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(4) In the case of diagnostic x-ray system which contain certified components, a copy of the assembler's report prepared in compliance with requirements of the Federal Diagnostic X-Ray Standard (21 CFR 1020.30 (d)) shall be submitted to the Agency within 15 days following completion of the assembly. Such report shall suffice in lieu of any other by the assembler.

(B) No person shall make, sell, lease, transfer, lend, assemble, or install radiation machines or the supplies used in connection with such machines unless such supplies and equipment when properly placed in operation and use shall meet the requirements of 105 CMR 120.000.

120.033: Out-of-state Radiation Machines

(A) Whenever any radiation machine is to be brought into the Commonwealth, for any temporary use, the person proposing to bring such machine into the Commonwealth shall give written notice to the Agency at least ten working days before such machine is to be used in the Commonwealth. The notice shall include:

- (1) The type of radiation machine;
- (2) The nature, duration, and scope of use;
- (3) The exact location(s) where the radiation machine is to be used; and,
- (4) States in which this machine is registered.

(B) The person referred to in 105 CMR 120.033 shall:

- (1) Comply with all applicable regulations of the Agency;
- (2) Register the radiation machine(s) with the Agency; and,
- (3) Submit payment of the required fee for registration.

(C) A pre-operational inspection may be required at the discretion of the Director of the Radiation Control Program.

(D) If, for a specific case, the ten working day period is not practical, notification to the Agency by telephone and hardcopy, permission to proceed sooner may be granted.

120.040: Notification to Fire Department

The user shall notify the local fire department of the presence on his premises of any radioactive material that may present special fire-fighting problems or require special precautionary measures in case of fire or other natural catastrophe, and he shall establish effective liaison with the fire department in regards to this matter.

120.100: LICENSING OF RADIOACTIVE MATERIAL

120.101: Purpose and Scope

(A) 105 CMR 120.100, 120.500 and 120.770, provide for the licensing of radioactive material. No person shall receive, possess, use, transfer, own, or acquire radioactive material except as authorized pursuant to 105 CMR 120.100, 120.500 or 120.770, or as otherwise provided in 105 CMR 120.000.

(B) In addition to the requirements of 105 CMR 120.100, all licensees are subject to the requirements of 105 CMR 120.000, 120.200, 120.750, and 120.770. Furthermore, licensees engaged in industrial radiographic operations are subject to the requirements of 105 CMR 120.300; licensees using radionuclides in the healing arts are subject to the requirements of 105 CMR 120.500, licensees engaged in land disposal of radioactive material are subject to the requirements of 105 CMR 120.801 through 120.885, and licensees engaged in wireline and subsurface tracer studies are subject to the requirements of 105 CMR 120.900.

120.102: Definitions

As used in 105 CMR 120.100, the following definitions apply:

Alert means events may occur, are in progress, or have occurred that could lead to a release of radioactive material but that the release is not expected to require a response by offsite response organizations to protect persons offsite.

Decommissioning Funding Plan means a written document that contains a cost estimate for decommissioning and a description of the method for assuring for decommissioning, including means of adjusting cost estimates and associated funding levels periodically over the life of the facility.

Facility means the location within one building, vehicle, or under one roof and under the same administrative control:

- (1) at which the possession, use, processing or storage of radioactive material is or was authorized; or
- (2) at which one or more radioactivity-inducing machines are installed or located.

Facility may also mean multiple such locations at a site or part of a site.

Financial Surety means the method of assuring that sufficient funds will be available at the time of license termination and decommissioning of the facility to cover all costs associated with the decommissioning.

Site means the area contained within the boundary of a location under the control of persons generating or storing radioactive materials.

Site Area Emergency means events may occur, are in progress, or have occurred that could lead to a significant release of radioactive material and that could require a response by offsite response organizations to protect persons offsite.

120.103: Source Material

(A) Any person is exempt from 105 CMR 120.100 to the extent that such person receives, possesses, uses, owns, or transfers source material in any chemical mixture, compound, solution, or alloy in which the source material is by weight less than 1/20 of 1% (0.05%) of the mixture, compound, solution, or alloy.

(B) Any person is exempt from 105 CMR 120.100 to the extent that such person receives, possesses, uses, or transfers unrefined and unprocessed ore containing source material; provided that, except as authorized in a specific license, such person shall not refine or process such ore.

(C) Any person is exempt from 105 CMR 120.103 to the extent that such person receives, possesses, uses, or transfers:

- (1) any quantities of thorium contained in:
 - (a) incandescent gas mantles;
 - (b) vacuum tubes;
 - (c) welding rods;
 - (d) electric lamps for illuminating purposes provided that each lamp does not contain more than 50 milligrams of thorium;
 - (e) germicidal lamps, sunlamps, and lamps for outdoor or industrial lighting provided that each lamp does not contain more than two grams of thorium;
 - (f) rare earth metals and compounds, mixtures, and products containing not more than 0.25% by weight thorium, uranium, or any combination of these; or
 - (g) personnel neutron dosimeters, provided that each dosimeter does not contain more than 50 milligrams of thorium;
- (2) source material contained in the following products:
 - (a) glazed ceramic tableware, provided that the glaze contains not more than 20% by weight source material;
 - (b) glassware containing not more than 10% by weight source material, but not including commercially manufactured glass brick, pane glass, ceramic tile, or other glass or ceramic used in construction;

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- (c) glass enamel or glass enamel frit containing not more than 10% by weight source material imported or ordered for importation into the United States, or initially distributed by manufacturers in the United States, before July 25, 1983; or
- (d) piezoelectric ceramic containing not more than 2% by weight source material.
- (3) photographic film, negatives, and prints containing uranium or thorium;
- (4) any finished product or part fabricated of, or containing, tungsten-thorium or magnesium-thorium alloys, provided that the thorium content of the alloy does not exceed 4% by weight and that this exemption shall not be deemed to authorize the chemical, physical, or metallurgical treatment or processing of any such product or part;
- (5) uranium contained in counterweights installed in aircraft, rockets, projectiles, and missiles, or stored or handled in connection with installation or removal of such counterweights, provided that:
 - (a) the counterweights are manufactured in accordance with a specific license issued by the U.S. Nuclear Regulatory Commission (NRC), authorizing distribution by the licensee pursuant to 10 CFR Part 40;
 - (b) each counterweight has been impressed with the following legend clearly legible through any plating or other covering: "DEPLETED URANIUM";¹
 - (c) each counterweight is durably and legibly labeled or marked with the identification of the manufacturer and the statement: "UNAUTHORIZED ALTERATIONS PROHIBITED";¹ and
 - (d) this exemption shall not be deemed to authorize the chemical, physical, or metallurgical treatment or processing of any such counterweights other than repair or restoration of any plating or other covering;
- (6) natural or depleted uranium metal used as shielding constituting part of any shipping container, provided that:
 - (a) the shipping container is conspicuously and legibly impressed with the legend "CAUTION - RADIOACTIVE SHIELDING - URANIUM"; and
 - (b) the uranium metal is encased in mild steel or equally fire resistant metal of minimum wall thickness of 1/8 inch (3.2 mm);
- (7) thorium contained in finished optical lenses, provided that each lens does not contain more than 30% by weight of thorium, and that this exemption shall not be deemed to authorize either:
 - (a) the shaping, grinding, or polishing of such lens or manufacturing processes other than the assembly of such lens into optical systems and devices without any alteration of the lens; or
 - (b) the receipt, possession, use, or transfer of thorium contained in contact lenses, or in spectacles, or in eyepieces in binoculars or other optical instruments;
- (8) uranium contained in detector heads for use in fire detection units, provided that each detector head contains not more than 0.005 microcurie of uranium; or
- (9) thorium contained in any finished aircraft engine part containing nickel-thoria alloy, provided that:
 - (a) the thorium is dispersed in the nickel-thoria alloy in the form of finely divided thoria (thorium dioxide); and
 - (b) the thorium content in the nickel-thoria alloy does not exceed 4% by weight.

(D) The exemptions in 105 CMR 120.103(C) do not authorize the manufacture of any of the products described.

¹ The requirements specified in 105 CMR 120.103(C)(5)(b) and (c) need not be met by counterweights manufactured prior to December 31, 1969; provided, that such counterweights are impressed with the legend, "CAUTION - RADIOACTIVE MATERIAL - URANIUM", as previously required by 105 CMR 120.000.

120.104: Radioactive Material Other Than Source Material(A) Exempt Concentrations.

(1) Except as provided in 105 CMR 120.104(A)(2), any person is exempt from 105 CMR 120.100 to the extent that such person receives, possesses, uses, transfers, owns or acquires products containing radioactive material introduced in concentrations not in excess of those listed in 105 CMR 120.195: *Appendix A*.

(2) No person may introduce radioactive material into a product or material knowing or having reason to believe that it will be transferred to persons exempt under 105 CMR 120.104(A)(1) or equivalent regulations of the NRC, any Agreement State or Licensing State, except in accordance with a specific license issued pursuant to 105 CMR 120.128(A) or the general license provided in 105 CMR 120.190.

(B) Exempt Quantities.

(1) Any person is exempt from 105 CMR 120.100 to the extent that such person receives, possesses, uses, transfers, owns, or acquires radioactive material in individual quantities each of which does not exceed the applicable quantity set forth in 105 CMR 120.196: *Appendix B Table I* provided they have been distributed pursuant to a license as described in 105 CMR 120.104(B)(3).

(2) 105 CMR 120.104(B) does not authorize the production, packaging or repackaging of radioactive material for purposes of commercial distribution, or the incorporation of radioactive material into products intended for commercial distribution.

(3) No person may, for purposes of commercial distribution, transfer radioactive material in the individual quantities set forth in 105 CMR 120.196: *Appendix B, Table I*, knowing or having reason to believe that such quantities of radioactive material will be transferred to persons exempt under 105 CMR 120.104(B) or equivalent regulations of the NRC, any Agreement State or Licensing State, except in accordance with a specific license issued by the NRC pursuant to 10 CFR Part 32, § 32.18 or by the Agency pursuant to 105 CMR 120.128(B) which license states that the radioactive material may be transferred by the licensee to persons exempt under 105 CMR 120.104(B) or the equivalent regulations of the NRC, an Agreement State, or Licensing State.²

(4) Any person who possesses radioactive material received or acquired prior to September 25, 1971 under the general license then provided in 10 CFR 31.4 is exempt from the requirements for a license set forth in 105 CMR 120.100 if such person possesses, uses, transfers, or owns such radioactive material.

(C) Exempt Items.

(1) Certain Items Containing Radioactive Material. Except for persons who apply radioactive material to, or persons who incorporate radioactive material into the following products, any person is exempt from 105 CMR 120.000 to the extent that he receives, possesses, uses, transfers, owns, or acquires the following products:

(a) Timepieces or hands or dials containing not more than the following specified quantities of radioactive material and not exceeding the following specified radiation dose rate:

1. 25 millicuries (925 MBq) of tritium per timepiece.
2. five millicuries (185 MBq) of tritium per hand.
3. 15 millicuries (555 MBq) of tritium per dial (bezels when used shall be considered as part of the dial).
4. 100 microcuries (3.7 MBq) of promethium-147 per watch or 200 microcuries (7.4 MBq) of promethium-147 per any other timepiece.

² Authority to transfer possession or control by the manufacturer, processor, or producer of any equipment, device, commodity, or other product containing byproduct material whose subsequent possession, use, transfer, and disposal by all other persons are exempted from regulatory requirements may be obtained only from the Nuclear Regulatory Commission (NRC), Washington, D.C.

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5. 20 microcuries (0.74 MBq) of promethium-147 per watch hand or 40 microcuries (1.48 MBq) of promethium-147 per other timepiece hand.
 6. 60 microcuries (2.22 MBq) of promethium-147 per watch dial or 120 microcuries (4.44 MBq) of promethium-147 per other timepiece dial (bezels when used shall be considered as part of the dial).
 7. The radiation dose rate from hands and dials containing promethium-147 will not exceed, when measured through 50 milligrams per square centimeter of absorber:
 - a. For wrist watches, 0.1 millirad (1 μ Gy) per hour at ten centimeters from any surface.
 - b. For pocket watches, 0.1 millirad (1 μ Gy) per hour at one centimeter from any surface.
 - c. For any other timepiece, 0.2 millirad (2 μ Gy) per hour at ten centimeters from any surface.
 8. One microcurie (37 kBq) of radium-226 per timepiece in timepieces acquired prior to the effective date of 105 CMR 120.100.
- (b) Lock illuminators containing not more than 15 millicuries (555 MBq) of tritium or not more than two millicuries (74 MBq) of promethium-147 installed in automobile locks. The radiation dose rate from each lock illuminator containing promethium-147 will not exceed one millirad (10 μ Gy) per hour at one centimeter from any surface when measured through 50 milligrams per square centimeter of absorber.
- (c) Precision balances containing not more than one millicurie (37 MBq) of tritium per balance or not more than 0.5 millicurie (18.5 MBq) of tritium per balance part.
- (d) Automobile shift quadrants containing not more than 25 millicuries (925 MBq) of tritium.
- (e) Marine compasses containing not more than 750 millicuries (27.8 GBq) of tritium gas and other marine navigational instruments containing not more than 250 millicuries (9.25 GBq) of tritium gas.
- (f) Thermostat dials and pointers containing not more than 25 millicuries (925 MBq) of tritium per thermostat.
- (g) Electron tubes; provided, that each tube does not contain more than one of the following specified quantities of radioactive material:
1. 150 millicuries (5.55 GBq) of tritium per microwave receiver protector tube or 10 millicuries (370 MBq) of tritium per any other electron tube.
 2. 1 microcurie (37 kBq) of cobalt-60.
 3. 5 microcuries (185 kBq) of nickel-63.
 4. 30 microcuries (1.11 MBq) of krypton-85.
 5. 5 microcuries (185 kBq) of cesium-137.
 6. 30 microcuries (1.11 MBq) of promethium-147.
- And provided further, that the radiation dose rate from each electron tube containing radioactive material will not exceed one millirad (ten μ Gy) per hour at one centimeter from any surface when measured through seven milligrams per square centimeter of absorber. For purposes of 105 CMR 120.104(C)(1)(g), "electron tubes" include spark gap tubes, power tubes, gas tubes including glow lamps, receiving tubes, microwave tubes, indicator tubes, pick-up tubes, radiation detection tubes, and any other completely sealed tube that is designed to conduct or control electrical currents.
- (h) Ionizing radiation measuring instruments containing, for purposes of internal calibration or standardization, one or more sources of radioactive material, provided that:
1. Each source contains no more than one exempt quantity set forth in 105 CMR 120.196: *Appendix B, Table 1*; and
 2. Each instrument contains no more than ten exempt quantities. For purposes of this requirement, an instrument's source(s) may contain either one or different types of radionuclides and an individual exempt quantity may be composed of fractional parts of one or more of the exempt quantities in 105 CMR 120.196: *Appendix B, Table 1*, provided that the sum of such fractions shall not exceed unity.
 3. For americium-241, 0.05 microcurie (1.85 kBq) is considered an exempt quantity under 105 CMR 120.104(C)(1)(h).
- (i) Spark gap irradiators containing not more than one microcurie (37 kBq) of cobalt-60 per spark gap irradiator for use in electrically ignited fuel oil burners having a firing rate of at least three gallons (11.4 l) per hour.

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(2) Self-luminous Products Containing Radioactive Material.

(a) Tritium, Krypton-85, or Promethium-147. Except for persons who manufacture, process, or produce self-luminous products containing tritium, krypton-85, or promethium-147, any person is exempt from 105 CMR 120.000 to the extent that such person receives, possesses, uses, transfers, owns, or acquires tritium, krypton-85 or promethium-147 in self-luminous products manufactured, processed, produced, imported, or transferred in accordance with a specific license issued by the NRC pursuant to 10 CFR Part 32, § 32.22 which license authorizes the transfer of the product to persons who are exempt from regulatory requirements. The exemption in 105 CMR 120.104(C)(2) does not apply to tritium, krypton-85, or promethium-147 used in products primarily for frivolous purposes or in toys or adornments.

(b) Radium-226. Any person is exempt from 105 CMR 120.000 to the extent that such person receives, possesses, uses, transfers, or owns articles containing less than 0.1 microcurie (3.7 kBq) of radium-226 which were acquired prior to March 11, 1994.

(3) Gas and Aerosol Detectors Containing Radioactive Material.

(a) Except for persons who manufacture, process, or produce gas and aerosol detectors containing radioactive material, any person is exempt from 105 CMR 120.000 to the extent that such person receives, possesses, uses, transfers, owns, or acquires radioactive material in gas and aerosol detectors designed to protect life or property from fires and airborne hazards provided that detectors containing radioactive material shall have been manufactured, imported, or transferred in accordance with a specific license issued by the U.S. Nuclear Regulatory Commission² pursuant to 10 CFR Part 32, § 32.26; or a Licensing State pursuant to 105 CMR 120.128(C), which authorizes the transfer of the detectors to persons who are exempt from regulatory requirements.

(b) Gas and aerosol detectors previously manufactured and distributed to general licensees in accordance with a specific license issued by an Agreement State shall be considered exempt under 105 CMR 120.104(C)(3)(a), provided that the device is labeled in accordance with the specific license authorizing distribution of the generally licensed device, and provided further that they meet the requirements of 105 CMR 120.128(C).

(c) Gas and aerosol detectors containing NARM previously manufactured and distributed in accordance with a specific license issued by a Licensing State shall be considered exempt under 105 CMR 120.104(C)(3)(a), provided that the device is labeled in accordance with the specific license authorizing distribution, and provided further that they meet the requirements of 105 CMR 120.128(C).

(4) Resins Containing Scandium-46 and Designed for Sand Consolidation in Oil Wells.

Any person is exempt from 105 CMR 120.000 to the extent that such person receives, possesses, uses, transfers, owns or acquires synthetic plastic resins containing scandium-46 which are designed for sand consolidation in oil wells. Such resins shall have been manufactured or imported in accordance with a specific license issued by the U.S. Nuclear Regulatory Commission, or shall have been manufactured in accordance with the specifications contained in a specific license issued by the Agency or any Agreement State to the manufacturer of such resins pursuant to licensing requirements equivalent to those in 10 CFR Part 32, §§ 32.16 and 32.17. This exemption does not authorize the manufacture of any resins containing scandium-46.

(5) Radioactive Drug: Capsules Containing Carbon-14 Urea for *in vivo* Diagnostic Use for Humans.

(a) Except as provided in 105 CMR 120.104(C)(5)(b) and (c), any person is exempt from the requirements for a license set forth in Section 5P of M.G.L. c. 111 and from the regulations in 105 CMR 120.100 and 105 CMR 120.500 provided that such person receives, possesses, uses, transfers, owns, or acquires capsules containing 37 kBq (1µ Ci) carbon-14 urea (allowing for nominal variation that may occur during the manufacturing process) each, for *in vivo* diagnostic use for humans.

(b) Any persons who desires to use the capsules for research involving human subjects shall apply for and receive a specific license pursuant to 105 CMR 120.500.

(c) Any person who desires to manufacture, prepare, process, produce, package, or transfer for commercial distribution such capsules shall apply, to NRC, for and receive a specific license pursuant to 10 CFR 32.21.

(d) Nothing in 105 CMR 120.104(C)(5) relieves persons from complying with applicable FDA, other Federal, and State requirements governing receipt, administration, and use of drugs.

120.120: Types of Licenses

Licenses for radioactive materials are of two types: general and specific.

(A) The Agency issues a specific license to a named person who has filed an application for the license under the provisions of 105 CMR 120.124.

(B) A general license is provided by regulation, grants authority to a person for certain activities involving radioactive material, and is effective without the filing of an application with the Agency or the issuance of a licensing document to a particular person. However, registration with the Agency may be required by the particular general license.

120.121: General Licenses - Source Material

(A) A general license is hereby issued authorizing commercial and industrial firms, research, educational and medical institutions, and state and local government agencies to use and transfer not more than 15 pounds (6.82 kg) of source material at any one time for research, development, educational, commercial, or operational purposes. A person authorized to use or transfer source material, pursuant to this general license, may not receive more than a total of 150 pounds (68.2 kg) of source material in any one calendar year.

(B) Persons who receive, possess, use, or transfer source material pursuant to the general license issued in 105 CMR 120.121(A) are exempt from the provisions of 105 CMR 120.200 and 120.750 to the extent that such receipt, possession, use, or transfer is within the terms of such general license; provided, however, that this exemption shall not be deemed to apply to any such person who is also in possession of source material under a specific license issued pursuant to 105 CMR 120.100.

(C) Persons who receive, possess, use, or transfer source material pursuant to the general license in 105 CMR 120.121(A) are prohibited from administering source material, or the radiation therefrom, either externally or internally, to human beings except as may be authorized by the Agency in a specific license.

(D) A general license is hereby issued authorizing the receipt of title to source material without regard to quantity. This general license does not authorize any person to receive, possess, use, or transfer source material.

(E) Depleted Uranium in Industrial Products and Devices.

(1) A general license is hereby issued to receive, acquire, possess, use, or transfer, in accordance with the provisions of 105 CMR 120.121(E)(2), (3), (4), and (5), depleted uranium contained in industrial products or devices for the purpose of providing a concentrated mass in a small volume of the product or device.

(2) The general license in 105 CMR 120.121(E)(1) applies only to industrial products or devices which have been manufactured either in accordance with a specific license issued to the manufacturer of the products or devices pursuant to 105 CMR 120.128(M) or in accordance with a specific license issued to the manufacturer by the U.S. Nuclear Regulatory Commission or an Agreement State which authorizes manufacture of the products or devices for distribution to persons generally licensed by the U.S. Nuclear Regulatory Commission or an Agreement State.

(3) (a) Persons who receive, acquire, possess, or use depleted uranium pursuant to the general license established by 105 CMR 120.121(E)(1) shall file form MRCP 120.100-1 "Certificate - Use of Depleted Uranium Under General License", with the Agency. The form shall be submitted within 30 days after the first receipt or acquisition of such depleted uranium. The general licensee shall furnish on form MRCP 120.100-1 the following information and such other information as may be required by that form:

1. name and address of the general licensee;
2. a statement that the general licensee has developed and will maintain procedures designed to establish physical control over the depleted uranium described in 105 CMR 120.121(E)(1) and designed to prevent transfer of such depleted uranium in any form, including metal scrap, to persons not authorized to receive the depleted uranium; and

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3. name and title, address, and telephone number of the individual duly authorized to act for and on behalf of the general licensee in supervising the procedures identified in 105 CMR 120.121(E)(3)(a)2.
- (b) The general licensee possessing or using depleted uranium under the general license established by 105 CMR 120.121(E)(1) shall report in writing to the Agency any changes in information furnished by him in form MRCP 120.100-1 "Certificate - Use of Depleted Uranium Under General License". The report shall be submitted within 30 days after the effective date of such change.
- (4) A person who receives, acquires, possesses, or uses depleted uranium pursuant to the general license established by 105 CMR 120.121(E)(1):
 - (a) shall not introduce such depleted uranium, in any form, into a chemical, physical, or metallurgical treatment or process, except a treatment or process for repair or restoration of any plating or other covering of the depleted uranium;
 - (b) shall not abandon such depleted uranium;
 - (c) shall transfer or dispose of such depleted uranium only by transfer in accordance with the provisions of 105 CMR 120.140. In the case where the transferee receives the depleted uranium pursuant to the general license established by 105 CMR 120.121(E)(1), the transferor shall furnish the transferee a copy of 105 CMR 120.100 and a copy of form MRCP 120.100-1. In the case where the transferee receives the depleted uranium pursuant to a general license contained in the U.S. Nuclear Regulatory Commission's or Agreement State's regulation equivalent to 105 CMR 120.121(E)(1), the transferor shall furnish the transferee a copy of 105 CMR 120.100 and a copy of form MRCP 120.100-1 accompanied by a note explaining that use of the product or device is regulated by the U.S. Nuclear Regulatory Commission or Agreement State under requirements substantially the same as those in 105 CMR 120.100;
 - (d) within 30 days of any transfer, shall report in writing to the Agency the name and address of the person receiving the depleted uranium pursuant to such transfer; and,
 - (e) shall not export such depleted uranium except in accordance with a license issued by the U.S. Nuclear Regulatory Commission pursuant to 10 CFR Part 110.
- (5) Any person receiving, acquiring, possessing, using, or transferring depleted uranium pursuant to the general license established by 105 CMR 120.121(E)(1) is exempt from the requirements of 105 CMR 120.200 and 120.750 with respect to the depleted uranium covered by that general license.

