Declaring subsequent valves inoperable, while Condition A is still in effect, does not trigger the tracking of separate Completion Times.

Once one of the valves has been restored to OPERABLE status, the Condition A Completion Time is not reset, but continues from the time the first valve was declared inoperable. The Completion Time may be extended if the valve restored to OPERABLE status was the first inoperable valve. The Condition A Completion Time may be extended for up to 4 hours provided this does not result in any subsequent valve being inoperable for > 4 hours.

If the Completion Time of 4 hours (plus the extension) expires while one or more valves are still inoperable, Condition B is entered.

1.3 Completion Times

EXAMPLES (continued)

EXAMPLE 1.3-5

ACTIONS

- NOTE -

Separate Condition entry is allowed for each inoperable valve.

CONDITION		REQUIRED ACTION	COMPLETION TIME
A.	One or more valves inoperable.	A.1 Restore valves to OPERABLE status.	4 hours
B.	Required Action and associated Completion Time not met.	B.1 Be in MODE 3. AND B.2 Be in MODE 5.	12 hours 36 hours
		D.Z De III WODE 3.	30 110013

The Note above the ACTIONS Table is a method of modifying how the Completion Time is tracked. If this method of modifying how the Completion Time is tracked was applicable only to a specific Condition, the Note would appear in that Condition rather than at the top of the ACTIONS Table.

The Note allows Condition A to be entered separately for each inoperable valve, and Completion Times tracked on a per valve basis. When a valve is declared inoperable, Condition A is entered and its Completion Time starts. If subsequent valves are declared inoperable, Condition A is entered for each valve and separate Completion Times start and are tracked for each valve.

If the Completion Time associated with a valve in Condition A expires, Condition B is entered for that valve. If the Completion Times associated with subsequent valves in Condition A expire, Condition B is entered separately for each valve and separate Completion Times start and are tracked for each valve. If a valve that caused entry into Condition B is restored to OPERABLE status, Condition B is exited for that valve.

Since the Note in this example allows multiple Condition entry and tracking of separate Completion Times, Completion Time extensions do not apply.

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1.3 Completion Times

EXAMPLES (continued)

EXAMPLE 1.3-6

ACTIONS

CONDITION		REQUIRED ACTION	COMPLETION TIME
A.	One channel inoperable.	A.1 Perform SR 3.x.x.x. OR	Once per 8 hours
		A.2 Reduce THERMAL POWER to ≤ 50% RTP.	8 hours
В.	Required Action and associated Completion Time not met.	B.1 Be in MODE 3.	12 hours

Entry into Condition A offers a choice between Required Action A.1 or A.2. Required Action A.1 has a "once per" Completion Time, which qualifies for the 25% extension, per SR 3.0.2, to each performance after the initial performance. The initial 8 hour interval of Required Action A.1 begins when Condition A is entered and the initial performance of Required Action A.1 must be complete within the first 8 hour interval. If Required Action A.1 is followed and the Required Action is not met within the Completion Time (plus the extension allowed by SR 3.0.2), Condition B is entered. If Required Action A.2 is followed and the Completion Time of 8 hours is not met, Condition B is entered.

If after entry into Condition B, Required Action A.1 or A.2 is met, Condition B is exited and operation may then continue in Condition A.

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Completion Times 1.3

1.3 Completion Times

EXAMPLES (continued)

EXAMPLE 1.3-7

ACTIONS

	CONDITION	REQUIRED ACTION	COMPLETION TIME
=		A.1 Verify affected	1 hour
	inoperable.	subsystem isolated.	AND
			Once per 8 hours thereafter
		<u>AND</u>	
		A.2 Restore subsystem to OPERABLE status.	72 hours
В.	•	B.1 Be in MODE 3.	12 hours
		AND	
	Time not met.	B.2 Be in MODE 5.	36 hours

Required Action A.1 has two Completion Times. The 1 hour Completion Time begins at the time the Condition is entered and each "Once per 8 hours thereafter" interval begins upon performance of Required Action A.1.

If after Condition A is entered, Required Action A.1 is not met within either the initial 1 hour or any subsequent 8 hour interval from the previous performance (plus the extension allowed by SR 3.0.2), Condition B is entered. The Completion Time clock for Condition A does not stop after Condition B is entered, but continues from the time Condition A was initially entered. If Required Action A.1 is met after Condition B is entered, Condition B is exited and operation may continue in accordance with Condition A, provided the Completion Time for Required Action A.2 has not expired.

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Completion Times 1.3

1.3 Completion Times

IMMEDIATE When "Immediately" is used as a Completion Time, the Required Action COMPLETION TIME should be pursued without delay and in a controlled manner.

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1.0 USE AND APPLICATION

1.4 Frequency

PURPOSE

The purpose of this section is to define the proper use and application of Frequency requirements.

DESCRIPTION

Each Surveillance Requirement (SR) has a specified Frequency in which the Surveillance must be met in order to meet the associated LCO. An understanding of the correct application of the specified Frequency is necessary for compliance with the SR.

The "specified Frequency" is referred to throughout this section and each of the Specifications of Section 3.0.2, Surveillance Requirement (SR) Applicability. The "specified Frequency" consists of the requirements of the Frequency column of each SR as well as certain Notes in the Surveillance column that modify performance requirements.

Sometimes special situations dictate when the requirements of a Surveillance are to be met. They are "otherwise stated" conditions allowed by SR 3.0.1. They may be stated as clarifying Notes in the Surveillance, as part of the Surveillance, or both.

Situations where a Surveillance could be required (i.e., its Frequency could expire), but where it is not possible or not desired that it be performed until sometime after the associated LCO is within its Applicability, represent potential SR 3.0.4 conflicts. To avoid these conflicts, the SR (i.e., the Surveillance or the Frequency) is stated such that it is only "required" when it can be and should be performed. With an SR satisfied, SR 3.0.4 imposes no restriction.

The use of "met" or "performed" in these instances conveys specific meanings. A Surveillance is "met" only when the acceptance criteria are satisfied. Known failure of the requirements of a Surveillance, even without a Surveillance specifically being "performed," constitutes a Surveillance not "met." "Performance" refers only to the requirement to specifically determine the ability to meet the acceptance criteria.

Some Surveillances contain notes that modify the Frequency of performance or the conditions during which the acceptance criteria must be satisfied. For these Surveillances, the MODE-entry restrictions of SR 3.0.4 may not apply. Such a Surveillance is not required to be performed prior to entering a MODE or other specified condition in the Applicability of the associated LCO if any of the following three conditions are satisfied:

1.4 Frequency

DESCRIPTION (continued)

- a. The Surveillance is not required to be met in the MODE or other specified condition to be entered; or
- The Surveillance is required to be met in the MODE or other specified condition to be entered, but has been performed within the specified Frequency (i.e., it is current) and is known not to be failed; or
- c. The Surveillance is required to be met, but not performed, in the MODE or other specified condition to be entered, and is known not to be failed.

Examples 1.4-3, 1.4-4, 1.4-5, and 1.4-6 discuss these special situations.

EXAMPLES

The following examples illustrate the various ways that Frequencies are specified. In these examples, the Applicability of the LCO (LCO not shown) is MODES 1, 2, 3, and 4.

EXAMPLE 1.4-1

SURVEILLANCE REQUIREMENTS

SURVEILLANCE	FREQUENCY
Perform CHANNEL CHECK.	12 hours

Example 1.4-1 contains the type of SR most often encountered in the Technical Specifications (TS). The Frequency specifies an interval (12 hours) during which the associated Surveillance must be performed at least one time. Performance of the Surveillance initiates the subsequent interval. Although the Frequency is stated as 12 hours, an extension of the time interval to 1.25 times the interval specified in the Frequency is allowed by SR 3.0.2 for operational flexibility. The measurement of this interval continues at all times, even when the SR is not required to be met per SR 3.0.1 (such as when the equipment is inoperable, a variable is outside specified limits, or the unit is outside the Applicability of the LCO). If the interval specified by SR 3.0.2 is exceeded while the unit is in a MODE or other specified condition in the Applicability of the LCO, and the performance of the Surveillance is not otherwise modified (refer to Examples 1.4-3 and 1.4-4), then SR 3.0.3 becomes applicable.

1.4 Frequency

EXAMPLES (continued)

If the interval as specified by SR 3.0.2 is exceeded while the unit is not in a MODE or other specified condition in the Applicability of the LCO for which performance of the SR is required, then SR 3.0.4 becomes applicable. The Surveillance must be performed within the Frequency requirements of SR 3.0.2, as modified by SR 3.0.3, prior to entry into the MODE or other specified condition or the LCO is considered not met (in accordance with SR 3.0.1) and LCO 3.0.4 becomes applicable.

EXAMPLE 1.4-2

SURVEILLANCE REQUIREMENTS

SURVEILLANCE	FREQUENCY
Verify flow is within limits.	Once within 12 hours after ≥ 25% RTP AND
	24 hours thereafter

Example 1.4-2 has two Frequencies. The first is a one-time performance Frequency, and the second is of the type shown in Example 1.4-1. The logical connector "AND" indicates that both Frequency requirements must be met. Each time reactor power is increased from a power level < 25% RTP to \geq 25% RTP, the Surveillance must be performed within 12 hours.

The use of "once" indicates a single performance will satisfy the specified Frequency (assuming no other Frequencies are connected by "AND"). This type of Frequency does not qualify for the 25% extension allowed by SR 3.0.2. "Thereafter" indicates future performances must be established per SR 3.0.2, but only after a specified condition is first met (i.e., the "once" performance in this example). If reactor power decreases to < 25% RTP, the measurement of both intervals stops. New intervals start upon reactor power reaching 25% RTP.

1.4 Frequency

EXAMPLES (continued)

EXAMPLE 1.4-3

SURVEILLANCE REQUIREMENTS

SURVEILLANCE	FREQUENCY
Perform channel adjustment.	7 days

The interval continues, whether or not the unit operation is < 25% RTP between performances.

As the Note modifies the required <u>performance</u> of the Surveillance, it is construed to be part of the "specified Frequency." Should the 7 day interval be exceeded while operation is < 25% RTP, this Note allows 12 hours after power reaches \geq 25% RTP to perform the Surveillance. The Surveillance is still considered to be within the "specified Frequency." Therefore, if the Surveillance were not performed within the 7 day interval (plus the extension allowed by SR 3.0.2), but operation was < 25% RTP, it would not constitute a failure of the SR or failure to meet the LCO. Also, no violation of SR 3.0.4 occurs when changing MODES, even with the 7 day Frequency not met, provided operation does not exceed 12 hours with power \geq 25% RTP.

Once the unit reaches 25% RTP, 12 hours would be allowed for completing the Surveillance. If the Surveillance were not performed within this 12 hour interval, there would then be a failure to perform a Surveillance within the specified Frequency, and the provisions of SR 3.0.3 would apply.

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1.4 Frequency

EXAMPLES (continued)

EXAMPLE 1.4-4

SURVEILLANCE REQUIREMENTS

SURVEILLANCE	FREQUENCY
Verify leakage rates are within limits.	24 hours

Example 1.4-4 specifies that the requirements of this Surveillance do not have to be met until the unit is in MODE 1. The interval measurement for the Frequency of this Surveillance continues at all times, as described in Example 1.4-1. However, the Note constitutes an "otherwise stated" exception to the Applicability of this Surveillance. Therefore, if the Surveillance were not performed within the 24 hour interval (plus the extension allowed by SR 3.0.2), but the unit was not in MODE 1, there would be no failure of the SR nor failure to meet the LCO. Therefore, no violation of SR 3.0.4 occurs when changing MODES, even with the 24 hour Frequency exceeded, provided the MODE change was not made into MODE 1. Prior to entering MODE 1 (assuming again that the 24 hour Frequency were not met), SR 3.0.4 would require satisfying the SR.

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1.4 Frequency

EXAMPLES (continued)

EXAMPLE 1.4-5

SURVEILLANCE REQUIREMENTS

SURVEILLANCE	FREQUENCY
- NOTE - Only required to be performed in MODE 1.	
Perform complete cycle of the valve.	7 days

The interval continues, whether or not the unit operation is in MODE 1, 2, 3, or 4 (the assumed Applicability of the associated LCO) between performances.

As the Note modifies the required <u>performance</u> of the Surveillance, the Note is construed to be part of the "specified Frequency." Should the 7 day interval be exceeded while operation is not in MODE 1, this Note allows entry into and operation in MODES 2 and 3 to perform the Surveillance. The Surveillance is still considered to be performed within the "specified Frequency" if completed prior to entering MODE 1. Therefore, if the Surveillance were not performed within the 7 day interval (plus the extension allowed by SR 3.0.2), but operation was not in MODE 1, it would not constitute a failure of the SR or failure to meet the LCO. Also, no violation of SR 3.0.4 occurs when changing MODES, even with the 7 day Frequency not met, provided operation does not result in entry into MODE 1.

Once the unit reaches MODE 1, the requirement for the Surveillance to be performed within its specified Frequency applies and would require that the Surveillance had been performed. If the Surveillance were not performed prior to entering MODE 1, there would then be a failure to perform a Surveillance within the specified Frequency, and the provisions of SR 3.0.3 would apply.

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1.4 Frequency

EXAMPLES (continued)

EXAMPLE 1.4-6

SURVEILLANCE REQUIREMENTS

SURVEILLANCE	FREQUENCY
- NOTE - Not required to be met in MODES 3 and 4.	
Verify parameter is within limits.	24 hours

Example 1.4-6 specifies that the requirements of this Surveillance do not have to be met while the unit is in MODE 3 or 4 (the assumed Applicability of the associated LCO is MODES 1, 2, 3, and 4). The interval measurement for the Frequency of this Surveillance continues at all times, as described in Example 1.4-1. However, the Note constitutes an "otherwise stated" exception to the Applicability of this Surveillance. Therefore, if the Surveillance were not performed within the 24 hour interval (plus the extension allowed by SR 3.0.2), and the unit was in MODES 3 and 4, there would be no failure of the SR nor failure to meet the LCO. Therefore, no violation of SR 3.0.4 occurs when changing MODES to enter MODES 3 or 4, even with the 24 hour Frequency exceeded, provided the MODE change does not result in entry into MODE 2. Prior to entering MODE 2 (assuming again that the 24 hour Frequency were not met), SR 3.0.4 would require satisfying the SR.

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SLs 2.0

2.0 SAFETY LIMITS (SLs)

2.1 SLs

2.1.1 Reactor Core SLs

2.1.1.1 With the reactor steam dome pressure < 5.412 MPaG (785 psig):

THERMAL POWER shall be ≤ 25% RTP.

2.1.1.2 With the reactor steam dome pressure ≥ 5.412 MPaG (785 psig):

Greater than 99.9% of the fuel rods in the core would be expected to avoid boiling transition.

All MCPRs shall be greater than or equal to 1.18 during steady-state operation.

- 2.1.1.3 Reactor vessel water level shall be greater than the top of active irradiated fuel.
- 2.1.2 Reactor Coolant System Pressure SL

Reactor vessel bottom pressure shall be ≤ 9.481 MPaG (1375 psig).

2.2 SL VIOLATIONS

With any SL violation, the following actions shall be completed within 2 hours:

- 2.2.1 Restore compliance with all SLs; and
- 2.2.2 Insert all insertable control rods.

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LCO Applicability 3.0

3.0 LIMITING CONDITION FOR OPERATION (LCO) APPLICABILITY

LCO 3.0.1 LCOs shall be met during the MODES or other specified conditions in the Applicability, except as provided in LCO 3.0.2 and LCO 3.0.7.

LCO 3.0.2

Upon discovery of a failure to meet an LCO, the Required Actions of the associated Conditions shall be met, except as provided in LCO 3.0.5 and LCO 3.0.6.

If the LCO is met or is no longer applicable prior to expiration of the specified Completion Time(s), completion of the Required Action(s) is not required, unless otherwise stated.

LCO 3.0.3

When an LCO is not met and the associated ACTIONS are not met, an associated ACTION is not provided, or if directed by the associated ACTIONS, the unit shall be placed in a MODE or other specified condition in which the LCO is not applicable. Action shall be initiated within 1 hour to place the unit, as applicable, in:

- a. MODE 2 within 7 hours;
- b. MODE 3 within 13 hours;
- c. MODE 4 within 25 hours; and
- d. MODE 5 within 37 hours.

Exceptions to this Specification are stated in the individual Specifications.

Where corrective measures are completed that permit operation in accordance with the LCO or ACTIONS, completion of the actions required by LCO 3.0.3 is not required.

LCO 3.0.3 is only applicable in MODES 1, 2, 3, and 4.

LCO 3.0.4

When an LCO is not met, entry into a MODE or other specified condition in the Applicability shall only be made:

 a. When the associated ACTIONS to be entered permit continued operation in the MODE or other specified condition in the Applicability for an unlimited period of time;

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LCO Applicability 3.0

LCO Applicability

LCO 3.0.4 (continued)

- After performance of a risk assessment addressing inoperable systems and components, consideration of the results, determination of the acceptability of entering the MODE or other specified condition in the Applicability, and establishment of risk management actions, if appropriate; exceptions to this Specification are stated in the individual Specifications; or
- c. When an allowance is stated in the individual value, parameter, or other Specification.

This Specification shall not prevent changes in MODES or other specified conditions in the Applicability that are required to comply with ACTIONS or that are part of a shutdown of the unit.

LCO 3.0.5

Equipment removed from service or declared inoperable to comply with ACTIONS may be returned to service under administrative control solely to perform testing required to demonstrate its OPERABILITY or the OPERABILITY of other equipment. This is an exception to LCO 3.0.2 for the system returned to service under administrative control to perform the testing required to demonstrate OPERABILITY.

LCO 3.0.6

When a supported system LCO is not met solely due to a support system LCO not being met, the Conditions and Required Actions associated with this supported system are not required to be entered. Only the support system LCO ACTIONS are required to be entered. This is an exception to LCO 3.0.2 for the supported system. In this event, an evaluation shall be performed in accordance with Specification 5.5.8, "Safety Function Determination Program (SFDP)." If a loss of safety function is determined to exist by this program, the appropriate Conditions and Required Actions of the LCO in which the loss of safety function exists are required to be entered.

When a support system's Required Action directs a supported system to be declared inoperable or directs entry in Conditions and Required Actions for a supported system, the applicable Conditions and Required Actions shall be entered in accordance with LCO 3.0.2.

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LCO Applicability 3.0

LCO Applicability

LCO 3.0.7

Special Operations LCOs in Section 3.10 allow specified Technical Specifications (TS) requirements to be changed to permit performance of special tests and operations. Unless otherwise specified, all other TS requirements remain unchanged. Compliance with Special Operations LCOs is optional. When a Special Operations LCO is desired to be met but is not met, the ACTIONS of the Special Operations LCO shall be met. When a Special Operations LCO is not desired to be met, entry into a MODE or other specified condition in the Applicability shall only be made in accordance with the other applicable Specifications.

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SR Applicability 3.0

3.0 SURVEILLANCE REQUIREMENT (SR) APPLICABILITY

SR 3.0.1

SRs shall be met during the MODES or other specified conditions in the Applicability for individual LCOs, unless otherwise stated in the SR. Failure to meet a Surveillance, whether such failure is experienced during the performance of the Surveillance or between performances of the Surveillance, shall be failure to meet the LCO. Failure to perform a Surveillance within the specified Frequency shall be failure to meet the LCO except as provided in SR 3.0.3. Surveillances do not have to be performed on inoperable equipment or variables outside specified limits.

SR 3.0.2

The specified Frequency for each SR is met if the Surveillance is performed within 1.25 times the interval specified in the Frequency, as measured from the previous performance or as measured from the time a specified condition of the Frequency is met.

For Frequencies specified as "once," the above interval extension does not apply.

If a Completion Time requires periodic performance on a "once per . . ." basis, the above Frequency extension applies to each performance after the initial performance.

Exceptions to this Specification are stated in the individual Specifications.

SR 3.0.3

If it is discovered that a Surveillance was not performed within its specified Frequency, then compliance with the requirement to declare the LCO not met may be delayed, from the time of discovery, up to 24 hours or up to the limit of the specified Frequency, whichever is greater. This delay period is permitted to allow performance of the Surveillance. A risk evaluation shall be performed for any Surveillance delayed greater than 24 hours and the risk impact shall be managed.

If the Surveillance is not performed within the delay period, the LCO must immediately be declared not met, and the applicable Condition(s) must be entered.

When the Surveillance is performed within the delay period and the Surveillance is not met, the LCO must immediately be declared not met, and the applicable Conditions must be entered.

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SR Applicability 3.0

SR Applicability

SR 3.0.4

Entry into a MODE or other specified condition in the Applicability of an LCO shall only be made when the LCO's Surveillances have been met within their Specified Frequency, except as provided by SR 3.0.3. When an LCO is not met due to Surveillances not having been met, entry into a MODE or other specified condition in the Applicability shall only be made in accordance with LCO 3.0.4.

This provision shall not prevent entry into MODES or other specified conditions in the Applicability that are required to comply with ACTIONS or that are part of a shutdown of the unit.

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3.1 REACTIVITY CONTROL SYSTEMS

3.1.1 SHUTDOWN MARGIN (SDM)

LCO 3.1.1 SDM shall be:

- a. $\geq 0.38\% \Delta k/k$, with the highest worth control rod or rod pair analytically determined; or
- b. \geq 0.28% Δ k/k, with the highest worth control rod or rod pair determined by test.

APPLICABILITY: MODES 1, 2, 3, 4, 5, and 6.

ACTIONS

CONDITION	REQUIRED ACTION	COMPLETION TIME
A. SDM not within limits in MODE 1 or 2.	A.1 Restore SDM to within limits.	6 hours
B. Required Action and associated Completion Time of Condition A not met.	B.1 Be in MODE 3.	12 hours
C. SDM not within limits in MODE 3 or 4.	C.1 Initiate action to fully insert all insertable control rods.	Immediately

00110171011		DECLUBED ACTION	001151 551011 51115
CONDITION		REQUIRED ACTION	COMPLETION TIME
D. SDM not within limits in MODE 5.	D.1	Initiate action to fully insert all insertable control rods.	Immediately
	<u>AND</u>		
	D.2.1	Initiate action to isolate reactor building refueling and pool area HVAC subsystem (REPAVS) and contaminated area HVAC subsystem (CONAVS) areas.	Immediately
		<u>OR</u>	
	D.2.2	Initiate action to establish reactor building REPAVS and CONAVS area automatic isolation capability on respective exhaust high radiation signals.	Immediately

CONDITION		REQUIRED ACTION	COMPLETION TIME
E. SDM not within limits in MODE 6.	E.1	Suspend CORE ALTERATIONS except for control rod insertion and fuel assembly removal.	Immediately
	<u>AND</u>		
	E.2	Initiate action to fully insert all insertable control rods in core cells containing one or more fuel assemblies.	Immediately
	<u>AND</u>		
	E.3.1	Initiate action to isolate reactor building REPAVS and CONAVS areas.	Immediately
		OR	
	E.3.2	Initiate action to establish reactor building REPAVS and CONAVS area automatic isolation capability on respective exhaust high radiation signals.	Immediately

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.1.1.1	Verify SDM to be within limits.	Prior to each in vessel fuel movement during fuel loading sequence AND Once within 4 hours after criticality following fuel movement within the reactor pressure vessel or control rod replacement

Reactivity Anomalies 3.1.2

3.1 REACTIVITY CONTROL SYSTEMS

3.1.2 Reactivity Anomalies

LCO 3.1.2 The reactivity difference between the monitored core k_{eff} and the predicted core k_{eff} shall be within \pm 1% $\Delta k/k$.

APPLICABILITY: MODES 1 and 2.

ACTIONS

CONDITION	REQUIRED ACTION		COMPLETION TIME
Core reactivity difference not within limit.	A.1	Restore core reactivity difference to within limit.	72 hours
B. Required Action and associated Completion Time not met.	B.1	Be in MODE 3.	12 hours

ESBWR 3.1.2 - 1 Rev. 2.0, 12/22/06

Reactivity Anomalies 3.1.2

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.1.2.1	Verify core reactivity difference between the monitored core k_{eff} and the predicted core k_{eff} is within \pm 1% $\Delta k/k$.	Once within 24 hours after reaching equilibrium conditions following startup after fuel movement within the reactor pressure vessel or control rod replacement AND 1000 MWD/T thereafter during operations in MODE 1

Control Rod OPERABILITY 3.1.3

3.1 REACTIVITY CONTROL SYSTEMS

3.1.3 Control Rod OPERABILITY

LCO 3.1.3 Each control rod shall be OPERABLE.

APPLICABILITY: MODES 1 and 2.

ACTIONS

- NOTES -

- 1. Separate Condition entry is allowed for each control rod.
- Enter applicable Conditions and Required Actions of LCO 3.7.6, "Selected Control Rod Run-In (SCRRI) and Select Rod Insert (SRI) Functions," when inoperable control rods result in inoperability of the SRI function.

	CONDITION	REQUIRED ACTION	COMPLETION TIME
A.	One withdrawn control rod stuck.		
COL 16.0-1-A 3.1.3-1		[A.1 Verify stuck control rod separation criteria are met. AND [A.[2][1] Disarm the associated	[Immediately][] 2 hours
		control rod drive (CRD). AND	

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Control Rod OPERABILITY 3.1.3

	CONDITION			REQUIRED ACTION	COMPLETION TIME
A. (continued) COL 16.0-1-A 3.1.3-1		A.[3][2]	Perform SR 3.1.3.2 and SR 3.1.3.3 for each withdrawn OPERABLE control rod.	24 hours from discovery of Condition A concurrent with THERMAL POWER greater than the low power setpoint (LPSP)	
			<u>AND</u>		
			A.[4][3]	Perform SR 3.1.1.1.	72 hours
	B.	Two or more withdrawn control rods stuck.	B.1	Be in MODE 3.	12 hours
	C.	One or more control rods inoperable for reasons other than Condition A or B.	C.1		3 hours
			<u>AND</u>	Disagraph the associated ODD	4 hours
			C.2	Disarm the associated CRD.	4 hours

	CONDITION		REQUIRED ACTION	COMPLETION TIME
D.		D.1 <u>OR</u>	Restore compliance with Ganged Withdrawal Sequence Restrictions (GWSR).	4 hours
	Two or more inoperable control rods not within separation limits.	D.2	Restore control rod to OPERABLE status.	4 hours
E.	Required Action and associated Completion Time of Condition A, C, or D not met. OR Nine or more control rods inoperable.	E.1	Be in MODE 3.	12 hours

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.1.3.1	Determine the position of each control rod.	24 hours
SR 3.1.3.2		
	Insert each fully withdrawn control rod two notches.	7 days

Control Rod OPERABILITY 3.1.3

	SURVEILLANCE	FREQUENCY
SR 3.1.3.3		
	Insert each partially withdrawn control rod two notches.	31 days
SR 3.1.3.4 COL 16.0-1-A 3.1.3-2	withdrawn to [60]% rod insertion is ≤ [] seconds][Perform applicable SRs of LCO 3.1.4].	
SR 3.1.3.5	Verify each control rod does not go to the withdrawn overtravel position.	Prior to declaring control rod OPERABLE after work on control rod or CRD System that could affect coupling

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Control Rod Scram Times 3.1.4

3.1 REACTIVITY CONTROL SYSTEMS

3.1.4 Control Rod Scram Times

LCO 3.1.4

COL 16.0-1-A 3.1.4-1

- [a. No more than [8] OPERABLE control rods shall be "slow," in accordance with][Each control rod scram time shall be within limits of] Table 3.1.4-1[; and
- b. No more than [2] OPERABLE control rods that are "slow" shall occupy adjacent locations.][.]

APPLICABILITY: MODES 1 and 2.

ACTIONS

	CONDITION		REQUIRED ACTION	COMPLETION TIME
COL 16.0-1 3.1.4-1	A. [Requirements of the LCO not met.][Control rod scram time not within limits of Table 3.1.4-1.]	A.1	[Be in MODE 3.][Declare affected control rod inoperable.]	[12 hours] [Immediately]

SURVEILLANCE REQUIREMENTS

- NOTE -

During single or control rod pair scram time Surveillances, the control rod drive (CRD) pumps shall be isolated from the associated scram accumulator.

	SURVEILLANCE	FREQUENCY
SR 3.1.4.1	Verify each control rod scram time is within the limits of Table 3.1.4-1 with reactor steam dome pressure ≥ 6.55 MPaG (950 psig).	Prior to exceeding 40% RTP after each reactor shutdown ≥ 120 days

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Control Rod Scram Times 3.1.4

	SURVEILLANCE	FREQUENCY
SR 3.1.4.2	Verify, for a representative sample, each tested control rod scram time is within the limits of Table 3.1.4-1 with reactor steam dome pressure ≥ 6.55 MPaG (950 psig).	200 days cumulative operation in MODE 1
SR 3.1.4.3	Verify each affected control rod scram time is within the limits of Table 3.1.4-1 with any reactor steam dome pressure.	Prior to declaring control rod OPERABLE after work on control rod or CRD System that could affect scram time
SR 3.1.4.4	Verify each affected control rod scram time is within the limits of Table 3.1.4-1 with reactor steam dome pressure ≥ 6.55 MPaG (950 psig).	Prior to exceeding 40% RTP after fuel movement within the affected core cell AND Prior to exceeding 40% RTP after work on control rod or CRD System which could affect scram time

Control Rod Scram Times 3.1.4

COL 16.0-1-A 3.1.4-1 Table 3.1.4-1 (page 1 of 1) Control Rod Scram Times

- NOTES -

- 1. OPERABLE control rods with scram times not within the limits of this Table are considered "slow."
- 2. Enter applicable Conditions and Required Actions of LCO 3.1.3, "Control Rod OPERABILITY," for control rods with scram times > [] seconds to [60]% insertion. These control rods are inoperable, in accordance with SR 3.1.3.4, and are not considered "slow."

][]					
	SCRAM TIME LIMITS ^{(a)(b)} (seconds)				
CONTROL ROD PERCENT INSERTION	REACTOR VESSEL STEAM DOME PRESSURE ^(c) 7.340 MPaG (1065 psig)	REACTOR VESSEL STEAM DOME PRESSURE ^(c) 8.463 MPaG (1227 psig)			
10	0.34	0.37			
40	0.80	0.96			
60	1.15	1.36			
100	2.23	2.95			

- (a) Maximum scram time from fully withdrawn position, based on de-energization of scram pilot valve solenoids as time zero.
- (b) Scram times as a function of reactor steam dome pressure, when < 7.340 MPaG (1065 psig), are within established limits.
- (c) For intermediate reactor steam dome pressures, the scram time criteria are determined by linear interpolation.

