NUCLEAR ENERGY INSTITUTE

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November 19, 2008

Ms. Tanya M. Mensah
Senior Project Manager, Division of Policy and Rulemaking
Office of Nuclear Reactor Regulation
U.S. Nuclear Regulatory Commission
Washington, DC 20555-0001

Subject: NEI 94-01 Revision 2-A, *Industry Guideline for Implementing Performance-Based Option of 10 CFR Part 50, Appendix J*

Project Number: 689

Dear Ms. Mensah:

Enclosed is Revision 2-A to NEI technical report 94-01, *Industry Guideline for Implementing Performance-Based Option of 10 CFR Part 50, Appendix J.* The report was revised to incorporate the June 25, 2008 NRC Final Safety Evaluation Report (SER) and has been reissued with an "-A" (designating accepted) following the report number.

EPRI has also published Revision 2-A to EPRI Report No. 1009325 (new report number 1018243) which incorporates changes as outlined in the SER. The EPRI Report is publically available on http://my.epri.com/portal/server.pt?Product_id=000000000001018243.

Please contact me or Julie Keys at (202) 739-8128; jyk@nei.org if you have any questions on this letter or the enclosed report.

Sincerely,

John C. Butler

Enclosure

c: NRC Document Control Desk

Designate as original by PM - Tanya Mensah

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NEI 94–01
Revision 2 -A

NUCLEAR ENERGY INSTITUTE

INDUSTRY GUIDELINE FOR IMPLEMENTING PERFORMANCE–BASED OPTION OF 10 CFR PART 50, APPENDIX J

October 2008

REVISION 2-A TO INDUSTRY GUIDELINE FOR IMPLEMENTING PERFORMANCE–BASED OPTION OF 10 CFR PART 50, APPENDIX J

October 2008

ACKNOWLEDGMENTS

This guidance document, Industry Guideline for Implementing Performance–Based Option of 10 CFR 50, Appendix J, NEI 94–01, Revision 2-A, was developed by the Nuclear Energy Institute (NEI) Type A Integrated Leakage Rate Test Interval Extension Task Force. We appreciate the direct participation of the many licensees who contributed to the development of the guideline and the participation of the balance of the industry that reviewed and submitted comments to improve the document clarity and consistency. The dedicated and timely effort of the many Task Force participants, including their management's support of the effort, is greatly appreciated.

NOTICE

Neither NEI, nor any of its employees, members, supporting organizations, contractors, or consultants make any warranty, expressed or implied, or assume any legal responsibility for the accuracy or completeness of, or assume any liability for damages resulting from any use of, any information apparatus, method, or process disclosed in this report or that such may not infringe privately owned rights.

FOREWORD

The purpose of this guidance is to assist licensees in the implementation of Option B to 10 CFR 50, Appendix J, "Leakage Rate Testing of Containment of Light Water Cooled Nuclear Power Plants" and in extending Type A Integrated Leak Rate Test (ILRT) surveillance intervals beyond ten years.

In response to NRC data gathering inquiries, the industry collected, evaluated, and provided summary data that supported the NRC's independent data analysis of NUREG-1493. To support this 2008 revision, many licensees responded to an NEI request and provided pertinent leakage rate testing experience information covering the periods from 1995 to 2001 and 2001 to 2007.

EXECUTIVE SUMMARY

This document, NEI 94-01, describes an acceptable approach for implementing the optional performance-based requirements of Option B to 10 CFR 50, Appendix J, subject to the limitations and conditions noted in Section 4.0 of the NRC Safety Evaluation Report (SER) on NEI 94-01. The NRC SER is included in the front matter of this report.

NEI 94-01 includes provisions for extending Type A ILRT intervals to up to fifteen years and incorporates the regulatory positions stated in Regulatory Guide 1.163 (September 1995). It delineates a performance-based approach for determining Type A, Type B, and Type C containment leakage rate surveillance testing frequencies. Justification of extending test intervals is based on the performance history and risk insights.

This guideline discusses the performance factors that licensees must consider in determining test intervals. It does not address how to perform the tests because these details can be found in existing documents (e.g., ANSI/ANS-56.8-2002).

The performance criterion for Type A tests is a performance leakage rate (as defined in this guideline) of less than $1.0L_a$. Extension of Type A test intervals are allowed based upon two consecutive successful Type A tests and other requirements stated in Section 9.2.3 of this guideline. These additional requirements include supplemental inspections and a confirmatory plant-specific risk impact assessment. Type A testing shall be performed at a frequency of at least once per 15 years. If the Type A performance leakage rate is not acceptable, the performance criterion is not met, and a determination should be performed to identify the cause of unacceptable performance and determine appropriate corrective actions. Once completed, acceptable performance should be reestablished by demonstrating an acceptable performance leakage rate during a subsequent Type A test before resuming operation and by performing another successful Type A test within 48 months following the unsuccessful Type A test. Following these successful Type A tests, the surveillance frequency may be returned to at least once per 15 years.

Extensions of Type B and Type C test intervals are allowed based upon completion of two consecutive periodic as-found tests where the results of each test are within a licensee's allowable administrative limits. Intervals may be increased from 30 months up to a maximum of 120 months for Type B tests (except for containment airlocks) and up to a maximum of 60 months for Type C tests. If the Type B and C test results are not acceptable, the test frequency should be set at the initial test intervals. Once the cause determination and corrective actions have been completed, acceptable performance may be reestablished and the testing frequency returned to the extended intervals as specified in this document.

Containment airlock(s) shall be tested at an internal pressure of not less than P_a prior to a preoperational Type A test. Subsequent periodic tests shall be performed at a frequency of at least once per 30 months. When containment integrity is required, airlock door seals should be tested within 7 days after each containment access. For periods of multiple containment entries where the airlock doors are routinely used for access more frequently than once every 7 days (e.g., shift or daily inspection tours of the containment), door seals may be tested once per 30 days during this time period.

The performance factors that have been identified as important and should be considered in establishing testing intervals include past performance, service, design, safety impact, and cause determination as described in Section 11.3.1.

If a licensee considers extended test intervals of greater than 60 months for Type B tested components, the review should include the additional considerations of as-found tests, schedule and review as described in Section 11.3.2.

Finally, this document discusses the general requirements for recordkeeping for implementation of Option B to Appendix J.

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NRC SAFETY EVALUATION REPORT (SER)

NRC Safety Evaluation Report

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

June 25, 2008

Mr. John C. Butler, Director
Safety Focused Regulation, Nuclear Generation Division
Nuclear Energy Institute
Suite 400
1776 I Street, NW
Washington, DC 20006-3708

SUBJECT: FINAL SAFETY EVALUATION FOR NUCLEAR ENERGY INSTITUTE (NEI) TOPICAL REPORT (TR) 94-01, REVISION 2, "INDUSTRY GUIDELINE FOR IMPLEMENTING PERFORMANCE-BASED OPTION OF 10 CFR PART 50, APPENDIX J" AND ELECTRIC POWER RESEARCH INSTITUTE (EPRI) REPORT NO. 1009325, REVISION 2, AUGUST 2007, "RISK IMPACT ASSESSMENT OF EXTENDED INTEGRATED LEAK RATE TESTING INTERVALS" (TAC NO. MC9663)

Dear Mr. Butler:

By letter dated December 19, 2005, the NEI submitted TR 94-01, Revision 1j, "Industry Guideline For Implementing Performance-Based Option of 10 CFR [Title 10 of the *Code of Federal Regulations*] Part 50, Appendix J," and Electric Power Research Institute (EPRI) Report No. 1009325, Revision 1, December 2005, "Risk Impact Assessment of Extended Integrated Leak Rate Testing Intervals," to the U.S. Nuclear Regulatory Commission (NRC) staff for review. By letter dated February 21, 2007, the NRC staff submitted a request for additional information (RAI) identifying information needed to continue the review. By letter dated May 25, 2007, the NEI submitted its RAI responses. As a result of the RAI responses, NEI TR 94-01, Revision 1j, and EPRI Report No. 1009325, Revision 1, were revised to address NRC staff comments and recommendations. By letter dated August 31, 2007, the NEI submitted TR 94-01, Revision 2, "Industry Guideline For Implementing Performance-Based Option of 10 CFR Part 50 Appendix J," and EPRI Report No. 1009325, Revision 2, August 2007, "Risk Impact Assessment of Extended Integrated Leak Rate Testing Intervals," to the NRC staff for review. By letter dated December 5, 2007, an NRC draft safety evaluation (SE) regarding our approval of TR 94-01, Revision 2 and EPRI Report No. 10009325, Revision 2, was provided for your review and comment. By letter dated March 3, 2008, the NEI commented on the draft SE. The NRC staff's disposition of NEI's comments on the draft SE are discussed in Attachment 2 to the final SE enclosed with this letter.

After careful consideration, the NRC staff has accepted, with specific limitations the topical report identified as TR 94-01, Revision 2, and EPRI Report No. 1009325, Revision 2. The specific limitations are detailed in the foregoing TR and the enclosed final SE. This acceptance is applicable to nuclear power reactor licensees for which a license was issued under either 10 CFR Part 50 or Part 52 who propose to amend their technical specifications regarding containment leakage rate testing. The final SE defines the basis for our acceptance of the TR.

Our acceptance applies only to material provided in the subject TR. We do not intend to repeat our review of the acceptable material described in the TR. When the TR appears as a reference in license applications, our review will ensure that the material presented applies to the specific plant involved. License amendment requests that deviate from this TR will be subject to a plant-specific review in accordance with applicable review standards.

In accordance with the guidance provided on the NRC website, we request that NEI publish an accepted non-proprietary version of this TR within three months of receipt of this letter. The accepted version shall incorporate this letter and the enclosed final SE after the title page. Also, it must contain historical review information, including NRC requests for additional information and your responses. The accepted version shall include an "-A" (designating accepted) following the TR identification symbol.

If future changes to the NRC's regulatory requirements affect the acceptability of this TR, NEI and/or licensees referencing it will be expected to revise the TR appropriately, or justify its continued applicability for subsequent referencing.