120.122: General Licenses - Radioactive Material Other Than Source Material

(A) Certain Devices and Equipment. A general license is hereby issued to transfer, receive, acquire, own, possess, and use radioactive material incorporated in the following devices or equipment which have been manufactured, tested and labeled by the manufacturer in accordance with a specific license issued to the manufacturer by the U.S. Nuclear Regulatory Commission for use pursuant to 10 CFR Part 31, § 31.3. This general license is subject to the provisions of 105 CMR 120.001 through 120.016, 120.104(A)(2), 120.131, 120.140, 120.150 and 120.200, 120.750, and 120.770. Attention is directed particularly to the provisions of 105 CMR 120.200 which relate to the labeling of containers.

- (1) Static Elimination Device. Devices designed for use as static eliminators which contain, as a sealed source or sources, radioactive material consisting of a total of not more than 500 microcuries (18.5 MBq) of polonium-210 per device.
- (2) Ion Generating Tube. Devices designed for ionization of air which contain, as a sealed source or sources, radioactive material consisting of a total of not more than 500 microcuries (18.5 MBq) of polonium-210 per device or a total of not more than 50 millicuries (1.85 GBq) of hydrogen-3 (tritium) per device.

((B) and (C): Reserved)

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(D) Certain Detecting, Measuring, Gauging, or Controlling Devices and Certain Devices for Producing Light or an Ionized Atmosphere.

- (1) A general license is hereby issued to commercial and industrial firms and research, educational and medical institutions, individuals in the conduct of their business, and State or local government agencies to acquire, receive, possess, use or transfer in accordance with the provisions of 105 CMR 120.122(D)(2), (3), and (4), radioactive material, excluding special nuclear material, contained in devices designed and manufactured for the purpose of detecting, measuring, gauging or controlling thickness, density, level, interface location, radiation, leakage, or qualitative or quantitative chemical composition, or for producing light or an ionized atmosphere.
- (2)(a) The general license in 105 CMR 120.122(D)(1) applies only to radioactive material contained in devices which have been manufactured or initially transferred and labeled in accordance with the specifications contained in:
 1. a specific license issued by the Agency pursuant to 105 CMR 120.128(D); or
 2. an equivalent specific license issued by the U.S. Nuclear Regulatory Commission, an Agreement State or a Licensing State.
- (b) The devices must have been received from one of the specific licensees described in 105 CMR 120.122(D)(2)(a) or through a transfer made under 105 CMR 120.122(D)(3)(i).
- (3) Any person who owns, receives, acquires, possesses, uses, or transfers radioactive material in a device pursuant to the general license in 105 CMR 120.122(D)(1):
 - (a) shall assure that all labels affixed to the device at the time of receipt, and bearing a statement that removal of the label is prohibited, are maintained thereon and shall comply with all instructions and precautions provided by such labels;
 - (b) shall assure that the device is tested for leakage of radioactive material and proper operation of the "on-off" mechanism and indicator, if any, at no longer than six-month intervals or at such other intervals as are specified in the label, however,
 1. devices containing only krypton need not be tested for leakage of radioactive material; and
 2. devices containing only tritium or not more than 100 microcuries (3.7 MBq) of other beta and/or gamma-emitting material or ten microcuries (0.37 MBq) of alpha-emitting material and devices held in storage in the original shipping container prior to initial installation need not be tested for any purpose;
 - (c) shall assure that the tests required under 105 CMR 120.122(D)(3)(b) and other testing, installation, servicing, and removal from installation involving the radioactive material, its shielding or containment, are performed:
 1. in accordance with the instructions provided by the labels; or
 2. by a person holding an applicable specific license from the Agency, the U.S. Nuclear Regulatory Commission, an Agreement State or a Licensing State to perform such activities;
 - (d) shall maintain records showing compliance with the requirements of 105 CMR 120.122(D)(3)(b) and (c). The records shall show the results of tests. The records also shall show the dates of performance of, and the names of persons performing, testing, installation, servicing, and removal from installation concerning the radioactive material, its shielding or containment. The licensee shall retain these records as follows:
 1. each record of a test for leakage of radioactive material required by 105 CMR 120.122(D)(3)(b) shall be retained for three years after the next required leak test is performed or until the sealed source is transferred or disposed of;
 2. each record of a test of the "on-off" mechanism and indicator required by 105 CMR 120.122(D)(3)(b) shall be retained for three years after the next required test of the "on-off" mechanism and indicator is performed or until the sealed source is transferred or disposed of; and
 3. each record that is required by 105 CMR 120.122(D)(3)(c) shall be maintained for a period of three years from the date of the recorded event or until the device is transferred or disposed of;

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- (e) shall immediately suspend operation of the device if there is a failure of or damage to, or any indication of a possible failure of or damage to, the shielding of the radioactive material or the “on-off” mechanism or indicator, or upon the detection of 185 Bq (0.005 microcurie) or more removable radioactive material. The device shall not be operated until it has been repaired by the manufacturer or other person holding an applicable specific license from the Agency, the U.S. Nuclear Regulatory Commission, an Agreement State or a Licensing State to repair such devices. The device and any radioactive material from the device shall only be disposed of by transfer to a person authorized by an applicable specific license to receive the radioactive material contained in the device or as otherwise approved by the Agency. A report containing a brief description of the event and the remedial action taken; and, in the case of detection of 0.005 microcurie or more removable radioactive material or failure of or damage to a source likely to result in contamination of the premises or the environs, a plan for ensuring that the premises and environs are acceptable for unrestricted use, must be furnished to the Agency within 30 days. Under these circumstances, the criteria set out in 105 CMR 120.243: *Vacating Premises*, may be applicable, as determined by the Agency on a case-by-case basis;
- (f) shall not abandon the device containing radioactive material;
- (g) shall not export the device containing radioactive material except in accordance with 10 CFR 110;
- (h) 1. shall transfer or dispose of the device containing radioactive material only by export as provided in 105 CMR 120.122(D)(3)(g), by transfer to another general licensee as authorized in 105 CMR 120.122(D)(3)(i), or to a person authorized to receive the device by a specific license issued by the Agency, the U.S. Nuclear Regulatory Commission, an Agreement State or a Licensing State that authorizes waste collection or as otherwise approved under 105 CMR 120.122(D)(3)(h)3.
2. shall furnish a report to the Agency within 30 days after the transfer of a device to a specific licensee or export. The report shall contain:
- the identification of the device by manufacturer's (or initial transferor's) name, model number, and serial number;
 - the name, address, and license number of the person receiving the device (license number not applicable if exported); and
 - the date of the transfer.
3. shall obtain written Agency approval before transferring the device to any other specific licensee not specifically identified in 105 CMR 120.122(D)(3)(h)1.
- (i) shall transfer the device to another general licensee only if:
- the device remains in use at a particular location. In this case, the transferor shall give the transferee a copy of 105 CMR 120.122(D), a copy of 120.122, 120.009, 120.281, and 120.282, and any safety documents identified in the label of the device. Within 30 days of the transfer, the transferor shall report to the Agency:
 - the manufacturer's (or initial transferor's) name;
 - the model number and the serial number of the device transferred;
 - the transferee's name and mailing address for the location of use; and
 - the name, title, and phone number of the responsible individual identified by the transferee in accordance with 105 CMR 120.122(D)(3)(l) to have knowledge of and authority to take actions to ensure compliance with the appropriate regulations and requirements; or
 - the device is held in storage by an intermediate person in the original shipping container at its intended location of use prior to initial use by a general licensee;
- (j) shall comply with the provisions of 105 CMR 120.281 and 120.282 for reporting radiation incidents, theft, or loss of licensed material, but shall be exempt from the other requirements of 105 CMR 120.200 and 120.750;
- (k) shall respond to written requests from the Agency to provide information relating to the general license within 30 calendar days of the date of the request, or other time specified in the request. If the general licensee cannot provide the requested information within the allotted time, it shall, within that same time period, request a longer period to supply the information by submitting a letter to the Director, Radiation Control Program, Massachusetts Department of Public Health, and provide written justification as to why it cannot comply;

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- (l) shall appoint an individual responsible for having knowledge of the appropriate regulations and requirements and the authority for taking required actions to comply with appropriate regulations and requirements. The general licensee, through this individual, shall ensure the day-to-day compliance with appropriate regulations and requirements. This appointment does not relieve the general licensee of any of its responsibility in this regard;
- (m)1. shall register, in accordance with 105 CMR 120.122(D)(3)(m)2. and 3., devices containing at least 370 MBq (10 mCi) of cesium-137, 3.7 MBq (0.1 mCi) of strontium-90, 37 MBq (1 mCi) of cobalt-60, or 37 MBq (1 mCi) of americium-241 or any other transuranic (i.e., element with atomic number greater than uranium (92)), based on the activity indicated on the label. Each address for a location of use, as described under 105 CMR 120.122(D)(3)(m)3.d. represents a separate general licensee and requires a separate registration and fee;
2. if in possession of a device meeting the criteria of 105 CMR 120.122(D)(3)(m)1., shall register these devices annually with the Agency and shall pay any prescribed fee. Registration must be done by verifying, correcting, and/or adding to the information provided in a request for registration received from the Agency. The registration information must be submitted to the Agency within 30 days of the date of the request for registration or as otherwise indicated in the request. In addition, a general licensee holding devices meeting the criteria of 105 CMR 120.122(D)(3)(m)1. is subject to the bankruptcy notification requirement in 105 CMR 120.131(E);
3. in registering devices, the general licensee shall furnish the following information and any other information specifically requested by the Agency:
- name and mailing address of the general licensee;
 - information about each device: the manufacturer (or initial transferor), model number, serial number, the radioisotope and activity (as indicated on the label);
 - name, title, and telephone number of the responsible person designated as a representative of the general licensee under 105 CMR 120.122(D)(3)(l);
 - address or location at which the device(s) are used and/or stored. For portable devices, the address of the primary place of storage;
 - certification by the responsible representative of the general licensee that the information concerning the device(s) has been verified through a physical inventory and checking of label information;
 - certification by the responsible representative of the general licensee that they are aware of the requirements of the general license.
4. persons generally licensed by an Agreement State, Licensing State or NRC with respect to devices meeting the criteria in 105 CMR 120.122(D)(3)(m)1. are not subject to registration requirements if the devices are used in areas subject to Agency jurisdiction for a period less than 180 days in any calendar year. The Agency will not request registration information from such licensees.
- (n) shall report changes to the mailing address for the location of use (including change in name of general licensee) to the Director, Radiation Control Program, Massachusetts Department of Public Health, within 30 days of the effective date of the change. For a portable device, a report of address change is only required for a change in the device's primary place of storage;
- (o) may not hold devices that are not in use for longer than two years. If devices with shutters are not being used, the shutter must be locked in the closed position. The testing required by 105 CMR 120.122(D)(3)(b) need not be performed during the period of storage only. However, when devices are put back into service or transferred to another person, and have not been tested within the required test interval, they must be tested for leakage before use or transfer and the shutter tested before use. Devices kept in standby for future use are excluded from the two-year time limit if the general licensee performs quarterly physical inventories of these devices while they are in standby.
- (4) The general license in 105 CMR 120.122(D)(1) does not authorize the manufacture or import of devices containing radioactive material.

[*Note:* Persons possessing radioactive material in devices under a general license in 10 CFR 31.5 before January 15, 1975, may continue to possess, use, or transfer that material in accordance with the labeling requirements of 10 CFR 31.5 in effect on January 14, 1975.]

120.122: continued

(E) Luminous Safety Devices for Aircraft.

- (1) A general license is hereby issued to own, receive, acquire, possess, and use tritium or promethium-147 contained in luminous safety devices for use in aircraft, provided:
 - (a) each device contains not more than 10 curies (370 GBq) of tritium or 300 millicuries (11.1 GBq) of promethium-147; and
 - (b) each device has been manufactured, assembled or imported in accordance with a specific license issued by the U.S. Nuclear Regulatory Commission, or each device has been manufactured or assembled in accordance with the specifications contained in a specific license issued by the Agency or any Agreement State to the manufacturer or assembler of such device pursuant to licensing requirements equivalent to those in 10 CFR Part 32, § 32.53.
- (2) Persons who own, receive, acquire, possess, or use luminous safety devices pursuant to the general license in 105 CMR 120.122(E)(1) are exempt from the requirements of 105 CMR 120.200 and 120.750 except that they shall comply with the provisions of 105 CMR 120.281 and 120.282.
- (3) This general license does not authorize the manufacture, assembly, or repair of luminous safety devices containing tritium or promethium-147.
- (4) This general license does not authorize the ownership, receipt, acquisition, possession or use of promethium-147 contained in instrument dials.
- (5) This general license is subject to the provisions of 105 CMR 120.005 through 120.016, 120.131, 120.140, 120.150, and 120.770.

(F) Ownership of Radioactive Material. A general license is hereby issued to own radioactive material without regard to quantity. Notwithstanding any other provisions of 105 CMR 120.122, this general license does not authorize the manufacture, production, transfer, receipt, possession or use of radioactive material.

(G) Calibration and Reference Sources.

- (1) A general license is hereby issued to those persons listed below to own, receive, acquire, possess, use, and transfer, in accordance with the provisions of 105 CMR 120.122(G)(4) and (5), americium-241 in the form of calibration or reference sources:
 - (a) any person who holds a specific license issued by the Agency which authorizes him to receive, possess, use, and transfer radioactive material; and
 - (b) any person who holds a specific license issued by the U.S. Nuclear Regulatory Commission which authorizes him to receive, possess, use, and transfer special nuclear material.
- (2) A general license is hereby issued to own, receive, possess, use, and transfer plutonium in the form of calibration or reference sources in accordance with the provisions of 105 CMR 120.122(G)(4) and (5) to any person who holds a specific license issued by the Agency which authorizes him to receive, possess, use, and transfer radioactive material.
- (3) A general license is hereby issued to own, receive, possess, use, and transfer radium-226 in the form of calibration or reference sources in accordance with the provisions of 105 CMR 120.122(G)(4) and (5) to any person who holds a specific license issued by the Agency which authorizes him to receive, possess, use, and transfer radioactive material.
- (4) The general licenses in 105 CMR 120.122(G)(1), (2) and (3) apply only to calibration or reference sources which have been manufactured in accordance with the specifications contained in a specific license issued to the manufacturer or importer of the sources by the U.S. Nuclear Regulatory Commission pursuant to 10 CFR Part 32, § 32.57 or 10 CFR Part 70, § 70.39 or which have been manufactured in accordance with the specifications contained in a specific license issued to the manufacturer by the Agency, any Agreement State or Licensing State pursuant to licensing requirements equivalent to those contained in 10 CFR Part 32, § 32.57 or 10 CFR Part 70, § 70.39.
- (5) The general licenses provided in 105 CMR 120.122(G)(1), (2), and (3) are subject to the provisions of 105 CMR 120.005 through 120.016, 120.131, 120.140, 120.150, and 120.770. In addition, persons who own, receive, acquire, possess, use, or transfer one or more calibration or reference sources pursuant to these general licenses:
 - (a) shall not possess at any one time, at any one location of storage or use, more than five microcuries (185 kBq) of americium-241, five microcuries (185 kBq) of plutonium, or five microcuries (185 kBq) of radium-226 in such sources;

120.122: continued

(b) shall not receive, possess, use, or transfer such source unless the source, or the storage container, bears a label which includes one of the following statements, as appropriate, or a substantially similar statement which contains the information called for in one of the following statements, as appropriate:

1. The receipt, possession, use and transfer of this source, Model _____, Serial No. _____, are subject to a general license and the regulations of the U.S. Nuclear Regulatory Commission or of a State with which the U.S. Nuclear Regulatory Commission has entered into an agreement for the exercise of regulatory authority. Do not remove this label.

CAUTION - RADIOACTIVE MATERIAL
THIS SOURCE CONTAINS (AMERICIUM-241) (PLUTONIUM)³.
DO NOT TOUCH RADIOACTIVE PORTION OF THIS SOURCE.

Name of Manufacturer or Importer

2. The receipt, possession, use and transfer of this source, Model _____, Serial No. _____, are subject to a general license and the regulations of a Licensing State. Do not remove this label.

CAUTION - RADIOACTIVE MATERIAL
THIS SOURCE CONTAINS RADIUM-226.
DO NOT TOUCH RADIOACTIVE PORTION OF THIS SOURCE.

Name of Manufacturer or Importer

- (c) shall not transfer, abandon, or dispose of such source except by transfer to a person authorized by a license from the Agency, the U.S. Nuclear Regulatory Commission, an Agreement State or a Licensing State to receive the source;
- (d) shall store such source, except when the source is being used, in a closed container adequately designed and constructed to contain americium-241, plutonium, or radium-226 which might otherwise escape during storage; and,
- (e) shall not use such source for any purpose other than the calibration of radiation detectors or the standardization of other sources.
- (6) These general licenses do not authorize the manufacture of calibration or reference sources containing americium-241, plutonium, or radium-226.

(I) General License for Use of Radioactive Material for Certain In Vitro Clinical or Laboratory Testing.⁴

(1) A general license is hereby issued to any physician, veterinarian, clinical laboratory or hospital to receive, acquire, possess, transfer or use, for any of the following stated tests, in accordance with the provisions of 105 CMR 120.122(I)(2), (3), (4), (5), and (6), the following radioactive materials in prepackaged units for use in *in vitro* clinical or laboratory tests not involving internal or external administration of radioactive material, or the radiation therefrom, to human beings or animals:

- (a) Carbon-14, in units not exceeding ten microcuries (370 kBq) each.
- (b) Cobalt-57, in units not exceeding ten microcuries (370 kBq) each.
- (c) Hydrogen-3 (tritium), in units not exceeding 50 microcuries (1.85 MBq) each.
- (d) Iodine-125, in units not exceeding ten microcuries (370 kBq) each.
- (e) Mock Iodine-125 reference or calibration sources, in units not exceeding 0.05 microcurie (1.85 kBq) of iodine-129 and 0.005 microcurie (185 Bq) of americium-241 each.

³ Showing only the name of the appropriate material.

⁴ The New Drug provisions of the Federal Food, Drug, and Cosmetic Act also govern the availability and use of any specific diagnostic drugs in interstate commerce.

120.122: continued

- (f) Iodine-131, in units not exceeding ten microcuries (370 kBq) each.
 - (g) Iron-59, in units not exceeding 20 microcuries (740 kBq) each.
 - (h) Selenium-75, in units not exceeding ten microcuries (370 kBq) each.
- (2) No person shall receive, acquire, possess, use or transfer radioactive material pursuant to the general license established by 105 CMR 120.122(I)(1) until he has filed form MRCP 120.100-2, "Certificate - *In Vitro* Testing with Radioactive Material Under General License", with the Agency and received from the Agency a validated copy of form MRCP 120.100-2 with certification number assigned, or, has a license that authorizes the medical use of radioactive material that was issued under 105 CMR 120.500. The physician, veterinarian, clinical laboratory or hospital shall furnish on form MRCP 120.100-2 the following information and such other information as may be required by that form:
- (a) Name and address of the physician, veterinarian, clinical laboratory or hospital;
 - (b) The location of use; and,
 - (c) A statement that the physician, veterinarian, clinical laboratory or hospital has appropriate radiation measuring instruments to carry out *in vitro* clinical or laboratory tests with radioactive material as authorized under the general license in 105 CMR 120.122(I)(1) and that such tests will be performed only by personnel competent in the use of such instruments and in the handling of the radioactive material.
- (3) A person who receives, acquires, possesses or uses radioactive material pursuant to the general license established by 105 CMR 120.122(I)(1) shall comply with the following:
- (a) The general licensee shall not possess at any one time, pursuant to the general license in 105 CMR 120.122(I)(1), at any one location of storage or use, a total amount of iodine-125, iodine-131, selenium-75, iron-59, and/or cobalt-57 in excess of 200 microcuries (7.4 MBq).
 - (b) The general licensee shall store the radioactive material, until used, in the original shipping container or in a container providing equivalent radiation protection.
 - (c) The general licensee shall use the radioactive material only for the uses authorized by 105 CMR 120.122(I)(1)
 - (d) The general licensee shall not transfer the radioactive material to a person who is not authorized to receive it pursuant to a license issued by the Agency, the U.S. Nuclear Regulatory Commission, any Agreement State or Licensing State, nor transfer the radioactive material in any manner other than in the unopened, labeled shipping container as received from the supplier.
 - (e) The general licensee shall dispose of the Mock Iodine-125 reference or calibration sources described in 105 CMR 120.122(I)(1)(e) as required by 105 CMR 120.251.
- (4) The general licensee shall not receive, acquire, possess, or use radioactive material pursuant to 105 CMR 120.122(I)(1):
- (a) Except as prepackaged units which are labeled in accordance with the provisions of an applicable specific license issued pursuant to 105 CMR 120.128(H) or in accordance with the provisions of a specific license issued by the U.S. Nuclear Regulatory Commission, any Agreement State or Licensing State which authorizes the manufacture and distribution of iodine-125, iodine-131, carbon-14, hydrogen-3 (tritium), iron-59, selenium-75, cobalt-57, or Mock Iodine-125 to persons generally licensed under 105 CMR 120.122(I) or its equivalent; and
 - (b) unless one of the following statements, as appropriate, or a substantially similar statement which contains the information called for in one of the following statements, appears on a label affixed to each prepackaged unit or appears in a leaflet or brochure which accompanies the package:
 - 1. This radioactive material shall be received, acquired, possessed, and used only by physicians, veterinarians, clinical laboratories or hospitals and only for *in vitro* clinical or laboratory tests not involving internal or external administration of the material, or the radiation therefrom, to human beings or animals. Its receipt, acquisition, possession, use, and transfer are subject to the regulations and a general license of the U.S. Nuclear Regulatory Commission or of a State with which the Commission has entered into an agreement for the exercise of regulatory authority.

 Name of Manufacturer

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2. This radioactive material shall be received, acquired, possessed, and used only by physicians, veterinarians, clinical laboratories or hospitals and only for *in vitro* clinical or laboratory tests not involving internal or external administration of the material, or the radiation therefrom, to human beings or animals. Its receipt, acquisition, possession, use and transfer are subject to the regulations and a general license of a Licensing State.

Name of Manufacturer

(5) The physician, veterinarian, clinical laboratory or hospital possessing or using radioactive material under the general license of 105 CMR 120.122(I)(1) shall report in writing to the Agency, any changes in the information furnished by him in the "Certificate - *In Vitro* Testing with Radioactive Material Under General License", form MRCP 120.100-2. The report shall be furnished within 30 days after the effective date of such change.

