NRC FORM 7 U.S. NUCLEAR REGULATORY COMMISSION (8-2010) 10 CFR 110				APPROVED BY OMB: NO. 3150-0027  Estimated burden per response to comply with this mandatory, submittal is reviewed to ensure that the applicable statutory, rule are satisfied. Send comments regarding burden estimate			y collection requ regulatory, and p	olicy considerations	
APPLICATION FOR NRC EXPORT OR IMPORT  Services Branch (To by internet e-m Information and R				Services Branch (T-5 F53 or by internet e-mail to Information and Regulat	), U.S. Nuclear Regulinfocollects resource ory Affairs, NEOB-	ulatory Commis ce@nrc.gov, a 10202, (3150-	ssion, Washingto and to the Des 0027), Office o	on, DC 20555-0001, k Officer, Office of f Management and	
Budget, Washington, Display a currently valid				Budget, Washington, DC display a currently valid Ol is not required to respond	MB control number, t	he NRC may n	e an information not conduct or sp	collection does not onsor, and a person	
				an [		DATE RECEIV	ED	DEC	07 2010
PART A. FOR NRC USE O	NLY			OR [	NON-PUBLIC				Ic
X MAT 414		11005905			ADAMS ACCE	SSION NUM	MBER		
PART B. TO	BE COMP	PLETED e any of th	FOR ALI	L LICE e Pages	NSES, AMENDA 3-4 first, and then at	IENTS, OR tach additional	RENEW sheets, if	/ALS necessary.)	
1. NAME AND ADDRESS OF APPLICAN	IT/LICENSEE		22/04/20/04/20/04/20/04/20/04		CANT'S CONTACT	1b. APPLICANT'S REFERENCE NUMBER			
CONCERT PHARMACEUT		C.	36		A E. DORIGO	N/A			
99 LEXINGTON AVENUE,	SUITE 500		1c. PHONE			1d. FAX NUMBER			
LEXINGTON, MA 02421				78 9	) 674-5286	(781) 674-5386			5
			1e. E-MAIL	ADDRES					
	121 1 2 1				adorigo@c	concertphari	na.com		
2. TYPE OF ACTION REQUESTED									
✓	EXPORT (Parts B, C, E	)			IPORT arts B, D, E)	AMENDMENT/RENEWAL Existing License Number:			
3. CONTRACT NUMBER(S)	4. FIRST SHIP			5. LA	ST SHIPMENT DATE	2	. PROPOSE	D EXPIRATI	ON DATE
N/A	ı	2/01/201	0		12/01/2015				
PART C. TO B (If more space is need	E COMPLE led to complet	e any of th	OR EXPO	RT LIC e Pages	3-4 first, and then at	DMENTS, C	OR RENE sheets, if r	EWALS necessary.)	0
7. NAME(S) / ADDRESS(ES) OF SUPPL AND/OR OTHER PARTIES TO THE E			(S) / ADDRESS(ES) OF INTERMEDIATE GN CONSIGNEE(S)			9. NAME(S) / ADDRESS(ES) OF ULTIMATE FOREIGN CONSIGNEE(S)			
CAMBRIDGE ISOTOPE			2.0.1 00.10.0.1.22(0)			Asymchem Life Science (Tianjin) Co.,			
LABORATORIES, INC.						Ltd.			
50 Frontage Road						No.71, 7th Street			
Andover, MA 01810-5413						TEDA, Tianjin, 300457			
					PR China				
7a. FUNCTION(S) PERFORMED/SERVICE(S) PROVIDED 8a. INTERMEDIATE U			EDIATE USE(S	5)		9a. ULTIMATE END USE(S)			
Supplier					Use D2O in API manufacture				
10. DESCRIPTION OF RADIOACTIVE MATERIALS, SEALED SOU NUCLEAR FACILITIES, EQUIPMENT, OR COMPONENTS; FOI NUCLEAR EQUIPMENT INCLUDE TOTAL DOLLAR VALUE OF EQUIPMENT FOR EXPORT		ENTS; FOR	R ELI		TOTAL VOLUME / MENT WGT (KG), OR AL ACTIVITY (TBq)	10b. MAX ENR OR WGT	The state of the s	10c. MAX IS WGT (	
Heavy water (D2O)			~ 20,000 kgs (liters) of		> 99% enr	ichment	N/A (D2	O is not	
ž			D2O over license life		relative to		3	ive waste)	
						(H2O)			
									7
A FORFION OF TOTAL	TDV AND THE	EDOE!:T!	NE 05 1/11/11		AL VOLUME:			R	ecd
11. FOREIGN OBLIGATIONS (BY COUN	IIRY AND BY P	ERCENTAC	SE OF MAXIN	NUM TOT	AL VOLUME)		D	EC 07	2010