Sincerely,

Mark J. Maxin, Acting Deputy Director
Division of Policy and Rulemaking
Office of Nuclear Reactor Regulation

Project No. 689

Enclosure: Final SE

cc w/encl: See next page

FINAL SAFETY EVALUATION BY THE OFFICE OF NUCLEAR REACTOR REGULATION

NUCLEAR ENERGY INSTITUTE (NEI) TOPICAL REPORT (TR) 94-01, REVISION 2, "INDUSTRY GUIDELINE FOR IMPLEMENTING PERFORMANCE-BASED OPTION OF 10 CFR PART 50, APPENDIX J" AND ELECTRIC POWER RESEARCH INSTITUTE (EPRI) REPORT NO. 1009325, REVISION 2, AUGUST 2007, "RISK IMPACT ASSESSMENT OF EXTENDED INTEGRATED LEAK RATE TESTING INTERVALS"

NUCLEAR ENERGY INSTITUTE

PROJECT NO. 689

1.0 INTRODUCTION AND BACKGROUND

In 1995, the U.S. Nuclear Regulatory Commission (NRC) amended Title 10 of the *Code of Federal Regulations* (10 CFR) Part 50, Appendix J, "Primary Reactor Containment Leakage Testing For Water-Cooled Power Reactors," to provide a performance-based Option B for the containment leakage testing requirements. Option B requires that test intervals for Type A, Type B, and Type C testing be determined by using a performance-based approach. Performance-based test intervals are based on consideration of the operating history of the component and resulting risk from its failure. The use of the term "performance-based" in Appendix J to 10 CFR Part 50 refers to both the performance history necessary to extend test intervals as well as to the criteria necessary to meet the requirements of Option B.

Type A tests focus on verifying the leakage integrity of a passive containment structure. Type B and C testing focus on assuring that containment penetrations are essentially leak tight. These tests collectively satisfy the requirements of 10 CFR Part 50, Appendix J, Option B as stated in the Introduction section to this Appendix:

> The purposes of the tests are to assure that (a) leakage through the primary reactor containment and systems and components penetrating primary containment shall not exceed allowable leakage rate values as specified in the technical specifications (TSs) or associated bases; and (b) periodic surveillance of reactor containment penetrations and isolation valves is performed so that proper maintenance and repairs are made during the service life of the containment, and systems and components penetrating primary containment.

ENCLOSURE

In 1995, Regulatory Guide (RG) 1.163, "Performance-Based Containment Leak-Test Program" (Reference 1), was developed that endorsed the NEI TR 94-01, Revision 0, "Industry Guideline for Implementing Performance-Based Option of 10 CFR Part 50, Appendix J" (Reference 2), with certain modifications and additions. Option B, in concert with RG 1.163 and NEI TR 94-01, Revision 0, allows licensees with a satisfactory integrated leak rate testing (ILRT) performance history (i.e., two consecutive, successful Type A tests) to reduce the test frequency for the Type A containment ILRT from three tests in 10 years to one test in 10 years. This relaxation was based on an NRC risk assessment contained in NUREG-1493, "Performance-Based Containment Leak-Test Program (Reference 3)," and the EPRI document TR-104285, "Risk Impact Assessment of Revised Containment Leak Rate Testing Intervals" (Reference 4), both of which showed that the risk increase associated with extending the ILRT surveillance interval was very small.

In 2001, the NEI initiated a project to justify further reduction of the ILRT test frequency from one test in 10 years to as low as one test in 20 years based on performance history and risk insights. In view of the time required to develop, approve, and promulgate generic guidance material, the NEI tasked the EPRI to develop interim guidance to licensees for developing uniform risk assessments supporting one-time extensions of the ILRT surveillance interval to 15 years (i.e., a test frequency of one test in 15 years). The NEI disseminated the interim guidance/methodology to licensees in November 2001 (References 5 and 6). This methodology has been subsequently used by licensees as the technical basis to support risk-informed, performance-based, one-time ILRT interval extensions to 15 years at approximately 75 operating reactors.

In December 2003, the NEI submitted draft NEI TR 94-01, Revision 1j, and EPRI Report No. 1009325, Revision 0, to support an industry effort to extend the ILRT surveillance interval to 20 years. The technical basis for the 20-year extension relied heavily on the use of new containment leakage probability values developed through an expert elicitation conducted by EPRI. Following the NRC staff's identification of a number of concerns regarding the expert elicitation, EPRI subsequently withdrew EPRI Report No. 1009325, Revision 0. Section 3.2 of this safety evaluation (SE) provides additional NRC staff discussion regarding the expert elicitation conducted by EPRI.

By letter dated December 19, 2005, the NEI submitted NEI TR 94-01, Revision 1j, "Industry Guideline for Implementing Performance-Based Option of 10 CFR Part 50, Appendix J" and EPRI Report No. 1009325, Revision 1, December 2005, "Risk Impact Assessment of Extended Integrated Leak Rate Testing Intervals" (Reference 7) for NRC staff review. EPRI Report No. 1009325, Revision 1, provides a generic assessment of the risks associated with a more limited, permanent extension of the ILRT surveillance interval to 15 years, and a risk-informed methodology/template to be used by licensees to confirm the risk impact of the ILRT extension on a plant-specific basis. The methodology is substantially similar to the NEI interim guidance/methodology, with minor enhancements to reflect experience from the analyses and reviews of one-time ILRT extensions and to reflect additional leak rate data from 35 recently completed ILRTs.

By letter dated February 21, 2007 (Reference 8), the NRC staff submitted a request for additional information (RAI) identifying information needed to continue the review. By letter dated May 25, 2007 (Reference 9), the NEI submitted its RAI responses. As a result of the RAI responses, NEI TR 94-01, Revision 1j, and EPRI Report No. 1009325, Revision 1, were revised to address NRC staff comments and recommendations. By letter dated August 31, 2007, the NEI submitted TR 94-01, Revision 2, "Industry Guideline For Implementing Performance-Based

Option of 10 CFR Part 50, Appendix J," and EPRI Report No. 1009325, Revision 2, August 2007, "Risk Impact Assessment of Extended Integrated Leak Rate Testing Intervals" (Reference 10), to the NRC staff for review.

NEI TR 94-01, Revision 2, describes an approach for implementing the optional performance-based requirements of Option B described in 10 CFR Part 50, Appendix J, which includes provisions for extending Type A ILRT intervals to up to 15 years and incorporates the regulatory positions stated in RG 1.163. It delineates a performance-based approach for determining Type A, Type B, and Type C containment leakage rate surveillance testing frequencies. This method uses industry performance data, plant-specific performance data, and risk insights in determining the appropriate testing frequency. NEI TR 94-01, Revision 2, also discusses the performance factors that licensees must consider in determining test intervals. However, it does not address how to perform the tests because these details can be found in existing documents (e.g., ANSI/ANS-56.8-2002) (Reference 11).

EPRI Report No. 1009325, Revision 2, provides a risk impact assessment for optimized ILRT intervals of up to 15 years, utilizing current industry performance data and risk-informed guidance, primarily Revision 1 of RG 1.174, "An Approach for using Probabilistic Risk Assessment in Risk-Informed Decisions on Plant-Specific Changes to the Licensing Basis" (Reference 12).

This SE documents the NRC staff's evaluation and acceptance of NEI TR 94-01, Revision 2, and EPRI Report No. 1009325, Revision 2, subject to the limitations and conditions identified in this SE and summarized in Section 4.0.

NEI TR 94-01, Revision 2, includes provisions related to permanently extending the ILRT surveillance interval to 15 years and incorporates the regulatory positions stated in RG 1.163, "Performance-Based Containment Leak-Test Program." Section 3.1 of this SE provides the NRC staff position on the adequacy of NEI TR 94-01, Revision 2, in addressing the performance-based Type A, Type B, and Type C test frequencies. It also addresses the adequacy of pre-test inspections, procedures to be used after major modifications to the containment structure, deferral of tests beyond 15 years interval, and the relation of containment in-service inspection requirements mandated by 10 CFR 50.55a to the containment leak rate testing requirement.

With regard to EPRI Report No. 1009325, Revision 2, Section 3.2 of this SE provides the NRC staff's evaluation of the methodology for assessing the plant-specific risk of permanently extending the ILRT surveillance interval to 15 years.

2.0 REGULATORY EVALUATION

2.1 Applicable Regulations

The regulation at 10 CFR 50.54(o), requires primary reactor containments for water-cooled power reactors to be subject to the requirements of Appendix J to 10 CFR Part 50, "Leakage Rate Testing of Containment of Water Cooled Nuclear Power Plants." Appendix J specifies containment leakage testing requirements, including the types of tests required to ensure the leak-tight integrity of the primary reactor containment and systems and components which penetrate the containment. In addition, Appendix J discusses leakage rate acceptance criteria, test methodology, frequency of testing, and reporting requirements for each type of test.

In the context of Option B, the TS associated with ensuring the leak-tight integrity of containment must adequately address the risk-informed criteria described in Section 2.2 of this SE, as well as the deterministic implementation provisions that are necessary to ensure that the associated hardware components are properly monitored and maintained during the interval.

NEI TR 94-01, Revision 2, provides guidance for implementing the Appendix J performance-based requirements and incorporates, by reference, the provisions of ANSI/ANS-56.8-2002 and the requirements of Subsections IWE and IWL of Section XI of the American Society of Mechanical Engineers (ASME) Boiler & Pressure Vessel Code (Code) (References 13 and 14). The ASME Code requirements are incorporated by reference in 10 CFR 50.55a, with modifications and limitations. The modifications and limitations vary in accordance with the edition and the addenda of the ASME Code as required by 10 CFR 50.55a.

2.2 Applicable Regulatory Criteria/Guidelines

As discussed in Section 1.0 of this SE, RG 1.163 was developed in 1995 to endorse NEI TR 94-01, Revision 0, with certain modifications and additions.

General guidance for evaluating the technical basis of proposed risk-informed changes is provided in RG 1.174 and Section 19.2 of the NRC Standard Review Plan (SRP) (Reference 15). More specific guidance related to risk-informed TS changes is provided in RG 1.177, "An Approach for Plant-Specific, Risk-Informed Decisionmaking: Technical Specifications" (Reference 16) and Section 16.1 of the SRP. RG 1.174 and SRP Section 19.2 state:

> For each risk-informed application, reviewers should ensure that the proposed changes meet the following principles. (Subsections of this SRP section dealing with review guidance for each principle are identified in brackets).
>
> 1. The proposed change meets the current regulations unless it is explicitly related to a requested exemption, i.e., a "specific exemption" under 10 CFR 50.12. [Subsection III.2.1].
>
> 2. The proposed change is consistent with the defense-in-depth philosophy. [Subsection III.2.1].
>
> 3. The proposed change maintains sufficient safety margins. [Subsection III.2.1].
>
> 4. When proposed changes result in an increase in core damage frequency (CDF) or risk, the increases should be small and consistent with the intent of the Commission's safety Goal Policy Statement (60 FR 42622). [Subsections III.2.2 and III.2.3].
>
> 5. The impact of the proposed change should be monitored using performance measurement strategies. [Subsection III.3].

