



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

AR Number: 01183116	Type: CAP	Status: 40 APPROVED 06/15/2009
Due Date: Not Locked 6/25/2010	Priority:	Orig Date: 5/25/2009 10:59:00 AM
Event:	Severity: B	Dscv Date:
		Event Date:
Subject: Corrective Action Implementation Resolution		Aff Fac: PI PRAIRIE ISLAND
Orig ID: N156952 Dean, Wendy D	Fac/Grp: PI	Dept: 80 Site Management
Owed To: N152144 Petersen, Kurt W	Fac/Grp: PI	Dept: 80 Site Management
No Assign: False	Org:	Dspln:

Description:

Description Notes

Station management does not ensure that corrective actions taken to address performance issues are adequately implemented to ensure identified issues are effectively resolved. Measures (see sharepoint) must be completed before closing this CAP. All referenced assignments in Xref must be completed in order to close this CAP.

Last Updated By Last Updated Date

N156952	06/09/2009
N156952	06/09/2009
N156952	06/09/2009
N156952	06/09/2009
N156952	06/09/2009
N156952	06/09/2009

Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes	PI-AR Screen team advises CAQ	N154122	07/07/2009
General Notes	.	N154122	07/07/2009
General Notes	Reason for no ACE: Per FP-PA-ARP-01, Att. 3,	N154122	07/07/2009
General Notes	The issue has been previously evaluated or	N154122	07/07/2009
General Notes	identified as a result of an extent of condition	N154122	07/07/2009



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Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	review under a previous review under a previous	N154122	07/07/2009
General Notes	assessment. The cause is understood and	N154122	07/07/2009
General Notes	corrective actions are being implemented	N154122	07/07/2009
General Notes	WARNING:PRI field must be updated if A/S reopened.CAP1212567	FTLD01	02/18/2010
General Notes	.	N152144	03/27/2010
General Notes	Additional notes for Action 15:	N152144	03/27/2010
General Notes	A weekly review of the PI CAP excellence plan is not	N152144	03/27/2010
General Notes	necessary because the PARB reviews the excellence	N152144	03/27/2010
General Notes	plan on a monthly basis as a basis for validation of the	N152144	03/27/2010
General Notes	actions and updating progress attained. The governance	N152144	03/27/2010
General Notes	activity is appropriately assigned to PARB vs CAP screening.	N152144	03/27/2010
Immediate Action Taken	Wrote CAP	N156952	05/26/2009
Recommendations	Evaluate by Problem Identification & Resolution Initiative	N156952	06/09/2009
Why did this occur?	NA -CAP is for tracking performance Recovery Items	N156952	05/26/2009

Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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Keywords:

<u>Keyword</u>	<u>Description</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
10 PI&R	2010 PROBLEM, IDENTIFICATION AND RESOLUTION	SLCHRI01	05/11/2010
CAP	CORRECTIVE ACTION PROGRAM	N154122	07/07/2009
RECOVERY	STRATEGIC RECOVERY PLAN FOR PINGP	N156952	05/26/2009



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Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
EXCELLENCE PLAN	HIGH LEVEL		SLCHRI01	03/01/2010
EXCELLENCE PLAN	SITE PLAN		SLCHRI01	03/01/2010
SCAQ-CAQ-CNAQ			MLRE01	08/26/2009
10CFR21 REQD?		COMPLETE		
COMP ACTIONS TAKEN?				
CROSS-CUTTING ISSUE				
EQUIP ID REQ'D?	N	NOTIFY	N156952	05/26/2009
GOOD CATCH?				
IDENTIFIED BY	SITE	NOTIFY	N156952	05/26/2009
INSPECTION QUARTER				
INSPECTION REPORT#				
INSPECTION YEAR				
LICENSE REVIEW REQD?		COMPLETE		
MODE CHG RESTRAINT				
NOS REVIEW REQD?		COMPLETE		
OPS STATUS	NA	APPROVED	N156952	05/26/2009
PARB REVIEW REQD?		COMPLETE		
POTENTIAL MRFF	N	APPROVED	N156952	05/26/2009
POTENTL MRGN ISSUE?				
SRO REVIEW REQD?	N	NOTIFY	N156952	05/26/2009
TRENDING COMPLETE?		COMPLETE		
UNPLANNED TSAC ENTRY?	N	APPROVED	N156952	05/26/2009
WELL DOCUMENTED?				



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Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
WELL DOCUMENTED?		N104369	12/14/2009

Event Reportable Items:

<u>Event Type</u>	<u>Event Header</u>	<u>Description</u>
CAP	CAP	CORRECTIVE ACTION PROGRAM

Trend/Cause Codes:

<u>Facility</u>	<u>Trend 1</u>	<u>Trend 2</u>	<u>Trend 3</u>	<u>Date</u>	<u>Process</u>	<u>Org</u>	<u>Rank</u>	<u>Assign</u>	<u>Vendor</u>	<u>Sfx</u>	<u>Description</u>
PI	OMFM	C	C4		CA	97	A				LACK OF COMMITMENT

Trend/Cause Notes:

<u>Notes</u>	<u>Last Updated Date</u>
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Trend/Cause Generic Implications:

<u>Generic Implication</u>	<u>Description</u>
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Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Cross References:

<u>Ref</u> <u>Type</u>	<u>Reference Nbr</u>	<u>Ref</u> <u>Sub</u>	<u>Ref Nbr</u> <u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AR	01112397		AR	COMPLETE	12/30/2009	Corrective action from Level A CAP closed to EC on 3/31/07
AR	01118229		AR	COMPLETE	04/23/2008	CAPs not used to control C/A's for Top Ten Equipment Issues.
AR	01124115		AR	COMPLETE	03/13/2008	CAP procedural Adherence - 30 day review period
AR	01132288		AR	COMPLETE	10/10/2009	Ineffective Corrective Actions to Resolve Issues
AR	01137253		AR	COMPLETE	02/06/2009	Engineering CAP Backlog Reduction Effort needs goal
AR	01162503		AR	COMPLETE	10/10/2009	HU FSA AFI - Corrective Actions Address Symptom, not Cause
AR	01179097		AR	COMPLETE	09/10/2009	FG-PA-RCE-01 Revision 14
AR	01179794		AR	COMPLETE	09/26/2009	Adverse Trend of ACEs failing to meet required minimum grade
AR	01182229		AR	APPROVED	05/21/2009	PINGP 1633, Rev. 0..Document Type: PA/ASM
AR	01182610		AR	COMPLETE	10/10/2009	Declining Trend in the performance of ACEs
AR	01183111		AR	COMPLETE	03/29/2010	PIR - Problem Identification and Resolution
AR	01187837		AR	APPROVED	07/01/2009	Adverse Trend in Governing & Oversight of PARB & Perf Improv
AR	01188613		AR	COMP-NA	07/22/2009	Potential for developing NRC substantive cross-cutting issue
AR	01192935		AR	COMPLETE	12/04/2009	Timely Resolution of Site Evaluations
AR	01206153		AR	COMP-NA	12/16/2009	3rd QTR Eng DRUM Potential adverse trend in CAP closures.
AR	01206533		AR	COMP-NA	11/17/2009	Trend: Site Cross Cutting Index Declined 3 consecutive month
AR	01210862		AR	COMPLETE	04/29/2010	Revise ACE JFG and Develop Training
AR	01210952		AR	COMPLETE	06/25/2010	191 A and B-level equipment CAPs have no equipment IDs
AR	01211594		AR	COMPLETE	06/30/2010	Oversight Activities to Support PI CAP Improvement Plan
AR	01214975		AR	APPROVED	01/25/2010	INPO AFI Equipment Performance (ER.1-1)
AR	01085159	01	AS	COMPLETE	04/05/2007	Conduct a Human Performance Event Investigation
AR	01085159	02	AS	COMPLETE	04/10/2007	Conduct a human performance event investigation
AR	01089325	01	AS	COMPLETE	05/01/2007	Evaluate CAP 01089325 for site/departement clock reset
AR	01089325	02	AS	COMPLETE	05/23/2007	Perform a CE on CAP 01089325
AR	01097220	01	AS	COMPLETE	07/11/2007	Define actions that will be taken to correct the issue of



