

Maria Korsnick  
Chief Nuclear Officer,  
SVP- Chief Operations Officer

Constellation Energy Nuclear Group, LLC  
100 Constellation Way  
Suite 200C  
Baltimore, MD 21202  
Office 410-470-5133  
Fax 443-213-6739  
E-mail: Maria.Korsnick@cengllc.com

**CENG**<sup>SM</sup>

a joint venture of



August 16, 2010

U. S. Nuclear Regulatory Commission  
11555 Rockville Pike  
Rockville, MD 20555-0001

**ATTENTION:** Licensee Clearances

**SUBJECT:**

- Calvert Cliffs Nuclear Power Plant**  
Unit Nos. 1 & 2; Docket Nos. 50-317 & 50-318
- Calvert Cliffs Independent Spent Fuel Storage Installation**  
Docket No. 72-8
- Nine Mile Point Nuclear Station**  
Unit Nos. 1 & 2; Docket Nos. 50-220 & 50-410
- R.E. Ginna Nuclear Power Plant**  
Docket No. 50-244

**Transmittal of FOCI**

- REFERENCES:**
- (a) Letter from H. B. Barron (CENG) to Document Control Desk (NRC), dated June 10, 2008, Transmittal of FOCI and Standard Practice Procedures Plan
  - (b) Letter from R. V. Guzman (NRC) to H. B. Barron (CENG), dated October 30, 2009, Order Superseding October 9, 2009 Order Approving the Transfer of Renewed Facility Operating License Nos. DPR-53 and DPR-69 for the Calvert Cliffs Nuclear Power Plant, Unit Nos. 1 and 2, and Materials License No. SNM-2505 for the Calvert Cliffs Independent Spent Fuel Storage Installation, and Conforming Amendments (TAC Nos. ME0443 and ME0444)
  - (c) Letter from R. V. Guzman (NRC) to H. B. Barron (CENG), dated October 30, 2009, Order Superseding October 9, 2009 Order Approving the Transfer of Renewed Facility Operating License Nos. DPR-63 and NPF-69 for the Nine Mile Point Nuclear Station, Unit Nos. 1 and 2 (TAC Nos. ME0446 and ME0447)

S001A  
NMSS01  
NMSS

- (d) Letter from R. V. Guzman (NRC) to H. B. Barron (CENG), dated October 30, 2009, Order Superseding October 9, 2009 Order Approving the Transfer of Renewed Facility Operating License No. DPR-18 for the R.E. Ginna Nuclear Power Plant (TAC No. ME0445)
- (e) Letter from M. G. Korsnick (CENG) to Document Control Desk (NRC), dated February 1, 2010, Notice Regarding Name Change of EDF Development Inc.

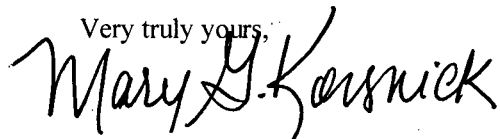
Reference (a) transmitted the Constellation Energy Nuclear Group, LLC (CENG) five-year report on foreign ownership, control and influence. Attachment (1) updates Form SF 328, Certificate Pertaining to Foreign Interests, to reflect a recent joint venture indirectly between Constellation Energy Group, Inc. (CEG) and EDF Inc. One of the areas in which the Nuclear Regulatory Commission (NRC) staff reviewed and approved the current structure of the joint venture was through a Foreign Ownership, Control or Influence (FOCI) determination (References (b) through (d)). EDF Inc. is a U.S. Corporation organized under the laws of the State of Delaware and a wholly-owned subsidiary of E.D.F. International S.A., a société anonyme organized under the laws of France. Attachment (2) contains lists of officers and directors for Constellation Energy Nuclear Group, LLC, Constellation Nuclear Power Plants, LLC, Calvert Cliffs Nuclear Power Plant, LLC, R.E. Ginna Nuclear Power Plant, LLC, and Nine Mile Point Nuclear Station, LLC. Each of those lists of officers and directors includes at least one foreign national employed by an EDF entity.

