



**UNITED STATES**  
**NUCLEAR REGULATORY COMMISSION**  
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE  
INSPECTOR GENERAL**

July 13, 2010

MEMORANDUM TO: R. William Borchardt  
Executive Director for Operations

FROM: Stephen D. Dingbaum **/RA/**  
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: INFORMATION  
SYSTEM SECURITY EVALUATION OF REGION I – KING  
OF PRUSSIA (OIG-09-A-20)

REFERENCE: REGIONAL ADMINISTRATOR, REGION I, MEMORANDUM  
DATED JUNE 29, 2010

Attached is the Office of the Inspector General's analysis and status of recommendations 1, 2, 3, 4, and 5 as discussed in the agency's response dated June 29, 2010. Based on this response, recommendation 1 is closed and recommendations 2, 3, 4, and 5 remain in resolved status. Please provide an updated status of the resolved recommendations by September 24, 2010.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca, Team Leader, at 415-5911.

Attachment: As stated

cc: N. Mamish, OEDO  
J. Andersen, OEDO  
J. Arlidsen, OEDO  
C. Jaegers, OEDO

## Audit Report

### INFORMATION SYSTEM SECURITY EVALUATION OF REGION I – KING OF PRUSSIA

OIG-09-A-20

#### Status of Recommendations

<u>Recommendation 1:</u>	Update the backup procedures found in the Region I <i>Standard Operating Procedures for AIS Security</i> to reflect the actual backup procedures being implemented, the current Region I IT environment, and to include the procedures for sending and receiving backup tapes to the offsite storage location.
Agency Response Dated June 29, 2010:	We have revised our backup procedures found in our <i>Standard Operating Procedures for AIS Security</i> to reflect the actual backup procedures being implemented in the current Region I environment. The updated procedures include procedures for sending backup tapes to our offsite storage location. We consider this matter complete.
OIG Analysis:	The corrective action addresses the intent of this recommendation. This recommendation will be closed when OIG receives documentation that NRC has updated the procedures to reflect actual backup procedures to include procedures for sending backup tapes to the offsite storage location.
Status:	Closed.

## **Audit Report**

### **INFORMATION SYSTEM SECURITY EVALUATION OF REGION I – KING OF PRUSSIA**

**OIG-09-A-20**

#### **Status of Recommendations**

Recommendation 2: Develop and document a contingency plan for the Region I seat-managed infrastructure servers.

Agency Response Dated  
June 29, 2010: Region I continues on target to meet the projected date of August 4, 2010, to collaborate with OIS to include the Region I seat-managed infrastructure servers in NRC's ITI contingency plan.

OIG Analysis: The proposed action addresses the intent of this recommendation. This recommendation will be closed when OIG receives a copy of the contingency plan for the Region I seat-managed infrastructure servers.

**Status:** Resolved.

## **Audit Report**

### **INFORMATION SYSTEM SECURITY EVALUATION OF REGION I – KING OF PRUSSIA**

**OIG-09-A-20**

#### **Status of Recommendations**

Recommendation 3: Develop and document a contingency plan for the Region I NRC-managed servers.

Agency Response Dated June 29, 2010: Region I continues on target to meet the projected date of August 4, 2010, to collaborate with OIS to include the Region I NRC-managed servers in NRC's ITI contingency plan.

OIG Analysis: The proposed action meets the intent of this recommendation. This recommendation will be closed when OIG receives a copy of the contingency plan for the NRC-managed servers.

**Status:** Resolved.

## **Audit Report**

### **INFORMATION SYSTEM SECURITY EVALUATION OF REGION I – KING OF PRUSSIA**

**OIG-09-A-20**

#### **Status of Recommendations**

Recommendation 4: Evaluate the vulnerabilities identified by the network vulnerability assessment and develop a plan and schedule to identify any false positives and to resolve the remaining vulnerabilities.

Agency Response Dated  
June 29, 2010: Region I continues on target to meet the projected date of August 4, 2010, to work in partnership with CSO and OIS to evaluate the vulnerabilities identified by the network vulnerability assessment and develop a plan to identify any false positives and resolve remaining vulnerabilities.

OIG Analysis: The proposed action meets the intent of this recommendation. This recommendation will be closed when OIG receives a copy of the vulnerability assessment and determines that the agency has identified vulnerabilities, including the false positives, and also developed a plan to identify and resolve remaining vulnerabilities.

**Status:** Resolved.

## **Audit Report**

### **INFORMATION SYSTEM SECURITY EVALUATION OF REGION I – KING OF PRUSSIA**

**OIG-09-A-20**

#### **Status of Recommendations**

Recommendation 5: Perform a network vulnerability scan following remediation to verify all vulnerabilities have been resolved.

Agency Response Dated  
June 29, 2010:

Region I continues on target to meet the projected date of August 4, 2010, to work in partnership with CSO and OIS to perform a subsequent network vulnerability scan following remediation to verify all vulnerabilities have been resolved.

OIG Analysis:

The proposed action addresses the intent of this recommendation. This recommendation will be closed when OIG receives a copy of the network scan and determines that all vulnerabilities have been resolved.

**Status:**

Resolved.