In addition, RG 1.177, Section 2.3.1 and parallel language in SRP Section 16.1 state in part that:

> The quality of the PRA [Probabilistic Risk Assessment] must be compatible with the safety implications of the TS change being requested and the role that the PRA plays in justifying that change.

SRP Section 19.1 provides guidance for determining the technical adequacy of PRA results for risk-informed activities.

The NRC staff considered this guidance in assessing the methodology contained in EPRI Report No. 1009325, Revision 2.

3.0 TECHNICAL EVALUATION

3.1 NRC Staff Evaluation of NEI TR 94-01, Revision 2

The purpose of NEI TR 94-01, Revision 2, is to assist licensees in the implementation of Option B to 10 CFR Part 50, Appendix J, and in extending Type A ILRT intervals beyond 10 years. Specifically, NEI TR 94-01, Revision 2, includes guidance that would permit the licensees to permanently extend the ILRT surveillance interval to 15 years and incorporates the regulatory positions stated in RG 1.163. It delineates a performance-based approach for determining Type A, Type B, and Type C containment leakage rate testing frequencies.

The reactor containment leakage test program includes performance of an ILRT, also termed as a Type A test; and performance of Local Leakage Rate Tests (LLRTs), also termed as either Type B or Type C tests. The Type A test measures the overall leakage rate of the primary reactor containment. Type B tests are intended to detect leakage paths and measure leakage rates for primary reactor containment penetrations. Type C tests are intended to measure containment isolation valve leakage rates.

Sections 3.1.1 through 3.1.4 of this SE provide the NRC staff's evaluation of the adequacy of NEI TR 94-01, Revision 2, for addressing the performance-based Type A, Type B, and Type C test frequencies. Sections 3.1.1 through 3.1.4 also address the adequacy of pre-test inspections, procedures to be used after major modifications have been made to the containment structure, deferral of tests beyond a 15 years interval, and the relationship of containment in-service inspection requirements as mandated by 10 CFR 50.55a to the containment leak rate testing requirement.

3.1.1 Performance-Based Type A Test (ILRT) Frequencies

NEI TR 94-01, Revision 2, states that, "Type A, Type B, and Type C tests should be performed using the technical methods and techniques specified in ANSI/ANS-56.8-2002, or other alternative testing methods that have been approved by the NRC staff." The NRC staff agrees with the methodology used in ANSI/ANS-56.8-2002 and accepts this as a reference for how licensees should perform the tests.

3.1.1.1 Type A Performance Leakage Rate

Determination of the surveillance frequency of Type A tests is based upon satisfactory performance of leakage tests that meet the requirements of Appendix J to 10 CFR Part 50. The use of the term "performance" refers to both the performance history necessary to determine future test intervals as well as the overall criteria needed to demonstrate leakage integrity. The performance leakage rate can also used as a basis for demonstrating the impact on public health and safety.

Section 5.0 of NEI TR 94-01, Revision 2, uses a definition of "performance leakage rate" for Type A tests that is different from that of ANSI/ANS-56.8-2002 (Reference 11). The definition contained in NEI TR 94-01, Revision 2, is more inclusive because it considers excessive leakage in the performance determination. In defining the minimum pathway leakage rate, NEI TR 94-01, Revision 2, includes the leakage rate for all Type B and Type C pathways that were in service, isolated, or not lined up in their test position prior to the performance of the Type A test. Additionally, the NEI TR 94-01, Revision 2, definition of performance leakage rate requires consideration of the leakage pathways that were isolated during performance of the test because of excessive leakage in the performance determination. The NRC staff finds this modification of the definition of "performance leakage rate" used for Type A tests to be acceptable.

Section 9.2.3 of NEI TR 94-01, Revision 2, states that, "Type A testing shall be performed during a period of reactor shutdown at a frequency of at least once per 15 years based on acceptable performance history. Acceptable performance history is defined as successful completion of two consecutive periodic Type A tests where the calculated performance leakage rate was less than 1.0 La [the maximum allowable Type A test leakage rate at Pa, where Pa equals the calculated peak containment internal pressure related to the design-basis loss-of-coolant accident]. A preoperational Type A test may be used as one of the two Type A tests that must be successfully completed to extend the test interval, provided that an engineering analysis is performed to document why a preoperational Type A test can be treated as a periodic test. Elapsed time between the first and last tests in a series of consecutive satisfactory tests used to determine performance shall be at least 24 months."

If the Type A performance leakage rate is not acceptable, then the performance criterion is not met and a determination should be performed by the licensee to identify the cause of unacceptable performance and determine appropriate corrective actions. Once completed, acceptable performance should be reestablished by demonstrating an acceptable performance leakage rate during a subsequent Type A test before resuming operation and by performing another successful Type A test within 48 months following the unsuccessful Type A test. Following these successful Type A tests, the surveillance frequency may be returned to the extended test interval.

3.1.1.2 Deferral of Tests Beyond The 15-Year Interval

As noted above, Section 9.2.3, NEI TR 94-01, Revision 2, states, "Type A testing shall be performed during a period of reactor shutdown at a frequency of at least once per 15 years based on acceptable performance history." However, Section 9.1 states that the "required surveillance intervals for recommended Type A testing given in this section may be extended by up to 9 months to accommodate unforeseen emergent conditions but should not be used for routine scheduling and planning purposes." The NRC staff believes that extensions of the

performance-based Type A test interval beyond the required 15 years should be infrequent and used only for compelling reasons. Therefore, if a licensee wants to use the provisions of Section 9.1 in TR NEI 94-01, Revision 2, the licensee will have to demonstrate to the NRC staff that an unforeseen emergent condition exists.

3.1.1.3 Adequacy of Pre-Test Inspections (Visual Examinations)

NEI TR 94-01, Revision 2, Section 9.2.3.2, states that: "To provide continuing supplemental means of identifying potential containment degradation, a general visual examination of accessible interior and exterior surfaces of the containment for structural deterioration that may affect the containment leak-tight integrity must be conducted prior to each Type A test and during at least three other outages before the next Type A test if the interval for the Type A test has been extended to 15 years." NEI TR 94-01, Revision 2, recommends that these inspections be performed in conjunction or coordinated with the examinations required by ASME Code, Section XI, Subsections IWE and IWL. The NRC staff finds that these visual examination provisions, which are consistent with the provisions of regulatory position C.3. of RG 1.163, are acceptable considering the longer 15 year interval. Regulatory Position C.3 of RG 1.163 recommends that such examination be performed at least two more times in the period of 10 years. The NRC staff agrees that as the Type A test interval is changed to 15 years, the schedule of visual inspections should also be revised. Section 9.2.3.2 in NEI TR 94-01, Revision 2, addresses the supplemental inspection requirements that are acceptable to the NRC staff.

Subsections IWE and IWL (References 13 and 14) of the ASME Code, Section XI, as incorporated by reference in 10 CFR 50.55a, require general visual examinations two times within a 10-year interval for concrete components (Subsection IWL), and three times within a 10-year interval for steel components (Subsection IWE). To avoid duplication or deletion of examinations, licensees using NEI TR 94-01, Revision 2, have to develop a schedule for containment inspections that satisfy the provisions of Section 9.2.3.2 of this TR and ASME Code, Section XI, Subsection IWE and IWL requirements.

3.1.2 Performance-Based Type B & C Test (LLRT) Frequencies

Individual licensees may adopt a testing interval and approach provided that certain performance factors and programmatic controls are reviewed and applied as appropriate. The performance factors that have been identified as important, and that should be considered in establishing testing intervals, include past performance, service design, safety impact, and cause determination. A licensee should develop bases for new frequencies based upon satisfactory performance of leakage tests that meet the requirements of 10 CFR Part 50, Appendix J. Additional considerations used to determine appropriate frequencies may include service life, environment, past performance, design, and safety impact.

3.1.2.1 Type B & C Performance Leakage Rate

Leakage rates less than the administrative leakage rate limits are considered acceptable to the NRC staff. Administrative limits for leakage rates shall be established, documented and maintained for each Type B and Type C component prior to the performance of LLRT in accordance with the guidance provided in ANSI/ANS-56.8-2002, Sections 6.5 and 6.5.1. Administrative limits are specific to individual penetrations or valves, and not the surveillance acceptance criteria for Type B and Type C tests. Acceptance criteria for the combined leakage

rate for all penetration subject to Type B or Type C testing should be defined in accordance with ANSI/ANS-56.8-2002, Sections 6.4 and 6.5.

3.1.2.2 Extending Type B&C Test Intervals

The regulation at 10 CFR Part 50, Appendix J, states that Type B and Type C tests shall be performed prior to initial reactor operation. In accordance with the guidance in NEI TR 94-01, Revision 2, subsequent periodic Type B and Type C tests shall be performed at a frequency of at least once per 30 months, until adequate performance history is established. Extensions of Type B and Type C test intervals are allowed based upon completion of two consecutive periodic as-found tests where the results of each test are within a licensee's allowable administrative limits.

NEI TR 94-01, Revision 2 (page iv, Executive Summary) states that: "Intervals may be increased from 30 months up to a maximum of 120 months for Type B tests (except for containment airlocks) and up to a maximum of 60 months for Type C tests... If a licensee considers extended test intervals of greater than 60 months for Type B tested components, the review should include the additional considerations of as-found tests, schedule and review... If the Type B and C test results are not acceptable, the test frequency should be set at the initial test intervals. Once the cause determination and corrective actions have been completed, acceptable performance may be reestablished and the testing frequency returned to the extended intervals...."

NEI TR 94-01, Revision 2, Sections 10.2.1.3 (Type B testing) and 10.2.3.3 (Type C testing) stipulate that the performance of these shall be performed at a frequency of at least once per 30 months if a penetration is replaced or engineering judgment determines that modification of a penetration has invalidated the valve's performance history; and that testing shall continue at this frequency until an adequate performance history is established.