The governance structure established for CENG is designed to assure continued U.S. control over CENG with respect to matters related to nuclear safety, security, and reliability. This structure was reviewed and approved by the NRC staff in References (b) through (d). The transaction with EDF Inc. did not affect the qualifications of CENG and its subsidiaries to own and operate their licensed facilities in accordance with the existing licenses. The transaction did not result in any change in the role of CENG's subsidiaries as the licensed operators of the facilities and did not result in any adverse changes to their financial qualifications, decommissioning funding assurance, or technical qualifications. No physical changes were made to the facilities, and there were no adverse changes in day-to-day operations as a result of the transaction. The transaction neither adversely impacted public health and safety, nor was it inimical to the common defense and security.

References (b) through (d) are NRC Orders approving the CENG joint venture between CEG and EDF Development Inc. EDF Development Inc. later changed its name to EDF Inc., as reported to the Nuclear Regulatory Commission in Reference (e).

Should you have questions regarding this matter, please contact Mr. Bruce S. Montgomery at 410-470-5388 or [Bruce.Montgomery@cengllc.com](mailto:Bruce.Montgomery@cengllc.com).

Very truly yours,



Mary G. Korsnick  
Senior Vice-President & Chief Nuclear  
Officer

Licensee Clearances  
August 16, 2010  
Page 3

Attachments: (1) Constellation Energy Nuclear Group, LLC Foreign Ownership, Control, or Influence  
Determination  
(2) Lists of Officers and Directors

cc: Document Control Desk, NRC  
D. V. Pickett, NRC  
R. V. Guzman, NRC  
M. L. Dapas, NRC  
M. F. Weber, NMSS

Resident Inspector, NRC (Calvert Cliffs)  
Resident Inspector, NRC (Ginna)  
Resident Inspector, NRC (Nine Mile)  
S. Gray, Maryland DNR

**ATTACHMENT (1)**

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**CONSTELLATION ENERGY NUCLEAR GROUP, LLC  
FOREIGN OWNERSHIP, CONTROL OR INFLUENCE  
DETERMINATION**

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# **CERTIFICATE PERTAINING TO FOREIGN INTERESTS**

*(Type or print all answers)*

OMB No. 0704-0194  
OMB approval expires  
May 31, 2011

**PLEASE DO NOT RETURN YOUR FORM TO THE ORGANIZATION IN THE PARAGRAPH BELOW. RETURN COMPLETED FORM TO YOUR RESPECTIVE COGNIZANT SECURITY OFFICE.**

The public reporting burden for this collection of information is estimated to average 70 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Department of Defense, Washington Headquarters Services, Executive Services Directorate, Information Management Division, 1155 Defense Pentagon, Washington, DC 20301-1155 (0704-0194). Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.

## **PENALTY NOTICE**

Failure to answer all questions or any misrepresentation (by omission or concealment, or by misleading, false or partial answers) may serve as a basis for denial of clearance for access to classified information. In addition, Title 18, United States Code 1001, makes it a criminal offense, punishable by a maximum of five (5) years imprisonment, \$15,000 fine

or both, knowingly to make a false statement or representation to any Department or Agency of the United States, as to any matter within the jurisdiction of any Department or Agency of the United States. This includes any statement made herein which is knowingly incorrect, incomplete or misleading in any important particular.

## **PROVISIONS**

1. This report is authorized by the Secretary of Defense, as Executive Agent for the National Industrial Security Program, pursuant to Executive Order 12829. While you are not required to respond, your eligibility for a facility security clearance cannot be determined if you do not complete this form. The retention of a facility security clearance is contingent upon your compliance with the requirements of DoD 5220.22-M for submission of a revised form as appropriate.

2. When this report is submitted in confidence and is so marked, applicable exemptions to the Freedom of Information Act will be invoked to withhold it from public disclosure.

3. Complete all questions on this form. Mark "Yes" or "No" for each question. If your answer is "Yes" furnish in full the complete information under "Remarks."