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AR	01097220	02	AS	COMPLETE	07/10/2007	Define actions that will be taken to correct the issue of
AR	01097220	03	AS	COMPLETE	07/03/2007	Define actions that will be taken to correct the issue of
AR	01097220	04	AS	COMPLETE	07/03/2007	Define actions that will be taken to correct the issue of
AR	01097220	06	AS	COMPLETE	09/21/2007	Complete an effectiveness review
AR	01098461	01	AS	COMPLETE	07/16/2007	Level A CAP action closed to three non-CAP PCRs
AR	01099314	01	AS	COMPLETE	10/01/2007	Provide documented justification for RCE non-performance
AR	01099314	02	AS	COMPLETE	09/27/2007	Provide documented justification for RCE non-performance
AR	01099314	03	AS	COMPLETE	10/02/2007	Provide documented justification for RCE non-performance
AR	01099314	04	AS	COMPLETE	08/06/2007	Provide documented justification for RCE non-performance
AR	01099437	01	AS	COMPLETE	07/31/2007	Evaluate the process to determine why the Tech
AR	01105264	01	AS	COMPLETE	11/06/2007	Address the 7 CAP's identified deficiencies
AR	01105264	02	AS	COMPLETE	10/09/2007	Perform Dynamic Learning activities on
AR	01105264	03	AS	COMPLETE	12/14/2007	Address issues of identified in Focused Self Assessment
AR	01108460	02	AS	COMPLETE	11/16/2007	Re-open 1033802, Action 08
AR	01108460	03	AS	COMPLETE	11/16/2007	Re-open CAP 1063058, Actions 05, 06, 09 & 11
AR	01108460	04	AS	COMPLETE	11/16/2007	Re-Open CAP 1070811, Actions 04 & 05
AR	01108460	05	AS	COMPLETE	11/16/2007	Re-Open CAP 101083810, Action 03
AR	01112506	01	AS	CANCELED	10/02/2007	From AR01112506 - PBF-0114 Form Canceled
AR	01114470	01	AS	COMPLETE	02/06/2008	22 of 27 Maint. Rework CAPs screened as C instead of B
AR	01114471	01	AS	COMPLETE	01/31/2008	7 of 8 Maint. Work Around items not tracked w/ CAP action
AR	01114995	01	AS	COMPLETE	12/05/2007	Perform an Apparent Cause Evaluation
AR	01115376	01	AS	COMPLETE	03/20/2008	3Q EngrDRUM Identified Increasing Trend of Open AR/AR Age
AR	01115376	02	AS	COMPLETE	04/24/2008	Perform Review of Engineering CA Backlog
AR	01119894	01	AS	COMPLETE	01/14/2008	Evaluate deficiencies and recommended actions
AR	01121188	01	AS	COMPLETE	01/04/2008	Conduct a human performance, event investigation.



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AR	01121188	03	AS	COMPLETE	01/17/2008	Perform Extent of condition CE on CAP 01121188
AR	01121188	04	AS	COMPLETE	01/18/2008	Add EFR's to parent RCE cap AR 01106157
AR	01125912	01	AS	COMPLETE	02/13/2008	Evaluation to determine the best course of action.
AR	01127131	01	AS	COMPLETE	03/07/2008	PERFORM AN APPARENT CAUSE EVALUATION
AR	01127131	03	AS	COMPLETE	03/19/2008	CAP due date documentation
AR	01133478	07	AS	COMPLETE	05/07/2009	Long term goals met
AR	01133478	09	AS	COMPLETE	05/07/2009	verify long term goals met.
AR	01138923	01	AS	COMPLETE	06/27/2008	Perform a CCE on Potential trend procedure changes
AR	01138923	03	AS	COMPLETE	06/18/2009	EFR on Common Cause Evaluation
AR	01141442	02	AS	COMPLETE	09/23/2008	Perform CCE on milestone adherence with AR processing
AR	01141442	04	AS	COMPLETE	10/20/2008	Communicate to Liaisons and managers
AR	01141442	05	AS	COMPLETE	01/15/2009	Discuss report options with supervisors
AR	01141442	06	AS	COMPLETE	01/15/2009	discuss reports with supervisors
AR	01141442	07	AS	COMPLETE	01/15/2009	discuss reports with supervisors
AR	01141442	08	AS	COMPLETE	01/15/2009	discuss reports with supervisors
AR	01141442	09	AS	COMPLETE	10/21/2008	discuss reports with supervisors
AR	01141442	10	AS	COMPLETE	10/05/2008	Determine if PI will use TND assgn
AR	01141442	11	AS	COMPLETE	03/12/2009	Perform Effectiveness review
AR	01144241	01	AS	COMPLETE	09/11/2008	Evaluate to determine cause of increase
AR	01144241	02	AS	COMPLETE	11/24/2008	Close out CAP's in CAP backlog
AR	01161905	01	AS	COMPLETE	01/19/2009	Perform an ACE
AR	01163002	01	AS	COMPLETE	02/02/2009	Perform CE
AR	01164550	02	AS	COMPLETE	05/12/2009	Provide information sharing on DRUM repts
AR	01164550	03	AS	COMPLETE	05/12/2009	provide standard DRUM discussion wiith Dept mgrs
AR	01165852	01	AS	COMPLETE	02/20/2009	Perform CE



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<u>Ref</u> <u>Type</u>	<u>Reference Nbr</u>	<u>Ref</u> <u>Sub</u>	<u>Ref Nbr</u> <u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AR	01166830	06	AS	COMPLETE	09/10/2009	Revise FP-PA-ARP-01 to address the following issues
AR	01166830	07	AS	COMPLETE	07/30/2009	Complete a site rollout of the Principles for a Strong NSC
AR	01170259	01	AS	COMPLETE	04/06/2009	Perform a Condition Evaluation
AR	01171905	01	AS	COMPLETE	09/09/2009	Eval to determine whether CAPs closed to others
AR	01171905	02	AS	COMPLETE	09/09/2009	Add SCAQ determination of "A" Caps
AR	01171905	03	AS	COMPLETE	09/09/2009	Assign CAPRs for those "A" CAPS to be SCAQs
AR	01171985	01	AS	COMPLETE	04/09/2009	Evaluate Perf Assessment to determine correct communication
AR	01176656	01	AS	COMPLETE	05/14/2009	Review of recent actions to correct his condition
AR	01183116	01	AS	COMPLETE	09/15/2009	Promote effective and valuable AR descriptions
AR	01183117	21	AS	COMPLETE	12/29/2009	Conduct Needs Assessment for PA Program Changes
AR	01183117	33	AS	COMPLETE	12/27/2009	Revise FG-PA-CCE-01, Common Cause Evaluation Manual
AR	01183117	34	AS	COMPLETE	12/27/2009	Revise QF 0435, CCE Report Template
AR	01183117	35	AS	COMPLETE	12/29/2009	Revise PINGP 1633, CCE Grading Form
AR	01187837	16	AS	COMPLETE	12/16/2009	Implement Department CAP Backlog Control Plans
AR	01197231	06	AS	COMPLETE	01/22/2010	Revise FP-PA-ARP-01
AR	01214975	01	AS	COMPLETE	03/18/2010	Conduct an ACE
AR	01216016	01	AS	COMPLETE	03/04/2010	Conduct a CE

Assignment Summary:

<u>Assign</u> <u>Subject</u>	<u>Sub Asgn</u>	<u>Type</u>	<u>Due Date</u>	<u>Status</u>	<u>Prime</u> <u>Fac</u>	<u>Primary Response</u> <u>Group</u>	<u>Sec</u> <u>Fac</u>	<u>Second Response</u> <u>Group</u>	<u>Assign To</u>	<u># of Subs</u>
01	00	CA	09/15/2009	COMPLETE					N152144	0
Promote effective and valuable AR descriptions										
02	00	CE	09/15/2009	COMPLETE					N152144	0