Control Rod Scram Accumulators 3.1.5

3.1 REACTIVITY CONTROL SYSTEMS

3.1.5 Control Rod Scram Accumulators

LCO 3.1.5 Each control rod scram accumulator shall be OPERABLE.

APPLICABILITY: MODES 1 and 2.

ACTIONS

- NOTE -

Separate Condition entry is allowed for each control rod scram accumulator.

	CONDITION		REQUIRED ACTION	COMPLETION TIME
A.	One control rod scram accumulator inoperable.	A.1	Declare the associated control rod(s) inoperable.	8 hours
В.	Two or more control rod scram accumulators inoperable.	B.1	Declare the associated control rods inoperable.	1 hour
C.	Required Action and associated Completion Time not met.	C.1		Immediately

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Control Rod Scram Accumulators 3.1.5

SURVEILLANCE REQUIREMENTS

		FREQUENCY	
COL 16.0- ⁻ 3.1.5-1	SR 3.1.5.1 1-A	Verify each control rod scram accumulator pressure is [≥ 12.76 MPaG (1850 psig)].	7 days

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Rod Pattern Control 3.1.6

3.1 REACTIVITY CONTROL SYSTEMS

3.1.6 Rod Pattern Control

LCO 3.1.6

The position of OPERABLE control rods shall comply with the requirements of the Ganged Withdrawal Sequence Restrictions (GWSR).

APPLICABILITY: MODES 1 and 2 with THERMAL POWER ≤ 10% RTP.

ACTIONS

CONDITION	REQUIRED ACTION	COMPLETION TIME
One or more OPERABLE control rod positions not in compliance with GWSR.		
	A.1 Move associated control rod(s) to correct position.	8 hours
	<u>OR</u>	
	A.2 Declare associated control rod(s) inoperable.	8 hours

Rod Pattern Control 3.1.6

CONDITION	REQUIRED ACTION	COMPLETION TIME
B. Nine or more OPERABLE control rod positions not in compliance with GWSR.		
	B.1 Suspend withdrawal of control rods. AND	Immediately
	B.2 Place the reactor mode switch in the shutdown position.	1 hour

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.1.6.1	Verify position of all OPERABLE control rods comply with GWSR.	24 hours

3.1 REACTIVITY CONTROL SYSTEMS

3.1.7 Standby Liquid Control (SLC) System

LCO 3.1.7 The SLC System shall be OPERABLE.

APPLICABILITY: MODES 1, 2, 3, and 4

ACTIONS

		CONDITION		REQUIRED ACTION	COMPLETION TIME
COL 16.0-1- 3.1.7-1		[Concentration of sodium pentaborate in solution in one or more accumulators is not within limits.	A.1	Restore concentration of sodium pentaborate in solution to within limits in each accumulator.	72 hours]
	[B].	One injection squib valve flow path inoperable in one or more trains.	[B].1	Restore injection squib valve flow path(s) to OPERABLE status.	7 days
COL 16.0-1- 3.1.7-1	[C].	One accumulator isolation valve inoperable for closing in one or more trains.	[C].1	Restore accumulator isolation valve(s) to OPERABLE status.	7 days
		. SLC system inoperable for reasons other than Condition A[, B, or C].	[D].1 <u>AND</u>	Be in MODE 3.	12 hours
		<u>OR</u>	[D].2	Be in MODE 5.	36 hours
		Required Action and associated Completion Time of Condition A[, B, or C] not met.			

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SURVEILLANCE REQUIREMENTS

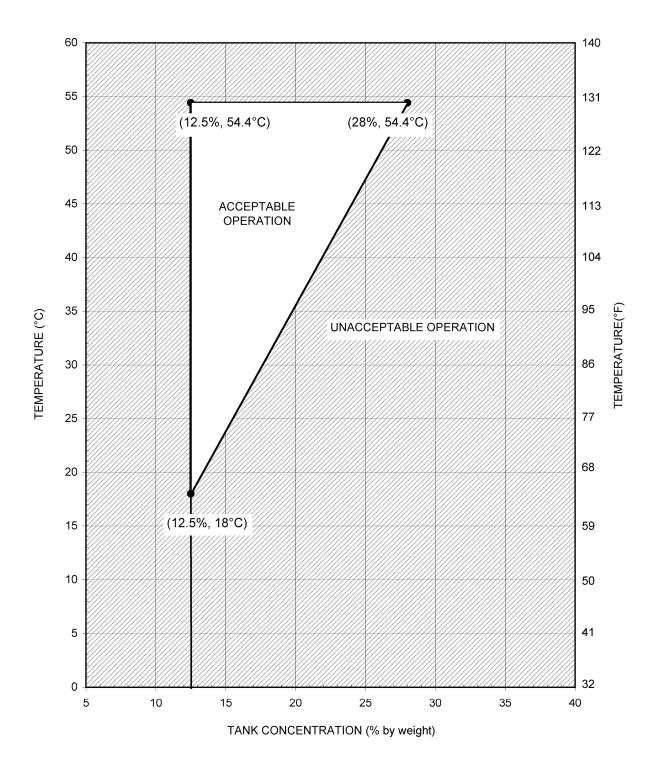
	SURVEILLANCE	FREQUENCY
SR 3.1.7.1	Verify available volume of sodium pentaborate solution in each accumulator is \geq 7.8 m ³ (2061 gallons) and \leq 9.7 m ³ (2562 gallons).	24 hours
SR 3.1.7.2	Verify temperature of the areas containing accumulator, piping, and valves containing sodium pentaborate solution is within limits of Figure 3.1.7-1.	24 hours
SR 3.1.7.3	Verify SLC accumulator pressure is ≥ 14.72 MPaG (2135 psig).	24 hours
SR 3.1.7.4		31 days
SR 3.1.7.5	- NOTE - SLC flow paths may be isolated intermittently under administrative controls. Verify each SLC System manual, power-operated, and automatic valve in the flow path that is not locked, sealed, or otherwise secured in position is in the correct position.	31 days

	SURVEILLANCE	FREQUENCY
SR 3.1.7.6	Verify the concentration of sodium pentaborate in solution is within the limits of Figure 3.1.7-1.	31 days AND Once within 24 hours after water or sodium pentaborate is added to solution AND Once within 24 hours after solution temperature is restored within limit
SR 3.1.7.7	- NOTE - Valve actuation may be excluded Verify SLC System actuates on an actual or simulated initiation signal.	24 months
SR 3.1.7.8	Perform CHANNEL CALIBRATION of accumulator level instrumentation channels consistent with Specification 5.5.11, "Setpoint Control Program (SCP)."	24 months

	SURVEILLANCE	FREQUENCY
SR 3.1.7.9	- NOTE - Valve actuation may be excluded Verify flow through one flow path on one SLC train from accumulator into reactor pressure vessel.	24 months on a STAGGERED TEST BASIS for each flow path
SR 3.1.7.10	Verify sodium pentaborate enrichment is ≥ 94.0 atom percent B-10.	Prior to addition to SLC accumulator

SLC System 3.1.7

Figure 3.1.7-1 Sodium Pentaborate Solution Temperature/Concentration Requirements



LHGR 3.2.1

3.2 POWER DISTRIBUTION LIMITS

3.2.1 LINEAR HEAT GENERATION RATE (LHGR)

LCO 3.2.1 All LHGRs shall be less than or equal to the limits specified in the COLR.

APPLICABILITY: THERMAL POWER ≥ 25% RTP.

ACTIONS

CONDITION	REQUIRED ACTION	COMPLETION TIME
A. Any LHGR not within limits.	A.1 Restore LHGR(s) to within limits.	2 hours
B. Required Action and associated Completion Time not met.	B.1 Reduce THERMAL POWER to < 25% RTP.	4 hours

SURVEILLANCE REQUIREMENTS

	FREQUENCY	
SR 3.2.1.1	Verify all LHGRs are less than or equal to the limits specified in the COLR.	Once within 12 hours after ≥ 25% RTP AND 24 hours thereafter

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MCPR 3.2.2

3.2 POWER DISTRIBUTION LIMITS

3.2.2 MINIMUM CRITICAL POWER RATIO (MCPR)

LCO 3.2.2 All MCPRs shall be greater than or equal to the MCPR operating limits specified in the COLR.

APPLICABILITY: THERMAL POWER ≥ 25% RTP.

ACTIONS

CONDITION	REQUIRED ACTION		COMPLETION TIME
A. Any MCPR not within limits.	A.1	Restore MCPR(s) to within limits.	2 hours
B. Required Action and associated Completion Time not met.	B.1	Reduce THERMAL POWER to < 25% RTP.	4 hours

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE			
SR 3.2.2.1	SR 3.2.2.1 Verify all MCPRs are greater than or equal to the limits specified in the COLR.			
		AND		
		24 hours thereafter		

3.3 INSTRUMENTATION

3.3.1.1 Reactor Protection System (RPS) Instrumentation

LCO 3.3.1.1 Three RPS instrumentation channels associated with the DC and

Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.6, "Distribution Systems - Operating," and LCO 3.8.7, "Distribution Systems - Shutdown," for each Function in Table 3.3.1.1-1 shall be

OPERABLE.

APPLICABILITY: According to Table 3.3.1.1-1.

ACTIONS

- NOTE -

Separate Condition entry is allowed for each RPS instrumentation channel.

CONDITION	REQUIRED ACTION	COMPLETION TIME
One or more Functions with one required instrumentation channel inoperable.	A.1 Verify associated instrument channel in trip.	12 hours
B. Required Action and associated Completion Time of Condition A not met. OR One or more Functions with RPS trip capability not maintained.	B.1 Enter the Condition referenced in Table 3.3.1.1-1 for the associated Function.	Immediately
C. As required by Required Action B.1 and referenced in Table 3.3.1.1-1.	C.1 Reduce THERMAL POWER to < 40% RTP.	4 hours

CONDITION	REQUIRED ACTION	COMPLETION TIME
D. As required by Required Action B.1 and referenced in Table 3.3.1.1-1.	D.1 Reduce THERMAL POWER to < 25% RTP.	4 hours
E. As required by Required Action B.1 and referenced in Table 3.3.1.1-1.	E.1 Be in MODE 2.	6 hours
F. As required by Required Action B.1 and referenced in Table 3.3.1.1-1.	F.1 Be in MODE 3.	12 hours
G. As required by Required Action B.1 and referenced in Table 3.3.1.1-1.	G.1 Initiate action to fully insert all insertable control rods in core cells containing one or more fuel assemblies.	Immediately

SURVEILLANCE REQUIREMENTS

- NOTE -

Refer to Table 3.3.1.1-1 to determine which SRs apply for each RPS Function.

	FREQUENCY	
SR 3.3.1.1.1	Perform CHANNEL CHECK on each required channel.	12 hours
SR 3.3.1.1.2	Perform CHANNEL FUNCTIONAL TEST on each required channel.	31 days

	FREQUENCY	
SR 3.3.1.1.3	Perform CHANNEL CALIBRATION on each required channel consistent with Specification 5.5.11, "Setpoint Control Program (SCP)."	24 months
SR 3.3.1.1.4	Verify RPS RESPONSE TIME of each required channel is within limits.	24 months on a STAGGERED TEST BASIS

Table 3.3.1.1-1 (page 1 of 3)
Reactor Protection System Instrumentation

	FUNCTION	APPLICABLE MODES OR OTHER SPECIFIED CONDITIONS	CONDITIONS REFERENCED FROM REQUIRED ACTION B.1	SURVEILLANCE REQUIREMENTS	
1.	Neutron Monitor System Input - Startup Range Neutron Monitors	2	F	SR 3.3.1.1.1 SR 3.3.1.1.2	
		6 ^(a)	G	SR 3.3.1.1.1 SR 3.3.1.1.2	
2.	Neutron Monitor System Input - Average Power Range Monitors / Oscillation Power Range Monitors	1,2	F	SR 3.3.1.1.1 SR 3.3.1.1.2	
3.	Scram Accumulator Charging Water Header Pressure - Low-Low	1,2	F	SR 3.3.1.1.1 SR 3.3.1.1.2 SR 3.3.1.1.3	
		6 ^(a)	G	SR 3.3.1.1.1 SR 3.3.1.1.2 SR 3.3.1.1.3	
4.	Reactor Vessel Steam Dome Pressure - High	1,2	F	SR 3.3.1.1.1 SR 3.3.1.1.2 SR 3.3.1.1.3 SR 3.3.1.1.4	
5.	Reactor Vessel Water Level - Low, Level 3	1,2	F	SR 3.3.1.1.1 SR 3.3.1.1.2 SR 3.3.1.1.3 SR 3.3.1.1.4	
6.	Reactor Vessel Water Level - High, Level 8	≥ 25% RTP	D	SR 3.3.1.1.1 SR 3.3.1.1.2 SR 3.3.1.1.3 SR 3.3.1.1.4	

⁽a) With any control rod withdrawn from a core cell containing one or more fuel assemblies.

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Table 3.3.1.1-1 (page 2 of 3)
Reactor Protection System Instrumentation

	FUNCTION	APPLICABLE MODES OR OTHER SPECIFIED CONDITIONS	CONDITIONS REFERENCED FROM REQUIRED ACTION B.1	SURVEILLANCE REQUIREMENTS
7.	Main Steam Isolation Valve - Closure (Per Steam Line)	1	E	SR 3.3.1.1.2 SR 3.3.1.1.3 SR 3.3.1.1.4
8.	Drywell Pressure - High	1,2	F	SR 3.3.1.1.1 SR 3.3.1.1.2 SR 3.3.1.1.3 SR 3.3.1.1.4
9.	Suppression Pool Temperature - High	1,2	F	SR 3.3.1.1.1 SR 3.3.1.1.2 SR 3.3.1.1.3 SR 3.3.1.1.4
10.	Turbine Stop Valve Closure Trip	≥ 40% RTP	С	SR 3.3.1.1.2 SR 3.3.1.1.3 SR 3.3.1.1.4
11.	Turbine Control Valve Fast Closure Trip Oil Pressure - Low	≥ 40% RTP	С	SR 3.3.1.1.1 SR 3.3.1.1.2 SR 3.3.1.1.3 SR 3.3.1.1.4
12.	Main Condenser Pressure - High	1	E	SR 3.3.1.1.1 SR 3.3.1.1.2 SR 3.3.1.1.3 SR 3.3.1.1.4
13.	Power Generation Bus Loss	1	E	SR 3.3.1.1.1 SR 3.3.1.1.2 SR 3.3.1.1.3 SR 3.3.1.1.4

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Table 3.3.1.1-1 (page 3 of 3)
Reactor Protection System Instrumentation

	FUNCTION	APPLICABLE MODES OR OTHER SPECIFIED CONDITIONS	CONDITIONS REFERENCED FROM REQUIRED ACTION B.1	SURVEILLANCE REQUIREMENTS	
14.	Feedwater Temperature Biased Simulated Thermal Power – High	≥ 25 % RTP	D	SR 3.3.1.1.1 SR 3.3.1.1.2 SR 3.3.1.1.3	
15.	Simulated Thermal Power Biased Feedwater Temperature - High	≥ 25% RTP	D	SR 3.3.1.1.1 SR 3.3.1.1.2 SR 3.3.1.1.3	
16.	Simulated Thermal Power Biased Feedwater Temperature - Low	≥ 25% RTP	D	SR 3.3.1.1.1 SR 3.3.1.1.2 SR 3.3.1.1.3	

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RPS Actuation 3.3.1.2

3.3 INSTRUMENTATION

3.3.1.2 Reactor Protection System (RPS) Actuation

LCO 3.3.1.2 Th

Three Reactor Protection System (RPS) automatic actuation divisions associated with the DC and Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.6, "Distribution Systems - Operating," and LCO 3.8.7, "Distribution Systems - Shutdown," shall be OPERABLE.

APPLICABILITY

MODES 1 and 2,

MODE 6 with any control rod withdrawn from a core cell containing one or more fuel assemblies.

ACTIONS

- NOTE -

Separate Condition entry is allowed for each RPS automatic actuation division.

	CONDITION		REQUIRED ACTION	COMPLETION TIME
A.	One required RPS automatic actuation division inoperable.	A.1	Verify required division in trip.	12 hours
B.	Required Action and associated Completion Time of Condition A not met in MODE 1 or 2.	B.1	Be in MODE 3.	12 hours
	OR			
	RPS automatic actuation capability not maintained in MODE 1 or 2.			

RPS Actuation 3.3.1.2

	CONDITION		REQUIRED ACTION	COMPLETION TIME
С	Required Action and associated Completion Time of Condition A not met in MODE 6.	C.1	Initiate action to fully insert all insertable control rods in core cells containing one or more fuel assemblies.	Immediately
	<u>OR</u>			
	RPS automatic actuation capability not maintained in MODE 6.			

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.3.1.2.1	Perform LOGIC SYSTEM FUNCTIONAL TEST on each required division.	24 months
SR 3.3.1.2.2	Verify RPS RESPONSE TIME of each required division is within limits.	24 months on a STAGGERED TEST BASIS

RPS Manual Actuation 3.3.1.3

3.3 INSTRUMENTATION

3.3.1.3 Reactor Protection System (RPS) Manual Actuation

LCO 3.3.1.3 The RPS manual actuation channels for each Function in Table 3.3.1.3-1 shall be OPERABLE.

APPLICABILITY According to Table 3.3.1.3-1

ACTIONS

- NOTE -

Separate Condition entry is allowed for each Function.

	CONDITION		REQUIRED ACTION	COMPLETION TIME
Α.	One manual actuation channel inoperable in one Function.	A.1	Verify affected channel in trip.	12 hours
В.	One manual actuation channel inoperable in both Functions.	B.1	Verify affected channels in trip.	Immediately
C.	C. Both manual actuation channels inoperable in one or both Functions in MODE 1 or 2.		Be in MODE 3.	12 hours
	<u>OR</u>			
	Required Action and associated Completion Time of Condition A or B not met in MODE 1 or 2.			

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RPS Manual Actuation 3.3.1.3

CONDITION	REQUIRED ACTION	COMPLETION TIME
D. Both manual actuation channels inoperable in one or both Functions in MODE 6.	D.1 Initiate action to fully insert all insertable control rods in core cells containing one or more fuel assemblies.	Immediately
<u>OR</u>		
Required Action and associated Completion Time of Condition A or B not met in MODE 6.		

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.3.1.3.1	Perform CHANNEL FUNCTIONAL TEST for each RPS Manual Scram Function channel.	7 days
SR 3.3.1.3.2	Perform CHANNEL FUNCTIONAL TEST for Reactor Mode Switch - Shutdown Position Function.	24 months

RPS Manual Actuation 3.3.1.3

Table 3.3.1.3-1 (page 1 of 1) Reactor Protection System Manual Actuation

FUNCTION	APPLICABLE MODES OR OTHER SPECIFIED CONDITIONS	REQUIRED CHANNELS
I. Manual Scram	1,2,6 ^(a)	2
2. Reactor Mode Switch - Shutdown Position	1,2,6 ^(a)	2

⁽a) With any control rod withdrawn from a core cell containing one or more fuel assemblies.

ESBWR 3.3.1.3 - 3 Rev. 6.0, 08/31/09

3.3 INSTRUMENTATION

3.3.1.4 Neutron Monitoring System (NMS) Instrumentation

LCO 3.3.1.4

The NMS instrumentation channels of the three NMS instrumentation divisions associated with the DC and Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.6, "Distribution Systems - Operating," and LCO 3.8.7, "Distribution Systems - Shutdown," for each Function in Table 3.3.1.4-1 shall be OPERABLE.

APPLICABILITY: According to Table 3.3.1.4-1.

ACTIONS

- NOTE -

Separate Condition entry is allowed for each NMS instrument channel.

CONDITION		REQUIRED ACTION		COMPLETION TIME
Α.	One or more Functions with instrumentation channel(s) inoperable in one required division.	A.1	Verify associated instrument channel in trip.	12 hours
B.	B. Required Action and associated Completion Time of Condition A not met.		Enter the Condition referenced in Table 3.3.1.4-1 for the associated Function.	Immediately
	OR			
	One or more Functions with NMS trip capability not maintained.			

CONDITION	REQUIRED ACTION	COMPLETION TIME
C. As required by Required Action B.1 and referenced in Table 3.3.1.4-1.	C.1 Be in MODE 2.	6 hours
D. As required by Required Action B.1 and referenced in Table 3.3.1.4-1.	D.1 Be in MODE 3.	12 hours
E. As required by Required Action B.1 and referenced in Table 3.3.1.4-1.	E.1 Initiate alternate method to detect and suppress thermal hydraulic instability oscillations.	12 hours
	AND	
	E.2 Restore required channels to OPERABLE status.	120 days
F. Required Action and associated Completion Time of Condition E not met.	F.1 Reduce THERMAL POWER to < 25% RTP.	4 hours
G. As required by Required Action B.1 and referenced in Table 3.3.1.4-1.	G.1 Initiate action to fully insert all insertable control rods in core cells containing one or more fuel assemblies.	Immediately

SURVEILLANCE REQUIREMENTS

- NOTE -

Refer to Table 3.3.1.4-1 to determine which SRs apply for each NMS Instrumentation Function.

	SURVEILLANCE	FREQUENCY
SR 3.3.1.4.1	Perform CHANNEL CHECK on each required channel.	12 hours
SR 3.3.1.4.2		
	Verify absolute difference between the average power range monitor (APRM) channels and calculated power ≤ 2% RTP while operating at ≥ 25% RTP for each required channel.	7 days
SR 3.3.1.4.3		
	Perform CHANNEL FUNCTIONAL TEST on each required channel.	7 days
SR 3.3.1.4.4	Perform CHANNEL FUNCTIONAL TEST on each required channel.	31 days
SR 3.3.1.4.5	Calibrate local power range monitors on each required channel.	750 MWD/T average core exposure

	SURVEILLANCE	FREQUENCY
SR 3.3.1.4.6		
	 NOTES - For Functions 1.a, 1.b, and 2.a not required to be performed when entering MODE 2 from MODE 1 until 12 hours after entering MODE 2. 	
	2. Neutron detectors may be excluded.	
	Perform CHANNEL CALIBRATION on each required channel consistent with Specification 5.5.11, "Setpoint Control Program (SCP)."	24 months
SR 3.3.1.4.7	Verify APRM Simulated Thermal Power – High time constant is within limit for each required channel.	24 months
SR 3.3.1.4.8		
	Verify RPS RESPONSE TIME of each required channel is within limits.	24 months on a STAGGERED TEST BASIS
SR 3.3.1.4.9	Verify Oscillation Power Range Monitor (OPRM) is not bypassed when THERMAL POWER is ≥ 25% RTP.	24 months

Table 3.3.1.4-1 (page 1 of 2) Neutron Monitoring System (NMS) Instrumentation

	FL	JNCTION	APPLICABLE MODES OR OTHER SPECIFIED CONDITIONS	REQUIRED CHANNELS PER REQUIRED DIVISION	CONDITIONS REFERENCED FROM REQUIRED ACTION B.1	SURVEILLANCE REQUIREMENTS
1.	Net	rtup Range utron Monitors RNM)				
	a.	Neutron Flux - Short Period	2	2	D	SR 3.3.1.4.1 SR 3.3.1.4.3 SR 3.3.1.4.6 SR 3.3.1.4.8
			6 ^(a)	2	G	SR 3.3.1.4.1 SR 3.3.1.4.3 SR 3.3.1.4.6 SR 3.3.1.4.8
	b.	Inop	2	2	D	SR 3.3.1.4.3
			6 ^(a)	2	G	SR 3.3.1.4.3

⁽a) With any control rod withdrawn from a core cell containing one or more fuel assemblies.

Table 3.3.1.4-1 (page 2 of 2) Neutron Monitoring System (NMS) Instrumentation

	Fl	JNCTION	APPLICABLE MODES OR OTHER SPECIFIED CONDITIONS	REQUIRED CHANNELS PER REQUIRED DIVISION	CONDITIONS REFERENCED FROM REQUIRED ACTION B.1	SURVEILLANCE REQUIREMENTS
2.		erage Power nge Monitors				
	a.	Fixed Neutron Flux - High, Setdown	2	1	D	SR 3.3.1.4.1 SR 3.3.1.4.3 SR 3.3.1.4.5 SR 3.3.1.4.6 SR 3.3.1.4.8
	b.	APRM Simulated Thermal Power - High	1	1	С	SR 3.3.1.4.1 SR 3.3.1.4.2 SR 3.3.1.4.4 SR 3.3.1.4.5 SR 3.3.1.4.6 SR 3.3.1.4.7 SR 3.3.1.4.8
	C.	Fixed Neutron Flux - High	1	1	С	SR 3.3.1.4.1 SR 3.3.1.4.2 SR 3.3.1.4.4 SR 3.3.1.4.5 SR 3.3.1.4.6 SR 3.3.1.4.8
	d.	Inop	1,2	1	D	SR 3.3.1.4.4
3.	Rai	cillation Power nge Monitor - scale	≥ 25% RTP	1	E	SR 3.3.1.4.4 SR 3.3.1.4.6 SR 3.3.1.4.8 SR 3.3.1.4.9

NMS Automatic Actuation 3.3.1.5

3.3 INSTRUMENTATION

3.3.1.5 Neutron Monitoring System (NMS) Automatic Actuation

LCO 3.3.1.5

Three NMS automatic actuation divisions associated with the DC and Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.6, "Distribution Systems - Operating," and LCO 3.8.7, "Distribution Systems - Shutdown," for the Functions in Table 3.3.1.5-1 shall be OPERABLE.

APPLICABILITY According to Table 3.3.1.5-1.

ACTIONS

- NOTE -

Separate Condition entry is allowed for each NMS automatic actuation division.

CONDITION	REQUIRED ACTION	COMPLETION TIME
One or more Functions with one required division inoperable.	A.1 Verify required division in trip.	12 hours
B. Required Action and associated Completion Time of Condition A not met. OR One or more Functions with NMS actuation capability not maintained.	B.1 Enter the Condition referenced in Table 3.3.1.5-1 for the associated actuation Function.	Immediately

NMS Automatic Actuation 3.3.1.5

	CONDITION		REQUIRED ACTION	COMPLETION TIME
A re	as required by Required action B.1 and eferenced in Table 3.3.1.5-1.	C.1	Initiate alternate method to detect and suppress thermal hydraulic instability oscillations.	12 hours
		<u>AND</u>		
		C.2	Restore required channels to OPERABLE status.	120 days
a T	Required Action and ssociated Completion ime of Condition C not net.	D.1	Reduce THERMAL POWER to < 25% RTP.	4 hours
A re	as required by Required action B.1 and eferenced in Table 3.3.1.5-1.	E.1	Be in MODE 3.	12 hours
A re	as required by Required action B.1 and eferenced in Table 3.3.1.5-1.	F.1	Initiate action to fully insert all insertable control rods in core cells containing one or more fuel assemblies.	Immediately

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.3.1.5.1	Perform LOGIC SYSTEM FUNCTIONAL TEST on each required division.	24 months
SR 3.3.1.5.2	Verify RPS RESPONSE TIME of each required division is within limits.	24 months on a STAGGERED TEST BASIS

NMS Automatic Actuation 3.3.1.5

Table 3.3.1.5 -1 (page 1 of 1)
Neutron Monitoring System (NMS) Instrumentation

FUNCTION	APPLICABLE MODES OR OTHER SPECIFIED CONDITIONS	CONDITIONS REFERENCED FROM REQUIRED ACTION B.1
Startup Range Neutron Monitors	2 6 ^(a)	E F
Average Power Range Monitors	1, 2	E
3. Oscillation Power Range Monitors	≥ 25% RTP	С

⁽a) With any control rod withdrawn from a core cell containing one or more fuel assemblies.

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3.3 INSTRUMENTATION

3.3.1.6 Startup Range Neutron Monitor (SRNM) Instrumentation

LCO 3.3.1.6 The SRNM instrumentation in Table 3.3.1.6-1 shall be OPERABLE.

APPLICABILITY: According to Table 3.3.1.6-1.

ACTIONS

CONDITION		REQUIRED ACTION	COMPLETION TIME
A. One or more required SRNMs inoperable in MODE 3, 4, or 5.	A.1 <u>AND</u>	Fully insert all insertable control rods.	1 hour
	A.2	Place reactor mode switch in the shutdown position.	1 hour
B. One or more required SRNMs inoperable in MODE 6.	B.1	Suspend CORE ALTERATIONS except for control rod insertion.	Immediately
	<u>AND</u>		
	B.2	Initiate action to insert all insertable control rods in core cells containing one or more fuel assemblies.	Immediately

SURVEILLANCE REQUIREMENTS

- NOTE -

Refer to Table 3.3.1.6-1 to determine which SRs apply for each applicable MODE or other specified conditions.

	FREQUENCY	
SR 3.3.1.6.1	Perform CHANNEL CHECK on each required channel.	12 hours
SR 3.3.1.6.2		12 hours
SR 3.3.1.6.3	Perform CHANNEL CHECK on each required channel.	24 hours

	SURVEILLANCE	FREQUENCY
SR 3.3.1.6.4	- NOTE - Not required to be met with less than or equal to four fuel assemblies adjacent to the SRNM and no other fuel assemblies in the associated core quadrant.	
	Verify count rate is ≥ 3.0 cps.	12 hours during CORE ALTERATIONS AND 24 hours
SR 3.3.1.6.5	Perform CHANNEL FUNCTIONAL TEST on each required channel.	7 days
SR 3.3.1.6.6	Perform CHANNEL FUNCTIONAL TEST on each required channel.	31 days
SR 3.3.1.6.7		
	Perform CHANNEL CALIBRATION on each required channel.	24 months

Table 3.3.1.6-1 (page 1 of 1)
Startup Range Neutron Monitor Instrumentation

APPLICABLE MODES OR OTHER SPECIFIED CONDITIONS	REQUIRED CHANNELS	SURVEILLANCE REQUIREMENTS
3,4,5	2	SR 3.3.1.6.3 SR 3.3.1.6.4 SR 3.3.1.6.6 SR 3.3.1.6.7
6	2 ^(a)	SR 3.3.1.6.1 SR 3.3.1.6.2 SR 3.3.1.6.4 SR 3.3.1.6.5 SR 3.3.1.6.7
	MODES OR OTHER SPECIFIED CONDITIONS 3,4,5	MODES OR OTHER SPECIFIED CONDITIONS REQUIRED CHANNELS 3,4,5 2

⁽a) Only one SRNM channel is required to be OPERABLE during spiral offload or reload when the fueled region includes only that SRNM detector.