## **QUESTIONS AND ANSWERS**

	YES	NO
1. (Answer 1a. or 1b.) a. (For entities which issue stock): Do any foreign person(s), directly or indirectly, own or have beneficial ownership of 5 percent or more of the outstanding shares of any class of your organization's equity securities?		
b. (For entities which do not issue stock): Has any foreign person directly or indirectly subscribed 5 percent or more of your organization's total capital commitment?	X	
2. Does your organization directly, or indirectly through your subsidiaries and/or affiliates, own 10 percent or more of any foreign interest?		X
3. Do any non-U.S. citizens serve as members of your organization's board of directors (or similar governing body), officers, executive personnel, general partners, regents, trustees or senior management officials?	X	
4. Does any foreign person(s) have the power, direct or indirect, to control, the election, appointment, or tenure of members of your organization's board of directors (or similar governing body) or other management positions of your organization, or have the power to control or cause the direction of other decisions or activities of your organization?	X	
5. Does your organization have any contracts, agreements, understandings, or arrangements with a foreign person(s)?	X	
6. Does your organization, whether as borrower, surety, guarantor or otherwise have any indebtedness, liabilities or obligations to a foreign person(s)?		X
7. During your last fiscal year, did your organization derive: a. 5 percent or more of its total revenues or net income from any single foreign person?		X
b. In the aggregate 30 percent or more of its revenues or net income from foreign persons?		X
8. Is 10 percent or more of any class of your organization's voting securities held in "nominee" shares, in "street names" or in some other method which does not identify the beneficial owner?		X
9. Do any of the members of your organization's board of directors (or similar governing body), officers, executive personnel, general partners, regents, trustees or senior management officials hold any positions with, or serve as consultants for, any foreign person(s)?	X	
10. Is there any other factor(s) that indicates or demonstrates a capability on the part of foreign persons to control or influence the operations or management of your organization?		X

**REMARKS** (Attach additional sheets, if necessary, for a full detailed statement.)

Constellation Energy Nuclear Group, LLC subsidiaries have a number of contracts, agreements, understandings, and arrangements with foreign persons related to the operations of its U.S. power plants. These are normal, operational-related contracts for fuel and equipment.

1b: The CENG joint venture has a 49.99% ownership interest by an ultimate French parent company, EDF SA, as set forth in the documents (Ref. b, c, and d) referenced in the cover letter.

3: Five of the ten CENG directors are French citizens that are employees of the French parent, EDF SA. At least one officers of CENG and its subsidiaries is a non-US citizen employed by EDF and seconded to CENG.

4: Because half of the ten directors are EDF SA employees, the French parent influences appointment of some officers.

5: CENG is party to several nuclear fuels-related contacts with non-US entities. Various other contracts for equipment of components are also with foreign entities.

9: The five EDF directors also hold management positions within the various parent entities under EDF SA.

**CERTIFICATION**

I CERTIFY that the entries made by me above are true, complete, and correct to the best of my knowledge and belief and are made in good faith.

**WITNESSES:**

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

*NOTE: In case of a corporation, a witness is not required but the certificate below must be completed. Type or print names under all signatures.*

By Mary G. Korsnick <sup>(Date Certified)</sup>  
(Signature of Authorized Contractor Representative)

Constellation Energy Nuclear Group, LLC  
(Typed Name of Contractor)

Chief Nuclear Officer  
(Title of Authorized Contractor Representative)

100 Constellation Way, Suite 200C, Baltimore, MD 21202  
(Address)

*NOTE: Contractor, if a corporation, should cause the following certificate to be executed under its corporate seal, provided that the same officer shall not execute both the Agreement and the Certificate.*

**CERTIFICATE**

I, Carey W. Fleming, certify that I am the Senior Legal Counsel  
of the corporation named as Contractor herein; that Mary G. Korsnick

who signed this certificate on behalf of the Contractor; was then Chief Nuclear Officer  
of said corporation; that said certificate was duly signed for and in behalf of said corporation by authority of its governing body, and is within the scope of its corporate powers.

(Corporate Seal)

Carey W. Fleming Aug 16, 2010  
(Signature and Date)

**ATTACHMENT (2)**

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**LISTS OF OFFICERS AND DIRECTORS**

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List ALL Owners, Officers, Directors, and Executive Personnel (OODEPs)

Page 1 of 2

Company's Current Legal Name, Address, and Phone Number  
of Company's Principal Executive Offices:

Other names used (e.g., doing business as):

Date Submitted: August 16, 2010

**Constellation Energy Nuclear Group, LLC**

100 Constellation Way, Suite 1700P

Tax Identification No. 52-2207617

Baltimore, MD 21202

Date Company was Incorporated: 10/22/2009

410- 470-2877

State of Incorporation: Maryland

(Must provide any name or address change of the Company's principal executive office and date(s) of change during past 10 years on the Attachment page.)