The regulation at 10 CFR Part 50, Appendix J, requires that containment airlock(s) are tested at an internal pressure of not less than P_a prior to a preoperational Type A test. In accordance with the guidance in NEI TR 94-01, Revision 2, subsequent periodic tests shall be performed at a frequency of at least once per 30 months. When containment integrity is required, airlock door seals should be tested within seven days after each containment access. For periods of multiple containment entries where the airlock doors are routinely used for access more frequently than once every 7 days (e.g., shift or daily inspection tours of the containment), door seals may be tested once per 30 days during this time period.

NEI TR 94-01, Revision 2, Section 10.1, is going to be revised in the "-A" version to state that the: "intervals of up to 60 months for the recommended surveillance frequency for Type B and Type C testing given in this section may be extended by up to 25 percent of the test interval, not to exceed nine months." The NRC staff agrees with this extension as being consistent with scheduling practices for TS.

3.1.3 Type A Test (ILRT), Type B and Type C Tests (LLRTs), and Containment In-Service Inspections (ISIs)

In Sections 9.2.1 and 9.2.3.2, NEI TR 94-01, Revision 2, references the visual examinations and IWE/IWL inspections. However, with the relatively longer intervals allowed for performing the ILRTs and LLRTs compared to the requirements that existed prior to 1995, the containment

inspections play an important role in ensuring the leak tightness of containments between the tests. In approving for Type A tests the one-time extension from 10 years to 15 years, the NRC staff has identified areas that need to be specifically addressed during the IWE and IWL inspections including a number of containment pressure-retaining boundary components (e.g., seals and gaskets of mechanical and electrical penetrations, bolting, penetration bellows) and a number of the accessible and inaccessible areas of the containment structures (e.g., moisture barriers, steel shells, and liners backed by concrete, inaccessible areas of ice-condenser containments that are potentially subject to corrosion). Risk-informed analysis (both plant-specific and generic (i.e., EPRI Report No. 1009326)) has included specific consideration of degradation in inaccessible areas. However, this consideration is based on the availability of data related to the containment degradation in inaccessible areas. Therefore, licensees referencing NEI 94-01, Revision 2, in support of a request to amend their TS should also explore/consider such inaccessible degradation-susceptible areas in plant-specific inspections, using viable, commercially available NDE methods (such as boroscopes, guided wave techniques, etc.) – see Report ORNL/NRC/LTR-02/02, "Inspection of Inaccessible Regions of Nuclear Power Plant Containment Metallic Pressure Boundaries," June 2002 (ADAMS Accession No. ML061230425), for recommendations to support plant-specific evaluations.

3.1.4 Major and Minor Containment Repairs and Modifications

Section 9.2.4 of NEI TR 94-01, Revision 2, states that: "Repairs and modifications that affect the containment leakage integrity require LLRT or short duration structural tests as appropriate to provide assurance of containment integrity following the modification or repair. This testing shall be performed prior to returning the containment to operation." Article IWE-5000 of the ASME Code, Section XI, Subsection IWE (up to the 2001 Edition and the 2003 Addenda), would require a Type A test after major repair or modifications to the containment. In general, the NRC staff considers the cutting of a large hole in the containment for replacement of steam generators or reactor vessel heads, replacement of large penetrations, as major repair or modifications to the containment structure. At the request of a number of licensees, the NRC staff has agreed to a relief request from the IWE requirements for performing the Type A test and has accepted a combination of actions consisting of ensuring that: (1) the modified containment meets the pre-service non-destructive evaluation (NDE) test requirements (i.e., as required by the construction code), (2) the locally welded areas are examined for essentially zero leakage using a soap bubble, or an equivalent, test, and (3) the entire containment is subjected to the peak calculated containment design basis accident pressure for a minimum of 10 minutes (steel containment) and 1 hour (concrete containment), and (4) the outside surfaces of concrete containments are visually examined as required by the ASME Code, Section XI, Subsection IWL, during the peak pressure, and that the outside and inside surfaces of the steel surfaces are examined as required by the ASME Code, Section XI, Subsection IWE, immediately after the test. This is defined as a short duration structural test of the containment. For minor modifications (e.g., replacement or addition of a small penetration), or modification of attachments to the pressure retaining boundary (i.e., repair/replacement of steel containment stiffeners), leakage integrity of the affected pressure retaining areas should be verified by a LLRT.

3.1.5 Summary Of The NRC Staff Evaluation of NEI TR 94-01, Revision 2

The NRC staff finds that the guidance in NEI TR 94-01, Revision 2, is acceptable for referencing by licensees in the implementation for the optional performance-based requirements of Option B

as described in 10 CFR Part 50, Appendix J, subject to the limitations and conditions noted in Section 4.0 of this SE.

3.2 NRC Staff Evaluation of EPRI Report No. 1009325, Revision 2

EPRI Report No. 1009325, Revision 2, provides a generic assessment of the risks associated with a permanent extension of the ILRT surveillance interval to 15 years, and a risk-informed methodology/template to be used to confirm the risk impact of the ILRT extension on a plant-specific basis. PRA methods are used, in combination with ILRT performance data and other considerations, to justify the extension of the ILRT surveillance interval. This is in accordance with guidance provided in RGs 1.174 and 1.177 in support of changes to surveillance test intervals.

The guidance provided in EPRI Report No. 1009325, Revision 2, for PRA modeling is substantially the same as that found in the NEI interim guidance/methodology used to support one-time, 15-year ILRT extensions for approximately seventy-five nuclear units, with minor enhancements to reflect experience from the analyses and reviews of one-time ILRT extensions, and additional leak rate data from 35 recently completed ILRTs.

RGs 1.174 and 1.177 identify five key safety principles (summarized in Section 2.2 of this SE) to be met for risk-informed applications. These principles are addressed in the sections below.

3.2.1 The Proposed Change Meets the Current Regulations unless it is Explicitly Related to a Requested Exemption or Rule Change

NEI TR 94-01, Revision 2, provides guidance for implementing the 10 CFR Part 50, Appendix J, performance-based requirements and incorporates, by reference, the provisions of ANSI/ANS-56.8-2002 and the requirements of Subsections IWE and IWL of Section XI of the ASME Code (References 13 and 14, respectively). The ASME Code requirements are incorporated by reference in 10 CFR 50.55a, with modifications and limitations. The modifications and limitations vary in accordance with the edition and the addenda of the ASME Code as required by 10 CFR 50.55a.

3.2.2 The Proposed Change is Consistent with the Defense-in-Depth Philosophy

Defense-in-depth consists of a number of elements as summarized in RG 1.174 and 1.177. Regarding the proposed change to the ILRT interval, the defense-in-depth philosophy is maintained if independence of barriers is not degraded, and a reasonable balance is preserved among prevention of core damage, prevention of containment failure, and consequence mitigation.

The requested change involves reducing the ILRT test frequency from one test in 10 years to one test in 15 years based on performance history and risk insights. Containment leak-tight integrity will continue to be verified through periodic in-service inspections conducted in accordance with the requirements of the ASME Code, Section XI, Subsections IWE and IWL. These requirements will not be changed as a result of the extended ILRT interval. In addition, Type B and C local leak rate tests performed to verify the leak-tight integrity of containment penetrations bellows, airlocks, and gaskets are also not affected by the change to the ILRT test frequency. Thus, the impact of the requested change on the reliability/availability of the containment barrier will be small.

The impact of the proposed change on the reactor barrier and CDF is not a key consideration in the methodology since, in general, CDF is not affected by an extension of the ILRT interval. As an exception, there are a limited number of licensees that operate plants which rely on containment over-pressure for net positive suction head (NPSH) for the emergency core cooling system (ECCS) injection for certain accident sequences. Section 4.2.6 of EPRI Report No. 1009325, Revision 2, includes guidance for licensees that operate plants that rely on containment over-pressure for NPSH for ECCS injection, and that may experience an increase in CDF as a result of the proposed change in the ILRT interval. Page H-6 of EPRI Report No. 1009325, Revision 2, instructs that a license amendment request (LAR) is required for plants crediting over-pressure. EPRI Report No. 1009325, Revision 2, ensures that any potential increases in the likelihood of large containment leakage that could eliminate the containment over-pressure relied upon for ECCS performance are specifically addressed and that any increases in CDF will be small when compared to with the risk acceptance guidelines of RG 1.174. As such, the independence of barriers will not be degraded as a result of the requested change.

EPRI Report No. 1009325, Revision 2, uses three separate metrics, which are discussed in more detail in the following sections of this SE, to evaluate the impact of the proposed change on the ILRT interval. These metrics are, specifically, Large Early Release Frequency (LERF), population dose within a 50-mile radius of the plant, and conditional containment failure probability (CCFP). The use of these metrics collectively ensures that the balance between prevention of core damage, prevention of containment failure, and consequence mitigation is preserved.

LERF is a surrogate for the NRC's early fatality quantitative health objective (QHO). Compliance with the risk acceptance guidelines for LERF contained in RG 1.174 ensures that the impact of the proposed change on the LERF metric is small and that the intent of the NRC's Safety Goal Policy Statement for operating nuclear power plants will continue to be met. Compliance with the guidelines concerning changes to LERF is achieved by a PRA-based evaluation, as discussed in Section 3.2.4 of this SE.

EPRI Report No. 1009325, Revision 2, also includes an assessment of the impact of the proposed change on the radiological dose to the population within a 50-mile radius of the plant. The population dose metric reflects the combined impact of the proposed change on all containment release modes/categories (including minimal, small, and large releases in both the early and late time periods), in lieu of focusing only on large early releases. This metric provides perspective on the overall impact of the proposed change on offsite consequences and ensures that these impacts will be small.

Finally, EPRI Report No. 1009325, Revision 2, includes an assessment of the impact of the proposed change on the CCFP. This metric provides perspective on the impact of the proposed change on containment performance. By ensuring that the change in the CCFP is small, the balance among the goals of prevention of core damage and prevention of containment failure will be preserved.

In summary, the independence of barriers will not be degraded as a result of the requested change, and the use of the three quantitative risk metrics collectively ensures that the balance between prevention of core damage, prevention of containment failure, and consequence mitigation is preserved, satisfying the second key safety principle.

3.2.3 The Proposed Change Maintains Sufficient Safety Margins

The design, operation, testing methods, and acceptance criteria for Type A, B, and C containment leakage tests specified in applicable codes and standards (or alternatives approved for use by the NRC staff) will continue to be met as described in the plant licensing basis (including the final safety analysis report and the bases of the TS), since these are not affected by changes to the ILRT interval. Similarly, there is no impact to the safety analysis acceptance criteria as described in the plant licensing basis. Thus, safety margins are maintained by the proposed methodology, and the third key safety principle is satisfied.

