# Written Examination Quality Checklist Form ES-401-6

Draft

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Facility:							
Date of Exam: Exam Level: RO SRO							
·						Initial	
					a	b*	c <sup>#</sup>
Questions and answers are technically accurate and applicable	e to the fac	ility.			W	MI	LL
a. NRC K/As are referenced for all questions.     b. Facility learning objectives are reference	ed as availa	ble.			W	D. N/A	63
3. SRO questions are appropriate in accordance with Section D.2	2.d of ES-40	11			W	加	61
4. The sampling process was random and systematic (If more that repeated from the last 2 NRC licensing exams, consult the NRR OL programme.)		2 SRO qu	estions	were			lif
5. Question duplication from the license screening/audit exam was (check the item that applies) and appears appropriate:  **X** the audit exam was systematically and randomly the audit exam was completed before the licenses the examinations were developed independently the licensee certifies that there is no duplication, other (explain)	/ developed e exam was /, or	, or		elow	W	カス	tel
6. Bank use meets limits (no more than 75 percent from the bank, at least 10 percent new, and the rest new or modified); enter the actual RO / SRO-only question distribution(s) at right.	Bank	Modi	fied	New	W	11	22
	3/1	0/	0	72 / 24	]		
7. Between 50 and 60 percent of the questions on the RO exam are written at the comprehension/ analysis level; the SRO exam may exceed 60 percent if the randomly selected K/As support the higher cognitive levels; enter the actual RO / SRO question distribution(s) at right.	Memo	ory		C/A	W	カス	j. J
	33 /	5	4	2 / 20	<u> </u>	,	1600
8. References/handouts provided do not give away answers or air	d in the elir	nination (	of distra	ictors.	W	カス	EL
Question content conforms with specific K/A statements in the outline and is appropriate for the tier to which they are assigned; deviation			d exami	ination	W	22	Lil
10. Question psychometric quality and format meet the guidelines	in ES Appe	endix B.			W	カメ	ty
11. The exam contains the required number of one-point, multiple and agrees with the value on the cover sheet.	choice item	ns; the to	tal is co	rrect	W	27	til
a. Author b. Facility Reviewer (*) c. NRC Chief Examiner (#) d. NRC Regional Supervisor  Note: * The facility reviewer's initials/signature are not applicable for	me / Signatu	Mer John	/W	c ful	ler 	02-7 <b>2.[</b> 3/- 4/2	ate 27-07 <b>27/07</b> 3 <b>6</b> /07 427
# Independent NRC reviewer initial items					rence re	quired.	

{PRIVATE}	Facility: Tur	key	Poir	nt										
Tier	Group				R	O K	A C	ateg	ory	Poir	its			
		K 1	K 2	К 3	K 4	K 5	K 6	A 1	A 2	A 3	A 4	G *	Total	
1. Emergency & Abnormal Plant Evolutions	1	5	2	3		N/A		2	3	N	/A	3	18	
	2	2	0	4				0	1			2	9	
	Tier Total s	7	2	7				2	4			5	27	
2. Plant Systems	1	4	2	0	4	1	1	3	4	2	4	3	28	
	2	1	0	2	0	1	1	1	1	0	1	2	10	
	Tier Total s	5	2	2	4	2	2	4	5	2	5	5	38	
3. Generic K	nowledge and Categories	l Ab	ilitie	s	1		2	2	;	3	4	1		
					2		3	3	;	3	2	2	10	

- Note:1. Ensure that at least two topics from every applicable K/A category are sampled within each tier of the RO and SRO-only outlines (i.e., except for one category in Tier 3 of the SRO-only outline, the "Tier Totals" in each K/A category shall not be less than two).
- The point total for each group and tier in the proposed outline must match that specified in the table. The final point total for each group and tier may deviate by ±1 from that specified in the table based on NRC revisions. The final RO exam must total 75 points and the SRO-only exam must total 25 points.
- Systems/evolutions within each group are identified on the associated outline; systems or evolutions that do not
  apply at the facility should be deleted and justified; operationally important, site-specific systems that are not
  included on the outline should be added. Refer to ES-401, Attachment 2, for guidance regarding
  the elimination of inappropriate K/A statements.
- 4. Select topics from as many systems and evolutions as possible; sample every system or evolution in the group before selecting a second topic for any system or evolution.
- Absent a plant-specific priority, only those K/As having an importance rating (IR) of 2.5 or higher shall be selected. Use the RO and SRO ratings for the RO and SRO-only portions, respectively.
- 6. Select SRO topics for Tiers 1 and 2 from the shaded systems and K/A categories.
- 7.\* The generic (G) K/As in Tiers 1 and 2 shall be selected from Section 2 of the K/A Catalog, but the topics must be relevant to the applicable evolution or system.
- 8. On the following pages, enter the K/A numbers, a brief description of each topic, the topics' importance ratings (IRs) for the applicable license level, and the point totals (#) for each system and category. Enter the group and tier totals for each category in the table above; if fuel handling equipment is sampled in other than Category A2 or G\* on the SRO-only exam, enter it on the left side of Column A2 for Tier 2, Group 2 (Note # 1 does not apply). Use duplicate pages for RO and SRO-only exams.
- For Tier 3, select topics from Section 2 of the K/A catalog, and enter the K/A numbers, descriptions, IRs, and point totals (#) on Form ES-401-3. Limit SRO selections to K/As that are linked to 10 CFR 55.43.

{PRIVATE }ES-401 Emerger	ncy a	and					on Outline olutions - Tier 1/Group 1 (RO)	Form E	S-401-
E/APE # / Name / Safety Function	K 1	K 2				G	K/A Topic(s)	IR	#
000007 Reactor Trip - Stabilization - Recovery / 1					0 2		Able to determine and interpret following as they apply to reactor trip: Proper actions to be taken if the automatic safety functions have not taken place	4.3/ 4.6	1
000008 Pressurizer Vapor Space Accident / 3			0 5				Knowledge for the reasons for the following responses as they apply to the PRZ vapor space accident: ECCS terminating or throttling criteria	4.0/ 4.5	1
000009 Small Break LOCA / 3							NOT SELECTED	-	0
000011 Large Break LOCA / 3					0 2		Able to determine and interpret the following as they apply to a LBLOCA: Consequences to RHR of not resetting SI	3.3/ 3.7	1
000015/17 RCP Malfunctions / 4				0 2		ata a sa sa sa sa	Able to operate and/or monitor the following as they apply to the RCP Malfunctions (Loss of RC flow): RCP Oil Reservoir level and alarm indicators	2.8/ 2.7	1
000022 Loss of Rx Coolant Makeup / 2			0 7				Knowledge for the reasons for the following responses as they apply to the Loss of Reactor Coolant Makeup: Isolating charging.	3.0/ 3.2	1
000025 Loss of RHR System / 4		*		0 4			Able to operate and/or monitor the following as they apply to the Loss of RHR System: Closed cooling water pumps	2.8/ 2.6	1
000026 Loss of Component Cooling Water / 8			3				Knowledge for the reasons for the following responses as they apply to the loss of CCW: Guidance contained in EOP for loss of CCW.	4.0/ 4.2	1
000027 Pressurizer Pressure Control System Malfunction / 3	0 2						Knowledge of the operational implications of the following concepts as they apply to the pressurizer pressure control malfunctions: Expansion of liquids as temperature increases	2.8/ 3.1	1
000029 ATWS / 1						1.30	As it relates to the ATWS event: Able to locate and operate components, including local controls	3.9/ 4.0	1
000038 Steam Gen. Tube Rupture / 3	0 2						Knowledge of the operational implications of the following concepts as they apply to the SGTR: Leak rate vs pressure drop	3.2/ 3.5	1
000040 (W/E12) Steam Line Rupture - Excessive Heat Transfer / 4	*	0					000040 SELECTED, W/E12 NOT SELECTED Knowledge of the interrelations between the steam line rupture and the following: Valves	2.6/ 2.5	1

