

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. **Date of Submission:** 2010-03-11 13:50:32
2. **Agency:** 429
3. **Bureau:** 00
4. **Name of this Investment:** License Fee Billing System (Fees System)
5. **Unique Project (Investment) Identifier:** 429-00-01-01-01-2025-00
6. **What kind of investment will this be in FY 2011?:** Operations and Maintenance
 - Planning
 - Full Acquisition
 - Operations and Maintenance
 - Mixed Life Cycle
 - Multi-Agency Collaboration
7. **What was the first budget year this investment was submitted to OMB? ***
8. **Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

The NRC is mandated by Federal regulation to recover 90% of its annual budget. In order to achieve this requirement, fees are assessed in accordance with the License Fee Management Program for annual licensing activities and various services which include new licensing approvals, licensing amendments, topical reports and reactor inspections. The Fees System provides an automated method of implementing this major Agency Program, which includes reporting, invoicing, and posting transactions to the accounts receivable module of the Agency's core accounting system. Management reports that include labor activity, contract costs, and billing details, as well as numerous types of invoices are produced by this system which is used by Headquarters Program and Support Offices, as well as the four regional offices. External customers, over 50 categories of licensees that receive a fee-billable service from the Agency, include applicants for facilities, materials, import and export licenses, holders of certificates of compliance, registration, quality assurance program approvals and government agencies licensed by the NRC. Expenditures associated with maintaining the existing Fees System are categorized as a "Legacy System Application", Steady State, and it is in the operation phase of the NRC's Capital Planning and Investment Control (CPIC) process. There is a continuing need for automation of the fee billing effort in order that the Agency can continue recovering costs of providing services as required under OBRA-90, as amended, and IOAA. The OCFO is currently modernizing its financial systems and the legacy Fees System will be replaced as an integrated part of the replacement Core Financial System (CFS), currently scheduled for completion by October 1, 2010.

 - a. **Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**
9. **Did the Agency's Executive/Investment Committee approve this request? ***
 - a. **If "yes," what was the date of this approval? ***
10. **Contact information of Program/Project Manager?**
 - **Name:** *

- Phone Number: *
- Email: *

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? *

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President’s Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. **Table 1: Contracts/Task Orders Table**

Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
NRC-DR3 307358-T 005	T&M: Time & Materials	Y	2007-09-26	2007-09-26	2010-09-25	\$1.7	*	*	*	*	*
AAIRM00 15	T&M: Time & Materials	Y	2007-10-01	2007-10-01	2010-09-30	\$1.7	*	*	*	*	*
DR09061 31T07	FFP: Firm Fixed Price	Y	2007-07-26	2007-11-01	2009-01-02	\$0.1	*	*	*	*	*
DR09061 31NRC-T 011	FFP: Firm Fixed Price	Y	2008-09-18	2008-09-22	2009-06-30	\$0.1	*	*	*	*	*
DR09061 31NRC-T 012	FFP: Firm Fixed Price	Y	2008-11-12	2008-11-13	2009-09-30	\$0.1	*	*	*	*	*
DR33063 17	T&M: Time & Materials	Y	2006-07-28	2008-10-01	2009-09-30	\$0.0	*	*	*	*	*
DR09093 18NRC-T 002	T&M: Time & Materials	Y	2009-07-20	2009-07-20	2010-09-28	\$0.2	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a. If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2006	Organizational Excellence	*	*	as required by congressional mandate, fee billing supports recovery of 90% of the budget. this helps to further ensure budget accuracy and enables cost recovery for other nrc investments.	agency recovered 100% of the budget authority.	support recovery of 90% of budget.	agency recovered 100% of the budget authority.
2006	Organizational Excellence	*	*	availability of the fees system supports the quarterly 30-day billing schedule. adhering to the 30-day billing cycle helps nrc to receive funds in a more timely and accurate manner.	systems are available 90% of the time.	systems are available 90% of the time.	the systems required to carry out the fee collection and reporting processes were available 90% of the time.
2006	Organizational Excellence	*	*	o&m costs are managed within the annual budgeted funds which indicates cost estimates are accurate and eliminates continual reprogramming of funds from other nrc investments.	manage maintenance costs within +/-15% while new system is under development	manage maintenance costs within +/-13% while new system is under development	manage maintenance costs within +/-10%
2006	Organizational Excellence	*	*	as required by the dept. of treasury, invoices are processed no later than 40 days after the end of each quarter. this enables more accurate invoices which, reducing the number of billing errors and therefore minimizing personnel effort and costs.	invoices are processed within 45 days at the end of each quarter.	invoices are processed within 40 days at the end of each quarter.	part 170 and 171 bills were all issued as scheduled.
2007	Organizational Excellence	*	*	as required by congressional mandate, fee billing supports	agency recovered 100% of the budget authority.	support recovery of 90% of budget.	agency recovered 100% of the budget authority.