3.2.4 When Proposed Changes Result in an Increase in CDF or Risk, the Increases Should be Small and Consistent with the Intent of the Commission's Safety Goal Policy Statement

RG 1.177 provides a framework for the risk evaluation of proposed changes to surveillance intervals which requires the identification of the risk contribution from impacted surveillances, determination of the risk impact due to the change in the proposed surveillance interval, and performance of sensitivity and uncertainty evaluations. EPRI Report No. 1009325, Revision 2, satisfies the intent of RG 1.177 requirements for evaluation of the change in risk, and for ensuring that such changes are small. Considerations in assessing the risk implications of the proposed change are discussed below relative to the six regulatory positions articulated in RG 1.177.

3.2.4.1 Quality of the PRA

Regulatory Position 2.3.1 of RG 1.177 states that the quality of the PRA must be compatible with the safety implications of the TS change being requested and the role that the PRA plays in justifying that change.

EPRI Report No. 1009325, Revision 2, provides the general conclusion that the risk impact associated with a permanent extension of the ILRT surveillance interval to 15 years is small, but it states that because of the possibility of an outlying plant, a confirmatory risk impact assessment is prudent. A risk-informed methodology/template to be used to confirm the risk impact of the ILRT extension on a plant-specific basis is provided in EPRI Report No. 1009325, Revision 2. The methodology relies on use of the plant-specific PRA for internal events and the available plant-specific risk analyses for external events. EPRI Report No. 1009325, Revision 2, does not address PRA quality.

Licensee requests for a permanent extension of the ILRT surveillance interval to 15 years pursuant to NEI TR 94-01, Revision 2, and EPRI Report No. 1009325, Revision 2, will be treated by NRC staff as risk-informed license amendment requests. Consistent with information provided to industry in Regulatory Issue Summary 2007-06, "Regulatory Guide 1.200 Implementation" (Reference 17), the NRC staff will expect the licensee's supporting Level 1/LERF PRA to address the technical adequacy requirements of RG 1.200, Revision 1 (Reference 18). Capability category I of ASME RA-Sa-2003 shall be applied as the standard, since approximate values of CDF and LERF and their distribution among release categories are sufficient for use in the EPRI methodology. Any identified deficiencies in addressing this standard shall be assessed further in order to determine any impacts on any proposed decreases to surveillance frequencies. If further revisions to RG 1.200 are issued which endorse additional standards, the NRC staff will evaluate any application referencing

NEI TR 94-01, Revision 2, and EPRI Report No. 1009325, Revision 2, to examine if it meets the PRA quality guidance per the RG 1.200 implementation schedule identified by the NRC staff.

For those plants licensed under 10 CFR Part 52, the confirmatory risk impact assessment will need to address differences associated with risk assessments performed for those facilities and the guidance provided in guidance such as EPRI Report No. 1009325, Revision 2, and RG 1.177. For example, discussions within the guidance and this safety evaluation use LERF whereas risk assessments for combined license applicants and holders use large release frequency (LRF). Although the NRC staff finds the general methodologies presented in NEI TR 94-01, Revision 2, and EPRI Report No. 1009325, Revision 2, applicable and adequate for plants licensed under 10 CFR Part 52, these licensees will need to review their licensing bases and supporting documents and adjust or supplement their submittals requesting a permanent extension of the ILRT surveillance interval to 15 years to address specific design or regulatory differences between their plants and the guidance documents (e.g., the use of LRF instead of LERF).

This level of PRA quality is sufficient to support the evaluation of changes to the ILRT surveillance frequencies, and is consistent with Regulatory Position C.2.3.1 of RG 1.177.

3.2.4.2 Scope of the PRA

Regulatory Position 2.3.2 of RG 1.177 states that: "The scope and the level of PRA necessary to fully support the evaluation of a TS change depend on the type of TS change being sought;" and indicates that "For containment systems, Level 2 evaluations are likely to be needed at least to the point of assessing containment structural performance in order to estimate the LERF."

The methodology provided in EPRI Report No. 1009325, Revision 2, uses three separate metrics to evaluate the impact of the proposed change to the ILRT interval, specifically, LERF, population dose within a 50-mile radius of the plant, and conditional containment failure probability.

Although the emphasis of the quantitative evaluation is on the risk impact from internal events, the guidance in EPRI Report No. 1009325, Revision 2, Section 4.2.7, "External Events," states that: "Where possible, the analysis should include a quantitative assessment of the contribution of external events (e.g., fire and seismic) in the risk impact assessment for extended ILRT intervals." This section also states that: "If the external event analysis is not of sufficient quality or detail to directly apply the methodology provided in this document [(i.e., EPRI Report No. 1009325, Revision 2)], the quality or detail will be increased or a suitable estimate of the risk impact from the external events should be performed." This assessment can be taken from existing, previously submitted and approved analyses or other alternate method of assessing an order of magnitude estimate for contribution of the external event to the impact of the changed interval."

The impact of the proposed change on CDF is not a key consideration in the methodology since in general CDF is not affected by an extension of the ILRT interval. An exception is plants that rely on containment over-pressure for NPSH for ECCS injection for certain accident sequences. EPRI Report No. 1009325, Revision 2, states that licensees should examine their NPSH requirements to determine if containment over-pressure is required for ECCS performance, and adjust the PRA model to account for this requirement if accident scenarios could be impacted by

a large containment failure that eliminates the necessary containment over-pressure. As a first order estimate, it can be assumed that events assigned to EPRI Class 3b (large containment leakage) would result in loss of containment over-pressure and unavailability of systems that depend on this contribution to NPSH. The impact on CDF would be accounted for in a similar manner as the LERF contribution from EPRI Class 3b. The combined impacts on CDF and LERF will be considered in the ILRT evaluation and compared with the risk acceptance guidelines in RG 1.174.

The guidance provided in EPRI Report No. 1009325, Revision 2, is sufficient to ensure that the scope of the risk contribution from each surveillance is properly identified for evaluation and is consistent with Regulatory Position C.2.3.2 of RG 1.177.

3.2.4.3 PRA Modeling

Regulatory Position 2.3.3 of RG 1.177 states that: "To evaluate a TS change, the specific systems or components involved should be modeled in the PRA." Additional guidance is provided in this regulatory position regarding the modeling of initiating events, screening criteria, and truncation limits, but is not applicable to the proposed change.

The methodology provided in EPRI Report No. 1009325, Revision 2, employs a simplified risk model that distinguishes between those accident sequences that are affected by the status of the containment isolation system and those that are a direct function of severe accident phenomena. The methodology involves binning core damage sequences from the plant-specific Level 2 PRA into one of eight EPRI accident classes used to define the spectrum of plant releases. Two specific accident classes are included to represent events in which the containment has either a small pre-existing leakage (Class 3a) or a large pre-existing leakage (Class 3b).

Class 3a is considered representative of a range of leaks from those with a magnitude greater than the maximum allowable leakage rate for containment to those with less leakage than that which would contribute to LERF (leakage greater than 1 x La, but less than 35 x La). For dose assessment purposes, Class 3a is assigned a leakage rate equivalent to ten times the maximum allowable TS leakage rate for the containment (i.e., 10 x La).

Class 3b is considered to represent leaks with a magnitude equal to or greater than that which would contribute to LERF, and is assigned a leakage rate equivalent to 35 times the maximum allowable TS leakage rate for the containment (i.e., 35 x La).

The NRC staff identified deficiencies in EPRI Report No. 1009325, Revision 2, regarding the magnitude of the leakage assigned to Class 3b. Class 3b is treated in EPRI Report No. 1009325, Revision 2, as if it corresponded exactly to a leak rate of 35 La. Based upon NRC staff review, the correct treatment is to recognize that accident case 3b corresponds to leak rates greater than or equal to 35 La, not exactly equal to 35 La. Section 3.7 (and elsewhere) in EPRI Report No. 1009325, Revision 2, states that the use of 35 La to represent a large early release is conservative. The NRC staff agrees that the frequency of leak rates greater than 35 La is a conservative estimate of the frequency of leak rates greater than 600 percent per day, which is generally regarded as the criterion for a large early release. However, 35 La is not a conservative estimate of the leak rate associated with a large early release (600 La or 6000 La, depending on the TS leak rate).

In a correct treatment, the leak rate in each infinitesimal leak rate range should be multiplied by the probability (given core damage) of the leak rate in that range and then these products should be integrated over the range above 35 La. If the result is then divided by the probability of an accident in that range (i.e., the probability of accident case 3b), one obtains the average leak rate over the accident case 3b range.

In the attachment to this SE, this approach is used, with the complementary cumulative distribution function for the leak rate provided in Table D-1 of EPRI Report No. 1009325, Revision 2. When this approach is used, an average leak rate over the accident case 3b range of 100 La is obtained. In addition, while not fully reconciled, the range of large leakages in the expert elicitation indicates that an estimated leakage of approximately 100 La is the frequency weighted average of a reasonable range of leakage magnitudes and will be adopted for this effort. The population dose estimates for accident case 3b should be multiplied by (100 La)/(35 La) to obtain a corrected estimate of the expected population dose.

As a result of these considerations, the method given in EPRI Report No. 1009325, Revision 2, for calculating the expected population dose (per year of operation) is not completely acceptable to the NRC staff. In order to make the method acceptable, the average leak rate for the containment pre-existing large leak rate case, accident case 3b, must be increased from 35 La to 100 La.

The frequencies associated with Class 3a and Class 3b are determined by multiplying the frequency of accident sequences affected by the ILRT extension by the conditional probability of a small or a large leak; the frequency of Class 1 events (intact containment) is then reduced by that amount. The Class 3a and Class 3b probability values are based on ILRT test data developed through two industry surveys plus additional leak rate data from 35 recently completed ILRTs.