{PRIVATE }ES-401 Emergen	ісу а	and					on Outline olutions - Tier 1/Group 1 (RO)	Form E	S-401-
E/APE # / Name / Safety Function	K 1		К 3	A 1		G	K/A Topic(s)	IR	#
000054 Loss of Main Feedwater / 4	0						Knowledge of the operational implications of the following concepts as they apply to the Loss of Main FW: MFW line break depressurizes the S/G (similar to a steam line break)	4.1/ 4.3	1
000055 Station Blackout / 6	0 2						Knowledge of the operational implications of the following concepts as they apply to the station blackout: Natural Circulation Cooling	4.1/ 4.4	1
000056 Loss of Off-site Power / 6							NOT SELECTED	-	0
000057 Loss of Vital AC Inst. Bus / 6							NOT SELECTED	-	0
000058 Loss of DC Power / 6						4.4	As it relates to the loss of DC power event: Able to recognize abnormal indications for system operating parameters which are entry level conditions for emergency and abnormal operating procedures.	4.0/ 4.3	1
000062 Loss of Nuclear Svc Water / 4							NOT SELECTED	-	0
000065 Loss of Instrument Air / 8						1.23	As it relates to the loss of instrument air event: Able to perform specific system and integrated plant procedures during all modes of plant operation.	3.9/ 4.0	1
W/E04 LOCA Outside Containment / 3							Able to determine and interpret the following as they apply to the LOCA OC: Facility conditions and selection of appropriate procedures during abnormal and emergency operations.	3.4/ 4.3	1
W/E11 Loss of Emergency Coolant Recirc. / 4	*	2					Knowledge of the interrelations between the loss of emergency coolant recirc and the following: facility's heat removal systems incl primary coolant, emergency coolant, the decay heat removal systems, and relations between the proper operation of these systems to the operation of the facility.	3.9/ 4.3	1
W/E05 Inadequate Heat Transfer - Loss of Secondary Heat Sink / 4	2						Knowledge of the operational implications of the following concepts as they apply to the Loss of secondary heat sink: Normal, abnormal and emergency operating procedures associated with the loss of secondary heat sink	3.9/ 4.5	1
K/A Category Totals:	5	2	3	2	3	3	Group Point Total:		18

{PRIVATE }ES-401

# PWR Examination Outline Emergency and Abnormal Plant Evolutions - Tier 1/Group 2 (RO)

Form ES-401-2

E/APE # / Name / Safety Function	1	K 2	К 3	A 1	A 2	G	K/A Topic(s)	IR	#
000001 Continuous Rod Withdrawal / 1							NOT SELECTED	-	0
000003 Dropped Control Rod / 1							NOT SELECTED	-	0
000005 Inoperable/Stuck Control Rod / 1							NOT SELECTED	-	0
000024 Emergency Boration / 1							NOT SELECTED	-	0
000028 Pressurizer Level Malfunction / 2							NOT SELECTED	-	0
000032 Loss of Source Range NI / 7							NOT SELECTED	-	0
000033 Loss of Intermediate Range NI / 7							NOT SELECTED	-	0
000036 Fuel Handling Accident / 8					0		Able to determine and interpret the following as they apply to the fuel handling incidents: ARM system indications	3.2/ 3.9	1
000037 Steam Generator Tube Leak / 3			0				Knowledge for the reasons for the following responses as they apply to the SGTL: comparison of makeup flow and letdown flow for various modes of operation	3.1/ 3.3	1
000051 Loss of Condenser Vacuum / 4			0				Knowledge for the reasons for the following responses as they apply to the loss of condenser vacuum: loss of steam dump capability upon loss of condenser vacuum	2.8/ 3.1	1
000059 Accidental Liquid RadWaste Rel. / 9							NOT SELECTED	-	0
000060 Accidental Gaseous Radwaste Rel. / 9							NOT SELECTED	•	0
000061 ARM System Alarms / 7							NOT SELECTED	-	0
000067 Plant Fire On-site / 8						N. J.	NOT SELECTED	-	0
000068 Control Room Evac. / 8						1.28	As it relates to the control room evacuation event: Knowledge of the purpose and function of major system components and controls	3.2/ 3.3	1
000069 (W/E14) Loss of CTMT Integrity / 5			3				W/E14 SELECTED, 000069 NOT SELECTED Knowledge for the reasons for the following responses as they apply to the high containment pressure: manipulation of controls required to obtain desired operating results during abnormal and emergency situations.	3.5/ 3.5	1

{PRIVATE }ES-401 Emergency and						ition ( Evolu		tline Fo ns - Tier 1/Group 2 (RO)	rm ES-4	101-2
E/APE # / Name / Safety Function	K 1	Т	K 3	A	A 2	G		K/A Topic(s)	IR	#
000074 (W/E06&E07) Inad. Core Cooling / 4	1							W/E06 SELECTED, 000074 & W/E07 NOT SELECTED Knowledge of the operational implications of the following concepts as they apply to the degraded core cooling: components, capacity and function of emergency systems.	3.6/ 4.0	1
000076 High Reactor Coolant Activity / 9								NOT SELECTED	-	0
W/EO1 & E02 Rediagnosis & SI Termination / 3			1	Affilials of the formers				W/E02 SELECTED W/E01 NOT SELECTED Knowledge for the reasons for the following responses as they apply to the SI termination: Facility operating characteristics during transient conditions, including coolant chemistry and the effects of temperature, pressure, and reactivity changes and operating limitations and reasons for these operating characteristics.	3.3/ 3.6	1
W/E13 Steam Generator Over-pressure / 4								NOT SELECTED	-	0
W/E15 Containment Flooding / 5								NOT SELECTED	-	0
W/E16 High Containment Radiation / 9					9-44 1-34			NOT SELECTED	-	0
W/E03 LOCA Cooldown - Depress. / 4	3							Knowledge of the operational implications of the following concepts as they apply to LOCA Cooldown and Depressurization: annunciators and conditions, indicating signals and remedial actions associated with the (LOCA cooldown and depressurization	3.5/ 3.8	1
W/E09&E10 Natural Circ. / 4								NOT SELECTED	-	0
W/E08 RCS Overcooling - PTS / 4						1.28	В	As it relates to the PTS event: Knowledge of the purpose and function of major system components and controls.	3.2/ 3.3	1
K/A Category Point Totals:	2	0	4	0	1	2		Group Point Total:		9