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<u>Assign Subject</u>	<u>Sub Asgn</u>	<u>Type</u>	<u>Due Date</u>	<u>Status</u>	<u>Prime Fac</u>	<u>Primary Response Group</u>	<u>Sec Fac</u>	<u>Second Response Group</u>	<u>Assign To</u>	<u># of Subs</u>
Improve AR Screening Team assessment accuracy										
03	00	CA	09/01/2009	COMPLETE					N152144	0
Enforce proper AR close out procedure										
04	00	CA	10/30/2009	COMPLETE					WNDJ04	0
Review PI actions with Monticello and Fleet personnel										
05	00	CE	10/30/2009	COMPLETE					WNDJ04	0
Evaluate need for formal training on ACE evaluation										
06	00	CA	01/29/2010	COMPLETE					N152144	0
Review CAP action backlog reduction program after 3 months										
07	00	CE	10/30/2009	COMPLETE					WNDJ04	0
Examine CAP default due dates										
08	00	CA	03/12/2010	COMPLETE					N104369	0
Provide CAP Probelm Statement training										
09	00	CA	12/17/2009	COMPLETE					N104369	0
Screen Team Acceptance of CAP Probelm Statements										
10	00	CA	02/19/2010	COMPLETE					WNDJ04	0
Provide Formal ACE Training										
11	00	CA	02/19/2010	COMPLETE					WNDJ04	0
Revise ACE Evaluator JFG										
12	00	CA	04/23/2010	COMPLETE					N154161	0
Provide training to remainder of ACE evaluators										
13	00	CA	02/26/2010	COMPLETE					N104369	0
Provide training on developing SMART CAs										
14	00	CA	02/12/2010	COMPLETE					WNDJ04	0



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Delete CCE as cause evaluation type										
15	00	CA	03/26/2010	COMPLETE					WNDJ04	0
Incorporate Risk/Consequence, Actions/Uncertainty										
16	00	CA	12/15/2009	COMPLETE					WNDJ04	0
PARB In-line review of A CA closure										
17	00	CA	11/20/2009	COMPLETE					N157416	0
TRP CA closure reviews										
18	00	CA	04/16/2010	COMPLETE					WNDJ04	1
Modify PASSPORT for for Owed To reviews										
18	01	CA	11/20/2009	CANCELED					LJENGE01	1
Evaluate how to do in-line supv approval of Passport items										
19	00	CA	11/13/2009	COMPLETE					N152144	0
PARB Review of Excellence Plan										
20	00	CA	12/10/2009	COMPLETE					N152144	0
Communicate CAP Excellence Plan										
21	00	CA	11/13/2009	COMPLETE					N152144	0
Discuss CAP Excellence Plan with Resident										
22	00	CA	01/29/2010	COMPLETE					N152144	0
Arrange for INPO CAP Assistance Visit										
23	00	CA	11/19/2009	COMPLETE					N152144	0
Presnet Excellence Plan at Leadership Forum										
24	00	XPLA	04/12/2010	COMPLETE					SLCHRI01	0
Corrective Action Implementation Resolution Xcellence Plan										
25	00	CA	06/25/2010	COMPLETE					N154394	0



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Revise Perf Imp Dept Report Card for CA closure quality



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Assignment Detail for AR/Assignment/Sub-Assignment Number:
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Assign Type: CA **Due Date:** Locked 9/15/2009 **Status/Date:** 85 COMPLETE 09/15/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 0
Assign To: N152144 **Department:** 38 Performce Improvemt
Last Name: Petersen, Kurt W
Subject: Promote effective and valuable AR descriptions

Description:

<u>Description Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
Develop and implement a plan to promote or require	N156952	07/02/2009
require individuals to provide more thorough detail of AR	N156952	07/02/2009
initiators (problem statements) and convey more	N156952	07/02/2009
effective details on issue significance so that	N156952	07/02/2009
screening team may accurately assign severity	N156952	07/02/2009
level and priority of assignments	N156952	07/02/2009

In Progress Notes:

<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	CAP excellence plan has been developed and is attached in	N152144	09/15/2009
General Notes	sharepoint. Actions shown as Action 2.1(will have an action	N152144	09/15/2009
General Notes	attached to CAP01187837) are "to provide CAP problem	N152144	09/15/2009



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General Notes	statement training...etc". captures this issue. This action	N152144	09/15/2009
General Notes	(01183116-01) is independant of the sequence of activities	N152144	09/15/2009
General Notes	in the recovery plan and will not be as successful as needed	N152144	09/15/2009
General Notes	for this action. It is belived that this action will not	N152144	09/15/2009
General Notes	achieve the results without including other Managment focus	N152144	09/15/2009
General Notes	actions in the excellence plan. As a result of this	N152144	09/15/2009
General Notes	conclusion this action close out to the other action is done	N152144	09/15/2009
General Notes	with this synergy in mind.	N152144	09/15/2009
General Notes	This excellence plan is being presented to PARB for approval	N152144	09/15/2009
General Notes	on Sept 18, 2009. Due dates will be committed at that time.	N152144	09/15/2009
General Notes	This is not considered to be a defacto due date extension	N152144	09/15/2009
General Notes	because additional information (used in the generation of	N152144	09/15/2009
General Notes	the excellence plan) came from the NRC PIR inspection and	N152144	09/15/2009
General Notes	was not available previously.	N152144	09/15/2009

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				



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Report Date: 07/20/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01183116		AR	APPROVED	06/15/2009	Corrective Action Implementation Resolution
AS	01187837		AR	APPROVED	07/01/2009	Adverse Trend in Governing & Oversight of PARB & Perf Improv

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

01183116 - 02 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type: CE **Due Date:** Locked 9/15/2009 **Status/Date:** 85 COMPLETE 09/15/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 0
Assign To: N152144 **Department:** 38 Performce Improvemt
Last Name: Petersen, Kurt W
Subject: Improve AR Screening Team assessment accuracy

Description:

Description Notes

Develop and implement a method to improve consistency of AR Screening Team approval and prioritization of actions based upon accurate assessment of significance.

Last Updated By Last Updated Date

N156952	07/02/2009
N156952	07/02/2009
N156952	07/02/2009
N156952	07/02/2009

In Progress Notes:

Notes

Last Updated By Last Updated Date

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes	The station received a NRC PIR inspection that provided	N152144	09/15/2009
General Notes	feedback that this action was in support of the deficiencies	N152144	09/15/2009
General Notes	that they identified. There were other deficiencies	N152144	09/15/2009
General Notes	identified that corrective actions were not assigned. As a	N152144	09/15/2009
General Notes	result an excellence plan was created that included this and	N152144	09/15/2009



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	other actions. Specifically action 2.2 and 2.8 (action to	N152144	09/15/2009
General Notes	be attached to AR 01187837) address this issue. It is	N152144	09/15/2009
General Notes	believed that performing this action by itself without the	N152144	09/15/2009
General Notes	benefit of the other actions shown in the excellence plan	N152144	09/15/2009
General Notes	will not achieve the desired results. This plan will be	N152144	09/15/2009
General Notes	reviewed and approved by PARB on Friday September 18, 2009.	N152144	09/15/2009
General Notes	This action is closed to AR 01187837 and is not considered a	N152144	09/15/2009
General Notes	due date extension.	N152144	09/15/2009

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01187837		AR	APPROVED	07/01/2009	Adverse Trend in Governing & Oversight of PARB & Perf Improv

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

01183116 - 03 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type: CA **Due Date:** Locked 9/1/2009 **Status/Date:** 85 COMPLETE 08/21/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 0
Assign To: N152144 **Department:** 38 Performce Improvemt
Last Name: Petersen, Kurt W
Subject: Enforce proper AR close out procedure

Description:

Description Notes

Examine events caused by actions not completed in a timely manner. Develop and implement strategy to ensure actions are completed within an appropriate timeframe and enforce proper close out procedure.

