

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. **Date of Submission:** 2010-03-12 13:31:47

2. **Agency:** 429

3. **Bureau:** 00

4. **Name of this Investment:** Agency-wide Documents Access and Management System (ADAMS)

5. **Unique Project (Investment) Identifier:** 429-00-01-02-01-0010-00

6. **What kind of investment will this be in FY 2011?:** Mixed Life Cycle

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. **What was the first budget year this investment was submitted to OMB? ***

8. **Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

The NRC uses the Agencywide Documents Access and Management System (ADAMS) to manage content created by the staff and by external entities doing business with the agency (licensees, the public, and local, state and tribal governments). First deployed in November 1999, ADAMS became operational in April 2000 when it became the official recordkeeping system of the NRC and replaced two legacy systems (NUDOCS and BRS). ADAMS is in O&M and supports the content and records management needs of the entire agency. GAO recognized ADAMS as a government best practice and NARA certified ADAMS as an electronic record keeping system – the first of its kind in the federal government. In addition to content and record management capabilities, ADAMS includes tools for searching and retrieving content and publishing content to the web for public dissemination. Search tools allow the staff and public to find relevant information quickly and accurately. Business processes supported by ADAMS push information to the NRC's public web site faster. ADAMS supports the NRC's adjudicatory process by serving as the source for all documents used in hearings. Automated processes push documents stored in the ADAMS repository to the case management system and docket collection used by the administrative judges and to the Licensing Support Network (LSN) supporting the Yucca Mountain High-Level Waste Repository licensing process. Other business processes instituted because of ADAMS have reduced the volume of paper handled by the staff. For example, the NRC's scans, converts into electronic format, categorize, and saves to ADAMS documents received in the mail. ADAMS generates email to staff members (subscription lists) notifying them when new documents were processed in ADAMS, a process that is much faster than paper. The NRC has initiated the NextGen program to enhance the Agency's management of its documents and other content. This NextGen Program conducted a Business Case Development effort in order to create investment-planning, security, and project management reports. These documents and artifacts evaluate potential ADAMS and content-modernization investment analysis. As result of the NextGen efforts, this OMB 300 submission provides the justification to continually modernize ADAMS capabilities through the phased implementation of a well-integrated portfolio of 'best of breed' content-related solutions that will improve efficiency and increase NRC's effectiveness overall.

- a. **Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

9. Did the Agency’s Executive/Investment Committee approve this request? *

a. If "yes," what was the date of this approval? *

10. Contact information of Program/Project Manager?

- Name: *
- Phone Number: *
- Email: *

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? *

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President’s Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. **Table 1: Contracts/Task Orders Table**

Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
NRC3308341	T&M: Time & Materials	Y	2008-08-15	2008-09-06	2013-09-05	\$15.5	*	*	*	*	*
DR3307358-TO13	T&M: Time & Materials	Y	2007-09-18	2007-09-26	2010-09-25	\$2.7	*	*	*	*	*
NRC3310305	FFP: Firm Fixed Price	Y	2010-02-01	2010-02-01	2011-01-31	\$0.7	*	*	*	*	*
NRC3309346	FFP: Firm Fixed Price	Y	2009-08-11	2009-08-11	2010-12-31	\$0.1	*	*	*	*	*
DR3309342	FFP: Firm Fixed Price	Y	2008-10-01	2008-10-01	2011-09-30	\$1.4	*	*	*	*	*
DR3306317-TO59	T&M: Time & Materials	Y	2009-03-13	2009-03-13	2011-09-30	\$0.1	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a.If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2006	Security	*	*	automatically convert appropriate documents in adams to electronic official agency records (oar) 95% of the time without operator intervention. this reduces the overall cost of adams operations.	95% of documents created without user intervention.	increase successful automated conversion to 98%.	successfully automated conversion was achieved at 98%.
2006	Organizational Excellence	*	*	expand the use of information technology tools to improve efficiency throughout the agency.	average score of 2.72 on a 1-5 scale as measured using a customer satisfaction survey.	increase average score to 3.0 on customer satisfaction survey.	average score increased to 3.0 on customer satisfaction survey.
2006	Organizational Excellence	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	90% of public user issues are resolved in 1 day or less.	91% of public user issues are resolved in 1 day or less.	91% of public user issues are resolved in 1 day or less.
2006	Security	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	90% of non-sensitive, unclassified information generated from external sources relevant to the regulatory process available to the public within 6th working day of the date of the document	resolve 90% of non-sensitive, unclassified information generated from external sources relevant to the regulatory process available to the public within 6th working day of the date of the document	90% of non-sensitive, unclassified information generated from external sources relevant to the regulatory process were available to the public within 6th working day of the date of the document
2007	Security	*	*	automatically convert appropriate documents in adams to electronic official agency records (oar) 98% of the time without operator intervention. this reduces the overall cost of adams operations.	98% of documents are successfully converted during submission	increase successful automated conversion to 99%.	successfully automated conversion was achieved at 99%.