{PRIVATE }ES-401				PI							n Outlin /Group	-	orm ES-4	101-2
System # / Name	K 1	K 2	К 3	K 4	К 5	К 6	A 1	A 2	А 3	A 4	G	K/A Topic(s)	IR	#
003 Reactor Coolant Pump										0 7		Ability to manually operate and/or monitor in the control room RCP seal bypass	2.6/ 2.6	1
004 Chemical and Volume Control	2 3							11				Knowledge of the physical connection and/or cause-effect relationships between the CVCS and the RWST	3.4/ 3.7	1
												Ability to (a) predict the impacts of the following malfunctions or operations on the CVCS; and (b) based on those predictions, use procedures to correct, control, or mitigate the consequences of those malfunctions or operations: loss of IAS	3.6/ 4.2	1
005 Residual Heat Removal								,			1.30	As it relates to RHR, ability to locate and operate components, including local controls	3.9/ 3.4	1
006 Emergency Core Cooling										0 8	-	Ability to manually operate and/or monitor in the control room	4.2/ 4.3	1
007 Pressurizer Relief/Quench Tank							0 2					Ability to predict and/or monitor changes in parameters (to prevent exceeding design limits) associated with operating the PRTS controls including maintaining PRT pressure.	2.7/ 2.9	1
008 Component Cooling Water										0 8		Ability to manually operate and/or monitor in the control room: CCW pump control switch	3.1/ 2.8	1
010 Pressurizer Pressure Control								0				Ability to (a) predict the impacts of the following malfunctions or operations on the PZR PCS; and (b) based on those predictions, use procedures to correct, control, or mitigate the consequences of those malfunctions or operations: Heater failures	3.3/ 3.6	1

{PRIVATE }ES-401			Pl							n Outline /Group :		orm ES-4	<del>1</del> 01-2
System # / Name	K 1	K 2	K 4	K 5	K 6	A 1	A 2	A 3	A 4	G	K/A Topic(s)	IR	#
012 Reactor Protection			0 6		0 2						Knowledge of the RPS design feature(s) and/or interlock(s) which provide for automatic or manual enable/disable of RPS trips	3.2/ 3.5	1
											Knowledge of the effect of a loss or malfunction of the following will have on the RPS: Redundant Channels	2.9/ 3.1	1
013 Engineered Safety Features Actuation									0 2		Ability to manually operate and/or monitor in the control room reset of ESFAS channels	4.3/ 4.4	1
022 Containment Cooling		0								×	Knowledge of the power supplies to the containment cooling fans	3.0/ 3.1	1
026 Containment Spray			0			0					Knowledge of the CSS design feature(s) and/or interlock(s) which provide for: source of water for CSS, including recirculation phase after LOCA  Ability to predict and/or monitor changes in parameters (to prevent exceeding design limits) associated with operating the CSS controls including containment pressure	4.2/ 4.3 3.9/ 4.2	1
039 Main and Reheat Steam				0 5						×	Knowledge of the operational implications of the following concept as it applies to the MRSS: Basis for the RCS cooldown limits	2.7/ 3.1	1
059 Main Feedwater	8 0			×				0 2			Knowledge of the physical connection and/or cause-effect relationships between the main feedwater and the S/Gs.	3.1/ 3.3	1
											Ability to monitor automatic operation of the MFW, including programmed levels of the S/G	2.9/ 3.1	1
61 Auxiliary/Emergency eedwater			0 2					0			Knowledge of the AFW design feature(s) and/or interlock(s) which provide for: AFW automatic start upon loss of MFW pump, S/G level, blackout or SI	4.5/ 4.6	1
			Victor								Ability to monitor automatic operation of the AFW, including: AFW startup and flows	4.2/ 4.2	1

062 AC Electrical Distribution	×	2.25	As it relates to the AC Electrical Distribution: Knowledge of the	2.5/	1
			bases in Tech Specs for LCOs and safety limits		

{PRIVATE }ES-401				PI							o Outline Group 1	-	orm ES-4	101-2
System # / Name	K 1	K 2		K 4	K 5	K 6	A 1	A 2	A 3	A 4	G	K/A Topic(s)	IR	#
063 DC Electrical Distribution	0 3											Knowledge of the physical connection and/or cause-effect relationships between the DC Electrical Distribution and the battery charger and battery	2.9/ 3.5	1
064 Emergency Diesel Generator		0									1.23	Knowledge of the power supplies to the control power  As it relates to the EDG, ability to perform specific system and integrated plant procedures during all modes of plant operation	2.9/ 3.3 3.9/ 4.0	1
073 Process Radiation Monitoring								0 2				Ability to (a) predict the impacts of the following malfunctions or operations on the PRMS and (b) based on those predictions, use procedures to correct, control, or mitigate the consequences of those malfunctions or operations: detector failure	2.7/ 3.2	1
076 Service Water							0 2					Ability to predict and/or monitor changes in parameters (to prevent exceeding design limits) associated with operating the Service Water controls including reactor and turbine building closed cooling water temperatures	2.6/	1
078 Instrument Air	0 2					×						Knowledge of the physical connection and/or cause-effect relationships between the Instrument air and the service air	2.7/ 2.8	1
103 Containment				0				0 3				Knowledge of the Ctmt design feature(s) and/or interlock(s) which provide for containment isolation system	3.1/ 3.7	1
												Ability to (a) predict the impacts of the following malfunctions or operations on the Ctmt; and (b) based on those predictions, use procedures to correct, control, or mitigate the consequences of those malfunctions or operations: Phase A & B Isolation	3.5/ 3.8	1
K/A Category Point Totals:	4	2	0	4	1	1	3	4	2	4	3	Group Point Total:		28