(6) Any person using radioactive material pursuant to the general license of 105 CMR 120.122(I)(1) is exempt from the requirements of 105 CMR 120.200 and 120.750 with respect to radioactive material covered by that general license, except that such persons using the Mock Iodine-125 described in 105 CMR 120.122(I)(1)(e) shall comply with the provisions of 105 CMR 120.251, 120.281 and 120.282.

(J) Ice Detection Devices.

(1) A general license is hereby issued to own, receive, acquire, possess, use, and transfer strontium-90 contained in ice detection devices, provided each device contains not more than 50 microcuries (1.85 MBq) of strontium-90 and each device has been manufactured or imported in accordance with a specific license issued by the U.S. Nuclear Regulatory Commission or each device has been manufactured in accordance with the specifications contained in a specific license issued by the Agency or an Agreement State to the manufacturer of such device pursuant to licensing requirements equivalent to those in 10 CFR Part 32, § 32.61.

(2) Persons who own, receive, acquire, possess, use, or transfer strontium-90 contained in ice detection devices pursuant to the general license in 105 CMR 120.122(J)(1),

(a) shall, upon occurrence of visually observable damage, such as a bend or crack or discoloration from overheating to the device, discontinue use of the device until it has been inspected, tested for leakage and repaired by a person holding a specific license from the U.S. Nuclear Regulatory Commission or an Agreement State to manufacture or service such devices; or shall dispose of the device pursuant to the provisions of 105 CMR 120.251;

(b) shall assure that all labels affixed to the device at the time of receipt, and which bear a statement which prohibits removal of the labels, are maintained thereon; and,

(c) are exempt from the requirements of 105 CMR 120.200 and 120.750 except that such persons shall comply with the provisions of 105 CMR 120.251, 120.281 and 120.282.

(3) This general license does not authorize the manufacture, assembly, disassembly or repair of strontium-90 in ice detection devices.

(4) This general license is subject to the provisions of 105 CMR 120.001 through 120.019, 120.131, 120.140, 120.150, and 120.770.

120.124: Filing Application for Specific Licenses

(A) Applications for specific licenses shall be filed in duplicate on form MRCP 120.100-4 as prescribed by the Agency.

(B) The Agency may at any time after the filing of the original application, and before the expiration of the license, require further statements in order to enable the Agency to determine whether the application should be granted or denied or whether a license should be modified or revoked.

(C) Each application shall be signed by the applicant or licensee or a person duly authorized to act for and on his behalf.

120.124: continued

(D) An application for a license may include a request for a license authorizing one or more activities. The Agency will not grant the request if the proposed activities are not under the control of the same facility, administrator and radiation safety officer. In addition, when evaluating the request, the Agency will consider complexity, similarity and proximity of the proposed activities.

(E) In the application, the applicant may incorporate by reference information contained in previous applications, statements, or reports filed with the Agency provided such references are clear and specific.

(F) Applications and documents submitted to the Agency may be made available for public inspection except that the Agency may withhold any document or part thereof from public inspection if disclosure of its content is not required in the public interest and would adversely affect the interest of a person concerned.

(G) An application for a specific license to authorize receipt, possession or use of radioactive material in the form of a sealed source or in a device that contains a sealed source shall either:

- (1) identify the sealed source or device that contains a sealed source by manufacturer and model number as filed in an evaluation sheet in the U.S. Department of Health and Human Services "Radioactive Material Reference Manual" or in the U.S. Nuclear Regulatory Commission "Registry of Radioactive Sealed Sources and Devices"; or
- (2) contain the information identified in 105 CMR 120.128(N).

120.125: General Requirements for the Issuance of Specific Licenses

(A) A license application will be approved only if the Agency determines that:

- (1) the applicant is qualified by reason of training and experience to use the material in question for the purpose requested in accordance with 105 CMR 120.000 in such a manner as to minimize danger to public health and safety or property;
- (2) the applicant's proposed equipment, facilities, and procedures are adequate to minimize danger to public health and safety or property;
- (3) the issuance of the license will not be inimical to the health and safety of the public; and,
- (4) the applicant satisfies any applicable special requirements in 105 CMR 120.126, 120.127, 120.128, 120.300, 120.500, 120.620 120.800, 120.890 or 120.900.

(B) Environmental Report, Commencement of Construction.

- (1) In the case of an application for a license to receive and possess radioactive material for commercial waste disposal, or for the conduct of any other activity which the Agency determines will significantly affect the quality of the environment, a license application shall be reviewed and approved by the Agency before commencement of construction of the plant or facility in which the activity will be conducted. Issuance of the license shall be based upon a consideration by the Agency of the environmental, economic, technical and other benefits in comparison with the environmental costs and available alternatives and a determination that the action called for is the issuance of the proposed license, with any appropriate conditions to protect environmental values;
- (2) Commencement of construction prior to such conclusion shall be grounds for denial of a license to receive and possess radioactive material in such plant or facility. As used in 105 CMR 120.125(B) the term "commencement of construction" means any clearing of land, excavation, or other substantial action that would adversely affect the environment of a site. The term does not mean site exploration, necessary roads for site exploration, borings to determine foundation conditions, or other preconstruction monitoring or testing to establish background information related to the suitability of the site or the protection of environmental values.

(C) Financial Surety Arrangements and Recordkeeping for Decommissioning.

- (1) Unless exempted by 105 CMR 120.125(C)(3), issuance, renewal or amendment of a license shall be dependent upon satisfactory financial surety arrangements to ensure the protection of the public health and safety in the event of abandonment, default or other inability of the licensee to meet the requirements of M.G.L. c. 111H, § 9 and 105 CMR 120.000.

120.125: continued

(2) Each applicant for a specific license authorizing the possession and use of unsealed radioactive material with a half-life greater than 120 days and in quantities exceeding 10^5 times the applicable quantities set forth in 105 CMR 120.196: *Appendix B*, Table II shall submit a decommissioning funding plan as described in 105 CMR 120.125(C)(6). The decommissioning funding plan must also be submitted when a combination of isotopes is involved if R divided by 10^5 is greater than 1 (unity rule), where R is defined as the sum of the ratios of the quantity of each isotope to the applicable value in 105 CMR 120.196: *Appendix B*, Table II.

(3) Each applicant for a specific license authorizing possession and use of radioactive material of half-life greater than 120 days and in quantities specified in 105 CMR 120.125(C)(5) shall either:

(a) submit a decommissioning funding plan as described in 105 CMR 120.125(C)(6); or

(b) submit a certification that financial assurance for decommissioning has been provided in the amount prescribed by 105 CMR 120.125(C)(5) using one of the methods described in 105 120.125(C)(7). For an applicant, this certification may state that the appropriate assurance will be obtained after the application has been approved and the license issued, but prior to the receipt of licensed material. As part of the certification, a copy of the financial instrument obtained to satisfy the requirements of 105 CMR 120.125(C)(7) is to be submitted to the Agency.

(4) (a) Each holder of a specific license issued on or after March 11, 1994, which is of a type described in 105 CMR 120.125(C)(2) or (3), shall provide financial assurance for decommissioning in accordance with the criteria set forth in 105 CMR 120.125(C)(1) through (8).

(b) Each holder of a specific license issued before March 11, 1994, and of a type described in 105 CMR 120.125(C)(2) shall submit, on or before March 11, 1995, a decommissioning funding plan or a certification of financial assurance for decommissioning in an amount at least equal to \$1,125,000, in accordance with the criteria set forth in this part. If the licensee submits the certification of financial assurance rather than a decommissioning funding plan at this time, the licensee shall include a decommissioning funding plan in any application for license renewal.

(c) Each holder of a specific license issued before March 11, 1994, and of a type described in 105 CMR 120.125(C)(3) shall submit, on or before March 11, 1995, a certification of financial assurance for decommissioning or a decommissioning funding plan in accordance with the criteria set forth in 105 CMR 120.125(C)(1) through (8).

(d) Waste collectors and waste processors, as defined in 10 CFR part 20, Appendix G must establish an Agency-approved decommissioning funding plan to assure the availability of funds for decommissioning activities conducted over the life of the licensed facility. The decommissioning funding plan must include the cost of disposal of the maximum radioactivity (curies) of radioactive material permitted by the license, and the cost of disposal of the maximum quantity, by volume, of radioactive material that could be present at the licensee's facility at any time, in addition to the cost to remediate the licensee's site to meet the license termination criteria of 105 CMR 120.200. The decommissioning funding plan must be submitted by April 6, 2007.

120.125: continued

(5) Table of Required Amounts of Financial Assurance for Decommissioning by Quantity of Material:

-1	Greater than 10^4 but less than or equal to 10^5 times the applicable quantities in 105 CMR 120.196: <i>Appendix B</i> , Table II in unsealed form. (For a combination of isotopes, if R, as defined in 105 CMR 120.125(C)(2), divided by 10^4 is greater than 1 but R divided by 10^5 is less than or equal to 1.)	\$1,125,000
-2a	Greater than 10^3 but less than or equal to 10^4 times the applicable quantities in 105 CMR 120.196: <i>Appendix B</i> , Table II in unsealed form. (For a combination of isotopes, if R, as defined in 105 CMR 120.125(C)(2), divided by 10^3 is greater than 1 but R divided by 10^4 is less than or equal to 1.)	\$225,000
-2b	Greater than 10 mCi but less than 100 mCi of source material	\$225,000
-3	Greater than 10^{10} times the applicable quantities in 105 CMR 120.196: <i>Appendix B</i> , Table II in sealed sources or plated foils. (For a combination of isotopes, if R, as defined in 105 CMR 120.125(C)(2), divided by 10^{10} is greater than 1.)	\$113,000

(a) Licensees required to submit the \$1,125,000 amount must do so by October 6, 2006.

(b) Licensees required to submit the \$113,000 or \$225,000 amount must do so by April 6, 2007.

(6) Each decommissioning funding plan must contain a cost estimate for decommissioning and a description of the method of assuring funds for decommissioning from 105 CMR 120.125(C)(7), including means of adjusting cost estimates and associated funding levels periodically over the life of the facility. Cost estimates must be adjusted at intervals not to exceed three years. The decommissioning funding plan must also contain a certification by the licensee that financial assurance for decommissioning has been provided in the amount of the cost estimate for decommissioning and a signed original of the financial instrument obtained to satisfy the requirements of 105 CMR 120.125(7).

(7) Financial assurance for decommissioning must be provided by one or more of the following methods:

(a) Prepayment. Prepayment is the deposit prior to the start of operation into an account segregated from licensee assets and outside the licensee's administrative control of cash or liquid assets such that the amount of funds would be sufficient to pay decommissioning costs. Prepayment may be in the form of a trust, escrow account, government fund, certificate of deposit, or deposit of government securities.

(b) A Surety Method. A surety method, insurance or other guarantee method. These methods guarantee that decommissioning costs will be paid should the licensee default.

1. A surety method may be in the form of a surety bond, issued by a corporate surety company authorized to transact business in the commonwealth; or

2. An irrevocable letter of credit, or line of credit; or

3. A parent company guarantee of funds for decommissioning costs may be used if the guarantee and test are as contained in 105 CMR 120.198: *Appendix D*. A parent company guarantee may not be used in combination with other financial methods to satisfy the requirements of 105 CMR 120.125(C).

a. For commercial corporations that issue bonds, a guarantee of funds by the applicant or licensee for decommissioning costs based on a financial test may be used if the guarantee and test are as contained in 105 CMR 120.198: *Appendix E*.

b. For commercial companies that do not issue bonds, a guarantee of funds by the applicant or licensee for decommissioning costs may be used if the guarantee and test are as contained in 105 CMR 120.198: *Appendix F*.

c. For nonprofit entities, such as colleges, universities, and nonprofit hospitals, a guarantee of funds by the applicant or licensee may be used if the guarantee and test are as contained in 105 CMR 120.198: *Appendix G*.

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4. Any surety method or insurance used to provide financial assurance for decommissioning must contain the following conditions:
 - a. The surety method or insurance must be open-ended or, if written for a specified term, such as five years, must be renewed automatically unless 90 days or more prior to the renewal date, the issuer notifies the Agency, the beneficiary, and the licensee of its intention not to renew. The surety method or insurance must also provide that the full face amount be paid to the beneficiary automatically prior to the expiration without proof of forfeiture if the licensee fails to provide a replacement acceptable to the Agency within 30 days after receipt of notification of cancellation.
 - b. The surety method or insurance must be payable to a trust established for decommissioning costs. The trustee and trust must be acceptable to the Agency. An acceptable trustee includes an appropriate state or federal government agency or an entity that has the authority to act as a trustee and whose trust operations are regulated and examined by a federal or state agency.
 - c. The surety method or insurance must remain in effect until the Agency has terminated the license.
- (c) An External Sinking Fund. An external sinking fund in which deposits are made at least annually, coupled with a surety method or insurance, the value of which may decrease by the amount being accumulated in the sinking fund. An external sinking fund is a fund established and maintained by setting aside funds periodically in an account segregated from licensee assets and outside the licensee's administrative control in which the total amount of funds would be sufficient to pay decommissioning costs at the time termination of operation is expected. An external sinking fund may be in the form of a trust, escrow account, government fund, certificate of deposit, or deposit of government securities. The surety or insurance provisions must be as stated in 105 CMR 120.125(C)(7)(b).
- (d) Statement of Intent. In the case of federal, state, or local government licensees, a statement of intent containing a cost estimate for decommissioning or an amount pursuant to 105 CMR 120.125(C)(5), and indicating that funds for decommissioning will be obtained when necessary.
- (8) Each person licensed under 105 CMR 120.100 shall keep records of information important to the safe and effective decommissioning of the facility in an identified location until the license is terminated by the Agency. If records of relevant information are kept for other purposes, reference to these records and their locations may be used. Information the Agency considers important to decommissioning consists of:
 - (a) Records of spills or other unusual occurrences involving the spread of contamination in and around the facility, equipment, or site. These records may be limited to instances when contamination remains after any cleanup procedures or when there is reasonable likelihood that contaminants may have spread to inaccessible areas, as in the case of possible seepage into porous materials such as concrete. These records must include any known information on identification of involved nuclides, quantities, forms, and concentrations.
 - (b) As-built drawings and modifications of structures and equipment in restricted areas where radioactive materials are used and/or stored, and of locations of possible inaccessible contamination such as buried pipes that may be subject to contamination. If required drawings are referenced, each relevant document need not be indexed individually. If drawings are not available, the licensee shall substitute appropriate records of available information concerning these areas and locations.
 - (c) Except for areas containing only sealed sources (provided the sources have not leaked or no contamination remains after any leak) or radioactive materials having only half-lives of less than 65 days, a list contained in a single document and updated every two years, of the following:
 1. all areas designated and formerly designated restricted areas as defined in 105 CMR 120.005;
 2. all areas outside of restricted areas that require documentation under 105 CMR 120.125(C)(8)(a);
 3. all areas outside of restricted areas where current and previous wastes have been buried as documented under 105 CMR 120.269; and,

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4. all areas outside of restricted areas which contain material such that, if the license expired, the licensee would be required to either decontaminate the area to unrestricted release levels or apply for approval for disposal under 105 CMR 120.252.
- (d) Records of the cost estimate performed for the decommissioning funding plan or of the amount certified for decommissioning, and records of the funding method used for assuring funds if either a funding plan or certification is used.
- (9) The following specific licensees are required to make financial surety arrangements:
 - (a) major processors;
 - (b) waste handling licensees;
 - (c) former U.S. Atomic Energy Commission or NRC licensed facilities; and,
 - (d) all others except persons exempt pursuant to 105 CMR 120.125(C)(10).
- (10) The following persons are exempt from the requirements of 105 CMR 120.125(C)(1):
 - (a) persons authorized to possess no more than 1,000 times the quantity specified in 105 CMR 120.196: *Appendix B, Table 1* or combination of radioactive material listed therein as given in 105 CMR 120.196: *Appendix B, Table 1*, Note 1;
 - (b) persons authorized to possess radioactive noble gases in sealed sources with no radioactive daughter product with half-life greater than 30 days.

120.126: Special Requirements for Issuance of Certain Specific Licenses for Radioactive Material

Uses of Sealed Sources in Industrial Radiography. In addition to the requirements set forth in 105 CMR 120.125, a specific license for use of sealed sources in industrial radiography will be issued if:

- (1) the applicant will have an adequate program for training radiographic personnel and submits to the Agency a schedule or description of such program which specifies the:
 - (a) initial training;
 - (b) periodic training;
 - (c) on-the-job training; and,
 - (d) means to be used by the licensee to determine the radiographic personnel's knowledge and understanding of and ability to comply with Agency regulations and licensing requirements, and the operating and emergency procedures of the applicant.
- (2) the applicant has established and submits to the Agency satisfactory written operating and emergency procedures described in 105 CMR 120.360;
- (3) the applicant will have an internal inspection system adequate to assure that 105 CMR 120.001, 120.020, 120.200, 120.300, 120.750, 120.770, license provisions, and the applicant's operating and emergency procedures are followed by radiographic personnel; the inspection system shall include the performance of internal inspections at intervals not to exceed three months and the retention of records of such inspections for five years;
- (4) the applicant submits to the Agency a description of the overall organizational structure pertaining to the industrial radiography program, including specified delegations of authority and responsibility for operation of the program;
- (5) the applicant who desires to conduct his own leak tests has established adequate procedures to be followed in testing sealed sources for possible leakage and contamination and submits to the Agency a description of such procedures including:
 - (a) instrumentation to be used;
 - (b) method of performing tests; and,
 - (c) pertinent experience of the individual who will perform the test; and,
- (6) the licensee shall conduct a program for inspection and maintenance of radiographic exposure devices and storage containers to assure proper functioning of components important to safety.

120.127: Special Requirements for Specific Licenses of Broad Scope

105 CMR 120.127 prescribes requirements for the issuance of specific licenses of broad scope for radioactive material and certain regulations governing holders of such licenses.

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- (A) The different types of broad scope licenses are set forth in 105 CMR 120.127(A):
- (1) A "Type A specific license of broad scope" is a specific license authorizing receipt, acquisition, ownership, possession, use and transfer of any chemical or physical form of the radioactive material specified in the license, but not exceeding quantities specified in the license, for any authorized purpose. The quantities specified are usually in the multicurie range.
 - (2) A "Type B specific license of broad scope" is a specific license authorizing receipt, acquisition, ownership, possession, use and transfer of any chemical or physical form of radioactive material specified in 105 CMR 120.197: *Appendix C*, for any authorized purpose. The possession limit for a Type B license of broad scope, if only one radionuclide is possessed thereunder, is the quantity specified for that radionuclide in 105 CMR 120.197: *Appendix C*, Column I. If two or more radionuclides are possessed thereunder, the possession limit for each is determined as follows: For each radionuclide, determine the ratio of the quantity possessed to the applicable quantity specified in 105 CMR 120.197: *Appendix C*, Column I, for that radionuclide. The sum of the ratios for all radionuclides possessed under the license shall not exceed unity.
 - (3) A "Type C specific license of broad scope" is a specific license authorizing receipt, acquisition, ownership, possession, use, and transfer of any chemical or physical form of radioactive material specified in 105 CMR 120.197: *Appendix C*, for any authorized purpose. The possession limit for a Type C license of broad scope, if only one radionuclide is possessed thereunder, is the quantity specified for that radionuclide in 105 CMR 120.197: *Appendix C*, Column II. If two or more radionuclides are possessed thereunder, the possession limit is determined for each as follows: For each radionuclide, determine the ratio of the quantity possessed to the applicable quantity specified in 105 CMR 120.197: *Appendix C*, Column II, for that radionuclide. The sum of the ratios for all radionuclides possessed under the license shall not exceed unity.
- (B) An application for a Type A specific license of broad scope will be approved if:
- (1) the applicant satisfies the general requirements specified in 105 CMR 120.125;
 - (2) the applicant has engaged in a reasonable number of activities involving the use of radioactive material; and
 - (3) the applicant has established administrative controls and provisions relating to organization and management, procedures, record keeping, material control and accounting, and management review that are necessary to assure safe operations, including:
 - (a) the establishment of a radiation safety committee composed of such persons as a radiation safety officer, a representative of management, and persons trained and experienced in the safe use of radioactive material;
 - (b) the appointment of a radiation safety officer who is qualified by training and experience in radiation protection, and who is available for advice and assistance on radiation safety matters; and
 - (c) the establishment of appropriate administrative procedures to assure:
 1. control of procurement and use of radioactive material;
 2. completion of safety evaluations of proposed uses of radioactive material which take into consideration such matters as the adequacy of facilities and equipment, training and experience of the user, and the operating or handling procedures; and,
 3. review, approval, and recording by the radiation safety committee of safety evaluations of proposed uses prepared in accordance with 105 CMR 120.127(B)(3)(c)2. prior to use of the radioactive material.
- (C) An application for a Type B specific license of broad scope will be approved if:
- (1) the applicant satisfies the general requirements specified in 105 CMR 120.125; and,
 - (2) the applicant has established administrative controls and provisions relating to organization and management, procedures, record keeping, material control and accounting, and management review that are necessary to assure safe operations, including:
 - (a) the appointment of a radiation safety officer who is qualified by training and experience in radiation protection, and who is available for advice and assistance on radiation safety matters; and

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- (b) the establishment of appropriate administrative procedures to assure;
 - 1. control of procurement and use of radioactive material;
 - 2. completion of safety evaluations of proposed uses of radioactive material which take into consideration such matters as the adequacy of facilities and equipment, training and experience of the user, and the operating or handling procedures; and,
 - 3. review, approval, and recording by the radiation safety officer of safety evaluations of proposed uses prepared in accordance with 105 CMR 120.127(C)(2)(b)2. prior to use of the radioactive material.
- (D) An application for a Type C specific license of broad scope will be approved if:
 - (1) the applicant satisfies the general requirements specified in 105 CMR 120.125;
 - (2) the applicant submits a statement that radioactive material will be used only by, or under the direct supervision of, individuals who have received:
 - (a) a college degree at the bachelor level, or equivalent training and experience, in the physical or biological sciences or in engineering; and
 - (b) at least 40 hours of training and experience in the safe handling of radioactive material, and in the characteristics of ionizing radiation, units of radiation dose and quantities, radiation detection instrumentation, and biological hazards of exposure to radiation appropriate to the type and forms of radioactive material to be used; and
 - (3) the applicant has established administrative controls and provisions relating to procurement of radioactive material, procedures, record keeping, material control and accounting, and management review necessary to assure safe operations.
- (E) Specific licenses of broad scope are subject to the following conditions:
 - (1) Unless specifically authorized, persons licensed pursuant to 105 CMR 120.127 shall not:
 - (a) conduct tracer studies in the environment involving direct release of radioactive material;
 - (b) receive, acquire, own, possess, use, or transfer devices containing 100,000 curies (3.7 PBq) or more of radioactive material in sealed sources used for irradiation of materials;
 - (c) conduct activities for which a specific license issued by the Agency under 105 CMR 120.126, 120.128 or 120.500, and 120.800 is required; or,
 - (d) add or cause the addition of radioactive material to any food, beverage, cosmetic, drug, or other product designed for ingestion or inhalation by, or application to, a human being.
 - (2) Each Type A specific license of broad scope issued under this Part shall be subject to the condition that radioactive material possessed under the license may only be used by, or under the direct supervision of, individuals approved by the licensee's radiation safety committee.
 - (3) Each Type B specific license of broad scope issued under 105 CMR 120.127 shall be subject to the condition that radioactive material possessed under the license may only be used by, or under the direct supervision of, individuals approved by the licensee's radiation safety officer.
 - (4) Each Type C specific license of broad scope issued under 105 CMR 120.127 shall be subject to the condition that radioactive material possessed under the license may only be used by, or under the direct supervision of, individuals who satisfy the requirements of 105 CMR 120.127(D).

