



**UNITED STATES**  
**NUCLEAR REGULATORY COMMISSION**  
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE  
INSPECTOR GENERAL**

July 23, 2010

MEMORANDUM TO: R. William Borchardt  
Executive Director for Operations

FROM: Stephen D. Dingbaum */RA/*  
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: INDEPENDENT  
EVALUATION OF NRC'S IMPLEMENTATION OF FISMA  
FOR FY 2007 (OIG-07-A-19)

REFERENCE: DIRECTOR, COMPUTER SECURITY OFFICE,  
MEMORANDUM DATED JUNE 25, 2010

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendation 11 as discussed in the agency's response dated June 25, 2010. Based on this response, recommendation 11 is closed. Recommendations 1-10 were closed previously. All recommendations related to this report are now closed.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca, Team Leader, at 415-5911.

Attachment: As stated

cc: N. Mamish, OEDO  
J. Andersen, OEDO  
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## **Audit Report**

### **INDEPENDENT EVALUATION OF NRC'S IMPLEMENTATION OF FISMA FOR FY 2007**

**OIG-07-A-19**

#### **Status of Recommendation**

Recommendation 11: Develop and implement quality assurance procedures for Plan of Action and Milestones (POA&Ms).

Agency Response Dated  
June 25, 2010:

CSO purchased the Xacta application for assisting in security compliance assessment, risk management and security process automation. Xacta is in the configuration phase at the CTF awaiting purchase of Structured Query Language licenses and installation/hardening of standard operating system images. Additionally, in FY 2010 the POA&M process was briefed to various system owners and internal forums, and the first POA&M scoring was developed by CSO and provided to each system owner (e.g. ML100920210). Subsequent scoring will be improved and facilitated by a POA&M checklist (ML 100840319) developed by CSO to assist in ensuring increased accuracy and completion of NRC system POA&Ms as part of the effort to continuously improve the POA&M process and ensure quality assurance. Scoring of all 3<sup>rd</sup> Quarter POA&Ms using this checklist will be provided to system owners and will continue each successive quarter thereafter.

CSO recommends that this recommendation be closed.

OIG Analysis: OIG reviewed the quality assurance procedures and determined that the actions taken to purchase software, brief system owners, and develop a scoring method meet the intent of recommendation 11. This recommendation is therefore considered closed.

**Status:** Closed.