{PRIVATE }ES-401			Plar						on Outl 2/Grou	ine Fo p 2 (RO)	rm ES-4	101-2
System # / Name	K 1	К 3			A 1	A 2	A 3		G	K/A Topic(s)	IR	#
001 Control Rod Drive		0								Knowledge of the effect that a loss or malfunction of the CRDS will have on the CVCS	2.9/ 3.0	1
002 Reactor Coolant				0 2						Knowledge of the effect of a loss or malfunction of the following RCS components: RCP	3.6/ 3.8	1
011 Pressurizer Level Control					0					Ability to predict and/or monitor changes in parameters (to prevent exceeding design limits) associated with operating the PRZ LCS controls including PRZ level and pressure	3.5/ 3.6	1
014 Rod Position Indication										NOT SELECTED	-	0
015 Nuclear Instrumentation								0		Ability to manually operate and/or monitor in the control room: trip bypasses	3.8/ 3.9	1
017 In-core Temperature Monitor										NOT SELECTED	-	0
027 Containment Iodine Removal										NOT SELECTED	-	0
028 Hydrogen Recombiner and Purge Control										NOT SELECTED	-	0
029 Containment Purge										NOT SELECTED	-	0
033 Spent Fuel Pool Cooling										NOT SELECTED	-	0
034 Fuel Handling Equipment		A Print Marketine				0				Ability to (a) predict the impacts of the following malfunctions or operations on the fuel handling system; and (b) based on those predictions, use procedures to correct, control, or mitigate the consequences of those malfunctions or operations: dropped fuel element	3.6/ 4.4	1
035 Steam Generator	1 4									Knowledge of the physical connection and/or cause-effect relationships between the S/G and the ESF	3.9/ 4.1	1

{PRIVATE }ES-401	Plant Systems - Tier 2/Group 2 (RO)  System # / Name														
System # / Name			К 3		K 5	K 6	A 1	A 2	A 3	A 4	G	K/A Topic(s)	IR	#	
041 Steam Dump/Turbine Bypass Control											1.28	As it relates to the SD Sys: Knowledge of the purpose and function of major system components and controls	3.2/ 3.3	1	
045 Main Turbine Generator												NOT SELECTED	_	0	
055 Condenser Air Removal		x	0									Knowledge of the effect that a loss or malfunction of the CARS will have on the main condenser	2.5/ 2.7	1	
056 Condensate			×	×					x		4.50	As it relates to the condensate system: Ability to verify system alarm setpoints and operate controls identified in the alarm response manual	3.3/ 3.3	1	
068 Liquid Radwaste												NOT SELECTED	-	0	
071 Waste Gas Disposal					0 4	×						Knowledge of the operational implications of the following concept as it applies to the WGD system: relationship of H2/O2 concentrations to flammability	2.5/ 3.1	1	
072 Area Radiation Monitoring												NOT SELECTED	-	0	
075 Circulating Water												NOT SELECTED	-	0	
079 Station Air												NOT SELECTED	-	0	
086 Fire Protection											April 1	NOT SELECTED	-	0	
K/A Category Point Totals:	1	0	2	0	1	1	1	1	0	1	2	Group Point Total:		10	

## ES-401, Rev. 9 RO Generic Knowledge and Abilities Outline (Tier 3) Form ES-401-3

Category	K/A#	Topic	F	10	55.575	
		·	IR	#		
1. Conduct of Operations	2.1.					
	2.1.8	Ability to coordinate personnel activities outside of the control room	3.8/3.6	1		
	2.1.11	Knowledge of less than one hour technical specification action statements for systems	3.0/3.8	1		
	Subtotal			2		
2. Equipment Control	2.2.					
	2.2.4	Ability to explain the variations in control board layouts , systems, instrumentation and procedural actions between units at a	2.8/3.0	1		
	2.2.11	Knowledge of the process for controlling temporary changes	2.5/3.4	1		
	2.2.28	Knowledge of new and spent fuel movement procedures	2.6/3.5	1		
	Subtotal			3		
3. Radiation Control	2.3.					
	2.3.1	Knowledge of 10CFR20 and related facility radiation control requirements	2.6/3.0	1		
	2.3.2	Knowledge of facility ALARA program	2.5/2.9	1		
	2.3.4	Knowledge of radiation exposure limits and contamination control, including permissible levels in excess of those authorized	2.5/3.1	1		
	Subtotal			3		
4. Emergency Procedures / Plan	2.4.					
	2.4.4	Ability to recognize abnormal indications for system operating parameters which are entry level conditions for emergency and abnormal operating procedures	4.0/4.3	1		
	2.4.5	Knowledge of the organization of the operating procedures network for pormal, abnormal and emergency evolutions	2.9/3.6	1	1	
	Subtotal			2		
Tier 3 Point Total				10		

{PRIVATE }Tier / Group	Randomly Selected K/A	Reason for Rejection
1/1	000025 K2.12	KA value < 2.5
1/1	000040K1.03	Zero K-2s. Too Many K-1s (9). Random choice to make K-2.
1/1	W/E11 EK1.3	Zero K-2s. Too Many K-1s (9). Random choice to make K-2.
1/2	000068G2.4.31	Alarm response procedure not used during CR Evac.
2/1	059 <b>K</b> 5	No choices ≥ 2.5
2/1	062K5	No choices ≥ 2.5
2/1	078K6	No choices ≥ 2.5
2/2	055K2	No choices ≥ 2.5
2/2	056K3	No choices ≥ 2.5
2/2	056K4	No choices ≥ 2.5
2/2	056A3	No choices ≥ 2.5
2/2	071K6	No choices ≥ 2.5
2/1	022G2.4.31	Zero K-2s. Too many Gs (7). Random choice to make K-2
2/1	039G2.4.49	One K-5. Too many Gs (7). Random choice to make K-5
2/1	064A2.03	Zero K-2s. Too many Gs (7). Random choice to make K-2
3/1	G21.17	Changed after phone call with Edwin Lea - Better to evaluate verbal communications in other settings, written question was not acceptable during the NRC draft review

ES-401, Rev. 9

### Sample Written Examination Question Worksheet

Form ES-401-5

Examination Outline Cre	Tier # Group #	evel RO	SRO
	K/A # Importan	ce Rating	
	mportan	loc nating	
Proposed Question:			
Proposed Answer:			
Explanation (Optional):			· ·
Technical Reference(s)	: provided)	(Attach if n	ot previously
_	provided)	***************************************	
Proposed references to	he provided to applic	eants during	
	bo provided to applie	ants daring	examination:
Learning Objective:		(As availabl	e)
Question Source:	Bank #		
	Modified Bank #	(Note changes or	attach parent)
•	New	-	
Question History: (Optional: Questions validate the NRC; failure to provide the		5 will generally undergo les	
Question Cognitive Leve	el: Memory or Fund Comprehension or Ana	damental Knowledge alysis	
10 CFR Part 55 Content	: 55.41 55.43		
Comments:			