Organization Status:

<input type="checkbox"/> Corporation	<input type="checkbox"/> Sole Proprietorship	Partnership: <input type="checkbox"/> General <input type="checkbox"/> Limited	<input checked="" type="checkbox"/> Other: <b><u>Limited Liability Company</u></b>
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Stock Ownership:

<input type="checkbox"/> Privately-Owned	<input type="checkbox"/> Publicly-Traded	Subsidiary of: <b>Constellation Nuclear, LLC and EDF Inc.</b>
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NAME	POSITION TITLES	IF OWNER, % OF OWNERSHIP 2	SSN	CLEARANCE HELD AND/OR BEING OBTAINED AND ISSUING AGENCY	CITIZENSHIP
First Name, Initial, Last Name					
SEE ATTACHED LIST					

1 The above information, i.e., name, titles, etc., must be provided for the following: (a) the proprietor of a sole proprietorship; (b) all individuals managing the business affairs of a corporation. In most cases, these individuals are identified in the Articles of Incorporation or By-Laws and include the Board of Directors, President, Secretary, and Treasurer; (c) the General Partner(s) of a Limited Partnership; and (d) all partners of a General Partnership. 2 In addition, privately-held entities must provide the above information, i.e., name, titles, etc., for all owners, and also provide the percentage of issued stock/shares held by each owner.

List certified correct by:

Mary G. Korsnick

Typed or Printed Name and Signature

Chief Nuclear Officer

Title

August 16, 2010

Date



## ATTACHMENT TO OODEP FORM

## CONSTELLATION ENERGY NUCLEAR GROUP, LLC

Tax ID No.: 52-2207617

Name	Position Titles	SSN	Citizenship	Clearance Held and/or Being Obtained and Issuing Agency
Henry B. Barron	President, Chief Executive Officer, Director	*	USA	L, NRC
Michael J. Wallace	Director	*	USA	L, NRC
Kathleen W. Hyle	Director	*	USA	None
Charles A. Berardesco	Director	*	USA	None
Jonathan W. Thayer	Director	*	USA	None
Jean-Pierre Benqué	Director	*	France	None
Stéphane Ramon	Director	*	France	None
Jacques Regaldo	Director	*	France	None
Jacques Sacreste	Director	*	France	None
Jean-Paul Palma	Director	*	France	None
Mary G. Korsnick	Senior Vice President, Chief Nuclear Officer	*	USA	L, NRC
Simone Rossi	Senior Vice President	*	Italy	None
Joel-Louis-Joseph Dogue	Senior Vice President	*	France	None
Susan Collins	Senior Vice President	*	USA	None
Steven L. Miller	Senior Vice President	*	USA	None
Stephen A. Mormann	Vice President	*	USA	None
John T Carlin	Vice President	*	USA	None
James A. Spina	Vice President	*	USA	L, NRC
Samuel L. Belcher	Vice President	*	USA	None
George Gellrich	Vice President	*	USA	None

List ALL Owners, Officers, Directors, and Executive Personnel (OODEPs)

Page 1 of 2

Company's Current Legal Name, Address, and Phone Number  
of Company's Principal Executive Offices:

Other names used (e.g., doing business as):

Date Submitted: August 16, 2010

Constellation Nuclear Power Plants, LLC

100 Constellation Way, Suite 1700P

Tax Identification No. 52-2303009

Baltimore, MD 21202

Date Company was Incorporated: 10/16/2009

State of Incorporation: Delaware

(Must provide any name or address change of the Company's principal executive office and date(s) of change during past 10 years on the Attachment page.)

Organization Status:

<input type="checkbox"/> Corporation	<input type="checkbox"/> Sole Proprietorship	Partnership: <input type="checkbox"/> General <input type="checkbox"/> Limited	<input checked="" type="checkbox"/> Other: <u>Limited Liability Company</u> Member Managed (i.e., no Directors)
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Stock Ownership:

<input type="checkbox"/> Privately-Owned	<input type="checkbox"/> Publicly-Traded	Subsidiary of: <u>Constellation Energy Nuclear Group, LLC</u>
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NAME	POSITION TITLES	IF OWNER, % OF OWNERSHIP 2	SSN	CLEARANCE HELD AND/OR BEING OBTAINED AND ISSUING AGENCY	CITIZENSHIP
First Name, Initial, Last Name					
SEE ATTACHED LIST					

