

# Official Transcript of Proceedings

## NUCLEAR REGULATORY COMMISSION

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Medical Uses of Isotopes

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1 UNITED STATES OF AMERICA  
 2 NUCLEAR REGULATORY COMMISSION

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4 ADVISORY COMMITTEE ON THE MEDICAL USES OF ISOTOPES

5 (ACMUI)

6 + + + + +

7 MEETING

8 + + + + +

9 TUESDAY, MAY 25, 2010

10 + + + + +

11 ROCKVILLE, MARYLAND

12 The Advisory Committee convened in Room T2B3 of  
 13 Two White Flint North, 11545 Rockville Pike, at 8:00  
 14 a.m., Bruce Thomadsen, Acting Chair, presiding.

15 MEMBERS PRESENT:

16 BRUCE THOMADSEN Vice Chairman  
 17 Therapy Physicist  
 18 DARRELL FISHER Patients' Rights Advocate  
 19 DEBBIE GILLEY State Government  
 20 MILTON GUIBERTEAU Diagnostic Radiologist  
 21 Representative  
 22 SUE LANGHORST Radiation Safety Officer  
 23 STEVE MATTMULLER Nuclear Pharmacist  
 24 ORHAN SULEIMAN US Food & Drug Admin. (FDA)  
 25 WILLIAM VAN DECKER Nuclear Cardiologist

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1 JAMES WELSH Radiation Oncologist  
2 PAT ZANZONICO Nuclear Medicine Physicist  
3  
4 NRC STAFF PRESENT:  
5 ROB LEWIS MSSA Division Director  
6 JIM LUEHMAN MSSA Deputy Division Director  
7 CHRIS EINBERG Designated Federal Officer  
8 MIKE FULLER Alt. Designated Federal Officer  
9 ASHLEY COCKERHAM ACMUI Project Manager  
10 MEG AUDRAIN  
11 CINDI CARPENTER  
12 SAID DAIBES  
13 MARC FERDAS  
14 JAMES FIRTH  
15 SANDY GABRIEL  
16 VINCE HOLOHAN  
17 DONNA-BETH HOWE  
18 SOPHIE LE  
19 GRETCHEN RIVERA-CAPELLA  
20 MARIA SCHWARTZ  
21 RONALD ZELAC

22  
23 ALSO PRESENT:

24 ROY BROWN CORAR  
25 JANET BUKOVCAN MDS Nordion

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ALSO PRESENT (CONTINUED):

SIMON CHOI FDA

ROBERT DANSERAU New York

CAROL FLORIAN Symetosphere

JIM NANCE Symetosphere

GARY WILLIAMS VA NHPP

DRAFT

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## P R O C E E D I N G S

(8:15 a.m.)

1  
2  
3  
4 ACTING CHAIR THOMADSEN: Welcome back to  
5 Day 2 of the NRC ACMUI meeting.

6 We will be beginning with a presentation  
7 from Ms. Gilley on the NRC efforts to develop a safety  
8 culture policy.

9 Ms. Gilley?

10 MEMBER GILLEY: Good morning. The safety  
11 culture is something that NRC has been embracing and  
12 talking about with the agreements states for the last  
13 year or so. I'd like to give an introduction to it  
14 but I'm really looking to have the ACMUI members to  
15 provide some dialogue and information back to NRC and  
16 the agreement states on how best we could forward with  
17 the adoption of a safety culture policy.

18 Policy segments help to guide the  
19 activities of the NRC staff and can express the  
20 Commissioner's expectations of others. They are not  
21 rules with the meaning of Administrative Procedures  
22 Act and cannot be accorded the status of a rule.

23 Agreement states cannot be required to  
24 implement elements of the policy statement and policy  
25 statements cannot be considered to be bind upon them

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1 or enforceable against them -- against NRC or  
2 agreement licenses.

3 The types of activities that have occurred  
4 that have indicated that maybe we needed to focus more  
5 on a safety culture include the loss of control of  
6 sealed sources of prostrate brachytherapy performed  
7 without the evaluation of seed placement and iodine  
8 131 in therapy administered to a lactating mother that  
9 resulted in radioactive iodide uptake to infants, and  
10 years of undetected boric acid corrossions in the  
11 reactor pressure vessels head cavity at the Davis-  
12 Besse Nuclear Power Station.

13 The safety culture policy statement from  
14 the Commission should expand the NRC's policy of  
15 safety culture to address the unique aspects of  
16 security and to ensure the resulting policy is  
17 applicable to all licensees and certificate holders.

18 They published a draft policy statement in  
19 the Federal Register in November of 2009 and they held  
20 a safety culture workshop February 2nd through the  
21 4th, 2010, where they redefined or enhanced the  
22 definition of safety culture and developed some safety  
23 culture traits.

24 The original definition of safety culture  
25 is the assembly of characteristics, attitudes, and

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1 behaviors in organizations and individuals which  
2 establish that as an overriding priority. Nuclear  
3 safety and security issues receive the attention  
4 warranted by their significance.

5 With the completion of the workshop, there  
6 was lots of information received in that workshop.  
7 They redefined or enhanced that definition to state  
8 nuclear safety culture is the core values and  
9 behaviors resulting from a collective commitment by  
10 leaders and individuals to emphasize safety over  
11 competing goals to ensure protection of people and the  
12 environment.

13 So where are we in that? They're still  
14 looking at comments and trying to find guidance  
15 documents that would be appropriate for medical  
16 applications. They have identified eight traits of a  
17 safety policy culture. And they are here today -- or  
18 Ed and I as an agreement statement member, since we  
19 usually have to follow suit with NRC, are looking for  
20 your input to identify other activities that might  
21 could be used in the medical facilities to enhance  
22 safety culture.

23 What work practices might be in place,  
24 work planning and control that we might could look at,  
25 continuous learning environment, effective

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1 communications -- I'm not aware -- know if many of you  
2 are aware that sometimes communication within an  
3 organization has led to medical events, and others  
4 that might be out there based on your experiences.

5 I'd also like to acknowledge that there  
6 are two NRC employees here that can assist me in  
7 defining this safety culture policy, James Firth, who  
8 helps me with the slides, and Maria Schwartz, who are  
9 sitting in the first row back there.

10 The other information in the package that  
11 you received includes the eight traits that they've  
12 come up with. You may want to refer to that.

13 And, Dr. Thomadsen, I would like to open  
14 the floor for conversation from individuals on their  
15 thoughts about safety culture.

16 ACTING CHAIR THOMADSEN: Very good. Thank  
17 you very much.

18 Let me first ask if there are comments  
19 from the Committee? Questions?

20 Mr. Lewis?

21 MR. LEWIS: I just, for an additional  
22 point of reference, we are very much in the  
23 information collecting mode for materials licensees on  
24 safety culture issues. And in a lot of ways, we're a  
25 lot behind the way that the reactors use the term

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1 safety culture and have a more systematic application  
2 to their work.

3 And our medical licensees, among our  
4 material licensees, you know, have a strong reputation  
5 for a good safety culture. And hospitals are in  
6 business to save lives. So they naturally have good  
7 safety cultures.

8 So hopefully what you can help us with  
9 today is just some ideas of how safety culture or  
10 things that we already do that aren't called that, can  
11 be made more tangible and systematic amongst our  
12 material licensees in the future.

13 ACTING CHAIR THOMADSEN: Let me ask you,  
14 do you have some example in mind as to what that might  
15 look like?

16 MR. LEWIS: Maybe I could get some help  
17 from the staff but yes, I do, because we do many  
18 things in our programs geared towards ALARA or  
19 reviewing our work. They are up there, you know,  
20 continuous learning environment. And I think in the  
21 performance-based regulatory environment that we are  
22 trying to work towards in our inspection program or  
23 our licensing program, we want to have feedback in how  
24 to paint those into a box or share best practices  
25 amongst licensees in terms of proceduralizing a

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1 continuous learning environment.

2 Is there any examples at your licensed  
3 facilities where you have, you know, a strong safety-  
4 conscious work environment program or something that  
5 other licensees that may not have the benefit for.

6 So we are in the mode this year of  
7 collecting all those data points. And the next step  
8 would be to try to materialize those into some  
9 regulatory guidance or the policy statement. And  
10 eventually -- like we have a -- if I could, we have a  
11 medical use policy statement. Everybody here knows  
12 that. You know our policy is not to interfere with  
13 the practice of medicine.

14 The policy statement is embodied in all of  
15 Part 35 in how we define medical events. It is  
16 embodied in all of our licensing guidance in NUREG-  
17 1556.

18 So in the same way, we ultimately want the  
19 safety culture policy statement to be embodied in our  
20 regulations and guidance in a tangible way.

21 Jim, do you want to add to that?

22 MR. FIRTH: Okay. Jim Firth, NRC Staff.

23 A couple of things. I mean one, when we  
24 had the workshop in February, we had someone from the  
25 Joint Commission who has been involved in terms of

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1 some of their work on safety culture and sentinel  
2 events. And also in our previous workshop in 2009,  
3 some of the feedback we had was that to get it  
4 incorporated into some of the medical licensees is to  
5 work through some of the standard-setting  
6 organizations that would then be embodied in terms of  
7 the professional practices, it would then be then  
8 incorporated in terms of what the licensees do.

9 As we move to implementation, what we are  
10 wrestling with is we have a very large diversity of  
11 licensees on the materials side. And even in the  
12 medical side, you know, the larger facilities versus  
13 the smaller facilities. So what is the best way, in  
14 terms of working things through implementation?

15 We're not going to be going out as often  
16 as we go out on the reactor side to see what's going  
17 on. So we're looking in terms of -- one other thing  
18 to add is that we did a pilot looking at trying to  
19 look at what we were doing in the reactor oversight  
20 process to see how that would translate to materials  
21 licensees.

22 The example we started with were uranium  
23 fuel fabrication facilities. And what we noticed is  
24 that a lot of the principles of safety culture are  
25 either implicit or explicit in the existing NRC

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1 regulations. Not all of them but there's a good -- a  
2 large set that are.

3 There are reporting requirements. There  
4 are things in terms of safety-conscious work  
5 environment and employee protection that also  
6 translate and correspond very well with what we looked  
7 at as areas important to safety culture. There are  
8 other areas that are not necessarily as explicit.

9 ACTING CHAIR THOMADSEN: Other comments?  
10 Yes, please? Dr. Langhorst.

11 MEMBER LANGHORST: Sue Langhorst. You  
12 talk about getting into this conversation with  
13 licensees and so on. And I thought there were two  
14 additional workshops scheduled. But did I hear  
15 correctly that they were cancelled?

16 MR. FIRTH: Jim Firth, NRC staff. Yes,  
17 the two workshops that were planned, April and  
18 October, have been cancelled. And part of that is  
19 that we didn't know how long it would take to arrive  
20 at a common definition and underlying traits using the  
21 workshop.

22 MEMBER LANGHORST: Okay.

23 MR. FIRTH: We made a lot of progress.  
24 The people that were on the panel were very pleased  
25 with the progress. A lot of the comments that we

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1 received afterwards, because we extended the comment  
2 period so it would close after that workshop, a lot of  
3 the comments that were received afterwards said --  
4 asked the NRC to use what came out of the workshop in  
5 terms of the definition and traits. This is a good  
6 starting point for the final policy statement.

7 So based on that and based on the feedback  
8 we had of the people that helped us plan the workshop,  
9 that it represented stakeholders on materials  
10 licensees, the public, reactors, a full range of  
11 stakeholders, their feedback to us was that they  
12 didn't see the need of having a workshop similar to  
13 what we had before.

14 MEMBER LANGHORST: Okay.

15 MR. FIRTH: So we're not going to have  
16 those two workshops. We are going out on meetings as  
17 we get word -- information out on the definition and  
18 the traits to try and get feedback on how receptive  
19 are groups and organizations to the definition and the  
20 traits because one of our objectives is that we would  
21 like to have a common language of safety culture that  
22 can be used by NRC and others. If it is not endorsed  
23 or not embodied by others then things are not going to  
24 work as well.

25 There's also multiple definitions of

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1 safety culture in terms of NRC, OSHA, and others. So  
2 if we can come up to some definition that people are  
3 going to be widely using and also help communications.  
4 So over the summer, we are having -- going out and  
5 trying to go out to workshops, conferences, and other  
6 meetings to try and get that information.

7 We have under consideration a possible  
8 workshop, possibly like in the October time frame.  
9 But that's sort of tentative.

10 MEMBER LANGHORST: Okay. I would just  
11 urge the NRC to let licensees know that those  
12 workshops may get cancelled because I couldn't -- it  
13 was too quick on the first one and I could not attend  
14 or even sit in. And so I thought okay, I'll have  
15 other chances. And then they were gone.

16 And so I understand that you got done what  
17 you wanted to get done in that environment of  
18 developing at least a new statement that everyone  
19 could agree with. And so I am very glad that you are  
20 continuing to have that dialogue with licensees.

21 MS. SCHWARTZ: And that fair. Even if we  
22 do not have the second workshop in the  
23 September/October time frame, we do plan, when we pull  
24 things together from our outreach activities, to have  
25 a second Federal Register notice where we will ask for

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1 comments again to make sure we don't have an fatal  
2 flaws in what we have arrived it.

3 MEMBER LANGHORST: I have another  
4 question.

5 ACTING CHAIR THOMADSEN: Please continue.

6 MEMBER LANGHORST: Debbie, in your slide  
7 on safety culture and the definition, it said nuclear  
8 safety but then no place else did it say nuclear. It  
9 just -- how does NRC propose to marry in an overall  
10 safety culture with the nuclear part of things?

11 And my point is is sometimes there are so  
12 many things we have to address from an NRC regulatory  
13 perspective that uses up resources from other safety  
14 needs and sometimes it is not the greatest of balance  
15 but our license is very precious to us. And so we  
16 have to make some tough decisions on which safety  
17 aspect we have to focus on.

18 MR. FIRTH: Okay. James Firth, NRC staff.

19 The definition that came out of the  
20 workshop was a result of compromises and other  
21 discussions among a panel and also the other people  
22 that were participating. Part of the discussions and  
23 deliberations was that some organizations feel that it  
24 is very important to stress that nuclear is different.  
25 And you hear this on some of the nuclear power plants

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1 that whether it is new construction or existing power  
2 plants when they have people coming in, it is very  
3 important for them to stress that even though you deal  
4 with safety culture elsewhere, here it is different.  
5 Here it is even more important than elsewhere.

6 And the way that got reflected was they  
7 added nuclear as nuclear safety culture in what is  
8 being defined. We haven't come to a final conclusion  
9 on that but that's what the discussion was. But the  
10 intent is not necessarily to have this be partitioned  
11 and separate from elsewhere.

12 We also heard from other stakeholders that  
13 given that they deal with industrial accidents are  
14 even more significant and what they worry about more  
15 than the nuclear based on their business. So it  
16 didn't resonate as much, I think, with them having the  
17 nuclear as different. They wanted everything brought  
18 together. So that's one of the things we are  
19 wrestling with is that some groups really feel that  
20 they need to differentiate that it is different for  
21 their organization but others want to bring everything  
22 together in terms of industrial safety, nuclear  
23 safety, and so forth.

24 MEMBER LANGHORST: I think it is very --  
25 sorry -- I think it is very important that you can't

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1 get a one-size-fits-all in developing a safety  
2 culture. It has to be adopted by the organization and  
3 it has to be fed continuously to have it be  
4 successful.

5 And sometimes you can have a very good  
6 safety culture but it may be focused more for this one  
7 part of safety at one given point in time and there  
8 may be issues that come up with a license commitment  
9 or something. So I just -- it's very hard to regulate  
10 it if that is any intent of the NRC.

11 ACTING CHAIR THOMADSEN: Thank you.

12 Debbie? Or Ms. Gilley.

13 MEMBER GILLEY: I just want this group  
14 especially to be aware that my personal thing is that  
15 patient safety should be primary. And I worry a lot  
16 about the competing safety cultures here. And us  
17 having to make decisions.

18 And I would hope that the ACMUI would  
19 support that the patient safety is the primary focus  
20 and the nuclear safety, if when in conflict, would  
21 take a second seat to patient safety. And that  
22 bothers me a little bit because I think that there  
23 might be opportunities out there where we would be  
24 putting those decisions to either violate our  
25 regulations demonstrating we have a nuclear safety

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1 culture at the expense of our -- to allow the patient  
2 to get what they need.

3 ACTING CHAIR THOMADSEN: I would -- if I  
4 may, I think there's a confusion in this discussion  
5 between cultures and practices in that when you have  
6 competing demands for the resources, it is the  
7 practice that determines what gets the resources.

8 But a safety culture is an awareness of  
9 having to deal with the risk in the organization. I  
10 don't think you can have a nuclear safety culture in  
11 the absence of an overall safety culture for the  
12 organization. And I think that if you have a safety  
13 culture for the organization, it will filter down into  
14 the nuclear safety and patient safety and all the rest  
15 of the safety things.

16 And the demands for the resources would be  
17 determined by the needs. But the overall safety  
18 culture would be for the entire organization. That  
19 would have to be how I see it.

20 Dr. Suleiman?

21 MEMBER SULEIMAN: Yes, I get troubled by  
22 it because I think it -- I can't see how you can have  
23 partitions and different safety cultures. I mean the  
24 way I look at everything, everything -- it is an  
25 attitude. It is almost like good practice.

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1 A lot of regulations that we are involved  
2 with, their primary objective is safety if you  
3 translate back to the intent. So I don't see how you  
4 could say this is safety and that's not because  
5 there's always this -- you know you were saying one  
6 against the other. But I don't see it that way.

7 You're always making decisions. And  
8 sometimes you say well, we can use the item --  
9 exercise just the other day. Right. Right. Are you  
10 protecting the public or are you protecting the  
11 patient?

12 Well, at some point, you may be shifting  
13 the balance so you are actually causing harm. So  
14 you're trying to maintain balance. So the nuclear,  
15 the security, I think what I suspect the intent was  
16 was that have an attitude where people respect the  
17 safety regulations and respect what people are trying  
18 to do rather than people just saying look, this is my  
19 job. I've got to do these. And I'm not concerned  
20 about what's happening there.

21 So I think a safety culture would  
22 inherently -- a good safety culture would inherently  
23 have a lot of respect for each other. And I find it  
24 interesting that you are trying to segregate -- like  
25 that there is a nuclear culture and then there is an

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1 occupational and then there is a -- it's got to be one  
2 big -- it's an attitude.

3 ACTING CHAIR THOMADSEN: We have a member  
4 of the public.

5 MR. NANCE: Jim Nance from Symetosphere.  
6 We attended the workshop. And the only thing I wanted  
7 to interject was as an observer there that the only  
8 reason the word nuclear was put in there was because  
9 the NRC felt that they could only regulate or have a  
10 policy against nuclear safety culture, not against all  
11 safety culture because they cannot go and audit or  
12 observe if you're doing OSHA, if you're doing all the  
13 other safety cultures.

14 So from my perspective as an observer,  
15 that was the only reason the word nuclear was put in  
16 there was because NRC said we have to be able to have  
17 this, that if it does go to rulemaking, not that it  
18 will, but if it ever did go to rulemaking, that we  
19 would have to have that in there.

20 ACTING CHAIR THOMADSEN: And that's one of  
21 my concerns. I don't think you can -- I don't think  
22 you can make a rule for attitudes. And that's what  
23 the culture is.

24 You can make rules that define behaviors.  
25 And that's what you already do. And if you are

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1 looking to try to control safety of radioactive  
2 materials, you can have regulations that do that.

3 But you can't regulate people's attitudes.  
4 You can't even evaluate people's attitudes even on  
5 what it looks like. But you can control and regulate  
6 behaviors. So I'm not -- I'm skeptical of the whole  
7 concept of trying to write rules for cultures.

8 Yes? Dr. Zanzonico?

9 MEMBER ZANZONICO: Pat Zanzonico. Apropos  
10 of that point, and I think hospitals and other medical  
11 centers are not unlike many organizations. There is a  
12 hierarchical structure and they are very results  
13 driven.

