

March 29, 2010

MORANDUM TO: Chairman Jaczko
Commissioner Klein
Commissioner Svinicki

FROM: Roy P. Zimmerman, Director /RA/
Office of Enforcement

SUBJECT: CALENDAR YEAR 2009 ANNUAL REPORT OF THE U. S. NUCLEAR
REGULATORY COMMISSION ENFORCEMENT PROGRAM

Enclosed is the 15th Annual Report of the U.S. Nuclear Regulatory Commission (NRC) Enforcement Program. The Office of Enforcement (OE) prepared this report in accordance with a Commission-approved recommendation from a 1995 Enforcement Program review.¹

The 2009 Annual Report contains information regarding enforcement activities that occurred during the 2009 calendar year. The report contains information about significant enforcement actions, policy changes, new initiatives, and staff guidance that have had an impact on the agency's Enforcement Program. It also includes various supporting statistical tables and figures. The appendices to this report summarize cases involving significant enforcement actions.

This report serves as an important agency communication tool for both external and internal stakeholders to ensure that the enforcement program is both understood and acknowledged as an integral, valuable component of the agency's regulatory programs. I intend to place this report in the NRC's Public Document Room, as well as in the Enforcement Documents Collection on the Electronic Reading Room Web page of the NRC's public Web site. Therefore, OE has not included sensitive security-related information, Safeguards Information, or Classified Information. OE will forward a separate report containing summaries of the security-related enforcement actions which are not publicly available.

If you have any questions about the report, please contact John R. Wray, OE, at 301-415-1288 or by email to john.wray@nrc.gov.

Enclosure: As stated

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¹ Recommendation II.G-2 of NUREG-1525, "Assessment of the NRC Enforcement Program," and SECY 95-084, "Assessment of the NRC Enforcement Program, Proposed Revision to the General Statement of Policy and Procedure for NRC Enforcement Actions, and Removal of Policy from 10 CFR Part 2, Appendix C," which the Commission approved in a staff requirements memorandum dated June 16, 1995.

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² Recommendation II.G-2 of NUREG-1525, "Assessment of the NRC Enforcement Program," and SECY 95-084, "Assessment of the NRC Enforcement Program, Proposed Revision to the General Statement of Policy and Procedure for NRC Enforcement Actions, and Removal of Policy from 10 CFR Part 2, Appendix C," which the Commission approved in a staff requirements memorandum dated June 16, 1995.

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