Last Updated By Last Updated Date

N156952	07/02/2009
N156952	07/02/2009
N156952	07/02/2009
N156952	07/02/2009
N156952	07/02/2009

In Progress Notes:

Notes

Last Updated By Last Updated Date

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes	The enforcement of proper AR close out is procedurally	N152144	08/21/2009
General Notes	assigned to the OWED to [FP-PA-ARP-01 attachment 9 Item 4a	N152144	08/21/2009
General Notes	-I. The Technical Review panel's charter is to sample and	N152144	08/21/2009
General Notes	audit proper closure against these standards.	N152144	08/21/2009



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	Ref AR 01187837 identified poor oversight and governance by	N152144	08/21/2009
General Notes	the PARB whose function is to ensure a healthy corrective	N152144	08/21/2009
General Notes	Action program. Corrective actions out of this ACE include	N152144	08/21/2009
General Notes	establishing the fundamental aspects and responsibilities	N152144	08/21/2009
General Notes	associated with PARB membership. The first one listed is	N152144	08/21/2009
General Notes	that the PARB is a forcing function - an accountability body	N152144	08/21/2009
General Notes	as a challenge board to achieve results in performance.	N152144	08/21/2009
General Notes	Enforcing this action will encompass proper AR close out.	N152144	08/21/2009
General Notes	The above action will meet the action identified in this CA.	N152144	08/21/2009

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MRFF?				
MR(A)1 ACTION?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

01183116 - 04 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type: CA **Due Date:** Locked 10/30/2009 **Status/Date:** 85 COMPLETE 10/23/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 0
Assign To: WNDJ04 **Department:** 38 Performce Improvemt
Last Name: Windschill, John E
Subject: Review PI actions with Monticello and Fleet personnel

Description:

Description Notes

Fleet and Monticello personnel may not understand or have the same concerns in the use of the Corrective action program. Review with Monticello and Fleet personnel the collective group of Prairie Island actions to permit reinforcement of standards.

Last Updated By Last Updated Date

N156952	07/02/2009
N156952	07/02/2009
N156952	07/02/2009
N156952	07/02/2009
N156952	07/02/2009

In Progress Notes:

Notes

Last Updated By Last Updated Date

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes	CAP and HU standards have been reviewed with Monti	WNDJ04	10/23/2009
General Notes	and Fleet personnel on several occasions via the Peer	WNDJ04	10/23/2009
General Notes	Groups. Specifically, the core of the Monti pilot PARB	WNDJ04	10/23/2009
General Notes	(PARB oversight of CAP) has been reviewed at length.	WNDJ04	10/23/2009



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REV.2

Report Date: 07/20/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	Fleet and Monticello CAP and HU personnel have an	WNDJ04	10/23/2009
General Notes	understanding of the PI PI&R results. Action complete.	WNDJ04	10/23/2009

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

01183116 - 05 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type: CE **Due Date:** Locked 10/30/2009 **Status/Date:** 85 COMPLETE 10/14/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 0
Assign To: WNDJ04 **Department:** 38 Performance Improvement
Last Name: Windschill, John E
Subject: Evaluate need for formal training on ACE evaluation

Description:

Description Notes

Evaluate the need for formal training on ACE evaluation to improve the quality of both the evaluation and corrective actions. As part of this evaluation, consider the opportunity to improve the ACE manual and ACE grading process.

Last Updated By Last Updated Date

N156952	07/02/2009
N156952	07/02/2009
N156952	07/02/2009
N156952	07/02/2009
N156952	07/02/2009

In Progress Notes:

Notes

Last Updated By Last Updated Date

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes	The Peer Group evaluated the need for formal	WNDJ04	10/14/2009
General Notes	(i.e., classroom) training for initial ACE qualification.	WNDJ04	10/14/2009
General Notes	The Peer Group decided classroom training is	WNDJ04	10/14/2009
General Notes	appropriate, since it was believed that reviewing	WNDJ04	10/14/2009



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	a PowerPoint is not a sufficient process for	WNDJ04	10/14/2009
General Notes	knowledge attainment and ACE performance	WNDJ04	10/14/2009
General Notes	proficiency. The PI&R Excellence Plan included	WNDJ04	10/14/2009
General Notes	ACE training as an action (action 10 within this CAP).	WNDJ04	10/14/2009
General Notes	In addition, the Needs Analysis process was initiated	WNDJ04	10/14/2009
General Notes	via PGAR 1200427, which will drive Needs Analysis,	WNDJ04	10/14/2009
General Notes	Design and Development for CAP training in general.	WNDJ04	10/14/2009
General Notes	Also, the ACE Manual was recently upgraded.	WNDJ04	10/14/2009

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

01183116 - 06 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type: CA **Due Date:** Locked 1/29/2010 **Status/Date:** 85 COMPLETE 01/28/2010
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 1
Assign To: N152144 **Department:** 38 Performce Improvemt
Last Name: Petersen, Kurt W
Subject: Review CAP action backlog reduction program after 3 months

Description:

Description Notes

Perform a review of the CAP action backlog
reduction program 3 months after the completion
of the efforts derived from the results of RCE
[AR01668830] and the performance recovery plan.

Last Updated By Last Updated Date

N156952	07/02/2009
N156952	07/02/2009
N156952	07/02/2009
N156952	07/02/2009

In Progress Notes:

Notes

there has been little impact from a CAP reduction effort. This primarialy due to an extended refueling on unit 1. This action will be extended and linked to AR1187837-17 which is currently due 12/17/09. At this time we do not expect to fully complete that action and that individual departments will be reviewed continuing into January 2010. This acstion has been extended to the end of January 2010 to link with the other action as well as providing appropriate time and attention to this task. PTO and Refuelin have interfered with a prompt completion of this task. Separately the CAP actions have been reduced since the end of the refueling and Open CAPS are expected to follow suit.

Last Updated By Last Updated Date

N152144	11/25/2009
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	The CAP backlog effort has been reviewed and the following	N152144	01/28/2010
General Notes	information determined:	N152144	01/28/2010
General Notes	1) there has been a reduction of over 400 CAPs since the	N152144	01/28/2010
General Notes	begining of this effort.(from the initial inventory)	N152144	01/28/2010
General Notes	2) The generation rate of CAPs has increased - this was	N152144	01/28/2010
General Notes	attributed to additional emphasis on the program.	N152144	01/28/2010
General Notes	3) The inventory total has been reduced by approx 200.	N152144	01/28/2010
General Notes	4) due to station events the reduction rate has not met	N152144	01/28/2010
General Notes	expectations and therefore our 1000 inventory by 3/31 is in	N152144	01/28/2010
General Notes	jeaporday.	N152144	01/28/2010
General Notes	5) additional efforts to work weekends and close out lower	N152144	01/28/2010
General Notes	signifiante actions are scheduled within the next 3 weeks.	N152144	01/28/2010
General Notes	This effort will continue until we reach the expected goals.	N152144	01/28/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01187837		AR	APPROVED	07/01/2009	Adverse Trend in Governing & Oversight of PARB & Perf Improv

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

01183116 - 07 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type: CE **Due Date:** Locked 10/30/2009 **Status/Date:** 85 COMPLETE 10/01/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 0
Assign To: WNDJ04 **Department:** 38 Performce Improvemt
Last Name: Windschill, John E
Subject: Examine CAP default due dates

Description:

<u>Description Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
Examine the logic applied to default due dates	N156952	07/02/2009
for CAP actions and evaluations.	N156952	07/02/2009
.	N152144	09/19/2009
Review and define the documentation requirements	N152144	09/19/2009
for deviation from default values. For example, if the	N152144	09/19/2009
deviation is determined at Screening team level does this	N152144	09/19/2009
need to be documented by the 'Owed to', How do we document	N152144	09/19/2009
long term program improvements/actions/evaluations.	N152144	09/19/2009

In Progress Notes:

<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	When this action was initiated, the default due date for	WNDJ04	10/01/2009



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	actions was 120 days. With rev 23 to ARP-01, additional	WNDJ04	10/01/2009
General Notes	granularity has been included (ASAP, 90, 180 days), which	WNDJ04	10/01/2009
General Notes	partially answers the question posed by this action (i.e.,	WNDJ04	10/01/2009
General Notes	enhances the logic applied to due date methodology).	WNDJ04	10/01/2009
General Notes	ARP-01 in step 5.7 (evaluation assignment) directs that	WNDJ04	10/01/2009
General Notes	the basis for exceeding the default due date be documented.	WNDJ04	10/01/2009
General Notes	Since evaluations are mostly initiated at screening,	WNDJ04	10/01/2009
General Notes	screening meeting processing is required to accomplish this.	WNDJ04	10/01/2009
General Notes	ARP-01 step 5.13 (action assignment) does not have the	WNDJ04	10/01/2009
General Notes	companion direction for documentation, but should. However,	WNDJ04	10/01/2009
General Notes	this is an enhancement to the procedure. Therefore, this	WNDJ04	10/01/2009
General Notes	action is complete. The owner of ARP-01 has this comment	WNDJ04	10/01/2009
General Notes	for incorporation in the next revision.	WNDJ04	10/01/2009

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

01183116 - 08 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type:	CA	Due Date:	Not Locked 3/12/2010	Status/Date:	85 COMPLETE 03/12/2010
Pri Resp Grp:		Aff Fac:	PI	Priority:	5
Sec Resp Grp:		Unit:		System:	
Assign To:	N104369	Department:	38	RsCh Chgs:	2
Last Name:	Birkel, Matthew J				
Subject:	Provide CAP Problem Statement training				

Description:

Description Notes

Provide CAP problem statement training/familiarization to station personnel who have the role of initiating, approving, and screening CAPs. This action includes demonstration of proficiency.