1 The above information, i.e., name, titles, etc., must be provided for the following: (a) the proprietor of a sole proprietorship; (b) all individuals managing the business affairs of a corporation. In most cases, these individuals are identified in the Articles of Incorporation or By-Laws and include the Board of Directors, President, Secretary, and Treasurer; (c) the General Partner(s) of a Limited Partnership; and (d) all partners of a General Partnership. 2 In addition, privately-held entities must provide the above information, i.e., name, titles, etc., for all owners, and also provide the percentage of issued stock/shares held by each owner.

List certified correct by: Mary G. Korsnick

Typed or Printed Name and Signature

Chief Nuclear Officer

Title

August 16, 2010

Date

**ATTACHMENT TO OODEP FORM****CONSTELLATION NUCLEAR POWER PLANTS, LLC****Tax ID No.: 52-2303009**

Name	Position Titles	SSN	Citizenship	Clearance Held and/or Being Obtained and Issuing Agency
Henry B. Barron	President	*	USA	L, NRC
Simone Rossi	Treasurer	*	Italy	None
Stephen A. Mormann	Assistant Treasurer	*	USA	None
Steven L. Miller	Secretary	*	USA	None
Carey W. Fleming	Assistant Secretary	*	USA	None

\* Social security numbers for individuals are considered private information and are not provided.

List ALL Owners, Officers, Directors, and Executive Personnel (OODEPs)

Page 1 of 2

Company's Current Legal Name, Address, and Phone Number  
of Company's Principal Executive Offices:

Other names used (e.g., doing business as):

Date Submitted: August 16, 2010

**Calvert Cliffs Nuclear Power Plant, LLC**

100 Constellation Way, Suite 1700P

Tax Identification No. 80-0493288

Baltimore, MD 21202

Date Company was Incorporated: 10/29/2009

410-495-5200

State of Incorporation: Maryland

(Must provide any name or address change of the Company's principal executive office and date(s) of change during past 10 years on the Attachment page.)

Organization Status:

<input type="checkbox"/> Corporation	<input type="checkbox"/> Sole Proprietorship	Partnership: <input type="checkbox"/> General <input type="checkbox"/> Limited	<input checked="" type="checkbox"/> Other: <b><u>Limited Liability Company</u></b> Member Managed (i.e., no Directors)
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Stock Ownership:

<input type="checkbox"/> Privately-Owned	<input type="checkbox"/> Publicly-Traded	Subsidiary of: <b>Constellation Nuclear Power Plants, LLC</b>
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NAME	POSITION TITLES	IF OWNER, % OF OWNERSHIP 2	SSN	CLEARANCE HELD AND/OR BEING OBTAINED AND ISSUING AGENCY	CITIZENSHIP
First Name, Initial, Last Name					
SEE ATTACHED LIST					

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List certified correct by: Mary G. Korsnick  
Typed or Printed Name and Signature

Chief Nuclear Officer  
Title

August 16, 2010  
Date

**ATTACHMENT TO OOPEP FORM****CALVERT CLIFFS NUCLEAR POWER PLANT, LLC.****Tax ID No.: 80-0493288**

Name	Position Titles	SSN	Citizenship	Clearance Held and/or Being Obtained and Issuing Agency
Henry B. Barron	President	*	USA	L, NRC
George H. Gellrich	Vice President	*	USA	None
Simone Rossi	Treasurer	*	Italy	None
Stephen A. Mormann	Assistant Treasurer	*	USA	None
Steven L. Miller	Secretary	*	USA	None
Carey W. Fleming	Assistant Secretary	*	USA	None

\* Social Security numbers for individuals are considered private information and are not provided.

List ALL Owners, Officers, Directors, and Executive Personnel (OODEPs)

Page 1 of 2

Company's Current Legal Name, Address, and Phone Number  
of Company's Principal Executive Offices:

Other names used (e.g., doing business as):

Date Submitted: August 16, 2010

**Nine Mile Point Nuclear Station, LLC**

100 Constellation Way, Suite 200

Tax Identification No. 52-230344

Baltimore, MD 21202

Date Company was Incorporated: 03/15/2001

315-349-5200

State of Incorporation: Delaware

(Must provide any name or address change of the Company's principal executive office and date(s) of change during past 10 years on the Attachment page.)