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				recovery of 90% of the budget. this helps to further ensure budget accuracy and enables cost recovery for other nrc investments.			
2007	Organizational Excellence	*	*	availability of the fees system supports the quarterly 30-day billing schedule. adhering to the 30-day billing cycle helps nrc to receive funds in a more timely and accurate manner.	systems are available 90% of the time.	systems are available 92% of the time.	the systems required to carry out the fee collection and reporting processes were available 92% of the time.
2007	Organizational Excellence	*	*	o&m costs are managed within the annual budgeted funds which indicates cost estimates are accurate and eliminates continual reprogramming of funds from other nrc investments.	o&m costs are managed within +/- 15% of budgeted funding.	o&m costs are managed within +/- 13% of budgeted funding.	manage maintenance costs within +/-10%
2007	Organizational Excellence	*	*	as required by the dept. of treasury, invoices are processed no later than 40 days after the end of each quarter. this enables more accurate invoices which, reducing the number of billing errors and therefore minimizing personnel effort and costs.	invoices are processed within 45 days at the end of each quarter.	invoices are processed within 40 days at the end of each quarter.	part 170 and 171 bills were all issued as scheduled.
2008	Organizational Excellence	*	*	as required by congressional mandate, fee billing supports recovery of 90% of the budget. this helps to further ensure budget accuracy and enables cost recovery for	support recovery of 90% of budget.	prepare 100% of licensee invoice information using the existing fees collection systems.	agency recovered 100% of the budget authority

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				other nrc investments.			
2008	Organizational Excellence	*	*	availability of the fees system supports the quarterly 30-day billing schedule. adhering to the 30-day billing cycle helps nrc to receive funds in a more timely and accurate manner.	systems are available 92% of the time.	systems are available 94% of the time.	the systems required to carry out the fee collection and reporting processes were available 94% of the time
2008	Organizational Excellence	*	*	o&m costs are managed within the annual budgeted funds which indicates cost estimates are accurate and eliminates continual reprogramming of funds from other nrc investments.	o&m costs are managed within +/- 13% of budgeted funding.	o&m costs are managed within +/- 11% of budgeted funding.	manage maintenance costs within +/- 10%
2008	Organizational Excellence	*	*	as required by the dept. of treasury, invoices are processed no later than 40 days after the end of each quarter. this enables more accurate invoices which, reducing the number of billing errors and therefore minimizing personnel effort and costs.	invoices are processed no later than 40 days after the end of each quarter, as required by the dept. of treasury.	invoices are processed no later than 35 days after the end of each quarter, as required by the dept. of treasury.	part 170 and 171 bills were all issued as scheduled
2009	Organizational Excellence	*	*	percentage of the budget recovered as a result of the fee billing function, as required by congressional mandate. this helps to further ensure budget accuracy and enables cost recovery for other nrc investments.	prepare 100% of licensee invoice information using the existing fees collection systems.	prepare 100% of licensee invoice information using the existing fees collection systems.	pending
2009	Organizational Excellence	*	*	percentage of time the fees system is available to support the	systems are available 94% of the time.	systems are available 95% of the time.	pending