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2007	Organizational Excellence	*	*	expand the use of information technology tools to improve efficiency throughout the agency.	average score of 3.3 on a 1-4 scale as measured using a customer satisfaction survey.	increase average score to 3.4 on customer satisfaction survey.	the latest customer survey showed a average score of 2.5
2007	Organizational Excellence	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	91% of public user issues are resolved in 1 day or less.	resolve 92% of public user inquiries in 1 day or less.	91% of public user issues are resolved in 1 day or less.
2007	Security	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	92% of non-sensitive, unclassified information generated from external sources relevant to the regulatory process will be published on the nrc's public web site within 6 workdays of the date the document was cleared of any sensitive information.	93% of non-sensitive, unclassified information relevant to the regulatory process available to the public within 5th working day of the date of the document	87% of non-sensitive, unclassified information generated from external sources relevant to the regulatory process were available to the public within 6th working day of the date of the document
2008	Security	*	*	automatically convert appropriate documents in adams to electronic official agency records (oar) 99% of the time without operator intervention. this reduces the overall cost of adams operations.	99% of documents are successfully converted during submission	increase successful automated conversion to 100%.	100% successful automsted conversion increase.
2008	Organizational Excellence	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	92% of public user inquiries are resolved within 1 day or less.	resolve 94% of public user inquiries within 1 day or less.	94% resolution of public user inquiries within 1 day or less.
2008	Security	*	*	provide accurate & timely information to	93% of non-sensitive, unclassified information	94% of non-sensitive, unclassified information	94% of non-sensitive, unclassified information

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				the public in support of nrc's mission related to licensing and regulation activities.	generated from external sources relevant to the regulatory process available to the public within 5th working day of the date of the document	generated from external sources relevant to the regulatory process will be published on the nrc's public web site within 6 workdays of the date the document was cleared of any sensitive information.	generated from external sources relevant to the regulatory process published on the nrc's public web site within 6 workdays of the date the document was cleared of any sensitive information.
2008	Security	*	*	automatically convert appropriate documents in adams to electronic official agency records (oar) 100% of the time without operator intervention. this reduces the overall cost of adams operations.	100% of documents are successfully converted during submission	maintain successful automated conversion to 100%.	100% of documents automatically converted.
2008	Organizational Excellence	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	94% of public user inquiries are resolved within within 1 day or less.	resolve 95% of public user inquiries within 1 day or less.	95% of public user inquiries resolved within 1 day or less.
2008	Security	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	94% of non-sensitive, unclassified information generated from external sources relevant to the regulatory process available to the public within 4th working day of the date of the document	95% of non-sensitive, unclassified information generated from external sources relevant to the regulatory process will be published on the nrc's public web site within 6 workdays of the date the document was cleared of any sensitive information.	95% of non-sensitive, unclassified information generated from external sources relevant to the regulatory process published on the nrc's public web site within 6 workdays of the date the document was cleared of any sensitive information.
2009	Organizational Excellence	*	*	expand the use of information technology tools to improve efficiency throughout the	the adams help desk will respond within 4 hours to an nrc staff member's request for user	95% of all issues presented by staff will be resolved within 1 business day.	to be determined – this will be the first year this metric is collected.