Last Updated By Last Updated Date

N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

In Progress Notes:

<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
Assignment Extended with the permission of Owed To/Business Support Manager . Completion plan documented in sharepoint. mjb	N104369	02/26/2010
Linked to AR 01187837 SCAQ and Adverse finding needs all extensions to take the form of an A severity level and NOS finding action.		
Proficiency for generating Problem statements is not required as part of completion of this action. The training is the operative action and there are other actions as part of the feedback mechanism that will test proficiency.		
Extended 2nd time with permission of PARB, NOS Manager and Site VP.		
Due date extended 2 weeks		

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	CAP Problem Statement Information sharing was given to	N104369	03/12/2010
General Notes	Managers and Supervisors during several Info Sharing	N104369	03/12/2010
General Notes	sessions in February and March. Attached in SharePoint is	N104369	03/12/2010
General Notes	the PowerPoint used during that Presentation. Also attached	N104369	03/12/2010
General Notes	is QF-1060-02 (Info Sharing documentation form) from those	N104369	03/12/2010
General Notes	sessions.	N104369	03/12/2010
General Notes	Also a D-15 Team Notes Article was issued for all site	N104369	03/12/2010
General Notes	employees. That article is attached in SharePoint.	N104369	03/12/2010
General Notes	Proficiency is demonstrated by well written problem	N104369	03/12/2010
General Notes	statements. The measure for proficiency is the percent of	N104369	03/12/2010
General Notes	CAP rejected for poorly written problem statements. The tool	N104369	03/12/2010
General Notes	to obtain this percentage was created under completed CAP	N104369	03/12/2010
General Notes	corrective action 01183116 & 09 (Screen Team Acceptance of	N104369	03/12/2010



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	CAP Problem Statements). The AR Screening team rejects CAPs	N104369	03/12/2010
General Notes	and provides coaching to both Originator and the Supervisor	N104369	03/12/2010
General Notes	(or SRO) reviewer of CAP. The number of CAPs rejected is	N104369	03/12/2010
General Notes	monitored by Performance Assessment and is being tracked	N104369	03/12/2010
General Notes	with the department report cards.	N104369	03/12/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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AT-0175 Action Request Record Report

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Report Date: 07/20/2010

Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01211594	0600	AS	COMPLETE	03/26/2010	Eval effectiveness of 1183116-08 (CAP Initiation Trng)

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

01183116 - 09 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type: CA **Due Date:** Not Locked 12/17/2009 **Status/Date:** 85 COMPLETE 12/16/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 1
Assign To: N104369 **Department:** 38 Performce Improvemt
Last Name: Birkel, Matthew J
Subject: Screen Team Acceptance of CAP Probelm Statements

Description:

Description Notes

Establish expectation for the CAP Screening Team to reject C
APs that have inadequate problem statements back to the appr
oving supervisor, including comments for improvement. Develo
p site and department level performance monitoring tool to m
onitor problem statement quality.

Last Updated By Last Updated Date

N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009

In Progress Notes:

Notes

Last Updated By Last Updated Date

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes	CAP problem statement acceptance has been discussed numerous	N104369	12/16/2009
General Notes	times in the AR Screening meeting. Continued reinforcement	N104369	12/16/2009
General Notes	and coaching will be provided. To track and Inadequate	N104369	12/16/2009
General Notes	problem statement as indicated by the Screening Team an	N104369	12/16/2009



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	'N' will be added to the well written attribute to indicate	N104369	12/16/2009
General Notes	a rejected CAP. This will be tracked monthly along with the	N104369	12/16/2009
General Notes	Department Level CAP Report Cards by PARB. MJB	N104369	12/16/2009

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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Report Date: 07/20/2010

01183116 - 10 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type:	CA	Due Date:	Not Locked 2/19/2010	Status/Date:	85 COMPLETE 02/19/2010
Pri Resp Grp:		Aff Fac:	PI	Priority:	5
Sec Resp Grp:		Unit:		System:	
Assign To:	WNDJ04	Department:	38	Rsch Chgs:	0
Last Name:	Windschill, John E				
Subject:	Provide Formal ACE Training				

Description:

Description Notes

Provide formal Cause Evaluator Training, to include demonstration of proficiency, to selected currently qualified cause evaluators and members of the Technical review Panel. These individuals can then act as mentors for other station personnel performing apparent cause evaluations until they complete similar training.

Last Updated By Last Updated Date

N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009

In Progress Notes:

Notes

Linked to AR 01187837 SCAQ and Adverse finding needs all extensions to take the form of an A severity level and NOS finding action.

Last Updated By Last Updated Date

N152144	12/30/2009
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Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes	Pilot ACE training session was conducted on	WNDJ04	02/19/2010
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	2/16/10 and presented to 6 ACE performers plus	WNDJ04	02/19/2010
General Notes	the CAP Peer Group. The class overall was a	WNDJ04	02/19/2010
General Notes	success. Several comments were provided to	WNDJ04	02/19/2010
General Notes	the instructor, and the comments have been	WNDJ04	02/19/2010
General Notes	incorporated into lesson plan FL-PAS-CAP-001L.	WNDJ04	02/19/2010
General Notes	Action complete.	WNDJ04	02/19/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				
MR(A)1 ACTION?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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AT-0175 Action Request Record Report

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Report Date: 07/20/2010

Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01210862		AR	COMPLETE	04/29/2010	Revise ACE JFG and Develop Training

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

01183116 - 11 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type: CA **Due Date:** Not Locked 2/19/2010 **Status/Date:** 85 COMPLETE 02/19/2010
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 1
Assign To: WNDJ04 **Department:** 38 Performce Improvemt
Last Name: Windschill, John E
Subject: Revise ACE Evaluator JFG

Description:

Description Notes

Revise the ACE Evaluator JFG to require formal apparent caus
e evaluator training and demonstration of proficiency prior
to be granted qualification.

Last Updated By Last Updated Date

N155806 09/29/2009
N155806 09/29/2009
N155806 09/29/2009

In Progress Notes:

Notes

Linked to AR 01187837 SCAQ and Adverse finding needs all extensions to take
the form of an A severity level and NOS finding action.

Last Updated By Last Updated Date

N152144 12/30/2009

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes The ACE JFG was revised to include step to complete
General Notes FL-CAP-PAS-001L lesson. JFG already required
General Notes performing an ACE under a qualified performer to
General Notes verify proficiency before being qualified. Revision

WNDJ04 02/19/2010
WNDJ04 02/19/2010
WNDJ04 02/19/2010
WNDJ04 02/19/2010



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	was posted to the web on 2/18/10.	WNDJ04	02/19/2010
General Notes	A signature block for the candidate was left off the	WNDJ04	02/19/2010
General Notes	revised JFG, and will be added. The revision satisfies	WNDJ04	02/19/2010
General Notes	the required change as is. Action complete.	WNDJ04	02/19/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01210862		AR	COMPLETE	04/29/2010	Revise ACE JFG and Develop Training
AS	01183117	2300	AS	COMPLETE	10/01/2009	Revise FL-CAP-ACE-001G ACE JFG

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

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Report Date: 07/20/2010

01183116 - 12 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type: CA **Due Date:** Not Locked 4/23/2010 **Status/Date:** 85 COMPLETE 04/09/2010
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 0
Assign To: N154161 **Department:** 11 Shift Operations
Last Name: Notbohm, Andrew D
Subject: Provide training to remainder of ACE evaluators

Description:

Description Notes

Provide training to the remainder of the currently qualified
ACE evaluators per the revised ACE JFG.