The LERF will generally increase as a result of the increase in the time between containment ILRT. The model used assumes that the large early release frequency (from preexisting containment leakage) increases linearly with the test interval. For the base case of one ILRT every three years, the following procedure is followed. A Jeffreys prior is assumed, and is updated with zero large leaks in two-hundred seventeen tests. The mean of the resulting posterior distribution is taken as the estimate of the large early release probability given core damage, from accident sequences affected by the change in ILRT test interval. This probability is then multiplied by the CDF from those accident sequences which do not already lead to a large early release to obtain the LERF which is affected by the change in ILRT test intervals. Denote the value obtained by F. This value is assumed to apply to the base case, with a test interval of every three years, since most of the data was gathered during the time when the test interval was three years. The value of F is assumed, as already noted, to be proportional to the length of the test interval. Thus, for a test interval of 15 years, the value of F is five times the value for the base case. There were 217 tests with zero large leak rates. The Jeffreys procedure leads to the result that the probability of a large leak, given a core damage event, is approximately 0.0023 (0.5/217), for the base case (See Section 3.5 of EPRI Report No. 1009325, Revision 2). Increasing the length of the test interval from 3 years to 15 years, therefore, increases the probability of a large leak by four times that of the base case — i.e., the (change) increase in probability is approximately 0.0092 (4 x 0.0023). For a CDF of 1E-4 per year, this results in an increase of approximately 9E-7 in the LERF — in the acceptable range for plants whose total LERF is less than 1E-5 per year. The procedure for calculating the increase in the LERF from the increase in the length of the ILRT test interval is acceptable to NRC staff.

The model is separately quantified for the baseline ILRT frequency (i.e., three tests in 10 years), as well as for the reduced test frequencies (i.e., one test in 10 years and one test in 15 years). For the cases with a reduced test frequency, the Class 3a and 3b frequencies are increased (from the baseline values) by a factor to account for longer exposure period between tests. For example, relaxing the ILRT frequency from three tests in 10 years to one test in 15 years is assumed to increase the average time that a leak goes undetected from 18 to 90 months (one half the surveillance interval) resulting in a factor of five increase in the Class 3a and 3b frequencies. The risk impacts of the extended test interval are assessed based on the change in the risk metrics between the baseline case and the extended test interval cases. The methodology also includes a separate, plant-specific assessment of the likelihood and risk implications of corrosion-induced leakage of steel liners going undetected during the extended ILRT interval.

Subject to the aforementioned corrections to the population dose for Class 3b, the NRC staff considers that the guidance provided in EPRI Report No. 1009325, Revision 2, for PRA modeling is sufficient to ensure an acceptable evaluation of risk due to the change in surveillance frequency, and is consistent with Regulatory Position C.2.3.3 of RG 1.177.

3.2.4.4 Assumptions

Regulatory Position 2.3.4 of RG 1.177 states that: "Using PRAs to evaluate TS changes requires consideration of a number of assumptions made within the PRA that can have a significant influence on the ultimate acceptability of the proposed changes. Such assumptions should be discussed in the submittal requesting the TS changes."

The potential for pre-existing containment leakage that is detectable only through an ILRT is not typically addressed in a PRA. The methodology in EPRI Report No. 1009325, Revision 2, establishes two specific accident classes to represent events in which the containment has either a small pre-existing leakage (Class 3a) or a large pre-existing leakage (Class 3b), and populates these classes based on ILRT data developed through two industry surveys plus additional leak rate data from 35 recently completed ILRTs. Based on an examination of the combined ILRT database, consisting of 217 documented ILRTs, EPRI identified no large containment leakage events (leakage greater than 35 x La), and only two small leakage events (leakage greater than 1 x La but less than 10 x La) that would be detectable only though an ILRT. EPRI determined the Class 3a probability based on the maximum likelihood estimate (arithmetic average) of the data (2/217 = 0.0092) and the Class 3b probability based on Jeffreys Non-Informative Prior distribution (0.5/217 = 0.0023).

The NRC staff concludes that EPRI Report No. 1009325, Revision 2, employs reasonable assumptions with regard to the extensions of surveillance test intervals, and is consistent with Regulatory Position C.2.3.4 of RG 1.177.

3.2.4.5 Sensitivity and Uncertainty Analyses

Regulatory Position 2.3.5 of RG 1.177 states that: "Sensitivity analyses may be necessary to address the important assumptions in the submittal made with respect to TS change analyses." EPRI Report No. 1009325, Revision 2, requires a sensitivity analysis to assess the impact of assumptions regarding corrosion-induced leakage of steel containments/liners. The methodology calls for a separate, plant-specific assessment of the likelihood and risk

implications of corrosion-induced leakage of steel liners going undetected during the extended ILRT interval. The results of the corrosion assessment are used to ensure that the risk impact of corrosion-induced leakage over the extended test interval remains very small. The inclusion of corrosion-induced leakage results in an increase in the estimated risk impacts of the ILRT extension. However, the two example methodology applications contained in EPRI Report No. 1009325, Revision 2, as well as the previous reviews performed for the one-time 15-year extensions, have shown the risk impact of the corrosion contribution is very small.

EPRI Report No. 10009325, Revision 2, called for an assessment of the impact if the leakage probability values were based on an EPRI sponsored expert elicitation rather than the previously discussed Jeffreys Non-Informative Prior distribution. The NRC staff has not accepted the EPRI expert elicitation as presented in the appendices of EPRI Report No. 1009325, Revision 2. The NRC staff concerns with the EPRI expert elicitation are documented in an NRC letter dated April 22, 2005 (Reference 19). These concerns were never addressed satisfactorily. Instead of relying primarily on the results of the expert elicitation, EPRI Report No. 1009325, Revision 2, uses the Jeffreys non-informative prior distribution to determine the probability of a large pre-existing containment leakage in the base case calculation. The appropriate application of the Jeffreys non-informative prior distribution in the baseline analysis is acceptable to the NRC staff and additional sensitivity analyses will not be required.

3.2.4.6 Acceptance Guidelines

Regulatory Position 2.4 of RG 1.177 recommends that surveillance test interval change requests: "... should also be evaluated against risk acceptance guidelines presented herein [RG 1.177], in addition to those in RG 1.174."

The methodology contained in EPRI Report No. 1009325, Revision 2, quantitatively evaluates the impact of the ILRT extension in terms in terms of the increase in LERF, and uses the acceptance guidelines in RG 1.174 to assess the acceptability of the increase. The relevant risk metric is LERF, since the Type A test does not generally impact CDF. However, the methodology includes guidance for plants that rely on containment over-pressure for NPSH for ECCS injection for certain accident sequences, and which may experience an increase in CDF as a result of the proposed change in the ILRT interval. For those plants, the impacts on both CDF and LERF will be considered in the ILRT evaluation and compared with the risk acceptance guidelines in RG 1.174.

Additional risk metrics, specifically the increase in population dose and the increase in conditional containment failure probability, are also evaluated to help ensure that the key safety principles in RG 1.174 are met. Because no NRC staff-endorsed acceptance guidelines exist for either of these metrics, EPRI Report No. 1009325, Revision 2, has defined threshold values for each metric based on consideration of the respective risk increase values reported in one-time 15-year ILRT extension requests previously approved by the NRC staff, as well as the annual doses received by the public from naturally occurring radiation sources, as discussed below.

EPRI Report No. 1009325, Revision 2, defined a small increase in population dose as 0.75 person-rem per year or less. By letter dated March 8, 2008, the NEI proposed using an increase of 1.0 person-rem per year in lieu of the aforementioned criteria, arguing that even then the margins to the safety goal would remain large. The NRC staff notes that the original Type A ILRT extension from three tests in 10 years to one test in 10 years was granted based on its small impact on population dose. The risk assessment contained in NUREG-1493 found that a

reduction in the ILRT frequency from three tests in 10 years to one test in twenty years leads to an imperceptible increase in risk that is on the order of 0.2 percent, or a fraction of one person-rem per year (for the population within a 50-mile radius from the plant). As noted in EPRI Report No. 1009325, Revision 2, the increase in population dose reported in previous one-time 15-year ILRT extension requests has ranged from <0.01 to 0.2 person-rem per year and/or 0.002 to 0.46 percent of the total population dose. Defining small increase based on a value of 1.0 person-rem per year or a 1 percent increase in the total population dose, whichever is greater, provides some margin above the levels of risk increase that have been previously accepted, while remaining consistent with the findings of NUREG-1493. (An increase of 1.0 person-rem per year is roughly equivalent to a 1 percent increase in total population dose for plants with the highest population dose, i.e., about 100 person-rem per year total dose for internally-initiated events.) The NRC staff concludes that for purposes of assessing the risk impacts of the Type A ILRT extension in accordance with the EPRI methodology, a small increase in population dose should be defined as an increase in population dose of less than or equal to either 1.0 person-rem per year or 1 percent of the total population dose, whichever is less restrictive. While acceptable for this application, the NRC staff is not endorsing these threshold values for other applications.

EPRI Report No. 1009325, Revision 2, defines a small increase in CCFP as an increase of up to 10 percent. The guidance is unclear as to whether this corresponds to a 10 percent increase in the baseline CCFP (e.g., an increase in CCFP from 10 percent to eleven percent), or an increase in CCFP of 10 percentage points (e.g., an increase in CCFP from 10 percent to 20 percent). The NRC staff notes that the increase in CCFP reported in previous one-time 15-year ILRT extension requests has typically been about 1 percentage point or less, with the largest increase being 1.2 percentage point. Rather than using the value of 10 percent provided in EPRI Report No. 1009325, Revision 2, the NRC staff concludes that a small increase in CCFP should be defined as a value marginally greater than that accepted in previous one-time 15-year ILRT extension requests. This would require that the increase in CCFP be less than or equal to 1.5 percentage point. While acceptable for this application, the NRC staff is not endorsing this threshold value for other applications.

Subject to adequate resolution of the issues noted above, EPRI Report No. 1009325, Revision 2, provides reasonable acceptance guidelines and methods for evaluating the risk increase of proposed changes to surveillance frequencies. It is also consistent with Regulatory Position C.2.4 of RG 1.177. Therefore, the proposed methodology satisfies the fourth key safety principle of RG 1.177 by assuring any increase in risk is small and consistent with the intent of the NRC's Safety Goal Policy Statement.

3.2.5 The Impact of the Proposed Change Should be Monitored Using Performance Measurements Strategies

In addition to maintaining the defense-in-depth philosophy as described in Section 3.2.2 of this SE, the applicants for TS amendments will continue to perform containment inspections during the Type A test interval as discussed in Sections 3.1.3 and 3.1.4 of this SE.

As documented in NUREG-1493, industry experience has shown that most ILRT failures result from leakage that is detectable by local leakage rate testing (Type B and C testing). Specific testing frequencies for the local leak rate tests are reviewed prior to every refueling outage (18-month cycle). An outage scope document is issued to document the local leak rate test periodically and to ensure that all pre-maintenance and post-maintenance testing is complete.