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				agency.	assistance. the four-hour threshold will be met for 90% of all requests for assistance.		
2009	Organizational Excellence	*	*	expand the use of information technology tools to improve efficiency throughout the agency.	the adams help desk will resolve a nrc staff members issue with adams system usage within 1 business day. this one business day threshold will be met for 90% of all requests for assistance.	95% of all issues presented by staff will be resolved within 1 business day.	to be determined – this will be the first year this metric is collected.
2009	Security	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	the public document room will respond within 1 day to an member of the public's request for assistance using adams or web-based adams search. the 24-hour threshold will be met for 90% of all requests for assistance.	95% of all issues presented by public users will be resolved within 1 business day.	to be determined – this will be the first year this metric is collected.
2009	Organizational Excellence	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	the public document room will resolve a member of the public's issue with adams system usage within 1 business day. this one business day threshold will be met for 90% of all requests for assistance.	95% of all issues presented by public users will be resolved within 1 business day.	to be determined – this will be the first year this metric is collected.
2010	Security	*	*	automatically convert appropriate documents in adams to electronic official agency records (oar) 100% of the time without operator intervention. this reduces the overall cost of adams	100% of documents are successfully converted during submission	maintain successful automated conversion to 100%.	pending

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				operations.			
2010	Organizational Excellence	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	95% of public user inquiries are resolved within within 1 day or less.	resolve 96% of public user inquiries within 1 day or less.	pending
2010	Organizational Excellence	*	*	expand the use of information technology tools to improve efficiency throughout the agency.	the adams help desk will respond within 4 hours to an nrc staff member's request for user assistance. the four-hour threshold will be met for 90% of all requests for assistance.	96% of all issues presented by staff will be resolved within 1 business day.	pending
2010	Security	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	the public document room will resolve a member of the public's issue with adams system usage within 1 business day. this one business day threshold will be met for 90% of all requests for assistance.	96% of all issues presented by public users will be resolved within 1 business day.	pending
2010	Security	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	95% of non-sensitive, unclassified information generated from external sources relevant to the regulatory process available to the public within 4th working day of the date of the document	96% of non-sensitive, unclassified information generated from external sources relevant to the regulatory process will be published on the nrc's public web site within 6 workdays of the date the document was cleared of any sensitive information.	pending
2011	Security	*	*	automatically convert appropriate documents in adams to electronic official agency records (oar) 100% of the	100% of documents are successfully converted during submission	maintain successful automated conversion to 100%.	pending

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				time without operator intervention. this reduces the overall cost of adams operations.			
2011	Organizational Excellence	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	96% of public user inquiries are resolved within within 1 day or less.	resolve 96% of public user inquiries within 1 day or less.	pending
2011	Organizational Excellence	*	*	expand the use of information technology tools to improve efficiency throughout the agency.	the adams help desk will respond within 4 hours to an nrc staff member's request for user assistance. the four-hour threshold will be met for 90% of all requests for assistance.	97% of all issues presented by staff will be resolved within 1 business day.	pending
2011	Security	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	the public document room will resolve a member of the public's issue with adams system usage within 1 business day. this one business day threshold will be met for 90% of all requests for assistance.	97% of all issues presented by public users will be resolved within 1 business day.	pending
2011	Security	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	96% of non-sensitive, unclassified information generated from external sources relevant to the regulatory process available to the public within 4th working day of the date of the document	97% of non-sensitive, unclassified information generated from external sources relevant to the regulatory process will be published on the nrc's public web site within 6 workdays of the date the document was cleared of any sensitive information.	pending
2012	Security	*	*	automatically convert appropriate	100% of documents are successfully	maintain successful automated	pending

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				documents in adams to electronic official agency records (oar) 100% of the time without operator intervention. this reduces the overall cost of adams operations.	converted during submission	conversion to 100%.	
2012	Organizational Excellence	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	97% of public user inquiries are resolved within within 1 day or less.	resolve 98% of public user inquiries within 1 day or less.	pending
2012	Organizational Excellence	*	*	expand the use of information technology tools to improve efficiency throughout the agency.	the adams help desk will respond within 4 hours to an nrc staff member's request for user assistance. the four-hour threshold will be met for 90% of all requests for assistance.	98% of all issues presented by staff will be resolved within 1 business day.	pending
2012	Security	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	the public document room will resolve a member of the public's issue with adams system usage within 1 business day. this one business day threshold will be met for 90% of all requests for assistance.	98% of all issues presented by public users will be resolved within 1 business day.	pending
2012	Security	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	97% of non-sensitive, unclassified information generated from external sources relevant to the regulatory process available to the public within 4th working day of the date of the document	98% of non-sensitive, unclassified information generated from external sources relevant to the regulatory process will be published on the nrc's public web site within 6 workdays of the date the document was	pending