120.128: Special Requirements for a Specific License to Manufacture, Assemble, Repair, or Distribute Commodities, Products, or Devices which Contain Radioactive Material

- (D) Licensing Requirements to Manufacture or Initially Transfer Devices Containing Radioactive Material to Persons Generally Licensed Under 105 CMR 120.122(D).
 - (1) An application for a specific license to manufacture or distribute devices containing radioactive material, excluding special nuclear material, to persons generally licensed under 105 CMR 120.122(D) or equivalent regulations of the U.S. Nuclear Regulatory Commission, an Agreement State, or a Licensing State will be approved if:

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- (a) the applicant satisfies the general requirements of 105 CMR 120.125;
- (b) the applicant submits sufficient information relating to the design, manufacture, prototype testing, quality control, labels, proposed uses, installation, servicing, leak testing, operating and safety instructions, and potential hazards of the device to provide reasonable assurance that:
 - 1. the device can be safely operated by persons not having training in radiological protection,
 - 2. under ordinary conditions of handling, storage, and use of the device, the radioactive material contained in the device will not be released or inadvertently removed from the device, and it is unlikely that any person will receive in one year a dose in excess of 10% of the annual limits specified in 105 CMR 120.211(A), and
 - 3. under accident conditions such as fire and explosion associated with handling, storage, and use of the device, it is unlikely that any person would receive an external radiation dose or dose commitment in excess of the following organ doses:
 - a. Whole body; head and trunk; active blood-forming organs; gonads; or lens of eye 15 rems (150 mSv)
 - b. Hands and forearms; feet and ankles; localized areas of skin averaged over areas no larger than one square centimeter 200 rems (2 Sv)
 - c. Other organs 50 rems (500 mSv); and,
- (c) each device bears a durable, legible, clearly visible label or labels approved by the Agency, which contain in a clearly identified and separate statement:
 - 1. instructions and precautions necessary to assure safe installation, operation, and servicing of the device; documents such as operating and service manuals may be identified in the label and used to provide this information;
 - 2. the requirement, or lack of requirement, for leak testing, or for testing any "on-off" mechanism and indicator, including the maximum time interval for such testing, and the identification of radioactive material by isotope, quantity of radioactivity, and date of determination of the quantity; and,
 - 3. the information called for in one of the following statements, as appropriate, in the same or substantially similar form:

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The receipt, possession, use, and transfer of this device, Model _____, Serial No. _____, are subject to a general license or the equivalent and the regulations of the U.S. Nuclear Regulatory Commission or a State with which the U.S. Nuclear Regulatory Commission has entered into an agreement for the exercise of regulatory authority. This label shall be maintained on the device in a legible condition. Removal of this label is prohibited. [The model, serial number, and name of the manufacturer or distributor may be omitted from the label provided the information is elsewhere specified in labeling affixed to the device.]

CAUTION - RADIOACTIVE MATERIAL

Name of Manufacturer or Distributor

[Note: Devices licensed under 10 CFR 32.53 prior to January 19, 1975 may bear labels authorized by the regulations in effect on January 1, 1975.]

- (d) each device having a separable source housing that provides the primary shielding for the source also bears, on the source housing, a durable label containing the device model number and serial number, the isotope and quantity, the words, "Caution-Radioactive Material," the radiation symbol described in 105 CMR 120 237, and the name of the manufacturer or initial distributor.
- (e) each device meeting the criteria of 105 CMR 120 122(D)(3)(m)1., bears a permanent (*e.g.*, embossed, etched, stamped, or engraved) label affixed to the source housing if separable, or the device if the source housing is not separable, that includes the words, "Caution-Radioactive Material," and, if practicable, the radiation symbol described in 105 CMR 120 237.
- (2) In the event the applicant desires that the device be required to be tested at intervals longer than six months, either for proper operation of the "on-off" mechanism and indicator, if any, or for leakage of radioactive material or for both, the applicant shall include in the application sufficient information to demonstrate that such longer interval is justified by performance characteristics of the device or similar devices and by design features which have a significant bearing on the probability or consequences of leakage of radioactive material from the device or failure of the "on-off" mechanism and indicator. In determining the acceptable interval for the test for leakage of radioactive material, the Agency will consider information which includes, but is not limited to:
 - (a) primary containment or source capsule;
 - (b) protection of primary containment;
 - (c) method of sealing containment;
 - (d) containment construction materials;
 - (e) form of contained radioactive material;
 - (f) maximum temperature withstood during prototype tests;
 - (g) maximum pressure withstood during prototype tests;
 - (h) maximum quantity of contained radioactive material;
 - (i) radiotoxicity of contained radioactive material; and,
 - (j) operating experience with identical devices or similarly designed and constructed devices.
- (3) In the event the applicant desires that the general licensee under 105 CMR 120.122(D), or under equivalent regulations of the U.S. Nuclear Regulatory Commission, an Agreement State, or a Licensing State be authorized to install the device, collect the sample to be analyzed by a specific licensee for leakage of radioactive material, service the device, test the "on-off" mechanism and indicator, or remove the device from installation, the applicant shall include in the application written instructions to be followed by the general licensee, estimated calendar quarter doses associated with such activity or activities, and basis for such estimates. The submitted information shall demonstrate that performance of such activity or activities by an individual untrained in radiological protection, in addition to other handling, storage, and use of devices under the general license, is unlikely to cause that individual to receive a dose in excess of 10% of the annual limits specified in 105 CMR 120.211(A).

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(4) Each person licensed under 105 CMR 120.128(D) to initially transfer devices to generally licensed persons shall:

(a) if a device containing radioactive material is to be transferred for use under the general license contained in 105 CMR 120.122(D), each person that is licensed under 105 CMR 120.128(D) shall provide the information specified in 120.128(D)(4) to each person to whom a device is to be transferred. This information must be provided before the device may be transferred. In the case of a transfer through an intermediate person, the information must also be provided to the intended user prior to initial transfer to the intermediate person. The required information includes:

1. a copy of the general license contained in 105 CMR 120.122(D); if 105 CMR 120.122(D)(3)(b) through (d) do not apply to the particular device, those paragraphs may be omitted;
2. a copy of 105 CMR 120.122, 120.009(A), 120.281, and 120.282;
3. a list of the services that can only be performed by a specific licensee; and,
4. information on acceptable disposal options including estimated costs of disposal;

(b) if radioactive material is to be transferred in a device for use under an equivalent general license of the U.S. Nuclear Regulatory Commission, an Agreement State, or a Licensing State each person that is licensed under 105 CMR 120.128(D) shall provide the information specified in 105 CMR 120.128(D)(4)(b) to each person to whom a device is to be transferred. This information must be provided before the device may be transferred. In the case of a transfer through an intermediate person, the information must also be provided to the intended user prior to initial transfer to the intermediate person.

The required information includes:

1. a copy of NRC or Agreement State regulations equivalent to 105 CMR 120.122(D), 120.009(A), 120.281, and 120.282. If a copy of the 105 CMR 120.000 is provided to a prospective general licensee in lieu of the U.S. Nuclear Regulatory Commission's, Agreement State's, or Licensing State's regulations, it shall be accompanied by a note explaining that use of the device is regulated by the U.S. Nuclear Regulatory Commission, the Agreement State; or Licensing State; if certain paragraphs of the regulations do not apply to the particular device, those paragraphs may be omitted;
2. a list of the services that can only be performed by a specific licensee;
3. information on acceptable disposal options including estimated costs of disposal; and,
4. the name or title, address, and phone number of the contact at the U.S. Nuclear Regulatory Commission, the Agreement State, or Licensing State from which additional information may be obtained;

(c) an alternative approach to informing customers may be proposed by the licensee for approval by the Agency;

(d) each device that is transferred after February 19, 2002 must meet the labeling requirements in 105 CMR 120.128(D)(1)(c) through (e);

(e) if a notification of bankruptcy has been made under 105 CMR 120.131(E) or the license is to be terminated, each person licensed under 105 CMR 120.128(D) shall provide, upon request, to the Agency and to any appropriate Agreement State or NRC, records of final disposition required under 105 CMR 120.128(D)(5)(c).

(5) Each person licensed under 105 CMR 120.128(D) to initially transfer devices to generally licensed persons shall comply with the requirements of 105 CMR 120.128(D)(5).

(a) The person shall report to the Agency all transfers of devices to persons for use under the general license in 105 CMR 120.122(D) and all receipts of devices from persons licensed under 105 CMR 120.122(D). The report must be submitted on a quarterly basis on NRC Form 653 - "Transfers of Industrial Devices Report" or in a clear and legible report containing all of the data required by the form.

1. The required information for transfers to general licensees includes:
 - a. the identity of each general licensee by name and mailing address for the location of use; if there is no mailing address for the location of use, an alternate address for the general licensee shall be submitted along with information on the actual location of use;
 - b. the name, title, and phone number of the person identified by the general licensee as having knowledge of and authority to take required actions to ensure compliance with the appropriate regulations and requirements;

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- c. the date of transfer;
 - d. the type, model number, and serial number of the device transferred; and,
 - e. the quantity and type of byproduct material contained in the device.
- 2. If one or more intermediate persons will temporarily possess the device at the intended place of use before its possession by the user, the report must include the same information for both the intended user and each intermediate person, and clearly designate the intermediate person(s).
- 3. For devices received from a 105 CMR 120.122(D) general licensee, the report must include the identity of the general licensee by name and address, the type, model number, and serial number of the device received, the date of receipt, and, in the case of devices not initially transferred by the reporting licensee, the name of the manufacturer or initial transferor.
- 4. If the licensee makes changes to a device possessed by a 105 CMR 120.122(D) general licensee, such that the label must be changed to update required information, the report must identify the general licensee, the device, and the changes to information on the device label.
- 5. The report must cover each calendar quarter, must be filed within 30 days of the end of the calendar quarter, and must clearly indicate the period covered by the report.
- 6. The report must clearly identify the specific licensee submitting the report and include the license number of the specific licensee.
- 7. If no transfers have been made to U.S. Nuclear Regulatory Commission Licensees during the reporting period, this information shall be reported to the U.S. Nuclear Regulatory Commission.
- 8. If no transfers have been made to general licensees within a particular State during the reporting period, this information shall be reported to the responsible State agency upon request of that agency.
- (b) The person shall report all transfers of devices to persons for use under a general license in the U.S. Nuclear Regulatory Commission's, an Agreement State's, or a Licensing State's regulations that are equivalent to 105 CMR 120.122(D) and all receipts of devices from general licensees in the U.S. Nuclear Regulatory Commissions s, an Agreement State's, or a Licensing State's jurisdiction to the responsible agency. The report must be submitted on Form 653 - "Transfers of Industrial Devices Report" or in a clear and legible report containing all of the data required by the form.
 - 1. The required information for transfers to general licensees includes:
 - a. the identity of each general licensee by name and mailing address for the location of use; if there is no mailing address for the location of use, an alternate address for the general licensee shall be submitted along with information on the actual location of use;
 - b. the name, title, and phone number of the person identified by the general licensee as having knowledge of and authority to take required actions to ensure compliance with the appropriate regulations and requirements;
 - c. the date of transfer;
 - d. the type, model number, and serial number of the device transferred; and,
 - e. the quantity and type of byproduct material contained in the device.
 - 2. If one or more intermediate persons will temporarily possess the device at the intended place of use before its possession by the user, the report must include the same information for both the intended user and each intermediate person, and clearly designate the intermediate person(s).
 - 3. For devices received from a general licensee, the report must include the identity of the general licensee by name and address, the type, model number, and serial number of the device received, the date of receipt, and, in the case of devices not initially transferred by the reporting licensee, the name of the manufacturer or initial transferor.
 - 4. If the licensee makes changes to a device possessed by a general licensee, such that the label must be changed to update required information, the report must identify the general licensee, the device, and the changes to information on the device label.

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5. The report must cover each calendar quarter, must be filed within 30 days of the end of the calendar quarter, and must clearly indicate the period covered by the report.
6. The report must clearly identify the specific licensee submitting the report and must include the license number of the specific licensee.
7. If no transfers have been made to U.S. Nuclear Regulatory Commission Licensees during the reporting period, this information shall be reported to the U.S. Nuclear Regulatory Commission.
8. If no transfers have been made to general licensees within a particular State during the reporting period, this information shall be reported to the responsible State agency upon request of that agency.

(c) The person shall maintain all information concerning transfers and receipts of devices that supports the reports required by 105 CMR 120.128(D)(5). Records required by 105 CMR 120.128(D)(5)(c) must be maintained for a period of three years following the date of the recorded event.

(E) Special Requirements for the Manufacture, Assembly, or Repair of Luminous Safety Devices for Use in Aircraft. An application for a specific license to manufacture, assemble, or repair luminous safety devices containing tritium or promethium-147 for use in aircraft, for distribution to persons generally licensed under 105 CMR 120.122(E) will be approved if:

- (1) the applicant satisfies the general requirements specified in 105 CMR 120.125; and,
- (2) the applicant satisfies the requirements of 10 CFR Part 32 §§ 32.53 through 32.56, and 32.101, or their equivalent.

(F) Special Requirements for License to Manufacture Calibration Sources Containing Americium-241, Plutonium or Radium-226 for Distribution to Persons Generally Licensed Under 105 CMR 120.122(G). An application for a specific license to manufacture calibration and reference sources containing americium-241, plutonium or radium-226 to persons generally licensed under 105 CMR 120.122(G). will be approved if:

- (1) the applicant satisfies the general requirement of 105 CMR 120.125; and,
- (2) the applicant satisfies the requirements of 10 CFR Part 32, §§ 32.57 through 32.59, and 32.102 and 10 CFR Part 70, § 70.39 or their equivalent.

(G) Requirements for Other Specific Licenses (Reserved).

(H) Manufacture and Distribution of Radioactive Material for Certain *In Vitro* Clinical or Laboratory Testing Under General License. An application for a specific license to manufacture or distribute radioactive material for use under the general license of 105 CMR 120.122(I) will be approved if:

- (1) the applicant satisfies the general requirements specified in 105 CMR 120.125.
- (2) the radioactive material is to be prepared for distribution in prepackaged units of:
 - (a) carbon-14 in units not exceeding ten microcuries (370 kBq) each.
 - (b) cobalt-57 in units not exceeding ten microcuries (370 kBq) each.
 - (c) hydrogen-3 (tritium) in units not exceeding 50 microcuries (1.85 MBq) each.
 - (d) iodine-125 in units not exceeding ten microcuries (370 kBq) each.
 - (e) Mock Iodine-125 in units not exceeding 0.05 microcurie (1.85 kBq) of iodine-129 and 0.005 microcurie (185 Bq) of americium-241 each.
 - (f) iodine-131 in units not exceeding ten microcuries (370 kBq) each.
 - (g) iron-59 in units not exceeding 20 microcuries (740 kBq) each.
 - (h) selenium-75 in units not exceeding ten microcuries (370 kBq) each.
- (3) each prepackaged unit bears a durable, clearly visible label:
 - (a) identifying the radioactive contents as to chemical form and radionuclide, and indicating that the amount of radioactivity does not exceed ten microcuries (370 kBq) of iodine-125, iodine-131, carbon-14, cobalt-57, or selenium-75; 50 microcuries (1.85 MBq) of hydrogen-3 (tritium); 20 microcuries (740 kBq) of iron-59; or Mock Iodine-125 in units not exceeding 0.05 microcurie (1.85 kBq) of iodine-129 and 0.005 microcurie (185 Bq) of americium-241 each; and,
 - (b) displaying the radiation caution symbol described in 105 CMR 120.237(A) and the words, "CAUTION, RADIOACTIVE MATERIAL", and "Not for Internal or External Use in Humans or Animals".

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(4) one of the following statements, as appropriate, or a substantially similar statement which contains the information called for in one of the following statements, appears on a label affixed to each prepackaged unit or appears in a leaflet or brochure which accompanies the package:

(a) This radioactive material may be received, acquired, possessed, and used only by physicians, veterinarians, clinical laboratories or hospitals and only for *in vitro* clinical or laboratory tests not involving internal or external administration of the material, or the radiation therefrom, to human beings or animals. Its receipt, acquisition, possession, use, and transfer are subject to the regulations and a general license of the U.S. Nuclear Regulatory Commission or of a State with which the U.S. Nuclear Regulatory Commission has entered into an agreement for the exercise of regulatory authority.

Name of manufacturer

(b) This radioactive material may be received, acquired, possessed, and used only by physicians, veterinarians, clinical laboratories or hospitals and only for *in vitro* clinical or laboratory tests not involving internal or external administration of the material, or the radiation therefrom, to human beings or animals. Its receipt, acquisition, possession, use, and transfer are subject to the regulations and a general license of a Licensing State.

Name of manufacturer

(5) the label affixed to the unit, or the leaflet or brochure which accompanies the package, contains adequate information as to the precautions to be observed in handling and storing such radioactive material. In the case of the Mock Iodine-125 reference or calibration source, the information accompanying the source must also contain directions to the licensee regarding the waste disposal requirements set out in 105 CMR 120.251.

(I) Licensing the Manufacture and Distribution of Ice Detection Devices. An application for a specific license to manufacture and distribute ice detection devices to persons generally licensed under 105 CMR 120.122(J) will be approved if:

- (1) the applicant satisfies the general requirements of 105 CMR 120.125; and,
- (2) the criteria of 10 CFR Part 32, §§ 32.61, 32.62, and 32.103 are met.

(J) Manufacture, Preparation, or Transfer for Commercial Distribution of Drugs Containing Radioactive Material for Medical Use Under 105 CMR 120.500.

(1) An application for a specific license to manufacture, prepare, or transfer for commercial distribution radioactive drugs containing radioactive material for use by persons authorized pursuant to 105 CMR 120.500 will be approved if:

- (a) the applicant satisfies the general requirements specified in 105 CMR 120.125;
- (b) the applicant submits evidence that the applicant is at least one of the following:
 1. registered or licensed with the U.S. Food and Drug Administration (FDA) as a drug manufacturer; or
 2. registered or licensed with a state agency as a drug manufacturer; or,
 3. licensed as a pharmacy by a State Board of Pharmacy; or,
 4. operating as a nuclear pharmacy pursuant to 247 CMR 13.00.
- (c) the applicant submits information on the radionuclide; the chemical and physical form; the maximum activity per vial, syringe, generator, or other container of the radioactive drug; and the shielding provided by the packaging to show it is appropriate for safe handling and storage of the radioactive drugs by medical use licensees; and,
- (d) the applicant satisfies the following labeling requirements:
 1. a label is affixed to each transport radiation shield, whether it is constructed of lead, glass, plastic, or other material of a radioactive drug to be transferred for commercial distribution. The label must include the radiation symbol and the words "CAUTION, RADIOACTIVE MATERIAL", the name of the radioactive drug or its abbreviation, and the quantity of radioactivity at a specified date and time. For radioactive drugs with a half life greater than 100 days the time may be omitted.

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2. a label is affixed to each syringe, vial, or other container used to hold a radioactive drug to be transferred for commercial distribution. The label must include the radiation symbol and the words "CAUTION, RADIOACTIVE MATERIAL" or "DANGER, RADIOACTIVE MATERIAL" and an identifier that ensures that the syringe, vial, or other container can be correlated with the information on the transport radiation shield label.
- (2) A licensee pursuant to 105 CMR 120.128(J)(1)(b)3. or (b)4.:
 - (a) may prepare radioactive drugs for medical use, as defined 105 CMR 120.502, provided that the radioactive drug is prepared by either an authorized nuclear pharmacist, as specified in 105 CMR 120.128(J)(2)(b) and (c), or an individual under the supervision of an authorized nuclear pharmacist as specified in 105 CMR 120.519.
 - (b) may allow a pharmacist to work as an authorized nuclear pharmacist if:
 1. if this individual qualifies as an authorized nuclear pharmacist as defined in 105 CMR 120.502; or,
 2. this individual meets the requirements specified in 105 CMR 120.526(B) and 120.529 and the licensee has received an approved license amendment identifying this individual as an authorized nuclear pharmacist; or,
 3. this individual is designated as an authorized nuclear pharmacist in accordance with 105 CMR 120.128(J)(2)(d).
 - (c) the actions authorized in 105 CMR 120.128(J)(2)(a) and (b) are permitted in spite of more restrictive language in license conditions.
 - (d) may designate a pharmacist, as defined in 105 CMR 120.005, as an authorized nuclear pharmacist if the individual is identified as of December 2, 1994, as an "authorized user" on a nuclear pharmacy license issued by NRC under 10 CFR part 32.
 - (e) shall provide to the Agency a copy of each individual's certification by the Board of Pharmaceutical Specialties, the NRC or Agreement State or Licensing State license, or the permit issued by a licensee of broad scope, and a copy of the state pharmacy licensure or registration, no later than 30 days after the date that the licensee allows, pursuant to 105 CMR 120.128(J)(2)(b)1. and 3., the individual to work as an authorized nuclear pharmacist.
- (3) A licensee shall possess and use instrumentation to measure the radioactivity of radioactive drugs. The licensee shall have procedures for use of the instrumentation. The licensee shall measure, by direct measurement or by combination of measurements and calculations, the amount of radioactivity in dosages of alpha-, beta-, or photon-emitting radioactive drugs prior to transfer for commercial distribution. In addition, the licensee shall:
 - (a) perform tests before initial use, periodically, and following repair, on each instrument for accuracy, linearity, and geometry dependence, as appropriate for the use of the instrument; and make adjustments when necessary; and
 - (b) check each instrument for constancy and proper operation at the beginning of each day of use.
- (4) Nothing in 105 CMR 120.128(J) relieves the licensee from complying with applicable FDA, other Federal, and State requirements governing radioactive drugs.

(K) Manufacture and Distribution of Generators or Reagent Kits for Preparation of Radiopharmaceuticals Containing Radioactive Material.⁵ An application for a specific license to manufacture and distribute generators or reagent kits containing radioactive material for preparation of radiopharmaceuticals by persons licensed pursuant to 105 CMR 120.100 for the uses listed in 105 CMR 120.547 will be approved if:

- (1) the applicant satisfies the general requirements specified in 105 CMR 120.125;
- (2) the applicant submits evidence that:

⁵ Although the Agency does not regulate the manufacture and distribution of reagent kits that do not contain radioactive material, it does regulate the use of such reagent kits for the preparation of radiopharmaceuticals containing radioactive material as part of its licensing and regulation of the users of radioactive material. Any manufacturer of reagent kits that do not contain radioactive material who desires to have his reagent kits approved by the Agency for use by persons licensed pursuant to 105 CMR 120.547 may submit the pertinent information specified in 105 CMR 120.128(K).