{PRIVATE }Facility:							
Date of Exam: Exam Level: RO SRO							
						Initial	
					а	b*	C#
Questions and answers are technically accurate and applic	cable to the	e facility	•				
a. NRC K/As are referenced for all questions.     b. Facility learning objectives are referenced.	nced as av	ailable.					
3. SRO questions are appropriate in accordance with Section	D.2.d of E	S-401					
The sampling process was random and systematic (If more repeated from the last 2 NRC licensing exams, consult the NRR OL process.)	than 4 RO	or 2 SR ce).	O quest	ions were			
5. Question duplication from the license screening/audit exam (check the item that applies) and appears appropriate:  the audit exam was systematically and randon the audit exam was completed before the lice the examinations were developed independen the licensee certifies that there is no duplicati other (explain)	nly develop nse exam v tly, or	ed, or		ted below			
6. Bank use meets limits (no more than 75 percent from the bank, at least 10 percent new, and the rest new or modified); enter the actual RO / SRO-only question distribution(s) at right.	Bank Modified New						
7. Between 50 and 60 percent of the questions on the RO exam are written at the comprehension/ analysis level; the SRO exam may exceed 60 percent if the randomly selected K/As support the higher cognitive levels; enter the actual RO / SRO question distribution(s) at right.	/ Mem	ory		CIA			
	1			/			
References/handouts provided do not give away answers or aid in the elimination of distractors.							
<ol> <li>Question content conforms with specific K/A statements in examination outline and is appropriate for the tier to which they are a</li> </ol>	the previo ssigned; d	usly app eviation:	roved s are jus	stified.			
10. Question psychometric quality and format meet the guideli	nes in ES A	ppendi	B.				
11. The exam contains the required number of one-point, multi- and agrees with the value on the cover sheet.	ple choice	items; tl	ne total	is correct			
Printed Nar	ne / Signat	ure				Da	te
a. Author b. Facility Reviewer (*) c. NRC Chief Examiner (#) d. NRC Regional Supervisor							
Note: * The facility reviewer's initials/signature are not applicable				ninations.			

	/ATE } latory Commission										
Site-Specific RO W	/ritten Examination										
Applicant I	nformation										
Name:											
Date:	Facility/Unit:										
Region: I II III IV	Reactor Type: W CE BW GE										
Start Time:	Finish Time:										
Use the answer sheets provided to docun sheet on top of the answer sheets. To pas a final grade of at least 80.00 percent. Ex 6 hours after the examination begins.	ss the examination, you must achieve										
Applicant C All work done on this examination is my o											
0	Applicant's Signature										
	sults										
Examination Value	Points										
Applicant's Score	Points										
Applicant's Grade	Percent										

### Site-Specific SRO Written Examination Form ES-401-8 Cover Sheet

	ATE } latory Commission											
Site-Specific SRO V	Vritten Examination											
Applicant Information												
Name:												
Date:	Facility/Unit:											
Region: I II III IV	Reactor Type: W CE BW GE											
Start Time:	Finish Time:											
Use the answer sheets provided to docum sheet on top of the answer sheets. To pas a final grade of at least 80.00 percent ove on the SRO-only items if given in conjunct given alone require a final grade of 80.00 to complete the combined examination, as portion.	nent your answers. Staple this cover as the examination you must achieve rall, with 70.00 percent or better ion with the RO exam; SRO-only exams percent to pass. You have 8 hours											
Applicant C												
	Applicant's Signature											
Res	ults											
RO/SRO-Only/Total Examination Values	/ / Points											
Applicant's Scores	l l Dainta											

Applicant's Grade	/	Percent

{PRIV ATE } Q#	1. LOK (F/H)	2. LOD (1-5)	3. Psychometric Flaws				3	4.	Job Con	tent Fla	3WS	5. Other		6. U/E/S	7. Explanation
			Stem Focus	Cues	T/F	Cred. Dist.	Partial	Job- Link	Minutia	#/ units	Back- ward	Q= K/A	SRO Only		

#### Instructions

[Refer to Section D of ES-401 and Appendix B for additional information regarding each of the following concepts.]

- 1. Enter the level of knowledge (LOK) of each question as either (F)undamental or (H)igher cognitive level.
- 2. Enter the level of difficulty (LOD) of each question using a 1 5 (easy difficult) rating scale (questions in the 2 4 range are acceptable).
- Check the appropriate box if a psychometric flaw is identified:
  - The stem lacks sufficient focus to elicit the correct answer (e.g., unclear intent, more information is needed, or too much needless information).
    - The stem or distractors contain cues (i.e., clues, specific determiners, phrasing, length, etc).
- The answer choices are a collection of unrelated true/false statements.
- The distractors are not credible; single implausible distractors should be repaired, more than one is unacceptable.
- . One or more distractors is (are) partially correct (e.g., if the applicant can make unstated assumptions that are not contradicted by stem).
- 4. Check the appropriate box if a job content error is identified:
  - The question is not linked to the job requirements (i.e., the question has a valid K/A but, as written, is not operational in content).
- The question requires the recall of knowledge that is too specific for the closed reference test mode (i.e., it is not required to be known from memory).
- The question contains data with an unrealistic level of accuracy or inconsistent units (e.g., panel meter in percent with question in gallons).
- The question requires reverse logic or application compared to the job requirements.
- 5. Check guestions that are sampled for conformance with the approved K/A and those that are designated SRO-only (K/A and license level mismatches are unacceptable).
- 6. Based on the reviewer's judgment, is the question as written (U)nsatisfactory (requiring repair or replacement), in need of (E)ditorial enhancement, or (S)atisfactory?
- 7. At a minimum, explain any "U" ratings (e.g., how the Appendix B psychometric attributes are not being met).

{PRI VAT E } Q#	1. LOK (F/H)	2. LOD (1-5)		Psych	nomet	ric Fla	ws	4. Job Content Flaws					5. Other		7. Explanation
			Stem Focus	Cues	T/F	Cred. Dist.	Partia I	Job- Link	Minutia	#/ units	Back- ward	Q= K/A	SRO Only		
			:												
											-				

{PRIVATE }	Facility:	Turkey Point								
Tier	Group		PT CONTRACTOR				SRO	O-Onl	y Poin	ts
				1712	Market III		<b>A2</b>		G*	Tota
1. Emergency & Abnormal Plant Evolutions	1						3		3	6
	2						1		3	4
	Tier Total s			•	100		4		6	10
2. Plant Systems	1						2		3	5
	2					0	2		1	3
	Tier Total s						4		4	8
3. Gener	ic Knowledg Categorie	e and Abilities es				1	2	3	4	
						2	2	1	2	7