14 And at the top of the hierarchical  
15 structure in hospitals, of course, are physicians.  
16 And the results that drive the operation are patient  
17 procedures. And obviously the physicians and  
18 administrators and so forth want to push though as  
19 many patients as possible and maximize income and so  
20 forth and so on. And hopefully in the process deliver  
21 optimum patient care as well.

22 Often times, however, the individuals who  
23 are most aware of lapses in safety are no where near  
24 the top of that hierarchy. People like technologists,  
25 nurses, even housekeeping staff, you know, who may see

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1 unshielded sources, et cetera, et cetera, because all  
2 of that helps optimize the results component of the  
3 operation.

4 And to get to another point and then I'll  
5 get back to my first point, is that I agree it is very  
6 difficult, if not impossible, to regulate a culture  
7 unless there is an enforceable component. I mean  
8 that's just human nature. And that's just the  
9 reality. I mean people will certainly pay lip service  
10 to a safety culture much like they pay lip service to  
11 ALARA.

12 But unless there is a stick connected with  
13 the carrot, the fact is that the day-to-day business  
14 of an operation are so overwhelming that it is very  
15 easy not to pursue, in a tangible sense, a safety  
16 culture, a lab, or et cetera, et cetera. Again,  
17 people will pay lip service to it, especially when  
18 they are inspected or audited or some such thing as  
19 that.

20 And so, you know, as much as we may not  
21 like it and as much as I, as a user, don't need more  
22 paperwork and more regulations and more reports, et  
23 cetera, et cetera, unless there is an enforceable  
24 component to this, it is going to have very little  
25 tangible impact for the reasons you cited.

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1           One -- and I don't want to get overly  
2           proscriptive or overly detailed at this point but one  
3           possibility would be to include a suggestion, if not  
4           an requirement, in a safety culture statement that a  
5           non-management individual be identified as a safety  
6           culture officer.

7           And I'm thinking of that, again, not a  
8           physician, not an administrator, not a physicist, not  
9           a radiopharmacist, but perhaps a technician, perhaps a  
10          nurse be prescribed as someone who is responsible for  
11          that. Perhaps there could be a professional level  
12          person as well. But definitely including a non-  
13          management person.

14          And also requiring a periodic safety  
15          culture report. Again, none of us need more  
16          regulations or more paperwork. But I just don't see  
17          this having any practical impact unless number one,  
18          there is a tangible product such as a report connected  
19          with it, and even more importantly, some enforceable  
20          action.

21          I think the NRC and other regulators  
22          should not underestimate the impact they have on the  
23          operation of hospitals certainly. The quickest way to  
24          free up budget money is to say well, it is a  
25          regulatory requirement. No matter how much else --

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1 there may be a compelling need for something, once it  
2 becomes a regulatory issue and it potentially exposes  
3 the hospital to monetary and other sanctions, suddenly  
4 money that wasn't available becomes available.

5 And likewise, unless there is some sort of  
6 tangible sanction associated with violation or  
7 neglecting a safety culture, I just don't see it  
8 having a practical impact -- a practical impact. And  
9 even at that I'm skeptical because it is one of these  
10 amorphous concepts.

11 But I think there has to be some  
12 proscriptive component, not just a philosophical  
13 component to this notion. And there has to be some  
14 enforceable sanction connected with it as well.  
15 Otherwise, as I say, I see it having little practical  
16 impact.

17 MR. LEWIS: Dr. Thomadsen?

18 ACTING CHAIR THOMADSEN: Mr. Lewis?

19 MR. LEWIS: On your comment and Dr.  
20 Zanzonico's comment, let me offer a thought for  
21 discussion purposes. But first let me say that both  
22 of you talked about new requirements. And we're not  
23 talking about new requirements.

24 We're talking about a policy statement,  
25 which is not an enforceable vehicle. But think of it

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1 more of like a lighthouse that guides our activities  
2 and prioritizes our NRC activities to look in the  
3 right places in the future.

4 But that said, let me -- where do I see  
5 safety culture? Where do I see it show up? And it  
6 shows up in events, after-the-fact events.

7 We have our thorough analysis of some  
8 medical event or license event that is reported to us.  
9 We go out, do our inspection, issue our violations,  
10 resolve the enforcement, agree to the corrective  
11 actions.

12 A lot of times I see the root cause or a  
13 contributing cause is a poor licensee safety culture.  
14 And everybody that reads the report say oh yes, that's  
15 right. Yes, they had a bad safety culture. But the  
16 question I'm asking now is why do I always see that  
17 after the fact? What can I do about safety culture  
18 before an event happens as a regulator?

19 And that's a different way to look at the  
20 question. And we should be doing proactive things.  
21 And what are those things? That's kind of what is  
22 before us.

23 ACTING CHAIR THOMADSEN: Dr. Suleiman?

24 MEMBER SULEIMAN: Actually you can have --  
25 you can encourage a safety culture with very strategic

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1 regulations. I mean, for example, this is a personal  
2 experience. I won't go into a lot of detail but we've  
3 debated within FDA the necessity of certain  
4 regulations, you know, there are a lot of professional  
5 activities and so on.

6 But then you'll say but these  
7 professional, top-layer activities, only penetrate 20  
8 percent, 30 percent of the practicing community. And  
9 so you say well how do you invoke, how do you get  
10 people to practice better, do better, and sometime you  
11 realize that a strategic regulation may just, you  
12 know, requiring some people to do something simply but  
13 on a regular basis, after a period of time can  
14 actually teach people to do things properly.

15 Let's say have a five-minute meeting every  
16 morning to discuss any potential safety issues, you  
17 know. I was once lectured by a senior -- when we were  
18 advocating that -- to draft a -- whether we should or  
19 shouldn't even consider a regulation. And he said if  
20 it is a safety-related issue that is going to have  
21 impact on public health, don't shy away from it.

22 The flip side of that, I was at a major  
23 academic research institution and this was on  
24 fluoroscopy. And there had been a lot of voluntary  
25 technological advances for the fluoroscopy systems.

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1 And one was a concept called speedometer odometer dose  
2 dose rate. You cannot blame the physicians for the  
3 amount of radiation they were delivering if they  
4 didn't know how much radiation they were delivering.

5 So this concept had been thrown around.  
6 And it was a pediatric interventionalist who said we  
7 approached administration and they said no. If it is  
8 important, it will be required as a regulation.

9 So that was a cathartic moment for me  
10 where I said, you know, maybe we need to cross the  
11 line and mandate that because it is a safety feature.  
12 If you continue to want people to adopt it voluntarily  
13 and let the marketplace decide, you are going to have  
14 some people who are not doing that properly.

15 So I think careful thought, some critical  
16 regulations could, in fact, reinforce a safety  
17 culture. If you turn it loose completely, you're  
18 going to have the good players, and they're the ones  
19 always here at this table talking about how great  
20 things are, and you're going to have the people who  
21 aren't here who are out there doing all the things  
22 that cause us the problems.

23 So it is an attitude. But I think without  
24 being overly proscriptive, that's always the critical  
25 thing, you want balance. It doesn't mean you have no

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1 regulation. But you don't want to get so proscriptive  
2 that people are obsessed with the regulation and lose  
3 sight of why they are there.

4 ACTING CHAIR THOMADSEN: Thank you very  
5 much.

6 We have a member of the public. Please  
7 identify yourself.

8 MS. FLORIAN: Hi, Carol Florian,  
9 Symetosphere. I'm a cultural engineer. And I  
10 participated in the cultural -- or the safety culture  
11 workshop for the NRC.

12 ACTING CHAIR THOMADSEN: Can you stand a  
13 little closer to the microphone please.

14 MS. FLORIAN: Closer? Is that better?

15 ACTING CHAIR THOMADSEN: Much better.

16 MS. FLORIAN: Okay. I would just make a  
17 few comments. It is very difficult to regulate safety  
18 culture because it is an after-the-fact thing. What  
19 you need to work to is it is actually possible to  
20 create and design the culture you are looking for in  
21 the organization. And what that ties back to is  
22 changing how people function in their daily role as  
23 opposed to them being functions by controls, change  
24 the beliefs that the people have so that they are  
25 doing things because they truly believe they are the

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1 right thing to do.

2 And I know it probably sounds a little  
3 cliché but we have a specific way that you can take  
4 the traits, for example, that the workshop came up  
5 with, problem resolution and metrics, personal  
6 responsibilities and attitudes, those are the things  
7 that you want to see change in your organization so  
8 that people do those things because they believe it is  
9 right action to take.

10 And you can take the traits, it is  
11 possible, tie them to concepts. And concepts are  
12 something that you can implement in the organization  
13 and measure. And it speaks to Mr. Lewis's question  
14 what are some specific things that you can do?

15 And you can take those traits and tie them  
16 to different things like root cause analysis or  
17 reducing medical errors, those kinds of things,  
18 putting continuous improvement in place and making  
19 sure that you feed back all that information. And you  
20 keep changing it and giving the people who actually  
21 have to do these things each day a stake in what it  
22 is. And then they begin to take ownership for what it  
23 is that they do.

24 And you'll start to see the culture change  
25 in the organization. And then you have a tangible way

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1 to take it and be able to measure it.

2 ACTING CHAIR THOMADSEN: Thank you.

3 Mr. Mattmuller?

4 MEMBER MATTMULLER: Yes, just for the  
5 record, I attended a three-day safety culture NRC  
6 program. And I'm not sure where these other people  
7 went on the third day but I was there on the third  
8 day.

9 ACTING CHAIR THOMADSEN: Can you move your  
10 microphone closer?

11 MEMBER MATTMULLER: Sure. And primarily -  
12 - I participated and the one point I tried to make  
13 there and to remind people here is that we are  
14 completely different than most applications that the  
15 NRC regulates. And that we give radioactive material  
16 to patients on purpose because of the benefits that it  
17 provides as opposed to using the power of the atom to  
18 generate electricity.

19 And so from our perspective, it is totally  
20 different. It's 180 degrees different. But also we  
21 have within a medical center or within the medical  
22 profession, we have the Joint Commission who is a much  
23 larger force in a medical center than the NRC is.

24 And they have been after safety culture or  
25 I can think of some of their earlier started over 20

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1 years ago, the quality assurance program. And, in  
2 fact, not that we shared notes but they do have a  
3 document right now called root cause analysis in  
4 healthcare. And so it is something they actively  
5 promote, and manage, and look for when they come and  
6 do their inspection visits.

7 And as far as -- to touch on what Pat  
8 mentioned -- there is a stick now in healthcare and  
9 that is reimbursement is now tied to quality results  
10 in that I know our facilities participates with a  
11 couple of insurance companies that do surveys. And if  
12 our quality level is at a certain level, we get a  
13 higher reimbursement rate, which obviously gets the  
14 attention of the leadership for our medical center.

15 So -- which also touches on another  
16 important point that for all safety culture, it has to  
17 be driven from top down. That if the leaders of the  
18 organization aren't embracing it, it really -- it's  
19 very, very difficult for the organization to have a  
20 good safety culture. So it really have to be  
21 emphasized that it needs to start at the very top.

22 And also to touch on what Orhan mentioned  
23 that to help get leadership's attention, the policy or  
24 it's not a regulation, it's a policy for the Radiation  
25 Safety Committee that senior administrator from the

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1 hospital attends so that's one way to help require  
2 that they at least -- you know, that they are there to  
3 see from a radiation safety perspective how their  
4 culture is operating at their medical center.

5 ACTING CHAIR THOMADSEN: Thank you.

6 One thing, I get the distinct impression  
7 that we're not giving you exactly what you were  
8 looking for in this discussion. One thing that --

9 MR. LEWIS: I disagree.

10 ACTING CHAIR THOMADSEN: Oh, well, I'm  
11 delighted.

12 MR. LEWIS: I think it's been a very  
13 helpful --

14 ACTING CHAIR THOMADSEN: Oh, good.

15 MR. LEWIS: -- discussion.

16 ACTING CHAIR THOMADSEN: I'm glad.

17 MR. LEWIS: That's what we look to our  
18 experts for.

19 ACTING CHAIR THOMADSEN: I'm glad. One  
20 thing that might be also helpful as far as guidance  
21 for what this Committee could offer back to you as  
22 guidance would be some examples from what you were  
23 saying where the investigations have identified that a  
24 cause of events were poor safety cultures.

25 If you could provide us with some examples

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1 of what that looked like to the investigators, that we  
2 could then look at how we might make recommendations  
3 for how those practices might be turned into something  
4 that was more concrete.

5 MR. LEWIS: I think we could do that. I'm  
6 looking to our Region III people who have been our  
7 lead on safety culture. And Patty, I was wondering, I  
8 think we have some examples we could provide the  
9 Committee. It may be like a series of documents.  
10 We'll try to point to what parts to look at or  
11 something.

12 ACTING CHAIR THOMADSEN: That would be  
13 very good.

14 MS. PELKE: Hi, good morning, Patty Pelke  
15 from Region III NRC.

16 First of all, I think this has been a very  
17 productive discussion. From a regional perspective,  
18 what we have observed is that safety culture is  
19 embraced throughout the entire organization. It has  
20 to start at the top and it has to maintain a very  
21 strong focus from the top. And it integrates  
22 throughout the rest of the program.

23 As far as inspection activities that we  
24 have had where safety culture has come out as maybe --  
25 it might not be identified as a root cause but

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1 certainly is a contributing cause and certainly is a  
2 contributing cause in a lot of events that we've  
3 identified. It's a process where a treatment is  
4 started and there are some questions. There are some  
5 precursors that really start to formulate at a very  
6 fundamental level. And those precursors don't seem to  
7 be addressed.

8 And individuals, they may not be familiar  
9 with the types of equipment that they are using, they  
10 may have used something similar in the past but not  
11 exactly the same. And they continue the process even  
12 though they have had questions along the way. They  
13 don't take a let's take five, they don't have a take  
14 five process where they step back.

15 For those of us that were here yesterday,  
16 Dr. Potter talked about what he does in the OR when he  
17 does his run through before they had a brachy  
18 treatment on the issues that they identify or what  
19 they want to verify with the right patient, the  
20 isotope they are going to use going forward, and then  
21 they proceed.

22 So it is those kinds of what you might  
23 want to think of as soft issues that really come to  
24 light as events are identified. And the fact that  
25 they do formulate at a very, very fundamental level.

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1           And that folks continue to have the  
2           questioning attitude but seem to proceed and then  
3           mistakes occur as opposed to having a process where  
4           they step back, take five, and start asking those  
5           questions and addressing those at a much more  
6           fundamental level.

7           That's more in the medical arena. But  
8           we've also seen it, you know, production over safety  
9           happens for a number of our licensees as well as  
10          opposed to possibly stepping back and looking at a  
11          safety marriage where you are not compromising safety  
12          over production.

13          And, again, that, I believe, comes from a  
14          very strong culture at a high level within an  
15          organization.

16          ACTING CHAIR THOMADSEN: Thank you.

17          Yes, Dr. Van Decker?

18          MEMBER VAN DECKER: I feel compelled to  
19          make some comment after being put on the top of a heap  
20          of a hospital. I can promise you I'm nowhere near the  
21          top of a heap of any hospital anywhere.

22          (Laughter.)

23          MEMBER VAN DECKER: But I did run a  
24          performance improvement committee at a hospital for  
25          like 15 years. So, you know, I have some sense for,

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1 you know, some of the stuff that goes on in big  
2 organizations and even in small practices.

3 And I think that it is important for the  
4 people at the table to recognize that safety culture  
5 in healthcare is a prime issue. Now whether it works  
6 well all the time or whether an individual institution  
7 works well or not, you know, this is a prime focus.

8 And maybe some of the communication of how  
9 that happens in the realm of nuclear, which obviously  
10 has another bigger piece to it, needs to be talked out  
11 a little bit more. You what the lady from Region III  
12 was just talking about is a JCHO standard, right, time  
13 outs before any operative procedures. It's not just  
14 radiation procedure. It's any surgical procedure you  
15 are going to do with conscious sedation has to have an  
16 identification of the patient part, the patient, dah,  
17 dah, dah, dah, dah. So, you know, there are pieces of  
18 that in what we do.

19 You know from my perspective, I think  
20 that, you know, most, you know, physicians,  
21 technologists, the physicists I work with would look  
22 at this and say yes, you know, this is kind of what we  
23 know we should be doing all along. I mean we have  
24 continuous learning improvement and we have radiation  
25 safety committees. We try to talk to each other.

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1           So I don't think there is anything new in  
2 these touchy-feely concepts. The question is when the  
3 rubber hits the road, what's the exact specifics of  
4 what these things mean? And perhaps some, you know,  
5 better identification from your perspective of what  
6 you've seen as bad safety cultures, specific examples,  
7 and then finding the correct vehicle to spread it out  
8 to the penetration level to the community is an  
9 important piece of this puzzle.

10           You know my last comment on this is from  
11 my perspective the thing that makes the best safety  
12 culture is some backup feedback mechanism of what is  
13 going on with results, some kind of peer review in the  
14 process, and some kind of incident reporting mechanism  
15 that is not tied to the fear of retribution if  
16 somebody reports something obviously.

17           And so there needs to be, you know, that  
18 little stamp on the bottom of peer review that this is  
19 for improvement characteristics and dah, dah, dah,  
20 and, you know, we have people like radiation safety  
21 officers, you know, whether they run their own safety  
22 committee or whether it is another person of the  
23 safety culture that they kind of go through, you know  
24 those committees have to feel comfortable and active  
25 in bringing up points.

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1 Fighting for resources is a reality of any  
2 organization, whether it be medical or otherwise. And  
3 we have to find ways to do this in manners that create  
4 good outcomes and the right thing for both the workers  
5 and for the patients obviously.

6 But, you know, the concept you have up  
7 here, mom and apple pie, right, I don't think anybody  
8 around this table is going to argue with. So the  
9 question is how do we get the feedback going at the  
10 local level? How do we get a feedback going at a  
11 national level that we can create better education?  
12 That we can feel that we are discharging the duties of  
13 what we'd like to do?

14 ACTING CHAIR THOMADSEN: Dr. Suleiman and  
15 then Dr. Zelac?

16 MEMBER SULEIMAN: I think one thing that  
17 is critical and it cuts across a lot of things is just  
18 communication. It always -- it doesn't surprise me,  
19 it used to, that most accidents, whatever you find out  
20 that people associated with it were aware that things  
21 weren't right.

22 But there is always this issue of  
23 feedback, communication to a level where something  
24 will be done regarding that? Sometimes people are  
25 afraid because they will be ostracized or they will be

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1 -- if safety isn't predominant, you know, they may be  
2 afraid to open their mouth.

3 Sometimes you'll see things that are  
4 obvious and you are afraid to say something because  
5 you think you are the only one. And then you talk  
6 privately and you find out other people are thinking  
7 the same thing. But people are just afraid to raise  
8 the issue.

9 I think this recent oil leak, I hear that  
10 there were disagreements, you know, over what to do.  
11 So that wasn't a surprise.

12 You hear about airplane crashes where one  
13 of the people in the cockpit was aware, was raising  
14 some concerns. And the other person wasn't listening.

15 So it's not like we're not sensing -- what  
16 I've always found in a -- and when you walk into any  
17 kind of a group or do an inspection, you can almost  
18 sense if they've got a good attitude. I think you're  
19 right, Bruce. I think attitude is a key component of  
20 that. And the ability not to be afraid to speak up.

21 Now the flip side of that is if you open  
22 up the gates and you allow everybody to say  
23 everything, then you've got so much background noise  
24 where you are saying well, what's critical and what's  
25 just somebody who is whining and complaining.

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1           So I think communication and the culture  
2 that people shouldn't be afraid to raise certain  
3 issues would help cement that safety attitude. But I  
4 think there are things you can do tangibly that would  
5 reinforce that.

6           ACTING CHAIR THOMADSEN: Thank you.

7           Dr. Zelac?

8           DR. ZELAC: This is a drilling down  
9 observation and question simply for clarity. And so  
10 first to the slides that you saw during the  
11 presentation, if you look at them, you'll notice that  
12 the draft safety culture policy statement includes  
13 safety and security. And that followed the November  
14 Federal Register notice putting that statement out for  
15 public comment, which indicated that these two could  
16 be combined into one policy statement.