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- (a) the generator or reagent kit is to be manufactured, labeled and packaged in accordance with the Federal Food, Drug and Cosmetic Act or the Public Health Service Act, such as a new drug application (NDA) approved by the Food and Drug Administration (FDA), or a "Notice of Claimed Investigational Exemption for a New Drug" (IND) that has been accepted by the FDA; or,
- (b) the manufacture and distribution of the generator or reagent kit are not subject to the Federal Food, Drug and Cosmetic Act and the Public Health Service Act;
- (3) the applicant submits information on the radionuclide, chemical and physical form, packaging including maximum activity per package, and shielding provided by the packaging of the radioactive material contained in the generator or reagent kit;
- (4) the label affixed to the generator or reagent kit contains information on the radionuclide, quantity, and date of assay; and,
- (5) the label affixed to the generator or reagent kit, or the leaflet or brochure which accompanies the generator or reagent kit, contains:
 - (a) adequate information, from a radiation safety standpoint, on the procedures to be followed and the equipment and shielding to be used in eluting the generator or processing radioactive material with the reagent kit; and,
 - (b) a statement that this generator or reagent kit, as appropriate, is approved for use by persons licensed by the Agency pursuant to 105 CMR 120.533 or under equivalent licenses of the U.S. Nuclear Regulatory Commission, an Agreement State, or a Licensing State. The labels, leaflets, or brochures required by 105 CMR 120.128(K) are in addition to the labeling required by the Food and Drug Administration (FDA) and they may be separate from or, with the approval of FDA, may be combined with the labeling required by FDA.

(L) Manufacture and Distribution of Sources or Devices Containing Radioactive Material for Medical Use. An application for a specific license to manufacture and distribute sources and devices containing radioactive material to persons licensed pursuant to 105 CMR 120.500 for use as a calibration or reference source or for the uses listed in 105 CMR 120.559 and 120.568 and 120.570 will be approved if:

- (1) the applicant satisfies the general requirements in 105 CMR 120.125;
- (2) the applicant submits sufficient information regarding each type of source or device pertinent to an evaluation of its radiation safety, including:
 - (a) the radioactive material contained, its chemical and physical form, and amount;
 - (b) details of design and construction of the source or device;
 - (c) procedures for, and results of, prototype tests to demonstrate that the source or device will maintain its integrity under stresses likely to be encountered in normal use and accidents;
 - (d) for devices containing radioactive material, the radiation profile of a prototype device;
 - (e) details of quality control procedures to assure that production sources and devices meet the standards of the design and prototype tests;
 - (f) procedures and standards for calibrating sources and devices;
 - (g) legend and methods for labeling sources and devices as to their radioactive content; and
 - (h) instructions for handling and storing the source or device from the radiation safety standpoint; these instructions are to be included on a durable label attached to the source or device or attached to a permanent storage container for the source or device; provided, that instructions which are too lengthy for such label may be summarized on the label and printed in detail on a brochure which is referenced on the label;
- (3) the label affixed to the source or device, or to the permanent storage container for the source or device, contains information on the radionuclide, quantity, and date of assay, and a statement that the Agency has approved the distribution of the (name of source or device) to persons licensed to use radioactive material identified in 105 CMR 120.523, 120.559, 120.568, and 120.570 or under equivalent licenses of the U.S. Nuclear Regulatory Commission, an Agreement State, or a Licensing State;

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(4) in the event the applicant desires that the source or device be required to be tested for leakage of radioactive material at intervals longer than six months, he shall include in his application sufficient information to demonstrate that such longer interval is justified by performance characteristics of the source or device or similar sources or devices and by design features that have a significant bearing on the probability or consequences of leakage of radioactive material from the source; and

(5) in determining the acceptable interval for test of leakage of radioactive material, the Agency will consider information that includes, but is not limited to:

- (a) primary containment or source capsule;
- (b) protection of primary containment;
- (c) method of sealing containment;
- (d) containment construction materials;
- (e) form of contained radioactive material;
- (f) maximum temperature withstood during prototype tests;
- (g) maximum pressure withstood during prototype tests;
- (h) maximum quantity of contained radioactive material;
- (i) radiotoxicity of contained radioactive material; and
- (j) operating experience with identical sources or devices or similarly designed and constructed sources or devices.

(M) Requirements for License to Manufacture and Distribute Industrial Products Containing Depleted Uranium for Mass-volume Applications.

(1) An application for a specific license to manufacture industrial products and devices containing depleted uranium for use pursuant to 105 CMR 120.121(E) or equivalent regulations of the U.S. Nuclear Regulatory Commission or an Agreement State will be approved if:

- (a) the applicant satisfies the general requirements specified in 105 CMR 120.125;
- (b) the applicant submits sufficient information relating to the design, manufacture, prototype testing, quality control procedures, labeling or marking, proposed uses, and potential hazards of the industrial product or device to provide reasonable assurance that possession, use, or transfer of the depleted uranium in the product or device is not likely to cause any individual to receive a radiation dose in excess of 10% of the annual limits specified in 105 CMR 120.211(A); and,
- (c) the applicant submits sufficient information regarding the industrial product or device and the presence of depleted uranium for a mass-volume application in the product or device to provide reasonable assurance that unique benefits will accrue to the public because of the usefulness of the product or device.

(2) In the case of an industrial product or device whose unique benefits are questionable, the Agency will approve an application for a specific license under 105 CMR 120.128(M) only if the product or device is found to combine a high degree of utility and low probability of uncontrolled disposal and dispersal of significant quantities of depleted uranium into the environment.

(3) The Agency may deny any application for a specific license under 105 CMR 120.128(M) if the end use(s) of the industrial product or device cannot be reasonably foreseen.

(4) Each person licensed pursuant to 105 CMR 120.128(M)(1) shall:

- (a) maintain the level of quality control required by the license in the manufacture of the industrial product or device, and in the installation of the depleted uranium into the product or device;
- (b) label or mark each unit to:
 - 1. identify the manufacturer of the product or device and the number of the license under which the product or device was manufactured, the fact that the product or device contains depleted uranium, and the quantity of depleted uranium in each product or device; and
 - 2. state that the receipt, possession, use, and transfer of the product or device are subject to a general license or the equivalent and the regulations of the U.S. Nuclear Regulatory Commission or an Agreement State;
- (c) assure that the depleted uranium before being installed in each product or device has been impressed with the following legend clearly legible through any plating or other covering: "Depleted Uranium";

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- (d) 1. furnish a copy of the general license contained in 105 CMR 120.121(E) and a copy of form MRCP 120.100-1 to each person to whom he transfers depleted uranium in a product or device for use pursuant to the general license contained in 105 CMR 120.121(E); or,
- 2. furnish a copy of the general license contained in the U.S. Nuclear Regulatory Commission's or Agreement State's regulation equivalent to 105 CMR 120.121(E) and a copy of the U.S. Nuclear Regulatory Commission's or Agreement State's certificate, or alternatively, furnish a copy of the general license contained in 105 CMR 120.121(E) and a copy of form MRCP 120.100-1 to each person to whom he transfers depleted uranium in a product or device for use pursuant to the general license of the U.S. Nuclear Regulatory Commission or an Agreement State, with a note explaining that use of the product or device is regulated by the U.S. Nuclear Regulatory Commission or an Agreement State under requirements substantially the same as those in 105 CMR 120.121(E);
- (e) report to the Agency all transfers of industrial products or devices to persons for use under the general license in 105 CMR 120.121(E). Such report shall identify each general licensee by name and address, an individual by name and/or position who may constitute a point of contact between the Agency and the general licensee, the type and model number of device transferred, and the quantity of depleted uranium contained in the product or device. The report shall be submitted within 30 days after the end of each calendar quarter in which such a product or device is transferred to the generally licensed person. If no transfers have been made to persons generally licensed under 105 CMR 120.121(E) during the reporting period, the report shall so indicate;
- (f) 1. report to the U.S. Nuclear Regulatory Commission all transfers of industrial products or devices to persons for use under the U.S. Nuclear Regulatory Commission general license in 10 CFR Part 40, § 40.25.
- 2. report to the responsible State agency all transfers of devices manufactured and distributed pursuant to 105 CMR 120.128(M) for use under a general license in that State's regulations equivalent to 105 CMR 120.121(E);
- 3. such report shall identify each general licensee by name and address, an individual by name and/or position who may constitute a point of contact between the Agency and the general licensee, the type and model number of the device transferred, and the quantity of depleted uranium contained in the product or device. The report shall be submitted within 30 days after the end of each calendar quarter in which such product or device is transferred to the generally licensed person;
- 4. if no transfers have been made to U.S. Nuclear Regulatory Commission licensees during the reporting period, this information shall be reported to the U.S. Nuclear Regulatory Commission; and,
- 5. if no transfers have been made to general licensees within a particular Agreement State during the reporting period, this information shall be reported to the responsible Agreement State agency upon the request of that agency; and,
- (g) keep records showing the name, address, and point of contact for each general licensee to whom he transfers depleted uranium in industrial products or devices for use pursuant to the general license provided in 105 CMR 120.121(E) or equivalent regulations of the U.S. Nuclear Regulatory Commission or an Agreement State. The records shall be maintained for a period of two years and shall show the date of each transfer, the quantity of depleted uranium in each product or device transferred, and compliance with the report requirements of 105 CMR 120.100.

(N) Special Requirements for License to Manufacture, Import or Initially Distribute Sealed Sources or Devices Containing Sealed Sources to Persons Having a Specific License.

- (1) An application for license to manufacture, import (NARM only) or initially distribute sealed sources or devices containing sealed sources for initial transfer to persons having a specific license to receive such sealed sources or devices will be approved subject to the following conditions:
 - (a) the applicant satisfies the general requirements specified in 105 CMR 120.125;
 - (b) the licensee subject to 105 CMR 120.128(N) shall not transfer a sealed source or device containing a sealed source to any person except in accordance with the requirements of 105 CMR 120.140.

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(2) Any manufacturer, importer or initial distributor of a sealed source or device containing a sealed source whose product is intended for use under a specific license may submit a request to the Agency for evaluation of radiation safety information about its product and for filing an evaluation sheet in the U.S. Nuclear Regulatory Commission "Registry of Radioactive Sealed Sources and Devices".

(a) A request for evaluation of a sealed source or device containing a sealed source shall be submitted in duplicate and shall include information required by 105 CMR 120.128(N)(2)(b) or (c), as applicable, demonstrating that the radiation safety properties of such source or device will not endanger public health and safety or property.

(b) A request for evaluation of a sealed source shall include the following radiation safety information:

1. proposed uses for the sealed source;
2. chemical and physical form and maximum quantity of radioactive material in the sealed source;
3. details of design of the sealed source, radiation and its shielding including blueprints, engineering drawings or annotated drawings;
4. details of construction of the sealed source including a description of materials used in construction;
5. radiation profile of a prototype sealed source;
6. procedures for and results of prototype testing;
7. details of quality control procedures to be followed in manufacture;
8. a description or facsimile of labeling to be affixed to the sealed source;
9. leak testing procedures; and,
10. any additional information, including experimental studies and tests, required by the Agency to facilitate a determination of the safety of the sealed source, as required by 105 CMR 120.125.

(c) A request for evaluation of a device containing a sealed source shall include the following radiation safety information:

1. proposed uses for the device;
2. manufacturer, model number, chemical and physical form and maximum quantity of radioactivity in the sealed source or sources to be used in the device;
3. details of design of the sealed source, including blueprints, engineering drawings or annotated drawings;
4. details of construction of the sealed source including a description of materials used in construction;
5. radiation profile of a prototype device;
6. procedures for and results of prototype testing;
7. details of quality control procedures to be followed in manufacture;
8. a description or facsimile of labeling to be affixed to the device;
9. leak testing procedures;
10. a description of potential hazards in installation, service, maintenance, handling, use and operation of the device;
11. information about installation, service and maintenance procedures;
12. handling, operating and safety instructions; and
13. any additional information, including experimental studies and tests, required by the Agency to facilitate a determination of the safety of the device as required by 105 CMR 120.125.

(d) When evaluating a sealed source or device, the Agency will apply the radiation safety criteria described in 10 CFR 32.210(d), published January 1, 1993, exclusive of subsequent amendments or editions.

(e) The person submitting a request for evaluation of a product shall manufacture and distribute the product in accordance with:

1. the statements and representations, including the quality control program, described in the request; and
2. the provisions of the evaluation sheet prepared by the Agency and submitted to the U.S. Nuclear Regulatory Commission, for filing in the "Registry of Radioactive Sealed Sources and Devices".

120.130: Issuance of Specific Licenses

- (A)(1) Upon a determination that an application meets the requirements of M.G.L. c. 111, §§ 3, 5M through 5P and 105 CMR 120.000 and upon payment of the required fee as specified in 105 CMR 120.130(A)(2), the Agency will issue a specific license authorizing the proposed activity in such form and containing such conditions and limitations as it deems appropriate or necessary.
- (2) Each initial application for a license or a certificate of registration for which a fee is established by the Executive Office for Administration and Finance in 801 CMR 4.00 shall be accompanied by a non-refundable fee, payable to the Commonwealth of Massachusetts, in the amount specified for the corresponding annual fee. Thereafter, the Radiation Control Program will issue an annual fee invoice based on the applicable annual fee specified in 801 CMR 4.00. Fees are payable within 30 days after receipt of a fee invoice.
- (B) The Agency may incorporate in any license at the time of issuance, or thereafter by appropriate rule, regulation, or order, such additional requirements and conditions with respect to the licensee's receipt, possession, use, and transfer of radioactive material subject to 105 CMR 120.100 as it deems appropriate or necessary in order to:
- (1) minimize danger to public health and safety or property;
 - (2) require such reports and the keeping of such records, and to provide for such inspections of activities under the license as may be appropriate or necessary; and
 - (3) prevent loss or theft of material subject to 105 CMR 120.100.

120.131: Specific Terms and Conditions of Licenses

- (A) Each license issued pursuant to 105 CMR 120.000 shall be subject to all the provisions of M.G.L. c. 111, §§ 3, 5M through 5P, and to all rules, regulations, orders of the Agency and license conditions as provided for in 105 CMR 120.130(B).
- (B) No license issued or granted under 105 CMR 120.000 and no right to possess or utilize radioactive material granted by any license issued pursuant to 105 CMR 120.131 shall be transferred, assigned, or in any manner disposed of, either voluntarily or involuntarily, directly or indirectly, through transfer of control of any license to any person unless the Agency shall, after securing full information find that the transfer is in accordance with the provisions of M.G.L. c. 111, §§ 3, 5M through 5P, and to all valid rules, regulations, and orders of the Agency, and shall give its consent in writing.
- (C) Each person licensed by the Agency pursuant to 105 CMR 120.100 shall confine use and possession of the material licensed to the locations and purposes authorized in the license. Preparation for shipment and transport of byproduct material shall be in accordance with the provisions of 10 CFR part 71 and 105 CMR 120.770.
- (D) Each licensee shall notify the Agency in writing when the licensee decides to permanently discontinue all activities involving materials authorized under the license.
- (E) Each licensee shall notify the Agency in writing immediately following the filing of a voluntary or involuntary petition for bankruptcy under any Chapter of Title 11 (Bankruptcy) of the United States Code by or against:
- (1) the licensee;
 - (2) an entity (as that term is defined in 11 U.S.C. 101(14)) controlling the licensee or listing the license or licensee as property of the estate; or
 - (3) an affiliate (as that term is defined in 11 U.S.C. 101(2)) of the licensee.
- (F) The notification specified in 105 CMR 120.131(E) shall indicate the bankruptcy court in which the petition for bankruptcy was filed and the date of the filing of the petition.
- (G) Each portable gauge licensee shall use a minimum of two independent physical controls that form tangible barriers to secure portable gauges from unauthorized removal, whenever portable gauges are not under the control and constant surveillance of the licensee.

120.132: Expiration and Termination of Licenses and Decommissioning of Sites and Separate Buildings or Outdoor Areas

(A) Each specific license expires at the end of the day on the expiration date stated in the license unless the licensee has filed an application for renewal under 105 CMR 120.133 not less than 30 days before the expiration date stated in the existing license. If an application for renewal has been filed at least 30 days prior to the expiration date stated in the existing license, the existing license expires at the end of the day on which the Agency makes a final determination to deny the renewal application or, if the determination states an expiration date, the expiration date stated in the determination.

(B) Each specific license revoked by the Agency expires at the end of the day on the date of the Agency's final determination to revoke the license, or on the expiration date stated in the determination, or as otherwise provided by Agency Order.

(C) Each specific license continues in effect, beyond the expiration date if necessary, with respect to possession of radioactive material until the Agency notifies the licensee in writing that the license is terminated. During this time, the licensee shall:

- (1) Limit actions involving radioactive material to those related to decommissioning; and,
- (2) Continue to control entry to restricted areas until they are suitable for release in accordance with Agency requirements.

(D) Within 60 days of the occurrence of any of the following, consistent with the administrative directions in 105 CMR 120.013, each licensee shall provide notification to the Agency in writing of such occurrence, and either begin decommissioning its site, or any separate building or outdoor area that contains residual radioactivity so that the building or outdoor area is suitable for release in accordance with Agency requirements, or submit within 12 months of notification a decommissioning plan, if required by 105 CMR 120.132(G)(1) and begin decommissioning upon approval of that plan if:

- (1) The license has expired pursuant to 105 CMR 120.132(A) or (B); or
- (2) The licensee has decided to permanently cease principal activities, as defined in 105 CMR 120.005, at the entire site or in any separate building or outdoor area that contains residual radioactivity such that the building or outdoor area is unsuitable for release in accordance with Agency requirements; or
- (3) No principal activities under the license have been conducted for a period of 24 months; or
- (4) No principal activities have been conducted for a period of 24 months in any separate building or outdoor area that contains residual radioactivity such that the building or outdoor area is unsuitable for release in accordance with Agency requirements.

(E) Coincident with the notification required by 105 CMR 120.132(D), the licensee shall maintain in effect all decommissioning financial assurances established by the licensee pursuant to 105 CMR 120.125(C) in conjunction with a license issuance or renewal or as required by 105 CMR 120.132. The amount of the financial assurance must be increased, or may be decreased, as appropriate, to cover the detailed cost estimate for decommissioning established pursuant to 105 CMR 120.132(G)(4)(e).

- (1) Any licensee who has not provided financial assurance to cover the detailed cost estimate submitted with the decommissioning plan shall do so.
- (2) Following approval of the decommissioning plan, a licensee may reduce the amount of the financial assurance as decommissioning proceeds and radiological contamination is reduced at the site with the approval of the Agency.

(F) The Agency may grant a request to extend the time periods established in 105 CMR 120.132(D) if the Agency determines that this relief is not detrimental to the public health and safety and is otherwise in the public interest. The request must be submitted no later than 30 days before notification pursuant to 105 CMR 120.132(D). The schedule for decommissioning set forth in 105 CMR 120.132(D) may not commence until the Agency has made a determination on the request.

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- (G) (1) A decommissioning plan must be submitted if required by license condition or if the procedures and activities necessary to carry out decommissioning of the site or separate building or outdoor have not been previously approved by the Agency and these procedures could increase potential health and Safety impacts to workers or to the public, such as in any of the following cases:
- (a) procedures would involve techniques not applied routinely during cleanup or maintenance operations;
 - (b) workers would be entering areas not normally occupied where surface contamination and radiation levels are significantly higher than routinely encountered during operation;
 - (c) procedures could result in significantly greater airborne concentrations of radioactive materials than are present during operation; or,
 - (d) procedures could result in significantly greater releases of radioactive material to the environment than those associated with operation.
- (2) The Agency may approve an alternate schedule for submittal of a decommissioning plan required pursuant to 105 CMR 120.132(D) if the Agency determines that the alternative schedule is necessary to the effective conduct of decommissioning operations and presents no undue risk from radiation to the public health and safety and is otherwise in the public interest.
- (3) Procedures such as those listed in 105 CMR 120.132(G)(1) with potential health and safety impacts may not be carried out prior to approval of the decommissioning plan.
- (4) The proposed decommissioning plan for the site or separate building or outdoor area must include:
- (a) a description of the conditions of the site or separate building or outdoor area sufficient to evaluate the acceptability of the plan;
 - (b) a description of planned decommissioning activities;
 - (c) a description of methods used to ensure protection of workers and the environment against radiation hazards during decommissioning;
 - (d) a description of the planned final radiation survey; and,
 - (e) an updated detailed cost estimate for decommissioning, comparison of that estimate with present funds set aside for decommissioning, and a plan for assuring the availability of adequate funds for completion of decommissioning.
 - (f) For decommissioning plans calling for completion of decommissioning later than 24 months after plan approval, the plan shall include a justification for the delay based on the criteria in 105 CMR 120.132(H).
- (5) The proposed decommissioning plan will be approved by the Agency if the information therein demonstrates that the decommissioning will be completed as soon as practicable and that the health and safety of workers and the public will be adequately protected.
- (H) (1) Except as provided in 105 CMR 120.132(I), licensees shall complete decommissioning of the site or separate building or outdoor area as soon as practicable but no later than 24 months following the initiation of decommissioning.
- (2) Except as provided in 105 CMR 120.132(I), when decommissioning involves the entire site, the licensee shall request license termination as soon as practicable but no later than 24 months following the initiation of decommissioning.
- (I) The Agency may approve a request for an alternative schedule for completion of decommissioning of the site or separate building or outdoor area, and license termination if appropriate, if the Agency determines that the alternative is warranted by consideration of the following:
- (1) whether it is technically feasible to complete decommissioning within the allotted 24-month period;
 - (2) whether sufficient waste disposal capacity is available to allow completion of decommissioning within the allotted 24-month period;
 - (3) whether a significant volume reduction in wastes requiring disposal will be achieved by allowing short-lived radionuclides to decay;
 - (4) whether a significant reduction in radiation exposure to workers can be achieved by allowing short-lived radionuclides to decay; and,

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(5) other site-specific factors which the Agency may consider appropriate on a case-by-case basis, such as the regulatory requirements of other government agencies, lawsuits, ground-water treatment activities, monitored natural ground-water restoration, actions that could result in more environmental harm than deferred cleanup, and other factors beyond the control of the licensee.

(J) As the final step in decommissioning, the licensee shall:

- (1) Certify the disposition of all licensed material including accumulated wastes, by submitting a completed Agency Form MRCP 120.100-3 or equivalent information; and,
- (2) Conduct a radiation survey of the premises where the licensed activities were carried out and submit a report of the results of this survey unless the licensee demonstrates that the premises are suitable for release in some other manner. The licensee shall, as appropriate-
 - (a) Report levels of gamma radiation in units of millisieverts (microrentgen) per hour at one meter from surfaces, and report levels of radioactivity, including alpha and beta, in units of megabecquerels (disintegrations per minute or microcuries) per 100 square centimeters -removable and fixed - for surfaces, megabecquerels (microcuries) per milliliter for water, and becquerels (picocuries) per gram for solids such as soils or concrete; and,
 - (b) Specify the survey instrument(s) used and certify that each instrument is properly calibrated and tested.

(K) Specific licenses, including expired licenses, will be terminated by written notice to the licensee when the Agency determines that:

- (1) radioactive material has been properly disposed;
- (2) reasonable effort has been made to eliminate residual radioactive contamination, if present; and,
- (3) (a) a radiation survey has been performed which demonstrates that the premises are suitable for release in accordance with Agency requirements; or,
- (b) other information submitted by the licensee is sufficient to demonstrate that the premises are suitable for release in accordance with Agency requirements.

120.133: Renewal of Licenses

(A) Applications for renewal of specific licenses shall be filed in accordance with 105 CMR 120.124.

(B) In any case in which a licensee, not less than 30 days prior to expiration of his existing license, has filed an application in proper form for renewal or for a new license authorizing the same activities, such existing license shall not expire until final action by the Agency.

120.134: Amendment of Licenses at Request of Licensee

(A) Applications for amendment of a license shall be filed in accordance with 105 CMR 120.124 and shall specify the respects in which the licensee desires the license to be amended and the grounds for such amendment.

(B) An invoice for an amendment fee will be issued on receipt of a request to amend a license. The amendment will not be issued until after the invoiced amount has been paid.