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3.3 INSTRUMENTATION

3.3.2.1 Control Rod Block Instrumentation

LCO 3.3.2.1 The control rod block instrumentation for each Function in Table 3.3.2.1-1 shall be OPERABLE.

APPLICABILITY: According to Table 3.3.2.1-1.

ACTIONS

CONDITION	REQUIRED ACTION	COMPLETION TIME
A. One required Automated Thermal Limit Monitor (ATLM) channel inoperable.	A.1 Restore the inoperable required ATLM channel to OPERABLE status.	7 days
B. One required Rod Worth Minimizer (RWM) channel inoperable.	B.1 Restore the inoperable required RWM channel to OPERABLE status.	7 days
C. One required Multi- Channel Rod Block Monitor (MRBM) channel inoperable.	C.1 Restore the inoperable required MRBM channel to OPERABLE status.	7 days

	CONDITION		REQUIRED ACTION	COMPLETION TIME
D.	Required Action and associated Completion Time of Condition A or B not met.	D.1	Suspend control rod withdrawal.	Immediately
	<u>OR</u>			
	Two required ATLM channels inoperable.			
	OR			
	Two required RWM channels inoperable.			
	OR			
	Two required MRBM channels inoperable.			
E.	Reactor Mode Switch - Shutdown	E.1	Suspend control rod withdrawal.	Immediately
	Position channels inoperable.	<u>AND</u>		
		E.2	Initiate action to fully insert all insertable control rods in core cells containing one or more fuel assemblies.	Immediately

SURVEILLANCE REQUIREMENTS

- NOTES -

- 1. Refer to Table 3.3.2.1-1 to determine which SRs apply for each Control Rod Block Function.
- 2. When a required ATLM, RWM, or MRBM channel is placed in an inoperable status solely for performance of required Surveillances, entry into associated Conditions and Required Actions may be delayed for up to 6 hours provided the associated Function maintains control rod block capability.

	SURVEILLANCE	FREQUENCY
SR 3.3.2.1.1		
	Perform CHANNEL FUNCTIONAL TEST on each required channel.	31 days
SR 3.3.2.1.2		31 days
SR 3.3.2.1.3		
	Perform CHANNEL FUNCTIONAL TEST on each required channel.	31 days

	SURVEILLANCE	FREQUENCY
SR 3.3.2.1.4		
	Perform CHANNEL FUNCTIONAL TEST on each required channel.	31 days
SR 3.3.2.1.5	Verify required RWM channels are not bypassed when THERMAL POWER is ≤ 10% RTP.	24 months
SR 3.3.2.1.6	Verify required ATLM channels are not bypassed when THERMAL POWER is ≥ 30% RTP.	24 months
SR 3.3.2.1.7	Verify required MRBM channels are not bypassed when THERMAL POWER is ≥ 30% RTP.	24 months
SR 3.3.2.1.8	- NOTE - Not required to be performed until one hour after reactor mode switch is in shutdown position. Perform CHANNEL FUNCTIONAL TEST on each required channel.	24 months
SR 3.3.2.1.9	Verify the bypassing and movement of control rods required to be bypassed in the Rod Action Control Subsystem (RACS) cabinets by a second licensed operator or other qualified member of the technical staff.	Prior to and during the movement of control rods bypassed in RACS

Table 3.3.2.1-1 (page 1 of 1) Control Rod Block Instrumentation

	FUNCTION	APPLICABLE MODES OR OTHER SPECIFIED CONDITIONS	REQUIRED CHANNELS	SURVEILLANCE REQUIREMENTS
1.	Rod Control and Information System			
	a. Automated Thermal Limit Monitor	(a)	2	SR 3.3.2.1.1 SR 3.3.2.1.6
	b. Rod Worth Minimizer	1 ^(b) ,2 ^(b)	2	SR 3.3.2.1.2 SR 3.3.2.1.3 SR 3.3.2.1.5 SR 3.3.2.1.9
	c. Multi-Channel Rod Block Monitor	(a)	2	SR 3.3.2.1.4 SR 3.3.2.1.7
2.	Reactor Mode Switch - Shutdown Position	(c)	2	SR 3.3.2.1.8

⁽a) THERMAL POWER ≥ 30% RTP.

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⁽b) THERMAL POWER ≤ 10% RTP.

⁽c) Reactor mode switch in the shutdown position.

Remote Shutdown System 3.3.3.1

3.3 INSTRUMENTATION

3.3.3.1 Remote Shutdown System

LCO 3.3.3.1 The Remote Shutdown System Functions associated with the DC and

Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.6, "Distribution Systems - Operating," shall be OPERABLE.

APPLICABILITY: MODES 1 and 2.

ACTIONS

- NOTE -

Separate Condition entry is allowed for each Function.

CONDITION		REQUIRED ACTION	COMPLETION TIME
One or more required Functions inoperable.	A.1	Restore required Function to OPERABLE status.	30 days
B. Required Action and associated Completion Time not met.	B.1	Be in MODE 3.	12 hours

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.3.3.1.1	Perform CHANNEL FUNCTIONAL TEST on each required actuation channel.	24 months

PAM Instrumentation 3.3.3.2

3.3 INSTRUMENTATION

3.3.3.2 Post-Accident Monitoring (PAM) Instrumentation

LCO 3.3.3.2 Two channels of each Type A, B, and C PAM Instrumentation Function

associated with the DC and Uninterruptible AC Electrical Power

Distribution Divisions required by LCO 3.8.6, "Distribution

Systems - Operating," shall be OPERABLE.

APPLICABILITY: MODES 1 and 2.

ACTIONS

- NOTE -

Separate Condition entry is allowed for each Function.

CONDITION	REQUIRED ACTION	COMPLETION TIME
A. One or more required PAM Functions with one required channel inoperable.	A.1 Restore required channel to OPERABLE status.	30 days
B. Required Action and associated Completion Time of Condition A not met.	B.1 Initiate action in accordance with Specification 5.6.5.	Immediately

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PAM Instrumentation 3.3.3.2

CONDITION		REQUIRED ACTION	COMPLETION TIME
C. One or more required PAM Functions with two required channels inoperable.	C.1	Restore one required channel to OPERABLE status.	7 days
·	<u>OR</u>		
	C.2.1	Verify preplanned alternate method of monitoring the affected Function is available.	7 days
	AND		
	C.2.2	Initiate action in accordance with Specification 5.6.5.	7 days
D. Required Action and associated Completion Time of Condition C not met.	D.1	Be in MODE 3.	12 hours

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.3.3.2.1	Perform CHANNEL CHECK on each required channel.	31 days
SR 3.3.3.2.2	Perform CHANNEL CALIBRATION on each required channel.	24 months

RCS Leakage Detection Instrumentation 3.3.4.1

3.3 INSTRUMENTATION

3.3.4.1 Reactor Coolant System (RCS) Leakage Detection Instrumentation

LCO 3.3.4.1 The following RCS leakage detection instrumentation shall be OPERABLE:

- a. Drywell floor drain high conductivity waste (HCW) sump monitoring system;
- b. Particulate channel of the drywell fission product monitoring system; and
- c. Drywell air coolers condensate flow monitoring system.

APPLICABILITY: MODES 1, 2, 3, and 4.

ACTIONS

CONDITION	REQUIRED ACTION	COMPLETION TIME
Drywell floor drain HCW sump monitoring system inoperable.	A.1 Restore drywell floor drain HCW sump monitoring system to OPERABLE status.	30 days
B. Drywell fission product monitoring system particulate channel inoperable.	B.1 Analyze samples of drywell atmosphere.	Once per 12 hours
C. Drywell air coolers condensate flow monitoring systems inoperable.		8 hours

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RCS Leakage Detection Instrumentation 3.3.4.1

				Т
	CONDITION	REQUIRED ACTION		COMPLETION TIME
D.	Drywell fission product monitoring system particulate channel inoperable.	D.1	Restore drywell fission product monitoring system particulate channel to OPERABLE status.	30 days
	AND	<u>OR</u>		
	Drywell air coolers condensate flow monitoring system inoperable.	D.2	Restore drywell air cooler condensate flow rate monitoring system to OPERABLE status.	30 days
E.	Required Action and associated Completion Time not met.	E.1 <u>AND</u>	Be in MODE 3.	12 hours
	<u>OR</u>	E.2	Be in MODE 5.	36 hours
	All required LEAKAGE detection systems inoperable.			

SURVEILLANCE REQUIREMENTS

	FREQUENCY	
SR 3.3.4.1.1	Perform CHANNEL CHECK on required leakage detection instrumentation.	12 hours
SR 3.3.4.1.2	Perform CHANNEL FUNCTIONAL TEST on required leakage detection instrumentation.	31 days
SR 3.3.4.1.3	Perform CHANNEL CALIBRATION on required leakage detection instrumentation.	24 months

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ECCS Instrumentation 3.3.5.1

3.3 INSTRUMENTATION

3.3.5.1 Emergency Core Cooling System (ECCS) Instrumentation

LCO 3.3.5.1 Three ECCS instrumentation channels associated with the DC and

Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.6, "Distribution Systems - Operating," and LCO 3.8.7, "Distribution Systems - Shutdown," for each Function in Table 3.3.5.1-1 shall be

OPERABLE.

APPLICABILITY: According to Table 3.3.5.1-1.

ACTIONS

- NOTE -

Separate Condition entry is allowed for each ECCS instrumentation channel.

	CONDITION	REQUIRED ACTION		COMPLETION TIME
A.	One or more Functions with one required instrumentation channel inoperable.	A.1	Restore required channel to OPERABLE status.	12 hours
B.	associated Completion Time of Condition A not met.	B.1	Declare affected ECCS components inoperable.	Immediately
	<u>OR</u>			
	One or more Functions with ECCS actuation capability not maintained.			

ECCS Instrumentation 3.3.5.1

SURVEILLANCE REQUIREMENTS

- NOTE -

Refer to Table 3.3.5.1-1 to determine which SRs apply for each ECCS Instrumentation Function.

-		T
	SURVEILLANCE	FREQUENCY
SR 3.3.5.1.1	Perform CHANNEL CHECK on each required channel.	12 hours
SR 3.3.5.1.2	Perform CHANNEL FUNCTIONAL TEST on each required channel.	31 days
SR 3.3.5.1.3	Perform CHANNEL CALIBRATION on each required channel consistent with Specification 5.5.11, "Setpoint Control Program (SCP)."	24 months
SR 3.3.5.1.4	Verify ECCS RESPONSE TIME of each required channel is within limits.	24 months on a STAGGERED TEST BASIS

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ECCS Instrumentation 3.3.5.1

Table 3.3.5.1-1 (page 1 of 1)
Emergency Core Cooling System Instrumentation

	FUNCTION	APPLICABLE MODES OR OTHER SPECIFIED CONDITIONS	SURVEILLANCE REQUIREMENTS	
1.	Reactor Vessel Water Level - Low, Level 1	1,2,3,4,5,6 ^(a)	SR 3.3.5.1.1 SR 3.3.5.1.2 SR 3.3.5.1.3 SR 3.3.5.1.4	
2.	Reactor Vessel Water Level - Low, Level 0.5	1,2,3,4,5,6 ^(a)	SR 3.3.5.1.1 SR 3.3.5.1.2 SR 3.3.5.1.3 SR 3.3.5.1.4	
3.	Drywell Pressure - High	1,2,3,4	SR 3.3.5.1.1 SR 3.3.5.1.2 SR 3.3.5.1.3 SR 3.3.5.1.4	

⁽a) Except with the buffer pool gate removed and water level ≥ 7.01 meters (23.0 feet) over the top of the reactor pressure vessel flange.

ESBWR 3.3.5.1 - 3 Rev. 6.0, 08/31/09

ECCS Actuation 3.3.5.2

3.3 INSTRUMENTATION

3.3.5.2 Emergency Core Cooling System (ECCS) Actuation

LCO 3.3.5.2 Three ECCS actuation divisions associated with the DC and

Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.6, "Distribution Systems - Operating," and LCO 3.8.7, "Distribution Systems - Shutdown," for each Function in Table 3.3.5.2-1 shall be OPERABLE.

APPLICABILITY: According to Table 3.3.5.2-1.

ACTIONS

- NOTE -

Separate Condition entry is allowed for each ECCS actuation Function.

CONDITION			REQUIRED ACTION	COMPLETION TIME
A.	One or more Functions with one required actuation division inoperable.	A.1	Restore required division to OPERABLE status.	12 hours
В.	Required Action and associated Completion Time of Condition A not met.	B.1	Declare affected actuation device(s) inoperable.	Immediately
	<u>OR</u>			
	One or more Functions with two or more required actuation divisions inoperable.			

ESBWR 3.3.5.2 - 1 Rev. 6.0, 08/31/09

ECCS Actuation 3.3.5.2

SURVEILLANCE REQUIREMENTS

	FREQUENCY	
SR 3.3.5.2.1	Perform LOGIC SYSTEM FUNCTIONAL TEST on each required division.	24 months
SR 3.3.5.2.2	Verify ECCS RESPONSE TIME of each required division is within limits.	24 months on a STAGGERED TEST BASIS

ESBWR 3.3.5.2 - 2 Rev. 6.0, 08/31/09

ECCS Actuation 3.3.5.2

Table 3.3.5.2-1 (page 1 of 1) Emergency Core Cooling System Actuation Instrumentation

	FUNCTION	APPLICABLE MODES OR OTHER SPECIFIED CONDITIONS
1.	Automatic Depressurization System (ADS)	1,2,3,4,5,6 ^(a)
2.	Gravity–Driven Cooling System (GDCS) Injection Lines	1,2,3,4,5,6 ^(b)
3.	Gravity–Driven Cooling System (GDCS) Equalizing Lines	1,2,3,4,5,6 ^(b)
4.	Standby Liquid Control (SLC)	1,2,3,4

⁽a) Prior to removal of the reactor pressure vessel head.

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⁽b) Except with the buffer pool gate removed and water level ≥ 7.01 meters (23.0 feet) over the top of the reactor pressure vessel flange.

ICS Instrumentation 3.3.5.3

3.3 INSTRUMENTATION

3.3.5.3 Isolation Condenser System (ICS) Instrumentation

LCO 3.3.5.3 Three ICS instrumentation channels associated with the DC and

Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.6, "Distribution Systems - Operating," and LCO 3.8.7, "Distribution

Systems - Shutdown," for the Functions in Table 3.3.5.3-1 shall be

OPERABLE.

APPLICABILITY: According to Table 3.3.5.3-1.

ACTIONS

- NOTE -

Separate Condition entry is allowed for each ICS instrumentation channel.

	CONDITION		REQUIRED ACTION	COMPLETION TIME
A.	One or more Functions with one required instrumentation channel inoperable.	A.1	Restore required channel to OPERABLE status.	12 hours
В.	Required Action and associated Completion Time of Condition A not met.	B.1	Declare ICS trains inoperable.	Immediately
	<u>OR</u>			
	One or more Functions with ICS actuation capability not maintained.			

ESBWR 3.3.5.3 - 1 Rev. 8.0, 10/01/10

ICS Instrumentation 3.3.5.3

SURVEILLANCE REQUIREMENTS

- NOTES - Refer to Table 3.3.5.3-1 to determine which SRs apply for each ICS Function.

	SURVEILLANCE	FREQUENCY
SR 3.3.5.3.1	Perform CHANNEL CHECK on each required channel.	12 hours
SR 3.3.5.3.2	Perform CHANNEL FUNCTIONAL TEST on each required channel.	31 days
SR 3.3.5.3.3	Perform CHANNEL CALIBRATION on each required channel consistent with Specification 5.5.11, "Setpoint Control Program (SCP)."	24 months
SR 3.3.5.3.4	Verify ICS RESPONSE TIME of each required channel is within limits.	24 months on a STAGGERED TEST BASIS

ESBWR 3.3.5.3 - 2 Rev. 8.0, 10/01/10

ICS Instrumentation 3.3.5.3

Table 3.3.5.3-1 (page 1 of 1) Isolation Condenser System (ICS) Instrumentation

	FUNCTION	APPLICABLE MODES OR OTHER SPECIFIED CONDITIONS	SURVEILLANCE REQUIREMENTS	
1.	Reactor Vessel Steam Dome Pressure - High	1,2,3,4,5	SR 3.3.5.3.1 SR 3.3.5.3.2 SR 3.3.5.3.3 SR 3.3.5.3.4	
2.	Reactor Vessel Water Level - Low, Level 2	1,2,3,4,5	SR 3.3.5.3.1 SR 3.3.5.3.2 SR 3.3.5.3.3 SR 3.3.5.3.4	
3.	Reactor Vessel Water Level - Low, Level 1	1,2,3,4,5	SR 3.3.5.3.1 SR 3.3.5.3.2 SR 3.3.5.3.3 SR 3.3.5.3.4	
4.	Main Steam Isolation Valve - Closure	1	SR 3.3.5.3.2 SR 3.3.5.3.3 SR 3.3.5.3.4	
5.	Power Generation Bus Loss	1	SR 3.3.5.3.1 SR 3.3.5.3.2 SR 3.3.5.3.3 SR 3.3.5.3.4	
6.	Condensate Return Valve - Open (per Isolation Condenser)	1,2,3,4,5	SR 3.3.5.3.2 SR 3.3.5.3.3	

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ICS Actuation 3.3.5.4

3.3 INSTRUMENTATION

3.3.5.4 Isolation Condenser System (ICS) Actuation

LCO 3.3.5.4 Three ICS actuation logic divisions associated with the DC and

Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.6, "Distribution Systems - Operating," and LCO 3.8.7, "Distribution Systems - Shutdown," for each Function in Table 3.3.5.4-1 shall be

OPERABLE.

APPLICABILITY: MODES 1, 2, 3, 4, and 5.

ACTIONS

- NOTE -

Separate Condition entry is allowed for each ICS actuation division.

	CONDITION		REQUIRED ACTION	COMPLETION TIME
Α.	One or more Functions with one required actuation division inoperable.	A.1	Restore required division to OPERABLE status.	12 hours
В.	Required Action and associated Completion Time of Condition A not met.	B.1	Declare affected actuation device(s) inoperable.	Immediately
	<u>OR</u>			
	One or more Functions with ICS actuation capability not maintained.			

ESBWR 3.3.5.4 - 1 Rev. 8.0, 10/01/10

ICS Actuation 3.3.5.4

SURVEILLANCE REQUIREMENTS

- NOTE -

Refer to Table 3.3.5.4-1 to determine which SRs apply for each ICS Actuation Function.

	SURVEILLANCE	FREQUENCY
SR 3.3.5.4.1	Perform LOGIC SYSTEM FUNCTIONAL TEST on each required division.	24 months
SR 3.3.5.4.2	Verify ICS RESPONSE TIME of each required division is within limits.	24 months on a STAGGERED TEST BASIS

ESBWR 3.3.5.4 - 2 Rev. 8.0, 10/01/10

ICS Actuation 3.3.5.4

Table 3.3.5.4-1 (page 1 of 1) Isolation Condenser System Actuation

FUNCTION	SURVEILLANCE REQUIREMENTS
ICS Initiation Actuation	SR 3.3.5.4.1 SR 3.3.5.4.2
2. ICS Vent Actuation	SR 3.3.5.4.1

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MSIV Instrumentation 3.3.6.1

3.3 INSTRUMENTATION

3.3.6.1 Main Steam Isolation Valve (MSIV) Instrumentation

LCO 3.3.6.1 Three MSIV instrumentation channels associated with the DC and

Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.6, "Distribution Systems - Operating," for the trip Functions in

Table 3.3.6.1-1 shall be OPERABLE.

APPLICABILITY: According to Table 3.3.6.1-1.

ACTIONS

- NOTE -

Separate Condition entry is allowed for each channel.

CONDITION			REQUIRED ACTION	COMPLETION TIME
A.	One or more Functions with one required instrumentation channel inoperable.	A.1	Verify associated instrument channel in trip.	12 hours
В.	Required Action and associated Completion Time of Condition A not met. OR One or more Functions with MSIV isolation capability not maintained.	B.1	Enter the Condition referenced in Table 3.3.6.1-1 for the associated Function.	Immediately
C.	As required by Required Action B.1 and referenced in Table 3.3.6.1-1.	C.1	Be in MODE 2.	6 hours

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MSIV Instrumentation 3.3.6.1

CONDITION	REQUIRED ACTION	COMPLETION TIME
D. As required by Required Action B.1 and referenced in Table 3.3.6.1-1.	D.1 Declare associated MSIV(s) and main steam line drain isolation valve(s) inoperable.	Immediately

SURVEILLANCE REQUIREMENTS

- NOTE -

Refer to Table 3.3.6.1-1 to determine which SRs shall be performed for each isolation Function.

	SURVEILLANCE	FREQUENCY
SR 3.3.6.1.1	Perform CHANNEL CHECK on each required channel.	12 hours
SR 3.3.6.1.2	Perform CHANNEL FUNCTIONAL TEST on each required channel.	31 days
SR 3.3.6.1.3	Perform CHANNEL CALIBRATION on each required channel consistent with Specification 5.5.11, "Setpoint Control Program (SCP)."	24 months
SR 3.3.6.1.4	Verify ISOLATION SYSTEM RESPONSE TIME for each required channel is within limits.	24 months on a STAGGERED TEST BASIS

MSIV Instrumentation 3.3.6.1

Table 3.3.6.1-1 (page 1 of 1) MSIV Instrumentation

	FUNCTION	APPLICABLE MODES OR OTHER SPECIFIED CONDITIONS	CONDITIONS REFERENCED FROM REQUIRED ACTION B.1	SURVEILLANCE REQUIREMENTS
1.	Reactor Vessel Water Level - Low, Level 2	1,2,3,4	D	SR 3.3.6.1.1 SR 3.3.6.1.2 SR 3.3.6.1.3 SR 3.3.6.1.4
2.	Reactor Vessel Water Level - Low, Level 1	1,2,3,4	D	SR 3.3.6.1.1 SR 3.3.6.1.2 SR 3.3.6.1.3 SR 3.3.6.1.4
3.	Main Steam Line Pressure - Low	1	С	SR 3.3.6.1.1 SR 3.3.6.1.2 SR 3.3.6.1.3 SR 3.3.6.1.4
4.	Main Steam Line Flow - High (per Steam Line)	1,2,3,4	D	SR 3.3.6.1.1 SR 3.3.6.1.2 SR 3.3.6.1.3 SR 3.3.6.1.4
5.	Condenser Pressure – High (per condenser)	1	С	SR 3.3.6.1.1 SR 3.3.6.1.2 SR 3.3.6.1.3 SR 3.3.6.1.4
6.	Main Steam Tunnel Ambient Temperature - High	1,2,3,4	D	SR 3.3.6.1.1 SR 3.3.6.1.2 SR 3.3.6.1.3 SR 3.3.6.1.4
7.	Main Steam Turbine Area Ambient Temperature - High	1,2,3,4	D	SR 3.3.6.1.1 SR 3.3.6.1.2 SR 3.3.6.1.3 SR 3.3.6.1.4

MSIV Actuation 3.3.6.2

3.3 INSTRUMENTATION

3.3.6.2 Main Steam Isolation Valve (MSIV) Actuation

LCO 3.3.6.2 Three MSIV actuation divisions associated with the DC and Uninterruptible

AC Electrical Power Distribution Divisions required by LCO 3.8.6,

"Distribution Systems - Operating," shall be OPERABLE.

APPLICABILITY: MODES 1, 2, 3, and 4.

ACTIONS

- NOTE -

Separate Condition entry is allowed for each MSIV actuation division.

	CONDITION		REQUIRED ACTION	COMPLETION TIME
A.	One required MSIV actuation division inoperable.	A.1	Verify required MSIV actuation division in trip.	12 hours
В.	Required Action and associated Completion Time of Condition A not met.	B.1	Declare affected actuation device(s) inoperable.	Immediately
	<u>OR</u>			
	MSIV actuation capability not maintained.			

MSIV Actuation 3.3.6.2

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.3.6.2.1	Perform LOGIC SYSTEM FUNCTIONAL TEST on each required division.	24 months
SR 3.3.6.2.2	Verify ISOLATION SYSTEM RESPONSE TIME for each required division is within limits.	24 months on a STAGGERED TEST BASIS

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3.3 INSTRUMENTATION

3.3.6.3 Isolation Instrumentation

LCO 3.3.6.3 Three isolation instrumentation channels associated with the DC and

Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.6, "Distribution Systems - Operating," for the Functions in

Table 3.3.6.3-1 shall be OPERABLE.

APPLICABILITY: According to Table 3.3.6.3-1.

ACTIONS

- NOTES -

- 1. Penetration flow paths may be unisolated intermittently under administrative controls.
- 2. Separate Condition entry is allowed for each channel.

CONDITION			REQUIRED ACTION	COMPLETION TIME
A.	One or more Functions with one required instrumentation channel inoperable.	A.1	Restore required channel to OPERABLE status.	12 hours
В.	Required Action and associated Completion Time of Condition A not met.	B.1	Enter the Condition referenced in Table 3.3.6.3-1 for the associated Function.	Immediately
	<u>OR</u>			
	One or more Functions with isolation capability not maintained.			

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CONDITION		REQUIRED ACTION	COMPLETION TIME
C. As required by Required Action B.1 and referenced in Table 3.3.6.3-1.	C.1	Declare associated containment isolation valves inoperable.	Immediately
D. As required by Required Action B.1 and referenced in Table 3.3.6.3-1.	D.1 <u>AND</u>	Be in MODE 3.	12 hours
	D.2	Be in MODE 5.	36 hours
E. As required by Required Action B.1 and referenced in Table 3.3.6.3-1.	E.1	Initiate action to restore required channel to OPERABLE status.	Immediately
	E.2	Initiate action to isolate Reactor Water Cleanup/Shutdown Cooling (RWCU/SDC) isolation valves.	Immediately

SURVEILLANCE REQUIREMENTS

- NOTE -

Refer to Table 3.3.6.3-1 to determine which SRs shall be performed for each isolation Function.

	FREQUENCY	
SR 3.3.6.3.1	Perform CHANNEL CHECK on each required channel.	12 hours
SR 3.3.6.3.2	Perform CHANNEL FUNCTIONAL TEST on each required channel.	31 days

	SURVEILLANCE	FREQUENCY
SR 3.3.6.3.3	Perform CHANNEL CALIBRATION on each required channel consistent with Specification 5.5.11, "Setpoint Control Program (SCP)."	24 months
SR 3.3.6.3.4		
	Verify ISOLATION SYSTEM RESPONSE TIME of each required channel is within limits.	24 months on a STAGGERED TEST BASIS

Table 3.3.6.3-1 (page 1 of 3) Isolation Instrumentation

	FUNCTION	APPLICABLE MODES OR OTHER SPECIFIED CONDITIONS	CONDITIONS REFERENCED FROM REQUIRED ACTION B.1	SURVEILLANCE REQUIREMENTS
1.	Reactor Vessel Water Level - Low, Level 2	1,2,3,4	С	SR 3.3.6.3.1 SR 3.3.6.3.2 SR 3.3.6.3.3 SR 3.3.6.3.4
		5,6	E	SR 3.3.6.3.1 SR 3.3.6.3.2 SR 3.3.6.3.3 SR 3.3.6.3.4
2.	Reactor Vessel Water Level - Low, Level 1	1,2,3,4	С	SR 3.3.6.3.1 SR 3.3.6.3.2 SR 3.3.6.3.3 SR 3.3.6.3.4
3.	Drywell Pressure - High	1,2,3,4	С	SR 3.3.6.3.1 SR 3.3.6.3.2 SR 3.3.6.3.3 SR 3.3.6.3.4
4.	Main Steam Tunnel Ambient Temperature - High	1,2,3,4	С	SR 3.3.6.3.1 SR 3.3.6.3.2 SR 3.3.6.3.3 SR 3.3.6.3.4
5.	RWCU/SDC Differential Mass Flow - High (per subsystem)	1,2,3,4	С	SR 3.3.6.3.1 SR 3.3.6.3.2 SR 3.3.6.3.3 SR 3.3.6.3.4
		5,6	E	SR 3.3.6.3.1 SR 3.3.6.3.2 SR 3.3.6.3.3 SR 3.3.6.3.4
6.	Isolation Condenser Steam Line Flow - High (per Isolation Condenser)	1,2,3,4	С	SR 3.3.6.3.1 SR 3.3.6.3.2 SR 3.3.6.3.3 SR 3.3.6.3.4

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Table 3.3.6.3-1 (page 2 of 3) Isolation Instrumentation

	FUNCTION	APPLICABLE MODES OR OTHER SPECIFIED CONDITIONS	CONDITIONS REFERENCED FROM REQUIRED ACTION B.1	SURVEILLANCE REQUIREMENTS	
7.	Isolation Condenser Condensate Return Line Flow - High (per Isolation Condenser)	1,2,3,4	С	SR 3.3.6.3.1 SR 3.3.6.3.2 SR 3.3.6.3.3 SR 3.3.6.3.4	
8.	Isolation Condenser Pool Vent Discharge Radiation - High (per Isolation Condenser)	1,2,3,4	С	SR 3.3.6.3.1 SR 3.3.6.3.2 SR 3.3.6.3.3 SR 3.3.6.3.4	
9.	Depressurization Valve – Open	1,2,3,4	С	SR 3.3.6.3.2 SR 3.3.6.3.3 SR 3.3.6.3.4	
10.	Feedwater Lines Differential Pressure - High	1,2,3,4	D	SR 3.3.6.3.1 SR 3.3.6.3.2 SR 3.3.6.3.3 SR 3.3.6.3.4	
11.	Reactor Building Exhaust Radiation - High	1,2,3,4	С	SR 3.3.6.3.1 SR 3.3.6.3.2 SR 3.3.6.3.3 SR 3.3.6.3.4	
12.	Drywell Water Level - High	1,2,3,4	D	SR 3.3.6.3.1 SR 3.3.6.3.2 SR 3.3.6.3.3 SR 3.3.6.3.4	
13.	Reactor Vessel Water Level Low - Level 0.5	1,2,3,4	D	SR 3.3.6.3.1 SR 3.3.6.3.2 SR 3.3.6.3.3 SR 3.3.6.3.4	
14.	Drywell Pressure - High-High	1,2,3,4	D	SR 3.3.6.3.1 SR 3.3.6.3.2 SR 3.3.6.3.3 SR 3.3.6.3.4	

Table 3.3.6.3-1 (page 3 of 3) Isolation Instrumentation

FUNCTION	APPLICABLE MODES OR OTHER SPECIFIED CONDITIONS	CONDITIONS REFERENCED FROM REQUIRED ACTION B.1	SURVEILLANCE REQUIREMENTS	
15. Gravity-Driven Cooling System Pool Water Level - Low	1,2,3,4	D	SR 3.3.6.3.1 SR 3.3.6.3.2 SR 3.3.6.3.3 SR 3.3.6.3.4	

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Isolation Actuation 3.3.6.4

3.3 INSTRUMENTATION

3.3.6.4 Isolation Actuation

LCO 3.3.6.4 Three isolation actuation divisions associated with the DC and

Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.6, "Distribution Systems - Operating," for the Functions in Table

3.3.6.4-1 shall be OPERABLE.