17           Look next at the workshop results slide  
18 and the word security is gone. So my question really,  
19 just for clarity and so we all understand where we  
20 are, is is there now going to be a separate policy  
21 statement for security? Or is that somehow embedded  
22 in the word safety?

23           ACTING CHAIR THOMADSEN: We have an  
24 answer.

25           MR. FIRTH: James Firth, NRC staff.

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1 In the workshop, I mean the workshop  
2 definition --

3 ACTING CHAIR THOMADSEN: Yes, keep going.

4 MR. FIRTH: -- yes, the workshop  
5 definition was the outcome of the collaborative  
6 process. And it was -- basically the way the workshop  
7 went was we had groups for materials industrial,  
8 materials medical, and then more of a reactor focus.  
9 They work separately then come back together.

10 What some groups -- with a lot of groups,  
11 security was not resonating in some cases because they  
12 felt that they want to keep things simple with -- it  
13 would conflict a little bit with some of the other  
14 safety culture definitions that they're using.

15 A lot of it -- they didn't feel that  
16 security was necessarily unimportant. They just felt  
17 that security could be similar to emergency  
18 preparedness, environmental protection. That there  
19 are attributes that are under safety culture and they  
20 felt that there was no need to elevate security as the  
21 only one getting that special treatment.

22 So the workshop participants were  
23 proposing not include security. They felt that it was  
24 important to NRC so they deliberated a little bit in  
25 terms of whether to include it or not. But it did not

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1 resonate with them. So they did not include security  
2 in either the definition or the traits.

3 We're still wrestling with how to  
4 incorporate security as we move forward towards a  
5 final policy statement. At this point, we haven't  
6 necessarily be focusing on a second policy statement  
7 but we have not made any decision in terms of whether  
8 security would end up in the definition or in the  
9 traits or if this is going to be discussed elsewhere  
10 in the policy statement.

11 So we're still working on that. And we're  
12 hoping to get some more feedback as we go forward  
13 towards a final policy statement. But at this point,  
14 we're not necessarily playing against the second  
15 policy statement specific to safety culture.

16 ACTING CHAIR THOMADSEN: That answers the  
17 question?

18 PARTICIPANT: Yes.

19 ACTING CHAIR THOMADSEN: Fine.

20 Dr. Howe?

21 DR. HOWE: I know all of these new members  
22 bring their own experience into reviewing these  
23 questions. And the discussion that I am hearing is  
24 you are discussing it from the point of view of large  
25 medical facilities or medical facilities with JCAHO

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1 oversight or with radiation safety committees. And  
2 when you are thinking about these issues, you need to  
3 also think about the majority of our licensees, which  
4 are not the broad scope licensees but the individual  
5 physicians because the safety culture will apply to  
6 them also.

7 So if you could bring that to the table as  
8 you are doing your deliberations and discussions, it  
9 would be very helpful for us.

10 ACTING CHAIR THOMADSEN: Thank you.

11 Yes?

12 MR. FERDA: My name is Mark Ferdss. I'm  
13 from Region I and have another regional perspective  
14 for you.

15 Just a little bit about my background.  
16 Before I got into the medical area, I spent most of my  
17 career with the NRC on the reactor sites. So I do  
18 have a nuclear power plant experience for multiple  
19 years. And that is where safety culture has really  
20 shown itself and it has developed to now infiltrate  
21 down into the other areas that we regulate.

22 And what I would say that there is not as  
23 great a difference as what you might think between a  
24 nuclear power plant and the medical community,  
25 especially in the bigger hospitals, the bigger

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1 facilities. It all comes down to the balance of  
2 safety versus production I'll call it. Production  
3 meaning more hospitals, they're in for-profits.  
4 They're also in for treating patients. So it's a  
5 balance. You have an input and you have a throughput.

6 And the saying in the nuclear industry,  
7 especially on the reactor side, is if you have a  
8 strong safety culture, you'll have a strong  
9 production. You'll do things well. You won't be shut  
10 down. You're not going to be continuously shutting  
11 down to investigate why things are happening. And you  
12 can continue to your economic goals.

13 What I would offer is that the key here  
14 is, I think, the safety culture statement is to drive  
15 down into the characteristics that are mentioned here.  
16 You have an overarching statement but if you go down  
17 into the characteristics, it gives eight  
18 characteristics. So those are the keys.

19 And as was stated, what we're seeing in  
20 our inspections is when things happen, event occur and  
21 you look at the issues, the contributing causes to it  
22 are usually one of these eight characteristics that  
23 broke down.

24 For example, if you look here, one of the  
25 first ones is proceeding in the face of uncertainty.

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1 That's very similar to the timeout process. Some  
2 facilities use them, some don't.

3 The others are that I would offer up is  
4 procedures or work instructions are not up to date,  
5 the current practices. So what -- I guess the point  
6 I'm trying to make here is that the policy statement  
7 here tries to put those types of things in plan  
8 language for the industry in all aspects to consider  
9 when they are looking at how their process and  
10 programs are run to see that they have these types of  
11 mechanisms, the checks and balances in place as they  
12 go through.

13 They identify things. People raise  
14 questions. They fix them. They have bigger events.  
15 They do continuous learning. They do root causes.

16 So I wouldn't -- I guess what I would  
17 offer up is not just the big policy statement. Look  
18 at the characteristics of what they're trying -- of  
19 what the policy statement is trying to show. And to,  
20 I would say, advise our licensees that these are  
21 factors that they want to consider in their decision  
22 making because it will balance safety versus the  
23 production or whatever their output is and do it in a  
24 safe manner that protects everyone, not just the  
25 organization but the people around it.

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1           So if you have any questions, I'd be very  
2 happy to answer them in more detail.

3           ACTING CHAIR THOMADSEN: Thank you very  
4 much.

5           Dr. Guibersteau?

6           MEMBER GUIBERSTEAU: I think an element  
7 here that I haven't heard exactly but I think  
8 underlies all of this is that when you get a  
9 regulatory agency in an effort to encourage a culture  
10 of safety, which I think is very laudable, that  
11 underlying that are the regulations because the NRC,  
12 for instance, is a regulatory agency.

13           And in any culture, as opposed to  
14 civilization, the laws are, you know, are the  
15 regulations should be both reflective of the culture  
16 and encourage our, if you will, enforce the culture.  
17 So I think part of this effort, we need to be certain  
18 that the regulations are perceived as being  
19 understandable by those in the culture.

20           And that they also be perceived as having  
21 be founded in demonstrable advantages in safety as,  
22 for instance, as often quoted, the seatbelt culture.  
23 That was very successful. I mean they needed to wear  
24 them. They didn't. Well, you need to wear them or  
25 you'll get a ticket. They didn't wear them.

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1 But buckle up for safety and we  
2 demonstrated to people what could happen to them if  
3 they didn't, and to their children, people get in cars  
4 now and it is the first thing they do.

5 So I do think as part of an effort of any  
6 regulatory agency with duties to oversee health and  
7 safety, that we need to make certain that the  
8 regulations are perceived as understandable and that  
9 they demonstrate some safety advantages. And we  
10 communicate this, as Orhan has said.

11 ACTING CHAIR THOMADSEN: Thank you.

12 One of the things I don't see in the  
13 characteristics, unless it is straight number five,  
14 leadership safety behaviors, is adequate resources,  
15 five.

16 As I've done analyses on events, and I've  
17 probably looked at about 300 now having done root  
18 causes analysis, and this is for other institutions,  
19 I'll say right away, not for Wisconsin, but the most  
20 common problem I find is that there were not adequate  
21 resources for what the institution was trying to do.  
22 I would certainly add that to one of the traits.

23 Oh, yes?

24 MR. FIRTH: All right to illuminate that a  
25 little bit, the NRC characteristics and the draft

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1 policy statement did get to resources more explicitly.

2 And what came out of the workshop, you are  
3 right. That's not necessarily out front in terms of  
4 being explicit in the language.

5 In the discussion in the workshop,  
6 leadership safety behaviors would encompass resources  
7 in terms of leaders of the organization would make  
8 sure that the resources are available for doing things  
9 necessary to maintain safety. So that was one of the  
10 pieces of leadership safety behavior.

11 What we would also need to be doing as we  
12 proceed forward is we're not necessarily going to be  
13 stopping at those traits in terms of that high-level  
14 description, that as we get into guidance or doing  
15 other information, we get more explicit examples in  
16 terms of what are the leadership safety behaviors,  
17 what would be the examples in terms of work planning  
18 and control that would then be used to a more concrete  
19 environment that is tailored to a few more types of  
20 licensees.

21 ACTING CHAIR THOMADSEN: Thank you.  
22 Although if you are subsuming resources under that, as  
23 has been pointed out before, you could subsume all of  
24 those points under the leadership item.

25 I think it is about time to tie up this

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1 discussion. Any last comments? Yes? Mr. Mattmuller?

2 MEMBER MATTMULLER: I will just also add  
3 that through the Joint Commission at large medical  
4 centers or even smaller safety management committees.  
5 And that has representatives from throughout the  
6 organization a hazardous materials safety officer,  
7 security, facility management, emergency, infection  
8 control, radiation safety, nutrition services, vice  
9 president of administration, nursing representative,  
10 surgery, behavioral health, so all areas of the  
11 medical center are involved in this safety committee.

12 Now that said, it's -- in some regards to  
13 touch on what Dr. Howe mentioned, it's almost easier  
14 at a larger facility to have leadership focused on a  
15 good safety culture and to drive it down. And to  
16 support it and nurture it and keep it going.

17 At a small facility, where it could just  
18 be a single physician, that's a much greater challenge  
19 because then you are dependent upon their commitment.  
20 And how you could regulate that, I'm not sure. That  
21 would be a challenge for you.

22 ACTING CHAIR THOMADSEN: Thank you.

23 Thank you very much, Ms. Gilley.

24 Dr. Zelac will now give us an update on  
25 grandfathering certified medical physicists. And as a

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1 fairly recent grandfather and a medical physicist, I'm  
2 very interested.

3 (Laughter.)

4 DR. ZELAC: First of all, I think to allay  
5 some key concerns perhaps, I think we will be able to  
6 get back on schedule. This is simply a progress  
7 report. And I think it is somewhat anticlimactic  
8 based on what we all heard from Ed Lohr yesterday  
9 concerning the Part 35 rulemaking.

10 On one of his slides indicated what was  
11 coming up in the next one. And one of the things  
12 mentioned was a specific "plan to include  
13 consideration of Ritenour petition for rulemaking,"  
14 PRM-35-20).

15 This is a progress report on where we are  
16 as a follow up to the resolution of the Ritenour  
17 petition, which was filed, as some of you may recall,  
18 by the American Association of Physicists in Medicine.  
19 And in my first slide, I'll give you a little history  
20 of how we got to where we are.

21 October of 2002 was the general revision  
22 of Part 35, which covered and followed a considerable  
23 amount of effort over multiple years to move from a  
24 very prescriptive regulation to one that was more  
25 performance-based whenever possible.

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1 New training and experience requirements  
2 were established. But the prior requirements, which  
3 were under Subpart J of the prior rule were retained  
4 due to concerns expressed by this body as to the  
5 appropriateness of the new training and experience  
6 requirements.

7 Individuals who had been authorized to the  
8 inception date, October of 2002, were grandfathered.  
9 In other words, they did not have to meet any new  
10 training and experience requirements to continue that  
11 for which they had already been authorized.

12 April 2005 was a revision of the portions  
13 of Part 35 dealing with training and experience. The  
14 Subpart J pathways, which had to do with recognition  
15 of Board-certified individuals automatically to become  
16 authorized, was eliminated. Also associated with  
17 April 2005 was additional grandfathering for those  
18 individuals who had been authorized between October of  
19 2002 and April 2005.

20 In September of 2006, the AAPM, American  
21 Association of Physicists in Medicine petition was  
22 filed. It sought grandfathered status under the  
23 portion of the regulations dealing with  
24 grandfathering, 10 CFR 3557, to permit continued  
25 practice of medical physics and for serving as

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1 radiation safety officers for individuals who had been  
2 certified by the American Board of Radiology and the  
3 American Board of Medical Physics, boards listed in  
4 the former Subpart J but not listed on NRC agreement  
5 state licenses. In other words, certified individuals  
6 who were practicing but whose names did not appear on  
7 licenses. The petition sought to have these  
8 individuals grandfathered.

9 Resolution of the petition occurred in May  
10 of 2008. NRC concluded that the petitioner had raised  
11 a valid concern regarding the impact of these  
12 revisions to the training and experience requirements  
13 in Part 35.

14 NRC would attempt to develop a technical  
15 basis, now in today's parlance called a regulatory  
16 basis, to support a rulemaking to address for all  
17 authorized individual categories the issues raised in  
18 the petition. In other words, the scope of the  
19 potential consideration would be expanded from medical  
20 physicists only to other certified individuals who may  
21 not have now, at this point, have certifications which  
22 would permit them to achieve authorized status via the  
23 certification pathway, their certifications having  
24 been received prior to the recognition date for the  
25 particular certification process.

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1 I should probably move on and skip to  
2 something else. Here is a summary of what went on in  
3 the resolution of this follow up. First, information  
4 was gathered through a letter of inquiry. This letter  
5 was sent to the recognized medical certifying boards,  
6 both past, i.e., whose names appeared in the Subpart  
7 J, i.e., those boards whose certification processes  
8 had been reviewed and whose certification processes  
9 were now recognized by NRC.

10 Nine boards were contacted in October of  
11 2008, specifically the American Board of Health  
12 Physics, the American Board of Medical Physics, the  
13 American Board of Nuclear Medicine, the American Board  
14 of Radiology, the American Board of Science and  
15 Nuclear Medicine, the American Osteopathic Board of  
16 Nuclear Medicine, the American Osteopathic Board of  
17 Radiology, the Board of Pharmaceutical Specialties,  
18 and the Certification Board of Nuclear Cardiology.

19 The boards were asked for the number and  
20 percentage of their currently-active diplomates, those  
21 certified prior to the posted recognition dates for  
22 their certification processes who were not  
23 grandfathered and who were or might in the future be  
24 seeking authorized status.

25 Five of the boards responded, the American

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1 Board of Health Physics, the American Board of Medical  
2 Physics, the American Board of Radiology, the American  
3 Osteopathic Board of Radiology, and the Certification  
4 Board of Nuclear Cardiology. Four of them conducted  
5 these surveys among their memberships. The CBNC,  
6 Certification Board of Nuclear Cardiology did not need  
7 a survey in order to respond to the questions since  
8 their certification process was based on the  
9 regulatory requirements for the certification pathway,  
10 therefore all of their diplomates automatically met  
11 the requirements.

12 For those four boards that did respond,  
13 the survey return rates from their surveys averaged 52  
14 percent, quite high. The range also very acceptable  
15 from 36 percent to 90 percent.

16 The response, there were, in effect,  
17 negatively affected diplomates. The average  
18 percentage of negatively affected diplomates was 33  
19 and the range was from 14 to 66 percent. And in terms  
20 of absolute numbers of negatively affected diplomates,  
21 over 10,000. And the range from 77 for one board to  
22 nearly 8,000 for another board.

23 The conclusion that was reached from  
24 looking at the information that was gathered was that  
25 pursuing corrective rulemaking was warranted and

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1 justified. And on that basis, we in MSSA prepared a  
2 technical basis or a regulatory basis document. That  
3 document has been reviewed by staff in our rulemaking  
4 group. And the conclusion from them was it appeared  
5 to be sound and sufficiently robust for us to proceed.

6 The technical basis document, the  
7 regulatory basis document, is in review now. And we  
8 expect that once received by the management of the  
9 rulemaking group to be accepted. And to get to the  
10 position where it will be included in the next  
11 rulemaking, which will, as you heard earlier, proceed  
12 later this year.

13 The option, of course, is that as it  
14 continues through the review process, there will be  
15 some fatal flaw in what we have put together. And on  
16 that basis, if, in fact, it is found to not be an  
17 acceptable technical basis, that will conclude NRC's  
18 action and activities in this matter.

19 That is not anticipated. But it is still  
20 a possibility since we do not have yet a formal  
21 acceptance of the regulatory basis document.

22 So in summary, there has been response by  
23 NRC, based on the direction from the Commission to  
24 this question, and the response has concluded that  
25 there is appropriate reason to spend resources and

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1 time to create a regulatory change that would  
2 essentially grandfather certified individuals who were  
3 practicing and whose certifications don't match  
4 preceded the recognized certifications existing today.

5 I'm open for questions if you have any.

6 ACTING CHAIR THOMADSEN: Yes, Dr.  
7 Zanzonico?

8 MEMBER ZANZONICO: The question I have is  
9 regarding licensed medical physicists. Certain  
10 states, including New York where I'm from, have  
11 licensed physicists.

12 Now the eligibility for licensure now  
13 includes -- one of the eligibility requirements -- one  
14 of the eligibility criteria includes board  
15 certification. So that would be subsumed, I think,  
16 under what you discussed.

17 But I think a cohort of practicing medical  
18 physicists certainly in New York State and I gather in  
19 the other licensing states where non-certified, non-  
20 board-certified physicists were licensed. So it  
21 strikes me that they kind of fall through the cracks  
22 because I gather the appropriate board certification  
23 would allow individuals to be grandfathered in. But  
24 now you have, I think, a small cohort but a finite  
25 number of people, non-board-certified but for licensed

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1 -- were grandfathered in when the licensing programs  
2 were implemented.

3 Where do they stand in this situation?

4 DR. ZELAC: With respect to licensure,  
5 which is obviously separate and distinct from these  
6 regulations, individuals who were practicing and were  
7 considered as appropriately qualified to continued  
8 practice and, therefore, received licensure, clearly  
9 were practicing be it in an agreement state or NRC,  
10 meaning that those same individuals have met the  
11 qualifications of training and experience that are  
12 necessary for them to start that activity.

13 So I think the answer to your question is  
14 that these individuals are already or should already  
15 be grandfathered. Now if, in fact, they were  
16 practicing and licensed and yet they were not listed  
17 on the license, this would not apply to them. But  
18 they would always have a pathway, if they were to go  
19 to another institution, for example, to achieve  
20 authorized status by applying via the alternate  
21 pathway.

22 If they are not certified anyway, that  
23 would be the appropriate way for them to achieve  
24 authorized status.

25 MEMBER ZANZONICO: Understood. But my

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1 recommendation is that that be included -- that sort  
2 of language be included in the regulation because the  
3 problem I see in that, for example in New York City,  
4 we're regulated by the Bureau of Radiological Health,  
5 and frankly we recently had a meeting, the RAMPS, the  
6 Radiological and Medical Physics Society of New York,  
7 had a representative from the Bureau of Radiological  
8 Health, and frankly they were not clear at all where  
9 this stood.

10 And they were citing NRC language. And it  
11 sounded like they were not only confusing themselves,  
12 they were confusing the audience, you know, the  
13 practicing medical physicists that comprised the  
14 audience.

15 So I think there needs to be some  
16 clarification of where the status as authorized users  
17 of licensed medical physicists in those states where  
18 there is licensing -- and I understand exactly what  
19 you are saying, that they should automatically be  
20 subsumed -- that was not clear frankly on the part of  
21 the representatives from the New York City BRH.

22 And I think that needs to be clarified for  
23 their benefit as well as generally.

24 DR. ZELAC: It's probably appropriate to  
25 note that this would be -- what we're discussing now

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1 would be a component of the broader, the big picture  
2 rulemaking that is going to be started. And clearly  
3 that entire rulemaking and all aspects of it would be  
4 put out for public comment.

5 And there will be ample opportunity for  
6 people either to raise questions or to raise issues  
7 relative to any one of the parts of that rule. So  
8 that, I think, would, you know, get it out for public  
9 consideration.

10 Anything else?

11 ACTING CHAIR THOMADSEN: Dr. Howe?

12 DR. HOWE: I think in the discussion we  
13 just had there probably needs to be some  
14 clarification. You may be thinking of licensing as in  
15 medical physicists being licensed by a state to  
16 practice medical physics.

17 We're talking about whether a medical  
18 physicist is on a license or, in a broad scope  
19 facility, is recognized as an authorized medical  
20 physicists.