120.135: Agency Action on Applications to Renew or Amend

In considering an application by a licensee to renew or amend the license, the Agency will apply the criteria set forth in 105 CMR 120.125, 120.126, 120.127, and 120.128 and in 120.300, 120.500, 120.800 or 120.900, as applicable.

120.136: Persons Possessing a License for Source, Byproduct, or Special Nuclear Material in Quantities Not Sufficient to Form a Critical Mass on March 21, 1997

Any person who, on March 21, 1997, date of the Agreement between the Commonwealth and the NRC pursuant to section 274b of the Atomic Energy Act of 1954, as amended (42 USC 2021), possesses a general or specific license for source, byproduct, or special nuclear material in quantities not sufficient to form a critical mass, issued by the U.S. Nuclear Regulatory Commission, shall be deemed to possess a like license issued under 105 CMR 120.136 and M.G.L. c. 111, §§ 3, 5M, 5N, 5O and 5P, such license to expire either 90 days after receipt from the Agency of a notice of expiration of such license, or on the date or expiration specified in the U.S. Nuclear Regulatory Commission license, whichever is earlier.

120.137: Persons Possessing Naturally Occurring and Accelerator-produced Radioactive Material (NARM) on March 21, 1997

Any person who, on October 6, 2006, possesses NARM for which a specific license is required by M.G.L. c. 111, §§ 3, 5M, 5N, 5O and 5P or 105 CMR 120.137 shall be deemed to possess such a license issued under M.G.L. c. 111, §§ 3, 5M, 5N, 5O and 5P and 105 CMR 120.137. Such license shall expire on January 6, 2007; provided, however, that if within the 90 days the person possessing such material files an application in proper form for a license, such existing license shall not expire until the application has been finally determined by the Agency.

120.140: Transfer of Material

(A) No licensee shall transfer radioactive material except as authorized pursuant to 105 CMR 120.140.

(B) Except as otherwise provided in his license and subject to the provisions of 105 CMR 120.140(C) and (D), any licensee may transfer radioactive material:

- (1) to the Agency (Only after receiving prior approval from the Agency.);
- (2) to the U.S. Department of Energy;
- (3) to any person exempt from 105 CMR 120.000 to the extent permitted under such exemption;
- (4) to any person authorized to receive such material under terms of a general license or its equivalent, or a specific license or equivalent licensing document, issued by the Agency, the U.S. Nuclear Regulatory Commission, any Agreement State or any Licensing State, or to any person otherwise authorized to receive such material by the Federal Government or any agency thereof, the Agency, an Agreement State, or a Licensing State; or,
- (5) as otherwise authorized by the Agency in writing.

(C) Before transferring radioactive material to a specific licensee of the Agency, the U.S. Nuclear Regulatory Commission, an Agreement State or a Licensing State, or to a general licensee who is required to register with the Agency, the U.S. Nuclear Regulatory Commission, an Agreement State or a Licensing State prior to receipt of the radioactive material, the licensee transferring the material shall verify that the transferee's license authorizes the receipt of the type, form, and quantity of radioactive material to be transferred.

(D) Any of the following methods for the verification required by 105 CMR 120.140(C) is acceptable:

- (1) The transferor may possess and read a current copy of the transferee's specific license or registration certificate.
- (2) The transferor may possess a written certification by the transferee that the transferee is authorized by license or registration certificate to receive the type, form, and quantity of radioactive material to be transferred, specifying the license or registration certificate number, issuing agency, and expiration date.
- (3) For emergency shipments, the transferor may accept oral certification by the transferee that the transferee is authorized by license or registration certificate to receive the type, form, and quantity of radioactive material to be transferred, specifying the license or registration certificate number, issuing agency, and expiration date; provided, that the oral certification is confirmed in writing within ten days.

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(4) The transferor may obtain other information compiled by a reporting service from official records of the Agency, the U.S. Nuclear Regulatory Commission, an Agreement State, or a Licensing State regarding the identity of licensees and the scope and expiration dates of licenses and registration.

(5) When none of the methods of verification described in 105 CMR 120.140(D)(1) through (4) are readily available or when a transferor desires to verify that information received by one of such methods is correct or up-to-date, the transferor may obtain and record confirmation from the Agency, the U.S. Nuclear Regulatory Commission, or an Agreement State, or a Licensing State that the transferee is licensed to receive the radioactive material.

(E) Shipment and transport of radioactive material shall be in accordance with the provisions of 105 CMR 120.770.

120.142: Reporting Requirements

(A) Immediate Report. Each licensee shall notify the Agency as soon as possible but not later than four hours after the discovery of an event that prevents immediate protective actions necessary to avoid exposures to radiation or radioactive materials that could exceed regulatory limits or releases of licensed material that could exceed regulatory limits (events may include fires, explosions, toxic gas releases, *etc.*).

(B) 24 Hour Report. Each licensee shall notify the Agency within 24 hours after the discovery of any of the following events involving licensed material:

(1) An unplanned contamination event that:

(a) Requires access to the contaminated area, by workers or the public, to be restricted for more than 24 hours by imposing additional radiological controls or by prohibiting entry into the area;

(b) Involves a quantity of material greater than five times the lowest annual limit on intake specified in 105 CMR 120.296: *Appendix B* for the material; and,

(c) Has access to the area restricted for a reason other than to allow isotopes with a half-life of less than 24 hours to decay prior to decontamination.

(2) An event in which equipment is disabled or fails to function as designed when:

(a) The equipment is required by regulation or license condition to prevent releases exceeding regulatory limits, to prevent exposures to radiation and radioactive materials exceeding regulatory limits, or to mitigate the consequences of an accident;

(b) The equipment is required to be available and operable when it is disabled or fails to function; and,

(c) No redundant equipment is available and operable to perform the required safety function.

(3) An event that requires unplanned medical treatment at a medical facility of an individual with spreadable radioactive contamination on the individual's clothing or body.

(4) An unplanned fire or explosion damaging any licensed material or any device, container, or equipment containing licensed material when:

(a) The quantity of material involved is greater than five times the lowest annual limit on intake specified in 105 CMR 120.296: *Appendix B* for the material; and,

(b) The damage affects the integrity of the licensed material or its container.

(C) Preparation and Submission of Reports. Reports made by licensees in response to the requirements of 105 CMR 120.142 must be made as follows:

(1) Licensees shall make reports required by 105 CMR 120.142(A) and (B) by telephone to the Agency during normal working hours or the Nuclear Incident Advisory Team (NIAT) at all other times. To the extent that the information is available at the time of notification, the information provided in these reports must include:

(a) The caller's name and call back telephone number;

(b) A description of the event, including date and time;

(c) The exact location of the event;

(d) The isotopes, quantities, and chemical and physical form of the licensed material involved; and,

(e) Any personnel radiation exposure data available.

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(2) Written Report. Each licensee who makes a report required by 105 CMR 120.142(A) or (B) shall submit a written follow-up report within 30 days of the initial report. Written reports prepared pursuant to other regulations may be submitted to fulfill this requirement if the reports contain all of the necessary information and the appropriate distribution is made. These written reports must be sent to the Massachusetts Department of Public Health, Radiation Control Program. The report must include the following:

- (a) A description of the event, including the probable cause and the manufacturer and model number (if applicable) of any equipment that failed or malfunctioned;
- (b) The exact location of the event;
- (c) The isotopes, quantities, and chemical and physical form of the licensed material involved;
- (d) Date and time of the event;
- (e) Corrective actions taken or planned and the results of any evaluations or assessments; and,
- (f) The extent of exposure of individuals to radiation or to radioactive materials without identification of individuals by name.

120.146: Emergency Plan for Responding to a Release

(A) Each application to possess radioactive materials in unsealed form, on foils or plated sources, or sealed in glass in excess of the quantities in 105 CMR 120.196: *Appendix B*, Table III must contain either:

- (1) An evaluation showing that the maximum dose to a person offsite due to a release of radioactive materials would not exceed 1 rem effective dose equivalent or 5 rems to the thyroid; or
- (2) An emergency plan for responding to a release of radioactive material.

(B) One or more of the following factors may be used to support an evaluation submitted pursuant to 105 CMR 120.146 and 120.760:

- (1) The radioactive material is physically separated so that only a portion could be involved in an accident;
- (2) All or part of the radioactive material is not subject to release during an accident because of the way it is stored or packaged;
- (3) The release fraction in the respirable size range would be lower than the release fraction shown in 105 CMR 120.196: *Appendix B*, Table III due to the chemical or physical form of the material;
- (4) The solubility of the radioactive material would reduce the dose received;
- (5) Facility design or engineered safety features in the facility would cause the release fraction to be lower than shown in 105 CMR 120.196: *Appendix B*, Table III;
- (6) Operating restrictions or procedures would prevent a release fraction as large as that shown in 105 CMR 120.196: *Appendix B*, Table III; or
- (7) Other factors appropriate for the specific facility.

(C) An emergency plan for responding to a release of radioactive material submitted pursuant to 105 CMR 120.146 and 120.760 must include the following information:

- (1) Facility Description. A brief description of the licensee's facility and area near the site.
- (2) Types of Accidents. An identification of each type of radioactive materials accident for which protective actions may be needed.
- (3) Classification of Accidents. A classification system for classifying accidents as alerts or site area emergencies.
- (4) Detection of Accidents. Identification of the means of detecting each type of accident in a timely manner.
- (5) Mitigation of Consequences. A brief description of the means and equipment for mitigating the consequences of each type of accident, including those provided to protect workers onsite, and a description of the program for maintaining the equipment.
- (6) Assessment of Releases. A brief description of the methods and equipment to assess releases of radioactive materials.

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(7) Responsibilities. A brief description of the responsibilities of licensee personnel should an accident occur, including identification of personnel responsible for promptly notifying offsite response organizations and the Agency; also, responsibilities for developing, maintaining, and updating the plan.

(8) Notification and Coordination. A commitment to and a brief description of the means to promptly notify offsite response organizations and request offsite assistance, including medical assistance for the treatment of contaminated injured onsite workers when appropriate. A control point must be established. The notification and coordination must be planned so that unavailability of some personnel, parts of the facility, and some equipment will not prevent the notification and coordination. The licensee shall also commit to notify the Agency immediately after notification of the appropriate offsite response organizations and not later than one hour after the licensee declares an emergency.¹

(9) Information to Be Communicated. A brief description of the types of information on facility status, radioactive releases, and recommended protective actions, if necessary, to be given to offsite response organizations and to the Agency.

(10) Training. A brief description of the frequency, performance objectives, and plans for the training that the licensee will provide workers on how to respond to an emergency, including any special instructions and orientation tours the licensee would offer to fire, police, medical, and other emergency personnel. The training shall familiarize personnel with site-specific emergency procedures. Also, the training shall thoroughly prepare site personnel for their responsibilities in the event of accident scenarios postulated as most probable for the specific site, including the use of team training for such scenarios.

(11) Safe Shutdown. A brief description of the means of restoring the facility to a safe condition after an accident.

(12) Exercises. Provisions for conducting quarterly communications checks with offsite response organizations and biennial onsite exercises to test response to simulated emergencies. Quarterly communications checks with offsite response organizations must include the check and update of all necessary telephone numbers. The licensee shall invite offsite response organizations to participate in the biennial exercises. Participation of offsite response organizations in biennial exercises, although recommended, is not required. Exercises must use accident scenarios postulated as most probable for the specific site and the scenarios shall not be known to most exercise participants. The licensee shall critique each exercise using individuals not having direct implementation responsibility for the plan. Critiques of exercises must evaluate the appropriateness of the plan, emergency procedures, facilities, equipment, training of personnel, and overall effectiveness of the response. Deficiencies found by the critiques must be corrected.

(13) Hazardous Chemicals. A certification that the applicant has met its responsibilities under the Emergency Planning and Community Right-to-Know Act of 1986, Title III, Pub. L. 99-499, if applicable to the applicant's activities at the proposed place of use of the radioactive material.

(D) The license shall allow the offsite response organizations expected to respond in case of an accident 60 days to comment on the licensee's emergency plan before submitting it to the Agency. The licensee shall provide any comments received within the 60 days to the Agency with the emergency plan.

120.150: Modification and Revocation of Licenses

(A) The terms and conditions of all licenses shall be subject to amendment, revision, or modification or the license may be suspended or revoked by reason of amendments to M.G.L. c. 111, §§ 3, 5M, 5N, 5O and 5P, or by reason of rules, regulations, and orders issued by the Agency.

¹ These reporting requirements do not supersede or release licensees of complying with the requirements under the Emergency Planning and Community Right-to-Know Act of 1986, Title III, Pub. L. 99-499 or other state or federal reporting requirements.

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(B) Any license may be revoked, suspended, or modified, in whole or in part, for any material false statement in the application or any statement of fact required under provisions of M.G.L. c. 111, §§ 3, 5M, 5N, 5O and 5P, or because of conditions revealed by such application or statement of fact or any report, record, or inspection or other means which would warrant the Agency to refuse to grant a license on an original application, or for violation of, or failure to observe any of the terms and conditions of M.G.L. c. 111, §§ 3, 5M, 5N, 5O and 5P, or of the license, or of any rule, regulation, or order of the Agency.

(C) Except in cases of willfulness or those in which the public health, interest or safety requires otherwise, no license shall be modified, suspended, or revoked unless, prior to the institution of proceedings therefor, facts or conduct which may warrant such action shall have been called to the attention of the licensee in writing and the licensee shall have been accorded an opportunity to demonstrate or achieve compliance with all lawful requirements.

120.190: Reciprocal Recognition of Licenses

(A) Licenses of Byproduct, Source, and Special Nuclear Material in Quantities Not Sufficient to Form a Critical Mass.

(1) Subject to 105 CMR 120.000, any person who holds a specific license from the U.S. Nuclear Regulatory Commission or an Agreement State, and issued by the Agency having jurisdiction where the licensee maintains an office for directing the licensed activity and at which radiation safety records are normally maintained, is hereby granted a general license to conduct the activities authorized in such licensing document within this State for a period not in excess of 180 days in any calendar year provided that:

- (a) the licensing document does not limit the activity authorized by such document to specified installations or locations;
- (b) the out-of-state licensee notifies the Agency in writing at least three days prior to engaging in such activity. Such notification shall indicate the location, period, and type of proposed possession and use within the State, and shall be accompanied by a copy of the pertinent licensing document. If, for a specific case, the three day period would impose an undue hardship on the out-of-state licensee, the licensee may, upon application to the Agency, obtain permission to proceed sooner. The Agency may waive the requirement for filing additional written notifications during the remainder of the calendar year following the receipt of the initial notification from a person engaging in activities under the general license provided in 105 CMR 120.190(A)(1);
- (c) the out-of-state licensee complies with all applicable regulations of the Agency and with all the terms and conditions of the licensing document, except any such terms and conditions which may be inconsistent with applicable regulations of the Agency;
- (d) the out-of-state licensee supplies such other information as the Agency may request; and
- (e) the out-of-state licensee shall not transfer or dispose of radioactive material possessed or used under the general license provided in 105 CMR 120.190(A)(1) except by transfer to a person:
 - 1. specifically licensed by the Agency or by the U.S. Nuclear Regulatory Commission to receive such material; or,
 - 2. exempt from the requirements for a license for such material under 105 CMR 120.104(A).

(2) Notwithstanding the provisions of 105 CMR 120.190(A)(1), any person who holds a specific license issued by the U.S. Nuclear Regulatory Commission or an Agreement State authorizing the holder to manufacture, transfer, install, or service a device described in 105 CMR 120.122(D)(1) within areas subject to the jurisdiction of the licensing body is hereby granted a general license to install, transfer, demonstrate, or service such a device in this State provided that:

- (a) Filing a report with the Agency (Reserved);
- (b) the device has been manufactured, labeled, installed, and serviced in accordance with applicable provisions of the specific license issued to such person by the U.S. Nuclear Regulatory Commission or an Agreement State;

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- (c) such person shall assure that any labels required to be affixed to the device under regulations of the authority which licensed manufacture of the device bear a statement that "Removal of this label is prohibited"; and,
 - (d) the holder of the specific license shall furnish to each general licensee to whom he transfers such device or on whose premises he installs such device a copy of the general license contained in 105 CMR 120.122(D) or in equivalent regulations of the Agency having jurisdiction over the manufacture and distribution of the device.
 - (3) The Agency may withdraw, limit, or qualify its acceptance of any specific license or equivalent licensing document issued by the U.S. Nuclear Regulatory Commission or an Agreement State, or any product distributed pursuant to such licensing document, upon determining that such action is necessary in order to prevent undue hazard to public health and safety or property.
- (B) Exceptions to the General License.
- (1) The general license granted in 105 CMR 120.190(A) to conduct activities in the State does not include activities in areas of exclusive Federal jurisdiction within the State or offshore waters.
 - (2) Authorization for use of radioactive materials in areas of exclusive Federal jurisdiction within the State or offshore waters may be obtained from the U.S. Nuclear Regulatory Commission as provided for in 10 CFR 150.20.

NON-TEXT PAGE

120.195: Appendix A -- Exempt Concentrations

Element (Atomic Number)	Isotope	Column I Gas Concentration $\mu\text{Ci/ml}$ <u>1</u> /	Column II Liquid and solid concentration $\mu\text{Ci/ml}$ <u>2</u> /
Antimony (51)	Sb-122 Sb-124 Sb-125		3×10^{-4} 2×10^{-4} 1×10^{-3}
Argon (18)	Ar-37 Ar-41	1×10^{-3} 4×10^{-7}	
Arsenic (33)	As-73 As-74 As-76 As-77		5×10^{-3} 5×10^{-4} 2×10^{-4} 8×10^{-4}
Barium (56)	Ba-131 Ba-140		2×10^{-3} 3×10^{-4}
Beryllium (4)	Be-7		2×10^{-2}
Bismuth (83)	Bi-206		4×10^{-4}
Bromine (35)	Br-82	4×10^{-7}	3×10^{-3}
Cadmium (48)	Cd-109 Cd-115m Cd-115		2×10^{-3} 3×10^{-4} 3×10^{-4}
Calcium (20)	Ca-45 Ca-47		9×10^{-5} 5×10^{-4}
Carbon (6)	C-14	1×10^{-6}	8×10^{-3}
Cerium (58)	Ce-141 Ce-143 Ce-144		9×10^{-4} 4×10^{-4} 1×10^{-4}
Cesium (55)	Cs-131 Cs-134m Cs-134		2×10^{-2} 6×10^{-2} 9×10^{-5}
Chlorine (17)	Cl-38	9×10^{-7}	4×10^{-3}
Chromium (24)	Cr-51		2×10^{-2}
Cobalt (27)	Co-57 Co-58 Co-60		5×10^{-3} 1×10^{-3} 5×10^{-4}
Copper (29)	Cu-64		3×10^{-3}
Dysprosium (66)	Dy-165 Dy-166		4×10^{-3} 4×10^{-4}
Erbium (68)	Er-169 Er-171		9×10^{-4} 1×10^{-3}

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Element (Atomic Number)	Isotope	Column I Gas Concentration $\mu\text{Ci/ml}$ <u>1</u> /	Column II Liquid and solid concentration $\mu\text{Ci/ml}$ <u>2</u> /
Europium (63)	Eu-152/ (9.2h)		6×10^{-4}
	Eu-155		2×10^{-3}
Fluorine (9)	F-18	2×10^{-6}	8×10^{-3}
Gadolinium (64)	Gd-153		2×10^{-3}
	Gd-159		8×10^{-4}
Gallium (31)	Ga-72		4×10^{-4}
Germanium (32)	Ge-71		2×10^{-2}
Gold (79)	Au-196		2×10^{-3}
	Au-198		5×10^{-4}
	Au-199		2×10^{-3}
Hafnium (72)	Hf-181		7×10^{-4}
Hydrogen (1)	H-3	5×10^{-6}	3×10^{-2}
Indium (49)	In-113m		1×10^{-2}
	In-114m		2×10^{-4}
Iodine (53)	I-126	3×10^{-9}	2×10^{-5}
	I-131	3×10^{-9}	2×10^{-5}
	I-132	8×10^{-8}	6×10^{-4}
	I-133	1×10^{-8}	7×10^{-5}
	I-134	2×10^{-7}	1×10^{-3}
Iridium (77)	Ir-190		2×10^{-3}
	Ir-192		4×10^{-4}
	Ir-194		3×10^{-4}
Iron (26)	Fe-55		8×10^{-3}
	Fe-59		6×10^{-4}
Krypton (36)	Kr-85m	1×10^{-6}	
	Kr-85	3×10^{-6}	
Lanthanum (57)	La-140		2×10^{-4}
Lead (82)	Pb-203		4×10^{-3}
Lutetium (71)	Lu-177		1×10^{-3}
Manganese (25)	Mn-52		3×10^{-4}
	Mn-54		1×10^{-3}
	Mn-56		1×10^{-3}
Mercury (80)	Hg-197m		2×10^{-3}
	Hg-197		3×10^{-3}
	Hg-203		2×10^{-4}
Molybdenum (42)	Mo-99		2×10^{-3}
Neodymium (60)	Nd-147		6×10^{-4}
	Nd-149		3×10^{-3}
Nickel (28)	Ni-65		1×10^{-3}

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Element (Atomic Number)	Isotope	Column I Gas Concentration μCi/ml <u>1</u> /	Column II Liquid and solid concentration μCi/ml <u>2</u> /
Niobium (Columbium) (41)	Nb-95 Nb-97		1X10 ⁻³ 9X10 ⁻³
Osmium (76)	Os-185 Os-191m Os-191 Os-193		7X10 ⁻⁴ 3X10 ⁻² 2X10 ⁻³ 6X10 ⁻⁴
Palladium (46)	Pd-103 Pd-109		3X10 ⁻³ 9X10 ⁻⁴
Phosphorus (15)	P-32 P-33		2X10 ⁻⁴ 1X10 ⁻³
Platinum (78)	Pt-191 Pt-193m Pt-197m Pt-197		1X10 ⁻³ 1X10 ⁻² 1X10 ⁻² 1X10 ⁻³
Potassium (19)	K-42		3X10 ⁻³
Praseodymium (59)	Pr-142 Pr-143		3X10 ⁻⁴ 5X10 ⁻⁴
Promethium (61)	Pm-147 Pm-149		2X10 ⁻³ 4X10 ⁻⁴
Rhenium (75)	Re-183 Re-186 Re-188		6X10 ⁻³ 9X10 ⁻⁴ 6X10 ⁻⁴
Rhodium (45)	Rh-103m Rh-105		1X10 ⁻¹ 1X10 ⁻³
Rubidium (37)	Rb-86		7X10 ⁻⁴
Ruthenium (44)	Ru-97 Ru-103 Ru-105 Ru-106		4X10 ⁻³ 8X10 ⁻⁴ 1X10 ⁻³ 1X10 ⁻⁴
Samarium (62)	Sm-153		8X10 ⁻⁴
Scandium (21)	Sc-46 Sc-47 Sc-48		4X10 ⁻⁴ 9X10 ⁻⁴ 3X10 ⁻⁴
Selenium (34)	Se-75		3X10 ⁻³
Silicon (14)	Si-31		9X10 ⁻³
Silver (47)	Ag-105 Ag-110m Ag-111		1X10 ⁻³ 3X10 ⁻⁴ 4X10 ⁻⁴
Sodium (11)	Na-24		2X10 ⁻³
Strontium (38)	Sr-85 Sr-89 Sr-91 Sr-92		1X10 ⁻³ 1X10 ⁻⁴ 7X10 ⁻⁴ 7X10 ⁻⁴

120.195: continued

Element (Atomic Number)	Isotope	Column I Gas Concentration $\mu\text{Ci/ml}$ <u>1</u> /	Column II Liquid and solid concentration $\mu\text{Ci/ml}$ <u>2</u> /
Sulfur (16)	S-35	9×10^{-8}	6×10^{-4}
Tantalum (73)	Ta-182		4×10^{-4}
Technetium (43)	Tc-96m Tc-96		1×10^{-1} 1×10^{-3}
Tellurium (52)	Te-125m Te-127m Te-127 Te-129m Te-131m Te-132		2×10^{-3} 6×10^{-4} 3×10^{-3} 3×10^{-4} 6×10^{-4} 3×10^{-4}
Terbium (65)	Tb-160		4×10^{-4}
Thallium (81)	Tl-200 Tl-201 Tl-202 Tl-204		4×10^{-3} 3×10^{-3} 1×10^{-3} 1×10^{-3}
Thulium (69)	Tm-170 Tm-171		5×10^{-4} 5×10^{-3}
Tin (50)	Sn-113 Sn-125		9×10^{-4} 2×10^{-4}
Tungsten (Wolfram) (74)	W-181 W-187		4×10^{-3} 7×10^{-4}
Vanadium (23)	V-48		3×10^{-4}
Xenon (54)	Xe-131m Xe-133 Xe-135	4×10^{-6} 3×10^{-6} 1×10^{-6}	
Ytterbium (70)	Yb-175		1×10^{-3}
Yttrium (39)	Y-90 Y-91m Y-91 Y-92 Y-93		2×10^{-4} 3×10^{-2} 3×10^{-4} 6×10^{-4} 3×10^{-4}
Zinc (30)	Zn-65 Zn-69m Zn-69		1×10^{-3} 7×10^{-4} 2×10^{-2}
Zirconium (40)	Zr-95 Zr-97		6×10^{-4} 2×10^{-4}
Beta and/or gamma emitting radioactive material not listed above with half-life of less than three years.		1×10^{-10}	1×10^{-6}

120.195: continued

Note 1: Many radioisotopes transform into isotopes which are also radioactive. In expressing the concentrations in 105 CMR 120.195: *Appendix A*, the activity stated is that of the parent isotope and takes into account the daughters.