- Note: 1. Ensure that at least two topics from every applicable K/A category are sampled within each tier of the RO and SRO-only outlines (i.e., except for one category in Tier 3 of the SRO-only outline, the "Tier Totals" in each K/A category shall not be less than two).
- The point total for each group and tier in the proposed outline must match that specified in the table. The final point total for each group and tier may deviate by ±1 from that specified in the table based on NRC revisions. The final RO exam must total 75 points and the SRO-only exam must total 25 points.
- 3. Systems/evolutions within each group are identified on the associated outline; systems or evolutions that do not apply at the facility should be deleted and justified; operationally important, site-specific systems that are not included on the outline should be added. Refer to ES-401, Attachment 2, for guidance regarding the elimination of inappropriate K/A statements.
- 4. Select topics from as many systems and evolutions as possible; sample every system or evolution in the group before selecting a second topic for any system or evolution.
- Absent a plant-specific priority, only those K/As having an importance rating (IR) of 2.5 or higher shall be selected. Use the RO and SRO ratings for the RO and SRO-only portions, respectively.
- 6. Select SRO topics for Tiers 1 and 2 from the shaded systems and K/A categories.
- 7.\* The generic (G) K/As in Tiers 1 and 2 shall be selected from Section 2 of the K/A Catalog, but the topics must be relevant to the applicable evolution or system.
- 8. On the following pages, enter the K/A numbers, a brief description of each topic, the topics' importance ratings (IRs) for the applicable license level, and the point totals (#) for each system and category. Enter the group and tier totals for each category in the table above; if fuel handling equipment is sampled in other than Category A2 or G\* on the SRO-only exam, enter it on the left side of Column A2 for Tier 2, Group 2 (Note # 1 does not apply). Use duplicate pages for RO and SRO-only exams.
- 9. For Tier 3, select topics from Section 2 of the K/A catalog, and enter the K/A numbers, descriptions, IRs, and point totals (#) on Form ES-401-3. Limit SRO selections to K/As that are linked to 10 CFR 55.43.

{PRIVATE }ES-401 Emergene	cy aı	nd /					ion Outline rolutions - Tier 1/Group 1 (SRO)	orm ES-	401-
E/APE # / Name / Safety Function	K 1		к	Α	A 2	G	K/A Topic(s)	IR	#
000007 Reactor Trip - Stabilization - Recovery / 1							NOT SELECTED	-	0
000008 Pressurizer Vapor Space Accident / 3							NOT SELECTED	-	0
000009 Small Break LOCA / 3					0 4		Able to determine and interpret the following as they apply to Small Break LOCA: PRZ Level	3.8/ 4.0	1
000011 Large Break LOCA / 3							NOT SELECTED	-	0
000015/17 RCP Malfunctions / 4					1	e (1994)	NOT SELECTED	-	0
000022 Loss of Rx Coolant Makeup / 2							NOT SELECTED	-	0
000025 Loss of RHR System / 4							NOT SELECTED	-	0
000026 Loss of Component Cooling Water / 8							NOT SELECTED	-	0
000027 Pressurizer Pressure Control System Malfunction / 3							NOT SELECTED	-	0
000029 ATWS / 1							NOT SELECTED	-	0
000038 Steam Gen. Tube Rupture / 3					4.5		NOT SELECTED	-	0
000040 (W/E12) Steam Line Rupture - Excessive Heat Transfer / 4					2		W/E12 SELECTED 000040 NOT SELECTED Able to determine and interpret the following as they apply to Uncontrolled Depressurization of all S/Gs: Adherence to appropriate procedures and operation within the limitations in the facility's license and amendments	3/4 3/9	1
000054 Loss of Main Feedwater / 4							NOT SELECTED	T-	0
000055 Station Blackout / 6							NOT SELECTED	-	0
000056 Loss of Off-site Power / 6					4 7		Able to determine and interpret the following as they apply to Loss of Off-site Power: Proper operation of the EDG load sequencer	3.8/	1
000057 Loss of Vital AC Inst. Bus / 6						1.33	As it relates to Loss of Vital AC Inst. Bus: Ability to recognize indications for systme operating parameters which are entry-level conditions for technical specifications	3.4/ 4.0	1
000058 Loss of DC Power / 6							NOT SELECTED	-	0
000062 Loss of Nuclear Svc Water / 4						2.22	As it relates to Loss of Nuclear Svc Water: Knowledge of limiting conditions for operations & safety limits	3.4/ 4.1	1

{PRIVATE }ES-401 PWR Examination Outline Form ES-401- Emergency and Abnormal Plant Evolutions - Tier 1/Group 1 (SRO)									
E/APE # / Name / Safety Function	K 1	K 2		A 1	A 2	G	K/A Topic(s)	IR	#
000065 Loss of Instrument Air / 8							NOT SELECTED	-	0
W/E04 LOCA Outside Containment / 3			٠				NOT SELECTED	-	0
W/E11 Loss of Emergency Coolant Recirc. / 4							NOT SELECTED	-	0
W/E05 Inadequate Heat Transfer - Loss of Secondary Heat Sink / 4						4.30	As it relates to Loss of secondary Heat Sink: Knowledge of which events related to system operations/status should be reported to outside agencies	2.2/ 3.6	1
K/A Category Totals:					3	3	Group Point Total:		6

{PRIVATE }ES-401 Emergency and						tion Out	tline Fo s - Tier 1/Group 2 (SRO)	rm ES-	401-2
E/APE # / Name / Safety Function	К 1	K 2	К 3	A 1	A 2	G	K/A Topic(s)	IR	#
000001 Continuous Rod Withdrawal / 1							NOT SELECTED	-	0
000003 Dropped Control Rod / 1						4.6	As it relates to the dropped control rod event: Knowledge of symptom based EOP mitigation strategies	3.1/ 4.0	1
000005 Inoperable/Stuck Control Rod / 1						2.22	As it relates to the Inoperable/Stuck Control Rod: knowledge of limiting conditions for operations and safety limits	3.4/ 4.1	1
000024 Emergency Boration / 1							NOT SELECTED	-	0
000028 Pressurizer Level Malfunction / 2					0 8		Able to determine and interpret the following as they apply to Pressurizer Level Malfunction: PZR level as a function of power level	3.1/ 3.5	1
000032 Loss of Source Range NI / 7							NOT SELECTED	-	0
000033 Loss of Intermediate Range NI / 7							NOT SELECTED	-	0
000036 Fuel Handling Accident / 8							NOT SELECTED	-	0
000037 Steam Generator Tube Leak / 3						1.33	As it relates to Steam Generator Tube Leak: Ability to recognize indications for system operating parameters which are entry-level conditions for technical specifications	3.4/ 4.0	1
000051 Loss of Condenser Vacuum / 4							NOT SELECTED	-	0
000059 Accidental Liquid RadWaste Rel. / 9							NOT SELECTED	-	0
000060 Accidental Gaseous Radwaste Rel. / 9							NOT SELECTED	-	0
000061 ARM System Alarms / 7							NOT SELECTED	-	0
000067 Plant Fire On-site / 8							NOT SELECTED	-	0
000068 Control Room Evac. / 8							NOT SELECTED	-	0
000069 (W/E14) Loss of CTMT Integrity / 5							NOT SELECTED		0
000074 (W/E06&E07) Inad. Core Cooling / 4							NOT SELECTED	-	0
000076 High Reactor Coolant Activity / 9							NOT SELECTED	-	0
W/EO1 & E02 Rediagnosis & SI Termination / 3					100		NOT SELECTED	-	0
W/E13 Steam Generator Over-pressure / 4							NOT SELECTED	-	0
W/E15 Containment Flooding / 5							NOT SELECTED	-	0
W/E16 High Containment Radiation / 9			7				NOT SELECTED	-	0
W/E03 LOCA Cooldown - Depress. / 4							NOT SELECTED	<b> </b> -	0
W/E09&E10 Natural Circ. / 4							NOT SELECTED	-	0
W/E08 RCS Overcooling - PTS / 4							NOT SELECTED	<b> </b>	0
					¥å.				
K/A Category Point Totals:			Ì		1	3	Group Point Total:		4