21 So we don't recognize medical physicists  
22 that are working in diagnostic or manual brachytherapy  
23 because they don't come under our authorized medical  
24 physics definition. But we do recognize medical  
25 physicists that are capable of handling radiation

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1 safety programs if they come in through the diagnostic  
2 medical physics thing.

3 But we're not talking about the licensure  
4 of individual medical physicists standalone license  
5 but a license of a facility or a practice.

6 MEMBER ZANZONICO: Again, I understand.  
7 And I understand your explanation.

8 It's not clear that all local regulators  
9 understood that. And we're under their jurisdiction.  
10 And so, you know, we would be subject to their  
11 misunderstanding of the applicable rules.

12 So either they need to be reeducated, the  
13 local regulators. Or the language needs to be  
14 clarified so that it is not subject to that kind of  
15 misinterpretation. That's the reality.

16 DR. ZELAC: The real issue had come up  
17 because clearly, as I mentioned in the presentation,  
18 for those individuals who are practicing and named on  
19 licenses were grandfathered when the regulations  
20 dealing with training and experience were modified.

21 The issue had to do with really those  
22 individuals who are certified, practicing, but whose  
23 names did not appear on licenses and, therefore, were  
24 not grandfathered. And what could be done to, you  
25 know, alleviate the difficulties that those

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1 individuals might experience when trying to get  
2 authorized status at another institution in the  
3 future.

4 ACTING CHAIR THOMADSEN: Dr. Langhorst?

5 MEMBER LANGHORST: Sue Langhorst. I was  
6 just wanting to ask will you be asking this group to  
7 help you review this technical basis document?

8 DR. ZELAC: There had not been an intent  
9 to do that. What we have done was to put together  
10 what we thought was appropriate, meeting all of the  
11 regulatory, all of the procedural qualifications for  
12 acceptance by our rulemaking group.

13 And there response that we got back from  
14 the staff there who had received it was that it was  
15 adequate and there shouldn't be any issues. In order  
16 to give it to them formally for the formal review and  
17 acceptance, we have to put it through various levels  
18 of review, including going back to our Office of  
19 General Counsel one more time, since we added a bit of  
20 explanatory language that they have not yet seen.

21 But, in fact, if there are difficulties,  
22 we will bring it back. If it is smooth sailing from  
23 this point on, which we anticipate, no need to burden  
24 you with it.

25 ACTING CHAIR THOMADSEN: Other questions?

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1 (No response.)

2 ACTING CHAIR THOMADSEN: Thank you very  
3 much.

4 I am going to report on a meeting that I  
5 attended here for this body on the International  
6 Atomic Energy Agency's Safety Standard for Protection  
7 against Ionizing Radiation, a proposed document that  
8 was sent to the NRC, as a member state of the IAEA,  
9 for their comment. This is a document which outlines  
10 their recommendations on radiation safety for their  
11 member states.

12 The document itself was in fairly good  
13 shape. There was a lively discussion for most of the  
14 day. I would just pay attention to those features  
15 which might effect medical applications since that is  
16 what the ACMUI would be interested in.

17 The only problems that I saw with the  
18 document, and through the discussion heard that might  
19 be appropriate here, first was the concept of  
20 potential exposure, which was not discussed much at  
21 the meeting. If you are unaware, this is a concept  
22 that came up a few years ago, I believe through the  
23 ICRP, that users of radioactive materials should  
24 include in the exposures to people, the idea of  
25 potential exposure.

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1           That is that something might happen. And  
2 given the probabilities that something might happen,  
3 that they should expect that exposure to eventually  
4 hit the personnel. That seems very poorly based and I  
5 would not suggest that it be perpetuated in standards.

6           The second is simply the use of the term  
7 optimized regarding the use of exposure received  
8 performing some function. Through much of the  
9 document, this is a very common terminology that the  
10 exposure to radiation should be optimized.

11           The term is used quite differently from  
12 how it is used both in industry in industrial  
13 engineering and safety and in the general population.  
14 In fact, it seems to be used quite uniquely in that  
15 document. And it probably should be replaced with a  
16 more conventional term.

17           Third, they do include medical reference  
18 levels, which are not explained how these would be  
19 applied. These would be diagnostic exposure levels  
20 for various studies. They come from what is an  
21 average exposure that a patient would receive for  
22 these studies.

23           If those averages are then set as  
24 maximums, this can be a problem. As I say, the  
25 document is very sparse on discussion on how these

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1 reference levels should be used other than just  
2 discussing those.

3 And the final point that does not effect  
4 medicine so much is the requirement to measure radon  
5 in public places, that is that the government should  
6 do that. I personally think that that is a bit of  
7 overkill on this document.

8 I will note that the American Association  
9 of Physicists in Medicine saw an earlier draft of this  
10 document and submitted a number of comments. And to  
11 the credit of the writers, all the comments had been  
12 addressed very effectively. The documents were pretty  
13 innocuous as far as I could tell.

14 With that, I will ask if there are any  
15 questions. Mr. Lewis was very instrumental at that  
16 meeting. If he has any comments he would like make,  
17 they would be welcomed.

18 MR. LEWIS: Sure. And Don Cool is in the  
19 audience who helped me with the meeting as well.

20 ACTING CHAIR THOMADSEN: Oh, I didn't seem  
21 him there.

22 MR. LEWIS: And, you know, I think that  
23 this new document is one input into our next revision  
24 of Part 20, which the Committee has been briefed on in  
25 the last two meetings, I believe. And it is not

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1 necessarily saying that we would adopt any of these  
2 requirements in our regulations.

3 I will say that the comment on  
4 optimization, I appreciate the point you are making.  
5 But I do believe it is probably not realistic to  
6 expect the international community to use a different  
7 term on that because they have two terms that are kind  
8 of embedded in their approach. And one is practices  
9 should be justified. And the second is those  
10 practices that are justified should be optimized. And  
11 that's just their terminology.

12 It would be like trying to get NRC to take  
13 compliance out of our regulations or something. So I  
14 think that there is an English aspect that often comes  
15 up at IAEA. They try to look at a term that means the  
16 same thing in many different countries that use  
17 English. And then other countries that will translate  
18 it, that it is easily translated. And optimize is the  
19 term they have. And it is not just IAEA but that's in  
20 the ICRP recommendations as well.

21 So Don, you want to add some thoughts?

22 MR. COOL: Good morning. Don Cool, NRC  
23 staff.

24 You've touched on one of the things that  
25 has been perhaps most hotly debated as the basic

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1 safety standards draft has been developed over the  
2 past five or six years. And it has been cooking quite  
3 a long time.

4 And I agree with Rob. The word  
5 optimization, as in referring to the process of  
6 looking at trying to -- how to best provide protection  
7 is very well embedded. Having said that, there has  
8 been an enormous debate about whether you say  
9 something is to be optimized or whether you say  
10 something should be subject to the process of  
11 optimization or should be reduced as low as reasonably  
12 achievable, social and economic factors taken into  
13 account.

14 Each one of those gets to be progressively  
15 longer. And as you might imagine, there is always  
16 this tendency to not want long phrases in there that  
17 keep getting repeated both because it adds a lot of  
18 text and introduces potential difficulties.

19 This isn't the first time and you are  
20 certainly not the only one who has suggested can't you  
21 say something besides optimized, the principal  
22 argument being there that you can never know for sure  
23 that something is the optimum at any given moment  
24 because circumstances might change.

25 The counter argument in the discussion has

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1 always been well if you simply say it is subject to  
2 the process, nothing ever actually requires you to  
3 implement whatever you decided was the best approach  
4 to ensuring protection.

5 And so if the Committee or otherwise had  
6 some thoughts on a better way to express that phrase,  
7 we will have some additional bites at the apple as  
8 this continues through the process.

9 And just so the Committee can be aware,  
10 the member state comment process is concluding in just  
11 a couple of days. The U.S. government comments  
12 representing a lot of different agencies, FDA, DoD,  
13 HHS, you know lots of people have contributed. Those  
14 will be submitted in the next couple of days.

15 The IAEA will be assembling those  
16 comments. Rob, as our representative to the Radiation  
17 Safety Standards Committee will get some discussion  
18 during their upcoming meeting in just three weeks.  
19 They will have almost their entirety of their meeting,  
20 if I understand it now, devoted to it in the November  
21 time frame when the IAEA hopes that they would have a  
22 draft that would resolve of these comments from the  
23 different member states.

24 So we will have some additional  
25 opportunities to try and provide small suggestions

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1 although certainly things are beginning to gel. And  
2 I'll be glad to answer any other questions about that  
3 if the Committee has any more suggestions. Thank you.

4 MR. LEWIS: And before you leave the mic,  
5 Don, is that -- on the diagnostic reference levels, I  
6 do believe within the U.S., the FDA, I think, has made  
7 use of the diagnostic reference levels but not in the  
8 way that they are required, just in a way of helpful  
9 information in selecting an image.

10 MR. COOL: Don, Don Cool, NRC staff again.

11 Yes, you are correct. That is one of the  
12 items, amongst many items, that have been placed into  
13 the draft basic safety standards by the IAEA. The  
14 medical area was the area that was the most  
15 significantly changed in this draft from the existing  
16 document which dates back to 1996 in their effort to  
17 try and strengthen the requirements because of so many  
18 different medical events and situations and the fact  
19 that these standards are what, in fact, gets used in  
20 many of the smaller countries of the world who will  
21 simply adopt these standards.

22 And the other thing I would note, and  
23 another opportunity that we will have, the basic  
24 safety standards, as a requirements document, a shell  
25 document, is supported by a number of guidance

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1 documents, the safety standards guides, which are, in  
2 some respects, similar to the 1556 series of guidance  
3 that the NRC staff has, regulatory guides and  
4 otherwise.

5 And I fully expect that there will be  
6 updates or additional documents in that series where  
7 they will provide some elaboration. And we may well  
8 hopefully would have opportunities to provide input on  
9 those as the IAEA works on drafting them as well.

10 ACTING CHAIR THOMADSEN: Thank you.

11 Any other comments? Yes?

12 MEMBER ZANZONICO: Yes, Pat Zanzonico.

13 I share your concerns about the possible  
14 use or misuse of these medical reference levels,  
15 especially if they were to find their way into NRC  
16 documentation because, again, I think a number of  
17 members of the user community, regardless of how they  
18 may be qualified, would interpret those as maximum  
19 permissible doses.

20 And one instance where we are finding a  
21 problem, this is just, you know, a story from home, is  
22 the development of new radiopharmaceuticals for  
23 evaluating drug pharmacodynamics. That is  
24 radiolabeled drugs and their being applied in the  
25 initial stages to patient-customized or patient-

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1 specific dosing of non-radioactive drugs, the various  
2 radiopharmaceuticals.

3 Many of these have very poor tumor-  
4 targeting properties because they are not intended for  
5 tumor imaging per se. They are intended to measure a  
6 response to a drug, measure the tumor-specific uptake  
7 of a drug, and plan a dose of the non-radioactive drug  
8 accordingly so that in order to get usable images, one  
9 might have to administer activities of these non-  
10 tumor-targeting radiopharmaceuticals. And, therefore,  
11 deliver doses that are in the tens of rads, which are  
12 well above what people are used to in diagnostic  
13 imaging in any modality.

14 And I know Orhan and a number of people  
15 have quoted the RDRC limit of five rad as saying well,  
16 we can't do that even though it has no applicability.  
17 And it is clearly a misinterpretation, a  
18 misunderstanding of the rules and regulations.

19 But, again, if these sorts of values were  
20 to find their way into NRC documentation, whether they  
21 were regulations, whether they were just for  
22 informational purposes, et cetera, et cetera, I worry  
23 that that same misinterpretation may apply, that a  
24 reference level is interpreted as a regulation and  
25 precipitates this kind of misunderstanding and misuse

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1 among the user community.

2 ACTING CHAIR THOMADSEN: Thank you.

3 Dr. Suleiman?

4 MEMBER SULEIMAN: Yes. Let me give a  
5 brief review of reference level. It actually dates  
6 back to the states. I think the State of Illinois  
7 back in the `70s actually had what they called  
8 exposure limits.

9 The concept was picked up by the  
10 Conference of Radiation Control Program Directors, an  
11 FDA Program NEXT, Nationwide Evaluation of X-ray  
12 Trends, would periodically sample. And you would get  
13 a distribution sort of like when you take your child  
14 and they say he or she is in the 80th percentile.

15 So the concept here was find out what is  
16 going on out there. And if facilities are at one  
17 extreme or whatever, 75th, 80th percentile, at that  
18 point the concept evolved that something is not right  
19 or why are you in that higher percentage. So  
20 investigate it.

21 And so from the very beginning, I think  
22 the concept was very well accepted that this was  
23 intended to be like an investigation level. Why are  
24 you doses so high?

25 And over and over again from day one,

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1 people have been concerned that these creep in and  
2 become absolute limits. FDA now is involved with a  
3 major radiation initiative, some of it dealing with  
4 some of these incidents regarding radiation products.

5 But the reference level issue has come  
6 back. Now I say in the '80s and '90s we were in a  
7 period of the dark ages, I feel, in the United States.  
8 A lot of these concepts were picked up by Europe. And  
9 they've come back, the American College of Radiology  
10 has been advocating very strongly reference levels.  
11 And so the concept has come back in a newer, more  
12 improved version.

13 The fundamental premise still is, you  
14 know, at this point you investigate and follow up.  
15 And why are your doses higher?

16 There have been cases where you can  
17 justify a higher dose for a certain procedure if it is  
18 warranted. And so the question has come to me  
19 recently are we going to do this in nuclear medicine.

20 For some reason people think nuclear  
21 medicine is much more optimized. And I said  
22 absolutely not, I said, because I hear stories all  
23 the time where higher amount of activity are  
24 administered strictly to get the exam done quickly,  
25 you know.

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1           So I share Pat's concerns. I think as  
2 long as you have the ACMUI staffed with people of high  
3 integrity and professionalism, I think it won't creep  
4 into the NRC, you know, at least the wrong approach.

5           How you guard against people taking  
6 guidance or taking concepts that are defined for one  
7 thing and then turn them into something inappropriate,  
8 I think the group that adopts that, it is their  
9 responsibility. I think we can -- I think it is a  
10 good concept. I think it is an important concept in  
11 that it is flexible.

12           So I see this as a way of keeping  
13 radiation doses as low as reasonably achievable if you  
14 have got a benchmark. You know one of my soapboxes  
15 over and over and over again is how do you know you  
16 are practicing ALARA if you don't know what the dose  
17 is? And if you know the dose, what does it mean?

18           So I think the concept of reference levels  
19 helps reinforce the value of knowing what your dose  
20 is. And would be a step in the right direction.

21           But I agree with Pat in terms of be  
22 careful how these concepts are used.

23           And the term optimization, I don't know  
24 what you do about that because different exams may  
25 require a different amount of radiation based on the

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1 different types of image quality.

2 ACTING CHAIR THOMADSEN: Yes?

3 MR. COOL: Don Cool of the NRC staff  
4 again.

5 I would note that even when you go to the  
6 glossary of the document, you will find two components  
7 to the definition of optimization, one dealing with  
8 the non-medical, if you will, where you are trying to  
9 minimize exposure as low as reasonably achievable, and  
10 the second piece dealing with medical where it is  
11 modified to be the right exposure or the right amount  
12 of material to achieve the medical purpose.

13 I'm not able to quote the words exactly  
14 but in the drafting process, there was a lot of  
15 discussion about the difference, particularly related  
16 to patients, and optimization between simply trying to  
17 minimize versus making sure that you've got the right  
18 amount to do the job.

19 ACTING CHAIR THOMADSEN: Thank you.

20 Yes, Dr. Van Decker?

21 MEMBER VAN DECKER: Two questions if I  
22 might. Number one, can you give me some sense for  
23 where the raw data came from for the medical reference  
24 list that we're talking about? How did we come to  
25 this -- or how did this group come to this as the

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1 medical reference list? Where was the raw data  
2 acquired from?

3 And question number two is can you comment  
4 a little bit about occupational worker limits that  
5 might be in this that I didn't see on the slide?

6 MR. COOL: Sure. Don Cool, NRC Staff.

7 The first question, and I assume are  
8 referring to the medical diagnostic reference.

9 MEMBER VAN DECKER: That's correct.

10 MR. COOL: The basic safety standards  
11 requirement is that a member state should establish  
12 diagnostic reference levels based on a series of  
13 things. There are not actually any numerical values  
14 for any medical modality or test in the document.  
15 During the drafting, there was a clear recognition  
16 that it was going to vary, depending on the country,  
17 varying depending upon the available technologies and  
18 other materials.

19 And so the requirement, at least in my  
20 remember what is in the draft, was simply that having  
21 such a thing in there to be able to benchmark yourself  
22 within your particular circumstance, was an  
23 appropriate concept for the requirements.

24 MEMBER VAN DECKER: So my follow-up  
25 question to that before you get to part two is if you

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1 were to see that concept propagated forward, how would  
2 you see foresee acquiring a benchmark for medical  
3 reference standards?

4 MR. COOL: Well, I'll start and Rob may  
5 want to add as well. This is Don Cool of the NRC  
6 staff again.

7 Quite frankly I don't envision that that  
8 piece of the basic safety standards would, in fact,  
9 ever enter into the NRC's regulatory structure, that,  
10 in fact, the U.S. through things that the FDA may do,  
11 to the Joint Commission and other activities which  
12 provide a mechanism for looking at, and benchmarking,  
13 and understanding where best practices accomplishes  
14 that requirement.

15 I would suggest to all of you that one of  
16 the important factors is that because it may be a  
17 requirement in the International Basic Safety  
18 Standards doesn't mean it necessarily needs to be a  
19 requirement in the U.S. regulations because some of  
20 the things clearly are not any particular agency's  
21 activities. And more specifically, there are lots of  
22 things in this standard which are not NRC's and which,  
23 therefore, wouldn't be EPA, in the case of the radon  
24 program, and otherwise.

25 Rob, did you want to add?

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1 MR. LEWIS: No, you said it. I think --  
2 well, first of all, you know, we're not obligated to  
3 follow the basic safety standard. We have our own  
4 rulemaking process.

5 But I was going to say the same thing.  
6 Putting these diagnostic reference levels into the NRC  
7 regulations is something that we haven't even talked  
8 about on the staff. I think we would have some  
9 serious questions about getting into medical practice.

10 And I think the second thing Don said I  
11 totally agree with, I think we are closer to meeting  
12 this standard in the U.S. than we are for not meeting  
13 it. I think that we could make a legitimate case that  
14 our government has a system through the FDA program  
15 and through the states that we make available these  
16 reference levels already. And that's what kind of  
17 IAEA would be looking for.

18 MR. COOL: And then if you will permit me  
19 to come back to Dr. Van Decker's second question, the  
20 occupational dose limits, one of the places where the  
21 international standards do differ from the U.S.  
22 requirements, one of the things that we have already  
23 talked about with this Committee that currently is  
24 under discussion with regards to whether or not there  
25 should be changes in the United States, the

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1 occupational dose limits are an average of two rem --  
2 I'll use the U.S. units -- two rem per year, maximum  
3 of five in any one year. That is sometimes referred  
4 to as ten rem over five years, maximum of five in any  
5 year.

6 The U.S. regulations, of course, are still  
7 a single five-year number. Some countries, in fact,  
8 have moved to a single two rem number, not wishing to  
9 go through all of the averaging process. That is one  
10 of the places that is different.

11 That does not mean that the NRC will or  
12 will not move towards changing the requirements.  
13 That's one of the things that we have been engaging  
14 all of the stakeholders in. And there is a wide  
15 variety of views on it. So that is open to  
16 discussion.

17 But I will note that the U.S. is, I  
18 believe, the only country in the world that still has  
19 a single five rem occupational dose limit. Everyone  
20 else has lowered it.

21 ACTING CHAIR THOMADSEN: Thank you.

22 Dr. Zelac?

23 DR. ZELAC: Since we have about one minute  
24 to stay on schedule, I will be very brief. But I had  
25 reason to review the draft document. And I found a

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1 couple of the sections in there, while not  
2 objectionable, not necessarily requiring any  
3 recommendation for changing them, that were of  
4 interest to medical practice.