Note 2: For purposes of 105 CMR 120.104(A) where there is involved a combination of isotopes, the limit for the combination should be derived as follows: Determine for each isotope in the product the ratio between the radioactivity concentration present in the product and the exempt radioactivity concentration established in 120.195: *Appendix A* for the specific isotope when not in combination. The sum of such ratios may not exceed "1".

Example:
$$\frac{\text{Concentration of Isotope A in Product}}{\text{Exempt concentration of Isotope A}} + \frac{\text{Concentration of Isotope B in Product}}{\text{Exempt concentration of Isotope B}} \leq 1$$

Note 3: To convert $\mu\text{Ci/ml}$ to SI units of megabecquerels per liter multiply the above values by 37.

Example: Zirconium (40) Zr-97 ($2 \times 10^{-4} \mu\text{Ci/ml}$ multiplied by 37 is equivalent to $74 \times 10^{-4} \text{MBq/l}$)

120.196: Appendix B -- Table I Exempt Quantities

<u>Radioactive Material</u>	<u>Micro- curies</u>
Antimony-122 (Sb 122)	100
Antimony-124 (Sb 124)	10
Antimony-125 (Sb 125)	10
Arsenic-73 (As 73)	100
Arsenic-74 (As 74)	10
Arsenic-76 (As 76)	10
Arsenic-77 (As 77)	100
Barium-131 (Ba 131)	10
Barium-133 (Ba 133)	10
Barium-140 (Ba 140)	10
Bismuth-210 (Bi 210)	1
Bromine-82 (Br 82)	10
Cadmium-109 (Cd 109)	10
Cadmium-115m (Cd 115m)	10
Cadmium-115 (Cd 115)	100
Calcium-45 (Ca 45)	10
Calcium-47 (Ca 47)	10
Carbon-14 (C 14)	100
Cerium-141 (Ce 141)	100
Cerium-143 (Ce 143)	100
Cerium-144 (Ce 144)	1
Cesium-129 (Cs 129)	100
Cesium-131 (Cs 131)	1,000
Cesium-134m (Cs 134m)	100
Cesium-134 (Cs 134)	1
Cesium-135 (Cs 135)	10
Cesium-136 (Cs 136)	10
Cesium-137 (Cs 137)	10
Chlorine-36 (Cl 36)	10
Chlorine-38 (Cl 38)	10
Chromium-51 (Cr 51)	1,000
Cobalt-57 (Co 57)	100
Cobalt-58m (Co 58m)	10
Cobalt-58 (Co 58)	10
Cobalt-60 (Co 60)	1
Copper-64 (Cu 64)	100
Dysprosium-165 (Dy 165)	10
Dysprosium-166 (Dy 166)	100
Erbium-169 (Er 169)	100
Erbium-171 (Er 171)	100
Europium-152 (Eu 152)9.2h	100
Europium-152 (Eu 152)13 yr	1
Europium-154 (Eu 154)	1
Europium-155 (Eu 155)	10
Fluorine-18 (F 18)	1,000
Gadolinium-153 (Gd 153)	10
Gadolinium-159 (Gd 159)	100
Gallium-67 (Ga 67)	100
Gallium-72 (Ga 72)	10
Germanium-68 (Ge 68)	10
Germanium-71 (Ge 71)	100
Gold-195 (Au 195)	10
Gold-198 (Au 198)	100
Gold-199 (Au 199)	100
Hafnium-181 (Hf 181)	10
Holmium-166 (Ho 166)	100

120.196 Table 1: continued

<u>Radioactive Material</u>	<u>Micro- curies</u>
Hydrogen-3 (H 3)	1,000
Indium-111 (In 111)	100
Indium-113m (In 113m)	100
Indium-114m (In 114m)	10
Indium-115m (In 115m)	100
Indium-115 (In 115)	10
Iodine-123 (I 123)	100
Iodine-125 (I 125)	1
Iodine-126 (I 126)	1
Iodine-129 (I 129)	0.1
Iodine-131 (I 131)	1
Iodine-132 (I 132)	10
Iodine-133 (I 133)	1
Iodine-134 (I 134)	10
Iodine-135 (I 135)	10
Iridium-192 (Ir 192)	10
Iridium-194 (Ir 194)	100
Iron-52 (Fe 52)	10
Iron-55 (Fe 55)	100
Iron-59 (Fe 59)	10
Krypton-85 (Kr 85)	100
Krypton-87 (Kr 87)	10
Lanthanum-140 (La 140)	10
Lutetium-177 (Lu 177)	100
Manganese-52 (Mn 52)	10
Manganese-54 (Mn 54)	10
Manganese-56 (Mn 56)	10
Mercury-197m (Hg 197m)	100
Mercury-197 (Hg 197)	100
Mercury-203 (Hg 203)	10
Molybdenum-99 (Mo 99)	100
Neodymium-147 (Nd 147)	100
Neodymium-149 (Nd 149)	100
Nickel-59 (Ni 59)	100
Nickel-63 (Ni 63)	10
Nickel-65 (Ni 65)	100
Niobium-93m (Nb 93m)	10
Niobium-95 (Nb 95)	10
Niobium-97 (Nb 97)	10
Osmium-185 (Os 185)	10
Osmium-191m (Os 191m)	100
Osmium-191 (Os 191)	100
Osmium-193 (Os 193)	100
Palladium-103 (Pd 103)	100
Palladium-109 (Pd 109)	100
Phosphorus-32 (P 32)	10
Phosphorus-33 (P 33)	100
Platinum-191 (Pt 191)	100
Platinum-193m (Pt 193m)	100
Platinum-193 (Pt 193)	100
Platinum-197m (Pt 197m)	100
Platinum-197 (Pt 197)	100
Polonium-210 (Po 210)	0.1
Potassium-42 (K 42)	10
Potassium-43 (K 43)	10
Praseodymium-142 (Pr 142)	100
Praseodymium-143 (Pr 143)	100

120.196 Table 1: continued

<u>Radioactive Material</u>	<u>Micro- curies</u>
Promethium-147 (Pm 147)	10
Promethium-149 (Pm 149)	10
Rhenium-186 (Re 186)	100
Rhenium-188 (Re 188)	100
Rhodium-103m (Rh 103m)	100
Rhodium-105 (Rh 105)	100
Rubidium-81 (Rb 81)	10
Rubidium-86 (Rb 86)	10
Rubidium-87 (Rb 87)	10
Ruthenium-97 (Ru 97)	100
Ruthenium-103 (Ru 103)	10
Ruthenium-105 (Ru 105)	10
Ruthenium-106 (Ru 106)	1
Samarium-151 (Sm 151)	10
Samarium-153 (Sm 153)	100
Scandium-46 (Sc 46)	10
Scandium-47 (Sc 47)	100
Scandium-48 (Sc 48)	10
Selenium-75 (Se 75)	10
Silicon-31 (Si 31)	100
Silver-105 (Ag 105)	10
Silver-110m (Ag 110m)	1
Silver-111 (Ag 111)	100
Sodium-22 (Na 22)	10
Sodium-24 (Na 24)	10
Strontium-85 (Sr 85)	10
Strontium-89 (Sr 89)	1
Strontium-90 (Sr 90)	0.1
Strontium-91 (Sr 91)	10
Strontium-92 (Sr 92)	10
Sulphur-35 (S 35)	100
Tantalum-182 (Ta 182)	10
Technetium-96 (Tc 96)	10
Technetium-97m (Tc 97m)	100
Technetium-97 (Tc 97)	100
Technetium-99m (Tc 99m)	100
Technetium-99 (Tc 99)	10
Tellurium-125m (Te 125m)	10
Tellurium-127m (Te 127m)	10
Tellurium-127 (Te 127)	100
Tellurium-129m (Te 129m)	10
Tellurium-129 (Te 129)	100
Tellurium-131m (Te 131m)	10
Tellurium-132 (Te 132)	10
Terbium-160 (Tb 160)	10
Thallium-200 (Tl 200)	100
Thallium-201 (Tl 201)	100
Thallium-202 (Tl 202)	100
Thallium-204 (Tl 204)	10
Thulium-170 (Tm 170)	10
Thulium-171 (Tm 171)	10
Tin-113 (Sn 113)	10
Tin-125 (Sn 125)	10
Tungsten-181 (W 181)	10
Tungsten-185 (W 185)	10
Tungsten-187 (W 187)	100
Vanadium-48 (V 48)	10

120.196 Table 1: continued

Radioactive Material	Micro- curies
Xenon-131m (Xe 131m)	1,000
Xenon-133 (Xe 133)	100
Xenon-135 (Xe 135)	100
Ytterbium-175 (Yb 175)	100
Yttrium-87 (Y 87)	10
Yttrium-88 (Y 88)	10
Yttrium-90 (Y 90)	10
Yttrium-91 (Y 91)	10
Yttrium-92 (Y 92)	100
Yttrium-93 (Y 93)	100
Zinc-65 (Zn 65)	10
Zinc-69m (Zn 69m)	100
Zinc-69 (Zn 69)	1,000
Zirconium-93 (Zr 93)	10
Zirconium-95 (Zr 95)	10
Zirconium-97 (Zr 97)	10
Any radioactive material not listed above other than alpha-emitting radioactive material	0.1

Note 1: For purposes of 105 CMR 100.125(C)(3) where there is involved a combination of isotopes, the limit for the combination should be derived as follows:

Determine the amount of each isotope possessed and 1,000 times the amount in 105 CMR 120.196: *Appendix B, Table 1* for each of those isotopes when not in combination. The sum of the ratios of those quantities may not exceed 1.

Example:

Amt

of

Isotope

A

possessed

1000

x

Appendix

B

Table

1

quantity

for Isotope A

+

Amt

of

Isotope

B

possessed

1000

x

Appendix

B

Table

1

quantity

for Isotope B

< 1

Note 2: To convert microcuries (μCi) to SI units of kilobecquerels (kBq), multiply the above values by 37.

Example: Zirconium-97 (10 μCi multiplied by 37 is equivalent to 370 kBq).

105 CMR: DEPARTMENT OF PUBLIC HEALTH

120.196: Table II -- Quantities For Use With 105 CMR 120.125(C)(1)

<u>Material</u>	<u>Microcuries</u>
Americium-241	0.01
Antimony-122	100.00
Antimony-124	10.00
Antimony-125	10.00
Arsenic-73	100.00
Arsenic-74	10.00
Arsenic-76	10.00
Arsenic-77	100.00
Barium-131	10.00
Barium-133	10.00
Barium-140	10.00
Bismuth-210	1.00
Bromine-82	10.00
Cadmium-109	10.00
Cadmium-115m	10.00
Cadmium-115	100.00
Calcium-45	10.00
Calcium-47	10.00
Carbon-14	100.00
Cerium-141	100.00
Cerium-143	100.00
Cerium-144	1.00
Cesium-131	1,000.00
Cesium-134m	100.00
Cesium-134	1.0
Cesium-135	10.00
Cesium-136	10.00
Cesium-137	10.00
Chlorine-36	10.00
Chlorine-38	10.00
Cobalt-57	10.00
Chromium-51	1,000.00
Cobalt-58m	10.00
Cobalt-58	10.00
Cobalt-60	1.00
Copper-64	100.00
Dysprosium-165	10.00
Dysprosium-166	100.00
Erbium-169	100.00
Erbium-171	100.00
Europium-152 (9.2 h)	100.00
Europium-152 (13 yr)	1.00
Europium-154	1.00
Europium-155	10.00
Fluorine-18	1,000.00
Gadolinium-153	10.00
Gadolinium-159	100.00
Gallium-72	10.00
Germanium-71	100.00
Gold-198	100.00
Gold-199	100.00
Hafnium-181	10.00
Holmium-166	100.00
Hydrogen-3	1,000.00
Indium-113m	100.00
Indium-114m	10.00
Indium-115m	100.00
Indium-115	10.00
Iodine-125	1.00

120.196 Table II: continued

<u>Material</u>	<u>Microcuries</u>
Iodine-126	1.00
Iodine-129	0.1
Iodine-131	1.0
Iodine-132	10.00
Iodine-133	1.00
Iodine-134	10.00
Iodine-135	10.00
Iridium-192	10.00
Iridium-194	100.00
Iron-55	100.00
Iron-59	10.00
Krypton-85	100.00
Krypton-87	10.00
Lanthanum-140	10.00
Lutetium-177	100.00
Manganese-52	10.00
Manganese-54	10.00
Manganese-56	10.00
Mercury-197m	100.00
Mercury-197	100.00
Mercury-203	10.00
Molybdenum-99	100.00
Neodymium-147	100.00
Neodymium-149	100.00
Nickel-59	100.00
Nickel-63	10.00
Nickel-65	100.00
Niobium-93m	10.00
Niobium-95	10.00
Niobium-97	10.00
Osmium-185	10.00
Osmium-191m	100.00
Osmium-191	100.00
Osmium-193	100.00
Palladium-103	100.00
Palladium-109	100.00
Phosphorus-32	10.00
Phosphorus-33	100.00
Platinum-191	100.00
Platinum-193m	100.00
Platinum-193	100.00
Platinum-197m	100.00
Platinum-197	100.00
Plutonium-239	0.01
Polonium-210	0.1
Potassium-42	10.00
Praseodymium-142	100.00
Praseodymium-143	100.00
Promethium-147	10.00
Promethium-149	10.00
Radium-226	0.01
Rhenium-186	100.00
Rhenium-188	100.00
Rhodium-103m	100.00
Rhodium-105	100.00
Rubidium-86	10.00
Rubidium-87	10.00
Ruthenium-97	100.00

120.196 Table II: continued

<u>Material</u>	<u>Microcuries</u>
Ruthenium-103	10.00
Ruthenium-105	10.00
Ruthenium-106	1.00
Samarium-151	10.00
Samarium-153	100.00
Scandium-46	10.00
Scandium-47	100.00
Scandium-48	10.00
Selenium-75	10.00
Silicon-31	100.00
Silver-105	10.00
Silver-110m	1.00
Silver-111	100.00
Sodium-22	1.0
Sodium-24	10.00
Strontium-85	10.00
Strontium-89	1.00
Strontium-90	0.1
Strontium-91	10.00
Strontium-92	10.00
Sulphur-35	100.00
Tantalum-182	10.00
Technetium-96	10.00
Technetium-97m	100.00
Technetium-97	100.00
Technetium-99m	100.00
Technetium-99	10.00
Tellurium-125m	10.00
Tellurium-127m	10.00
Tellurium-127	100.00
Tellurium-129m	10.00
Tellurium-129	100.00
Tellurium-131m	10.00
Tellurium-132	10.00
Terbium-160	10.00
Thallium-200	100.00
Thallium-201	100.00
Thallium-202	100.00
Thallium-204	10.00
Thorium (natural)	100.00
Thulium-170	10.00
Thulium-171	10.00
Tin-113	10.00
Tin-125	10.00
Tungsten-181	10.00
Tungsten-185	10.00
Tungsten-187	100.00
Uranium (natural)	100.00
Uranium-233	0.01
Uranium-234/235	0.01
Vanadium-48	10.00
Xenon-131m	1,000.00
Xenon-133	100.00
Xenon-135	100.00
Ytterbium-175	100.00
Yttrium-90	10.00
Yttrium-91	10.00
Yttrium-92	100.00

120.196 Table II: continued

<u>Material</u>	<u>Microcuries</u>
Yttrium-93	100.00
Zinc-65	10.00
Zinc-69m	100.00
Zinc-69	1,000.00
Zirconium-93	10.00
Zirconium-95	10.00
Zirconium-97	10.00
Any alpha-emitting radionuclide not listed above or mixtures of alpha emitters of unknown composition	0.01
Any radionuclide other than alpha-emitting radionuclides, not listed above or mixtures of beta emitters of unknown composition	0.1

Note: For purposes of 105 CMR 120.125(C)(1), where there is involved a combination of radionuclides in known amounts, the limit for the combination should be derived as follows: Determine, for each radionuclide in the combination, the ratio between the quantity present in the combination and the limit otherwise established for the specific radionuclide when not in combination. The sum of such ratios for all the radionuclides in the combination is R.

Note: To convert microcuries (μCi) to SI units of kilobecquerels (kBq), multiply the above values by 37.

Example: Zirconium-97 (10 μCi) (37) = 370 kBq.
(10 μCi multiplied by 37 is equivalent to 370 kBq)

120.196: Table III Quantities of Radioactive Materials Requiring Consideration of the Need for an Emergency Plan for Responding to a Release

<u>Radioactive Material</u> ¹	<u>Release fraction</u>	<u>Quantity(Ci)</u>
Actinium-228	0.001	4,000
Americium-241	0.001	2
Americium-242	0.001	2
Americium-243	0.001	2
Antimony-124	0.01	4,000
Antimony-126	0.01	6,000
Barium-133	0.01	10,000
Barium-140	0.01	30,000
Bismuth-207	0.01	5,000
Bismuth-210	0.01	600
Cadmium-109	0.01	1,000
Cadmium-113	0.01	80
Calcium-45	0.01	20,000
Californium-252	0.001	9 (20mg)
Carbon-14	0.01	50,000
	Non CO	
Cerium-141	0.01	10,000
Cerium-144	0.01	300
Cesium-134	0.01	2,000
Cesium-137	0.01	3,000
Chlorine-36	0.5	100
Chromium-51	0.01	300,000
Cobalt-60	0.001	5,000
Copper-64	0.01	200,000
Curium-242	0.001	60
Curium-243	0.001	3
Curium-244	0.001	4
Curium-245	0.001	2
Europium-152	0.01	500
Europium-154	0.01	400
Europium-155	0.01	3,000
Germanium-68	0.01	2,000
Gadolinium-153	0.01	5,000
Gold-198	0.01	30,000
Hafnium-172	0.01	400
Hafnium-181	0.01	7,000
Holmium-166m	0.01	100
Hydrogen-3	0.5	20,000
Indium-114m	0.01	1,000
Iodine-125	0.5	10
Iodine-131	0.5	10
Iridium-192	0.001	40,000
Iron-55	0.01	40,000
Iron-59	0.01	7,000
Krypton-85	1.0	6000000
Lead-210	0.01	8
Manganese-56	0.01	60,000
Mercury-203	0.01	10,000
Molybdenum-99	0.01	30,000
Neptunium-237	0.001	2
Nickel-63	0.01	20,000
Niobium-94	0.01	300
Phosphorus-32	0.5	100

¹ For combinations of radioactive materials, consideration of the need for an emergency plan is required if the sum of the ratios of the quantity of each radioactive material authorized to the quantity listed for that material in Table III exceeds one.

120.196 Table III: continued

<u>Radioactive Material</u> ¹	<u>Release fraction</u>	<u>Quantity(Ci)</u>
Phosphorus-33	0.5	1,000
Polonium-210	0.01	10
Potassium-42	0.01	9,000
Promethium-145	0.01	4,000
Promethium-147	0.01	4,000
Ruthenium-106	0.01	200
Samarium-151	0.01	4,000
Scandium-46	0.01	3,000
Selenium-75	0.01	10,000
Silver-110m	0.01	1,000
Sodium-22	0.01	9,000
Sodium-24	0.01	10,000
Strontium-89	0.01	3,000
Strontium-90	0.01	90
Sulphur-35	0.5	900
Technetium-99	0.01	10,000
Technetium-99m	0.01	400,000
Tellurium-127m	0.01	5,000
Tellurium-129m	0.01	5,000
Terbium-160	0.01	4,000
Thulium-170	0.01	4,000
Tin-113	0.01	10,000
Tin-123	0.01	3,000
Tin-126	0.01	1,000
Titanium-44	0.01	100
Vanadium-48	0.01	7,000
Xenon-133	1.0	900,000
Yttrium-91	0.01	2,000
Zinc-65	0.01	5,000
Zirconium-93	0.01	400
Zirconium-95	0.01	5,000
Any other beta-gamma emitter	0.01	10,000
Mixed fission products	0.01	1,000
Mixed corrosion products	0.01	10,000
Contaminated equipment β - γ	0.001	10,000
Irradiated material, any form other than solid noncombustible	0.01	1,000
Irradiated material, solid noncombustible	0.001	10,000
Mixed radioactive waste, β - γ	0.01	1,000
Packaged mixed waste ³	0.001	10,000
Contaminated equipment, alpha	0.0001	20
Any other alph emitter	0.001	2
Packaged waste alpha ²	0.0001	20
Combinations of radioactive materials listed ¹	-----	----

¹ For combinations of radioactive materials, consideration of the need for an emergency plan is required if the sum of the ratios of the quantity of each radioactive material authorized to the quantity listed for that material in Table III exceeds one.

² For combinations of radioactive materials, consideration of the need for an emergency plan is required if the sum of the ratios of the quantity of each radioactive material authorized to the quantity listed for the material in Table III exceeds one.

³ Waste packaged in Type B containers does not require an emergency plan.