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NRC FORM 7 (8-2010) 10 CFR 110			U.S. NUCLEAR REGU	LATORY COMMISSION			
APPLICATION FOR NRC EXPORT OR IMPORT LICENSE, AMENDMENT, OR RENEWAL (Continued)							
LICENSE NUMBER X M A T 414 1100	5905 F	ADAMS ACCESSION NUMBER	PUBLIC OR	NON-PUBLIC			
PART D. TO BE COMPLETED FOR IMPORT LICENSES, AMENDMENTS, OR RENEWALS (If more space is needed to complete any of the items, use Pages 3-4 first, and then attach additional sheets, if necessary.)							
12. NAME(S) / ADDRESS(ES) OF FOREIGN SUPPLIERS AND/OR OTHER PARTIES TO IMPORT	13. NAME(S) / ADDR CONSIGNEE(S)	ESS(ES) OF INTERMEDIATE	14. NAME(S) / ADDRESS(ES) OF ULTIMATE U. S. CONSIGNEE(S)				
12a. NRC EXPORT LICENSE NUMBER(S) (if applicable)  13a. LICENSE NUM		ER(S) / EXPIRATION DATE(S)	14a. LICENSE NUMBER(S) / EXPIRATION DATE(S)				
	13b. INTERMEDIATE	USE(S)	14b. ULTIMATE END USE(S)				
15. DESCRIPTION OF RADIOACTIVE MATERIALS, SE NUCLEAR FACILITIES	ALED SOURCES,	15a. MAX TOTAL VOLUME / ELEMENT WGT (KG), OR TOTAL ACTIVITY (TBq)	15b. MAX ENRICHMENT OR WGT %	15c. MAX ISOTOPE WGT (KG)			
16. FOREIGN OBLIGATIONS (BY COUNTRY AND BY PERCENTAGE OF MAXIMUM TOTAL VOLUME)							
PART E. TO BE COMPLETED FOR <u>ALL</u> LICENSES, AMENDMENTS, OR RENEWALS							
17. ADDITIONAL INFORMATION PROVIDED ON PAGES 3, 4, AND/OR ON SEPARATE SHEETS?  ✓	17a. COPIES OF RECIPIENTS' AUTHORIZATIONS PROVIDED?  YES ✓ NO						
18. CERTIFICATION: I, the applicant's authorized official, hereby certify that this application is prepared in conformity with Title10, Code of Federal Regulations, and that all information provided is correct to the best of my knowledge.							
18a. PRINT NAME AND TITLE OF AUTHORIZED OFFICE Robert Zelle, Ph. D. Vice President, Drug Development	18b. SIGNATURE AUTHORIZED OFFICIAL  18c. DATE  30-Nav-Zon						

NRC FORM 7

(8-2010) 10 CFR 110 U.S. NUCLEAR REGULATORY COMMISSION

## APPLICATION FOR NRC EXPORT OR IMPORT LICENSE, AMENDMENT, OR RENEWAL (Continued)

LICENSE NUMBER	DOCKET NUMBER	ADAMS ACCESSION NUMBER	K	
X MAT 414	11005905		PUBLIC	OR NON-PUBLIC

ADDITIONAL INFORMATION (Reference applicable block numbers from page 1 and/or page 2 for each entry)

Block 17:

Applicant (see Block 1) plans to have produced the active pharmaceutical ingredient (API) known as CTP-499, which incorporates deuterium. The manufacturing process in its first step uses > 99% isotopically enriched heavy water (D2O) (see Blocks 10 and 10b) as the source of deuterium to achieve a hydrogen-deuterium exchange, as shown in Scheme 1 (Attachment A). Applicant plans to have Asymchem Laboratories, Inc. (Foreign Consignee - see Block 9) perform the manufacturing process in its plant in Tianjin, People's Republic of China. Applicant and Foreign Consignee have already signed an agreement directed to the cGMP manufacture of Applicant's APIs. Applicant further plans to request Cambridge Isotope Laboratories, Inc. (Other Party - see Block 7) to export, on behalf of Applicant, the D2O required for the manufacturing process to Foreign Consignee.

Applicant expects that current demand for D2O for the above API manufacture will be in excess of the 1000kg/annum export limit specified in 10 CFR 110.24 and is likely to increase year-on-year, reaching an expected demand of about 10 tonnes (10,000 kgs) of D2O in 2015, the last year of the license. The total amount of D2O exported on behalf of Applicant is expected to be about 20 tonnes (20,000 kgs or liters) over the life of the license.

Applicant plans to return (import) to the U.S. any D2O that remains unutilized at the completion of the reaction of Attachment A, and to recycle the re-imported D2O to obtain D2O that is > 99% isotopically enriched. Then the > 99% isotopically enriched D2O would then be exported (back) to China for use in the process of Attachment A to obtain a new batch of CTP-499.