5 And if you can indulge me for a minute,  
6 I'd just like to point those out.

7 There is a section, my number, that says  
8 prior to the granting of an authorization for medical  
9 radiation use, the person or organization, i.e., the  
10 applicant, shall be required to submit a very detailed  
11 safety assessment, which they then go on in the  
12 document to describe, which shall be reviewed and  
13 assessed by the regulatory body.

14 Now it sounded to me like that is more  
15 detailed, from what I saw, than what is currently  
16 required. So that is something to keep in mind.

17 Another section, prior to clinical use,  
18 calibrations of radiotherapy units are to be  
19 independently verified. They then went on to describe  
20 multiple methods of fulfilling this requirement.

21 And lastly, periodic radiological reviews  
22 are to be performed by the radiological medical  
23 practitioners at the medical facility, in cooperation  
24 with the medical radiation technologists and the  
25 medical physicists. The radiological review has to

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1 examine and critically review the current practical  
2 implementation of the radiation protection principles  
3 of justification and optimization for the radiological  
4 procedures that are being performed in the medical  
5 facility.

6 So this is getting down to if it were to  
7 be enacted, a requirement for the kind of reviews on  
8 procedures relating to safety, patient and otherwise,  
9 that we were discussing earlier.

10 ACTING CHAIR THOMADSEN: Thank you, Dr.  
11 Zelac.

12 Any other comments? Dr. Welsh?

13 MEMBER WELSH: I apologize for this as it  
14 is getting quite a bit off subject but whenever I  
15 listen to presentations like this and hear discussion  
16 about occupational annual dose limits, et cetera, I  
17 can't help but wonder about the artificial  
18 categorization that we have set up here at the Nuclear  
19 Regulatory Commission.

20 We started out with a discussion about  
21 international safety standards. And it is impractical  
22 to have some kind of policy for all types of safety.  
23 So it makes sense to have radiation separated. So you  
24 have radiation safety issues.

25 But ionizing radiation safety standards do

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1 seem like it forms a natural subgrouping. And what is  
2 not natural is the distinction between nuclear and  
3 non-nuclear. And from a regulatory perspective, I  
4 suppose -- well, it's still ionizing radiation. And  
5 things like electronic brachytherapy and radiation  
6 oncology, things like PET CT studies in diagnostic  
7 radiology, topics such as annual exposure limits don't  
8 make a real distinction between whether the radiation  
9 is from a natural source or nuclear source or a  
10 manmade source.

11 And I know that in recent years NARM has  
12 fallen under the purview of the Nuclear Regulatory  
13 Commission. But there is this grey zone that  
14 continues to expand because of things like PET CT,  
15 because of things like electronic brachytherapy.

16 And I wonder how the IAEA, how other  
17 countries, and how the states effectively regulate  
18 ionizing radiation as a category rather than what I  
19 think we perceived here as an impracticality or  
20 impossibility of regulating all ionizing radiation,  
21 which would seem natural. But is it so impractical  
22 that it is impossible?

23 MR. LEWIS: I think that you raised a very  
24 good point. And most other countries would regulate  
25 radiation within an authority, a regulatory authority,

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1 whether it is machine-produced radiation or a  
2 byproduct material.

3 Most other countries don't have the scale  
4 of the U.S. or the lawyers.

5 (Laughter.)

6 MR. LEWIS: But we have the system we  
7 have. And I think our future is bright in that  
8 regard, that as we move forward to consider whether to  
9 adopt domestically the current international standards  
10 for radiation, which apply to machine or sources, we  
11 are going to work closely with the CRCPD, the  
12 Conference of Radiation Control Program Directors,  
13 who, at the state level, does set standards for  
14 machine produced.

15 We are going to work closely with the  
16 other federal agencies, the EPA, the FDA, and others  
17 through the ISCORS, the Interagency Steering Committee  
18 on Radiation Standards, which Don Cool chairs.

19 And moving forward with all of the  
20 agencies together is something we, as a nation, have  
21 never done before. So we think we got passed this  
22 time.

23 That said, we are a long ways away. And  
24 different agencies are at various states of their  
25 thinking on the regulatory requirements for radiation.

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1 So it is a long road ahead but we realize the issue  
2 that you raised.

3 And I think it is more compartmentalized  
4 and easier to deal with in most of the rest of the  
5 world than in the U.S.

6 Do you want to add to that, Don or Debbie?

7 MR. COOL: Don Cool with the NRC staff.

8 You raised a very good point, Dr. Welsh.  
9 The IAEA, not wanting to speak for them but just  
10 observing their behavior over the last few years, has  
11 been moving very much in the direction of trying to  
12 look at all of the different radiation hazards. So  
13 they have been moving more aggressively into the  
14 naturally-occurring materials area.

15 They have been working very hard to  
16 strengthen things in medical where they have been  
17 seeing a lot of issues in some of their member states.  
18 And they don't have the legal little divisions that we  
19 have here that breaks up jurisdiction into bits and  
20 pieces. That is, in fact, why you see the basic  
21 safety standards covering all of the attributes.

22 They, like the rest of us, still struggle  
23 greatly with how you regulate that which is manmade  
24 and which we can exert a lot of control over versus  
25 some of the naturally-occurring materials which exist

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1 in fairly significant concentrations at times plus  
2 fairly significant doses but for which you can't apply  
3 the same sort of controls or achieve the same sort of  
4 dose reductions. And that tension will continue.

5 ACTING CHAIR THOMADSEN: Dr. Suleiman, did  
6 you have a comment?

7 MEMBER SULEIMAN: Yes. I've wrestled --  
8 we have a tendency of wanting one size fits all. And  
9 it would be nice to have everything under one  
10 radiation agency.

11 But even then, even FDA has multiple  
12 statutes and the drugs, the medical devices, generic  
13 versus new drugs, different types of products, you're  
14 dealing with occupational, the general public you are  
15 dealing with patients who are clearly getting a benefit  
16 along with the associated risk.

17 I was telling somebody -- the other day  
18 the issue came up about one of the imaging procedures.  
19 I said well, the non-radiation effects, if they do an  
20 alternative procedure, is puncturing the GI tract. I  
21 mean like colonoscopy virtual versus -- there are  
22 other risks that are not radiation.

23 So FDA, in terms of medical applications,  
24 has to deal with all sorts of risks. And the good  
25 news is on the radiation side, I had a big argument

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1 with some of the ethicists and I said just tell me the  
2 risk, I'll tell you the dose.

3 And they said no. We don't want any  
4 radiation associated with this procedure.

5 I said tell me the risk, I'll tell you the  
6 dose.

7 And so here we're dealing with extremely  
8 smart, educated, credentialed people. And because  
9 they don't understand radiation, it a binomial  
10 reaction. They don't want to deal with it all yet we  
11 are dealing with all sorts of toxic, dangerous  
12 products that -- who is it said that any poison at a  
13 low enough dose, you know, can be tolerated?

14 So I'm not sure whether just breaking it -  
15 - we work -- I think the states sort of give you the  
16 umbrella coverage in terms of regulation but you still  
17 have other risks associated with these products.

18 So you can have some safety expert here --  
19 we talked about safety this morning -- safety isn't a  
20 unique thing for radiation. It covers other aspects  
21 as well.

22 So that's why we're here. I don't think  
23 you are ever going to have one agency, one profession,  
24 one speciality that is going to be able to cover  
25 everything. So I think it is just going to have to --

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1 there is a lot of work for us to continue to do.

2 So we're not going to get everything  
3 resolved in the next ten years and everything will be  
4 happily ever after. You know I don't see a clear  
5 differentiation.

6 ACTING CHAIR THOMADSEN: Thank you.

7 If there are no other comments, I'd like  
8 to thank the NRC contribution to this discussion and  
9 the clarification of the issues involved.

10 We'll take a break. Please return at  
11 10:30.

12 (Whereupon, the foregoing matter went off the record  
13 at 10:09 a.m. and went back on the record  
14 at 10:34 a.m.)

15 13. POST-IMPLANT WRITTEN DIRECTIVES FOR  
16 YTTRIUM 90 MICROSPHERES PROCEDURES

17 ACTING CHAIR THOMADSEN: I am coming back  
18 again to discuss microsphere postscripts and  
19 unintended consequences of some stuff we have done.

20 For permanent implants, the user is  
21 supposed to complete the prescription. And what I am  
22 referring to as a postscript. And there is the  
23 regulation. It says, "For all other brachytherapy,  
24 including low, medium, and pulsed," et cetera, you  
25 have "before the implantation, treatment site"

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1 included in the "radionuclide, and dose; and after  
2 implantation but before completion of the procedure:  
3 the radionuclide, treatment site, number of sources,  
4 and total source strength and exposure times, or the  
5 total dose."

6 For the microspheres written directive, as  
7 revised in September 2008, the pre-administration, all  
8 the typical information that we would write in the  
9 directive, including the statement "or dose or  
10 activity delivered at stasis" assuming that that  
11 happens a significant part of the time.

12 And after the procedure, the written  
13 directive has a postscript where "After the  
14 administration but before the patient leaves the  
15 post-procedural recovery area," you include "the date,  
16 the signature of the authorized user, and the total  
17 dose or activity delivered to the treatment site."

18 And we'll point out that if the  
19 administration was terminated because of stasis, then  
20 the total dose or activity to the treatment site is  
21 the value of the total dose or activity administered  
22 when stasis occurred and the administration was  
23 terminated.

24 And we'll note the post-administration  
25 entries into the written directive are not an

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1 amendment to the written directive but, rather, the  
2 entries complete the written directive. And that is  
3 the language from the regulations.

4 The issue that I would like to discuss  
5 right now is, at least as we do this procedure in our  
6 facility, all procedures go to completion, almost. We  
7 have a handful of procedures that terminate through  
8 stasis.

9 We have had one problem with a stopcock  
10 very early on. We have had -- well, here I say three  
11 because of stasis. We now have had two more.  
12 Interestingly, four of these, the two on the slide and  
13 the two since were when we had proctors from the  
14 vendor working with new interventional radiologists,  
15 teaching them the technique as part of their proctor  
16 cases, and tend to tell the doctor to stop, rather  
17 than at stasis but when you have slowing of the  
18 anti-grade flow.

19 We continue discussing the issue. When  
20 the postscript -- oh, if. The idea is that the  
21 postscript is to complete the written directive. It  
22 really makes little sense if the treatment actually  
23 completes the written directive any more than it does  
24 to write the written directive at the end of a series  
25 of treatments using a cobalt teletherapy machine.

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1           If the written directive is a directive,  
2 what good is there to have a directive after  
3 everything is done? It's not directing anything.

4           Why am I even discussing it? Well, it  
5 does cause problems for facilities where the  
6 authorized user is not present at the procedure, which  
7 is perfectly allowable. And it may be a very lengthy  
8 procedure involved, which it often is. The timing is  
9 never clear with these because of the catheterization  
10 of the arteries.

11           This places an onerous burden on usually  
12 the medical physicist, speaking from experience in  
13 this case, or somebody else, who then after the  
14 procedure has to hunt down the authorized user -- and  
15 this may be late in the day or it also may be trying  
16 to find an authorized user who themselves may be in a  
17 procedure where they can't be interrupted and get the  
18 at this point unnecessary completion filled out before  
19 the patient leaves because if the procedure has been  
20 done according to the written directive, it's not  
21 clear that you need to complete that any more than you  
22 need to complete the written directive for that cobalt  
23 treatment.

24           If we compare this with the prostate  
25 implants, where the concept seems to make more sense

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1 and in a prostate implant, the total strength of the  
2 sources to be implanted is not always known until  
3 after you are done with the procedure.

4 Particularly in live-time implants as  
5 you're doing the implant, you may be doing correction  
6 to the implant to make up for where seeds actually  
7 ended up, rather than where you intended for them to  
8 go.

9 In a microsphere case, the desired  
10 activity is known at the time the source material was  
11 ordered. You know what you are going to do with that  
12 patient. You may have compromises in the delivery  
13 that may prevent the total use because of stasis, but  
14 it is not because you have changed the desired  
15 activity.

16 The postscript and what I would propose is  
17 that the postscript should only be necessary for  
18 microspheres if there is a clinical need for premature  
19 termination of the delivery. If you are just  
20 completing the prescribed delivery, you probably don't  
21 need to have a second go at the written directive.  
22 That is my proposal here.

23 Discussions? Dr. Zanzonico?

24 MEMBER ZANZONICO: Pat Zanzonico.

25 We are performing these procedures as well

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1 as Dr. Mattmuller. I think our experience is very  
2 similar that almost all of the procedures to date have  
3 gone to completion, although obviously the  
4 interventional radiologist is aware that there was a  
5 possibility of stasis and that may cause a premature  
6 termination of the procedure.

7 I would agree. I mean, it seems to me the  
8 point of the postscript is for sort of conservation of  
9 activity purposes, to make sure that all of the  
10 activity that was prescribed and delivered is  
11 accounted for and that which was not administered  
12 likely is accounted for, so forth.

13 So I would agree there doesn't seem to be  
14 any clinical or radiation safety need to redocument  
15 the administered activity if the procedure went as  
16 planned and all of the prescribed activity was  
17 delivered.

18 I mean, as you point out, there are some  
19 clinical exigencies which would require terminating  
20 the procedure prematurely like I guess most likely  
21 stasis. But otherwise I think that is a reasonable,  
22 very reasonable, proposal given the clinical realities  
23 of this procedure.

24 ACTING CHAIR THOMADSEN: Dr. Suleiman?

25 MEMBER SULEIMAN: I really agree. I think

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1 using external beam therapy is a gold standard. There  
2 your precision and accuracy is very good. You know,  
3 10 to 20 percent you can quibble a little bit about  
4 those numbers. If you get into the seed implants, you  
5 get softer, but you still have some idea of the dose  
6 you are delivering.

7 To say that you are calculating an  
8 absorbed dose and delivering it is probably not true.  
9 You are really delivering activity. And the dose  
10 distribution is, I mean, the way the particles are  
11 going to be distributed.

12 So it would be almost a moot exercise to  
13 try to retrospectively -- in fact, I would challenge  
14 you as to what is the dose anyway. I mean, it is  
15 probably a very difficult task to challenge, to  
16 address.

17 So I agree as long as people don't take  
18 this and apply it to other procedures, where dosimetry  
19 is much more critical and can be done.

20 ACTING CHAIR THOMADSEN: Dr. Gilley?

21 MEMBER GILLEY: Isn't the microsphere a  
22 part 1000 procedure?

23 ACTING CHAIR THOMADSEN: Right.

24 MEMBER GILLEY: And aren't we regulating  
25 it by guidance document, as we do with part 1000? So

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1 I guess the guidance document has been revised how  
2 many times and --

3 ACTING CHAIR THOMADSEN: The last revision  
4 was that --

5 MEMBER GILLEY: You put this in in the  
6 last revision?

7 ACTING CHAIR THOMADSEN: Yes.

8 MEMBER GILLEY: So we may be looking at  
9 revising the guidance document again as long as it  
10 stays in part 1000. Okay. Because I am not sure that  
11 this quota 35.40 for permanent input postscript is an  
12 accurate reference for a part 1000 procedure.

13 ACTING CHAIR THOMADSEN: I think we had  
14 used that as a guidance for the --

15 MEMBER GILLEY: Guidance document?

16 ACTING CHAIR THOMADSEN: -- the guidance  
17 document.

18 MS. COCKERHAM: Yes, 35.40 is mimicked  
19 throughout the guidance document.

20 ACTING CHAIR THOMADSEN: That's why I  
21 referred to the rule, as opposed to the --

22 MEMBER GILLEY: Right. But we could  
23 establish in the guidance document a variation to the  
24 written directive because it is a part 1000 procedure.

25 MS. COCKERHAM: Exactly.

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1           ACTING CHAIR THOMADSEN:    Correct.    Yes.  
2    Any comments from -- I see that.  They aren't raising  
3    their hands, though.  Ron?  Dr. Zelac, would you care  
4    to --

5           DR. ZELAC:    I will make a statement, but  
6    it's not backed up with fact because I don't have the  
7    current version in front of me.  I believe that one of  
8    the things that is called for in this pre-implantation  
9    portion of the written directive was the anticipated  
10   shunting of other sites, the liver, the lung, et  
11   cetera, in terms of some estimates based on studies  
12   that have been done as to what fraction of the total  
13   activity to be administered is expected to go there.

14          ACTING CHAIR THOMADSEN:    Yes, that is  
15   correct.

16          DR. ZELAC:    Okay.    What I think is  
17   anticipated in completion of the written directive is  
18   simply the verification, in fact, that that has  
19   occurred --

20          ACTING CHAIR THOMADSEN:    I don't think  
21   that --

22          DR. ZELAC:    -- and haven't had additional  
23   something to one of these other sites.  In other  
24   words, what I am saying is in terms of the  
25   administration, a total activity administered could,

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1 in fact, match what was stated in the original portion  
2 of the written directive, the pre-implantation  
3 portion. But the distribution with respect to target  
4 versus other sites could differ markedly depending on  
5 the circumstances and the outcome from the procedure  
6 itself.

7 And I think that is of value in terms of  
8 the follow-up or potential follow-up for the  
9 particular case in terms of it, in fact, being or not  
10 being a medical event.

11 ACTING CHAIR THOMADSEN: We at our  
12 institution never do imaging afterwards based on the  
13 Bremsstrahlung. The quantification of such images is  
14 very poor at best, in which case all of the  
15 documentation afterwards says is that the amount of  
16 material that we instilled into the patient was the  
17 amount of material that was written for in the written  
18 directive.

19 MEMBER ZANZONICO: Pat Zanzonico.

20 Yes. The shunting would not cause a  
21 change in clinical procedure. And the physician would  
22 not be aware of how much shunting would occur if it  
23 was different than that predicted during the  
24 administration of the microsphere certainly.

25 So I think the only clinical scenario

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1 where the procedure would be terminated prematurely  
2 is, as you say, there was unexpected pressure on the  
3 injection needle or the plunger, which would make them  
4 think something is clogged somewhere that they weren't  
5 anticipating. But there is no real time indication of  
6 shunting above and beyond what was predicted  
7 pre-procedure. So I don't think that's a realistic  
8 component of the guidance or the regulation.

9 ACTING CHAIR THOMADSEN: Right. Yes, Dr.  
10 Zelac?

11 DR. ZELAC: I can add one other thing.  
12 And this doesn't relate to the necessity for the  
13 completion relative to what actually occurred. But  
14 what I think is reflected in the current version --  
15 and, again, I can't read it. So I'll just -- I know  
16 it's up there. I can't read it.

17 What I'm driving at is I believe that the  
18 wording had been changed with respect to what has to  
19 be done post, that it can be "an authorized user," as  
20 opposed to "the authorized user." So another  
21 physician who was authorized for this procedure could,  
22 in fact, be found and be the one to complete the  
23 procedure.

24 ACTING CHAIR THOMADSEN: Right. But in  
25 addressing that in many facilities, there may only be

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1 one authorized user. So that doesn't really change  
2 the issue.

3 DR. ZELAC: No. In some, it will help.

4 ACTING CHAIR THOMADSEN: In some, it may.  
5 In some, it may not. And you might also say that this  
6 may be alleviated in those facilities in which an  
7 interventional radiologist who is doing the procedure  
8 becomes the authorized user, but in not every  
9 institution will that be the case.

10 Can you read that yet? I can read it up  
11 there. All right. Can you scroll down just a bit to  
12 get the rest of that last sentence on the "After  
13 administration"?

14 DR. ZELAC: Well, it looks like it still  
15 on this version says, "the AU." I thought it --

16 ACTING CHAIR THOMADSEN: I believe that  
17 has been changed.

18 MS. COCKERHAM: To clarify, that revision,  
19 it's changing "the," "an AU" to "the AU" or "the AU"  
20 to "an AU." It is also included with the IR revisions  
21 that we're trying to make. That is the guidance that  
22 is currently in concurrence. So that's why you're not  
23 seeing it.

24 This is what is posted from the public  
25 website right now. So this will be replaced within a

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1 few months.

2 ACTING CHAIR THOMADSEN: Any comments?

3 Yes, Dr. Welsh?

4 MEMBER WELSH: So if a procedure goes  
5 exactly as planned in accordance with the  
6 pre-procedural, pre-administration written directive,  
7 would it be possible to obviate the need for the  
8 post-procedural written directive? Would it be  
9 acceptable to have perhaps a checkbox to say  
10 "procedure exactly as in accordance to the  
11 pre-procedure written directive"?