The post-outage report provides a written record of the extended testing interval changes and the reasons for the changes based on testing results and maintenance history. Based on the above measures, the LLRT program will provide continuing assurance that the most likely sources of leakage will be identified and repaired.

ANSI/ANS-56.8-2002, Section 6.4.4, also specifies surveillance acceptance criteria for Type B and Type C tests and states that: "The combined [as-found] leakage rate of all Type B and Type C tests shall be less than 0.6La when evaluated on a minimum pathway leakage rate basis, at all times when containment operability is required." It states, moreover, that: "The combined leakage rate for all penetrations subject to Type B and Type C test shall be less than or equal to 0.6La as determined on an maximum pathway leakage rate basis from the as-left LLRT results." These combined leakage rate determinations shall be done with the latest leakage rate test data available, and shall be kept as a running summation of the leakage rates.

The containment components' monitoring and maintenance activities will be conducted according to the requirements of 10 CFR 50, Appendix J, and 10 CFR 50.55a.

The above provisions are considered to be acceptable performance monitoring strategies for assuring that the risk of the proposed change will remain small.

4.0 LIMITATIONS AND CONDITIONS

4.1 Limitations and Conditions for NEI TR 94-01, Revision 2

The NRC staff finds that the use of NEI TR 94-01, Revision 2, is acceptable for referencing by licensees proposing to amend their TSs to permanently extend the ILRT surveillance interval to 15 years, provided the following conditions are satisfied:

1. For calculating the Type A leakage rate, the licensee should use the definition in the NEI TR 94-01, Revision 2, in lieu of that in ANSI/ANS-56.8-2002. (Refer to SE Section 3.1.1.1).

2. The licensee submits a schedule of containment inspections to be performed prior to and between Type A tests. (Refer to SE Section 3.1.1.3).

3. The licensee addresses the areas of the containment structure potentially subjected to degradation. (Refer to SE Section 3.1.3).

4. The licensee addresses any tests and inspections performed following major modifications to the containment structure, as applicable. (Refer to SE Section 3.1.4).

5. The normal Type A test interval should be less than 15 years. If a licensee has to utilize the provision of Section 9.1 of NEI TR 94-01, Revision 2, related to extending the ILRT interval beyond 15 years, the licensee must demonstrate to the NRC staff that it is an unforeseen emergent condition. (Refer to SE Section 3.1.1.2).

6. For plants licensed under 10 CFR Part 52, applications requesting a permanant extension of the ILRT surveillance interval to 15 years should be deferred until after the construction and testing of containments for that design have been completed and

applicants have confirmed the applicability of NEI TR 94-01, Revision 2, and EPRI Report No. 1009325, Revision 2, including the use of past containment ILRT data.

4.2 Limitations and Conditions for EPRI Report No. 1009325, Revision 2

The NRC staff finds that the methodology in EPRI Report No. 1009325, Revision 2, is acceptable for referencing by licensees proposing to amend their TSs to permanently extend the ILRT surveillance interval to 15 years provided the following conditions are satisfied:

1. The licensee submits documentation indicating that the technical adequacy of their PRA is consistent with the requirements of RG 1.200 relevant to the ILRT extension application.

2. The licensee submits documentation indicating that the estimated risk increase associated with permanently extending the ILRT surveillance interval to 15 years is small, and consistent with the clarification provided in Section 3.2.4.5 of this SE. Specifically, a small increase in population dose should be defined as an increase in population dose of less than or equal to either 1.0 person-rem per year or 1 percent of the total population dose, whichever is less restrictive. In addition, a small increase in CCFP should be defined as a value marginally greater than that accepted in previous one-time 15-year ILRT extension requests. This would require that the increase in CCFP be less than or equal to 1.5 percentage point. While acceptable for this application, the NRC staff is not endorsing these threshold values for other applications. Consistent with this limitation and condition, EPRI Report No. 1009325 will be revised in the "-A" version of the report, to change the population dose acceptance guidelines and the CCFP guidelines.

3. The methodology in EPRI Report No. 1009325, Revision 2, is acceptable except for the calculation of the increase in expected population dose (per year of reactor operation). In order to make the methodology acceptable, the average leak rate for the pre-existing containment large leak rate accident case (accident case 3b) used by the licensees shall be 100 La instead of 35 La.

4. A LAR is required in instances where containment over-pressure is relied upon for ECCS performance.

5.0 CONCLUSION

The NRC staff reviewed NEI TR 94-01, Revision 2, and EPRI Report No. 1009325, Revision 2. For NEI TR 94-01, Revision 2, the NRC staff determined that it describes an acceptable approach for implementing the optional performance-based requirements of Option B to 10 CFR Part 50, Appendix J. This guidance includes provisions for extending Type A ILRT intervals to up to 15 years and incorporates the regulatory positions stated in RG 1.163. The NRC staff finds that the Type A testing methodology as described in ANSI/ANS-56.8-2002, and the modified testing frequencies recommended by NEI TR 94-01, Revision 2, serves to ensure continued leakage integrity of the containment structure. Type B and Type C testing ensures that individual penetrations are essentially leak tight. In addition, aggregate Type B and Type C leakage rates support the leakage tightness of primary containment by minimizing potential leakage paths. In addition, aggregate Type B and Type C leakage rates support the leakage tightness of primary containment by minimizing potential leakage paths.

For EPRI Report No. 1009325, Revision 2, a risk-informed methodology using plant-specific risk insights and industry ILRT performance data to revise ILRT surveillance frequencies, the NRC staff finds that the proposed methodology satisfies the key principles of risk-informed decision making applied to changes to TSs as delineated in RG 1.177 and RG 1.174.

The NRC staff, therefore, finds that this guidance is acceptable for referencing by licensees proposing to amend their TS in regards to containment leakage rate testing, subject to the limitations and conditions noted in Section 4.0 of this SE. In addition, in accordance with the NRC staff's resolution of the comments provided by NEI on the draft SE, the following changes will be made by NEI to the "-A" version of the TR. Therefore, consistent with the language in this final SE:

A. NEI TR 94-01, Revision 2, will be revised in the "-A" version of the report, as discussed in the last paragraph of Section 3.1.2.2, "Extending Type B&C Test Intervals," to the final SE

B. EPRI Report No. 1009325, Revision 2, will be revised in the "-A" version of the report, to change the population dose acceptance guidelines and the CCFP guidelines. (As stated in Section 4.2 of the final SE Limitation and Condition #2).

6.0 REFERENCES

1. U.S. Nuclear Regulatory Commission, "Performance-Based Containment Leak-Test Program," Regulatory Guide 1.163, September 1995 (Agencywide Documents Access and Management System (ADAMS) Accession No. ML003740058).

2. NEI TR 94-01, Revision 0, "Industry Guideline for Implementing Performance-Based Option of 10 CFR Part 50, Appendix J, July 26, 1995" (ADAMS Legacy Library Accession No. 9510200180).

3. U.S. Nuclear Regulatory Commission, "Performance-Based Containment Leak-Test Program," NUREG-1493, July 1995.

4. Electric Power Research Institute, "Risk Impact Assessment of Revised Containment Leak Rate Testing Intervals," Report No. 104285, Palo Alto, California, August 1994.

5. A. R. Pietrangelo, NEI, memorandum to NEI Administrative Points of Contact, November 13, 2001.

6. A. R. Pietrangelo, NEI, memorandum to NEI Administrative Points of Contact, November 30, 2001.

7. A. R. Pietrangelo, NEI, letter to Document Control Desk, U.S. Nuclear Regulatory Commission, December 19, 2005 (ADAMS Package Accession No. ML053610177).

8. T. M. Mensah, U.S. Nuclear Regulatory Commission, letter to J. H. Riley, NEI, February 21, 2007 (ADAMS Accession No. ML062910258)

9. J. C. Butler, NEI, letter to T. M. Mensah, U.S. Nuclear Regulatory Commission, May 25, 2007 (ADAMS Package Accession No. ML071590201).

10. J. C. Butler, NEI, letter to T. M. Mensah, U.S. Nuclear Regulatory Commission, August 31, 2007 (ADAMS Package Accession No. ML072970204).

11. American Nuclear Society, "Containment System Leakage Testing Requirements," ANSI/ANS 56.8-2002, LaGrange Park, Illinois.

12. U.S. Nuclear Regulatory Commission, "An Approach for Using Probabilistic Risk Assessment in Risk-Informed Decisions on Plant-Specific Changes to the Licensing Basis," Regulatory Guide 1.174, Revision 1, November 2002 (ADAMS Accession No. ML023240437.

13. American Society of Mechanical Engineers, *Boiler and Pressure Vessel Code*, Section XI, Subsection IWE, "Requirements for Class MC and Metallic Liners of Class CC Components of Light-Water Cooled Plants."

14. American Society of Mechanical Engineers, *Boiler and Pressure Vessel Code*, Section XI, Subsection IWL, "Requirements for Class CC Concrete Components of Light-Water Cooled Plants.

15. U.S. Nuclear Regulatory Commission, "Standard Review Plan for the Review of Safety Analysis Reports for Nuclear Power Plants: LWR Edition," NUREG-0800, Revision 2, June 1987.

16. U.S. Nuclear Regulatory Commission, Regulatory Guide 1.177, "An Approach for Plant-Specific, Risk-Informed Decisionmaking: Technical Specifications," August 1998 (ADAMS Accession No. ML003740176).

17. U.S. Nuclear Regulatory Commission,"Regulatory Guide 1.200 Implementation," Regulatory Issue Summary 2007-06, March 22, 2007 (ADAMS Accession No. ML070650428).

18. U.S. Nuclear Regulatory Commission, "An Approach for Determining the Technical Adequacy of Probabilistic Risk Assessment Results for Risk-Informed Activities," Regulatory Guide 1.200, Revision 1, January 2007, (ADAMS Accession No. ML070240001).

19. M. D. Tschiltz, U.S. Nuclear Regulatory Commission, letter to A. R. Pietrangelo, April 22, 2005 (ADAMS Accession No. ML051150046).

Attachments:
1. Population Dose Calculations for the Large Containment Leak Rate Accident Case
2. Resolution of Comments

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Date:

Population Dose Calculations for the Large Containment Leak Rate Accident Case

This attachment will estimate the expected population dose rate for the large containment leak rate case, accident case 3b. Here, "expected population dose rate" means the expected population dose per year of reactor operation. First, the methodology will be developed, and then the average leak rate over the accident case 3b range will be estimated using the results of the EPRI expert elicitation given in Appendix D of EPRI Report No. 1009325, Revision 2, "Risk Impact Assessment of Extended Integrated leak Rate Testing Intervals" (the EPRI report). Then the expected population dose rate will be estimated by multiplying this average leak rate by the frequency of accident case 3b, as determined by the use of the Jeffreys prior distribution, as given in the main body of the EPRI report.