APPLICABILITY: According to Table 3.3.6.4-1.

ACTIONS

- NOTES -

- 1. Penetration flow paths may be unisolated intermittently under administrative controls.
- 2. Separate Condition entry is allowed for each isolation actuation division.

CONDITIC	N		REQUIRED ACTION	COMPLETION TIME
A. One or more F with one or mo required isolati actuation divisi inoperable.	re on	A.1	Restore required actuation division(s) to OPERABLE status.	4 hours
B. Required Actional associated Continuity Time of Conditute met.	mpletion	B.1	Enter the Condition referenced in Table 3.3.6.4-1 for the associated Function.	Immediately
Isolation actual capability not maintained.	tion			

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Isolation Actuation 3.3.6.4

CONDITION		REQUIRED ACTION	COMPLETION TIME
C. As required by Required Action B.1 and referenced in Table 3.3.6.4-1.	C.1	Declare affected actuation device(s) inoperable.	Immediately
D. As required by Required Action B.1 and referenced in Table 3.3.6.4-1.	D.1 <u>AND</u> D.2	Be in MODE 3. Be in MODE 5.	12 hours 36 hours
E. As required by Required Action B.1 and referenced in Table 3.3.6.4-1.	E.1 OR E.2	Initiate action to restore required division to OPERABLE status. Initiate action to isolate	Immediately
Action B.1 and referenced in	<u>OR</u>	required division to OPERABLE status.	

SURVEILLANCE REQUIREMENTS

- NOTE -

Refer to Table 3.3.6.4-1 to determine which SRs shall be performed for each isolation Function.

	SURVEILLANCE	FREQUENCY
SR 3.3.6.4.1	Perform LOGIC SYSTEM FUNCTIONAL TEST on each required division.	24 months
SR 3.3.6.4.2	Verify ISOLATION SYSTEM RESPONSE TIME of each required division is within limits.	24 months on a STAGGERED TEST BASIS
SR 3.3.6.4.3	Perform a system functional test.	24 months

Isolation Actuation 3.3.6.4

Table 3.3.6.4-1 (page 1 of 1) Isolation Actuation

	FUNCTION	APPLICABLE MODES OR OTHER SPECIFIED CONDITIONS	CONDITIONS REFERENCED FROM REQUIRED ACTION B.1	SURVEILLANCE REQUIREMENTS
1.	Reactor Water Cleanup/Shutdown Cooling System Isolation	1,2,3,4	С	SR 3.3.6.4.1 SR 3.3.6.4.2
		5,6	E	SR 3.3.6.4.1 SR 3.3.6.4.2 SR 3.3.6.4.3
2.	Isolation Condenser System Isolation	1,2,3,4	С	SR 3.3.6.4.1 SR 3.3.6.4.2
3.	Process Radiation Monitoring System Isolation	1,2,3,4	С	SR 3.3.6.4.1 SR 3.3.6.4.2
4.	Equipment and Floor Drain System Isolation	1,2,3,4	С	SR 3.3.6.4.1 SR 3.3.6.4.2
5.	Containment Inerting System Isolation	1,2,3,4	С	SR 3.3.6.4.1 SR 3.3.6.4.2
6.	Chilled Water System Isolation	1,2,3,4	С	SR 3.3.6.4.1 SR 3.3.6.4.2
7.	Fuel and Auxiliary Pools Cooling System Process Isolation	1,2,3,4	С	SR 3.3.6.4.1 SR 3.3.6.4.2
8.	Reactor Building Heating, Ventilation and Air Conditioning System Isolation	1,2,3,4	С	SR 3.3.6.4.1 SR 3.3.6.4.2
9.	High Pressure Nitrogen Gas Supply System Isolation	1,2,3,4	С	SR 3.3.6.4.1 SR 3.3.6.4.2
10.	Feedwater Isolation Valves Isolation	1,2,3,4	D	SR 3.3.6.4.1 SR 3.3.6.4.2 SR 3.3.6.4.3
11.	High Pressure Control Rod Drive Isolation	1,2,3,4	D	SR 3.3.6.4.1 SR 3.3.6.4.2 SR 3.3.6.4.3

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3.3 INSTRUMENTATION

3.3.7.1 Control Room Habitability Area (CRHA) Heating, Ventilation, and Air Conditioning (HVAC) Subsystem (CRHAVS) Instrumentation

LCO 3.3.7.1 Three CRHAVS instrumentation channels associated with the DC and

Uninterruptible AC Electrical Power Distribution Divisions required by

LCO 3.8.6, "Distribution Systems - Operating," and LCO 3.8.7,

"Distribution Systems – Shutdown," for each Function in Table 3.3.7.1-1

shall be OPERABLE.

APPLICABILITY: MODES 1, 2, 3, and 4,

During operations with a potential for draining the reactor vessel

(OPDRVs).

ACTIONS

- NOTE -

Separate Condition entry is allowed for each CRHAVS instrumentation channel.

	CONDITION		REQUIRED ACTION	COMPLETION TIME
A.	One or more Functions with one required instrumentation channel inoperable.	A.1	Restore required channel to OPERABLE status.	12 hours
В.	associated Completion Time of Condition A not met. OR One or more Functions	B.1	Enter the Condition referenced in Table 3.3.7.1-1 for the associated function.	Immediately
	with CRHAVS actuation capability not maintained.			

	ı		T
CONDITION		REQUIRED ACTION	COMPLETION TIME
C. As required by Required Action B.1 and referenced in Table 3.3.7.1-1.	C.1.1	Isolate CRHA boundary.	Immediately
	C.1.2	Place OPERABLE CRHAVS train in isolation mode.	Immediately
	<u>OR</u>		
	C.2	Declare CRHAVS trains inoperable.	Immediately
D. As required by Required Action B.1 and referenced in Table 3.3.7.1-1.	D.1	Declare standby CRHAVS train inoperable.	Immediately

SURVEILLANCE REQUIREMENTS

- NOTE -

Refer to Table 3.3.7.1-1 to determine which SRs apply for each CRHAVS Instrumentation Function.

	SURVEILLANCE	FREQUENCY
SR 3.3.7.1.1	Perform CHANNEL CHECK on each required channel.	12 hours
SR 3.3.7.1.2	Perform CHANNEL FUNCTIONAL TEST on each required channel.	31 days
SR 3.3.7.1.3	Perform CHANNEL CALIBRATION on each required channel consistent with Specification 5.5.11, "Setpoint Control Program (SCP)."	24 months

	SURVEILLANCE	FREQUENCY
SR 3.3.7.1.4		24 months on a STAGGERED TEST BASIS

Table 3.3.7.1-1 (page 1 of 1)
Control Room Habitability Area Heating, Ventilation, and Air Conditioning Subsystem (CRHAVS) Instrumentation

	FUNCTION	CONDITIONS REFERENCED FROM REQUIRED ACTION B.1	SURVEILLANCE REQUIREMENTS	
1.	Control Room Air Intake Radiation – High-High	С	SR 3.3.7.1.1 SR 3.3.7.1.2 SR 3.3.7.1.3 SR 3.3.7.1.4	
2.	Extended Loss of AC Power	С	SR 3.3.7.1.1 SR 3.3.7.1.2 SR 3.3.7.1.3 SR 3.3.7.1.4	
3.	Emergency Filter Unit (EFU) Discharge Flow – Low (primary train)	D	SR 3.3.7.1.1 SR 3.3.7.1.2 SR 3.3.7.1.3 SR 3.3.7.1.4	
4.	EFU Outlet Radiation – High-High (primary train)	D	SR 3.3.7.1.1 SR 3.3.7.1.2 SR 3.3.7.1.3 SR 3.3.7.1.4	

ESBWR 3.3.7.1 - 4 Rev. 6.0, 08/31/09

CRHAVS Actuation 3.3.7.2

3.3 INSTRUMENTATION

3.3.7.2 Control Room Habitability Area (CRHA) Heating, Ventilation, and Air Conditioning (HVAC) Subsystem (CRHAVS) Actuation

LCO 3.3.7.2 Three CRHAVS actuation divisions associated with the DC and

Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.6, "Distribution Systems - Operating," and LCO 3.8.7, "Distribution

Systems - Shutdown," shall be OPERABLE.

APPLICABILITY: MODES 1, 2, 3, and 4,

During operations with a potential for draining the reactor vessel

(OPDRVs).

ACTIONS

CONDITION		REQUIRED ACTION	COMPLETION TIME
One required actuation division inoperable.	A.1	Restore required division to OPERABLE status.	12 hours
B. Required Action and associated Completion Time of Condition A not met.	B.1.1	Isolate CRHA boundary.	Immediately
<u>OR</u>	B.1.2	Place OPERABLE CRHAVS train in isolation mode.	Immediately
CRHAVS actuation capability not		AND	ininediately
maintained.	B.1.3	Declare remaining CRHAVS train inoperable.	Immediately
	<u>OR</u>		
	B.2	Declare affected actuation device(s) inoperable.	Immediately

CRHAVS Actuation 3.3.7.2

SURVEILLANCE REQUIREMENTS

SURVEILLANCE		FREQUENCY
SR 3.3.7.2.1	Perform LOGIC SYSTEM FUNCTIONAL TEST on each required division.	24 months
SR 3.3.7.2.2	Verify CRHAVS RESPONSE TIME of each required division is within limits.	24 months on a STAGGERED TEST BASIS

DPS 3.3.8.1

3.3 INSTRUMENTATION

3.3.8.1 Diverse Protection System (DPS)

LCO 3.3.8.1 The DPS Functions in Table 3.3.8.1-1 shall be OPERABLE.

APPLICABILITY MODES 1, 2, 3, and 4

ACTIONS

- **NOTE** - Separate Condition entry is allowed for each Function.

REQUIRED ACTION CONDITION COMPLETION TIME A. One or more required A.1 Restore required DPS 30 days **DPS Functions** Function to OPERABLE inoperable. status. 12 hours B. Required Action and B.1 Be in MODE 3. associated Completion Time not met. <u>AND</u> B.2 Be in MODE 5. 36 hours

SURVEILLANCE REQUIREMENTS

SURVEILLANCE		FREQUENCY
SR 3.3.8.1.1	Perform CHANNEL CHECK.	12 hours
SR 3.3.8.1.2	Perform CHANNEL FUNCTIONAL TEST.	31 days

ESBWR 3.3.8.1 - 1 Rev. 8.0, 10/01/10

DPS 3.3.8.1

	SURVEILLANCE	FREQUENCY
SR 3.3.8.1.3	Perform CHANNEL CALIBRATION consistent with Specification 5.5.11, "Setpoint Control Program (SCP)."	24 months
SR 3.3.8.1.4	Perform LOGIC SYSTEM FUNCTIONAL TEST.	24 months

DPS 3.3.8.1

Table 3.3.8.1-1 (page 1 of 1) Diverse Protection System

	FUNCTION	SURVEILLANCE REQUIREMENTS
1.	Automatic Depressurization System - Actuation	
	a. Reactor Vessel Level – Low, Level 1	SR 3.3.8.1.1 SR 3.3.8.1.2 SR 3.3.8.1.3 SR 3.3.8.1.4
	b. Drywell Pressure – High (Manual Actuation)	SR 3.3.8.1.1 SR 3.3.8.1.2 SR 3.3.8.1.3 SR 3.3.8.1.4
2.	Gravity-Driven Cooling System Injection Lines - Actuation	
	a. Reactor Vessel Level – Low, Level 1	SR 3.3.8.1.1 SR 3.3.8.1.2 SR 3.3.8.1.3 SR 3.3.8.1.4
	b. Drywell Pressure – High (Manual Actuation)	SR 3.3.8.1.1 SR 3.3.8.1.2 SR 3.3.8.1.3 SR 3.3.8.1.4
3.	Gravity-Driven Cooling System Equalizing Lines - Actuation	
	a. Reactor Vessel Level – Low (Manual Actuation)	SR 3.3.8.1.1 SR 3.3.8.1.2 SR 3.3.8.1.3 SR 3.3.8.1.4
4.	Reactor Water Cleanup/Shutdown Cooling System Lines - Isolation	
	Reactor Water Cleanup/Shutdown Cooling System Differential Mass Flow – High	SR 3.3.8.1.1 SR 3.3.8.1.2 SR 3.3.8.1.3 SR 3.3.8.1.4
5.	Isolation Condenser/Passive Containment Cooling System Expansion Pool to Equipment Pool Cross-Connect - Actuation	
	a. Isolation Condenser/Passive Containment Cooling System Pool Level – Low	SR 3.3.8.1.1 SR 3.3.8.1.2 SR 3.3.8.1.3 SR 3.3.8.1.4

ESBWR 3.3.8.1 - 3 Rev. 8.0, 10/01/10

SRVs 3.4.1

3.4 REACTOR COOLANT SYSTEM (RCS)

3.4.1 Safety Relief Valves (SRVs)

LCO 3.4.1 The safety mode of two SRVs shall be OPERABLE.

APPLICABILITY: MODES 1, 2, 3, and 4.

ACTIONS

	CONDITION		REQUIRED ACTION	COMPLETION TIME
	One required SRV noperable.	A.1	Restore required SRV to OPERABLE status.	14 days
a	Required Action and associated Completion Time not met.	B.1 <u>AND</u>	Be in MODE 3.	12 hours
<u>O</u>	<u>DR</u>	B.2	Be in MODE 5.	36 hours
	wo required SRVs noperable.			

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.4.1.1	Verify the safety mode lift setpoints of the required SRVs are within 8.366 ± 0.251 MPaG (1213 \pm 36.39 psig).	In accordance with Inservice Testing Program
	Following testing, lift settings shall be within ± 1%.	

ESBWR 3.4.1 - 1 Rev. 6.0, 08/31/09

RCS Operational LEAKAGE 3.4.2

3.4 REACTOR COOLANT SYSTEM (RCS)

3.4.2 RCS Operational LEAKAGE

LCO 3.4.2 RCS operational LEAKAGE shall be limited to:

- a. No pressure boundary LEAKAGE;
- b. ≤ 19 L/min (5 gpm) unidentified LEAKAGE; and
- c. ≤ 114 L/min (30 gpm) total LEAKAGE averaged over the previous 24-hour period.

APPLICABILITY: MODES 1, 2, 3, and 4.

ACTIONS

CONDITION		REQUIRED ACTION	COMPLETION TIME
A. RCS LEAKAGE not within limits for reasons other than pressure boundary LEAKAGE.	A.1	Reduce LEAKAGE to within limits.	4 hours
B. Required Action and associated Completion Time of Condition A not met.	B.1 <u>AND</u>	Be in MODE 3.	12 hours
<u>OR</u>	B.2	Be in MODE 5.	36 hours
Pressure boundary LEAKAGE exists.			

ESBWR 3.4.2 - 1 Rev. 2.0, 12/22/06

RCS Operational LEAKAGE 3.4.2

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.4.2.1	Verify RCS unidentified and total LEAKAGE are within limits.	12 hours

ESBWR 3.4.2 - 2 Rev. 2.0, 12/22/06

RCS Specific Activity 3.4.3

3.4 REACTOR COOLANT SYSTEM (RCS)

3.4.3 RCS Specific Activity

LCO 3.4.3 The specific activity of the reactor coolant shall be limited to DOSE

EQUIVALENT I-131 specific activity ≤ 7400 Bq/gm (0.2 μCi/gm).

APPLICABILITY: MODES 1, 2, 3, and 4.

ACTIONS

	CONDITION		REQUIRED ACTION	COMPLETION TIME
 A. Reactor coolant specific activity > 7400 Bq/gm (0.2 μCi/gm) and ≤ 148,000 Bq/gm 				
	(4.0 µCi/gm) DOSE EQUIVALENT I-131.	A.1	Determine DOSE EQUIVALENT I-131.	Once per 4 hours
		<u>AND</u>		
		A.2	Restore DOSE EQUIVALENT I-131 to within limits.	48 hours
В.	Required Action and associated Completion Time of Condition A not	B.1	Determine DOSE EQUIVALENT I-131.	Once per 4 hours
	met.	<u>AND</u>		
	<u>OR</u>	B.2	Be in MODE 3.	12 hours
	Reactor coolant specific activity > 148,000 Bq/gm (4.0 µCi/gm) DOSE EQUIVALENT I-131.	AND B.3	Be in MODE 5.	36 hours

RCS Specific Activity 3.4.3

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.4.3.1		
	Verify reactor coolant DOSE EQUIVALENT I-131 specific activity is ≤ 7400 Bq/gm (0.2 μCi/gm).	7 days

RCS P/T Limits 3.4.4

3.4 REACTOR COOLANT SYSTEM (RCS)

3.4.4 RCS Pressure and Temperature (P/T) Limits

COL 16.0-1-A 3.4.4-1

LCO 3.4.4

RCS pressure, RCS temperature, and RCS heatup and cooldown rates

shall be maintained within the limits specified in [the PTLR].

APPLICABILITY: At all times.

ACTIONS

CONDITION		REQUIRED ACTION	COMPLETION TIME
	A.1	Restore parameter(s) to within limits.	30 minutes
A. Requirements of the LCO not met in MODES 1, 2, 3, and 4.	A.2	Determine RCS is acceptable for continued operation.	72 hours
B. Required Action and associated Completion Time of Condition A not	B.1 <u>AND</u>	Be in MODE 3.	12 hours
met.	B.2	Be In MODE 5.	36 hours

RCS P/T Limits 3.4.4

CONDITION	REQUIRED ACTION	COMPLETION TIME
	C.1 Initiate action to restore parameter(s) to within limits. AND	Immediately
C. Requirements of the LCO not met in other than MODES 1, 2, 3, and 4.	C.2 Determine RCS is acceptable for operation.	Prior to entering MODE 2 or 4

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE			
SR 3.4.4.1				
COL 16.0-1-A 3.4.4-1	Verify RCS pressure, RCS temperature, and RCS heatup and cooldown rates are within the limits specified in [the PTLR].	30 minutes		
SR 3.4.4.2 COL 16.0-1-A 3.4.4-1	Verify RCS pressure and RCS temperature are within the criticality limits specified in [the PTLR].	Once within 15 minutes prior to control rod withdrawal for the purpose of achieving criticality		

RCS P/T Limits 3.4.4

	SURVEILLANCE	FREQUENCY
SR 3.4.4.3		
COL 16.0-1-A 3.4.4-1	Verify reactor vessel flange and head flange temperatures are within the limits specified in [the PTLR].	30 minutes
SR 3.4.4.4 COL 16.0-1-A 3.4.4-2		
COL 16.0-1-A 3.4.4-1	Verify reactor vessel flange and head flange temperatures are within the limits specified in [the PTLR].	30 minutes
SR 3.4.4.5 COL 16.0-1-A 3.4.4-2		
COL 16.0-1-A 3.4.4-1	Verify reactor vessel flange and head flange temperatures are within the limits specified in [the PTLR].	12 hours

Reactor Steam Dome Pressure 3.4.5

3.4 REACTOR COOLANT SYSTEM (RCS)

3.4.5 Reactor Steam Dome Pressure

LCO 3.4.5 The reactor steam dome pressure shall be ≤ 7.17 MPaG (1040 psig).

APPLICABILITY: MODES 1 and 2.

ACTIONS

CONDITION	REQUIRED ACTION		COMPLETION TIME
Reactor steam dome pressure not within limit.	A.1	Restore reactor steam dome pressure to within limit.	15 minutes
B. Required Action and associated Completion Time not met.	B.1	Be in MODE 3.	12 hours

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.4.5.1	Verify reactor steam dome pressure is ≤ 7.17 MPaG (1040 psig).	12 hours

ESBWR 3.4.5 - 1 Rev. 5.0, 05/31/08

ADS - Operating 3.5.1

- 3.5 Emergency Core Cooling Systems (ECCS)
- 3.5.1 Automatic Depressurization System (ADS) Operating

LCO 3.5.1 The ADS function of ten Safety Relief Valves (SRVs) and eight Depressurization Valves (DPVs) shall be OPERABLE.

APPLICABILITY: MODES 1, 2, 3, and 4.

ACTIONS

CONDITION			REQUIRED ACTION	COMPLETION TIME
Α.	One ADS valve with Diverse Protection System (DPS) initiator inoperable	A.1	Restore DPS initiator to OPERABLE status.	Prior to entering MODE 2 or 4 from MODE 5
В.	Two or more ADS valves with DPS initiator inoperable.	B.1	Restore DPS initiator(s) to OPERABLE status.	30 days
C.	One ADS valve inoperable for reasons other than Condition A.	C.1	Restore ADS valve to OPERABLE status.	14 days
D.	Two or more ADS valves inoperable for reasons other than Condition A or B.	D.1 <u>AND</u> D.2	Be in MODE 3. Be in MODE 5.	12 hours 36 hours
	Required Action and associated Completion Time of Condition A, B or C not met.			

ESBWR 3.5.1 - 1 Rev. 6.0, 08/31/09

ADS - Operating 3.5.1

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.5.1.1	Verify High Pressure Nitrogen Supply System (HPNSS) supply pressure to SRVs is ≥ 2.41 MPaG (350 psig).	31 days
SR 3.5.1.2		
	Verify continuity of DPS initiator and two initiators associated with DC and Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.6, "Distribution Systems - Operating."	31 days
SR 3.5.1.3		
	Verify the function of each SRV actuates on an actual or simulated automatic initiation signal.	24 months
SR 3.5.1.4		
	- NOTE - Squib actuation may be excluded	
	Verify each DPV actuates on an actual or simulated automatic initiation signal.	24 months

GDCS - Operating 3.5.2

- 3.5 Emergency Core Cooling Systems (ECCS)
- 3.5.2 Gravity-Driven Cooling System (GDCS) Operating

LCO 3.5.2 The following GDCS subsystems shall be OPERABLE:

- a. Eight branch lines of the injection subsystem; and
- b. Four trains of the equalizing subsystem.

APPLICABILITY: MODES 1, 2, 3, and 4.

ACTIONS

CONDI	ΓΙΟΝ		REQUIRED ACTION	COMPLETION TIME
A. One or more subsystems Diverse Prof System (DP inoperable.	with one ection	A.1	Restore DPS initiator(s) to OPERABLE status.	Prior to entering MODE 2 or 4 from MODE 5
B. One or more subsystems more DPS ir inoperable.	with two or	B.1	Restore DPS initiators to OPERABLE status.	30 days
C. One branch injection sub inoperable for other than C or B.	system or reasons	C.1	Restore branch line of the injection subsystem to OPERABLE status.	14 days
D. One equaliz inoperable for other than C or B.	or reasons	D.1	Restore equalizing train to OPERABLE status.	14 days

ESBWR 3.5.2 - 1 Rev. 8.0, 10/01/10

GDCS - Operating 3.5.2

		1		_
CONDITION			REQUIRED ACTION	COMPLETION TIME
E.	Two or more branch lines of the injection subsystem inoperable for reasons other than	E.1 <u>AND</u>	Be in MODE 3.	12 hours
	Condition A or B.	E.2	Be in MODE 5.	36 hours
	OR			
	Two or more equalizing trains inoperable for reasons other than Condition A or B.			
	<u>OR</u>			
	Required Action and associated Completion Time of Condition A, B, C, or D not met.			

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.5.2.1	Verify water level in each GDCS pool is ≥ 6.5 meters (21.3 feet).	12 hours
SR 3.5.2.2		31 days
	associated with DC and Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.6, "Distribution Systems - Operating."	

GDCS - Operating 3.5.2

	SURVEILLANCE	FREQUENCY
SR 3.5.2.3		
	Verify GDCS actuates on an actual or simulated automatic initiation signal.	24 months
SR 3.5.2.4	- NOTE - Valve actuation may be excluded Verify the flow path for each GDCS injection branch line is not obstructed.	24 months on a STAGGERED TEST BASIS for each pair of injection branch lines
SR 3.5.2.5	- NOTE - Valve actuation may be excluded Verify the flow path for each GDCS equalizing line is not obstructed.	24 months on a STAGGERED TEST BASIS for each equalizing line

- 3.5 Emergency Core Cooling Systems (ECCS)
- 3.5.3 Gravity-Driven Cooling System (GDCS) Shutdown
- LCO 3.5.3 The following GDCS subsystems shall be OPERABLE:
 - a. Two injection subsystem branch lines associated with each GDCS pool; and
 - b. Two equalizing subsystem trains.

APPLICABILITY: MODE 5,

MODE 6 except with the buffer pool gate removed and water level ≥ 7.01 meters (23.0 feet) over the top of the reactor pressure vessel flange.

ACTIONS

	CONDITION		REQUIRED ACTION	COMPLETION TIME
subs	required injection system branch line erable.	A.1	Restore required subsystems to OPERABLE status.	14 days
<u>OR</u>				
subs	required equalizing system train erable.			
<u>OR</u>				
Dep	required Automatic ressurization System S) valve inoperable.			

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CONDITION	REQUIRED ACTION	COMPLETION TIME
B. Two or more required injection subsystem branch lines inoperable.	B.1 Ensure capability of two methods of injecting a combined water volume equivalent to required GDCS pool volume.	4 hours
C. Two required equalizing subsystem trains inoperable.	C.1 Ensure capability of two methods of injecting a combined water volume equivalent to required suppression pool volume.	4 hours
D. GDCS inoperable due to two or more required ADS valves inoperable.	D.1.1 Establish RCS vent path(s) with relief capacity equivalent to required ADS valves.	4 hours
	<u>OR</u>	
	D.1.2 Ensure capability of two methods of injecting a combined water volume equivalent to required GDCS and suppression pool volumes.	4 hours
	AND	
	D.2 Restore compliance with the LCO.	72 hours

CONDITION		REQUIRED ACTION	COMPLETION TIME
E. GDCS inoperable for reasons other than Condition A, B, or C.	E.1	Ensure capability of two methods of injecting a combined water volume equivalent to required GDCS and suppression pool volumes.	4 hours from discovery of each Condition E entry
	<u>AND</u>		
	E.2	Restore compliance with the LCO.	72 hours
F. Required Action and associated Completion Time not met.	F.1	Initiate action to suspend operations with a potential for draining the reactor vessel (OPDRVs).	Immediately
	<u>AND</u>		
	F.2.1	Initiate action to isolate reactor building refueling and pool area HVAC subsystem (REPAVS) and contaminated area HVAC subsystem (CONAVS) areas.	Immediately
	<u>OF</u>	<u>R</u>	
	F.2.2	Initiate action to establish reactor building REPAVS and CONAVS area automatic isolation capability on respective exhaust high radiation signals.	Immediately

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.5.3.1	Verify water level in each GDCS pool is ≥ 6.5 meters (21.3 feet).	24 hours
SR 3.5.3.2	Verify suppression pool level is ≥ 5.4 meters (17.7 feet).	24 hours
SR 3.5.3.3	- NOTE - 1. Only required to be met in MODE 5 and in MODE 6 prior to removal of the reactor pressure vessel head. 2. Only required to be met for safety relief valves (SRVs) as required to support relief capacity equivalent to 6 depressurization valves (DPVs). Verify SRV accumulator supply pressure is	31 days
SR 3.5.3.4	≥ 2.41 MPaG (350 psig). - NOTE - Not required to be met for one initiator intermittently disabled under administrative controls.	
	Verify continuity of two initiators associated with DC and Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.7, "Distribution Systems - Shutdown," for each required GDCS valve and for ADS valves required to support relief capacity equivalent to 6 DPVs.	31 days

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	SURVEILLANCE	FREQUENCY
SR 3.5.3.5		24 months
SR 3.5.3.6	For GDCS injection branch lines and equalizing lines required to be OPERABLE, SRs 3.5.2.4 and 3.5.2.5 are applicable.	In accordance with applicable SRs

ESBWR 3.5.3 - 5 Rev. 8.0, 10/01/10

ICS - Operating 3.5.4

3.5 Emergency Core Cooling Systems (ECCS)

3.5.4 Isolation Condenser System (ICS) - Operating

LCO 3.5.4 Four ICS trains shall be OPERABLE.

APPLICABILITY: MODES 1 and 2,

MODES 3 and 4 when < 2 hours since reactor was critical.

ACTIONS

	CONDITION		REQUIRED ACTION	COMPLETION TIME
	e ICS train perable.	A.1	Restore ICS train to OPERABLE status.	14 days
	o or more ICS trains perable.	B.1	Be in MODE 3.	12 hours
<u>OR</u>				
ass	quired Action and ociated Completion ne not met.			

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.5.4.1	Verify each ICS train manual, power operated, and automatic valve in the flow path that is not locked, sealed, or otherwise secure in position is in the correct position.	31 days

ESBWR 3.5.4 - 1 Rev. 6.0, 08/31/09

ICS - Operating 3.5.4

	SURVEILLANCE	FREQUENCY
SR 3.5.4.2	Verify High Pressure Nitrogen Supply System (HPNSS) pressure to each nitrogen operated ICS valve is ≥ 1.13 MPaG (164 psig).	31 days
SR 3.5.4.3		
	Verify continuity of two initiators associated with DC and Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.6, "Distribution Systems - Operating."	31 days
SR 3.5.4.4	Verify each ICS subcompartment manual isolation valve is locked open.	24 months
SR 3.5.4.5	Verify ICS actuates on an actual or simulated automatic initiation signal.	24 months
SR 3.5.4.6	Verify each ICS train is capable of removing the required heat load.	Prior to exceeding 25% RTP if not performed in the previous 24 months on a STAGGERED TEST BASIS

3.5 Emergency Core Cooling Systems (ECCS)

3.5.5 Isolation Condenser System (ICS) - Shutdown

LCO 3.5.5 Two ICS trains shall be OPERABLE.

APPLICABILITY: MODES 3 and 4 when ≥ 2 hours since reactor was critical,

MODE 5.