12 ACTING CHAIR THOMADSEN: My proposal is  
13 that you should be able to obviate.

14 MEMBER WELSH: And if there is a  
15 difference; for example, stasis, only under those  
16 circumstances, which may be a minority of situations,  
17 would there be a true need for additional  
18 documentation?

19 ACTING CHAIR THOMADSEN: Correct. That  
20 any type of note after the procedure, if the procedure  
21 goes as planned, would just be a typical completion  
22 note, as with teletherapy, rather than part of the  
23 written directive.

24 If that is the sense of the Committee,  
25 would anybody wish to make a motion supporting that?

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1                   MEMBER SULEIMAN:     Just a clarification.  
2                   So there would be validation documentation that it was  
3                   finished, checkbox or other?

4                   ACTING CHAIR THOMADSEN:   Then the question  
5                   is, do you need to do that before the patient is  
6                   released if it's completed --- that is the problem  
7                   that we're trying to get around -- any more than you  
8                   need with a cobalt treatment? Do you need to do that  
9                   before the patient is released? The answer is no.

10                  DR. HOWE:    Dr. Thomadsen?

11                  ACTING CHAIR THOMADSEN:   Dr. Howe?

12                  DR. HOWE:    I think the clarifying while  
13                  that is there --

14                  ACTING CHAIR THOMADSEN:   Can you speak a  
15                  little bit louder? I can't hear you.

16                  DR. HOWE:    I am speaking into the  
17                  microphone. Okay. A little better now.

18                  One of the reasons that it is in there is  
19                  because the microspheres are manual brachytherapy.  
20                  And the manual brachytherapy has the ability to  
21                  complete the written directive before the patient  
22                  leaves the treatment facility. So that is one reason.

23                  I guess one of the things that we hadn't  
24                  thought about -- and it is something that you need to  
25                  keep in mind -- is the 35.41 does require you to

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1 verify that the administration was in accordance with  
2 a written directive. So this would not negate your  
3 having to have procedures that would provide high  
4 confidence that the administration was in accordance  
5 with the written directive. So there would be an  
6 additional verification at some point.

7 ACTING CHAIR THOMADSEN: Fully concur with  
8 that.

9 DR. HOWE: And that may take the place --

10 ACTING CHAIR THOMADSEN: That would have  
11 to be part of the procedure.

12 DR. HOWE: A part of it was to really make  
13 sure in those cases where you didn't go all the way to  
14 completion because you had TheraSpheres that, at least  
15 initially, they had at least 30 percent of the cases  
16 which didn't go to completion because they went to  
17 stasis.

18 Now, maybe there is an improvement on  
19 estimating how many microspheres go in now and you do  
20 better at not going to stasis, but that was one of the  
21 original reasons that we put that provision into the  
22 medical written directive.

23 ACTING CHAIR THOMADSEN: Right. Then the  
24 proposal would say if that's the case, then you still  
25 would need to revise the directive, although it

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1 doesn't seem like that should be part of revising the  
2 directive because it's too late to direct anything at  
3 that point.

4 MEMBER ZANZONICO: Pat Zanzonico.

5 I think the practical issue is the timing  
6 --

7 ACTING CHAIR THOMADSEN: Yes.

8 MEMBER ZANZONICO: -- correct, that you  
9 don't want to have the patient wait in a recovery area  
10 or post-procedure area simply for the purpose of  
11 getting the documentation by the authorized user,  
12 especially in those cases where the procedure went as  
13 planned, so even if you just deleted from that passage  
14 but before the patient or human subject leaves the  
15 procedural recovery area so that it could be done that  
16 evening or even the following day, just to document  
17 the procedure went as planned.

18 It's the issue of the timing and the  
19 availability of the interventional radiologist with  
20 respect to the procedure.

21 ACTING CHAIR THOMADSEN: Yes. Dr.  
22 Guibersteau, were you raising your hand? No.

23 MEMBER GUIBERSTEAU: No, no.

24 ACTING CHAIR THOMADSEN: Any other  
25 comments? Yes, Ms. Belke?

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1 MS. PELKE: Patty Pelke, NRC Region III.

2 I just wanted to remind the group that we  
3 have had some medical events that have been reported  
4 as a result of use of microspheres wherein the  
5 material that is delivered had not been prepared  
6 appropriately, they're delivered in somewhat of a  
7 slurry, and I think the manufacturer indicates to the  
8 end user that the vial should be -- I don't want to  
9 say shaken or stirred, but you want to make sure that  
10 the microspheres themselves remain in suspension. And  
11 if those procedures were not followed, then the  
12 microspheres had a tendency to clog the delivery  
13 system and the material was not delivered as intended.

14 And then we have also seen, not frequently  
15 but on occasion, the catheters that are used to  
16 deliver the material are very, very small and in some  
17 cases the catheter during the preparation process has  
18 developed a very, very small kink. But that has also  
19 impacted the ability of the microspheres to be  
20 delivered as prescribed.

21 So I wanted to make sure that we would not  
22 be moving forward, that those events would still have  
23 the ability to verify those occurrences if we revise  
24 our guidance for 35.1000 units.

25 ACTING CHAIR THOMADSEN: Right. That

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1 would not be changed at all because those would not,  
2 those written directives would not, have been  
3 completed as written.

4 Dr. Welsh?

5 MEMBER WELSH: Is there a motion?

6 ACTING CHAIR THOMADSEN: Not unless  
7 somebody makes one. As Chair, I can't.

8 MEMBER WELSH: I think that Dr. Zanzonico  
9 worded things in a fashion that if he stated that  
10 again and put it in the form of a motion, I would  
11 second it.

12 MEMBER ZANZONICO: Right. I move that in  
13 item 2, the phrase "but before the patient or human  
14 research subject leaves the post-procedural recovery  
15 area" be deleted from this document.

16 ACTING CHAIR THOMADSEN: All right. Fine.  
17 Is there a second?

18 MEMBER WELSH: Second.

19 ACTING CHAIR THOMADSEN: Second.  
20 Comments? Discussion?

21 (No response.)

22 ACTING CHAIR THOMADSEN: All in favor, say  
23 "Aye"?

24 (Whereupon, there was a chorus of "Ayes.")

25 ACTING CHAIR THOMADSEN: Opposed?

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1 (No response.)

2 ACTING CHAIR THOMADSEN: Okay. That  
3 passed. Thank you very much.

4 Dr. Zelac?

5 DR. ZELAC: Would there be any objection  
6 on the part of the Committee to there being, as Dr.  
7 Zanzonico has suggested, a time factor than simply one  
8 that is more relieving of the pressures than --

9 ACTING CHAIR THOMADSEN: I can't see that  
10 there would be an objection. Would there be an  
11 objection if a time factor that was not so pressing,  
12 such as --

13 DR. ZELAC: Yes.

14 ACTING CHAIR THOMADSEN: -- that day, be  
15 added? I think the sense of the Committee is that  
16 that is fine.

17 DR. ZELAC: That is fine because, as it  
18 would be, if this recommendation were followed, there  
19 would be no time at all, meaning that it could be two  
20 years after the procedure, which really is not  
21 acceptable.

22 ACTING CHAIR THOMADSEN: I think it is a  
23 difference between verification that the procedure has  
24 been completed from completion of the written  
25 directive. And as long as you're considering it

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1 completion of the written directive, I think the  
2 written directive was completed at the time of the  
3 procedure.

4 And the whole concept of having to redo it  
5 to complete it is strange to bizarre, as opposed to  
6 which is not entirely stated here, that the  
7 verification has to be within a certain time. I think  
8 that verification of completion realistically should  
9 be done in a timely manner, which that is not spelled  
10 out in the current guides.

11 Dr. Welsh?

12 MEMBER WELSH: So I would just like  
13 clarification that this will continue to say "the  
14 nature of an AU," rather than "the AU."

15 And I would suggest as a practical number  
16 if a number is sought, maybe 48 hours. That would  
17 give two days for the medical physicist to track down  
18 an authorized user. And I don't think that it would  
19 be as burdensome as the current situation is.

20 And perhaps amend it to also read "unless  
21 the procedure went in exact accordance to the  
22 pre-administration written directive," in which case  
23 there would be no need for this.

24 ACTING CHAIR THOMADSEN: Dr. Howe?

25 DR. HOWE: As Pat Pelke from Region III

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1 pointed out, we have had medical events. And in some  
2 cases, the authorized user and the facility don't  
3 realize they have a medical event until they measure  
4 the delivery system.

5 And so if you're going to have a time  
6 factor in there, at least the determination of  
7 measuring the delivery system has to be fairly soon  
8 after the administration so that the material is not  
9 sent to waste or disposed of until you can't go back  
10 and make those measurements. So we have to be a  
11 little bit careful about the time period.

12 We do have some that have not recognized  
13 they have had a medical event until they made those  
14 measurements. They believed they had all of the  
15 microspheres into the person. In some cases, they got  
16 stuck on the top of the cap and a significant amount  
17 got stuck up there.

18 ACTING CHAIR THOMADSEN: Right. But I do  
19 think that that is a different issue.

20 MEMBER ZANZONICO: Pat Zanzonico.

21 I mean, the measurements will be done  
22 immediately. No one is going to hold on to the tubing  
23 or any other -- the contaminated items for any reason.  
24 That will be done immediately. It is just associating  
25 the documentation by the AU from the patient leaving

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1 the recovery area.

2 But, agreed, all of these measurements  
3 have and in practice will be done as expeditiously as  
4 possible following the procedure or as part of the  
5 procedure is just the natural course of doing things.

6 ACTING CHAIR THOMADSEN: Dr. Welsh, did  
7 you want to make a motion associated with your  
8 comments?

9 MEMBER WELSH: Yes. So if there is  
10 agreement that a post-procedural written directive is  
11 not truly necessary if things went in exact accordance  
12 with the pre-administration written directive, I would  
13 suggest the amendment to read in part one, "And if the  
14 procedure did not go in exact accordance to the  
15 pre-administration written directive, then, two, after  
16 administration and within 48 hours, signature of an  
17 AU," et cetera.

18 ACTING CHAIR THOMADSEN: Do we have a  
19 second to that motion?

20 MEMBER LANGHORST: I second.

21 MEMBER ZANZONICO: Second.

22 ACTING CHAIR THOMADSEN: I have a second.  
23 Discussion, please?

24 MS. PELKE: Could you repeat, please?

25 MEMBER WELSH: Try.

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1 MS. COCKERHAM: Do you want me to try from  
2 what I typed?

3 ACTING CHAIR THOMADSEN: There we go.  
4 Please?

5 MS. COCKERHAM: Okay. This is messy, but  
6 I have "NRC should revise the yttrium 90 microspheres  
7 guidance to read, 'If the procedure was not performed  
8 in accordance with the written directive, then after  
9 administration and within 48 hours, the signature of  
10 an AU.'" That's rough, but is it getting close?

11 ACTING CHAIR THOMADSEN: Did that capture  
12 your --

13 MEMBER WELSH: It does. And in reference  
14 to what is up there on the screen, in section 1, at  
15 the end of section 1, it would be "And if the  
16 procedure did not go in exact accordance to the  
17 pre-administration written directive, then, two," what  
18 was just stated.

19 ACTING CHAIR THOMADSEN: Okay. Is that  
20 what the seconders thought they were seconding?

21 MEMBER LANGHORST: Yes.

22 ACTING CHAIR THOMADSEN: Very good.  
23 Further discussion?

24 MS. COCKERHAM: Who seconded? I'm sorry.

25 ACTING CHAIR THOMADSEN: Well, there was a

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1 tie.

2 MEMBER LANGHORST: You can go ahead, Pat.  
3 Give it to Pat.

4 MS. COCKERHAM: Thank you.

5 ACTING CHAIR THOMADSEN: Okay. Let's see.  
6 Dr. Langhorst?

7 MEMBER LANGHORST: Sue Langhorst.

8 I would say the 48-hour time frame is  
9 consistent with other parts of part 35, where you have  
10 verbal changes and then you have to document that  
11 within 48 hours. I think that is a consistency that  
12 is a good thing.

13 ACTING CHAIR THOMADSEN: Seeing no other  
14 hands, all in favor, please say "Aye"?

15 (Whereupon, there was a chorus of "Ayes.")

16 ACTING CHAIR THOMADSEN: Opposed?

17 (No response.)

18 ACTING CHAIR THOMADSEN: Okay. We're  
19 fine. I think we're done with this topic. I think  
20 Dr. Welsh is the next presenter here.

21 MEMBER WELSH: Thank you, Dr. Thomadsen.

22 14. SUBCOMMITTEE REPORT ON BYPRODUCT MATERIAL EVENTS

23 MEMBER WELSH: I will present the results  
24 of the Byproduct Material Events Subcommittee. This  
25 is our annual springtime report. Just in the way of

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1 background, the Subcommittee has again reviewed the  
2 Nuclear Materials Events Database, the NMED, and, as  
3 usual, tabulated the medical events.

4 The Subcommittee understands the desired  
5 goals and aims, which are to identify trends and  
6 possible causes and come up with possible solutions  
7 and ultimately get the information back to the users  
8 so that this information can be implemented in a  
9 corrected fashion.

10 The Subcommittee found that, as with  
11 previous exercises, the admirable goals are not truly  
12 possible with just the raw data that is available in  
13 the NMED database. As an example, one of the obvious  
14 limitations is the absence of denominators.

15 I don't have to go through the specifics,  
16 but I provide an extreme example. If we say that  
17 there are 10 events from procedure x and 5 from  
18 procedure y, we might think that x is twice as  
19 problematic as y. But if the denominator turns out to  
20 be a million x procedures and only 100 y procedures,  
21 obviously you could draw erroneous conclusions. So  
22 unless denominators are available, trends can't be  
23 accurately identified.

24 Now, we can make and we have made educated  
25 guesses by the clinicians and physicists based on data

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1 from 2006, but these are simply educated guesses and,  
2 therefore, could be quite far along.

3 Accurate figures are available, can be  
4 obtained through a number of agencies. And Dr.  
5 Thomadsen had such figures available for a previous  
6 report. But I believe that this was because of a  
7 coincidental project that he was working on for  
8 another reason and the data was obtained maybe through  
9 IMV. And unless that kind of coincidence occurs  
10 again, the data is not available and it was not  
11 available to us during this exercise. So the data can  
12 be obtained but at a price.

13 An obvious question that I have and others  
14 on the Subcommittee have is, how do these agencies get  
15 this data? Can the NRC and the states obtain the data  
16 in a similar fashion?

17 So initially and perhaps naively, I  
18 thought that maybe it would be very easy to just  
19 request that the licensees provide the numbers of  
20 procedures done each year. This led to some internal  
21 discussion, debate, and people laughing at the  
22 suggestion. And it was pointed out that licensees  
23 will likely not provide these numbers unless they are  
24 required to do so. Does that become the best use of  
25 resources for such regulation?

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1           So the debate ensued about how and at what  
2 cost it might be to obtain the denominators that would  
3 allow us to calculate true incidence rates. And what  
4 would we truly gain from this? And is it worth a  
5 thousand dollars? A thousand-dollar figure I think is  
6 an estimate based on one of the data bases that I  
7 think was perhaps willing to sell the data for \$1,000.

8           MS. COCKERHAM: Dr. Welsh, it is 550  
9 because I looked, just to clarify. I think when Dr.  
10 Thomadsen mentioned that site, we were thinking it was  
11 between 500 or 750 and \$1,000. And so I went to the  
12 website because I needed to ask our management about  
13 the options for this. So I believe it's 550.

14           MEMBER WELSH: If it's 550, that changes  
15 everything.

16           (Laughter.)

17           MS. COCKERHAM: Not everything, but it  
18 helps.

19           MEMBER WELSH: Well, it does. It does  
20 make a little bit of a difference. But then the  
21 broader questioning is, if we have the data and it's  
22 cheaply available, will this really help us achieve  
23 the goals?

24           So in the option of one Subcommittee  
25 member at least, if we learn anything and reduce the

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1 number of medical events by even one, depending on the  
2 severity of the medical event, it might very well be  
3 worth it.

4 True identification of incidence rates can  
5 help in allocation of resources and training dollars.  
6 And, as an example that was provided, if we learn that  
7 the incidence of medical events from procedure x is  
8 far higher than that of procedure y, the states would  
9 be able to direct the training and resources from  
10 procedure y to procedure x with justification based  
11 on that data.

12 But if the cost in manpower and dollars is  
13 more, the resources might be better spent differently.  
14 For example, it was suggested that simply assuring  
15 that written directives are followed through by some  
16 validated tool, which, of course, in itself becomes a  
17 cost in terms of manpower and cash.

18 A question raised was, will things become  
19 easier in the hopefully near future, when everyone  
20 moves to full electronic records? And since that day  
21 is most likely coming and coming soon, should we start  
22 to position ourselves now for when that day comes? It  
23 may not be as hard as we initially thought it was  
24 going to be.

25 One of our Subcommittee members identified

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1 a possible trend in radiopharmaceuticals of failure to  
2 carefully and systematically verify that the amount of  
3 radiation to be administered was indeed administered  
4 just prior to the administration.

5 A suggestion made was that the written  
6 directive include a checkbox to verify that the amount  
7 of radioactivity to be administered is indeed correct.

8 And then there were other simple ideas to  
9 reduce medical events such as checklists. And the  
10 question becomes, should such advice become  
11 regulation? That might be a bigger question we are  
12 prepared to answer here, but I think it provides an  
13 example of what kind of information can be gleaned  
14 from this type of exercise and how it could be helpful  
15 if it's provided to the end users and incorporated in  
16 some form or fashion to improve the overall safety of  
17 their program and reduce medical events.

18 So, getting on with some of the specifics,  
19 nuclear medicine byproduct events reported between  
20 October 1st, 2008 and September 30th, 2009, diagnostic  
21 nuclear medicine, two events were reported.

22 The 35.300 section, there were 5 events,  
23 which was down from 15 the year before and 7 in 2007.  
24 Four of them were I-131, no samarium 153, no yttrium  
25 90, strontium-90, and one iodine-125 monoclonal

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1 antibody.

2 Thirteen shipment reports were tabulated.  
3 In part 600, HDR brachytherapy, it was a total of 13.  
4 And this compares with 17 in '07 and 10 in '08. Seven  
5 of these were HDR brachytherapy, three wrong location,  
6 three wrong sites, and one low-dose.

7 It was commented that, in fact, all of  
8 these medical events were probably truly wrong  
9 location. And two of them involved cylinders, which  
10 underscores the fact that this procedure, while deemed  
11 simple, is, in fact, a challenging procedure that  
12 needs to be taken quite seriously and is subject to  
13 medical event if not.

14 Six gamma knife medical events were  
15 recorded versus one in the previous period. Two were  
16 involving the wrong side. Two were wrong location.  
17 One was secondary to mechanical failure, but the team  
18 decided to proceed anyway. One was a locator box  
19 slippage. And another was wrong collimator size.

20 Overall comments included the observation  
21 that these gamma knife medical events were largely due  
22 to lack of proper oversight. No teletherapy events or  
23 intravascular events were recorded.

24 As far as 400 -- actually, I guess this  
25 includes the 1,000 since we're talking about

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1 microspheres, yttrium 90 microspheres here, too.

2 Twenty-six events involving 27 patients.  
3 And this contrasts with 10 events involving 114  
4 patients in the prior year.

5 Nine of these were y-90 microspheres, 17  
6 permanent prostate brachytherapy, one event from 2005  
7 at DVA in L.A. reported in this period and involved  
8 two patients with seeds located outside the target.

9 Some of these recorded medical events were  
10 based on dose; the D90, for example, and the number of  
11 seeds outside of the prostate.

12 And an obvious question that comes up and  
13 has been discussed in greater depth yesterday is  
14 whether these medical events would still be so labeled  
15 if we had the more modern proposed definition  
16 involving activity or source strength, rather than  
17 relying on something like the D90. But we don't have  
18 the answer to that and couldn't get the answer to that  
19 from the NMED Database.