Last Updated By Last Updated Date

N155806 09/29/2009
N155806 09/29/2009

In Progress Notes:

Notes

Linked to AR 01187837 SCAQ and Adverse finding needs all extensions to take
the form of an A severity level and NOS finding action.

Last Updated By Last Updated Date

N152144 12/30/2009

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes	A total of 10 classes have been offered and completed. The	N154161	04/09/2010
General Notes	new JFG requirements will take effect on 24 April. At that	N154161	04/09/2010
General Notes	time, approximately 50 currently-qualified personnel will	N154161	04/09/2010
General Notes	lose there qualifications. There will be approximately 100	N154161	04/09/2010
General Notes	qualified evaluators after the new standard is in effect.	N154161	04/09/2010



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	While this action was to provide to all currently-qualified	N154161	04/09/2010
General Notes	individuals, it is acceptable to close the actions as ample	N154161	04/09/2010
General Notes	opportunity to complete the class was provided, the new	N154161	04/09/2010
General Notes	requirement was communicated via leadership forums, emails	N154161	04/09/2010
General Notes	and D-15s. There will be follow-on opportunities for	N154161	04/09/2010
General Notes	requalification on a quarterly basis. The number of	N154161	04/09/2010
General Notes	personnel that will retain qualification is sufficient for	N154161	04/09/2010
General Notes	the needs of the site, therefore this action is complete.	N154161	04/09/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01210862		AR	COMPLETE	04/29/2010	Revise ACE JFG and Develop Training

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

01183116 - 13 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type: CA **Due Date:** Not Locked 2/26/2010 **Status/Date:** 85 COMPLETE 02/26/2010
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 1
Assign To: N104369 **Department:** 38 Performce Improvemt
Last Name: Birkel, Matthew J
Subject: Provide training on developing SMART CAs

Description:

Description Notes

Provide training to cause evaluators, individuals with authority to approve cause evaluations, and PARB and ACE Grading Team members on the development of quality corrective actions that meet SMART criteria.

Last Updated By Last Updated Date

N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009

In Progress Notes:

Notes

Assignment extended with approval form Owed To/Business Support. Completion plan documented in sharepoint. MJB
.
Linked to AR 01187837 SCAQ and Adverse finding needs all extensions to take the form of an A severity level and NOS finding action.
.
NOS extension requested 2/9/10 KWP

Last Updated By Last Updated Date

N152144	02/09/2010
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	Closure notes and documents in SharePoint file	N104369	02/26/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
TRB?				
PARB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01211594	0700	AS	CANCELED	06/30/2010	Eval effectiveness of 1183116-13 (trng on SMART actions)



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

01183116 - 14 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type: CA **Due Date:** Not Locked 2/12/2010 **Status/Date:** 85 COMPLETE 02/12/2010
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 1
Assign To: WNDJ04 **Department:** 38 Performce Improvemt
Last Name: Windschill, John E
Subject: Delete CCE as cause evaluation type

Description:

Description Notes

To improve the quality of B Level evaluations, revise applicable CAP procedures to delete the CCE as a stand alone causal evaluation process, and instead fold it into the ACE process as another tool in the bag.

Last Updated By Last Updated Date

N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009

In Progress Notes:

Notes

Linked to AR 01187837 SCAQ and Adverse finding needs all extensions to take the form of an A severity level and NOS finding action.

Last Updated By Last Updated Date

N152144	12/30/2009
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Completion Notes:

Note Type

Notes

General Notes	Revision 17 to the RCE Manual was issued on 2/12/10.
General Notes	Rev 17 incorporates the common cause analysis tool
General Notes	as an additional tool to use for causal analysis. The

Last Updated By Last Updated Date

WNDJ04	02/12/2010
WNDJ04	02/12/2010
WNDJ04	02/12/2010



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	ACE manual was also revised to reference the location	WNDJ04	02/12/2010
General Notes	of the CCA tool. Therefore, in the future CCAs will be	WNDJ04	02/12/2010
General Notes	performed under the umbrella of an ACE or an RCE.	WNDJ04	02/12/2010
General Notes	The CCE manual was also deleted on 2/12/10.	WNDJ04	02/12/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Report Date: 07/20/2010

Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01215099		AR	COMPLETE	02/12/2010	FG-PA-CCE-01, rev 6

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

01183116 - 15 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type: CA **Due Date:** Not Locked 3/26/2010 **Status/Date:** 85 COMPLETE 03/26/2010
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 1
Assign To: WNDJ04 **Department:** 38 Performce Improvemt
Last Name: Windschill, John E
Subject: Incorporate Risk/Consequence, Actions/Uncertainty

Description:

Description Notes

Revise Screening Team agenda to include a tool that assesses significance against Risk/Consequence and Actions/Uncertainty (benchmark Constellation Energy). This tool will assist with determining appropriate cause evaluation type (level of effort) for CAPs.

Last Updated By Last Updated Date

N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009

In Progress Notes:

Notes

Linked to AR 01187837 SCAQ and Adverse finding needs all extensions to take the form of an A severity level and NOS finding action. See completion notes. Action reopened to add clarification of closure. Action not overdue.

Last Updated By Last Updated Date

WNDJ04	03/26/2010
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Completion Notes:

Note Type

Notes

General Notes	Revision 24 of ARP-01 was issued on 1/27/10 and includes
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Last Updated By Last Updated Date

WNDJ04	01/27/2010
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	a Risk/Uncertainty Matrix for help in determining the	WNDJ04	01/27/2010
General Notes	appropriate severity level for CAPs in new Attachment 8.	WNDJ04	01/28/2010
General Notes	Action reopened to add more information to closure notes,	WNDJ04	03/26/2010
General Notes	per CAP 1224094. Therefore, this is not an overdue CA.	WNDJ04	03/26/2010
General Notes	Agenda in the assignment description means "list of things	WNDJ04	03/26/2010
General Notes	to consider" (first definition of agenda in Websters).	WNDJ04	03/26/2010
General Notes	This list is in Attach 7 of ARP-01, which was revised to	WNDJ04	03/26/2010
General Notes	reference the new decision tool matrix being added to the	WNDJ04	03/26/2010
General Notes	CAP screening process. Another interpretation of agenda	WNDJ04	03/26/2010
General Notes	is the QF-0429. There is no reason to revise the QF-0429,	WNDJ04	03/26/2010
General Notes	since it includes Significance Level determination, from	WNDJ04	03/26/2010
General Notes	which level of effort follows, and from which the decision	WNDJ04	03/26/2010
General Notes	tool matrix may be applied.	WNDJ04	03/26/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				



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Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01212081		AR	COMPLETE	01/28/2010	FP-PA-ARP-01, rev 23

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

01183116 - 16 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type: CA **Due Date:** Not Locked 12/15/2009 **Status/Date:** 85 COMPLETE 12/15/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 1
Assign To: WNDJ04 **Department:** 38 Performce Improvemt
Last Name: Windschill, John E
Subject: PARB In-line review of A CA closure

Description:

Description Notes

Revise PARB duties to include in-line review of closed corrective actions associated with A level CAPs to verify CA closures are acceptable and comply with procedural requirements.

Last Updated By Last Updated Date

N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009

In Progress Notes:

Notes

Last Updated By Last Updated Date

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes	Rev 10 of FG-PA-PAR-01 issued on 12/15/09 and	WNDJ04	12/15/2009
General Notes	requires PARB to review closure quality of "A"	WNDJ04	12/15/2009
General Notes	corrective actions. In-line review was not required	WNDJ04	12/15/2009
General Notes	by Peer Group decision, as it is seen as detracting	WNDJ04	12/15/2009
General Notes	from action owner accountability.	WNDJ04	12/15/2009



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Report Date: 07/20/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				
MRFF?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Report Date: 07/20/2010

Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

01183116 - 17 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type: CA **Due Date:** Not Locked 11/20/2009 **Status/Date:** 85 COMPLETE 11/19/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 0
Assign To: N157416 **Department:** 38 Performce Improvemt
Last Name: Kalderon, Steve
Subject: TRP CA closure reviews

Description:

Description Notes

Revise Technical Review Panel duties to include review of t
he closure of a 10% sampling of recently closed corrective a
ctions associated with B and C Level CAPs to verify CA clusu
res are acceptable and comply with procedural requirements.
The results will be trended by department and reviewed by t
he PARB.