ACTIONS

CONDITION		REQUIRED ACTION	COMPLETION TIME
One or more required ICS trains inoperable.	A.1	Initiate action to restore required ICS trains to OPERABLE status.	Immediately
	<u>AND</u>		
	A.2	Verify an alternate method	1 hour
		of decay heat removal is available for each	AND
		inoperable required ICS train.	Once per 24 hours thereafter
	<u>AND</u>		
	A.3	Verify at least one method of	1 hour
		decay heat removal is in operation.	AND
			Once per 12 hours thereafter
	AND		
	A.4	Monitor reactor coolant temperature and pressure.	Once per hour

CONDITION	REQUIRED ACTION	COMPLETION TIME
B. Required Action and associated Completion Time not met.	B.1 Initiate action to isolate reactor building refueling and pool area HVAC subsystem (REPAVS) and contaminated area HVAC subsystem (CONAVS) areas.	Immediately
	<u>OR</u>	
	B.2 Initiate action to establish reactor building REPAVS and CONAVS area automatic isolation capability on respective exhaust high radiation signals	Immediately

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE		
SR 3.5.5.1	Verify each ICS train manual, power operated, and automatic valve in the flow path that is not locked, sealed, or otherwise secure in position is in the correct position or can be aligned to the correct position.	31 days	
SR 3.5.5.2	Verify High Pressure Nitrogen Supply System (HPNSS) pressure to each nitrogen operated ICS valve is ≥ 1.13 MPaG (164 psig).	31 days	

	SURVEILLANCE	FREQUENCY
SR 3.5.5.3	- NOTE - Not required to be met for one initiator intermittently disabled under administrative controls.	
	Verify continuity of two initiators associated with DC and Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.6, "Distribution Systems - Operating," and LCO 3.8.7, "Distribution Systems - Shutdown."	31 days
SR 3.5.5.4	Verify required ICS pool subcompartment manual isolation valves are locked open.	24 months
SR 3.5.5.5	Verify ICS actuates on an actual or simulated automatic initiation signal.	24 months
SR 3.5.5.6	For ICS trains required to be OPERABLE, SR 3.5.4.6 is applicable.	In accordance with SR 3.5.4.6

Containment 3.6.1.1

3.6 CONTAINMENT SYSTEMS

3.6.1.1 Containment

LCO 3.6.1.1 Containment shall be OPERABLE.

APPLICABILITY: MODES 1, 2, 3, and 4.

ACTIONS

CONDITION		REQUIRED ACTION	COMPLETION TIME
A. Containment inoperable.	A.1	Restore containment to OPERABLE status.	1 hour
B. Required Action and associated Completion Time not met.	B.1 <u>AND</u>	Be in MODE 3.	12 hours
	B.2	Be in MODE 5.	36 hours

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.6.1.1.1	Perform required visual examinations and leakage rate testing except for containment air lock testing, in accordance with Containment Leakage Rate Testing Program.	In accordance with Containment Leakage Rate Testing Program
SR 3.6.1.1.2	Verify combined feedwater flow isolation valve pathway inleakage is < 900 cc per min (0.238 gpm) when tested at \geq 450 and \leq 500 kPa (\geq 66 and \leq 73 psi).	24 months

ESBWR 3.6.1.1 - 1 Rev. 6.0, 08/31/09

Containment 3.6.1.1

	SURVEILLANCE	FREQUENCY
SR 3.6.1.1.3		
	Verify each wetwell-to-drywell vacuum breaker and vacuum breaker isolation valve leakage is ≤ 15% of design basis A/√K.	24 months
SR 3.6.1.1.4		
	Verify total wetwell-to-drywell vacuum breaker and vacuum breaker isolation valve pathway leakage is ≤ 35% of design basis A/√K.	24 months
SR 3.6.1.1.5	Verify overall suppression pool bypass leakage is ≤ 50% of design basis A/√K.	24 months

3.6 CONTAINMENT SYSTEMS

3.6.1.2 Containment Air Lock

LCO 3.6.1.2 Two containment air locks shall be OPERABLE.

APPLICABILITY: MODES 1, 2, 3, and 4.

ACTIONS

- NOTES -

- 1. Entry and exit are permissible to perform repairs on the affected air lock components.
- 2. Separate Condition entry is allowed for each air lock.
- 3. Enter applicable Conditions and Required Actions of LCO 3.6.1.1, "Containment," when air lock leakage results in exceeding the overall containment leakage rate acceptance criteria.

ESBWR 3.6.1.2 - 1 Rev. 5.0, 05/31/08

CONDITION	REQUIRED ACTION	COMPLETION TIME
A. One or more containment air locks with one containment air lock door inoperable.		
	A.1 Verify the OPERABLE door is closed in the affected air lock. AND	1 hour
	A.2 Lock the OPERABLE door closed in the affected air lock.	24 hours
	A.3 -NOTE- Air Lock Doors in high radiation areas may be verified locked closed by administrative means. Verify the OPERABLE door is locked closed in the affected airlock.	Once per 31 days

CONDITION	REQUIRED ACTION	COMPLETION TIME
B. One or more containment air locks with containment air lock interlock mechanism inoperable.	- NOTES - 1. Required Actions B.1, B.2 and B.3 are not applicable if both doors in the same airlock are inoperable and Condition C entered. 2. Entry and exit are permissible under the control of a dedicated individual.	
	B.1 Verify an OPERABLE door is closed in the affected air lock. AND	1 hour
	B.2 Lock an OPERABLE door closed in the affected air lock.	24 hours
	B.3 NOTE- Air Lock Doors in high radiation areas may be verified locked closed by administrative means. Verify an OPERABLE door is locked closed in the affected air lock.	Once per 31 days

CONDITION		REQUIRED ACTION	COMPLETION TIME
C. One or more containment air locks inoperable for reasons other than Condition A or B.	C.1	Initiate action to evaluate containment overall leakage rate per LCO 3.6.1.1, using current air lock test results.	Immediately
	C.2	Verify a door is closed in the affected air lock.	1 hour
	AND		
	C.3	Restore air lock to OPERABLE status.	24 hours
D. Required Action and associated Completion Time not met.	D.1 <u>AND</u>	Be in MODE 3.	12 hours
	D.2	Be in MODE 5.	36 hours

SURVEILLANCE REQUIREMENTS

		SURVEILLANCE	FREQUENCY
SR 3.6.1.2.1	1. 2.	- NOTES - An inoperable air lock door does not invalidate the previous successful performance of the overall air lock leakage test. Results shall be evaluated against acceptance criteria applicable to SR 3.6.1.1.1.	In accordance with
	Perform required containment air lock leakage rate testing in accordance with the Containment Leakage Rate Testing Program.		In accordance with the Containment Leakage Rate Testing Program

	SURVEILLANCE	FREQUENCY
SR 3.6.1.2.2	Verify only one door in the containment air lock can be opened at a time.	24 months

3.6 CONTAINMENT SYSTEMS

3.6.1.3 Containment Isolation Valves (CIVs)

LCO 3.6.1.3 Each CIV shall be OPERABLE.

APPLICABILITY: MODES 1, 2, 3, and 4.

ACTIONS

- NOTES -

- 1. Penetration flow paths may be opened intermittently under administrative controls.
- 2. Separate Condition entry is allowed for each penetration flow path.
- 3. Enter applicable Conditions and Required Actions for supported systems made inoperable by CIVs.
- 4. Enter applicable Conditions and Required Actions of LCO 3.6.1.1, "Containment," when CIV leakage results in exceeding overall containment leakage rate acceptance criteria in MODES 1, 2, 3, and 4.

CONDITION	REQUIRED ACTION	COMPLETION TIME
A. One or more reactor water cleanup / shutdown cooling (RWCU/SDC) system penetration flow path(s) diverse protection system (DPS) initiator inoperable.	Restore DPS initiator to OPERABLE status.	30 days

ESBWR 3.6.1.3 - 1 Rev. 6.0, 08/31/09

CONDITION			COMPLETION TIME
CONDITION		REQUIRED ACTION	COMPLETION TIME
B. One or more penetration flow paths with one CIV inoperable for reasons other than Condition A or D.	B.1	Isolate the affected penetration flow path by use of at least one closed and deactivated automatic valve, closed manual valve, check valve with flow secured, or blind flange.	4 hours except for main steam line AND 8 hours for main steam line
	<u>AND</u>		
	B.2	Verify the affected penetration flow path is isolated.	Once per 31 days for isolation devices outside containment
			AND
			Prior to entering MODE 2 or 4 from MODE 5, if containment was de- inerted while in MODE 5, if not performed within the previous 92 days, for isolation devices inside containment
C. One or more penetration flow paths with two or more CIVs inoperable for reasons other than Condition A or D.	C.1	Isolate the affected penetration flow path by use of at least one closed and deactivated automatic valve, closed manual valve, or blind flange.	1 hour
D. MSIV leakage rate or feedwater line leakage rate not within limit.	D.1	Restore leakage rate to within limit.	8 hours

CONDITION	REQUIRE	D ACTION	COMPLETION TIME
Required Action and associated Completion	E.1 Be in MO	DE 3.	12 hours
time not met.	<u>AND</u>		
	E.2 Be in MO	DE 5.	36 hours
	E.2 Be in MO	DE 5.	36 hours

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.6.1.3.1		
	Verify each containment purge valve is closed.	31 days
SR 3.6.1.3.2	- NOTE - Not required to be met on CIVs that are open under administrative controls.	31 days
	located outside containment and not locked, sealed, or otherwise secured and is required to be closed during accident conditions is closed.	

	SURVEILLANCE	FREQUENCY	
SR 3.6.1.3.3	SR 3.6.1.3.3		
	Verify continuity for each automatic CIV of: a. Required safety-related initiators associated with DC and Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.6, "Distribution Systems - Operating," for each CIV; and	31 days	
	b. DPS initiator for each RWCU/SDC CIV.		
SR 3.6.1.3.4		Prior to entering	
	located inside containment and not locked, sealed, or otherwise secured and is required to be closed during accident conditions is closed.	MODE 2 or 4 from MODE 5 if containment was de-inerted while in MODE 5, if not performed within the previous 92 days	
SR 3.6.1.3.5	Verify the isolation time of each power operated automatic CIV, except for MSIVs, is within limits.	In accordance with the Inservice Testing Program	
SR 3.6.1.3.6	Verify the full closure isolation time of each MSIV is ≥ 3 seconds and ≤ 5 seconds.	24 months	

	SURVEILLANCE	FREQUENCY
SR 3.6.1.3.7	Verify each automatic CIV actuates to the isolation position on an actual or simulated isolation signal.	24 months
SR 3.6.1.3.8	Verify a representative sample of reactor instrumentation line excess flow check valves actuate on a simulated instrument line break to restrict flow.	24 months
SR 3.6.1.3.9	Verify combined MSIV leakage rate through all four main steam lines is \leq 1.57 E-03 standard m ³ /sec (200 scfh) when tested at \geq P _a .	In accordance with the Containment Leakage Rate Testing Program
SR 3.6.1.3.10	Verify combined feedwater isolation valve leakage rate through both feedwater lines is \leq 7.00E-04 standard m³/min (2.47E-02 scfm) when tested at P _a .	In accordance with the Containment Leakage Rate Testing Program

Drywell Pressure 3.6.1.4

3.6 CONTAINMENT SYSTEMS

3.6.1.4 Drywell Pressure

LCO 3.6.1.4 Drywell pressure shall be ≤ 106.9 kPa (15.5 psia).

APPLICABILITY: MODES 1, 2, 3, and 4.

ACTIONS

CONDITION		REQUIRED ACTION	COMPLETION TIME
Drywell pressure not within limit.	A.1	Restore drywell pressure to within limit.	1 hour
B. Required Action and associated Completion Time not met.	B.1 <u>AND</u>	Be in MODE 3.	12 hours
	B.2	Be in MODE 5.	36 hours

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.6.1.4.1	Verify drywell pressure is within limit.	12 hours

ESBWR 3.6.1.4 - 1 Rev. 6.0, 08/31/09

Drywell Air Temperature 3.6.1.5

3.6 CONTAINMENT SYSTEMS

3.6.1.5 Drywell Air Temperature

LCO 3.6.1.5 Drywell average air temperature shall be ≤ 65.5°C (150°F).

APPLICABILITY: MODES 1, 2, 3, and 4.

ACTIONS

CONDITION		REQUIRED ACTION	COMPLETION TIME
A. Drywell average air temperature not within limit.	A.1	Restore drywell average air temperature to within limit.	8 hours
B. Required Action and associated Completion Time not met.	B.1 <u>AND</u>	Be in MODE 3.	12 hours
	B.2	Be in MODE 5.	36 hours

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.6.1.5.1	Verify drywell average air temperature is within limit.	24 hours

ESBWR 3.6.1.5 - 1 Rev. 5.0, 05/31/08

Wetwell-to-Drywell Vacuum Breakers 3.6.1.6

3.6 CONTAINMENT SYSTEMS

3.6.1.6 Wetwell-to-Drywell Vacuum Breakers

LCO 3.6.1.6 Two wetwell-to-drywell vacuum breaker flow paths shall be OPERABLE for opening.

<u>AND</u>

Three wetwell-to-drywell vacuum breaker flow path isolation functions shall be OPERABLE, with each vacuum breaker closed except when performing its intended function.

APPLICABILITY: MODES 1, 2, 3, and 4.

ACTIONS

	CONDITION		REQUIRED ACTION	COMPLETION TIME
A.	One required wetwell-to-drywell vacuum breaker inoperable for opening. OR One required wetwell-to-drywell vacuum breaker isolation valve not open.	A.1	Restore required wetwell-to- drywell vacuum breaker flow path to OPERABLE for opening status.	7 days
В.	One wetwell-to-drywell vacuum breaker not closed. OR One wetwell-to-drywell vacuum breaker flow path isolation function inoperable.	B.1	Isolate affected wetwell-to- drywell vacuum breaker flow path.	8 hours

ESBWR 3.6.1.6 - 1 Rev. 5.0, 05/31/08

Wetwell-to-Drywell Vacuum Breakers 3.6.1.6

CONDITION		REQUIRED ACTION	COMPLETION TIME
C. One wetwell-to-drywell vacuum breaker not closed. AND Associated wetwell-to-drywell vacuum breake flow path isolation function inoperable.	C.1	Isolate the affected wetwell- to-drywell vacuum breaker flow path.	1 hour
D. Two required wetwell-to drywell vacuum breake flow paths inoperable.		Restore one required wetwell-to-drywell vacuum breaker flow path to OPERABLE status.	1 hour
E. Required Action and associated Completion Time not met.	E.1 AND E.2	Be in MODE 3. Be in MODE 5.	12 hours 36 hours

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.6.1.6.1	Verify each vacuum breaker is closed.	14 days
SR 3.6.1.6.2	Verify each required vacuum breaker isolation valve is open.	31 days
SR 3.6.1.6.3	Verify each required vacuum breaker opens at \leq 3.07 kPaD (0.445 psid).	24 months

ESBWR 3.6.1.6 - 2 Rev. 5.0, 05/31/08

Wetwell-to-Drywell Vacuum Breakers 3.6.1.6

	SURVEILLANCE	FREQUENCY
SR 3.6.1.6.4	Perform CHANNEL CALIBRATION of each vacuum breaker flow path isolation function consistent with Specification 5.5.11, "Setpoint Control Program (SCP)."	24 months
SR 3.6.1.6.5	Perform a system functional test of each vacuum breaker flow path isolation function.	24 months

ESBWR 3.6.1.6 - 3 Rev. 5.0, 05/31/08

PCCS 3.6.1.7

3.6 CONTAINMENT SYSTEMS

3.6.1.7 Passive Containment Cooling System (PCCS)

LCO 3.6.1.7 Six PCCS condensers shall be OPERABLE.

APPLICABILITY: MODES 1, 2, 3, and 4.

ACTIONS

CONDITION		REQUIRED ACTION	COMPLETION TIME
A. One or more PCCS condensers inoperable.	A.1	Restore PCCS condensers to OPERABLE status.	8 hours
B. Required Action and associated Completion Time not met.	B.1 <u>AND</u>	Be in MODE 3.	12 hours
	B.2	Be in MODE 5.	36 hours

ESBWR 3.6.1.7 - 1 Rev. 8.0, 10/01/10

PCCS 3.6.1.7

	SURVEILLANCE	FREQUENCY
SR 3.6.1.7.1	Verify that the spectacle flanges for the vent and drain line for each PCCS condenser are in the free flow position.	Prior to entering MODE 2 or 4 from MODE 5 if containment was de-inerted while in MODE 5, if not performed within the previous 92 days
SR 3.6.1.7.2	Verify each PCCS subcompartment manual isolation valve is locked open.	24 months
SR 3.6.1.7.3	Verify that both modules in each PCCS condenser have an unobstructed path from the drywell inlet through the condenser tubes to the following: a. the GDCS pool through the drain line; and b. the suppression pool through the vent line.	24 months on a STAGGERED TEST BASIS for each PCCS condenser
SR 3.6.1.7.4	Visually examine each PCCS vent catalyst module and verify there is no evidence of abnormal conditions.	24 months on a STAGGERED TEST BASIS for each PCCS condenser
SR 3.6.1.7.5	Verify performance of a representative sample of PCCS vent catalyst module plates.	24 months on a STAGGERED TEST BASIS for each PCCS condenser

Containment Oxygen Concentration 3.6.1.8

3.6 CONTAINMENT SYSTEMS

3.6.1.8 Containment Oxygen Concentration

LCO 3.6.1.8 Containment oxygen concentration shall be < 4.0 volume percent.

APPLICABILITY: During the time period:

- a. From 24 hours after THERMAL POWER > 15% RTP following startup,
- b. Until 24 hours prior to THERMAL POWER ≤ 15% RTP.

ACTIONS

CONDITION		REQUIRED ACTION	COMPLETION TIME
Containment oxygen concentration not within limit.	A.1	Restore oxygen concentration to within limit.	24 hours
B. Required Action and associated Completion Time not met.	B.1	Reduce THERMAL POWER to ≤ 15% RTP.	8 hours

SURVEILLANCE REQUIREMENTS

	FREQUENCY	
SR 3.6.1.8.1	Verify containment oxygen concentration is within limit.	7 days

ESBWR 3.6.1.8 - 1 Rev. 5.0, 05/31/08

Suppression Pool Average Temperature 3.6.2.1

3.6 CONTAINMENT SYSTEMS

3.6.2.1 Suppression Pool Average Temperature

LCO 3.6.2.1 Suppression pool average temperature shall be:

- a. ≤ 43.3°C (110°F) with THERMAL POWER > 1% of RTP, and no testing that adds heat to the suppression pool is being performed.
- b. ≤ 46.1°C (115°F) with THERMAL POWER > 1% of RTP and testing that adds heat to the suppression pool is being performed.
- c. ≤ 48.9 °C (120°F) with THERMAL POWER $\leq 1\%$ of RTP.

APPLICABILITY: MODES 1, 2, 3, and 4.

ACTIONS

CONDITION	REQUIRED ACTION	COMPLETION TIME
A. Suppression pool average temperature > 43.3°C (110°F) but ≤ 48.9°C (120°F).	A.1 Verify suppression pool average temperature is ≤ 48.9°C (120°F).	Once per hour
AND THERMAL POWER > 1% RTP. AND Not performing testing that adds heat to the suppression pool.	A.2 Restore suppression pool average temperature to ≤ 43.3°C (110°F).	24 hours
B. Required Action and associated Completion Time of Condition A not met.	B.1 Reduce THERMAL POWER to ≤ 1% RTP.	12 hours

ESBWR 3.6.2.1 - 1 Rev. 5.0, 05/31/08

Suppression Pool Average Temperature 3.6.2.1

	CONDITION		REQUIRED ACTION	COMPLETION TIME
C.	Suppression pool average temperature > 46.1°C (115°F).	C.1	Suspend all testing that adds heat to the suppression pool.	Immediately
	AND			
	THERMAL POWER > 1% RTP.			
	AND			
	Performing testing that adds heat to the suppression pool.			
D.	Suppression pool average temperature > 48.9°C (120°F).	D.1	Place the reactor mode switch in the shutdown position.	Immediately
		<u>AND</u>		
		D.2	Determine suppression pool average temperature.	Once per 30 minutes
		<u>AND</u>		
		D.3	Be in MODE 5.	36 hours
E.	Suppression pool average temperature > 54.4°C (130°F).	E.1	Be in MODE 5.	12 hours

	SURVEILLANCE	FREQUENCY
SR 3.6.2.1.1	Verify suppression pool average temperature is within the applicable limits.	24 hours

Suppression Pool Water Level 3.6.2.2

3.6 CONTAINMENT SYSTEMS

3.6.2.2 Suppression Pool Water Level

LCO 3.6.2.2 Suppression pool water level shall be \geq 5.4 meters (17.7 feet) and \leq 5.5 meters (18.0 feet).

APPLICABILITY: MODES 1, 2, 3, and 4.

ACTIONS

CONDITION		REQUIRED ACTION	COMPLETION TIME
Suppression pool water level not within limits.	A.1	Restore suppression pool water level to within limits.	2 hours
B. Required Action and associated Completion Time not met.	B.1 <u>AND</u>	Be in MODE 3.	12 hours
	B.2	Be in MODE 5.	36 hours

	FREQUENCY	
SR 3.6.2.2.1	Verify suppression pool water level is within limits.	24 hours

Reactor Building (CONAVS Area) 3.6.3.1

3.6 CONTAINMENT SYSTEMS

3.6.3.1 Reactor Building (Contaminated Area Ventilation Subsystem (CONAVS) Area)

LCO 3.6.3.1 The Reactor Building (CONAVS area) shall be OPERABLE.

APPLICABILITY: MODES 1, 2, 3, and 4.

ACTIONS

- NOTES -

- 1. Reactor Building (CONAVS area) boundary may be opened intermittently under administrative controls.
- 2. Separate Condition entry is allowed for each penetration flow path.

CONDITION		REQUIRED ACTION	COMPLETION TIME
One or more penetration flow paths with one Reactor Building (CONAVS area) boundary isolation damper inoperable.	A.1	Isolate the affected flow path by use of at least one closed and de-activated automatic damper, closed manual damper, or blind flange.	7 days
	A.2	Verify the affected penetration flow path is isolated.	Once per 31 days
B. One or more penetration flow paths with two Reactor Building (CONAVS area) boundary isolation dampers inoperable.	B.1	Isolate the affected flow path by use of at least one closed and de-activated automatic damper, closed manual damper, or blind flange.	48 hours

ESBWR 3.6.3.1 - 1 Rev. 7.0, 03/10/10

Reactor Building (CONAVS Area) 3.6.3.1

CONDITION		REQUIRED ACTION	COMPLETION TIME
C. Reactor Building (CONAVS area) inoperable for reasons other than Condition A or B.	C.1	Restore Reactor Building to OPERABLE status.	24 hours
D. Required Action and associated Completion Time not met.	D.1 AND	Be in MODE 3.	12 hours
	D.2	Be in MODE 5.	36 hours

	SURVEILLANCE	FREQUENCY
SR 3.6.3.1.1	Verify all Reactor Building (CONAVS area) equipment hatches are closed.	31 days
SR 3.6.3.1.2	Verify one Reactor Building (CONAVS area) access door in each access opening is closed, except when the access opening is being used for entry and exit.	31 days
SR 3.6.3.1.3	- NOTE - Not required to be met for one initiator circuit intermittently disabled under administrative controls. Verify continuity of required safety-related initiators associated with DC and Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.6, "Distribution Systems - Operating," for each Reactor Building boundary isolation damper.	31 days

Reactor Building (CONAVS Area) 3.6.3.1

	FREQUENCY	
SR 3.6.3.1.4	Verify Reactor Building (CONAVS area) boundary isolation dampers actuate on an actual or simulated isolation signal.	24 months
SR 3.6.3.1.5	Verify Reactor Building (CONAVS area) exfiltration rate within limits.	24 months

ESBWR 3.6.3.1 - 3 Rev. 7.0, 03/10/10

3.7 PLANT SYSTEMS

3.7.1 Isolation Condenser/Passive Containment Cooling System (IC/PCCS) Pools

LCO 3.7.1 The IC/PCCS pools shall be OPERABLE.

APPLICABILITY: MODES 1, 2, 3, and 4.

ACTIONS

CONDITION	J	REQI	JIRED ACTION	COMPLETION TIME
A. One or both IC/F expansion pools equipment pool connect valve Di Protection Syste initiator inoperab	with one cross- verse m (DPS)		ore DPS initiator(s) to RABLE status.	Prior to entering MODE 2 or 4 from MODE 5
B. One or both IC/F expansion pools both equipment cross-connect valinitiators inopera	with pool alve DPS		ore DPS initiator(s) to RABLE status.	30 days
C. One or both IC/F expansion pools equipment pool connection line inoperable for re other than Condi	with one asons	pool-	ore IC/PCCS expansion to-equipment pool) to OPERABLE status.	30 days
D. One required IC/ expansion pool I instrumentation of inoperable.	evel		ore required channel to RABLE status.	20 hours

ESBWR 3.7.1 - 1 Rev. 8.0, 10/01/10

	CONDITION		REQUIRED ACTION	COMPLETION TIME
E.	One required IC/PCCS expansion pool-to-equipment pool cross-connect actuation logic division inoperable.	E.1	Restore required division to OPERABLE status.	20 hours
F.	IC/PCCS pool inoperable for reasons other than Condition A, B, C, D, or E.	F.1	Restore IC/PCCS pools to OPERABLE status.	8 hours
G.	Required Action and associated Completion Time of Condition A, B, C, D, E, or F not met.	G.1 <u>AND</u> G.2	Be in MODE 3. Be in MODE 5.	12 hours 36 hours

	SURVEILLANCE	FREQUENCY
SR 3.7.1.1	Perform CHANNEL CHECK on each required IC/PCCS expansion pool level instrumentation channel.	12 hours
SR 3.7.1.2	Verify water levels in the IC/PCCS expansion pools are ≥ 4.8 meters (15.75 feet).	24 hours
SR 3.7.1.3		
	Verify water levels in the equipment pool and reactor well are ≥ 6.7 meters (22.0 feet).	24 hours

	SURVEILLANCE	FREQUENCY
SR 3.7.1.4	Verify average water temperature in available IC/PCCS pools is ≤ 43.3°C (110°F).	24 hours
SR 3.7.1.5	Verify supply pressure to each IC/PCCS expansion pool-to-equipment pool cross-connect valve accumulator is ≥ 0.62 MPaG (90 psig).	31 days
SR 3.7.1.6		
	Verify continuity of DPS initiator and two safety-related initiators associated with DC and Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.6, "Distribution Systems - Operating," for each IC/PCCS expansion pool-to-equipment pool cross-connect valve.	31 days
SR 3.7.1.7	Perform CHANNEL FUNCTIONAL TEST on each required IC/PCCS expansion pool level instrumentation channel.	31 days
SR 3.7.1.8	Verify the manual isolation valve on each expansion pool-to-equipment pool line and between each IC/PCCS expansion pool partition is locked open.	24 months
SR 3.7.1.9		
	Verify the reactor well-to-equipment pool gate is not installed.	24 months

	SURVEILLANCE	FREQUENCY
SR 3.7.1.10		
	Verify each IC/PCCS expansion pool-to-equipment pool cross-connect valve actuates on an actual or simulated automatic initiation signal.	24 months
SR 3.7.1.11	Perform CHANNEL CALIBRATION on each required IC/PCCS expansion pool level instrumentation channel consistent with Specification 5.5.11, "Setpoint Control Program (SCP)."	24 months
SR 3.7.1.12	Perform LOGIC SYSTEM FUNCTIONAL TEST on each required division of the IC/PCCS expansion pool-to-equipment pool cross-connect actuation logic.	24 months
SR 3.7.1.13	Verify each IC/PCCS pool subcompartment has an unobstructed path through moisture separator to the atmosphere.	48 months on a STAGGERED TEST BASIS for the flow path associated with each moisture separator

CRHAVS 3.7.2

3.7 PLANT SYSTEMS

3.7.2 Control Room Habitability Area (CRHA) Heating, Ventilation, and Air Conditioning (HVAC) Subsystem (CRHAVS)

LCO 3.7.2

Two CRHAVS trains associated with the DC and Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.6, "Distribution Systems – Operating," and LCO 3.8.7, "Distribution Systems – Shutdown," shall be OPERABLE.

- NOTE -

The control room habitability area (CRHA) boundary may be opened intermittently under administrative control.

APPLICABILITY:

MODES 1, 2, 3, and 4,

During operations with a potential for draining the reactor vessel

(OPDRVs).

ACTIONS

CONDITION		REQUIRED ACTION	COMPLETION TIME
One or more CRHA heat sink(s) with average temperature not within limit.	A.1	Restore each CRHA heat sink average air temperature to within limit.	8 hours
	<u>AND</u>		
	A.2	Restore each CRHA heat sink average temperature to within limits.	24 hours

CRHAVS 3.7.2

		CONDITION		REQUIRED ACTION	COMPLETION TIME
	B.	One or more CRHAVS trains inoperable CRHA boundary.	B.1 <u>AND</u>	Initiate action to implement mitigating actions.	Immediately
COL 16.0-1-A 3.7.2-1			B.2	Verify mitigating actions ensure CRHA occupant exposures to radiological[, chemical,] and smoke hazards will not exceed limits.	24 hours
			<u>AND</u>		
			B.3	Restore CRHA boundary to OPERABLE status.	90 days
	C.	One CRHAVS train inoperable for reasons other than Condition A or B.	C.1	Restore CRHAVS train to OPERABLE status.	7 days
•	D.	Required Action and associated Completion Time of Condition A, B,	D.1 <u>AND</u>	Be in MODE 3.	12 hours
		or C not met in MODE 1, 2, 3, or 4.	D.2	Be in MODE 5.	36 hours
		<u>OR</u>			
		Two CRHAVS trains inoperable in MODE 1, 2, 3, or 4 for reasons other than Condition A or B.			
•					

CRHAVS 3.7.2

	CONDITION		REQUIRED ACTION	COMPLETION TIME
E.	Required Action and associated Completion Time of Condition A or B not met during OPDRVs.	E.1	Initiate action to suspend OPDRVs.	Immediately
	<u>OR</u>			
	Two CRHAVS trains inoperable during OPDRVs for reasons other than Condition A or B.			
F.	Required Action and associated Completion Time of Condition C not met during OPDRVs.	F.1 <u>OR</u>	Place OPERABLE CRHAVS train in isolation mode.	Immediately
		F.2	Initiate action to suspend OPDRVs.	Immediately

	SURVEILLANCE	FREQUENCY
SR 3.7.2.1	Verify average temperature of each CRHA heat sink is within established design limits.	24 hours
SR 3.7.2.2	Operate each CRHAVS train for ≥ 15 minutes.	31 days
SR 3.7.2.3	Perform required CRHAVS filter testing in accordance with the Ventilation Filter Testing Program (VFTP).	In accordance with the VFTP
SR 3.7.2.4	Verify each CRHAVS train actuates on an actual or simulated initiation signal.	24 months

CRHAVS 3.7.2

	SURVEILLANCE	FREQUENCY
SR 3.7.2.5	Verify de-energization of the main control room Nonsafety-Related Distributed Control and Information System (N-DCIS) electrical loads on an actual or simulated initiation signal.	24 months
SR 3.7.2.6	Perform CHANNEL CALIBRATION of main control room temperature instrumentation channels consistent with Specification 5.5.11, "Setpoint Control Program (SCP)."	24 months
SR 3.7.2.7	Perform required CRHA unfiltered air inleakage testing in accordance with the Control Room Habitability Area (CRHA) Boundary Program.	In accordance with the CRHA Boundary Program

Main Condenser Offgas 3.7.3

3.7 PLANT SYSTEMS

3.7.3 Main Condenser Offgas

LCO 3.7.3 The gross gamma activity rate of the noble gases measured at the offgas

recombiner effluent shall be ≤ 16700 MBq/s (450 mCi/second) after decay

of 30 minutes.