20 The majority of the y-90 microsphere  
21 medical events were underdosings, and they were caused  
22 by things like technical failures, such as the  
23 stopcock leakage, catheter occlusion due to a blood  
24 clot in one situation, leakage at the puncture site of  
25 the vial septum.

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1           And several were due to microspheres not  
2 getting into the patient because they adhered to the  
3 vial septum after inversion. And we heard about that  
4 earlier today.

5           One of them was attributed to the vial  
6 being inverted during transport. And it's possible  
7 that the microspheres become adherent to the septum of  
8 the vial, the rubber stopper at the top, and are  
9 difficult to disengage from that.

10           So, rather than invert the vial, as one  
11 might instinctively do, the manufacturer suggested  
12 shaking and tapping the vial, especially if it was  
13 previously inverted, to make sure that the  
14 microspheres are no longer adherent to the septum.

15           So the conclusions are that the  
16 Subcommittee again suggests improvements to the NMED  
17 searching to make it more efficient. And this has  
18 been brought up in the past.

19           But to achieve the real goals of drawing  
20 conclusions about trends and identifying truly  
21 high-risk procedures and ultimately providing feedback  
22 to the NRC and to the end users, dominators are really  
23 necessary. And without these denominators, the value  
24 of this exercise is questionable.

25           It is a fair amount of work. It is

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1 interesting work. But does it duplicate what Dr.  
2 Donna Beth Howe does in the form meeting? Is it  
3 really adding anything if we don't have these  
4 denominators and can't put things into perspective?  
5 That is a question that comes up.

6 In terms of but if we could get those  
7 denominators and improve the effectiveness and  
8 efficiency of the NMED Database, this in my opinion is  
9 still a very valuable exercise.

10 In context of some of the discussions we  
11 have had during this ACMUI meeting, we have talked  
12 about ways to improve safety. We have talked about  
13 safety culture. And if we could get feedback to the  
14 end users, it will indeed become a very valuable  
15 source of information for these end users to improve  
16 their own safety and the safety of their patients and  
17 reduce medical events.

18 So at this point I will just stop and give  
19 it back to Dr. Thomadsen.

20 ACTING CHAIR THOMADSEN: Thank you very  
21 much.

22 Comments from the Committee?

23 MEMBER ZANZONICO: Pat Zanzonico.

24 I agree that probably the optimum amount,  
25 the maximum amount of data could be divided if the

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1 denominator were available, needless to say, as you  
2 illustrated. I still think there is considerable  
3 value in the data as is.

4 For example, this issue with the  
5 underdosing of the microspheres due to sticking of the  
6 spheres to the septum, I personally had not heard of  
7 that phenomenon in the past. And just by cataloguing  
8 it, hopefully there is some mechanism that the NRC has  
9 for publicizing that kind of finding to the user  
10 community because unless you have encountered that  
11 issue at your particular site, you might be unaware of  
12 it. And it seems like a very simple measure that  
13 could avoid at least that and just by being aware of  
14 it and, in turn, promptly making the user community  
15 aware of it.

16 So I agree it is useful or would be better  
17 to have a denominator, but it sounds like just  
18 cataloguing it in terms of raw numbers if that  
19 information is publicized and not simply filed  
20 somewhere within the NRC, it makes it a very useful  
21 exercise.

22 ACTING CHAIR THOMADSEN: Ms. Gilley?

23 MEMBER GILLEY: I have to ask NRC, do you  
24 have a mechanism for doing outreach for reporting that  
25 information? Because NMED is not allowed. The

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1 licensees don't have that.

2 MR. LEWIS: Information notice or generic  
3 letter or things like that. We issue many per year  
4 based on NMED data, yes.

5 MEMBER GILLEY: In the event of an urgent  
6 situation, is there a mechanism for hot bring-down,  
7 for lack of a better term for it?

8 MR. LEWIS: If there is an urgent  
9 situation, we can issue a bulletin or an order if it's  
10 a safety-significant issue, which will require  
11 licensee action. We could issue those very quickly,  
12 yes.

13 MEMBER GILLEY: Is that passive, requiring  
14 the licensee to reach out and touch NRC or is that  
15 active, where you would distribute that information  
16 directly to the end user?

17 MR. LEWIS: We would in the bulletin  
18 specify what we're asking the licensee to do. So it's  
19 directly to the licensee. And, of course, the states  
20 would have to do something parallel.

21 Donna Beth had wanted to add a thought to  
22 that.

23 DR. HOWE: Yes. I just wanted to expand  
24 on that. We also through our -- if it happens to be a  
25 device issue and also if it's an FDA issue, we have

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1 the ability to go back to manufacturers. And in many  
2 cases, like for the microspheres sticking up in the  
3 septum, the manufacturer supposedly put out directions  
4 to its users that this was an issue. And we can  
5 follow up on those things. We can also pass over  
6 information to FDA for them to look at if we think  
7 there's a drug issue.

8 So we have a number of different avenues  
9 of looking at things.

10 MR. LEWIS: And one more that we didn't  
11 mention, which is very important, is our medical list  
12 server. We can send out information.

13 MEMBER GILLEY: Just a suggestion that we  
14 would look at trying to capture e-mail addresses to at  
15 least our high-level activities in order to be able to  
16 vastly disseminate information on equipment  
17 malfunction.

18 Having had an incident in Florida with a  
19 device and working with FDA, we found that they are  
20 required to notify FDA. They are required to notify  
21 the end user, but there is no urgency in that  
22 requirement.

23 And we didn't feel that that happened to  
24 be adequate at the time that this particular event  
25 went on, that we felt the need to have another

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1 mechanism to adequately distribute information in an  
2 active environment, instead of waiting for somebody to  
3 come to a website or look at an information notice.

4 MR. LEWIS: See, for safety-significant  
5 issues, the NRC has part 21, which applies to vendors.  
6 And it's not an issue for compatibility for states.  
7 So some states don't have a comparable modification  
8 regulation.

9 ACTING CHAIR THOMADSEN: Dr. Fisher?

10 MEMBER FISHER: Yes. I am looking at your  
11 last slide on final conclusion. As a member of this  
12 Committee, I think one of the most valuable  
13 experiences that II have had is the annual review of  
14 the NMED Database of experience. I think it tells us  
15 a lot of information about the success of procedures  
16 and the causes of some of the procedure failures.

17 The real impact of these difficulties in  
18 delivering radio isotope therapy to patients is that  
19 each of these events represents a patient not  
20 adequately treated for whatever disease the patient is  
21 being treated for. And those are real impacts that  
22 have life-saving implications.

23 As we look at these events, we see a  
24 number of events in common. They are human factors.  
25 They may have to do with a lack of skill by the

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1 persons performing the procedure. They may be due to  
2 the lack of experience in the person performing the  
3 experience [sic.] or setting up the apparatus. They  
4 may be due to lack of pre-procedural quality  
5 assurance.

6 Examples of these are wrong site gamma  
7 knife. It's like the problem of wrong site surgery,  
8 taking out the wrong kidney, which is really a  
9 terrible event.

10 Many of these are wrong location  
11 treatments or use of wrong collimators that could have  
12 been prevented by improved pre-procedural quality  
13 assurance.

14 With the limited experience I have  
15 supporting two local hospitals in brachytherapy  
16 quality assurance, I have observed that as the level  
17 of quality assurance goes up, the number of these  
18 events goes down. And I think -- so improvements if  
19 there are to be improvements would be in efforts to  
20 improve the skill of the people involved, making sure  
21 that mistakes are prevented in advance, by ensuring a  
22 greater degree of experience if that is possible, and  
23 improved quality assurance.

24 So my feeling is that this exercise is  
25 extremely important and the database is one of the

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1 most illuminating things that we see as we meet here.

2 ACTING CHAIR THOMADSEN: Dr. Suleiman?

3 MEMBER SULEIMAN: FDA does have a program  
4 called Med Watch. It's all encompassing. It involves  
5 medical devices. It involves drugs. It involves --  
6 first off, manufacturers are required to report to us  
7 if there is a problem, but patients not necessarily.  
8 But they can use this system to report.

9 The lack of denominator has always been  
10 troublesome to me because some of the biggest columns  
11 are picked up because of a difference in rate. And I  
12 think the inherent safety of a lot of these procedures  
13 will become even more evident if we had a better idea  
14 of how often they are used. Also, it would be an  
15 internal check.

16 This information is available. I mean,  
17 you may just go to the manufacturer. They may be  
18 willing to tell you how much of those products were  
19 sold during the course of a year.

20 I also find trends analysis important. I  
21 mean, basically this looks like to me it's down to  
22 background level. The one thing that I picked up  
23 across the different products -- and I'm really glad  
24 you picked up on it, Dr. Fisher, because we discussed  
25 it on the Subcommittee -- was the absence of a

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1 mandatory checklist or pre-operational quality  
2 assurance.

3 I think not only do you possibly have  
4 people that are not completely qualified. You may  
5 have very qualified people that are too busy that are  
6 not at the site. They're in a hurry and just don't  
7 take the necessary time.

8 I mean, we have seen this with airline  
9 pilots. We have seen this with anesthesiology. I  
10 understand it is somehow in a lot of other  
11 applications and a lot of industry, a checklist. It's  
12 so simple. It's so obvious that it's beneath people  
13 to actually require it. But how else could you verify  
14 that what you are about to do, everything is in place?

15 And I think some of the mistakes that we  
16 have seen could very well have been eliminated by  
17 requiring that. And you don't want to be overly  
18 prescriptive, but I think the whole concept of quality  
19 assurance wouldn't be of any value if the fact that  
20 you're testing certain things regularly catches  
21 problems ahead of time.

22 So in terms of your safety culture, I  
23 think if you were to do one thing that would sort of  
24 cover all things, it would be to require some sort of  
25 validation right before something was done. I mean,

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1 we are thinking about that at FDA right now in terms  
2 of some of the equipment. Before somebody pushes a  
3 button, has somebody made sure that everything is  
4 proper before we hit the button?

5 So I do find it useful, but I think we are  
6 missing some critical information. I think the  
7 denominator issue, we should make an effort to fill it  
8 up.

9 ACTING CHAIR THOMADSEN: Dr. Welsh?

10 MEMBER WELSH: So, then, I would say I do  
11 agree with Dr. Fisher, although my last sentence here  
12 says that this exercise may be of questionable value.  
13 After we completed our exercise and looked back and I  
14 looked back objectively at what we had learned from  
15 this particular specific exercise, I questioned my own  
16 slide, my final slide, because of exactly what Dr.  
17 Zanzonico pointed out.

18 I was not aware of the frequency with  
19 which microspheres adhere to the septum of the vial  
20 and how this occurs when people might be inverting the  
21 vial and shaking it or if it's upside down during  
22 transport. That was valuable information to me.

23 To find a suggested solution from the  
24 manufacturer I think is an example of how this  
25 information can be disseminated, gotten back to the

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1 provider, the manufacturer. And a solution is thereby  
2 generated to prevent this from happening more  
3 frequently next year. So hopefully we'll see fewer of  
4 these types of events in 2010-2011, thanks to this.

5 But I do agree with Dr. Suleiman that the  
6 denominator is an important component of this  
7 particular goal. And if you don't have true incidence  
8 rates, you are missing something.

9 If we were to compile this data and try to  
10 get it back to the end users, say, radiation oncology  
11 and publish something in the International Journal of  
12 Radiation Oncology Biology and Physics, it would be  
13 promptly rejected because without denominators, the  
14 peer reviewers would say, "This is not truly  
15 scientific. This is not perhaps as valuable as it  
16 should be for publication in a scientific journal."

17 But if we did have the denominators and we  
18 had true incidence rates and we could publish genuine  
19 trends that could be published in a peer review  
20 journal, it could become very valuable and widely  
21 disseminated to the end users.

22 So I would like to raise the question  
23 about whether or not we think that the denominator is  
24 -- as a group, do we think that the denominator is as  
25 important as I and maybe a couple of the Subcommittee

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1 members think? And if the answer is yes, how can we  
2 get it?

3 ACTING CHAIR THOMADSEN: Dr. Mattmuller?  
4 And then a member of the public.

5 MEMBER MATTMULLER: I have to admit I have  
6 always been a proponent of giving the denominator  
7 because I have thought --

8 ACTING CHAIR THOMADSEN: Can you speak  
9 more into the microphone?

10 MEMBER MATTMULLER: I'm sorry. I have to  
11 admit I have always been a proponent of giving the  
12 denominator, but through our discussions in previous  
13 meetings, I got to thinking that, well, if we did have  
14 an actual denominator, would we then argue over what  
15 action rate or action level are we going to start  
16 looking at incidents?

17 You know, if 7 gamma knife incidents  
18 represents only .001 percent incident rate, are we  
19 then going to say, "Well, that is so low we don't have  
20 to worry about it" or the same for the microsphere  
21 incident. I would suggest we would still look at  
22 them, regardless of what the incident rate is.

23 So in a way, I guess I am reversing my  
24 previous thoughts that it would be nice, but I am not  
25 sure it would change what we still actually do and

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1 consider during this discussion, which I agree is  
2 valuable.

3 ACTING CHAIR THOMADSEN: Thank you.

4 Please identify yourself.

5 MS. BUKOVCAN: I'm Janet Bukovcan. And  
6 I'm with MDS Nordion, manufacturer of TheraSpheres. I  
7 just thought that it would be relevant for me to talk  
8 to you about what we do when we hear about incidents  
9 like spheres getting trapped onto the rubber septum of  
10 our TheraSphere dose files.

11 So every time that we get a complaint, it  
12 gets logged and we do a thorough investigation. We  
13 notify FDA if necessary. And we trend the complaints  
14 that come in; so, for instance, all of the complaints  
15 that we had, spheres potentially getting trapped onto  
16 the septum.

17 So we trended those. And then after we  
18 had several of them, we decided that we needed to  
19 improve our instructions for use. So we did an update  
20 to our package insert, notified the FDA. And then  
21 once that got approved through the FDA, we actually  
22 sent out a bulletin to all of our users to notify them  
23 of the changes to the package insert.

24 At that time, we also made some other  
25 improvements to our package insert that we notified

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1 our customers of. And one was that we actually  
2 converted the format of our instructions for use into  
3 a checklist.

4 So I know that you were talking about a  
5 checklist earlier. And so we did put our instructions  
6 for use into a checklist format to make it easier for  
7 the users to follow.

8 ACTING CHAIR THOMADSEN: Thank you.

9 Yes, Dr. Van Decker?

10 MEMBER VAN DECKER: Just a couple of  
11 general comments, I guess, from an overall performance  
12 improvement basis. denominators are great in life  
13 because they give us exact scientific data. And I  
14 would agree with you there, Jim.

15 I would also say in this perspective,  
16 denominators may not be as helpful to us as we would  
17 like to believe. I mean, these numbers tend to be  
18 very small compared to the amount of activities that  
19 are going out in there procedure-wise.

20 If all of us took a pencil and paper and  
21 put guesses as to what realm of order we thought these  
22 procedures were being done in, we would probably be  
23 relatively close for what's millions, what's hundreds  
24 of thousands, and what's a few thousands.

25 I think that the real goal here is that

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1 those people where an event occurs, probably the most  
2 worrisome one is the one where it is a sporadic event  
3 in a less used item because then communication and  
4 education become how do you get out into that  
5 community?

6 And those are the ones where you can  
7 probably make the biggest difference of all, rather  
8 than one where there's a lot of people doing it and  
9 there's a lot of communication going on.

10 And the last comment I would obviously  
11 make as far as your last question goes is if there is  
12 are porting database around, someone is going to look  
13 at it and make comments about it. Clinicians involved  
14 obviously should be in the first and foremost of  
15 looking at this stuff and trying to control where the  
16 data is coming from and where we think things are  
17 going. So just a few thoughts.

18 ACTING CHAIR THOMADSEN: Dr. Welsh?

19 MEMBER WELSH: I would reply by saying I  
20 agree, that maybe the biggest impact is getting  
21 information on those lesser used procedures. But what  
22 are they? That's where the denominator is essential.

23 I can guess about how many Y-90  
24 microsphere procedures are done, similarly for  
25 prostate implant brachytherapy. But without really

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1 knowing, knowing that there were nine Y-90 microsphere  
2 events last year doesn't tell me whether or not this  
3 is a higher rate than I would have thought.

4 Eight in the whole year, well, it's eight  
5 too many. But is it 8 out of a million, 8 out of 100?  
6 I'd like to specifically and scientifically.

7 MEMBER VAN DECKER: You don't think it's a  
8 million, right?

9 MEMBER WELSH: I don't, but I am not going  
10 to share my exact guess.

11 (Laughter.)

12 MEMBER VAN DECKER: Okay.

13 ACTING CHAIR THOMADSEN: Other comments  
14 from the Committee?

15 MEMBER WELSH: Well, I would like to  
16 propose that if some of us do agree that the  
17 denominator is valuable, unless there are objections,  
18 I would like to raise the question about whether or  
19 not \$500-\$550 would make this exercise even more  
20 valuable than it currently is.

21 ACTING CHAIR THOMADSEN: Would anybody  
22 from the NRC care to comment on that?

23 MR. LEWIS: I would be open to looking  
24 into that issue. I mean, the denominator of the event  
25 equation is something that comes up a lot at NRC. And

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1 we have to ask, if we really need the information,  
2 first of all, why wouldn't we require it?

3 Second of all, if it's available through  
4 some other means that we can, that is very efficient.  
5 It is something that we would want to do.

6 We don't want to have an unnecessary  
7 regulatory burden to collect the denominator if it's  
8 truly unnecessary for the trending analysis. So there  
9 are a lot of issues in there to explore.

10 In terms of this particular database that  
11 may be \$550, I don't know much about it. Literally  
12 the first I heard about it was sitting here. So I  
13 think that I'm willing to look at what it is and what  
14 it could do.

15 To be honest, I have my doubts for that  
16 cost that we're going to get actual statistics on all  
17 of the diagnostic and therapeutic procedures that are  
18 performed around the country, but if it's a data point  
19 and it's \$500, it's not too much in the grand scheme  
20 of things. So I'm willing to look at it. I don't  
21 think we need a Committee motion or anything to do  
22 that, but if I can get that --

23 ACTING CHAIR THOMADSEN: That cost would  
24 be for therapeutic. It would not be diagnostic.  
25 Those would be separate. That would be a separate

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1 report.

2 MR. LEWIS: Okay.

3 ACTING CHAIR THOMADSEN: I would just call  
4 attention to the cost. If you were to gather that  
5 data yourself and pay for somebody's time, it would  
6 probably very quickly exceed \$550.

7 MR. LEWIS: Yes.

8 ACTING CHAIR THOMADSEN: Ms. Gilley?

9 MEMBER GILLEY: I would encourage not to  
10 go that route with the agreement states with  
11 unnecessary regulatory burden to assist with trying to  
12 collect the denominator in this case. Sorry.

13 ACTING CHAIR THOMADSEN: Dr. Suleiman?

14 MEMBER SULEIMAN: I know we have a lot of  
15 that information, but a lot of it is proprietary. I  
16 remember an exercise once a bunch of years ago where I  
17 was about to show some information and somebody says,  
18 "That's proprietary."

19 I said, "I got it off their public  
20 website."

21 So a lot of this information may very well  
22 be simply there for the asking. We're not dealing  
23 with hundreds of companies. I mean, you could  
24 probably just make an effort with somebody who knows  
25 what they are doing, even the contractor who pulls the

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1 NMED annual report together.

2 Some of the information may be just there  
3 for the asking. And other information may not be so  
4 readily available. And then you could decide if it's  
5 worth pursuing.

6 ACTING CHAIR THOMADSEN: Last year I will  
7 point out that I contacted a number of companies,  
8 including those making microspheres and brachytherapy  
9 sources, and found that while I did get some numbers  
10 eventually from some people, most companies were not  
11 happy about giving them out. And my success rate was  
12 quite low.

13 MEMBER SULEIMAN: Yes.

14 ACTING CHAIR THOMADSEN: I'm sorry. Ms.  
15 Gilley?

16 MEMBER GILLEY: I would also caution the  
17 reliability of manufacturer data on both medical  
18 events or issues and their number of their procedures  
19 they have done. I just don't know that that is a  
20 valid place to get data that you want to base any  
21 scientific experience with.