120.197: Appendix C -- Limits for Broad Licenses

RADIOACTIVE MATERIAL	COLUMN I CURIES	COLUMN II CURIES
Antimony-122	1.0	0.01
Antimony-124	1.0	0.01
Antimony-125	1.0	0.01
Arsenic-73	10.0	0.1
Arsenic-74	1.0	0.01
Arsenic-76	1.0	0.01
Arsenic-77	10.0	0.1
Barium-131	10.0	0.1
Barium-140	1.0	0.01
Beryllium-7	10.0	0.1
Bismuth-210	0.1	0.001
Bromine-82	10.0	0.1
Cadmium-109	1.0	0.01
Cadmium-115m	1.0	0.01
Cadmium-115	10.0	0.1
Calcium-45	1.0	0.01
Calcium-47	10.0	0.1
Carbon-14	100.0	1.0
Cerium-141	10.0	0.1
Cerium-143	10.0	0.1
Cerium-144	0.1	0.001
Cesium-131	100.0	1.0
Cesium-134m	100.0	1.0
Cesium-134	0.1	0.001
Cesium-135	1.0	0.01
Cesium-136	10.0	0.1
Cesium-137	0.1	0.001
Chlorine-36	1.0	0.01
Chlorine-38	100.0	1.0
Chromium-51	100.0	1.0
Cobalt-57	10.0	0.1
Cobalt-58m	100.0	1.0
Cobalt-58	1.0	0.01
Cobalt-60	0.1	0.001
Copper-64	10.0	0.1
Dysprosium-165	100.0	1.0

120.197: continued

RADIOACTIVE MATERIAL	COLUMN I CURIES	COLUMN II CURIES
Dysprosium-166	10.0	0.1
Erbium-169	10.0	0.1
Erbium-171	10.0	0.1
Europium-152 (9.2 h)	10.0	0.1
Europium-152 (13 y)	0.1	0.001
Europium-154	0.1	0.001
Europium-155	1.0	0.01
Fluorine-18	100.0	1.0
Gadolinium-153	1.0	0.01
Gadolinium-159	10.0	0.1
Gallium-72	10.0	0.1
Germanium-71	100.0	1.0
Gold-198	10.0	0.1
Gold-199	10.0	0.1
Hafnium-181	1.0	0.01
Holmium-166	10.0	0.1
Hydrogen-3	100.0	1.0
Indium-113m	100.0	1.0
Indium-114m	1.0	0.01
Indium-115m	100.0	1.0
Indium-115	1.0	0.01
Iodine-125	0.1	0.001
Iodine-126	0.1	0.001
Iodine-129	0.1	0.001
Iodine-131	0.1	0.001
Iodine-132	10.0	0.1
Iodine-133	1.0	0.01
Iodine-134	10.0	0.1
Iodine-135	1.0	0.01
Iridium-192	1.0	0.01
Iridium-194	10.0	0.1
Iron-55	10.0	0.1
Iron-59	1.0	0.01
Krypton-85	100.0	1.0
Krypton-87	10.0	0.1

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RADIOACTIVE MATERIAL	COLUMN I CURIES	COLUMN II CURIES
Lanthanum-140	1.0	0.01
Lutetium-177	10.0	0.1
Manganese-52	1.0	0.01
Manganese-54	1.0	0.01
Manganese-56	10.0	0.1
Mercury-197m	10.0	0.1
Mercury-197	10.0	0.1
Mercury-203	1.0	0.01
Molybdenum-99	10.0	0.1
Neodymium-147	10.0	0.1
Neodymium-149	10.0	0.1
Nickel-59	10.0	0.1
Nickel-63	1.0	0.01
Nickel-65	10.0	0.1
Niobium-93m	1.0	0.01
Niobium-95	1.0	0.01
Niobium-97	100.0	1.0
Osmium-185	1.0	0.01
Osmium-191m	100.0	1.0
Osmium-191	10.0	0.1
Osmium-193	10.0	0.1
Palladium-103	10.0	0.1
Palladium-109	10.0	0.1
Phosphorus-32	1.0	0.01
Phosphorus-33	10.0	0.1
Platinum-191	10.0	0.1
Platinum-193m	100.0	1.0
Platinum-193	10.0	0.1
Platinum-197m	100.0	1.0
Platinum-197	10.0	0.1
Polonium-210	0.01	0.0001
Potassium-42	1.0	0.01
Praseodymium-142	10.0	0.1
Praseodymium-143	10.0	0.1
Promethium-147	1.0	0.01
Promethium-149	10.0	0.1

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RADIOACTIVE MATERIAL	COLUMN I CURIES	COLUMN II CURIES
Radium-226	0.01	0.0001
Rhenium-186	10.0	0.1
Rhenium-188	10.0	0.1
Rhodium-103m	1,000.0	10.0
Rhodium-105	10.0	0.1
Rubidium-86	1.0	0.01
Rubidium-87	1.0	0.01
Ruthenium-97	100.0	1.0
Ruthenium-103	1.0	0.01
Ruthenium-105	10.0	0.1
Ruthenium-106	0.1	0.001
Samarium-151	1.0	0.01
Samarium-153	10.0	0.1
Scandium-46	1.0	0.01
Scandium-47	10.0	0.1
Scandium-48	1.0	0.01
Selenium-75	1.0	0.01
Silicon-31	10.0	0.1
Silver-105	1.0	0.01
Silver-110m	0.1	0.001
Silver-111	10.0	0.1
Sodium-22	0.1	0.001
Sodium-24	1.0	0.01
Strontium-85m	1,000.0	10.0
Strontium-85	1.0	0.01
Strontium-89	1.0	0.01
Strontium-90	0.01	0.0001
Strontium-91	10.0	0.1
Strontium-92	10.0	0.1
Sulphur-35	10.0	0.1
Tantalum-182	1.0	0.01
Technetium-96	10.0	0.1
Technetium-97m	10.0	0.1
Technetium-97	10.0	0.1
Technetium-99m	100.0	1.0
Technetium-99	1.0	0.01

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RADIOACTIVE MATERIAL	COLUMN I CURIES	COLUMN II CURIES
Tellurium-125m	1.0	0.01
Tellurium-127m	1.0	0.01
Tellurium-127	10.0	0.1
Tellurium-129m	1.0	0.01
Tellurium-129	100.0	1.0
Tellurium-131m	10.0	0.1
Tellurium-132	1.0	0.01
Terbium-160	1.0	0.01
Thallium-200	10.0	0.1
Thallium-201	10.0	0.1
Thallium-202	10.0	0.1
Thallium-204	1.0	0.01
Thulium-170	1.0	0.01
Thulium-171	1.0	0.01
Tin-113	1.0	0.01
Tin-125	1.0	0.01
Tungsten-181	1.0	0.01
Tungsten-185	1.0	0.01
Tungsten-187	10.0	0.1
Vanadium-48	1.0	0.01
Xenon-131m	1,000.0	10.0
Xenon-133	100.0	1.0
Xenon-135	100.0	1.0
Ytterbium-175	10.0	0.1
Yttrium-90	1.0	0.01
Yttrium-91	1.0	0.01
Yttrium-92	10.0	0.1
Yttrium-93	1.0	0.01
Zinc-65	1.0	0.01
Zinc-69m	10.0	0.1
Zinc-69	100.0	1.0
Zirconium-93	1.0	0.01
Zirconium-95	1.0	0.01

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RADIOACTIVE MATERIAL	COLUMN I CURIES	COLUMN II CURIES
Zirconium-97	1.0	0.01
Any Radioactive material other than source material, special nuclear material, or alpha emitting radioactive material not listed above.	0.1	0.001

Note 1: To convert curies (Ci) to SI units of gigabecquerels (GBq), multiply the above values by 37.

Example: Zirconium-97 (Col. II) (0.01 Ci multiplied by 37 is equivalent to 0.37 GBq)

120.198: Appendix D: Criteria Relating to Use of Financial Tests and Parent Company Guarantees for Providing Reasonable Assurance of Funds for Decommissioning

I. Introduction. An applicant or licensee may provide reasonable assurance of the availability of funds for decommissioning based on obtaining a parent company guarantee that funds will be available for decommissioning costs and on a demonstration that the parent company passes a financial test. This appendix establishes criteria for passing the financial test and for obtaining the parent company guarantee.

II. Financial Test.

(A) To pass the financial test, the parent company must meet the criteria of either II.A.1 or II.A.2:

(1) The parent company must have:

- (a) Two of the following three ratios: A ratio of total liabilities to net worth less than 2.0; a ratio of the sum of net income plus depreciation, depletion, and amortization to total liabilities greater than 0.1; and a ratio of current assets to current liabilities greater than 1.5; and,
- (b) Net working capital and tangible net worth each at least six times the current decommissioning cost estimates (or prescribed amount if a certification is used); and,
- (c) Tangible net worth of at least \$10 million; and,
- (d) Assets located in the United States amounting to at least 90% of total assets or at least six times the current decommissioning cost estimates (or prescribed amount if a certification is used).

(2) The parent company must have:

- (a) A current rating for its most recent bond issuance of AAA, AA, A, or BBB as issued by Standard and Poor's or Aaa, Aa, A, or Baa as issued by Moody's; and,
- (b) Tangible net worth at least six times the current decommissioning cost estimate (or prescribed amount if a certification is used); and,
- (c) Tangible net worth of at least \$10 million; and,
- (d) Assets located in the United States amounting to at least 90% of total assets or at least six times the current decommissioning cost estimates (or prescribed amount if certification is used).

(B) The parent company's independent certified public accountant must have compared the data used by the parent company in the financial test, which is derived from the independently audited, year-end financial statement. In connection with that procedure, the licensee shall inform the Agency within 90 days of any matters coming to the auditor's attention that cause the auditor to believe that the data specified in the financial test should be adjusted and that the company no longer passes the test.

(C) (1) After the initial financial test, the parent company must repeat the passage of the test within 90 days after the close of each succeeding fiscal year.

(2) If the parent company no longer meets the requirements of II.A, the licensee must send notice to the Agency of intent to establish alternate financial assurance as specified in the Commission's regulations. The notice must be sent by certified mail within 90 days after the end of the fiscal year for which the year end financial data show that the parent company no longer meets the financial test requirements. The licensee must provide alternate financial assurance within 120 days after the end of such fiscal year.

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III. Parent Company Guarantee. The terms of a parent company guarantee that an applicant or licensee obtains must provide that:

(A) The parent company guarantee will remain in force unless the guarantor sends notice of cancellation by certified mail to the licensee and the Agency. Cancellation may not occur, however, during the 120 days beginning on the date of receipt of the notice of cancellation by both the licensee and the Agency, as evidenced by the return receipts.

(B) If the licensee fails to provide alternate financial assurance as specified in the Agency's regulations within 90 days after receipt by the licensee and the Agency of a notice of cancellation of the parent company guarantee from the guarantor, the guarantor will provide such alternative financial assurance in the name of the licensee.

(C) The parent company guarantee and financial test provisions must remain in effect until the Agency has terminated the license.

(D) If a trust is established for decommissioning costs, the trustee and trust must be acceptable to the Agency. An acceptable trustee includes an appropriate state or federal government agency or an entity that has the authority to act as a trustee and whose trust operations are regulated and examined by a federal or state agency.

120.198: Appendix E: Criteria Relating to Use of Financial Tests and Self Guarantees for Providing Reasonable Assurance of Funds for Decommissioning

I. Introduction. An applicant or licensee may provide reasonable assurance of the availability of funds for decommissioning based on furnishing its own guarantee that funds will be available for decommissioning costs and on a demonstration that the company passes the financial test of 105 CMR 120.198: *Appendix E*, Section II. The terms of the self-guarantee are in 105 CMR 120.198: *Appendix E*, Section III. 105 CMR 120.198: *Appendix E* establishes criteria for passing the financial test for the self-guarantee and establishes the terms for a self-guarantee.

II. Financial Test.

(A) To pass the financial test, a company must meet all of the following criteria:

- (1) Tangible net worth at least ten times the total current decommissioning cost estimate (or the current amount required if certification is used) for all decommissioning activities for which the company is responsible as self-guaranteeing licensee and as parent-guarantor.
- (2) Assets located in the United States amounting to at least 90% of total assets or at least ten times the total current decommissioning cost estimate (or the current amount required if certification is used) for all decommissioning activities for which the company is responsible as self-guaranteeing licensee and as parent-guarantor.
- (3) A current rating for its most recent bond issuance of AAA, AA, or A as issued by Standard and Poors (S&P), Aaa, As, or A as issued by Moodys.

(B) To pass the financial test, a company must meet all of the following additional requirements:

- (1) The company must have at least one class of equity securities registered under the Securities Exchange Act of 1934.
- (2) The company's independent certified public accountant must have compared the data used by the company in the financial test which is derived from the independently audited, yearend financial statements for the latest fiscal year, with the amounts in such financial statement. In connection with that procedure, the licensee shall inform the Agency within 90 days of any matters coming to the attention of the auditor that cause the auditor to believe that the data specified in the financial test should be adjusted and that the company no longer passes the test.
- (3) After the initial financial test, the company must repeat passage of the test within 90 days after the close of each succeeding fiscal year.

(C) If the licensee no longer meets the requirements of 105 CMR 120.198: *Appendix E*, Section II.(A), the licensee must send immediate notice to the Agency of its intent to establish alternate financial assurance as specified in the Agency's regulations within 120 days of such notice.

III. Company Self-Guarantee. The terms of a self-guarantee which an applicant or licensee furnishes must provide that:

- (A) The parent company guarantee will remain in force unless the licensee sends notice of cancellation by certified mail to the Agency. Cancellation may not occur, however, during the 120 days beginning on the date of receipt of the notice of cancellation by the Agency, as evidenced by the return receipts.
- (B) The licensee shall provide alternative financial assurance as specified in the Agency's regulations within 90 days following receipt by the Agency of a notice of cancellation of the guarantee.

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(C) The guarantee and financial test provisions must remain in effect until the Agency has terminated the license or until another financial assurance method acceptable to the Agency has been put in effect by the licensee.

(D) The licensee will promptly forward to the Agency and the licensee's independent auditor all reports covering the latest fiscal year filed by the licensee with the Securities and Exchange Commission pursuant to the requirements of section 13 of the Securities and Exchange Act of 1934.

(E) If, at any time, the licensee's most recent bond issuance ceases to be rated in any category of "A" or above by either Standard and Poors or Moodys, the licensee will provide notice in writing of such fact to the Agency within 20 days after publication of the change by the rating service. If the licensee's most recent bond issuance ceases to be rated in any category of A or above by both Standard and Poors and Moodys, the licensee no longer meets the requirements of 105 CMR 120 198: *Appendix E*, Section II.(A).

(F) The applicant or licensee must provide to the Agency a written guarantee (a written commitment by a corporate officer) which states that the licensee will fund and carry out the required decommissioning activities or, upon issuance of an order by the Agency, the licensee will set up and fund a trust in the amount of the current cost estimates for decommissioning.

120.198: Appendix F: Criteria Relating to Use of Financial Tests and Self Guarantees for Providing Reasonable Assurance of Funds for Decommissioning by Commercial Companies That Have No Outstanding Rated Bonds

I. Introduction. An applicant or licensee may provide reasonable assurance of the availability of funds for decommissioning based on furnishing its own guarantee that funds will be available for decommissioning costs and on a demonstration that the company passes the financial test of 105 CMR 120.198: *Appendix F*, Section II. The terms of the self-guarantee are in 105 CMR 120.198: *Appendix F*, Section III. 105 CMR 120.198: *Appendix F* establishes criteria for passing the financial test for the self-guarantee and establishes the terms for a self-guarantee.

II. Financial Test.

(A) To pass the financial test, a company must meet all of the following criteria:

- (1) Tangible net worth greater than \$10 million, or at least ten times the total current decommissioning cost estimate (or the current amount required if certification is used) whichever is greater, for all decommissioning activities for which the company is responsible as self-guaranteeing licensee and as parent-guarantor.
- (2) Assets located in the United States amounting to at least 90% of total assets or at least ten times the total current decommissioning cost estimate (or the current amount required if certification is used) for all decommissioning activities for which the company is responsible as self-guaranteeing licensee and as parent-guarantor.
- (3) A ratio of cash flow divided by total liabilities greater than 0.15 and a ratio of total liabilities divided by net worth less than 1.5.

(B) In addition, to pass the financial test, a company must meet all of the following additional requirements:

- (1) The company's independent certified public accountant must have compared the data used by the company in the financial test, which is required to be derived from the independently audited year end financial statement based on United States generally accepted accounting practices for the latest fiscal year, with the amounts in such financial statement. In connection with that procedure, the licensee shall inform the Agency within 90 days of any matters that may cause the auditor to believe that the data specified in the financial test should be adjusted and that the company no longer passes the test.
- (2) After the initial financial test, the company must repeat passage of the test within 90 days after the close of each succeeding fiscal year.
- (3) If the licensee no longer meets the requirements of 105 CMR 120.198: *Appendix F*, Section II.(A), the licensee must send immediate notice to the Agency of its intent to establish alternate financial assurance as specified in 105 CMR 120.125(C). The notice must be sent by certified mail, return receipt requested, within 90 days after the end of the fiscal year for which the year end financial data show that the licensee no longer meets the financial test requirements. The licensee must provide alternative financial assurance within 120 days after the end of such fiscal year.

III. Company Self-guarantee. The terms of a self-guarantee which an applicant or licensee furnishes must provide that:

- (A) The guarantee shall remain in force unless the licensee sends notice of cancellation by certified mail, return receipt requested, to the Agency. Cancellation may not occur until an alternative financial assurance mechanism is in place.
- (B) The licensee shall provide alternative financial assurance as specified in the Agency's regulations within 90 days following receipt by the Agency of a notice of cancellation of the guarantee.

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(C) The guarantee and financial test provisions must remain in effect until the Agency has terminated the license or until another financial assurance method acceptable to the Agency has been put in effect by the licensee.

(D) The applicant or licensee must provide to the Agency a written guarantee (a written commitment by a corporate officer) which states that the licensee will fund and carry out the required decommissioning activities or, upon issuance of an order by the Agency, the licensee will set up and fund a trust in the amount of the current cost estimates for decommissioning.

120.198: Appendix G: Criteria Relating to Use of Financial Tests and Self Guarantee for Providing Reasonable Assurance of Funds for Decommissioning by Nonprofit Colleges, Universities, and Hospitals

I. Introduction. An applicant or licensee may provide reasonable assurance of the availability of funds for decommissioning based on furnishing its own guarantee that funds will be available for decommissioning costs and on a demonstration that the applicant or licensee passes the financial test of 105 CMR 120.198: *Appendix G*, Section II. The terms of the self-guarantee are in 105 CMR 120.198: *Appendix G*, Section III. 105 CMR 120.198: *Appendix G* establishes criteria for passing the financial test for the self-guarantee and establishes the terms for a self-guarantee.

II. Financial Test.

(A) For colleges and universities, to pass the financial test a college or university must meet either the criteria in 105 CMR 120.198: *Appendix G*, Section II.(A)(1) or the criteria in 105 CMR 120.198: *Appendix G*, Section II.(A)(2).

(1) For applicants or licensees that issue bonds, a current rating for its most recent uninsured, uncollateralized, and unencumbered bond issuance of AAA, AA, or A as issued by Standard and Poors (S&P) or Aaa, Aa, or A as issued by Moodys.

(2) For applicants or licensees that do not issue bonds, unrestricted endowment consisting of assets located in the United States of at least \$50 million, or at least 30 times the total current decommissioning cost estimate (or the current amount required if certification is used), whichever is greater, for all decommissioning activities for which the college or university is responsible as a self-guaranteeing licensee.

(B) For hospitals, to pass the financial test a hospital must meet either the criteria in 105 CMR 120.198: *Appendix G*, Section II.(B)(1) or the criteria in 105 CMR 120.198: *Appendix G*, Section II.(B)(2):

(1) For applicants or licensees that issue bonds, a current rating for its most recent uninsured, uncollateralized, and unencumbered bond issuance of AAA, AA, or A as issued by Standard and Poors (S&P) or Aaa, Aa, or A as issued by Moodys.

(2) For applicants or licensees that do not issue bonds, all the following tests must be met:

(a) (Total Revenues less total expenditures) divided by total revenues must be equal to or greater than 0.04.

(b) Long term debt divided by net fixed assets must be less than or equal to 0.67.

(c) (Current assets and depreciation fund) divided by current liabilities must be greater than or equal to 2.55.

(d) Operating revenues must be at least 100 times the total current decommissioning cost estimate (or the current amount required if certification is used) for all decommissioning activities for which the hospital is responsible as a self-guaranteeing licensee.

(C) In addition, to pass the financial test, a licensee must meet the following requirements: (for institutions using 105 CMR 120.198: *Appendix G*: Section II, (A)(2) method of qualifying; for a self-guarantee 105 CMR 120.198: *Appendix G*: Sections II(C)(1) and II(C)(2) will apply.

(1) The licensee's independent certified public accountant must have compared the data used by the licensee in the financial test, which is required to be derived from the independently audited year end financial statements, based on United States generally accepted accounting practices, for the latest fiscal year, with the amounts in such financial statement. In connection with that procedure, the licensee shall inform the Agency within 90 days of any matters coming to the attention of the auditor that cause the auditor to believe that the data specified in the financial test should be adjusted and that the licensee no longer passes the test.

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(2) After the initial financial test, the licensee must repeat passage of the test within 90 days after the close of each succeeding fiscal year.

(3) If the licensee no longer meets the requirements of 105 CMR 120.198: *Appendix G*: Section I, the licensee must send notice to the Agency of its intent to establish alternative financial assurance as specified in Agency regulations. The notice must be sent by certified mail, return receipt requested, within 90 days after the end of the fiscal year for which the year end financial data show that the licensee no longer meets the financial test requirements. The licensee must provide alternate financial assurance within 120 days after the end of such fiscal year.

III. Self-guarantee. The terms of a self-guarantee which an applicant or licensee furnishes must provide that:

(A) The guarantee shall remain in force unless the licensee sends notice of cancellation by certified mail, and/or return receipt requested, to the Agency. Cancellation may not occur unless an alternative financial assurance mechanism is in place.

(B) The licensee shall provide alternative financial assurance as specified in 105 CMR 120.125(C) within 90 days following receipt by the Agency of a notice of cancellation of the guarantee.

(C) The guarantee and financial test provisions must remain in effect until the Agency has terminated the license or until another financial assurance method acceptable to the Agency has been put in effect by the licensee.

(D) The applicant or licensee must provide to the Agency a written guarantee (a written commitment by a corporate officer or officer of the institution) which states that the licensee will fund and carry out the required decommissioning activities or, upon issuance of an order by the Agency, the licensee will set up and fund a trust in the amount of the current cost estimates for decommissioning.

(D) If, at any time, the licensee's most recent bond issuance ceases to be rated in any category of "A" or above by either Standard and Poor's or Moody's, the licensee shall provide notice in writing of such fact to the Agency within 20 days after publication of the change by the rating service.

(C) The guarantee and financial test provisions must remain in effect until the Agency has terminated the license or until another financial assurance method acceptable to the Agency has been put in effect by the licensee.

(D) The licensee will promptly forward to the Agency and the licensee's independent auditor all reports covering the latest fiscal year filed by the licensee with the Securities and Exchange Commission pursuant to the requirements of section 13 of the Securities and Exchange Act of 1934.

(E) If, at any time, the licensee's most recent bond issuance ceases to be rated in any category of "A" or above by either Standard and Poors or Moodys, the licensee will provide notice in writing of such fact to the Agency within 20 days after publication of the change by the rating service. If the licensee's most recent bond issuance ceases to be rated in any category of A or above by both Standard and Poors and Moodys, the licensee no longer meets the requirements of 105 CMR 120 199: *Appendix E*, Section II.(A).

(F) The applicant or licensee must provide to the Agency a written guarantee (a written commitment by a corporate officer) which states that the licensee will fund and carry out the required decommissioning activities or, upon issuance of an order by the Agency, the licensee will set up and fund a trust in the amount of the current cost estimates for decommissioning.