APPLICABILITY: MODE 1,

MODES 2, 3, and 4 with any main steam line not isolated and steam jet air

ejector (SJAE) in operation.

ACTIONS

CONDITION	REQUIRED ACTION	COMPLETION TIME
Gross gamma activity rate of the noble gases not within limit.	A.1 Restore gross gamma activity rate of the noble gases to within limit.	72 hours
B. Required Action and associated Completion Time not met.	B.1 Isolate all main steam lines. OR	12 hours
	B.2 Isolate SJAE.	12 hours
	<u>OR</u>	
	B.3.1 Be in MODE 3.	12 hours
	<u>AND</u>	
	B.3.2 Be in MODE 5.	36 hours

Main Condenser Offgas 3.7.3

	SURVEILLANCE	FREQUENCY
SR 3.7.3.1		
	Verify the gross gamma activity rate of the noble gases is ≤ 16700 MBq/s (450 mCi/second) after decay of 30 minutes.	31 days AND Once within 4 hours after a ≥ 50% increase in the nominal steady state fission gas release after factoring out increases due to changes in THERMAL POWER level

Main Turbine Bypass System 3.7.4

3.7 PLANT SYSTEMS

3.7.4 Main Turbine Bypass System

LCO 3.7.4 The Main Turbine Bypass System shall be OPERABLE.

[<u>OR</u>

COL 16.0-1-A 3.7.4-1 LCO 3.2.2, "MINIMUM CRITICAL POWER RATIO (MCPR)," limits for an inoperable Turbine Bypass System, as specified in the Core Operating

Limits Report (COLR), are made applicable.]

APPLICABILITY: THERMAL POWER ≥ 25% RTP.

ACTIONS

CONDITION		REQUIRED ACTION	COMPLETION TIME
Requirements of the LCO not met.	A.1	Satisfy the requirements of the LCO.	2 hours
B. Required Action and associated Completion Time not met.	B.1	Reduce THERMAL POWER to < 25% RTP.	4 hours

SURVEILLANCE REQUIREMENTS

		SURVEILLANCE	FREQUENCY
COL 16.0-1 3.7.4-2	SR 3.7.4.1 -A	Verify one complete cycle of each main turbine bypass valve.	[31 days]
	SR 3.7.4.2	Perform a system functional test.	24 months
	SR 3.7.4.3	Verify the TURBINE BYPASS SYSTEM RESPONSE TIME is within limits.	24 months

ESBWR 3.7.4 - 1 Rev. 3.0, 02/22/07

Fuel Pool Water Level and Temperature 3.7.5

3.7 PLANT SYSTEMS

3.7.5 Fuel Pool Water Level and Temperature

LCO 3.7.5 The fuel pool water level and temperature shall be within limits.

APPLICABILITY: During movement of irradiated fuel assemblies in the associated fuel

storage pool,

When irradiated fuel assemblies are stored in the associated fuel storage

pool.

ACTIONS

CONDITION		REQUIRED ACTION	COMPLETION TIME
A. Fuel pool water level or temperature not within limit.	LCO 3	- NOTE0.3 is not applicable.	
	A.1	Suspend movement of irradiated fuel assemblies in the associated fuel storage pool(s).	Immediately
	<u>AND</u>		
	A.2	Initiate action to restore water level and temperature to within limit.	1 hour

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.7.5.1	Verify the fuel pool water level is ≥ 10.26 m (33.7 ft) over the top of irradiated fuel assemblies seated in the storage racks.	7 days

ESBWR 3.7.5 - 1 Rev. 8.0, 10/01/10

Fuel Pool Water Level and Temperature 3.7.5

	SURVEILLANCE	FREQUENCY
SR 3.7.5.2	Verify the fuel pool average water temperature is ≤ 60°C (140°F)	7 days

ESBWR 3.7.5 - 2 Rev. 8.0, 10/01/10

Selected Control Rod Run-In (SCRRI) and Select Rod Insert (SRI) Functions 3.7.6

3.7 PLANT SYSTEMS

3.7.6 Selected Control Rod Run-In (SCRRI) and Select Rod Insert (SRI) Functions

LCO 3.7.6 The Selected Control Rod Run-In (SCRRI) and Select Rod Insert (SRI)

functions shall be OPERABLE.

[OR

LCO 3.2.2, "MINIMUM CRITICAL POWER RATIO (MCPR)," limits for an COL 16.0-1-A 3.7.6-1

inoperable SCRRI and/or SRI function, as specified in the Core Operating

Limits Report (COLR), are made applicable.]

APPLICABILITY: THERMAL POWER ≥ 25% RTP.

ACTIONS

CONDITION	REQUIRED ACTION		COMPLETION TIME
Requirements of the LCO not met.	A.1	Satisfy the requirements of the LCO.	2 hours
B. Required Action and associated Completion Time not met.	B.1	Reduce THERMAL POWER to < 25% RTP.	4 hours

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.7.6.1	Verify each SCRRI and SRI control rod required in accordance with the COLR is OPERABLE in accordance with the SRs for LCO 3.1.3, "Control Rod OPERABILITY."	According to the SRs for LCO 3.1.3

3.7.6 - 1**ESBWR** Rev. 6.0, 08/31/09 Selected Control Rod Run-In (SCRRI) and Select Rod Insert (SRI) Functions 3.7.6

	SURVEILLANCE	FREQUENCY
SR 3.7.6.2	Verify correct breaker alignment and indicated power availability for each SCRRI control rod fine motion control rod drive (FMCRD) required in accordance with the COLR.	7 days
SR 3.7.6.3	Perform a system functional test for the SCRRI function.	24 months
SR 3.7.6.4	Perform a system functional test for the SRI function.	24 months
SR 3.7.6.5	Verify FMCRD electrical insertion rate over the required insertion range for each SCRRI control rod required in accordance with the COLR is within limits.	24 months
SR 3.7.6.6	Perform CHANNEL CALIBRATION of loss-of-feedwater-heating instrumentation channels consistent with Specification 5.5.11, "Setpoint Control Program (SCP)."	24 months

ESBWR 3.7.6 - 2 Rev. 6.0, 08/31/09

DC Sources - Operating 3.8.1

3.8 ELECTRICAL POWER SYSTEMS

3.8.1 DC Sources - Operating

LCO 3.8.1 DC Sources shall be OPERABLE to support the three Divisions of DC and

Uninterruptible AC Electrical Power Distribution required by LCO 3.8.6,

"Distribution Systems - Operating."

APPLICABILITY: MODES 1, 2, 3, and 4.

ACTIONS

CONDITION		REQUIRED ACTION	COMPLETION TIME
One DC source on one required division inoperable.	A.1	Restore required DC Source to OPERABLE status.	72 hours
B. Two DC Sources on one required division inoperable.	B.1	Restore one required DC Source to OPERABLE status.	8 hours
C. One or more DC Sources inoperable on two or more required divisions. OR	C.1 <u>AND</u> C.2	Be in MODE 3. Be in MODE 5.	12 hours 36 hours
Required Action and associated Completion Time of Condition A or B not met.			

DC Sources - Operating 3.8.1

SURVEILLANCE REQUIREMENTS

_	COITTLE	TEGOTIEMENTO	
-		SURVEILLANCE	FREQUENCY
	SR 3.8.1.1	Verify each required battery terminal voltage is greater than or equal to the minimum established float voltage.	7 days
COL 16.0 3.8.1-		Verify each required battery charger supplies ≥ 500 amps at greater than or equal to the minimum established float voltage[for \geq [4] hours].	24 months
		Verify each required battery charger can recharge the battery to the fully charged state within 24 hours while supplying the largest combined demands of the various continuous steady state loads, after a battery discharge to the bounding design basis event discharge state.	
-	SR 3.8.1.3	Verify each required battery capacity is adequate to supply, and maintain in OPERABLE status, the required emergency loads for the design duty cycle when subjected to a battery service test.	24 months
-	SR 3.8.1.4	Verify the output diode for each required battery charger and safety-related rectifier connected to the Isolation Power Center bus prevents reverse current flow.	24 months
	SR 3.8.1.5	Verify each required DC Source can supply the 120 VAC Uninterruptible AC Power inverter for ≥ 4 hours.	24 months

ESBWR 3.8.1 - 2 Rev. 6.0, 08/31/09

DC Sources - Shutdown 3.8.2

3.8 ELECTRICAL POWER SYSTEMS

3.8.2 DC Sources - Shutdown

DC Sources shall be OPERABLE to support the DC and Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.7, LCO 3.8.2

"Distribution Systems - Shutdown."

APPLICABILITY: MODES 5 and 6.

ACTIONS

	CONDITION		REQUIRED ACTION	COMPLETION TIME
Α.	One DC source on one required division inoperable.	A.1	Restore required DC Source to OPERABLE status.	72 hours
В.	Two or more required DC Sources inoperable.	B.1	Declare affected required features inoperable.	Immediately
	OR	<u>OR</u>		
	Required Action and associated Completion Time of Condition A not	B.2.1	Suspend CORE ALTERATIONS.	Immediately
	met.	<u>AND</u>		
		B.2.2	Initiate action to suspend operations with a potential for draining the reactor vessel.	Immediately
		<u>AN</u>	<u>ID</u>	
		B.2.3	Initiate action to restore required DC Sources to OPERABLE status.	Immediately

DC Sources - Shutdown 3.8.2

	FREQUENCY	
SR 3.8.2.1	For DC Sources required to be OPERABLE the following SRs are applicable:	In accordance with applicable SRs
	SR 3.8.1.1 SR 3.8.1.2 SR 3.8.1.3 SR 3.8.1.4 SR 3.8.1.5	

Battery Parameters 3.8.3

3.8 ELECTRICAL POWER SYSTEMS

3.8.3 Battery Parameters

LCO 3.8.3 Battery parameters shall be within limits.

APPLICABILITY: When associated DC Sources are required to be OPERABLE.

ACTIONS

- NOTE Separate Condition entry allowed for each battery.

•	CONDITION			REQUIRED ACTION	COMPLETION TIME
	A.	A. One or two batteries on one required division with one or more battery cells float voltage < [2.09] V.	A.1 AND	Perform SR 3.8.1.1.	2 hours
			A.2	Perform SR 3.8.3.1.	2 hours
			<u>AND</u>		
COL 16.0-1-A 3.8.3-3	4		A.3	Restore affected cell voltage ≥ [2.09] V.	24 hours
	В.	One battery on one required division with	B.1	Perform SR 3.8.1.1.	2 hours
		[float current > 30 amps].	<u>AND</u>		
COL 16.0-1-A 3.8.3-1			B.2	Restore battery [float current ≤ 30 amps].	24 hours
	C.	Two batteries on one required division with [float current > 30 amps].	C.1 AND	Perform SR 3.8.1.1.	2 hours
COL 16.0-1-A 3.8.3-1		[made admone and ampo].	C.2	Restore one battery [float current ≤ 30 amps].	8 hours

ESBWR 3.8.3 - 1 Rev. 7.0, 03/10/10

Battery Parameters 3.8.3

	CONDITION		REQUIRED ACTION	COMPLETION TIME
D.	One or two batteries on one required division with one or more cell electrolyte level(s) less than minimum	D.1	Restore electrolyte level to above top of plates.	8 hours
	established design limits.	D.2 <u>AND</u>	Verify no evidence of leakage.	12 hours
		D.3	Restore electrolyte level to greater than or equal to minimum established design limits.	31 days
Е.	One or two batteries on one required division with battery pilot cell electrolyte temperature less than minimum established design limit.	E.1	Restore battery pilot cell electrolyte temperature to greater than or equal to minimum established design limit.	12 hours
F.	One or more required batteries in redundant required divisions with battery parameters not within limits.	F.1	Restore battery parameters in all but one required division to within limits.	2 hours

Battery Parameters 3.8.3

	CONDITION	REQUIRED ACTION	COMPLETION TIME
	G. Required Action and associated Completion Time of Condition A, B, C, D, E or F not met.	G.1 Declare associated battery inoperable.	Immediately
	<u>OR</u>		
COL 16.0-1-A 3.8.3-3	float voltage < [2.09] V		
COL 16.0-1-A 3.8.3-1	and [float current > 30 amps].		

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE		FREQUENCY
	SR 3.8.3.1		
COL 16.0 3.8.3-1		Verify each required battery [float current ≤ 30 amps].	7 days
COL 16.0- 3.8.3-3	SR 3.8.3.2	Verify each required battery pilot cell float voltage is ≥ [2.09] V.	31 days
	SR 3.8.3.3	Verify each battery connected cell electrolyte level is greater than or equal to minimum established design limits.	31 days
	SR 3.8.3.4	Verify each required battery pilot cell electrolyte temperature is greater than or equal to minimum established design limit.	31 days

ESBWR 3.8.3 - 3 Rev. 7.0, 03/10/10

Battery Parameters 3.8.3

		SURVEILLANCE	FREQUENCY
SR 3.8 COL 16.0-1-A 3.8.3-3		rify each required battery connected cell float tage is ≥ [2.09] V.	92 days
SR 3.8 COL 16.0-1-A 3.8.3-4	ma	rify each required battery capacity is ≥ [80]% of the nufacturer's rating when subjected to a formance discharge test.	AND 12 months when battery shows degradation or has reached 85% of the expected life with capacity < 100% of manufacturer's rating AND 24 months when battery has reached 85% of the expected life with capacity ≥ 100% of manufacturer's rating

ESBWR 3.8.3 - 4 Rev. 7.0, 03/10/10

Inverters - Operating 3.8.4

3.8 ELECTRICAL POWER SYSTEMS

3.8.4 Inverters - Operating

LCO 3.8.4 Inverters shall be OPERABLE to support the three Divisions of

Uninterruptible AC Electrical Power Distribution required by

LCO 3.8.6, "Distribution Systems – Operating."

APPLICABILITY: MODES 1, 2, 3, and 4.

ACTIONS

CONDITION	REQUIRED ACTION	COMPLETION TIME
One inverter on one required division inoperable.	A.1 Restore required inverter to OPERABLE status.	72 hours
B. Two inverters on one required division inoperable.	B.1 Restore one required inverter to OPERABLE status.	8 hours
C. Two or more required divisions inoperable. OR Required Action and associated Completion Time of Condition A or B not met.	C.1 Be in MODE 3. AND C.2 Be in MODE 5.	12 hours 36 hours

ESBWR 3.8.4 - 1 Rev. 6.0, 08/31/09

Inverters - Operating 3.8.4

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.8.4.1	Verify correct inverter voltage, frequency, and alignment to each required uninterruptible AC bus.	7 days

ESBWR 3.8.4 - 2 Rev. 6.0, 08/31/09

Inverters - Shutdown 3.8.5

3.8 ELECTRICAL POWER SYSTEMS

3.8.5 Inverters - Shutdown

Inverters shall be OPERABLE to support the Uninterruptible AC Electrical Power Distribution Divisions required by LCO 3.8.7, "Distribution Systems – LCO 3.8.5

Shutdown."

MODES 5 and 6. APPLICABILITY:

ACTIONS

	CONDITION		REQUIRED ACTION	COMPLETION TIME
Α.	One inverter on one required division inoperable.	A.1	Restore required inverter to OPERABLE status.	72 hours
В.	Two or more required inverters inoperable.	B.1	Declare affected required feature(s) inoperable.	Immediately
	<u>OR</u>	<u>OR</u>		
	Required Action and associated Completion Time of Condition A not	B.2.1	Suspend CORE ALTERATIONS.	Immediately
	met.	AN	<u>ID</u>	
		B.2.2	Initiate action to suspend operations with a potential for draining the reactor vessel.	Immediately
		<u>AN</u>	<u>ID</u>	
		B.2.3	Initiate action to restore required inverters to OPERABLE status.	Immediately

Inverters - Shutdown 3.8.5

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.8.5.1	Verify correct inverter voltage, frequency, and alignment to each required uninterruptible AC bus.	7 days

Distribution Systems - Operating 3.8.6

3.8 ELECTRICAL POWER SYSTEMS

3.8.6 Distribution Systems - Operating

LCO 3.8.6 Three Divisions of DC and Uninterruptible AC Electrical Power Distribution shall be OPERABLE.

APPLICABILITY: MODES 1, 2, 3, and 4.

ACTIONS

CONDITION	REQUIRED ACTION	COMPLETION TIME
One DC Electrical Power Distribution bus on one required division inoperable.	A.1 Restore required DC Electrical Power Distribution bus to OPERABLE status.	72 hours
B. Two DC Electrical Power Distribution buses on one required division inoperable.	B.1 Restore one required DC Electrical Power Distribution bus to OPERABLE status.	8 hours
C. One Uninterruptible AC Electrical Power Distribution bus on one required division inoperable.	C.1 Restore required Uninterruptible AC Electrical Power Distribution bus to OPERABLE status.	72 hours
D. Two Uninterruptible AC Electrical Power Distribution buses on one required division inoperable.	D.1 Restore one required Uninterruptible AC Electrical Power Distribution bus to OPERABLE status.	8 hours

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Distribution Systems - Operating 3.8.6

	CONDITION		REQUIRED ACTION	COMPLETION TIME
E.	One Uninterruptible AC Electrical Power Distribution bus on one required division inoperable.	E.1 <u>OR</u>	Restore required Uninterruptible AC Electrical Power Distribution bus to OPERABLE status.	8 hours
	AND DC Electrical Power Distribution bus associated with the redundant Uninterruptible AC Electrical Power Distribution bus on the same required division inoperable.	E.2	Restore required DC Electrical Power Distribution bus to OPERABLE status.	8 hours
F.	Two or more required divisions of DC and Uninterruptible AC Electrical Power Distribution inoperable.	F.1 <u>AND</u> F.2	Be in MODE 3. Be in MODE 5.	12 hours 36 hours
	<u>OR</u>			
	Required Action and associated Completion Time of Condition A, B, C, D, or E not met.			

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.8.6.1	Verify correct breaker alignments and voltage to required DC and Uninterruptible AC Electrical Power Distribution buses.	7 days

Distribution Systems - Shutdown 3.8.7

3.8 ELECTRICAL POWER SYSTEMS

3.8.7 Distribution Systems - Shutdown

The necessary portions of DC and Uninterruptible AC Electrical Power Distribution shall be OPERABLE to support equipment required to be LCO 3.8.7

OPERABLE.

APPLICABILITY: MODES 5 and 6.

ACTIONS

CONDITION	REQUIRED ACTION	COMPLETION TIME
One DC Electrical Power Distribution bus on one required division inoperable.	A.1 Restore required DC Electrical Power Distribution bus to OPERABLE status.	72 hours
B. One Uninterruptible AC Electrical Power Distribution bus on one required division inoperable.	B.1 Restore required Uninterruptible AC Electrical Power Distribution bus to OPERABLE status.	72 hours

Distribution Systems - Shutdown 3.8.7

	CONDITION		REQUIRED ACTION	COMPLETION TIME
C.	Two or more required DC Electrical Power Distribution buses inoperable.	C.1	Declare associated supported required feature(s) inoperable.	Immediately
	OR Two or more required Uninterruptible AC	C.2.1	Suspend CORE ALTERATIONS.	Immediately
	Electrical Power Distribution buses	<u>AN</u>	<u>ID</u>	
	inoperable.	C.2.2	Initiate action to suspend operations with a potential	Immediately
	<u>OR</u>		for draining the reactor vessel.	
	One Uninterruptible AC Electrical Power Distribution bus and the	AN		
	DC Electrical Power Distribution bus associated with the redundant Uninterruptible AC Electrical Power Distribution bus on the same required division inoperable.	C.2.3	Initiate actions to restore required divisions of DC and Uninterruptible AC Electrical Power Distribution to OPERABLE status.	Immediately
	<u>OR</u>			
	Required Action and associated Completion Time of Condition A or B not met.			

Distribution Systems - Shutdown 3.8.7

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.8.7.1	Verify correct breaker alignments and voltage to required DC and Uninterruptible AC Electrical Power Distribution buses.	7 days

ESBWR 3.8.7 - 3 Rev. 6.0, 08/31/09

Refueling Equipment Interlocks 3.9.1

3.9 REFUELING OPERATIONS

3.9.1 Refueling Equipment Interlocks

LCO 3.9.1 The refueling equipment interlocks associated with the reactor mode switch Refuel position shall be OPERABLE.

APPLICABILITY: During in-vessel fuel movement with equipment associated with the interlocks when the reactor mode switch is in Refuel position.

ACTIONS

CONDITION	REQUIRED ACTION		COMPLETION TIME
One or more required refueling equipment interlocks inoperable.	A.1	Suspend in-vessel fuel movement with equipment associated with the inoperable interlock(s).	Immediately
	<u>OR</u>		
	A.2.1	Insert a control rod withdrawal block.	Immediately
	<u>AN</u>	<u>D</u>	
	A.2.2	Verify all control rods are fully inserted.	Immediately

ESBWR 3.9.1 - 1 Rev. 4.0, 09/28/07

Refueling Equipment Interlocks 3.9.1

SURVEILLANCE REQUIREMENTS

		SURVEILLANCE	FREQUENCY
SR 3.9.1.1	_	orm a CHANNEL FUNCTIONAL TEST on each e following required refueling equipment interlock is:	7 days
	a.	All-rods-in;	
	b.	Refueling machine position;	
	C.	Refueling machine fuel grapple hoist, fuel-loaded; and	
	d.	Refueling machine auxiliary hoist, fuel-loaded.	

ESBWR 3.9.1 - 2 Rev. 4.0, 09/28/07

Refuel Position One-Rod/Rod-Pair-Out Interlock 3.9.2

3.9 REFUELING OPERATIONS

3.9.2 Refuel Position One-Rod/Rod-Pair-Out Interlock

LCO 3.9.2 The refuel position one-rod/rod-pair-out interlock shall be OPERABLE.

APPLICABILITY: MODE 6 with the reactor mode switch in the refuel position and any control rod withdrawn.

ACTIONS

CONDITION		REQUIRED ACTION	COMPLETION TIME
Refuel position one- rod/rod-pair-out interlock inoperable.	A.1	Suspend control rod withdrawal.	Immediately
	A.2	Initiate action to fully insert all insertable control rods in core cells containing one or more fuel assemblies.	Immediately

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.9.2.1	Verify reactor mode switch locked in refuel position.	12 hours
SR 3.9.2.2		
	Perform a CHANNEL FUNCTIONAL TEST.	7 days

Control Rod Position 3.9.3

3.9 REFUELING OPERATIONS

3.9.3 Control Rod Position

LCO 3.9.3 All control rods shall be fully inserted.

APPLICABILITY: When loading fuel assemblies into the core.

ACTIONS

CONDITION	REQUIRED AC	TION COMPLETION TIME
One or more control rods not fully inserted.	A.1 Suspend loading assemblies into	,

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.9.3.1	Verify all control rods are fully inserted.	12 hours

ESBWR 3.9.3 - 1 Rev. 1.0, 02/28/06

Control Rod Position Indication 3.9.4

3.9 REFUELING OPERATIONS

3.9.4 Control Rod Position Indication

LCO 3.9.4 One control rod "full-in" position indication channel for each control rod

shall be OPERABLE.

APPLICABILITY: MODE 6.

ACTIONS

- NOTE -

Separate Condition entry is allowed for each required channel.

CONDITION	REQUIRED ACTION	COMPLETION TIME
A. One or more required control rod position indication channels inoperable.	A.1.1 Suspend in-vessel fuel movement. AND	Immediately
торставіс.		
	A.1.2 Suspend control rod withdrawal.	Immediately
	<u>AND</u>	
	A.1.3 Initiate action to fully insert all insertable control rods in core cells containing one or more fuel assemblies.	Immediately
	<u>OR</u>	

Control Rod Position Indication 3.9.4

CONDITION	REQUIRED ACTION	COMPLETION TIME
A. (continued)	A.2.1 Initiate action to fully ins the control rod associate with the inoperable positindicator.	ed
	<u>AND</u>	
	A.2.2 Initiate action to disarm associated fully inserted control rod drive.	

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.9.4.1	Verify the required channel has no "full-in" indication on each control rod that is not "full-in".	Each time the control rod is withdrawn from the "full-in" position

Control Rod OPERABILITY - Refueling 3.9.5

3.9 REFUELING OPERATIONS

3.9.5 Control Rod OPERABILITY - Refueling

LCO 3.9.5 Each withdrawn control rod shall be OPERABLE.

APPLICABILITY: MODE 6.

ACTIONS

CONDITION	REQUIRED ACTION	COMPLETION TIME
One or more withdrawn control rods inoperable.	A.1 Initiate action to fully inse inoperable withdrawn corrods.	1

SURVEILLANCE REQUIREMENTS

		SURVEILLANCE	FREQUENCY
SI	R 3.9.5.1		
		Verify each withdrawn control rod will insert at least two notches.	7 days
SI COL 16.0-1-A 3.9.5-1	R 3.9.5.2	Verify each withdrawn control rod scram accumulator pressure is [≥ 12.76 MPaG (1850 psig)].	7 days

ESBWR 3.9.5 - 1 Rev. 6.0, 08/31/09

RPV Water Level 3.9.6

3.9 REFUELING OPERATIONS

3.9.6 Reactor Pressure Vessel (RPV) Water Level

LCO 3.9.6 RPV water level shall be \geq 7.01 m (23.0 ft) over the top of the RPV flange.

APPLICABILITY: During movement of irradiated fuel assemblies within the RPV,

During movement of new fuel assemblies or handling of control rods within the RPV, when irradiated fuel assemblies are seated within the RPV.

ACTIONS

CONDITION	REQUIRED ACTION	COMPLETION TIME
A. RPV water level not within limit.	A.1 Suspend movement of fuel assemblies and handling of control rods within the RPV.	Immediately

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.9.6.1	Verify RPV water level is ≥ 7.01 m (23.0 ft) above the top of the RPV flange.	24 hours

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Decay Time 3.9.7

3.9 REFUELING OPERATIONS

3.9.7 Decay Time

LCO 3.9.7 The reactor shall be subcritical for at least 24 hours.

APPLICABILITY: During movement of irradiated fuel assemblies within the reactor pressure vessel (RPV).

ACTIONS

CONDITION	REQUIRED ACTION	COMPLETION TIME
Reactor not subcritical for at least 24 hours.	A.1 Suspend movement of irradiated fuel assemblies within the RPV.	Immediately

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.9.7.1	Verify reactor has been subcritical for at least 24 hours.	Prior to movement of irradiated fuel assemblies within the RPV

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Inservice Leak and Hydrostatic Testing Operation 3.10.1

3.10 SPECIAL OPERATIONS

3.10.1 Inservice Leak and Hydrostatic Testing Operation

LCO 3.10.1

The average reactor coolant temperature specified in Table 1.1-1 for MODE 5 may be changed to "N/A," and operation considered not to be in MODE 3 or 4 to allow reactor coolant temperature > 93.3°C (200°F):

- For performance of an inservice leak or hydrostatic test;
- As a consequence of maintaining adequate pressure for an inservice leak or hydrostatic test; or
- As a consequence of maintaining adequate pressure for control rod scram time testing initiated in conjunction with an inservice leak or hydrostatic test,

provided the Reactor Building refueling and pool area HVAC subsystem (REPAVS) and contaminated area HVAC subsystem (CONAVS) areas are isolated, or are capable of being isolated on high radiation signals.

APPLICABILITY: MODE 5 with average reactor coolant temperature > 93.3°C (200°F).

ACTIONS

- **NOTE** - Separate Condition entry allowed for each requirement of the LCO.

CONDITION		REQUIRED ACTION	COMPLETION TIME
One or more of the above requirements not met.	A.1	Suspend activities that could increase the average reactor coolant temperature or pressure.	Immediately
	<u>AND</u>		
	A.2	Reduce average reactor coolant temperature to ≤ 93.3°C (200°F).	24 hours

ESBWR 3.10.1 - 1 Rev. 5.0, 05/31/08

Inservice Leak and Hydrostatic Testing Operation 3.10.1

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.10.1.1		
	Verify reactor building REPAVS and CONAVS areas are isolated.	24 hours
SR 3.10.1.2		24 hours

ESBWR 3.10.1 - 2 Rev. 5.0, 05/31/08

Reactor Mode Switch Interlock Testing 3.10.2

3.10 SPECIAL OPERATIONS

3.10.2 Reactor Mode Switch Interlock Testing

LCO 3.10.2

The reactor mode switch position specified in Table 1.1-1 for MODES 3, 4, 5, and 6 operation may be changed to include the run, startup, and refuel position, and operation considered not to be in MODE 1 and 2 to allow testing of instrumentation associated with the reactor mode switch interlock functions, provided:

- a. All control rods remain fully inserted in core cells containing one or more fuel assemblies; and
- b. No CORE ALTERATIONS are in progress.

APPLICABILITY:

MODES 3, 4, and 5 with the reactor mode switch in the run, startup, or refuel position,

MODE 6 with the reactor mode switch in the run or startup position.