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
						cleared of any sensitive information.	
2013	Security	*	*	automatically convert appropriate documents in adams to electronic official agency records (oar) 100% of the time without operator intervention. this reduces the overall cost of adams operations.	100% of documents are successfully converted during submission	maintain successful automated conversion to 100%.	pending
2013	Organizational Excellence	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	98% of public user inquiries are resolved within within 1 day or less.	resolve 99% of public user inquiries within 1 day or less.	pending
2013	Organizational Excellence	*	*	expand the use of information technology tools to improve efficiency throughout the agency.	the adams help desk will respond within 4 hours to an nrc staff member's request for user assistance. the four-hour threshold will be met for 90% of all requests for assistance.	99% of all issues presented by staff will be resolved within 1 business day.	pending
2013	Security	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	the public document room will resolve a member of the public's issue with adams system usage within 1 business day. this one business day threshold will be met for 90% of all requests for assistance.	99% of all issues presented by public users will be resolved within 1 business day.	pending
2013	Security	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	98% of non-sensitive, unclassified information generated from external sources relevant to the regulatory process	99% of non-sensitive, unclassified information generated from external sources relevant to the regulatory process will be	pending

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
					available to the public within 4th working day of the date of the document	published on the nrc's public web site within 6 workdays of the date the document was cleared of any sensitive information.	
2014	Security	*	*	automatically convert appropriate documents in adams to electronic official agency records (oar) 100% of the time without operator intervention. this reduces the overall cost of adams operations.	100% of documents are successfully converted during submission	maintain successful automated conversion to 100%.	pending
2014	Organizational Excellence	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	99% of public user inquiries are resolved within 1 day or less.	resolve 100% of public user inquiries within 1 day or less.	pending
2014	Organizational Excellence	*	*	expand the use of information technology tools to improve efficiency throughout the agency.	the adams help desk will respond within 4 hours to an nrc staff member's request for user assistance. the four-hour threshold will be met for 90% of all requests for assistance.	100% of all issues presented by staff will be resolved within 1 business day.	pending
2014	Security	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	the public document room will resolve a member of the public's issue with adams system usage within 1 business day. this one business day threshold will be met for 90% of all requests for assistance.	100% of all issues presented by public users will be resolved within 1 business day.	pending
2014	Security	*	*	provide accurate & timely information to	99% of non-sensitive, unclassified information	100% of non-sensitive, unclassified information	pending

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				the public in support of nrc's mission related to licensing and regulation activities.	generated from external sources relevant to the regulatory process available to the public within 4th working day of the date of the document.	generated from external sources relevant to the regulatory process will be published on the nrc's public web site within 6 workdays of the date the document was cleared of any sensitive information.	
2015	Security	*	*	automatically convert appropriate documents in adams to electronic official agency records (oar) 100% of the time without operator intervention. this reduces the overall cost of adams operations.	100% of documents are successfully converted during submission	maintain successful automated conversion to 100%.	pending
2015	Organizational Excellence	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	99% of public user inquiries are resolved within within 1 day or less.	resolve 100% of public user inquiries within 1 day or less.	pending
2015	Organizational Excellence	*	*	expand the use of information technology tools to improve efficiency throughout the agency.	the adams help desk will respond within 4 hours to an nrc staff member's request for user assistance. the four-hour threshold will be met for 90% of all requests for assistance.	100% of all issues presented by staff will be resolved within 1 business day.	pending
2015	Security	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	the public document room will resolve a member of the public's issue with adams system usage within 1 business day. this one business day threshold will be met for 90% of	100% of all issues presented by public users will be resolved within 1 business day.	pending

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
					all requests for assistance.		
2015	Security	*	*	provide accurate & timely information to the public in support of nrc's mission related to licensing and regulation activities.	99% of non-sensitive, unclassified information generated from external sources relevant to the regulatory process available to the public within 4th working day of the date of the document	100% of non-sensitive, unclassified information generated from external sources relevant to the regulatory process will be published on the nrc's public web site within 6 workdays of the date the document was cleared of any sensitive information.	pending