Last Updated By Last Updated Date

N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009

In Progress Notes:

Notes

Last Updated By Last Updated Date

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes	Reviewed new requirements with TRP and included sample	N157416	11/19/2009
General Notes	agenda page comparing new vs old requirement in meeting	N157416	11/19/2009
General Notes	minutes (11-18-09). New vs old sampling requirement	N157416	11/19/2009



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	comparison attached in Sharepoint. Future TRPs will conduct	N157416	11/19/2009
General Notes	10% sampling requirement.	N157416	11/19/2009

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref Type</u>	<u>Reference Nbr</u>	<u>Ref Sub</u>	<u>Ref Nbr Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01211594	0800	AS	COMPLETE	03/29/2010	Eval. effect. of 1183116-17 (TRB review of action closures)



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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Report Date: 07/20/2010

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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type: CA **Due Date:** Not Locked 4/16/2010 **Status/Date:** 85 COMPLETE 04/16/2010
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 1
Assign To: WNDJ04 **Department:** 38 Performce Improvemt
Last Name: Windschill, John E
Subject: Modify PASSPORT for for Owed To reviews

Description:

Description Notes

To ensure the right level of management is engaged in cause evaluation and corrective action completion, evaluate how to modify Passport to provide a review of completed cause evaluations and closed corrective actions by the noted approval authority. Implement when optimum method has been determined.

Last Updated By Last Updated Date

N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009

In Progress Notes:

Notes

Linked to AR 01187837 SCAQ and Adverse finding needs all extensions to take the form of an A severity level and NOS finding action.
Request for due date extension sent by manager via e-mail
This is a Bravo CAP and does not require PARB approval
Assigned to requested change to supervisor as Coordinator is on disability

Last Updated By Last Updated Date

LJENGE01	03/08/2010
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AT-0175 Action Request Record Report

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Report Date: 07/20/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	See email in Sharepoint related to the scope of this	WNDJ04	04/16/2010
General Notes	corrective action. When the due date was revised on	WNDJ04	04/16/2010
General Notes	1/22/10, the scope of the action was changed to be	WNDJ04	04/16/2010
General Notes	determination of the best method within Passport to	WNDJ04	04/16/2010
General Notes	accomplish the action, with initiation of a new action	WNDJ04	04/16/2010
General Notes	when the method is determined to implement the agreed	WNDJ04	04/16/2010
General Notes	upon method.	WNDJ04	04/16/2010
General Notes	See 3 other files in Sharepoint: 1) update for corrective	WNDJ04	04/16/2010
General Notes	action 1183116-18, 2) decision matrix, and 3) CAP Summit.	WNDJ04	04/16/2010
General Notes	A meeting was held on 4/12/10 with the Plant Managers, Site	WNDJ04	04/16/2010
General Notes	Director, Ops Std Director, PA Manager, Business Support	WNDJ04	04/16/2010
General Notes	Managers, and PA Supervisors (CAP Summit). Concurrence	WNDJ04	04/16/2010
General Notes	was obtained to not implement 1183116-18 as originally	WNDJ04	04/16/2010
General Notes	designed, but instead revise the PI Dept Report Card to	WNDJ04	04/16/2010
General Notes	include CA closure quality.	WNDJ04	04/16/2010
General Notes	On 4/13/10, Monticello PARB concurred with this decision,	WNDJ04	04/16/2010
General Notes	but PI PARB rejected the decision.	WNDJ04	04/16/2010
General Notes	The Ops Std Director has decided that Passport will not be	WNDJ04	04/16/2010
General Notes	revised to incorporate supervisory approval of CAP	WNDJ04	04/16/2010
General Notes	assignments. The PI Business Support Manager was	WNDJ04	04/16/2010
General Notes	directed to discuss this issue with the Site Director, who	WNDJ04	04/16/2010
General Notes	was not at the PI PARB meeting on 4/13/10.	WNDJ04	04/16/2010
General Notes	Action 25 was initiated to accomplish the Report Card	WNDJ04	04/16/2010
General Notes	revision.	WNDJ04	04/16/2010



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REV.2

Report Date: 07/20/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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AT-0175 Action Request Record Report

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Report Date: 07/20/2010

Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

01183116 - 18 - 01



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type: CA **Due Date:** Not Locked 11/20/2009 **Status/Date:** 95 CANCELED 10/22/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 0
Assign To: LJENGE01 **Department:** 38 Performce Improvemt
Last Name: Engesser, Lori J
Subject: Evaluate how to do in-line supv approval of Passport items

Description:

<u>Description Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
Evaluate and provide report that describes options for	WNDJ04	10/01/2009
accomplishing in-line supervisory approval of evaluations	WNDJ04	10/01/2009
and assignments in Passport. Describe pros and cons	WNDJ04	10/01/2009
of each option, and provide recommended solution.	WNDJ04	10/01/2009
Goal should be to use existing features of Passport, and	WNDJ04	10/01/2009
obtain documented supv approval within due date.	WNDJ04	10/01/2009
Review how Seabrook is making this happen.	WNDJ04	10/01/2009

In Progress Notes:

<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	Parent assignment reassigned to me so this assignment is now	LJENGE01	10/22/2009
General Notes	duplicate and can be cancelled. (reopened to add this note)	LJENGE01	10/22/2009



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REV.2

Report Date: 07/20/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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REV.2

Report Date: 07/20/2010

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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type: CA **Due Date:** Not Locked 11/13/2009 **Status/Date:** 85 COMPLETE 10/24/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 0
Assign To: N152144 **Department:** 38 Performance Improvement
Last Name: Petersen, Kurt W
Subject: PARB Review of Excellence Plan

Description:

Description Notes

Last Updated By Last Updated Date

PARB will review CAP Excellence Plan implementation weekly to ensure the following:

1. progress on action completion is meeting schedule
2. action closure is appropriate
3. station support for the plan is appropriate
4. new actions are identified based on input from self-assessments, NOS, or other sources

(add to PARB agenda)

N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009

In Progress Notes:

Notes

Last Updated By Last Updated Date

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes	The excellence plan including PARB improvement was presented
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N152144	10/24/2009
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	and approved at the October 23, 2009 PARB meeting.	N152144	10/24/2009
General Notes	The plan includes additional actions from AR 01187837.	N152144	10/24/2009
General Notes	Action complete	N152144	10/24/2009

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

01183116 - 20 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type: CA **Due Date:** Not Locked 12/10/2009 **Status/Date:** 85 COMPLETE 11/25/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 1
Assign To: N152144 **Department:** 38 Performce Improvemt
Last Name: Petersen, Kurt W
Subject: Communicate CAP Excellence Plan

Description:

Description Notes

On monthly bases, or more frequently as directed by PARB, co
mmunicate the status of the CAP Excellence Plan implementati
on to the station staff via D-15 meetings. (develop schedule
)

Last Updated By Last Updated Date

N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009

In Progress Notes:

Notes

The CAP excellence plan was presented to PARB and approved to proceed in
October 2009. The next presentation will be thru the Leadership forum
beginning on November 17th. We are scheduled for monthly update meetings
after that. The communication to the entire station will occur after the
leadership team has been informed so that they are in a position to answer
questions from their personnel.
Therefore this action has been rescheduled to begin December 10th.