ACTIONS

CONDITION		REQUIRED ACTION	COMPLETION TIME
One or more of the above requirements not met.	A.1	Suspend CORE ALTERATIONS except for control rod insertion.	Immediately
	<u>AND</u>		
	A.2	Fully insert all insertable control rods in core cells containing one or more fuel assemblies.	1 hour
	<u>AND</u>		

Reactor Mode Switch Interlock Testing 3.10.2

CONDITION		REQUIRED ACTION	COMPLETION TIME
A. (continued)	A.3.1	Place the reactor mode switch in the shutdown position.	1 hour
	<u>OF</u>	<u>R</u>	
	A.3.2	- NOTE - Only applicable in MODE 6.	
		Place the reactor mode switch in the refuel position.	1 hour

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.10.2.1	Verify all control rods are fully inserted in core cells containing one or more fuel assemblies.	12 hours
SR 3.10.2.2	Verify no CORE ALTERATIONS are in progress.	24 hours

Control Rod Withdrawal - Hot / Stable Shutdown 3.10.3

3.10 SPECIAL OPERATIONS

3.10.3 Control Rod Withdrawal – Hot / Stable Shutdown

LCO 3.10.3

The reactor mode switch position specified in Table 1.1-1 for MODES 3 and 4 operation may be changed to include the refuel position, and operation considered not to be in MODE 2, to allow withdrawal of a single control rod or control rod pair, provided the following requirements are met:

- a. LCO 3.9.2, "Refuel Position One-Rod/Rod-Pair-Out Interlock";
- b. LCO 3.9.4, "Control Rod Position Indication";
- c. All other control rods are fully inserted; and
- d. 1. MODE 6 requirements for LCO 3.3.1.1 "Reactor Protection System (RPS) Instrumentation," Functions 1 and 3, of Table 3.3.1.1-1, LCO 3.3.1.2, "Reactor Protection System (RPS) Actuation," LCO 3.3.1.3, "Reactor Protection System (RPS) Manual Actuation," LCO 3.3.1.4, "Neutron Monitoring System (NMS) Instrumentation," Functions 1.a and 1.b of Table 3.3.1.4-1, LCO 3.3.1.5, "Neutron Monitoring System (NMS) Automatic Actuation," Function 1 of Table 3.3.1.5-1, and LCO 3.9.5, "Control Rod OPERABILITY Refueling,"

<u>OR</u>

2. All other control rods in a five-by-five array centered on each control rod being withdrawn are disarmed, and LCO 3.1.1, "SHUTDOWN MARGIN (SDM)," MODE 6 requirements except the control rod or control rod pair to be withdrawn may be assumed to be the highest worth control rod or control rod pair.

APPLICABILITY: MODES 3 and 4 with the reactor mode switch in the refuel position.

ESBWR 3.10.3 - 1 Rev. 6.0, 08/31/09

Control Rod Withdrawal - Hot / Stable Shutdown 3.10.3

ACTIONS

- NOTE -

Separate Condition entry allowed for each requirement of the LCO.

	CONDITION		REQUIRED ACTION	COMPLETION TIME
A.	One or more of the above requirements not met.	A.1	- NOTES - 1. Required Actions to fully insert all insertable control rods include placing the reactor mode switch in the shutdown position	
			Only applicable if the requirement not met is a required LCO.	
			Enter the applicable Condition of the affected LCO.	Immediately
		<u>OR</u>		
		A.2.1	Initiate action to fully insert all insertable control rods.	Immediately
		<u>AN</u>	<u>ID</u>	
		A.2.2	Place the reactor mode switch in the shutdown position.	1 hour

Control Rod Withdrawal - Hot / Stable Shutdown 3.10.3

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.10.3.1	Perform the applicable SRs for the required LCOs.	According to the applicable SRs
SR 3.10.3.2		24 hours
SR 3.10.3.3	Verify all other control rods, other than the control rod or control rod pair being withdrawn, are fully inserted.	24 hours

ESBWR 3.10.3 - 3 Rev. 6.0, 08/31/09

Control Rod Withdrawal - Cold Shutdown 3.10.4

3.10 SPECIAL OPERATIONS

3.10.4 Control Rod Withdrawal - Cold Shutdown

LCO 3.10.4

The reactor mode switch position specified in Table 1.1-1 for MODE 5 may be changed to include refuel position, and operation considered not to be in MODE 2, to allow withdrawal of a single control rod or control rod pair, and subsequent removal of the associated control rod drive(s) (CRD) if desired, provided the following requirements are met:

- a. All other control rods are fully inserted;
- 1. LCO 3.9.2. "Refuel Position One-Rod/Rod-Pair-Out Interlock." and b. LCO 3.9.4, "Control Rod Position Indication,"

OR

- 2. A control rod withdrawal block is inserted; and
- 1. MODE 6 requirements for LCO 3.3.1.1 "Reactor Protection System (RPS) Instrumentation," Functions 1 and 3 of Table 3.3.1.1-1, LCO 3.3.1.2, "Reactor Protection System (RPS) Actuation," LCO 3.3.1.3, "Reactor Protection System (RPS) Manual Actuation," LCO 3.3.1.4, "Neutron Monitoring System (NMS) Instrumentation," Functions 1.a and 1.b of Table 3.3.1.4-1, LCO 3.3.1.5, "Neutron Monitoring System (NMS) Automatic Actuation," Function 1 of Table 3.3.1.5-1, and LCO 3.9.5, "Control Rod OPERABILITY - Refueling,"

OR

2. All other control rods in a five-by-five array centered on the control rod being withdrawn are disarmed and LCO 3.1.1, "SHUTDOWN MARGIN (SDM)," MODE 6 requirements except the single control rod or control rod pair to be withdrawn may be assumed to be the highest worth control rod or control rod pair.

APPLICABILITY: MODE 5 with the reactor mode switch in the refuel position.

3.10.4 - 1**ESBWR** Rev. 6.0, 08/31/09

Control Rod Withdrawal - Cold Shutdown 3.10.4

ACTIONS

Separate Condition entry allowed for each requirement of the LCO.

CONDITION		REQUIRED ACTION	COMPLETION TIME
One or more of the above requirements not met with the affected control rod(s) insertable.	A.1		
		Only applicable if the requirement not met is a required LCO	
		Enter the applicable Condition of the affected LCO.	Immediately
	<u>OR</u>		
	A.2.1	Initiate action to fully insert all insertable control rods.	Immediately
	AN	I <u>D</u>	
	A.2.2	Place the reactor mode switch in the shutdown position.	1 hour

Control Rod Withdrawal - Cold Shutdown 3.10.4

CONDITION		REQUIRED ACTION	COMPLETION TIME
B. One or more of the above requirements are not met with the affected control rod(s) not insertable.	B.1 <u>AND</u>	Suspend withdrawal of the control rod(s) and removal of associated CRD(s).	Immediately
	B.2.1	Initiate action to fully insert all control rods.	Immediately
	<u>OF</u>	3	
	B.2.2	Initiate action to satisfy the requirements of this LCO.	Immediately

SURVEILLANCE REQUIREMENTS

CONVERENTACE REGUINEMENTS				
	SURVEILLANCE	FREQUENCY		
SR 3.10.4.1	Perform the applicable SRs for the required LCOs.	According to applicable SRs		
SR 3.10.4.2				
GR 0.10.4.2	- NOTE - Not required to be met if SR 3.10.4.1 is satisfied for LCO 3.10.4.c.1 requirements.			
	Verify all control rods, other than the control rod(s) being withdrawn, in a five-by-five array centered on each control rod being withdrawn, are disarmed.	24 hours		
SR 3.10.4.3	Verify all other control rods, other than the control rod or control rod pair being withdrawn, are fully inserted.	24 hours		

ESBWR 3.10.4 - 3 Rev. 6.0, 08/31/09

Control Rod Withdrawal - Cold Shutdown 3.10.4

	SURVEILLANCE	FREQUENCY
SR 3.10.4.4		
	Verify a control rod withdrawal block is inserted.	24 hours

ESBWR 3.10.4 - 4 Rev. 6.0, 08/31/09

CRD Removal - Refueling 3.10.5

3.10 SPECIAL OPERATIONS

3.10.5 Control Rod Drive (CRD) Removal - Refueling

LCO 3.10.5

The requirements of LCO 3.3.1.1, "Reactor Protection System (RPS) Instrumentation"; LCO 3.3.1.2 "Reactor Protection System (RPS) Actuation," LCO 3.3.1.3, "Reactor Protection System (RPS) Manual Actuation," LCO 3.3.1.4, "Neutron Monitoring System (NMS) Instrumentation," LCO 3.3.1.5, "Neutron Monitoring System (NMS) Automatic Actuation," LCO 3.9.1, "Refueling Equipment Interlocks"; LCO 3.9.2, "Refuel Position One-Rod/Rod-Pair-Out Interlock"; LCO 3.9.4, "Control Rod Position Indication"; and LCO 3.9.5, "Control Rod OPERABILITY - Refueling," may be suspended during MODE 6 operation to allow the removal of a single CRD or CRD pair associated with control rod(s) withdrawn from core cell(s) containing one or more fuel assemblies, provided the following requirements are met:

- a. All other control rods are fully inserted;
- b. All other control rods in a five-by-five array centered on the withdrawn control rod(s) are disarmed;
- A control rod withdrawal block is inserted and LCO 3.1.1,
 "SHUTDOWN MARGIN (SDM)," MODE 6 requirements may be changed to allow the single control rod or control rod pair withdrawn to be assumed to be the highest worth control rod(s); and
- d. No CORE ALTERATIONS are in progress.

APPLICABILITY: MODE 6 with LCO 3.9.5 not met.

ESBWR 3.10.5 - 1 Rev. 5.0, 05/31/08

CRD Removal - Refueling 3.10.5

ACTIONS

CONDITION		REQUIRED ACTION	COMPLETION TIME
One or more of the above requirements not met.	A.1	Suspend removal of the CRD mechanism(s).	Immediately
	<u>AND</u>		
	A.2.1	Initiate action to fully insert all control rods.	Immediately
	<u>OR</u>		
	A.2.2	Initiate action to satisfy the requirements of this LCO.	Immediately

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.10.5.1	Perform SR 3.1.1.1.	According to SR 3.1.1.1
SR 3.10.5.2	Verify all control rods, other than the control rod(s) withdrawn for the removal of the associated CRD(s), are fully inserted.	24 hours
SR 3.10.5.3	Verify all control rods, other than the control rod or control rod pair withdrawn for the removal of the associated CRD(s), in a five-by-five array centered on each control rod(s) withdrawn for the removal of the associated CRD(s), are disarmed.	24 hours
SR 3.10.5.4	Verify a control rod withdrawal block is inserted.	24 hours
SR 3.10.5.5	Verify no CORE ALTERATIONS are in progress.	24 hours

Multiple Control Rod Withdrawal - Refueling 3.10.6

3.10 SPECIAL OPERATIONS

3.10.6 Multiple Control Rod Withdrawal - Refueling

LCO 3.10.6

The requirements of LCO 3.9.3, "Control Rod Position"; LCO 3.9.4, "Control Rod Position Indication"; and LCO 3.9.5, "Control Rod OPERABILITY - Refueling," may be suspended and the "full-in" position indicators may be bypassed for any number of control rods during MODE 6 operation to allow withdrawal of these control rods, removal of associated control rod drives (CRDs), or both, provided the following requirements are met:

- a. The four fuel assemblies are removed from the core cells associated with each control rod or CRD to be removed;
- b. All other control rods in core cells containing one or more fuel assemblies are fully inserted; and
- c. Fuel assemblies shall only be loaded in compliance with an approved spiral reload sequence.

APPLICABILITY: MODE 6 with LCO 3.9.3, LCO 3.9.4, or LCO 3.9.5 not met.

ESBWR 3.10.6 - 1 Rev. 1.0, 02/28/06

Multiple Control Rod Withdrawal - Refueling 3.10.6

ACTIONS

CONDITION	F	REQUIRED ACTION	COMPLETION TIME
One or more of the above requirements not met.	C	Suspend withdrawal of control rods and removal of associated CRDs.	Immediately
	<u>AND</u>		
		Suspend loading fuel assemblies.	Immediately
	<u>AND</u>		
	á	Initiate action to fully insert all control rods in core cells containing one or more fuel assemblies.	Immediately
	<u>OR</u>		
		Initiate action to satisfy the requirements of this LCO.	Immediately

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.10.6.1	Verify the four fuel assemblies are removed from core cells associated with each control rod or CRD removed.	24 hours
SR 3.10.6.2	Verify all other control rods in core cells containing one or more fuel assemblies are fully inserted.	24 hours

Multiple Control Rod Withdrawal - Refueling 3.10.6

	SURVEILLANCE	FREQUENCY
SR 3.10.6.3		24 hours

Control Rod Testing - Operating 3.10.7

3.10 SPECIAL OPERATIONS

3.10.7 Control Rod Testing - Operating

LCO 3.10.7

The requirements of LCO 3.1.6, "Rod Pattern Control," may be suspended and control rods bypassed in the Rod Control and Information System (RC&IS) as allowed by SR 3.3.2.1.9, to allow performance of SDM demonstrations, control rod scram time testing, control rod friction testing, and the Startup Test Program, provided conformance to the approved control rod sequence for the specified test is verified by a second licensed operator or other qualified member of the technical staff.

APPLICABILITY: MODES 1 and 2 with LCO 3.1.6 not met.

ACTIONS

CONDITION	REQUIRED AC	CTION COMPLETION TIME
Requirements of the LCO not met.	A.1 Suspend perfo test and excep LCO 3.1.6.	•

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.10.7.1	Verify movement of control rods is in compliance with the approved control rod sequence for the specified test, by a second licensed operator or other qualified member of the technical staff.	During control rod movement

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3.10 SPECIAL OPERATIONS

3.10.8 SHUTDOWN MARGIN (SDM) Test - Refueling

LCO 3.10.8

The reactor mode switch position specified in Table 1.1-1 for MODE 6 operation may be changed to include the startup position, and operation considered not to be in MODE 2, to allow SDM testing, provided the following requirements are met:

- a. MODE 2 requirements for LCO 3.3.1.1, "Reactor Protection System (RPS) Instrumentation," Function 2 of Table 3.3.1.1-1, LCO 3.3.1.2, "Reactor Protection System (RPS) Actuation," LCO 3.3.1.4 "Neutron Monitoring System (NMS) Instrumentation," Functions 2.a and 2.d of Table 3.3.1.4-1, and LCO 3.3.1.5, "Neutron Monitoring System (NMS) Automatic Actuation, Function 2";
- b. 1. LCO 3.3.2.1, "Control Rod Block Instrumentation," MODE 2 requirements for Function 1.b of Table 3.3.2.1-1;

OR

- Conformance to the approved control rod sequence for the SDM test is verified by a second licensed operator or other qualified member of the technical staff;
- c. Each withdrawn control rod shall be coupled to the associated CRD;
- d. All control rod withdrawals during out-of-sequence control rod moves shall be made in notch movement mode;
- e. No other CORE ALTERATIONS are in progress; and
- f. Reactor building refueling and pool area HVAC subsystem (REPAVS) and contaminated area HVAC subsystem (CONAVS) areas shall be isolated, or shall be capable of being isolated on high radiation signals.

APPLICABILITY: MODE 6 with the reactor mode switch in startup position.

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ACTIONS

CONDITION		REQUIRED ACTION		COMPLETION TIME
Α.		bypass SR 3.3 insertion	- NOTE - able control rods may be sed in accordance with 3.2.1.9, if required, to allow on of inoperable control rod entinued operation. Fully insert inoperable control rod. Disarm the associated CRD.	3 hours 4 hours
В.	One or more of the above requirements not met for reasons other than Condition A.	B.1	Place the reactor mode switch in the shutdown or refuel position.	Immediately

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.10.8.1	Perform the MODE 2 applicable SRs for LCO 3.3.1.1 Function 2 of Table 3.3.1.1-1, LCO 3.3.1.2, LCO 3.3.1.4, Functions 2.a and 2.d of Table 3.3.1.4-1, LCO 3.3.1.5, and Function 2 of Table 3.3.1.5-1.	According to the applicable SRs

	SURVEILLANCE	FREQUENCY
SR 3.10.8.2		
	Perform the MODE 2 applicable SRs for LCO 3.3.2.1, Function 1.b of Table 3.3.2.1-1.	According to the applicable SRs
SR 3.10.8.3		
	Verify movement of control rods is in compliance with the approved control rod sequence for the SDM test by a second licensed operator or other qualified member of the technical staff.	During control rod movement
SR 3.10.8.4	Verify no other CORE ALTERATIONS are in progress.	12 hours
SR 3.10.8.5		24 hours
SR 3.10.8.6	are isolated.	
	- NOTE - Not required to be met if SR 3.10.8.5 satisfied.	
	Verify reactor building REPAVS and CONAVS areas are capable of automatic isolation on respective exhaust high radiation signals.	24 hours

	SURVEILLANCE	FREQUENCY
SR 3.10.8.7	Verify each withdrawn control rod does not go to the withdrawn overtravel position.	Prior to satisfying LCO 3.10.8.c requirement after work on control rod or CRD system could affect coupling

Oxygen Concentration - Startup Test Program 3.10.9

3.10 SPECIAL OPERATIONS

3.10.9 Oxygen Concentration - Startup Test Program

LCO 3.10.9 The requirements of LCO 3.6.1.8, Containment Oxygen Concentration,

may be suspended during performance of the Startup Test Program provided ≤ 120 Effective Full Power Days (EFPD) of operation from initial

startup of the unit.

APPLICABILITY: THERMAL POWER > 15% RTP with LCO 3.6.1.8 not met.

ACTIONS

CONDITION	REQUIRED ACTION	COMPLETION TIME
A. The above requirement not met.	A.1 Enter the applicable Condition of LCO 3.6.1.8.	Immediately

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.10.9.1	Verify operation ≤ 120 EFPD.	7 days

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OPRM - Initial Cycle 3.10.10

3.10 SPECIAL OPERATIONS

3.10.10 Oscillation Power Range Monitor (OPRM) - Initial Cycle

LCO 3.10.10 The requirements for OPERABILITY of the oscillation power range monitor

(OPRM) in LCO 3.3.1.1, LCO 3.3.1.4, and LCO 3.3.1.5, may be suspended during the initial cycle of operation provided the alternate method to detect and suppress thermal hydraulic instability oscillations is established.

APPLICABILITY: THERMAL POWER ≥ 25% RTP with OPRM inoperable during initial cycle

of operation.

ACTIONS

CONDITION	REQUIRED ACTION	COMPLETION TIME
A. The above requirement not met.	A.1 Reduce THERMAL POWER < 25% RTP.	4 hours

SURVEILLANCE REQUIREMENTS

	SURVEILLANCE	FREQUENCY
SR 3.10.10.1	Verify on-shift operations staff appropriately trained on alternate method to detect and suppress thermal hydraulic instability oscillations.	92 days

Design Features 4.0

4.0 DESIGN FEATURES

4.1 Site Location

COL 16.0-1-A 4.1-1

[Description to be provided by the COL applicant.]

4.2 Reactor Core

4.2.1 Fuel Assemblies

The reactor shall contain 1132 fuel assemblies. Each assembly shall consist of a matrix of Zircaloy clad fuel rods with an initial composition of slightly enriched uranium dioxide (UO_2) as fuel material, and water rods. Limited substitutions of zirconium alloy or stainless steel filler rods for fuel rods, in accordance with approved applications of fuel rod configurations, may be used. Fuel assemblies shall be limited to those fuel designs that have been analyzed with applicable NRC staff approved codes and methods and shown by tests or analyses to comply with all safety design bases. A limited number of lead test assemblies that have not completed representative testing may be placed in non-limiting core regions.

4.2.2 Control Rod Assemblies

The reactor core shall contain 269 cruciform-shaped control rod assemblies. The control material shall be boron carbide or a combination of boron carbide and hafnium metal, as approved by the NRC.

4.3 Fuel Storage

4.3.1 Criticality

- 4.3.1.1 The spent fuel storage racks in the Fuel Building spent fuel storage pool and in the Reactor Building buffer pool deep pit are designed and shall be maintained with:
 - a. Fuel assemblies having a maximum lattice k-infinity of 1.32 in the normal reactor core configuration at cold conditions;
 - k_{eff} ≤ 0.95 if fully flooded with unborated water, which includes an allowance for uncertainties and biases as described in Section 9.1 of the Final Safety Analysis Report; and

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Design Features 4.0

4.0 DESIGN FEATURES

4.3.1.1 (continued)

- c. A nominal fuel assembly center-to-center storage spacing of 168 mm (6.61 inches), with a neutron poison material between storage spaces, in the high density storage racks in the Fuel Building spent fuel storage pool and in the Reactor Building buffer pool deep pit.
- 4.3.1.2 The new fuel storage racks in the Reactor Building buffer pool are designed and shall be maintained with:
 - a. Fuel assemblies having a maximum lattice k-infinity of 1.32 in the normal reactor core configuration at cold conditions; and
 - b. $k_{\text{eff}} \le 0.95$ if fully flooded with unborated water, which includes an allowance for uncertainties and biases as described in Section 9.1 of the Final Safety Analysis Report.
 - c. A nominal center-to-center storage spacing of 251 mm (9.88 inches) for fuel assemblies placed in the same row of a storage rack; a nominal center-to-center storage spacing of 244 mm (9.61 inches) for fuel assemblies placed in adjacent rows of a storage rack.

4.3.2 <u>Drainage</u>

- 4.3.2.1 The Fuel Building spent fuel storage pool is designed and shall be maintained to prevent inadvertent draining of the pool below an elevation of 14.3 m (46.9 ft) above the floor of the pool.
- 4.3.2.2 The Reactor Building buffer pool deep pit is designed and shall be maintained to prevent inadvertent draining of the pool below an elevation of 16.2 m (53.1 ft) above the floor of the deep pit area.

4.3.3 Capacity

- 4.3.3.1 The Fuel Building spent fuel storage pool is designed and shall be maintained with a storage capacity limited to no more than 3504 fuel assemblies.
- 4.3.3.2 The Reactor Building buffer pool deep pit is designed and shall be maintained with a storage capacity limited to no more than 154 fuel assemblies.

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Responsibility 5.1

5.0 ADMINISTRATIVE CONTROLS

5.1 Responsibility

- NOTE -

Organizational positions listed or described in the Administrative Controls Section shall have corresponding plant-specific staff titles specified in the Final Safety Analysis Report.

5.1.1 The plant manager shall be responsible for overall unit operation and shall delegate in writing the succession to this responsibility during his absence.

The plant manager or his designee shall approve, prior to implementation, each proposed test, experiment or modification to systems or equipment that affect nuclear safety.

5.1.2 The Shift Supervisor (SS) shall be responsible for the control room command function. During any absence of the SS from the control room while the unit is in MODE 1, 2, 3, or 4, an individual with an active Senior Reactor Operator (SRO) license shall be designated to assume the control room command function. During any absence of the SS from the control room while the unit is in MODE 5 or 6, an individual with an active SRO license or Reactor Operator license shall be designated to assume the control room command function.

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Organization 5.2

5.0 ADMINISTRATIVE CONTROLS

5.2 Organization

5.2.1 Onsite and Offsite Organizations

Onsite and offsite organizations shall be established for unit operation and corporate management, respectively. The onsite and offsite organizations shall include the positions for activities affecting safety of the nuclear power plant.

- a. Lines of authority, responsibility, and communication shall be defined and established throughout highest management levels, intermediate levels, and all operating organization positions. These relationships shall be documented and updated, as appropriate, in organization charts, functional descriptions of departmental responsibilities and relationships, and job descriptions for key personnel positions, or in equivalent forms of documentation. These requirements including the plant-specific titles of those personnel fulfilling the responsibilities of the positions delineated in these Technical Specifications shall be documented in the FSAR;
- b. The plant manager shall be responsible for overall safe operation of the plant and shall have control over those onsite activities necessary for safe operation and maintenance of the plant;
- c. A specified corporate officer shall have corporate responsibility for overall plant nuclear safety and shall take any measures needed to ensure acceptable performance of the staff in operating, maintaining, and providing technical support to the plant to ensure nuclear safety; and
- d. The individuals who train the operating staff, carry out health physics, or perform quality assurance functions may report to the appropriate onsite manager; however, these individuals shall have sufficient organizational freedom to ensure their independence from operating pressures.

5.2.2 Unit Staff

The unit staff organization shall include the following:

COL 16.0-1-A 5.2.2-1 a. A non-licensed operator shall be assigned to each reactor containing fuel and an additional non-licensed operator shall be assigned for each control room from which a reactor is operating in MODE 1, 2, 3, or 4. [With both units shutdown or defueled, a total of three non-licensed operators is required for the two units.]

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Organization 5.2

5.2 Organization

5.2.2 Unit Staff (continued)

- b. Shift crew composition may be less than the minimum requirement of 10 CFR 50.54(m)(2)(i) and 5.2.2.a and 5.2.2.e for a period of time not to exceed 2 hours in order to accommodate unexpected absence of on-duty shift crew members provided immediate action is taken to restore the shift crew composition to within the minimum requirements.
- c. A radiation protection technician shall be on site when fuel is in the reactor. The position may be vacant for not more than 2 hours, in order to provide for unexpected absence, provided immediate action is taken to fill the required position.
- d. The operations manager or assistant operations manager shall hold an SRO license.
- e. An individual shall provide advisory technical support to the unit operations shift crew in the areas of thermal hydraulics, reactor engineering, and plant analysis with regard to the safe operation of the unit. This individual shall meet the qualifications specified by the Commission Policy Statement on Engineering Expertise on Shift.

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Unit Staff Qualifications 5.3

5.0 ADMINISTRATIVE CONTROLS

5.3 Unit Staff Qualifications

5.3.1 COL 16.0-1-A 5.3.1-1	Each member of the unit staff shall meet or exceed the minimum qualifications of [Regulatory Guide 1.8, Revision 3, 2000, or more recent revisions, or ANSI Standard acceptable to the NRC staff]. [The staff not covered by Regulatory Guide 1.8 shall meet or exceed the minimum qualifications of Regulations, Regulatory Guides, or ANSI Standards acceptable to NRC staff].
5.3.2	For the purpose of 10 CFR 55.4, a licensed Senior Reactor Operator (SRO) and a licensed Reactor Operator (RO) are those individuals who, in addition to meeting the requirements of Specification 5.3.1, perform the functions described in 10 CFR 50.54(m).

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Procedures 5.4

5.0 ADMINISTRATIVE CONTROLS

5.4 Procedures

5.4.1 Written procedures shall be established, implemented, and maintained covering the following activities:

COL 16.0-1-A 5.4.1-1

a. The applicable procedures recommended in [Regulatory Guide 1.33, Revision 2, Appendix A, February 1978];

COL 16.0-1-A 5.4.1-2

- b. The emergency operating procedures required to implement the requirements of NUREG-0737 and to NUREG-0737, Supplement 1, as stated in [Generic Letter 82-33];
- c. Quality assurance for effluent and environmental monitoring;
- d. Fire Protection Program implementation; and
- e. All programs specified in Specification 5.5.

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5.0 ADMINISTRATIVE CONTROLS

5.5 Programs and Manuals

The following programs shall be established, implemented, and maintained.

5.5.1 Offsite Dose Calculation Manual (ODCM)

- a. The ODCM shall contain the methodology and parameters used in the calculation of offsite doses resulting from radioactive gaseous and liquid effluents, in the calculation of gaseous and liquid effluent monitoring alarm and trip setpoints, and in the conduct of the radiological environmental monitoring program; and
- b. The ODCM shall also contain the radioactive effluent controls and radiological environmental monitoring activities and descriptions of the information that should be included in the Annual Radiological Environmental Operating, and Radioactive Effluent Release Reports required by Specification 5.6.1 and Specification 5.6.2.
- c. Licensee initiated changes to the ODCM:
 - 1. Shall be documented and records of reviews performed shall be retained. This documentation shall contain:
 - i. sufficient information to support the change(s) together with the appropriate analyses or evaluations justifying the change(s), and
 - ii. a determination that the change(s) maintain the levels of radioactive effluent control required by 10 CFR 20.1302, 40 CFR 190, 10 CFR 50.36a, and 10 CFR 50, Appendix I, and not adversely impact the accuracy or reliability of effluent, dose, or setpoint calculations;
 - 2. Shall become effective after approval of the plant manager; and
 - 3. Shall be submitted to the NRC in the form of a complete, legible copy of the changed portion of the ODCM as a part of, or concurrent with, the Radioactive Effluent Release Report for the period of the report in which any change in the ODCM was made. Each change shall be identified by markings in the margin of the affected pages, clearly indicating the area of the page that was changed, and shall indicate the date (i.e., month and year) the change was implemented.

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5.5 Programs and Manuals

5.5.2 Primary Coolant Sources Outside Containment

This program provides controls to minimize leakage from those portions of systems outside containment that could contain highly radioactive fluids during a serious transient or accident to levels as low as practicable. The systems include the Isolation Condenser System, Fuel and Auxiliary Pools Cooling System, Containment Monitoring System, and Reactor Water Cleanup/Shutdown Cooling System. The program shall include the following:

- a. Preventive maintenance and periodic visual inspection requirements; and
- b. Integrated leak test requirements for each system at least once per 24 months.

The provisions of SR 3.0.2 are applicable.

5.5.3 Radioactive Effluent Controls Program

This program conforms to 10 CFR 50.36a for the control of radioactive effluents and for maintaining the doses to members of the public from radioactive effluents as low as reasonably achievable. The program shall be contained in the ODCM, shall be implemented by procedures, and shall include remedial actions to be taken whenever the program limits are exceeded. The program shall include the following elements:

- Limitations on the functional capability of radioactive liquid and gaseous monitoring instrumentation including surveillance tests and setpoint determination in accordance with the methodology in the ODCM;
- b. Limitations on the concentrations of radioactive material released in liquid effluents to unrestricted areas, conforming to ten times the concentration values in Appendix B, Table 2, Column 2 to 10 CFR 20.1001-20.2402;
- Monitoring, sampling, and analysis of radioactive liquid and gaseous effluents in accordance with 10 CFR 20.1302 and with the methodology and parameters in the ODCM;
- d. Limitations on the annual and quarterly doses or dose commitment to a member of the public from radioactive materials in liquid effluents released from each unit to unrestricted areas, conforming to 10 CFR 50, Appendix I;

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5.5 Programs and Manuals

5.5.3 Radioactive Effluent Controls Program (continued)

- e. Determination of cumulative dose contributions from radioactive effluents for the current calendar quarter and current calendar year in accordance with the methodology and parameters in the ODCM at least every 31 days. Determination of projected dose contributions from radioactive effluents in accordance with the methodology in the ODCM at least every 31 days;
- f. Limitations on the functional capability and use of the liquid and gaseous effluent treatment systems to ensure that appropriate portions of these systems are used to reduce releases of radioactivity when the projected doses in a period of 31 days would exceed 2% of the guidelines for the annual dose or dose commitment, conforming to 10 CFR 50, Appendix I;
- g. Limitations on the dose rate resulting from radioactive material released in gaseous effluents from the site to areas at or beyond the site boundary shall be in accordance with the following:
 - 1. For noble gases: a dose rate ≤ 5 mSv/yr (500 mrem/yr) to the whole body and a dose rate ≤ 30 mSv/yr (3000 mrem/yr) to the skin, and
 - 2. For iodine-131, iodine-133, tritium, and all radionuclides in particulate form with half-lives greater than 8 days: a dose rate ≤ 15 mSv/yr (1500 mrem/yr) to any organ;
- h. Limitations on the annual and quarterly air doses resulting from noble gases released in gaseous effluents from each unit to areas beyond the site boundary, conforming to 10 CFR 50, Appendix I;
- i. Limitations on the annual and quarterly doses to a member of the public from iodine-131, iodine-133, tritium, and all radionuclides in particulate form with half lives > 8 days in gaseous effluents released from each unit to areas beyond the site boundary, conforming to 10 CFR 50, Appendix I; and
- j. Limitations on the annual dose or dose commitment to any member of the public, beyond the site boundary, due to releases of radioactivity and to radiation from uranium fuel cycle sources, conforming to 40 CFR 190.