Part II: Planning, Acquisition And Performance Information

Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline

Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
ADAMS Acquisition, Development and Implementation	\$12.7	\$13.8	1997-01-01	1997-01-01	1999-07-30	1999-07-30	100.00%	100.00%
Steady-state maintenance and operations FY1999	\$1.5	\$1.5	1998-10-01	1998-10-01	1999-09-30	1999-09-30	100.00%	100.00%
Steady-state maintenance and operations FY2000	\$4.6	\$4.4	1999-10-01	1999-10-01	2000-09-30	2000-09-30	100.00%	100.00%
Steady-state maintenance and operations FY2001	\$5.2	\$5.2	2000-10-01	2000-10-01	2001-09-30	2001-09-30	100.00%	100.00%
Steady-state maintenance and operations FY2002	\$9.6	\$9.5	2001-10-01	2001-10-01	2002-09-30	2002-09-30	100.00%	100.00%
Steady-state maintenance and operations FY2003	\$9.1	\$9.5	2002-10-01	2002-10-01	2003-09-30	2003-09-30	100.00%	100.00%
Steady-state maintenance and operations FY2004	\$9.8	\$10.1	2003-10-01	2003-10-01	2004-09-30	2004-09-30	100.00%	100.00%
Steady-state maintenance and operations FY2005	\$11.0	\$10.9	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
Steady-state maintenance and operations FY2006	\$9.1	\$8.2	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
Steady-state maintenance and operations FY2007	\$8.0	\$6.0	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
Perform software upgrade, patches and	\$1.8	\$2.0	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
enhancements FY2007								
Perform software upgrade, patches and enhancements FY 2008	\$6.1	\$1.1	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
Steady-state maintenance and operations FY2008	\$10.2	\$7.6	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
Steady-state maintenance and operations FY2009	\$5.4	\$4.2	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
Perform software upgrade, patches, and enhancements FY2009	\$1.4	\$1.4	2008-10-01	2001-10-01	2009-09-30	2009-09-30	100.00%	100.00%
Deploy FileNet P8 Platform	\$2.2	\$0.8	2009-02-01	2009-02-01	2010-12-31		100.00%	52.00%
Steady-state maintenance and operations FY2010	\$6.4	\$0.9	2009-10-01	2009-10-01	2010-09-30		100.00%	41.67%
Perform software upgrade, patches, and enhancements FY2010	\$1.4	\$0.3	2009-10-01	2009-10-01	2010-09-30		100.00%	41.67%
Migrate users and files to FileNet P8 Platform	*	*	2010-12-01		2011-04-30		100.00%	0.00%
Steady-state maintenance and operations FY2011	*	*	2010-10-01		2011-09-30		100.00%	0.00%
Perform software upgrade, patches, and enhancements FY2011	*	*	2010-10-01		2011-09-30		100.00%	0.00%
Migrate applications to FileNet P8 Platform	*	*	2011-01-01		2012-12-31		100.00%	0.00%
Steady-state maintenance and operations	*	*	2011-10-01		2012-09-30		100.00%	0.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY2012								
Perform software upgrade, patches, and enhancements FY 2012	*	*	2011-10-01		2012-09-30		100.00%	0.00%
Steady-state maintenance and operations FY2013	*	*	2012-10-01		2013-09-30		100.00%	0.00%
Perform software upgrade, patches, and enhancements FY2013	*	*	2012-10-01		2013-09-30		100.00%	0.00%
Decommission unsupported FileNet Content Services Platform	*	*	2013-01-01		2013-07-31		100.00%	0.00%
Steady-state maintenance and operations FY2014	*	*	2013-10-01		2014-09-30		100.00%	0.00%
Perform software upgrade, patches, and enhancements FY2014	*	*	2013-10-01		2014-09-30		100.00%	0.00%
Steady-state maintenance and operations FY2015	*	*	2014-10-01		2015-09-30		100.00%	0.00%
Perform software upgrade, patches, and enhancements FY2015	*	*	2014-10-01		2015-09-30		100.00%	0.00%

* - Indicates data is redacted.