{PRIVATE }ES-401			Pla							n Outlir Group	ne Fo 1 (SRO)	orm ES-	401-2
System # / Name	K 1	K 2	K 4	K 5	K 6	A 1	A 2	A 3	A 4	G	K/A Topic(s)	IR	#
003 Reactor Coolant Pump							0 3				Ability to (a) predict the impacts of the following malfunctions or operations on the RCP; and (b) based on those predictions, use procedures to correct, control, or mitigate the consequences of those malfunctions or operations: Problems associated with RCP motors, including faulty motors and current, and winding and bearing temperature problems	2.7/ 3.1	1
004 Chemical and Volume Control											NOT SELECTED	-	0
005 Residual Heat Removal											NOT SELECTED	_	0
006 Emergency Core Cooling										4.6	As it relates to Emergency Core Cooling: Knowledge of symptom based EOP mitigation strategies	3.1/ 4.0	1
007 Pressurizer Relief/Quench Tank											NOT SELECTED	-	0
008 Component Cooling Water											NOT SELECTED	-	0
010 Pressurizer Pressure Control											NOT SELECTED	-	0
012 Reactor Protection											NOT SELECTED	-	0
013 Engineered Safety Features Actuation											NOT SELECTED	-	0
022 Containment Cooling							0 4				Ability to (a) predict the impacts of the following malfunctions or operations on the CCS; and (b) based on those predictions, use procedures to correct, control, or mitigate the consequences of those malfunctions or operations: Loss of service water	2.9/ 3.2	1
026 Containment Spray											NOT SELECTED	-	0
039 Main and Reheat Steam	П										NOT SELECTED	-	0
059 Main Feedwater	П										NOT SELECTED	1.	0

{PRIVATE }ES-401	PWR Examination Outline Form ES-401-2 Plant Systems - Tier 2/Group 1 (SRO)												
System # / Name	K 1				K 5		A 1		A 4	G	K/A Topic(s)	IR	#
061 Auxiliary/Emergency Feedwater										2.22	As it relates to the AFW system: knowledge of limiting conditions for operations and safety limits	3.4/ 4.1	1
062 AC Electrical Distribution											NOT SELECTED	-	0
063 DC Electrical Distribution											NOT SELECTED	-	0
064 Emergency Diesel Generator								•			NOT SELECTED	-	0
073 Process Radiation Monitoring										1.33	As it relates to Process Radiation Monitoring: Ability to recognize indications for system operating parameters which are entry-level conditions for technical specifications.	3.4/ 4.0	1
076 Service Water											NOT SELECTED	-	0
078 Instrument Air								5 - 5 - 		A SLASE	NOT SELECTED	-	0
103 Containment											NOT SELECTED	1-	0
K/A Category Point Totals:								2		3	Group Point Total:		5

{PRIVATE }ES-401			Р	lani					n Outli /Group	ine Fo 2 (SRO)	orm ES-	401-2
System # / Name	K 1	К 3	K 4		A 1	A 2	A 3	A 4	G	K/A Topic(s)	IR	#
001 Control Rod Drive										NOT SELECTED	-	0
002 Reactor Coolant										NOT SELECTED	-	0
011 Pressurizer Level Control										NOT SELECTED	-	0
014 Rod Position Indication						0 2				Ability to (a) predict the impacts of the following malfunctions or operations on the RPIS; and (b) based on those predictions, use procedures to correct, control, or mitigate the consequences of those malfunctions or operations: loss of power to the RPIs	3.1/ 3.6	1
015 Nuclear Instrumentation										NOT SELECTED	-	0
										NOT SELECTED	-	0
017 In-core Temperature Monitor						0 2				Ability to (a) predict the impacts of the following malfunctions or operations on the ITM system; and (b) based on those predictions, use procedures to correct, control, or mitigate the consequences of those malfunctions or operations: Core Damage	3.6/ 4.1	1
027 Containment Iodine Removal										NOT SELECTED	-	0
028 Hydrogen Recombiner and Purge Control										NOT SELECTED	-	0
029 Containment Purge										NOT SELECTED	-	0
033 Spent Fuel Pool Cooling										NOT SELECTED	-	0
034 Fuel Handling Equipment										NOT SELECTED	-	0
035 Steam Generator										NOT SELECTED	-	0
041 Steam Dump/Turbine Bypass Control										NOT SELECTED	-	0
045 Main Turbine Generator										NOT SELECTED	-	0

{PRIVATE }ES-401		PWR Examination Outline Plant Systems - Tier 2/Group 2 (SRO)									Form ES-401-2			
System # / Name	K 1	K 2	К 3	К 4	K 5	К 6					G	K/A Topic(s)	IR	#
055 Condenser Air Removal												NOT SELECTED	-	0
056 Condensate								Andy Johnson				NOT SELECTED		0
068 Liquid Radwaste												NOT SELECTED		0
071 Waste Gas Disposal												NOT SELECTED	-	0
072 Area Radiation Monitoring											1.14	As it relates to Area Radiation Monitoring: Knowledge of system status criteria which require the notification of plant personnel	2.5/ 3.3	1
075 Circulating Water												NOT SELECTED		0
079 Station Air												NOT SELECTED	-	0
086 Fire Protection											*	NOT SELECTED	-	0
K/A Category Point Totals:								2			1	Group Point Total:		3

## ES-401, Rev. 9 SRO Generic Knowledge and Abilities Outline (Tier 3) Form ES-401-3

{PRIVATE } Facility:	Turkey Po	oint Date of Exam: April 2007				
Category	K/A#	Topic	5/2017		SRO	Only
					IR	#
1. Conduct of Operations	2.1.					8
	2.1.7	Ability to evaluate plant performance and make operational judgements based on operating charasteristics, reactor behavior, and instrument interpretation			4.4	1
	2.1.32	Ability to explain and apply all system limits and precautions			3.8	1
	Subtotal					2
2. Equipment Control	2.2.					
	2.2.24	Ability to analyze the effect of maintenance activities on LCO			3.8	1
	2.2.27	Knowledge of the refueling process			3.5	1
	Subtotal			de la		2
3. Radiation Control	2.3.					
	2.3.10	Ability to perform procedures to reduce excessive levels of radiation and guard against personnel exposure		, de	3.3	1
	Subtotal		1 1 16 7			1
4. Emergency Procedures / Plan	<b>2.4</b> .					
	2.4.7	Knowledge of the event based EOP mitigation strategies			3.8	1
ļ	2.4.44	Knowledge of emergency plan protective action recommendations			4.0	1
	Subtotal					2
Tier 3 Point Total			37230000000		9	7

