

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. **Date of Submission:** 2010-03-15 16:00:01

2. **Agency:** 429

3. **Bureau:** 00

4. **Name of this Investment:** Core Financial System - Replacement (CFS)

5. **Unique Project (Investment) Identifier:** 429-00-01-01-01-1100-00

6. **What kind of investment will this be in FY 2011?:** Full-Acquisition

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. **What was the first budget year this investment was submitted to OMB? ***

8. **Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

Nuclear Regulatory Commission (NRC)'s major financial systems including Financial Accounting and Integrated Management Information System (FAIMIS) are used to support NRC's 2 major programs of Nuclear Material and Waste Safety, and Nuclear Reactor Safety. FAIMIS is required to support the agency mission by providing funding, managing budgets, tracking spending and payroll processing. NRC currently uses a Federal Shared Service Provider (SSP), the National Business Center (NBC) at the Department of the Interior, as the Information Technology (IT) host and provider of the NRC's core accounting system, the Federal Financial System (FFS). FFS has reached the end of its lifecycle and will no longer be supported by NBC effective October 1, 2010. NRC has determined that it will be most advantageous to continue with NBC as the IT host of its financial systems. The Core Financial System Replacement investment is the deployment of the Financial Accounting and Integrated Management Information System (FAIMIS), a COTS product that is FSIO certified in accordance with OMB Circular A-127. FAIMIS is envisioned to replace five current systems: FFS, License Fee Billing System (FEES), the Allowance/Allotment and Financial Plan (AAFP) system, the Capitalized Property System (CPS) and the Cost Accounting System (CAS). The inter-agency agreement will include an option to replace the Automated Acquisition Management System (AAMS) with an integrated Acquisitions Module as part of the subsequent implementation phase starting in fiscal year 2010. NBC, the SSP, will provide a bundled service package that includes software, hardware, O&M, help desk support, end-user training, audit support, business continuity, security, system migration and data conversion. NRC will follow NRC's Project Management Methodology to administer the performance-based inter-agency agreement with NBC. NRC will use EVM to monitor the replacement project. The project supports the President's Management Agenda (PMA) by improving financial management through the migration of accounting data to a new system that meets FMLoB objectives and FFMSR requirements. The objective is to achieve operational efficiency, improve internal controls and resource savings. FAIMIS will provide accurate and timely financial performance information to NRC and its stakeholders to assist in the decision-making process and in the oversight of licensing and regulatory programs.

- a. **Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

9. Did the Agency’s Executive/Investment Committee approve this request? *

a. If "yes," what was the date of this approval? *

10. Contact information of Program/Project Manager?

- Name: *
- Phone Number: *
- Email: *

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? *

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President’s Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. **Table 1: Contracts/Task Orders Table**

Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
8-6640-IM O-NRC-33	FFP: Firm Fixed Price	Y	2008-09-01	2008-09-01	2013-09-30	\$34.0	*	*	*	*	*
DR 0907662T 003	T&M: Time & Materials	Y	2009-08-03	2009-08-03	2010-04-30	\$0.3	*	*	*	*	*
NRCDR0 909345	T&M: Time & Materials	Y	2009-07-13	2009-07-16	2011-01-31	\$0.6	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a. If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2007	Organizational Excellence	*	*	% of the business case is complete following nrc cpic. provides vision, alternatives analysis, alignment with nrc strategic goals, & planning for investment. can not proceed without an approved business case.	0% complete.	100% completion of executive summary, vision, business case, system requirements, project management plan, system architecture document, nbc hosting architecture, privacy impact assessment, security categorization.	100 % of completion of executive summary, vision, business case, system requirements, project management plan, system architecture document, nbc hosting architecture, privacy impact assessment, security categorization.
2007	Organizational Excellence	*	*	number of agencies contacted for market research who have completed or are implementing the alternatives. important part of alternatives analysis and to leverage industry best practices.	zero agencies contacted and interviewed.	10 agencies contacted and interviewed.	11 agencies have been contacted and interviewed by the project team. valuable information on the alternatives and lessons learned has been obtained that will assisted the nrc in selecting a product.
2007	Organizational Excellence	*	*	number of demos conducted of alternatives. provides information on systems ability to meet nrc requirements for selecting a product.	zero demonstrations conducted.	conduct 2 product demonstrations of nrc business processes/scenarios	2 demonstrations were conducted of 148 identified nrc business scenarios/processes. an additional demonstration was conducted of the acquisitions and t&l modules for product a.
2007	Organizational Excellence	*	*	% completion of the preliminary cost matrices for the alternatives. cost is an important factor of alternatives analysis.	a to-be architecture does not exist.	100% completion of a preliminary ea and incorporates gaps from the system demonstration to determine the need to purchase additional system components and verify the cost against	prepared a preliminary ea and incorporated gaps from the system demonstrations to determine the need to purchase additional system components for each alternative.