Applicants also plan to reutilize the D2O at each step of a batch run in the process as described in Attachment B, which schematically shows how the unutilized D2O from a hydrogen/deuterium exchange in a given batch run (which typically involves three successive exchanges, as shown in Attachment B) is reutilized in an earlier hydrogen/deuterium exchange in a subsequent batch run.

The above approach to the recycling and reutilization of D2O is environmentally advantageous relative to one where the unutilized D2O is discarded at the end of every batch run (or at the end of every exchange in a three-exchange batch run), and is therefore advantageous from the point of view of public health and safety, since it reduces the amount of > 99% isotopically enriched D2O that has to be made from other sources. A mass balance is provided in Attachment C, which shows (i) the amount of D2O that is exported to the Foreign Consignee, (ii) the amount of D2O that is returned to the US, and (iii) the difference in the two amounts.

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## Attachment A:

Scheme 1. Exchange of Hydrogen with Deuterium in Step 1 of CTP-499 manufacturing process

Starting Material

23 mole equivalents of D<sub>2</sub>O are used relative to Starting Material

Deuterated version of Starting Material

Three hydrogen/ deuterium exchange cycles are performed

The Scheme shows how  $D_2O$  (deuterated water) is used to make a deuterated version of the Starting Material in the CTP-499 manufacturing process Step 1, which involves an exchange of hydrogen in the Starting Material with deuterium. The exchange may be repeated, so that for a given batch of CTP-499 three exchanges are typically used, as shown in more detail in Attachment B. The deuterium content purity is enhanced at successive exchanges. The unutilized  $D_2O$  from a hydrogen/deuterium exchange in a given batch run is reutilized in an earlier hydrogen/deuterium exchange in a subsequent batch run. For example, referring to Attachment B, the unutilized  $D_2O$  from Exchange 2 of the first batch is reutilized in Exchange 1 for the second batch.

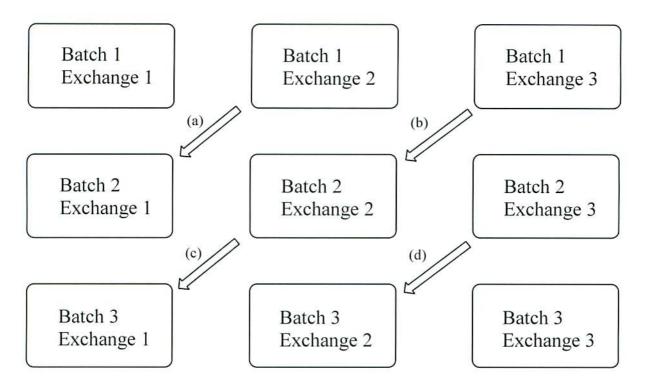
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## Attachment B:

Scheme 2. Recycling of  $D_2O$  in Step 1 of Manufacture of CTP-499 shown for three batch runs each involving three successive hydrogen/deuterium exchanges (a hydrogen/deuterium exchange is shown in Attachment A). The unutilized  $D_2O$  from an exchange in a batch run is reutilized in an earlier exchange in a subsequent run



- (a) unutilized D<sub>2</sub>O from Exchange 2 of first batch is reutilized in Exchange 1 for second batch
- (b) unutilized D<sub>2</sub>O from Exchange 3 of first batch is reutilized in Exchange 2 for second batch
- (c) unutilized D<sub>2</sub>O from exchange 2 of second batch is reutilized in Exchange 1 for third batch
- (d) unutilized D<sub>2</sub>O from Exchange 3 of second batch is reutilized in Exchange 2 for third batch

All D<sub>2</sub>O that remains unutilized in the above process is combined and returned (imported) to the U.S.

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## Attachment C:

Mass balance for D<sub>2</sub>O in Step 1 of the CTP-499 manufacturing process.

This mass balance assumes that Step 1 is conducted on **50 kg** of the Starting Material of Attachment A.

- (i) Amount of D<sub>2</sub>O that is exported to the Foreign Consignee: 247.5kg
- (ii) Amount of  $D_2O$  that is re-imported: 246 kg
- (iii) Difference between the amount of D<sub>2</sub>O exported and amount re-imported, which is the net amount of D<sub>2</sub>O consumed by Applicant's approach:

  247.5 kg 246 kg, or 1.5 kg.

This mass balance shows that only 1.5 kg of the  $D_2O$  that is exported is not re-imported back to the United States. Of this amount, 0.89 kg is the amount that is theoretically required to introduce deuterium in the Starting Material shown in Attachment A. The remaining 0.61 kg of  $D_2O$  (i.e., 1.5 – 0.89), which is a very tiny fraction of the exported amount, represent an amount that is ordinarily not recovered in processes of this type, mainly due to evaporation of  $D_2O$  or its dissolution in the organic solvents used to extract the deuterated product of the reaction of Attachment A.

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