{PRIVATE }Tier / Group	Randomly Selected K/A	Reason for Rejection
2/2	086G2.4.4	Unable to write SRO level question based on this KA
	_	

ES-401, Rev. 9

### Sample Written Examination Question Worksheet

Form ES-401-5

Examination Outline Cross-Ref	ference: Leve Tier # Group # K/A # Importance		SRO  
Proposed Question:			
Proposed Answer:			
Explanation (Optional):			
Technical Reference(s):	provided)	(Attach if	not previously
Proposed references to be pro	ovided to applica	nts during	examination:
Learning Objective:		(As availat	ole)
	Bank # d Bank #	(Note changes o	or attach parent)
Question History: L (Optional: Questions validated at the the NRC; failure to provide the inform	ast NRC Exam facility since 10/95 nation will necessitat	will generally undergo le te a detailed review of e	ess rigorous review by very question.)
	Memory or Funda ehension or Anal	ımental Knowledge ysis	
10 CFR Part 55 Content: 55.43 _	55.41		
Comments:			

# Written Examination Quality Checklist Form ES-401-6

				<del></del>				
{PRIVATE }Facility:								
Date of Exam: Exam Level: RO SRO								
						Initial		
					а	b*	C#	
Questions and answers are technically accurate and applic	cable to the	e facility.						
a. NRC K/As are referenced for all questions.     b. Facility learning objectives are reference.	nced as av	ailable.						
SRO questions are appropriate in accordance with Section D.2.d of ES-401								
4. The sampling process was random and systematic (If more than 4 RO or 2 SRO questions were repeated from the last 2 NRC licensing exams, consult the NRR OL program office).								
5. Question duplication from the license screening/audit exam was controlled as indicated below (check the item that applies) and appears appropriate:  the audit exam was systematically and randomly developed, or  the audit exam was completed before the license exam was started, or  the examinations were developed independently, or  the licensee certifies that there is no duplication, or  other (explain)								
6. Bank use meets limits (no more than 75 percent Bank Modified New from the bank, at least 10 percent new, and the rest new or modified); enter the actual RO / SRO-only question distribution(s) at right.								
	3/1	0/0	0	72 / 24				
7. Between 50 and 60 percent of the questions on the RO exam are written at the comprehension/ analysis level; the SRO exam may exceed 60 percent if the randomly selected K/As support the higher cognitive levels; enter the actual RO / SRO question distribution(s) at right.	Memo	ory		C/A				
	33 /	5	4:	2 / 20				
References/handouts provided do not give away answers or aid in the elimination of distractors.								
Question content conforms with specific K/A statements in examination outline and is appropriate for the tier to which they are a				itified.				
10. Question psychometric quality and format meet the guidelin	nes in ES /	ppendix	В.					
11. The exam contains the required number of one-point, multipand agrees with the value on the cover sheet.	ple choice	items; the	e total i	is correct				
Printed Nar	me / Signat	ure				Da	te	
a. Author b. Facility Reviewer (*) c. NRC Chief Examiner (#) d. NRC Regional Supervisor								
Note: * The facility reviewer's initials/signature are not applicable # Independent NRC reviewer initial ite					currenc	e require		

{PRIVATE } U.S. Nuclear Regulatory Commission							
Site-Specific RO Wri	tten Examination						
Applicant Info	ormation						
Name:							
Date: May 2007	Facility/Unit: Turkey Point						
Region: I <u>II</u> III IV	Reactor Type: W CE BW GE						
Start Time:	Finish Time:						
Use the answer sheets provided to document your answers. Staple this cover sheet on top of the answer sheets. To pass the examination, you must achieve a final grade of at least 80.00 percent. Examination papers will be collected 6 hours after the examination begins.							
Applicant Cer All work done on this examination is my own.							
Results							
Examination Value	100 Points						
Applicant's Score	Points						
Applicant's Grade	Percent						

{PRIVATE } U.S. Nuclear Regulatory Commission									
Site-Specific SRO Written Examination									
Applicant Information									
Name:									
Date: May 2007	Facility/Unit: Turkey Point								
Region: I <u>II</u> III IV	Reactor Type: W CE BW GE								
Start Time:	Finish Time:								
Instructions									
Use the answer sheets provided to docum sheet on top of the answer sheets. To pas a final grade of at least 80.00 percent ove on the SRO-only items if given in conjunct given alone require a final grade of 80.00 to complete the combined examination, ar portion.	ss the examination you must achieve rall, with 70.00 percent or better ion with the RO exam; SRO-only exams percent to pass. You have 8 hours								
Applicant C									
Applicant's Signature									
Results									
RO/SRO-Only/Total Examination Values									
Applicant's Scores	/ / Points								
Applicant's Grade	/ / Percent								

{PRIV ATE } Q#	1. LOK (F/H)	2. LOD (1-5)	3. Psychometric Flaws						4. Job Content Flaws				5. Other		7. Explanation
			Stem Focus	Cues	T/F	Cred. Dist.	Partial	Job- Link	Minutia	#/ units	Back- ward	Q= K/A	SRO Only		

#### Instructions

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[Refer to Section D of ES-401 and Appendix B for additional information regarding each of the following concepts.]

- 1. Enter the level of knowledge (LOK) of each question as either (F)undamental or (H)igher cognitive level.
- 2. Enter the level of difficulty (LOD) of each question using a 1 5 (easy difficult) rating scale (questions in the 2 4 range are acceptable).
- 3. Check the appropriate box if a psychometric flaw is identified:
  - The stem lacks sufficient focus to elicit the correct answer (e.g., unclear intent, more information is needed, or too much needless information).
  - The stem or distractors contain cues (i.e., clues, specific determiners, phrasing, length, etc).
  - The answer choices are a collection of unrelated true/false statements.
  - The distractors are not credible; single implausible distractors should be repaired, more than one is unacceptable.
    - One or more distractors is (are) partially correct (e.g., if the applicant can make unstated assumptions that are not contradicted by stem).
- 4. Check the appropriate box if a job content error is identified:
  - The question is not linked to the job requirements (i.e., the question has a valid K/A but, as written, is not operational in content).
- The question requires the recall of knowledge that is too specific for the closed reference test mode (i.e., it is not required to be known from memory).
  - The question contains data with an unrealistic level of accuracy or inconsistent units (e.g., panel meter in percent with question in gallons).
  - The question requires reverse logic or application compared to the job requirements.
- 5. Check questions that are sampled for conformance with the approved K/A and those that are designated SRO-only (K/A and license level mismatches are unacceptable).
- 6. Based on the reviewer's judgment, is the question as written (U)nsatisfactory (requiring repair or replacement), in need of (E)ditorial enhancement, or (S)atisfactory?
- 7. At a minimum, explain any "U" ratings (e.g., how the Appendix B psychometric attributes are not being met).

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