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
						other federal agencies who have reached steady state.	
2009	Organizational Excellence	*	*	% completion of the high-level requirements for all functional areas	0%	100% completion of the high-level requirements for all functional areas	100% of the high-level functional requirements were gathered for all functional areas
2009	Organizational Excellence	*	*	% of the as-is functional business processes are documented for faimis. important aspect of the current business processes are documented.	0%	100% of the as-is business processes are documented.	90% of the as-is business and functional requirements are gathered
2009	Organizational Excellence	*	*	% of the to-be accounting classification structure is documented. important aspect of system design to ensure the configuration meets nrc requirements.	0%	100% of the to-be accounting classification structure is documented.	100% of the to-be accounting classification structure is documented.
2009	Organizational Excellence	*	*	% of strategy development to archive ffs historical data subsequent to faimis implementation	0%	100% completion of the preliminary strategy to archive ffs historical data	90% completion of strategy development to archive ffs historical date.
2010	Organizational Excellence	*	*	% complete of finalized design of the enterprise architecture to include all updates to feeder and support systems as an integral part of project planning.	0% complete. the preliminary enterprise architecture	100% completion of the enterprise architecture to include all updates to feeder and supporting systems	pending
2010	Organizational Excellence	*	*	% of open obligations converted to faimis with a % accuracy rate. complete and accurate beg balances helps ensure funds control & audit integrity of data.	0% of open obligations converted.	100% of open obligations converted to the faimis system with a 90% accuracy rate.	pending

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2010	Organizational Excellence	*	*	% of open receivables converted to faimis with a % accuracy rate. complete and accurate beg balances helps ensure funds control & audit integrity of data.	% of open receivables converted to faimis with a % accuracy rate.	100% of open receivables converted to the faimis system with a 90% accuracy rate.	pending
2010	Organizational Excellence	*	*	% of open payables converted to faimis with a % accuracy rate. complete and accurate beg balances helps ensure funds control & audit integrity of data.	0% of open payables converted.	100% of open payables converted to the faimis system with a 90% accuracy rate.	pending
2010	Organizational Excellence	*	*	% of end-users receive initial training on faimis. helps ensure easy transition and continuity of operations.	0% of end-users receive initial training on the faimis system.	75% of end-users receive initial training on the faimis system to ensure that sufficient number of user are available to avoid disruption to operation.	pending
2010	Organizational Excellence	*	*	% of finalizing strategy and contractual terms to archive ffs historical data subsequent to faimis implementation	0% of completion on preliminary strategy to archive ffs historical data	100% completion on finalize strategy and plan to archive ffs historical data	pending
2010	Organizational Excellence	*	*	% of achievement on an ato as required by omb circular a-127. certifies that faimis meets or exceeds requirements and standards for security and is available for operation.	faimis must receive a fisma certification and accreditation (c&a) with an authority to operate (ato) before the system is put in production. ato is received by october 1, 2010.	100% completion. ato is received by october 1, 2010.	pending
2011	Organizational Excellence	*	*	% of errors/issues that may occur with the conversion of legacy data in order to maintain accurate beginning balances for	errors/issues resulting from converting open obligations are resolved within 6 months.	100% of errors/issues resulting from converting open obligations are resolved within 3 months.	pending