Last Updated By Last Updated Date

N152144	11/13/2009
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	The excellence plant was reviewed in the leadership	N152144	11/25/2009
General Notes	meeting held on November 17th. There are scheduled	N152144	11/25/2009
General Notes	additional meetings to follow that provide more detail and	N152144	11/25/2009
General Notes	the results of the efforts.	N152144	11/25/2009
General Notes	This action has been completed but communication will	N152144	11/25/2009
General Notes	continue beyond the due date.	N152144	11/25/2009

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

01183116 - 21 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type: CA **Due Date:** Not Locked 11/13/2009 **Status/Date:** 85 COMPLETE 10/10/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 0
Assign To: N152144 **Department:** 38 Performce Improvemt
Last Name: Petersen, Kurt W
Subject: Discuss CAP Excellence Plan with Resident

Description:

Description Notes

On monthly bases the Business Support Manager and Performanc
e Improvement Group Supervisor will discuss the plan impleme
ntation with the NRC Resident Inspector. (develop schedule)

Last Updated By Last Updated Date

N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009

In Progress Notes:

Notes

Last Updated By Last Updated Date

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes	I have provided a copy of the CAP excellence plan to the Sr.	N152144	10/10/2009
General Notes	Resident on October 8, 2009.	N152144	10/10/2009
General Notes	.	N152144	10/10/2009
General Notes	The individual actions were reviewed and discussed.	N152144	10/10/2009
General Notes	Expected results and timeliness were reviewed. This will be	N152144	10/10/2009
General Notes	a continuing disucssion in my bi-weekly meetings with the	N152144	10/10/2009



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	resident.	N152144	10/10/2009

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
TRB?				
PARB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>			
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>
					<u>Description</u>

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

01183116 - 22 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type: CA **Due Date:** Not Locked 1/29/2010 **Status/Date:** 85 COMPLETE 01/28/2010
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 1
Assign To: N152144 **Department:** 38 Performce Improvemt
Last Name: Petersen, Kurt W
Subject: Arrange for INPO CAP Assistance Visit

Description:

Description Notes

Arrange for INPO to conduct an assistance visit to provide a
dditional input and ideas for improving the use of CAP at Pr
airie Island.

Last Updated By Last Updated Date

N155806 09/29/2009
N155806 09/29/2009
N155806 09/29/2009

In Progress Notes:

Notes

Last Updated By Last Updated Date

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes	The scheduled FSA using an USA member has been determined to	N152144	01/28/2010
General Notes	meet the intent of this effort. The FSA will provide the	N152144	01/28/2010
General Notes	sanity check on our progress and thought process in	N152144	01/28/2010
General Notes	preparation for better performance and the IP 71152 from the	N152144	01/28/2010
General Notes	NRC.	N152144	01/28/2010
General Notes	therefore we will not use a formal assist visit but ask for	N152144	01/28/2010



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	an independant looks by INPO separatly.	N152144	01/28/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

01183116 - 23 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type: CA **Due Date:** Not Locked 11/19/2009 **Status/Date:** 85 COMPLETE 11/18/2009
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 1
Assign To: N152144 **Department:** 38 Performce Improvemt
Last Name: Petersen, Kurt W
Subject: Presnet Excellence Plan at Leadership Forum

Description:

Description Notes

To keep the site supervisors informed present the status of the plan implementation at the Leadership Forum monthly. (develop schedule)

Last Updated By Last Updated Date

N155806	09/29/2009
N155806	09/29/2009
N155806	09/29/2009

In Progress Notes:

Notes

This presentation was delayed due to refueling extensions greater than 30 days. The presentation to the leadership team is scheduled for Tuesday November 17th. This action has been rescheduled to be due after that time.

Last Updated By Last Updated Date

N152144	11/13/2009
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Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes	CAP excellence plan was presented to the leadership forum	N152144	11/18/2009
General Notes	on November 17, 2009	N152144	11/18/2009
General Notes	Repeative presentations are planned for future months on	N152144	11/18/2009
General Notes	progress of the plan	N152144	11/18/2009



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

01183116 - 24 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type: XPLA **Due Date:** Not Locked 4/12/2010 **Status/Date:** 85 COMPLETE 04/12/2010
Pri Resp Grp: **Aff Fac:** PI **Priority:** 5 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 0
Assign To: SLCHRI01 **Department:** 83 Bus & Strategic Plan
Last Name: Schnell, Shari L
Subject: Corrective Action Implementation Resolution Xcellence Plan

Description:

Description Notes

Corrective Action Implementation Resolution Xcellence Plan
Tracking Item for Recovery Plan.

Last Updated By Last Updated Date

SLCHRI01 03/01/2010
SLCHRI01 03/01/2010

In Progress Notes:

Notes

Last Updated By Last Updated Date

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes This is just an Xcellence Plan Tracking Item only. No
General Notes further completion notes are needed. sls

SLCHRI01 04/12/2010
SLCHRI01 04/12/2010

Attributes:

Attribute

Value

Reqd By

Last Updated By Last Updated Date

SOURCE SITE INITV SLCHRI01 03/04/2010
SPONSOR N154161 SLCHRI01 03/04/2010



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
PRIORITY-RISKBENEFIT	2		SLCHRI01	03/04/2010
PRIORITY-SUB-RISK	G		SLCHRI01	03/04/2010
PRIORITY-URGENCY	1		SLCHRI01	03/04/2010
RESOURCE FTE	000000000100		SLCHRI01	03/04/2010
1-START DATE	20090315		SLCHRI01	03/04/2010
2-END DATE	20100523		SLCHRI01	03/04/2010
DEPARTMENT	38		SLCHRI01	03/04/2010

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

01183116 - 25 - 00



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Assign Type: CA **Due Date:** Not Locked 6/25/2010 **Status/Date:** 85 COMPLETE 06/25/2010
Pri Resp Grp: **Aff Fac:** PI **Priority:** 3 **Unit:** **System:**
Sec Resp Grp: **Rsch Chgs:** 0
Assign To: N154394 **Department:** 38 Performce Improvemt
Last Name: Brown, Andrew
Subject: Revise Perf Imp Dept Report Card for CA closure quality

Description:

Description Notes

Revise the report card to include CA closure quality for A/B/C actions. Rely on PARB review of A actions, and TRP or PA Coord review of sampling of B/C actions.

Last Updated By Last Updated Date

WNDJ04	04/16/2010
WNDJ04	04/16/2010
WNDJ04	04/16/2010
WNDJ04	04/16/2010

In Progress Notes:

Notes

Last Updated By Last Updated Date

Completion Notes:

Note Type

Notes

Last Updated By Last Updated Date

General Notes	KPI Change was approved by the peer group and by the	N154394	06/25/2010
General Notes	executive sponsor and was implemented at both sites.	N154394	06/25/2010
General Notes	A closure quality metric was added to the PI Dept Report	N154394	06/25/2010
General Notes	Card at both sites with a goal of 95%. The review	N154394	06/25/2010
General Notes	population includes all A & B actions plus 10% of the	N154394	06/25/2010



AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Completion Notes:

<u>Note Type</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
General Notes	C actions per department (not to exceed 5 C actions per	N154394	06/25/2010
General Notes	month per department).	N154394	06/25/2010
General Notes	The new KPI is effective as of today and will be filled	N154394	06/25/2010
General Notes	in for the first time in July with June data.	N154394	06/25/2010

Attributes:

<u>Attribute</u>	<u>Value</u>	<u>Reqd By</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
LICENSING REVIEW?				
MODE CHG RESTRAINT				
MR(A)1 ACTION?				
MRFF?				
NOS REVIEW?				
NRC COMMITMENT DATE				
NRC COMMITMENT?				
OUTAGE NUMBER				
PARB?				
TRB?				

Attributes Notes:

<u>Attribute</u>	<u>Notes</u>	<u>Last Updated By</u>	<u>Last Updated Date</u>
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AT-0175 Action Request Record Report

REV.2

Report Date: 07/20/2010

Cross References:

<u>Ref</u>	<u>Ref</u>	<u>Ref Nbr</u>				
<u>Type</u>	<u>Reference Nbr</u>	<u>Sub</u>	<u>Type</u>	<u>Status</u>	<u>Status Date</u>	<u>Description</u>
AS	01203659	0300	AS	COMPLETE	06/25/2010	Implement KPI Change

Material References:

<u>UTC No.</u>	<u>Manufacturer</u>	<u>Model No.</u>	<u>Serial No.</u>
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Equipment/Component References:

<u>Facility</u>	<u>Unit</u>	<u>Op Sys</u>	<u>Division</u>	<u>Area</u>	<u>System</u>	<u>Class</u>	<u>Equip Type</u>	<u>Equipment</u>	<u>Comp Type</u>	<u>Component</u>
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Reference Docs:

<u>Facility</u>	<u>Doc Type</u>	<u>Sub Type</u>	<u>Document Number</u>	<u>Sheet</u>	<u>Rev</u>	<u>Minor</u>	<u>Doc Date</u>	<u>Title</u>
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