22 ACTING CHAIR THOMADSEN: Dr. Welsh?

23 MEMBER WELSH: The follow-up question is,  
24 Dr. Thomadsen, a couple of years ago, you did the  
25 similar exercise when you were the Chair of the

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1 Subcommittee. The data that you presented that year I  
2 thought was very illuminating because it did include  
3 denominators.

4 We're talking about this subject now. And  
5 I guess the question is, how accurate and how reliable  
6 are those figures that you obtained in the past? And  
7 how accurate will they be if we cough up the \$550?  
8 The data is going to be useless.

9 ACTING CHAIR THOMADSEN: The data we used  
10 was from the IMV surveys, which have an incredibly  
11 high return rate. And it was -- we had those surveys  
12 because it was for the writing of an NCRP report.

13 Where we also correlated the numbers with  
14 information from Medicare; from the VA system, a very  
15 large national employer; and several other smaller  
16 databases that I can't quite recall. And the  
17 correlation was done through the American College of  
18 Radiology's statistics group and found that there was  
19 a surprising consistency between projections from the  
20 different databases that we used.

21 However, only the one, the IMV, covered  
22 all patients. And the others, such as Medicare and  
23 the VA, only covered a portion of the patients, which  
24 had to be accounted for in their comparisons.

25 I don't know if that answered the

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1 question.

2 MEMBER WELSH: So it is pretty reliable  
3 data?

4 ACTING CHAIR THOMADSEN: It seemed to be,  
5 yes.

6 Any other comments from the Committee?

7 (No response.)

8 ACTING CHAIR THOMADSEN: It sounds like we  
9 don't need to make any motions unless, Dr. Welsh, you  
10 suggest that a motion of some sort is necessary about  
11 something.

12 MR. LEWIS: We will take an action item.  
13 I mean, if you want a motion, it's up to the --

14 ACTING CHAIR THOMADSEN: It is not  
15 necessarily about obtaining the database since you  
16 have already told us you will be looking into that if  
17 there is something else that you would like to move.

18 MEMBER WELSH: So perhaps I would like to  
19 make a motion that NRC staff consider looking at means  
20 of obtaining a denominator to improve the overall  
21 value of our annual exercise.

22 ACTING CHAIR THOMADSEN: Okay. Although I  
23 think that they said that they were going to do that  
24 --

25 MEMBER WELSH: Maybe it's not necessary.

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1                   ACTING CHAIR THOMADSEN:    -- without a  
2 motion.

3                   MS. COCKERHAM:    Dr. Thomadsen?

4                   ACTING CHAIR THOMADSEN:    Yes?

5                   MS. COCKERHAM:    Do you want me to read  
6 what I wrote just as NRC staff action -- and, Robbie,  
7 you can correct me or Dr. Welsh -- that the NRC staff  
8 should consider the necessity and evaluate options to  
9 collect or obtain data for the denominator for medical  
10 events to improve the overall value -- I was writing  
11 what you were finishing -- of the Subcommittee's  
12 report.

13                   Does that capture accurately what we would  
14 like to do?

15                   MEMBER WELSH:    Second it.

16                   (Laughter.)

17                   ACTING CHAIR THOMADSEN:    So we can pass  
18 this.    And then you can put it on your list and  
19 hopefully just write "Accepted and completed" soon.

20                   Do we have a second for that?

21                   MS. COCKERHAM:    It is an action.    So I  
22 don't need a motion.

23                   ACTING CHAIR THOMADSEN:    No motion, no  
24 action.    Fine.    Oh, it's an action.    No second.

25                   MS. COCKERHAM:    Yes.

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1                   ACTING CHAIR THOMADSEN: Fine. Thank you  
2 very much, Dr. Welsh.

3                   Dr. Langhorst?

4                   MEMBER LANGHORST: Sue Langhorst.

5                   I would like to say a few words about Dr.  
6 Fisher, if I may. Dr. Darrell Fisher is an  
7 exceptional radiobiologist and dosimetry expert. He  
8 has served the role of patient advocate in other  
9 organizations. And his volunteer work in support of  
10 cancer patients is laudable.

11                  Dr. Fisher's active involvement in ACMUI  
12 deliberations is invaluable in discussing sometimes  
13 highly technical issues and helping us all focus on  
14 patient impacts.

15                  So I would like to make a motion that  
16 ACMUI fully supports Dr. Darrell Fisher as the patient  
17 rights advocate and that we express our appreciation  
18 and honor to serve with him.

19                  MEMBER MATTMULLER: Second the motion.

20                  ACTING CHAIR THOMADSEN: We have a motion.  
21 We have a second. Discussion?

22                  MEMBER MATTMULLER: No need for  
23 discussion.

24                  ACTING CHAIR THOMADSEN: I don't think so  
25 either.

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1 MEMBER FISHER: I should probably abstain  
2 from voting.

3 (Laughter.)

4 ACTING CHAIR THOMADSEN: Let's take a  
5 vote. All in favor, say "Aye"?

6 (Whereupon, there was a chorus of "Ayes.")

7 ACTING CHAIR THOMADSEN: All opposed,  
8 "Nay"?

9 (No response.)

10 ACTING CHAIR THOMADSEN: Hearing none --  
11 and I cannot vote, but I would personally support the  
12 -- as Chair, I'm not supposed to vote on that. And we  
13 have the one abstention by Dr. Fisher.

14 Dr. Fisher?

15 MEMBER FISHER: I didn't expect this, Sue.  
16 I'm sorry. I really appreciate the words and the  
17 motion by the Committee.

18 I have reflected over the last three years  
19 over these events and wondered what should be my  
20 appropriate response when I knew that I was being  
21 accused of something that was sort of far out and  
22 untrue.

23 And so I chose to let the NRC handle it in  
24 the most appropriate way. And I think that they have.  
25 You can never please all people all the time and

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1 especially in the case of intervenors. You just have  
2 to expect that these things come up as part of doing  
3 business.

4 I admire the work of the Committee, and I  
5 have always been committed to helping ensure that the  
6 work that we do is helpful to the Nuclear Regulatory  
7 Commission in its work.

8 I am a watchdog for patient rights. And I  
9 monitor each and every statement made by not only  
10 members of the Committee but the staff and the members  
11 of the public to make sure that the rights of those  
12 persons not present at these meetings is always taken  
13 into account because they are the victims of cancer  
14 who need these treatments the most.

15 And so I really do sincerely appreciate  
16 the motion that was just passed. Thank you.

17 ACTING CHAIR THOMADSEN: And thank you.

18 Ms. Cockerham, it is time for you.

19 MEMBER MATTMULLER: Excuse me?

20 ACTING CHAIR THOMADSEN: Oh, I'm sorry.  
21 Dr. Mattmuller?

22 MEMBER MATTMULLER: Yes. In consideration  
23 of yesterday's presentation by Ms. Mary Jane Ross Lee  
24 in the NRC's efforts for new domestic producers of  
25 molybdenum 99 and her efforts and the NRC's efforts

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1 with the interagency group, I would like to make the  
2 following motion, "ACMUI strongly recommends the NRC  
3 provide maximum staff and support to facilitate the  
4 licensing process for new domestic producers of the  
5 medical isotope molybdenum 99."

6 MEMBER GILLEY: Second.

7 ACTING CHAIR THOMADSEN: We have a motion.  
8 We have a second. Do we have discussion? Mr. Lewis?

9 MR. LEWIS: Well, I question the use of  
10 the word "maximum." I mean, we can't -- it's like --

11 PARTICIPANT: What about "optimize," you  
12 want to "optimize"?

13 MEMBER MATTMULLER: "Optimal." I'll be  
14 happy to use "optimal."

15 ACTING CHAIR THOMADSEN: That means  
16 nothing.

17 (Laughter.)

18 MEMBER GILLEY: I think maybe the intent  
19 is to prioritize when those applications come through,  
20 --

21 MEMBER MATTMULLER: Yes.

22 MEMBER GILLEY: -- to give them the  
23 essential manpowers, resources that are needed in  
24 order not to delay the possibility of domestic  
25 reduction.

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1 MEMBER MATTMULLER: Yes. And maybe more  
2 importantly, before they get here, that NRC staff  
3 spends enough time to guide them through the process  
4 and to make them aware of their weaknesses in their  
5 potential application before it gets here so when it  
6 does get here, it is a very strong application that  
7 you can legitimately process very quickly and  
8 efficiently.

9 ACTING CHAIR THOMADSEN: Other discussion?  
10 No?

11 (No response.)

12 ACTING CHAIR THOMADSEN: So the actual  
13 wording that you have moved now reads what?

14 MS. COCKERHAM: Would you like me to read  
15 it?

16 MEMBER MATTMULLER: Yes, please.

17 ACTING CHAIR THOMADSEN: Has it been  
18 altered with --

19 MEMBER GILLEY: Maximum.

20 ACTING CHAIR THOMADSEN: Okay. And it  
21 reads?

22 MS. COCKERHAM: "NRC staff should provide  
23 optimal staff and support to facilitate the licensing  
24 process for new domestic producers of the medical  
25 isotope molybdenum 99."

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1 ACTING CHAIR THOMADSEN: Okay. Dr.  
2 Suleiman?

3 MEMBER SULEIMAN: I would like to clarify  
4 that this is not to stimulate them because I think the  
5 NRC has been doing a good job anyway. So it shouldn't  
6 be interpreted as --

7 MEMBER MATTMULLER: Right.

8 MEMBER SULEIMAN: -- they're asleep at the  
9 wheel and we're trying to prod them.

10 ACTING CHAIR THOMADSEN: Good point.

11 MEMBER SULEIMAN: Just a clarification --

12 ACTING CHAIR THOMADSEN: Thank you.

13 MEMBER SULEIMAN: -- so somebody doesn't  
14 read this later on and say, "Oh," you know.

15 ACTING CHAIR THOMADSEN: Yes. Good. With  
16 no other hands showing, all in favor, say "Aye"?

17 (Whereupon, there was a chorus of "Ayes.")

18 ACTING CHAIR THOMADSEN: Opposed?

19 (No response.)

20 ACTING CHAIR THOMADSEN: Passes  
21 unanimously.

22 Any other issues before we have Ms. Ms.  
23 Cockerham's closing?

24 (No response.)

25 ACTING CHAIR THOMADSEN: No.

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## 1 15. ADMINISTRATIVE CLOSING

2 MS. COCKERHAM: Okay. While I am getting  
3 started, Gretchen, can you go to the G drive and pull  
4 up the recommendations folder? There's one file, and  
5 it will be a recommendations that they just made  
6 during this meeting. Okay.

7 So while Gretchen is getting that, I was  
8 going to discuss the next meeting dates with you,  
9 which I know everyone has already received a flurry of  
10 e-mails about last week.

11 So I think right now the input that we  
12 have from the Commission is that they are tentatively  
13 looking at a briefing date on October 20th, which is a  
14 Wednesday. And so what we would try to do is  
15 coordinate our regular meeting with that October 20th  
16 briefing if that does happen. If that is the case,  
17 October 20th and 21st I believe would work with the  
18 entire Committee with a few modifications and movings  
19 of meetings. Does that still stand?

20 ACTING CHAIR THOMADSEN: Yes.

21 MS. COCKERHAM: Yes?

22 ACTING CHAIR THOMADSEN: Yes, yes. There  
23 is another meeting in Washington that two of us are  
24 involved in, but I am actually working with the people  
25 doing the schedule. And it's Monday, Tuesday, and

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1 Wednesday. And we can make sure that it's --

2 MS. COCKERHAM: You can support  
3 Wednesday-Thursday?

4 ACTING CHAIR THOMADSEN: Right.

5 MS. COCKERHAM: Are there any other  
6 conflicts or anything that weren't put into the e-mail  
7 space?

8 (No response.)

9 MS. COCKERHAM: Okay. So I'm going to put  
10 in October 20th and 21st as our first preference. I  
11 think for this meeting I'm not going to pick any  
12 backup dates because we're really just going to wait  
13 to hear back from the Commission on confirming this.  
14 And that may take some time. So I'll get back to you  
15 via e-mail if these dates change as soon as I know  
16 something.

17 Okay. It looks like Gretchen has the  
18 recommendations up. So I just want to verify that  
19 these are worded correctly. The first one was where  
20 you created a subcommittee to evaluate patient release  
21 issues, to objectively review and analyze available  
22 data, which may include regulations and guidance and  
23 international recommendations, to provide a statement  
24 on the issue, to provide recommendations for  
25 improvements to existing NRC rules and guidance. If

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1 necessary, we should include the issue of patient  
2 release to hotels.

3 So this is a Patient Release Subcommittee,  
4 which includes the named individuals.

5 ACTING CHAIR THOMADSEN: We didn't put  
6 into that but sort of inherent should be the  
7 subcommittee should report at the next meeting, I  
8 would think.

9 MEMBER LANGHORST: That is what I thought  
10 would be the best.

11 ACTING CHAIR THOMADSEN: Okay.

12 MS. COCKERHAM: Okay. I will make that  
13 revision.

14 And then for the second one, this is the  
15 Permanent Implant Brachytherapy Subcommittee. We will  
16 revise the draft subcommittee report, resubmit it to  
17 the full ACMUI for a formal vote. And then the ACMUI  
18 will submit that final report to the NRC.

19 MEMBER SULEIMAN: I thought it was an  
20 e-mail vote.

21 ACTING CHAIR THOMADSEN: Yes. I think  
22 that was the case.

23 MS. COCKERHAM: You're going to clarify an  
24 e-mail vote?

25 MEMBER SULEIMAN: Because it's near-term.

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1 MS. COCKERHAM: Okay. Number 3, this is  
2 an action item. NRC staff should provide inspection  
3 reports that describe safety culture problems as  
4 contributing factors to violations. I believe Mark  
5 Ferdus from Region I might have answered this question  
6 and given the Committee specific examples that they  
7 asked for, but is there still an open action for this?

8 ACTING CHAIR THOMADSEN: Yes. I don't  
9 believe that the information given actually addresses  
10 what was required here. And I don't know that we need  
11 the complete inspection reports. I'll leave that up  
12 to Mr. Lewis and how he would like to provide the  
13 information.

14 MR. LEWIS: Just say, "and provide  
15 information."

16 ACTING CHAIR THOMADSEN: Yes.

17 MS. COCKERHAM: Okay. So remove  
18 "inspection reports" and add "information." Okay.

19 Number 4, "NRC staff should revise the  
20 Y-90 microsphere brachytherapy guidance to delete 'but  
21 before the patient or human research subject leaves  
22 the post-procedural recovery area'" under item 2 of  
23 the written directive section. I think that's right.

24 Okay. Next one, "NRC staff should revise  
25 yttrium 90 microsphere brachytherapy guidance to read"

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1 -- and this is under number 1 for written directives  
2 -- "And if the procedure was not performed in  
3 accordance with the pre-administration written  
4 directive, then, two, after administration and within  
5 48 hours, the signature of an AU."

6 ACTING CHAIR THOMADSEN: Is number 5  
7 superseding number 4? Is that --

8 MS. COCKERHAM: No. They would both  
9 stand. One would be deleting. And then the second  
10 one is adding a piece, saying --

11 ACTING CHAIR THOMADSEN: I see. Okay.

12 MS. COCKERHAM: I separated them as two  
13 separate ones, separate questions.

14 MEMBER ZANZONICO: I have a question  
15 having to do with the actual wording of item 5. It  
16 should say, "And within 48 hours of the procedure."  
17 Then there's no "Oh, yeah," benchmark event for the  
18 48-hour time frame.

19 MS. COCKERHAM: I'll add that.

20 ACTING CHAIR THOMADSEN: "Accordance is  
21 spelled wrong."

22 MS. COCKERHAM: Yes. Excel doesn't do  
23 word checks. This is me frantically typing while you  
24 guys are talking and trying to listen at the same  
25 time. Yes. So I'll fix it up. These will all be

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1 fixed before they go in a Word document memo to you.

2 So that was number 5, correct? Yes. So  
3 number 6, "the NRC staff should consider the necessity  
4 and evaluate options to collect or obtain data for the  
5 denominator for medical events to improve the overall  
6 value of the Medical Event Subcommittee report."  
7 We're taking that as an action item.

8 MEMBER LANGHORST: Sue Langhorst.

9 Did we want them to collect the data or  
10 just obtain data? I don't think we had any intent of  
11 having you collect data.

12 PARTICIPANT: No.

13 MR. LEWIS: It says, "evaluate options."

14 MS. COCKERHAM: Okay. I worded it very  
15 vaguely.

16 MEMBER FISHER: Yes. I think it means  
17 that we're trying to better understand the relative  
18 frequencies of events.

19 MEMBER LANGHORST: Yes, but I think the  
20 point is we weren't asking them to go out and start  
21 soliciting.

22 MEMBER FISHER: Collect raw data, right.

23 MEMBER LANGHORST: To collect rats.

24 MS. COCKERHAM: I see Debbie shaking her  
25 head frantically.

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1 MEMBER FISHER: I am not sure how we do,  
2 but I think the motion is a good one. There might be  
3 things we can do. I'm not sure what it is.

4 MEMBER LANGHORST: Okay.

5 ACTING CHAIR THOMADSEN: Would that be  
6 included under just saying options to obtain the data  
7 and just deleting the two options to collect?

8 MR. LEWIS: Read options to collect the  
9 data would pay \$500 and collect it from --

10 ACTING CHAIR THOMADSEN: Oh, I see. Yes.  
11 That's fine. That's fine.

12 MEMBER LANGHORST: The point is we weren't  
13 asking you to put rulemaking in to "Now as licensees,  
14 you have to report this number."

15 ACTING CHAIR THOMADSEN: Okay.

16 MS. COCKERHAM: Okay.

17 ACTING CHAIR THOMADSEN: I think we're  
18 fine.

19 MS. COCKERHAM: We understand the intent.

20 MEMBER GILLEY: The states will revolt if  
21 you --

22 (Laughter.)

23 MS. COCKERHAM: All right. So number 7,  
24 "The ACMUI fully supports Dr. Darrell Fisher as the  
25 patient rights advocate. The Committee expressed

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1 their appreciation and honor to serve with him."

2 And, number 8, "NRC staff should provide  
3 optimal staff and support to facilitate the licensing  
4 process for new domestic producers of the medical  
5 isotope molybdenum 99."

6 Okay? And I think I have one --

7 ACTING CHAIR THOMADSEN: With the  
8 understanding that we think they have been doing a  
9 bang-up job up to this point.

10 MS. COCKERHAM: Thus far. And I think  
11 have only one question. It's not on the screen, but,  
12 Gretchen, do you see a question mark where it said,  
13 "Who made the first? Who made the second?" and there  
14 is a question mark by a motion Dr. Zanzonico made?

15 MR. LEWIS: It's all the way to the right.

16 MS. COCKERHAM: Yes, far right. And then  
17 what number is that? Number 5. Do you know who that  
18 is?

19 PARTICIPANT: I think we agreed it was me.

20 MS. COCKERHAM: You made the motion, but  
21 who seconded that?

22 PARTICIPANT: Dr. Welsh made the motion.

23 MEMBER ZANZONICO: Welsh made the motion.  
24 I made the second.

25 MS. COCKERHAM: And you seconded. Okay.

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1 So that was for number 5, Welsh motion and then  
2 Zanzonico second. Sometimes these don't come up.  
3 Sometimes it doesn't matter, but sometimes I get  
4 questions like "Well, who made that motion?" Then we  
5 go read the transcript. So I keep track of them here.

6 All right. So that covers it. The next  
7 meeting is tentatively set for the 20th and 21st.  
8 These are all the recommendations from the meeting.  
9 Please take off your name tags, put them on the table.

10 And Shayla will e-mail you regarding your  
11 time and travel. She will give you the example forms.  
12 And you will submit it like we always do. And then  
13 time will be due next week since we just had our pay  
14 period end last week.

15 ACTING CHAIR THOMADSEN: As long as you  
16 are up there, can we see who needs to go to the  
17 airport when?

18 PARTICIPANT: Oh, sure.

19 (Whereupon, the foregoing matter was  
20 concluded at 12:05 p.m.)  
21  
22  
23

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