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				funds control and financial statement compilation and audit are resolved timely.			
2011	Organizational Excellence	*	*	% of errors/issues that may occur with the conversion of legacy data in order to maintain accurate beginning balances for receivable management and financial statement compilation and audit are resolved timely.	errors/issues resulting from converting open receivables are resolved within 9 months.	100% of errors/issues resulting from converting open receivables are resolved within 6 months.	pending
2008	Organizational Excellence	*	*	% of completion on formulating a to-be accounting structure to facilitate the retrieval of financial records from faimis without manual manipulation to enhance the availability of timely and accurate data for decision making and internal control.	maintain the current accounting hierarchy where financial data are extracted then manually reprocessed by end users for reporting purpose.	100% completion on developing the hierarchy of the new accounting structure where financial records can be retrieved from the system easily without manual reprocessing to facilitate the retrieval of timely and accurate data.	gap and feasibility analysis have been completed for the proposed accounting structure. presenting the new accounting structure to agency stakeholders for buy-in and comments.
2011	Organizational Excellence	*	*	% of end-users receive initial training on faimis. helps ensure easy transition and continuity of operations.	75% of end-users received initial training on the faimis system.	100% of end-users receive initial training on the faimis system.	pending
2011	Organizational Excellence	*	*	% completion of performing annual c&a activities to maintain ato. certifies that faimis meets or exceeds requirements and standards for security and is available for operation.	c&a plan of action and milestones completed 70% on time to maintain an ato for faimis.	c&a plan of action and milestones completed 75% on time to maintain an ato for faimis.	pending
2008	Organizational	*	*	% completion of	roles,	100%	draft the mou

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Excellence			drafting the mou between the ocfo and the division of contracts to initiate planning for acquisition module migration.	responsibilities, and expectations have not yet been established and defined between the ocfo and division of contracts.	completion on drafting the mou and division of contracts to clearly define expectations, roles, and responsibilities for the implementation of the acquisition module	between ocfo and division of contracts to facilitate the implementation of the acquisition module. terms of the mou are under negotiation.
2008	Organizational Excellence	*	*	% of the approval received from the nrc chairman on the faimis project.	the business package is still under review with the nrc chairman	100% completion on receiving approval from the nrc chairman on the faimis business package	the faimis business package has been approved by the nrc chairman
2011	Organizational Excellence	*	*	% of resolving non-compliance with federal financial management system requirements (ffmsr) in accordance with omb policy timelinessly.	non-compliance audit findings with ffmsr resolved within 2 years.	100% of non-compliance audit findings with ffmsr resolved within 1.5 years.	pending
2011	Organizational Excellence	*	*	% decrease in help desk requests from users reporting issues with processing, querying, reporting, and performance. indicator determine if refresher training needed and tracks # of issues for performance.	the baseline will be established based on the results from the 1st fiscal quarter the system is put in production.	50% decrease over the final 3 quarters for fy 2011.	pending
2008	Organizational Excellence	*	*	% of completion of forming an integrated project team to facilitate the faimis implementation effort. the integrated project team will consist of professionals representing diverse disciplines with the specific knowledge,	the project team will rely solely on the technical expertise of the ssp	100% of roles, responsibilities, and membership are clearly defined for the integrated project team and authorized by senior management.	roles, responsibilities, and team membership are currently being reviewed by senior management.