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				30-day billing schedule. adhering to the 30-day billing cycle helps nrc to receive funds in a more timely and accurate manner.			
2009	Organizational Excellence	*	*	percentage of o&m costs managed within the annual budgeted funds, which indicates cost estimates are accurate and eliminates continual reprogramming of funds from other nrc investments.	o&m costs are managed within +/- 11% of budgeted funding.	o&m costs are managed within +/- 11% of budgeted funding.	pending
2009	Organizational Excellence	*	*	number of days after the end of each quarter that invoices are processed. this enables more accurate invoices which, reducing the number of billing errors and therefore minimizing personnel effort and costs.	invoices are processed no later than 35 days after the end of each quarter, as required by the dept. of treasury.	invoices are processed no later than 30 days after the end of each quarter, as required by the dept. of treasury.	pending
2010	Organizational Excellence	*	*	percentage of the budget recovered as a result of the fee billing function, as required by congressional mandate. this helps to further ensure budget accuracy and enables cost recovery for other nrc investments.	prepare 100% of licensee invoice information using the existing fees collection systems.	prepare 100% of licensee invoice information using the existing fees collection systems.	pending
2010	Organizational Excellence	*	*	percentage of time the fees system is available to support the 30-day billing schedule. adhering to the 30-day billing cycle helps nrc to receive funds in a more timely	systems are available 95% of the time.	systems are available 96% of the time.	pending

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				and accurate manner.			
2010	Organizational Excellence	*	*	percentage of o&m costs managed within the annual budgeted funds, which indicates cost estimates are accurate and eliminates continual reprogramming of funds from other nrc investments.	o&m costs are managed within +/- 10% of budgeted funding.	o&m costs are managed within +/- 10% of budgeted funding.	pending
2010	Organizational Excellence	*	*	number of days after the end of each quarter that invoices are processed. this enables more accurate invoices which, reducing the number of billing errors and therefore minimizing personnel effort and costs.	invoices are processed no later than 30 days after the end of each quarter, as required by the dept. of treasury.	invoices are processed no more that 30 days after the end of the quarter as required by the dept. of treasury.	pending
2011	Organizational Excellence	*	*	percentage of the budget recovered as a result of the fee billing function, as required by congressional mandate. this helps to further ensure budget accuracy and enables cost recovery for other nrc investments.	prepare 100% of licensee invoice information using the existing fees collection systems.	prepare 100% of licensee invoice information using the existing fees collection systems.	pending
2011	Organizational Excellence	*	*	percentage of time the fees system is available to support the 30-day billing schedule. adhering to the 30-day billing cycle helps nrc to receive funds in a more timely and accurate manner.	systems are available 95% of the time.	systems are available 96% of the time.	pending
2011	Organizational Excellence	*	*	percentage of o&m costs managed within the annual budgeted funds, which indicates	o&m costs are managed within +/- 10% of budgeted funding.	o&m costs are managed within +/- 10% of budgeted funding.	pending

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				cost estimates are accurate and eliminates continual reprogramming of funds from other nrc investments.			
2011	Organizational Excellence	*	*	number of days after the end of each quarter that invoices are processed. this enables more accurate invoices which, reducing the number of billing errors and therefore minimizing personnel effort and costs.	invoices are processed no later than 30 days after the end of each quarter, as required by the dept. of treasury.	invoices are processed no more that 30 days after the end of the quarter as required by the dept. of treasury.	pending

Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline

Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Legacy Fees FY02 O&M	\$0.8	\$0.7	2001-10-01	2001-10-01	2002-09-30	2002-09-30	100.00%	100.00%
Legacy Fees FY03 O&M	\$0.9	\$0.7	2002-10-01	2002-10-01	2003-09-30	2003-09-30	100.00%	100.00%
Legacy Fees FY04 O&M	\$0.9	\$0.6	2003-10-01	2003-10-01	2004-09-30	2004-09-30	100.00%	100.00%
Legacy Fees FY05 O&M	\$1.0	\$1.0	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
Legacy Fees FY06 O&M	\$1.1	\$0.6	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
Legacy Fees FY07 O&M	\$1.9	\$1.9	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
Legacy Fees FY08 O&M	\$1.7	\$1.5	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
Legacy Fees FY09 O&M	\$1.8	\$1.6	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	75.00%
Legacy Fees FY10 O&M	\$1.8	\$0.0	2009-10-01	2009-10-01	2010-09-30		100.00%	0.00%
Legacy Fees FY11 O&M	*	*	2010-10-01		2011-09-30		0.00%	0.00%

* - Indicates data is redacted.