The provisions of SR 3.0.2 and SR 3.0.3 are applicable to the Radioactive Effluent Controls Program surveillance frequency.

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5.5 Programs and Manuals

5.5.4 Component Cyclic or Transient Limit

This program provides controls to track the FSAR Table 3.9-1 cyclic and transient occurrences to ensure that components are maintained within the design limits.

5.5.5 Inservice Testing Program

This program provides controls for inservice testing of ASME Code Class 1, 2, and 3 components. The program shall include the following:

a. Testing frequencies applicable to the ASME Code for Operations and Maintenance of Nuclear Power Plants (ASME OM Code):

ASME OM Code and applicable Addenda terminology for inservice testing activities	Required Frequencies for performing inservice testing activities	
Weekly	At least once per 7 days	
Monthly	At least once per 31 days	
Quarterly or every 3 months	At least once per 92 days	
Semiannually or every 6 months	At least once per 184 days	
Every 9 months	At least once per 276 days	
Yearly or annually	At least once per 366 days	
Biennially or every 2 years	At least once per 731 days	

- The provisions of SR 3.0.2 are applicable to the above required Frequencies and to other normal and accelerated Frequencies specified as 2 years or less in the Inservice Testing Program for performing inservice testing activities;
- c. The provisions of SR 3.0.3 are applicable to inservice testing activities; and
- d. Nothing in the ASME OM Code shall be construed to supersede the requirements of any TS.

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5.5 Programs and Manuals

5.5.6

Explosive Gas and Storage Tank Radioactivity Monitoring Program

COL 16.0-1-A 5.5.6-1

This program provides controls for potentially explosive gas mixtures contained in the offgas treatment system and for the quantity of radioactivity fed into the offgas treatment system[and the quantity of radioactivity contained in unprotected outdoor liquid storage tanks]. The gaseous radioactivity quantities shall be determined following the methodology in Branch Technical Position (BTP) 11-5, "Postulated Radioactive Releases Due to a Waste Gas System Leak or Failure." [The liquid radwaste quantities shall be determined in accordance with Standard Review Plan, Section 15.7.3, "Postulated Radioactive Release Due to Tank Failures."]

COL 16.0-1-A 5.5.6-1

The program shall include:

- a. The limits for concentrations of hydrogen and oxygen in the offgas treatment system and a surveillance program to ensure the limits are maintained.
 Such limits shall be appropriate to the system's design criteria (i.e., whether or not the system is designed to withstand a hydrogen explosion);
- A surveillance program to ensure that the quantity of radioactivity fed into the offgas treatment system is less than the amount that would result in a whole body exposure of ≥ 5 mSv (0.5 rem) to any individual in an unrestricted area, in the event of an uncontrolled release; and

COL 16.0-1-A 5.5.6-1 [c. A surveillance program to ensure that the quantity of radioactivity contained in all outdoor liquid radwaste tanks that are not surrounded by liners, dikes, or walls, capable of holding the tanks' contents and that do not have tank overflows and surrounding area drains connected to the Liquid Waste Management System is less than the amount that would result in concentrations less than the limits of 10 CFR 20, Appendix B, Table 2, Column 2, at the nearest potable water supply and the nearest surface water supply in an unrestricted area, in the event of an uncontrolled release of the tanks' contents.]

COL 16.0-1-A 5.5.6-1 The provisions of SR 3.0.2 and SR 3.0.3 are applicable to the Explosive Gas and [Storage Tank] Radioactivity Monitoring Program surveillance frequencies.

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5.5 Programs and Manuals

5.5.7 Technical Specifications (TS) Bases Control Program

This program provides a means for processing changes to the Bases of these Technical Specifications.

- a. Changes to the Bases of the TS shall be made under appropriate administrative controls and reviews.
- b. Licensees may make changes to Bases without prior NRC approval provided the changes do not require either of the following:
 - 1. A change in the TS incorporated in the license, or
 - 2. A change to the updated FSAR or Bases that requires NRC approval pursuant to 10 CFR 50.59.
- c. The Bases Control Program shall contain provisions to ensure that the Bases are maintained consistent with the FSAR.
- d. Proposed changes that meet the criteria of 5.5.7.b above shall be reviewed and approved by the NRC prior to implementation. Changes to the Bases implemented without prior NRC approval shall be provided to the NRC on a frequency consistent with 10 CFR 50.71(e).

5.5.8 <u>Safety Function Determination Program (SFDP)</u>

This program ensures loss of safety function is detected and appropriate actions taken. Upon entry into LCO 3.0.6, an evaluation shall be made to determine if loss of safety function exists. Additionally, other appropriate limitations and remedial or compensatory actions may be identified to be taken as a result of the support system inoperability and corresponding exception to entering supported system Condition and Required Actions. This program implements the requirements of LCO 3.0.6. The SFDP shall contain the following:

- a. Provisions for cross-division checks to ensure a loss of the capability to perform the safety function assumed in the accident analysis does not go undetected:
- b. Provisions for ensuring the plant is maintained in a safe condition if a loss of function condition exists:
- c. Provisions to ensure that an inoperable supported system's Completion Time is not inappropriately extended as a result of multiple support system inoperabilities; and

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5.5 Programs and Manuals

5.5.8 Safety Function Determination Program (SFDP) (continued)

d. Other appropriate limitations and remedial or compensatory actions.

A loss of safety function exists when, assuming no concurrent single failure, or no concurrent loss of onsite safety-related power, a safety function assumed in the accident analysis cannot be performed. For the purpose of this program, a loss of safety function may exist when a support system is inoperable, and:

- A required system redundant to system(s) supported by the inoperable support system is also inoperable;
- b. A required system redundant to system(s) in turn supported by the inoperable supported system is also inoperable; or
- c. A required system redundant to support system(s) for the supported systems (a) and (b) above is also inoperable.

The SFDP identifies where a loss of safety function exists. If a loss of safety function is determined to exist by this program, the appropriate Conditions and Required Actions of the LCO in which the loss of safety function exists are required to be entered. When a loss of safety function is caused by the inoperability of a single Technical Specification support system, the appropriate Conditions and Required Actions to enter are those of the support system.

5.5.9 <u>Containment Leakage Rate Testing Program</u>

- a. A program shall establish the leakage rate testing of the containment as required by 10 CFR 50.54(o) and 10 CFR 50, Appendix J, Option B, as modified by approved exemptions. This program shall be in accordance with the guidelines contained in Regulatory Guide 1.163, "Performance-Based Containment Leak-Test Program," dated September 1995 as modified by the following exceptions:
 - 1. The visual examination of containment concrete surfaces intended to fulfill the requirements of 10 CFR 50, Appendix J, Option B testing, shall be performed in accordance with the requirements of and frequency specified by ASME Code Section XI, Subsection IWL, except where relief has been authorized by the NRC. The containment concrete visual examinations may be performed during either power operation or during a maintenance/refueling outage.

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5.5 Programs and Manuals

5.5.9 <u>Containment Leakage Rate Testing Program</u> (continued)

2. The visual examination of the steel liner plate inside containment intended to fulfill the requirements of 10 CFR 50, Appendix J, Option B testing shall be performed in accordance with the requirements of and frequency specified by ASME Code Section XI, Subsection IWE, except where relief has been authorized by the NRC.

COL 16.0-1-A 5.5.9-1

[3. ..]

- b. The calculated peak containment internal pressure for the design basis loss of coolant accident, P_a, is 310 kPaG (45 psig). The containment design pressure is 310 kPaG (45 psig).
- c. The maximum allowable containment leakage rate, L_a , at P_a , shall be 0.35% of containment air weight per day.
- d. Leakage rate acceptance criteria are:
 - Containment leakage rate acceptance criterion is ≤ 1.0 L_a for leakage from Containment. During the first unit startup following testing in accordance with this program, the leakage rate acceptance criteria are < 0.60 L_a for the Type B and C tests and ≤ 0.75 L_a for Type A tests.
 - 2. Air lock testing acceptance criteria are:
 - a) Overall air lock leakage rate is $\leq 0.05 L_a$ when tested at $\geq P_a$.
 - For each door, leakage rate is ≤ 0.01 L_a when pressurized to ≥ 10 psig.
 - 3. Passive Containment Cooling System (PCCS) leakage rate acceptance criterion is $\leq 0.01\%$ of containment air weight per day.
- e. The provisions of SR 3.0.3 are applicable to the Containment Leakage Rate Testing Program.
- f. Nothing in these Technical Specifications shall be construed to modify the testing Frequencies required by 10 CFR 50, Appendix J.

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5.5 Programs and Manuals

5.5.10 Battery Monitoring and Maintenance Program

This Program provides for battery restoration and maintenance, which includes the following:

COL 16.0-1-A 5.5.10-1

- a. With battery cell float voltage [< 2.13] V, actions to restore cell(s) to [≥ 2.13] V and perform SR 3.8.3.5,
- b. Actions to equalize and test battery cells that had been discovered with electrolyte level below the minimum established design limit;
- c. Limits on average electrolyte temperature, battery connection resistance, and battery terminal voltage; and
- d. A requirement to obtain specific gravity readings of all cells at each discharge test, consistent with manufacturer recommendations.

5.5.11 Setpoint Control Program (SCP)

- a. The Setpoint Control Program (SCP) implements the regulatory requirement of 10 CFR 50.36(c)(1)(ii)(A) that technical specifications will include items in the category of limiting safety system settings (LSSS), which are settings for automatic protective devices related to those variables having significant safety functions.
- b. The Limiting Trip Setpoint (LTSP), Nominal Trip Setpoint (NTSP_F), Allowable Value (AV), As-Found Tolerance (AFT), and As-Left Tolerance (ALT) for each Technical Specification required automatic protection instrumentation function shall be calculated in conformance with the instrumentation setpoint methodology previously reviewed and approved by the NRC in NEDE-33304P-A, "GEH ESBWR Setpoint Methodology," [Revision #, dated Month dd, yyyy, (MLxxxxxxxxxx)], and the conditions stated in the associated NRC safety evaluation, [Letter to GEH from NRC, Title, dated Month, dd, yyyy, (MLxxxxxxxxxx)].

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- c. For each Technical Specification required automatic protection instrumentation function, performance of a CHANNEL CALIBRATION surveillance shall include the following:
 - 1. The as-found value of the instrument channel trip setting shall be compared with the previous as-left value or the specified NTSP_F.

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5.5 Programs and Manuals

5.5.11 Setpoint Control Program (continued)

- i. If the as-found value of the instrument channel trip setting differs from the previous as-left value or the specified NTSP_F by more than the pre-defined test acceptance criteria band (i.e., the specified AFT), then the instrument channel shall be evaluated to verify that it is functioning in accordance with its design basis before declaring the surveillance requirement met and returning the instrument channel to service. This condition shall be dispositioned by the plant's corrective action program.
- ii. If the as-found value of the instrument channel trip setting is less conservative than the specified AV the surveillance requirement is not met and the instrument channel shall be immediately declared inoperable.
- 2. The instrument channel trip setting shall be set to a value within the specified ALT around the specified NTSP_F at the completion of the surveillance; otherwise, the surveillance requirement is not met and the instrument channel shall be immediately declared inoperable.
- d. The difference between the instrument channel trip setting as-found value and either the previous as-left value or the specified NTSP_F, for each Technical Specification required automatic protection instrumentation function shall be trended and evaluated to verify that the instrument channel is functioning in accordance with its design basis.
- e. The SCP shall establish a document containing the current value of the specified LTSP, NTSP_F, AV, AFT, and ALT for each Technical Specification required automatic protection instrumentation function and references to the calculation documentation. Changes to this document shall be governed by the regulatory requirements of 10 CFR 50.59. In addition, changes to the specified LTSP, NTSPF, AV, AFT, and ALT values shall be governed by the approved setpoint methodology. This document, including any midcycle revisions or supplements, shall be provided upon issuance for each reload cycle to the NRC.

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5.5 Programs and Manuals

5.5.12 Control Room Habitability Area (CRHA) Boundary Program

CRHA habitability is maintained such that, with an OPERABLE CRHA Heating, Ventilation, and Air Conditioning (HVAC) Subsystem (CRHAVS), CRHA occupants can control the reactor safely under normal conditions and maintain it in a safe condition following a radiological event,[hazardous chemical release,] or a smoke challenge. The program shall ensure that adequate radiation protection is provided to permit access and occupancy of the CRHA under design basis accident (DBA) conditions without personnel receiving radiation exposures in excess of 0.05 Sv (5 rem) total effective dose equivalent (TEDE) for

the duration of the accident. The program shall include the following elements:

A CRHA Boundary Program shall be established and implemented to ensure that

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- a. The definition of the CRHA and the CRHA boundary.
- b. Requirements for maintaining the CRHA boundary in its design condition including configuration control and preventive maintenance.
- c. Requirements for (i) determining the unfiltered air inleakage past the CRHA boundary into the CRHA in accordance with the testing methods and at the Frequencies specified in Sections C.1 and C.2 of Regulatory Guide 1.197, "Demonstrating Control Room Envelope Integrity at Nuclear Power Reactors," Revision 0, May 2003, and (ii) assessing CRHA habitability at the Frequencies specified in Sections C.1 and C.2 of Regulatory Guide 1.197, Revision 0.
- d. Measurement, at designated locations, of the CRHA pressure relative to all external areas adjacent to the CRHA boundary during the pressurization mode of operation by one train of the CRHAVS, operating at the flow rate required by the VFTP, at a Frequency of 24 months on a STAGGERED TEST BASIS. The results shall be trended and used as part of the 24 month assessment of the CRHA boundary.
- e. The quantitative limits on unfiltered air inleakage into the CRHA. These limits shall be stated in a manner to allow direct comparison to the unfiltered air inleakage measured by the testing described in paragraph c. The unfiltered air inleakage limit for radiological challenges is the inleakage flow rate assumed in the licensing basis analyses of DBA consequences less the amount designated for ingress and egress. [Unfiltered air inleakage limits for hazardous chemicals must ensure that exposure of CRHA occupants to these hazards will be within the assumptions in the licensing basis.]

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5.5 Programs and Manuals

5.5.12 Control Room Habitability Area (CRHA) Boundary Program (continued)

f. The provisions of SR 3.0.2 are applicable to the Frequencies for assessing CRHA habitability, determining CRHA unfiltered inleakage, and measuring CRHA pressure and assessing the CRHA boundary as required by paragraphs c and d, respectively.

5.5.13 <u>Ventilation Filter Testing Program (VFTP)</u>

A program shall be established to implement the following required testing of Engineered Safety Feature (ESF) filter ventilation systems at the frequencies specified in Regulatory Guide 1.52, Revision 3, and in accordance with Regulatory Guide 1.52, Revision 3 and ASME AG-1-2003.

a. Demonstrate for each of the ESF systems that an inplace test of the high efficiency particulate air (HEPA) filters shows a penetration and system bypass < 0.05% when tested in accordance with Regulatory Guide 1.52, Revision 3 and ASME AG-1-2003 at the system flowrate specified below ± 10%:

ESF Ventilation System

<u>Flowrate</u>

Control Room Habitability Area (CRHA)
Heating, Ventilation, and Air Conditioning (HVAC)
Subsystem (CRHAVS) Emergency Filter Unit (EFU)

220 l/s (466 cfm)

b. Demonstrate for each of the ESF systems that an inplace test of the carbon adsorber shows a penetration and system bypass < 0.05% when tested in accordance with Regulatory Guide 1.52, Revision 3 and ASME AG-1-2003 at the system flowrate specified below ± 10%:

ESF Ventilation System

Flowrate

CRHAVS EFU

220 l/s (466 cfm)

c. Demonstrate for each of the ESF systems that a laboratory test of a sample of the carbon adsorber, when obtained as described in Regulatory Guide 1.52, Revision 3, shows the methyl iodide penetration less than the value specified below when tested in accordance with ASTM D3803-1989 at a temperature of 30°C (86°F) and the relative humidity specified below:

ESF Ventilation System	<u>Penetration</u>	<u>RH</u>
CRHAVS FFU	0.5%	95%

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5.5 Programs and Manuals

5.5.13 Ventilation Filter Testing Program (VFTP) (continued)

d. Demonstrate for each of the ESF systems that the pressure drop across the combined HEPA filters, the prefilters, and the carbon adsorbers is less than the value specified below when tested in accordance with Regulatory Guide 1.52, Revision 3 and ASME AG-1-2003 at the system flowrate specified below ± 10%:

ESF Ventilation System Delta P Flowrate

CRHAVS EFU 500 Pa (2.0" w.g.) 220 l/s (466 cfm)

The provisions of SR 3.0.2 and SR 3.0.3 are applicable to the VFTP test frequencies.

5.5.14 Post-Accident Monitoring (PAM) Instrumentation Program

This program provides controls to establish accident monitoring instrumentation functions that are required by Specification 3.3.3.2, "Post-Accident Monitoring (PAM) Instrumentation." These instrumentation functions shall be those designated as Type A, B, and C, as defined in Regulatory Guide (RG) 1.97, "Criteria for Accident Monitoring Instrumentation for Nuclear Power Plants," Revision 4, June 2006, and shall be listed in the PAM function list document as described in Section 7.5.1. Changes to the list of Type A, B, and C functions shall be made in accordance with the provisions of 10 CFR 50.59 and RG 1.97, Revision 4.

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5.0 ADMINISTRATIVE CONTROLS

5.6 Reporting Requirements

The following reports shall be submitted in accordance with 10 CFR 50.4.

5.6.1 Annual Radiological Environmental Operating Report

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The Annual Radiological Environmental Operating Report covering the operation of the unit during the previous calendar year shall be submitted by May 15 of each year. The report shall include summaries, interpretations, and analyses of trends of the results of the Radiological Environmental Monitoring Program for the reporting period. The material provided shall be consistent with the objectives outlined in the Offsite Dose Calculation Manual (ODCM), and in 10 CFR 50, Appendix I, Sections IV.B.2, IV.B.3, and IV.C.

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The Annual Radiological Environmental Operating Report shall include the results of analyses of all radiological environmental samples and of all environmental radiation measurements taken during the period pursuant to the locations specified in the table and figures in the ODCM, as well as summarized and tabulated results of these analyses and measurements [in the format of the table in the Radiological Assessment Branch Technical Position, Revision 1, November 1979]. In the event that some individual results are not available for inclusion with the report, the report shall be submitted noting and explaining the reasons for the missing results. The missing data shall be submitted in a supplementary report as soon as possible.

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5.6 Reporting Requirements

5.6.2 <u>Radioactive Effluent Release Report</u>

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The Radioactive Effluent Release Report covering the operation of the unit during the previous year shall be submitted prior to May 1 of each year in accordance with 10 CFR 50.36a. The report shall include a summary of the quantities of radioactive liquid and gaseous effluents and solid waste released from the unit. The material provided shall be consistent with the objectives outlined in the ODCM and Process Control Program and in conformance with 10 CFR 50.36a and 10 CFR Part 50, Appendix I, Section IV.B.1.

5.6.3 CORE OPERATING LIMITS REPORT (COLR)

- a. Core operating limits shall be established prior to each reload cycle, or prior to any remaining portion of a reload cycle, and shall be documented in the COLR for the following:
 - Specification 3.2.1, "LINEAR HEAT GENERATION RATE (LHGR)"
 - 2. Specification 3.2.2, "MINIMUM CRITICAL POWER RATIO (MCPR)"
 - 3. Specification 3.3.1.1, Reactor Protection System (RPS) Instrumentation," Functions 14, 15, and 16
 - 4. Specification 3.3.1.4, Neutron Monitoring System (NMS) Instrumentation, Function 3
 - 5. Specification 3.7.4, "Main Turbine Bypass System"

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6.] Specification 3.7.6, "Selected Control Rod Run-In (SCRRI) and Select Rod Insert (SRI) Functions"

COL 16.0-1-A 5.6.3-2 [Any additional individual specifications that address core operating limits must be referenced here.]

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5.6 Reporting Requirements

5.6.3 CORE OPERATING LIMITS REPORT (COLR) (continued)

- b. The analytical methods used to determine the core operating limits shall be those previously reviewed and approved by the NRC, specifically those described in the following documents:
 - MFN-036-85, C. O. Thomas (NRC) to J. S. Charnley (GE), Acceptance for Referencing of Licensing Topical Report NEDE-24011-P Amendment 7 to Revision 6, GE Standard Application for Reactor Fuel, March 1, 1985.
 - 2. MFN-170-84, J. S. Charnley (GE) to R. Lobel (NRC), Fuel Property and Performance Model Revisions (Special Report MFN-170-84-0), December 14, 1984.
 - 3. MFN-027-86, J. S. Charnley (GE) to G. C. Lainas (NRC), Special Report MFN-170-84-1 (Revision 1 to MFN-170-84-0), Fuel Property and Performance Model Revisions, April 7, 1986.
 - MFN-056-87, J. S. Charnley (GE) to M. W. Hodges (NRC), Revision 2 to Special Report MFN-170-84-0, Fuel Property and Performance Model Revisions, July 23, 1987.
 - 5. MFN-037-98, G. A. Watford (GE) to J. H. Wilson (NRC), Completion of Program to Confirm Elevated Concentration Gadolinia Fuel Performance Prediction Capability, September 8, 1998.
 - 6. MFN-031-99, G. A. Watford (GE) to S. Dembek (NRC), Fuel Property and Performance Model Revisions, August 20, 1999.
 - 7. NEDE-33083 Supplement 3P-A, "TRACG Application for ESBWR Transient Analysis," Revision 1, September 2010.
 - 8. NEDO-33338, "ESBWR Feedwater Temperature Operating Domain Transient and Accident Analysis," Revision 1, May 2009.
 - 9. Chapter 4, "Reactor," Appendix 4D, "Stability Evaluation," Section 4D.3.2.2.
- c. The core operating limits shall be determined such that all applicable limits (e.g., fuel thermal mechanical limits, core thermal hydraulic limits, Emergency Core Cooling Systems (ECCS) limits, nuclear limits such as SDM, transient analysis limits, and accident analysis limits) of the safety analysis are met.

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5.6 Reporting Requirements

5.6.3 CORE OPERATING LIMITS REPORT (COLR) (continued)

d. The COLR, including any midcycle revisions or supplements, shall be provided upon issuance for each reload cycle to the NRC.

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Reactor Coolant System (RCS) PRESSURE AND TEMPERATURE LIMITS REPORT (PTLR)

- a. RCS pressure and temperature limits for heatup, cooldown, low temperature operation, criticality, and hydrostatic testing as well as heatup and cooldown rates shall be established and documented in the PTLR for the following:
 - LCO 3.4.4, "RCS Pressure and Temperature (P/T) Limits."
- b. The analytical methods used to determine the RCS pressure and temperature limits shall be those previously reviewed and approved by the NRC, specifically those described in the following documents:
 - [Identify the Topical Report(s) by number and title or identify the NRC Safety Evaluation for a plant specific methodology by NRC letter and date. The PTLR will contain the complete identification for each of the TS referenced Topical Reports used to prepare the PTLR (i.e., report number, title, revision, date, and any supplements).]
- c. The PTLR shall be provided to the NRC upon issuance for each reactor vessel fluence period and for any revision or supplement thereto.

5.6.5] Post-Accident Monitoring Report

When a Special Report is required by Condition B or C of LCO 3.3.3.2, "Post Accident Monitoring (PAM) Instrumentation," a report shall be submitted within the following 14 days. The report shall outline the preplanned alternate method of monitoring, the cause of the inoperability, and the plans and schedule for restoring the instrumentation channels of the Function to OPERABLE status.

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5.0 ADMINISTRATIVE CONTROLS

5.7 High Radiation Area

As provided in paragraph 20.1601(c) of 10 CFR Part 20, the following controls shall be applied to high radiation areas in place of the controls required by paragraph 20.1601(a) and (b) of 10 CFR Part 20:

- 5.7.1 <u>High Radiation Areas with Dose Rates Not Exceeding 10 mSv (1.0 rem)/hour at 30 Centimeters from the Radiation Source or from any Surface Penetrated by the Radiation</u>
 - a. Each entryway to such an area shall be barricaded and conspicuously posted as a high radiation area. Such barricades may be opened as necessary to permit entry or exit of personnel or equipment.
 - b. Access to, and activities in, each such area shall be controlled by means of Radiation Work Permit (RWP) or equivalent that includes specification of radiation dose rates in the immediate work area(s) and other appropriate radiation protection equipment and measures.
 - c. Individuals qualified in radiation protection procedures and personnel continuously escorted by such individuals may be exempted from the requirement for an RWP or equivalent while performing their assigned duties provided that they are otherwise following plant radiation protection procedures for entry to, exit from, and work in such areas.
 - d. Each individual or group entering such an area shall possess:
 - A radiation monitoring device that continuously displays radiation dose rates in the area, or
 - 2. A radiation monitoring device that continuously integrates the radiation dose rates in the area and alarms when the device's dose alarm setpoint is reached, with an appropriate alarm setpoint, or
 - A radiation monitoring device that continuously transmits dose rate and cumulative dose information to a remote receiver monitored by radiation protection personnel responsible for controlling personnel radiation exposure within the area, or
 - 4. A self-reading dosimeter (e.g., pocket ionization chamber or electronic dosimeter) and,

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5.7 High Radiation Area

- 5.7.1 <u>High Radiation Areas with Dose Rates Not Exceeding 10 mSv (1.0 rem)/hour at 30 Centimeters from the Radiation Source or from any Surface Penetrated by the Radiation (continued)</u>
 - (i) Be under the surveillance, as specified in the RWP or equivalent, while in the area, of an individual qualified in radiation protection procedures, equipped with a radiation monitoring device that continuously displays radiation dose rates in the area; who is responsible for controlling personnel exposure within the area, or
 - (ii) Be under the surveillance, as specified in the RWP or equivalent, while in the area, by means of closed circuit television, of personnel qualified in radiation protection procedures, responsible for controlling personnel radiation exposure in the area, and with the means to communicate with individuals in the area who are covered by such surveillance.
 - e. Except for individuals qualified in radiation protection procedures, or personnel continuously escorted by such individuals, entry into such areas shall be made only after dose rates in the area have been determined and entry personnel are knowledgeable of them. These continuously escorted personnel will receive a pre-job briefing prior to entry into such areas. This dose rate determination, knowledge, and pre-job briefing does not require documentation prior to initial entry.
- 5.7.2 High Radiation Areas with Dose Rates Greater than 10 mSv (1.0 rem)/hour at
 30 Centimeters from the Radiation Source or from any Surface Penetrated by the
 Radiation, but less than 5 grays (500 rads)/hour at 1 Meter from the Radiation
 Source or from any Surface Penetrated by the Radiation
 - a. Each entryway to such an area shall be conspicuously posted as a high radiation area and shall be provided with a locked or continuously guarded door or gate that prevents unauthorized entry, and, in addition:
 - 1. All such door and gate keys shall be maintained under the administrative control of the shift supervisor, radiation protection manager, or his or her designee.
 - 2. Doors and gates shall remain locked except during periods of personnel or equipment entry or exit.

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5.7

5.7 High Radiation Area

- 5.7.2 High Radiation Areas with Dose Rates Greater than 10 mSv (1.0 rem)/hour at 30 Centimeters from the Radiation Source or from any Surface Penetrated by the Radiation, but less than 5 grays (500 rads)/hour at 1 Meter from the Radiation Source or from any Surface Penetrated by the Radiation (continued)
 - Access to, and activities in, each such area shall be controlled by means of an RWP or equivalent that includes specification of radiation dose rates in the immediate work area(s) and other appropriate radiation protection equipment and measures.
 - Individuals qualified in radiation protection procedures may be exempted from the requirement for an RWP or equivalent while performing radiation surveys in such areas provided that they are otherwise following plant radiation protection procedures for entry to, exit from, and work in such areas.
 - Each individual or group entering such an area shall possess one of the following:
 - A radiation monitoring device that continuously integrates the radiation rates in the area and alarms when the device's dose alarm setpoint is reached, with an appropriate alarm setpoint, or
 - 2. A radiation monitoring device that continuously transmits dose rate and cumulative dose information to a remote receiver monitored by radiation protection personnel responsible for controlling personnel radiation exposure within the area with the means to communicate with and control every individual in the area, or
 - A self-reading dosimeter (e.g., pocket ionization chamber or electronic dosimeter) and,
 - Be under the surveillance, as specified in the RWP or equivalent, while in the area, of an individual qualified in radiation protection procedures, equipped with a radiation monitoring device that continuously displays radiation dose rates in the area; who is responsible for controlling personnel exposure within the area, or
 - (ii) Be under the surveillance, as specified in the RWP or equivalent, while in the area, by means of closed circuit television, or personnel qualified in radiation protection procedures, responsible for controlling personnel radiation exposure in the area, and with the means to communicate with and control every individual in the area.

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5.7 High Radiation Area

- 5.7.2 High Radiation Areas with Dose Rates Greater than 10 mSv (1.0 rem)/hour at
 30 Centimeters from the Radiation Source or from any Surface Penetrated by the
 Radiation, but less than 5 grays (500 rads)/hour at 1 Meter from the Radiation
 Source or from any Surface Penetrated by the Radiation (continued)
 - 4. In those cases where options (2) and (3), above, are impractical or determined to be inconsistent with the "As Low As is Reasonably Achievable" principle, a radiation monitoring device that continuously displays radiation dose rates in the area.
 - e. Except for individuals qualified in radiation protection procedures, or personnel continuously escorted by such individuals, entry into such areas shall be made only after dose rates in the area have been determined and entry personnel are knowledgeable of them. These continuously escorted personnel will receive a pre-job briefing prior to entry into such areas. The dose rate determination, knowledge, and pre-job briefing do not require documentation prior to initial entry.
 - f. Such individual areas that are within a larger area where no enclosure exists for the purpose of locking and where no enclosure can reasonably be constructed around the individual area need not be controlled by a locked door or gate, nor continuously guarded, but shall be barricaded, conspicuously posted, and a clearly visible flashing light shall be activated at the area as a warning device.

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