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				skills, and abilities.			
2012	Security	*	*	% completion of performing annual c&a activities to maintain ato. certifies that faimis meets or exceeds requirements and standards for security and is available for operation.	c&a plan of action and milestones completed 75% on time to maintain an ato for faimis.	c&a plan of action and milestones completed 80% on time to maintain an ato for faimis.	pending
2012	Organizational Excellence	*	*	% resolving audit findings in order to improve internal controls over financial management.	resolve level v findings within 2 years and level iv findings resolved within 2 fiscal quarters.	100% completion of resolving level v findings within 18 months and level iv findings resolved within 3 fiscal quarter.	pending
2012	Organizational Excellence	*	*	# of days to issue part 170 license fee bills to customers in order to improve the timeliness of receipts and to maximize the return of receipts to the treasury general fund.	part 170 license fee bills are generated 25 days after the close of the accounting cycle.	part 170 license fee bills are generated 21 days after the close of the accounting cycle.	pending
2012	Organizational Excellence	*	*	% of resolving non-compliance with federal financial management system requirements (ffmsr).	non-compliance audit findings with ffmsr resolved within 1.5 years.	100% completion of non-compliance audit findings with ffmsr resolved within 1 year.	pending
2012	Organizational Excellence	*	*	% decrease in help desk requests from users reporting issues with processing, querying, reporting, and performance. indicator helps determine if refresher training needed and tracks # system issues for performance.	50% decrease from fy 2011.	50% decrease from fy 2011.	pending
2012	Organizational Excellence	*	*	% decrease on help desk requests from users reporting issues with	overall 50% decrease in time established in fy 2011 to close help desk	overall, 50% decrease in time established in fy 2011 to close help desk	pending

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				processing, querying, and reporting. indicator tracks user satisfaction.	requests.	requests.	
2013	Organizational Excellence	*	*	% of on-time performing annual c&a activities to maintain ato. certifies that faimis meets or exceeds requirements and standards for security and is available for operation.	c&a plan of action and milestones completed 80% on time to maintain an ato for faimis.	c&a plan of action and milestones completed 85% on time to maintain an ato for faimis.	pending
2013	Organizational Excellence	*	*	% completion on resolving audit findings in order to improve internal controls over financial management.	resolve level v and level iv findings based on fy 2012 results.	resolve 100% level v findings within 15 months and level iv findings resolved within 2 fiscal quarter.	pending
2013	Organizational Excellence	*	*	# of days to issue part 170 license fee bills to customers in order to improve the timeliness of receipts and to maximize the return of receipts to the treasury general fun.	part 170 license fee bills are generated 21 days after the close of the accounting cycle.	part 170 license fee bills are generated 14 days after the close of the accounting cycle.	pending
2013	Organizational Excellence	*	*	% completion of resolving non-compliance with federal financial management system requirements (ffmsr).	non-compliance audit findings with ffmsr resolved within 1 year.	100% of the non-compliance audit findings with ffmsr resolved within 3 fiscal quarters.	pending
2013	Organizational Excellence	*	*	% decrease in help desk requests from users reporting issues with processing, querying, reporting, and performance. indicator determines if refresher training needed and tracks # of issues for performance	50% decrease from fy 2012.	50% decrease from fy 2012.	pending
2013	Organizational Excellence	*	*	% decrease on help desk	overall 25% decrease in	overall, 50% decrease in	pending

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				requests received from users reporting issues with processing, querying, and reporting. indicator tracks user satisfaction.	time established in fy 2012 to close help desk requests.	time established in fy 2012 to close help desk requests.	
2014	Security	*	*	% of completion of performing annual c&a activities to maintain ato. certifies that faimis meets or exceeds requirements and standards for security and is available for operation on time.	c&a plan of action and milestones completed within a timeframe based on fy 2013 to maintain an ato for faimis.	c&a plan of action and milestones completed 90% on time to maintain an ato for faimis.	pending
2014	Organizational Excellence	*	*	% of completion on resolving audit findings in order to improve internal controls over financial management.	resolve level v and level iv findings based on fy 2013 results.	resolve 100% level v findings within 1 year and level iv findings resolved within 1 fiscal quarter.	pending
2014	Security	*	*	# of days to issue part 170 license fee bills to customers in order to improve the timeliness of receipts and to maximize the return of receipts to the treasury general fund.	part 170 license fee bills are generated 14 days after the close of the accounting cycle.	part 170 license fee bills are generated 10 days after the close of the accounting cycle.	pending
2014	Organizational Excellence	*	*	% of completion on resolving non-compliance with federal financial management system requirements (ffmsr).	non-compliance audit findings with ffmsr resolved within 3 fiscal quarters.	100% of non-compliance audit findings with ffmsr resolved within 2 fiscal quarters.	pending
2014	Organizational Excellence	*	*	% decrease in help desk requests from users reporting issues with data processing, querying, reporting, and system performance. indicator helps determine refresher training needed	50% decrease from fy 2013.	50% decrease from fy 2013.	pending