Organization Status:

<input type="checkbox"/> Corporation	<input type="checkbox"/> Sole Proprietorship	Partnership: <input type="checkbox"/> General <input type="checkbox"/> Limited	<input checked="" type="checkbox"/> Other: <b><u>Limited Liability Company</u></b> Member Managed (i.e., no Directors)

Stock Ownership:

<input type="checkbox"/> Privately-Owned	<input type="checkbox"/> Publicly-Traded	Subsidiary of: <b>Constellation Nuclear Power Plants, LLC</b>
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NAME	POSITION TITLES	IF OWNER, % OF OWNERSHIP 2	SSN	CLEARANCE HELD AND/OR BEING OBTAINED AND ISSUING AGENCY	CITIZENSHIP
First Name, Initial, Last Name					
SEE ATTACHED LIST					

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List certified correct by:

Mary G. Korsnick

Typed or Printed Name and Signature

*Mary G. Korsnick*

Chief Nuclear Officer

Title

August 16, 2010

Date

**ATTACHMENT TO OODEP FORM****NINE MILE POINT NUCLEAR STATION, LLC**  
**Tax ID No.: 52-2303044**

Name	Position Titles	SSN	Citizenship	Clearance Held and/or Being Obtained and Issuing Agency
Henry B. Barron	President	*	USA	L, NRC
Samuel L. Belcher	Vice President	*	USA	None
Simone Rossi	Treasurer	*	Italy	None
Stephen A. Mormann	Assistant Treasurer	*	USA	None
Steven L. Miller	Secretary	*	USA	None
Carey W. Fleming	Assistant Secretary	*	USA	None

\* Social security numbers for individuals are considered private information and are not provided.

List ALL Owners, Officers, Directors, and Executive Personnel (OODEPs)

Company's Current Legal Name, Address, and Phone Number  
of Company's Principal Executive Offices:

Other names used (e.g., doing business as):

Date Submitted: August 16, 2010

**R.E. Ginna Nuclear Power Plant, LLC**100 Constellation Way, Suite 1700PTax Identification No. 45-0529386Baltimore, MD 21202Date Company was Incorporated: 12/11/2003585-771-5200State of Incorporation: Maryland

(Must provide any name or address change of the Company's principal executive office and date(s) of change during past 10 years on the Attachment page.)

Organization Status:

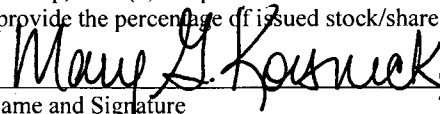
<input type="checkbox"/> Corporation	<input type="checkbox"/> Sole Proprietorship	Partnership: <input type="checkbox"/> General <input type="checkbox"/> Limited	<input checked="" type="checkbox"/> Other: <b><u>Limited Liability Company</u></b> Member Managed (i.e., no Directors)
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Stock Ownership:

<input type="checkbox"/> Privately-Owned	<input type="checkbox"/> Publicly-Traded	Subsidiary of: <b>Constellation Nuclear Power Plants, LLC</b>
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NAME	POSITION TITLES	IF OWNER, % OF OWNERSHIP 2	SSN	CLEARANCE HELD AND/OR BEING OBTAINED AND ISSUING AGENCY	CITIZENSHIP
First Name, Initial, Last Name					
SEE ATTACHED LIST					

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List certified correct by: Mary G. Korsnick  
Typed or Printed Name and Signature

Chief Nuclear Officer  
TitleAugust 16, 2010  
Date



**ATTACHMENT TO OODEP FORM****R.E. GINNA NUCLEAR POWER PLANT, LLC.****Tax ID No.: 45-0529386**

Name	Position Titles	SSN	Citizenship	Clearance Held and/or Being Obtained and Issuing Agency
Henry B. Barron	President	*	USA	L, NRC
John T. Carlin	Vice President	*	USA	None
Simone Rossi	Treasurer	*	Italy	None
Stephen A. Mormann	Assistant Treasurer	*	USA	None
Steven L. Miller	Secretary	*	USA	None
Carey W. Fleming	Assistant Secretary	*	USA	None

\* Social security numbers for individuals are considered private information and are not provided.