



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE
INSPECTOR GENERAL**

July 22, 2010

MEMORANDUM TO: R. William Borchardt
Executive Director for Operations

FROM: Stephen D. Dingbaum */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S
LAPTOP MANAGEMENT (OIG-08-A-19)

REFERENCE: DIRECTOR, OFFICE OF NUCLEAR MATERIAL SAFETY
AND SAFEGUARDS, MEMORANDUM DATED
JUNE 24, 2010

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated June 24, 2010. Based on this response, recommendation 4 and 5 are closed. Recommendations 1, 2, and 3 were closed previously. All recommendations to this report are now closed.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca, Team Leader, at 415-5911.

Attachment: As stated

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Audit Report

AUDIT OF NRC'S LAPTOP MANAGEMENT

OIG-08-A-19

Status of Recommendations

Recommendation 4: Develop a process for verifying that all required security controls are implemented on agency-owned laptops.

Agency Response Dated
June 24, 2010:

CSO has updated the continuous monitoring process to include criteria to evaluate System Owner compliance with required security controls (ML101610498). The annual continuous monitoring reviews of each office and their respective systems will include an assessment of the implementation of required security controls on standalone PCs and laptops. The review of the first office to be assessed against the updated criteria is expected to be completed in July, 2010 (see ML101410008).

CSO recommends that this recommendation be closed.

OIG Analysis: OIG reviewed the continuous monitoring process to verify that the criteria are included and determined that CSO has developed the process and has implemented it. This recommendation is closed.

Status: Closed.

Audit Report

AUDIT OF NRC'S LAPTOP MANAGEMENT

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Status of Recommendations

Recommendation 5: Develop a protocol to facilitate the efficient and routine updating of agency-owned laptops located at headquarters.

Agency Response Dated
June 24, 2010:

The OIS has begun working towards centralized management of agency laptop computers to provide enhanced laptop security in accordance with CSO policy and guidance. OIS established a program for loaning OIS-managed laptops to agency headquarters staff. This program supplements, and in some cases, replaces loaner laptop programs managed by program offices. Laptops are configured for use either outside the agency (e.g. travel or work-at-home) or as a device that can attach to the production network within NRC. Laptops that are authorized to directly attach to the NRC network are updated via the NRC managed infrastructure. The travel laptop image used to support loaner laptops has Microsoft Windows Automatic Update and the Symantec Endpoint Protection scheduled updates set to run daily. The laptop program follows the guidance and roles defined in the NRC CSO laptop security policy. The policy can be found at

http://www.internal.nrc.gov/CSO/documents/Laptop_Policy.doc.

OIS also provides support to assist headquarters staff with ensuring their office-provided laptops meet current security guidelines. Laptop services include configuring laptops for compliance with the Federal Desktop Core Configuration and installing Symantec protection software. Laptops that are authorized to directly attach to the NRC network are updated via the NRC managed infrastructure. The travel laptop image used to support loaner laptops has Microsoft Windows Automatic Update and the Symantec Endpoint Protection scheduled updates set to run daily. The laptop program follows the guidance and responsibilities defined in the NRC CSO laptop security policy.

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Status of Recommendations

Recommendation 5 (continued):

The policy can be found at http://www.internal.nrc.gov/CSO/documents/Laptop_Policy.doc.

To initiate the process workflow, the NRC IT Coordinator can submit a service request via the BMC Service Desk Express Self service page at <http://servicedesk/helpdesk>. This creates a ticket in the BMC ticket system. When the ticket is received, the laptop program technician is responsible for scheduling the delivery of the laptop with the IT Coordinator and ensuring that the laptop is updated with the most current, approved versions of software and any associated patches or updates.

OIS recommends that this recommendation be closed

OIG Analysis:

OIG reviewed the policy and determined that there is a protocol to facilitate the efficient and routine updating of agency-owned laptops. This recommendation is closed.

Status:

Closed.