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				and tracks # of system issues for performance.			
2014	Security	*	*	% decrease on help desk requests from users reporting issues with processing, querying, and reporting. indicator tracks user satisfaction.	overall 50% decrease in time established in fy 2013 to close help desk requests.	overall, 50% decrease in time established in fy 2013 to close help desk requests.	pending
2015	Organizational Excellence	*	*	% of completion of performing annual c&a activities to maintain ato. certifies that faimis meets or exceeds requirements and standards for security and is available for operation.	c&a plan of action and milestones completed within a timeframe based on fy 2014 to maintain an ato for faimis.	c&a plan of action and milestones completed 95% on time to maintain an ato for faimis.	pending
2015	Organizational Excellence	*	*	% of completion on resolving audit findings in order to improve internal controls over financial management.	resolve level v and level iv findings based on fy 2014 results.	resolve 100% level v findings within 1 year and level iv findings resolved within 1 fiscal quarter.	pending
2015	Organizational Excellence	*	*	# of days to issue part 170 license fee bills to customers in order to improve the timeliness of receipts and to maximize the return of receipts to the treasury general fund.	part 170 license fee bills are generated 10 days after the close of the accounting cycle.	part 170 license fee bills are generated 7 days after the close of the accounting cycle.	pending
2015	Organizational Excellence	*	*	% of completion on resolving non-compliance with federal financial management system requirements (ffmsr).	non-compliance audit findings with ffmsr resolved within timeframe established in fy 2014.	non-compliance audit findings with ffmsr resolved within 2 fiscal quarters.	pending
2015	Organizational Excellence	*	*	% decrease in help desk requests from users reporting issues with data processing, querying, reporting, and system	50% decrease from fy 2014.	50% decrease from fy 2014.	pending

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2015	Organizational Excellence	*	*	performance. indicator helps determine refresher training needed and tracks # of system issues for performance. % decrease in help desk requests from users reporting issues with processing, querying, and reporting. indicator tracks user satisfaction.	overall 50% decrease in time established in fy 2014 to close help desk requests.	overall, 50% decrease in time established in fy 2014 to close help desk requests.	pending

Part II: Planning, Acquisition And Performance Information

Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline

Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Inception - Initial Planning and Project Screening	\$0.1	\$0.1	2006-03-01	2006-03-01	2007-01-24	2007-01-24	100.00%	100.00%
Inception - CPIC Preparation and Internal Executive	\$0.3	\$0.3	2006-11-01	2006-11-01	2007-08-31	2007-08-31	100.00%	100.00%
Inception - Preliminary Market Research and Alternative Analysis	\$0.1	\$0.1	2007-01-24	2007-01-24	2007-08-30	2007-08-30	100.00%	100.00%
Inception - SOW preparation	\$0.0	\$0.0	2007-06-05	2007-06-05	2008-07-01	2008-07-01	100.00%	100.00%
Inception - Interagency Agreement Preparation for Requirements Definition	\$0.3	\$0.3	2008-01-02	2008-01-02	2008-09-30	2008-09-15	100.00%	100.00%
Inception - Conduct Req Definition, Make SW Selection, Award IAA	\$2.2	\$2.1	2008-09-22	2008-09-15	2009-06-01	2009-06-01	100.00%	100.00%
Inception - Initial Implementation Phase Planning	\$0.5	\$0.5	2009-06-01	2009-06-01	2009-07-31	2009-07-31	100.00%	100.00%
Inception - Phase I/Requirement Analysis for FAIMIS	\$3.7	\$3.7	2009-06-29	2009-06-29	2009-11-16	2009-11-13	100.00%	100.00%
Inception - Software and Hardware installation	\$2.0	\$1.7	2009-09-01	2009-09-09	2010-03-17		83.00%	82.00%
Elaboration- Continue to refine requirements, baseline architecture, and To-Be design	\$2.5	\$2.5	2009-08-17	2009-08-27	2010-01-13	2010-01-22	100.00%	100.00%
Construction-	\$2.4	\$1.2	2010-01-07	2009-12-01	2010-06-25		48.00%	50.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Build and test all functions iteratively on proven architecture for FAIMIS								
Transition - Deploy and transition the system to the user. End User Acceptance and End User Training	*	*	2010-06-26		2010-09-30		0.00%	0.00%
Certification and Authorization	*	*	2010-04-01		2010-09-30		0.00%	0.00%
Inception – Phase I/Requirement Gathering for the Acquisition Module	\$1.1	\$0.4	2009-10-01	2009-10-01	2010-09-30		40.00%	41.00%
Construction-Build and test all functions iteratively on proven architecture for Acquisition Module	*	*	2010-03-01		2011-09-30		0.00%	0.00%
Transition - Help Desk and issue resolution	*	*	2010-10-01		2011-03-01		0.00%	0.00%
Fiscal Year 2011 - Operation and Maintenance	*	*	2010-10-01		2011-09-30		0.00%	0.00%
Fiscal Year 2011 Annual security assessment and contingency plan	*	*	2011-06-01		2011-07-15		0.00%	0.00%
Fiscal Year 2012 - Operation and Maintenance	*	*	2011-10-01		2012-09-30		0.00%	0.00%
Fiscal Year 2012 Annual security assessment and contingency plan	*	*	2012-06-01		2012-07-15		0.00%	0.00%
Fiscal Year 2013 - Operation and Maintenance	*	*	2012-10-01		2013-09-30		0.00%	0.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Fiscal Year 2013 FISMA and C&A Review	*	*	2013-06-01		2013-09-01		0.00%	0.00%
Fiscal Year 2013 Annual security assessment and contingency plan	*	*	2013-06-01		2013-07-15		0.00%	0.00%
Fiscal Year 2014 - Operation and Maintenance	*	*	2013-10-01		2014-09-30		0.00%	0.00%
Fiscal Year 2014 Annual security assessment and contingency plan	*	*	2014-06-01		2014-07-15		0.00%	0.00%
Fiscal Year 2015 - Operation and Maintenance	*	*	2014-10-01		2015-09-30		0.00%	0.00%
Fiscal Year 2015 Annual security assessment and contingency plan	*	*	2015-06-01		2015-07-15		0.00%	0.00%
Fiscal Year 2016 - Operation and Maintenance	*	*	2015-10-01		2016-09-30		0.00%	0.00%
Fiscal Year 2016 FISMA and C&A Review	*	*	2016-06-01		2016-09-01		0.00%	0.00%
Fiscal Year 2016 Annual security assessment and contingency plan	*	*	2016-06-01		2016-07-15		0.00%	0.00%
Fiscal Year 2017 - Operation and Maintenance	*	*	2016-10-01		2017-09-30		0.00%	0.00%
Fiscal Year 2017 Annual security assessment and contingency plan	*	*	2017-06-01		2017-07-15		0.00%	0.00%
Fiscal Year 2018- Operation and	*	*	2017-10-01		2018-09-30		0.00%	0.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Maintenance								
Fiscal Year 2018 Annual security assessment and contingency plan	*	*	2018-06-01		2018-07-15		0.00%	0.00%
Fiscal Year 2019 - Operation and Maintenance	*	*	2018-10-01		2019-09-30		0.00%	0.00%
Fiscal Year 2019 FISMA and C&A Review	*	*	2019-06-01		2019-09-01		0.00%	0.00%
Fiscal Year 2019 Annual security assessment and contingency plan	*	*	2019-06-01		2019-07-15		0.00%	0.00%
Fiscal Year 2020- Operation and Maintenance	*	*	2019-10-01		2020-09-30		0.00%	0.00%
Fiscal Year 2020 Annual security assessment and contingency plan	*	*	2020-06-01		2020-07-15		0.00%	0.00%

* - Indicates data is redacted.