The expected population dose (consequences), per year of operation, for containment leak rates L in the range (L_1, L_2) is given by:

(1)

$$C(L_1, L_2) = \lambda \int_{L_1}^{L_2} C(L) f(L) dL$$

where λ is the core damage frequency, C(L) are the consequences given a containment leak of magnitude L in a core damage accident, and f(L) dL is the probability of a leak rate in the range dL.

We assume the consequences C(L) are linear in the dose rate, so that:

(2) C(L) = L C(1) ,

where C(1) are the consequences for a leak rate of 1La (intact containment). This is the assumption made in the EPRI report.

Then:

(3)

$$C(L_1, L_2) = \lambda C(1) \int_{L_1}^{L_2} L f(L) dL$$

Denote the integral in eq(3) by I(L_1, L_2) so that:

(3a)

$$I(L_1, L_2) = \int_{L_1}^{L_2} L f(L) dL$$

and:

(3b)

$$C(L_1, L_2) = \lambda C(1) I(L_1, L_2)$$

We assume that the leak rate probability distribution is a Weibull distribution so that the complementary cumulative distribution function Q(L) is:

(4)

$$Q(L) = \exp(-\gamma L^{\beta})$$

Then the probability distribution function, f(L), is given by:

(5)

$$f(L) = -\frac{d}{dL}(e^{-\gamma L^{\beta}}) = \gamma\beta L^{\beta-1} e^{-\gamma L^{\beta}}$$

Using eq(5) in eq(3a) we obtain:

(6)

$$I(L_1, L_2) = \gamma\beta \int_{L_1}^{L_2} L^{\beta} e^{-\gamma L^{\beta}} dL$$

Let $y=\gamma L^{\beta}$. Then:

$$L = (\frac{y}{\gamma})^{\frac{1}{\beta}}$$

and:

$dL=(1/\gamma)^{1/\beta}\ (1/\beta)y^{(1/\beta-1)}$

One obtains after some algebra:

(7)

$$I(L_1, L_2) = \eta \int_{y_1}^{y_2} y^{y/\beta} e^{-y} dy$$

where $\eta=(1/\gamma)^{\beta}$, $y_1=\gamma L_1^{\beta}$, and $y_2=\gamma L_2^{\beta}$.

The integral in Equation (7) is the three parameter incomplete gamma function $\Gamma(1/\beta +1, y_1 , y_2)$. It can be evaluated in Excel by relating the three parameter incomplete gamma function to the two parameter incomplete gamma function by:

$\Gamma(a, y_1, y_2) = \Gamma(a, y_2) - \Gamma(a, y_1)$,

and using the fact that the gamma distribution is the ratio of the two parameter incomplete gamma function to the (complete) gamma function. The gamma distribution is a function in Excel, as is the natural log of the (complete) gamma function.

We may write $I(L_1,L_2)$ as

(8) $I(L_1, L_2) = pr\{L_1 < L < L_2\} [I(L_1, L_2) /pr\{L_1 < L < L_2\}] = pr\{L_1 < L < L_2\} L_{av}(L_1, L_2)$

The quantity in square brackets is the average leak rate over the range L_1 to L_2, and is denoted by $L_{av}(L_1, L_2)$. Then, using eq(3b),

(9) $C(L_1, L_2) = \lambda C(1) I(L_1, L_2) = = \lambda C(1)pr\{L_1 < L < L_2\} L_{av}(L_1 , L_2)$

This is essentially the same formula used in the EPRI report, Table 4-1, for the population dose; the difference is that $L_{av}(L_1, L_2)$ replaces the leakage rates given Table 4-1 for accident classes 3a and 3b.

The data in Table D-1 of the EPRI report for the leak-rate complementary cumulative distribution was fitted to a Weibull distribution. The value of β obtained was 0.173, and the value of γ obtained was 3.711.

For accident class 3b, the leak rate range is (35 La, L_{max}), where L_{max} was chosen as 10000 La, as in the EPRI report, Appendix D. We obtained an average leak rate from the results of the EPRI elicitation of 102 La, for this range. This increases the population dose for accident class 3b by a factor of about 3, over that given in the EPRI report (The EPRI report used 35 La). The frequency of accident case 3b derived from the Jeffreys prior is used, so that the frequency used for accident case 3b is that used in the main body of the EPRI report. Thus, for the example Vogtle Electric Generating Plant (VEGP) (see Table 5-9 of the EPRI report), the population dose per year for the Integrated Leak Rate Testing (ILRT) frequency of 3 per 10 years is given as 2.76E-4 person-rem per year in the EPRI report, while our estimate is a factor 102/35 larger. For the VEGP, the increase in population dose per year from decreasing the ILRT frequency from 3 in 10 years to 1 in 15 years is 1.10E-3 person-rem per year in the EPRI report, while we estimate the increase as a 3.22E-3 person-rem per year (a factor 102/35 larger).

Note that the EPRI complementary cumulative distribution function for the leak rate can very well be non-conservative, since it involves extrapolation from small leak rates to large leak rates by fitting to a Weibull distribution (for each expert). Fitting to other distributions (for example, a lognormal) may lead to considerably higher estimates of the frequency of large leak rates.

In summary, for accident class 3b, the population dose results in the EPRI report are low by a factor of 3, as compared to our estimates.

RESOLUTON OF NUCLEAR ENERGY INSTITUTE (NEI) COMMENTS ON

DRAFT SAFETY EVALUATION FOR TOPICAL REPORT (TR) 94-01, REVISION 2,

"INDUSTRY GUIDELINE FOR IMPLEMENTING

PERFORMANCE-BASED OPTION OF 10 CFR PART 50, APPENDIX J" AND

ELECTRIC POWER RESEARCH INSTITUTE (EPRI) REPORT NO. 1009325, REVISION 2,

AUGUST 2007, "RISK IMPACT ASSESSMENT OF EXTENDED

INTEGRATED LEAK RATE TESTING INTERVALS"

NUCLEAR ENERGY INSTITUTE

PROJECT NO. 689

By letter dated December 5, 2007, an NRC draft safety evaluation (SE) regarding the U.S. Nuclear Regulatory Commission's (NRC's) approval of TR 94-01, Revision 2 and EPRI Report No. 10009325, Revision 2, was provided for the NEI review and comment. During a January 17, 2008, public meeting with the NEI, the NRC staff agreed to contact the NEI regarding its disposition of the comments prior to issuance of the Final SE. By letter dated March 3, 2008, the NEI commented on the draft SE. On April 4, 2008, during a teleconference with the NEI, the NRC staff discussed its disposition of the NEI comments. It was agreed upon during the teleconference that the NEI could submit additional comments to clarify the NRC staff's comments. The NEI submitted its clarifications by email to the NRC Project Manager on April 10, 2008. The NRC staff's disposition of the NEI comments on the draft SE are provided below.

1. Population Dose Rate Change Guidelines for Extended ILRT Intervals (Section 3.2.4.6, Acceptance Guidelines, Page 18).

A. NEI Recommendation 1.1: Change the population dose acceptance guidelines to ≤ 1 person-rem/year in the final SE

NRC Response: The NRC staff agrees with NEI recommendation 1.1.

By letter dated March 3, 2008, the NEI questioned the NRC staff statement that would require that "...the increase in population be less than 0.2 person-rem per year and/or 0.5 percent of the total accident dose." These values represented upper bound values for the integrated leak rate testing (ILRT) extensions approved to date. In their comments, the NEI proposed using an increase of 1.0 person-rem per year in lieu of the aforementioned criteria, stating that even then the margins to the safety goal would remain large. (In contrast, EPRI Report No. 1009325, Revision 2, proposed a criterion of 0.75 person-rem per year). The NEI did not propose a companion criterion in terms of the percent increase in the total accident dose.

The NRC staff notes that the total population dose could be increased significantly while still maintaining a large margin to the safety goal. However, in this particular application, it is the NRC staff's intent to define the threshold for a "small increase" in a manner consistent with the results obtained in previously approved ILRT extensions (i.e., the NRC staff does not wish to establish a criterion that would permit risk increases significantly larger than what have already been approved to date). Nonetheless the NRC staff would agree that the criteria provided in the draft SE could be increased slightly while still maintaining the objective that the risk increases associated with the ILRT extension remain small. In this regard, the following text (in bold) was proposed by the NRC staff in lieu of that in Section 3.2.4.6 of the draft SE, lines 5 through 20:

EPRI Report No. 1009325, Revision 2, defined a small increase in population dose as 0.75 person-rem per year or less. By letter dated March 8, 2008, the NEI proposed using an increase of 1.0 person-rem per year in lieu of the aforementioned criteria, arguing that even then the margins to the safety goal would remain large. The NRC staff notes that the original Type A ILRT extension from three tests in 10 years to one test in 10 years was granted based on its small impact on population dose. The risk assessment contained in NUREG-1493 found that a reduction in the ILRT frequency from three tests in 10 years to one test in twenty years leads to an imperceptible increase in risk that is on the order of 0.2 percent, or a fraction of one person-rem per year (for the population within a 50-mile radius from the plant). As noted in EPRI Report No. 1009325, Revision 2, the increase in population dose reported in previous one-time 15-year ILRT extension requests has ranged from <0.01 to 0.2 person-rem per year and/or 0.002 to 0.46 percent of the total population dose. Defining small increase based on a value of 1.0 person-rem per year or a 1 percent increase in the total population dose, whichever is greater, provides some margin above the levels of risk increase that have been previously accepted, while remaining consistent with the findings of NUREG-1493. (An increase of 1.0 person-rem per year is roughly equivalent to a 1 percent increase in total population dose for plants with the highest population dose, i.e., about 100 person-rem per year total dose for internally-initiated events.) The NRC staff concludes that for purposes of assessing the risk impacts of the Type A ILRT extension in accordance with the EPRI methodology, a small increase in population dose should be defined as an increase in population dose of less than or equal to 1.0 person-rem per year and/or 1 percent of the total population dose, whichever is greater. While acceptable for this application, the NRC staff is not endorsing these threshold values for other applications.

During the April 4, 2008, teleconference between the NRC staff and the NEI, the NEI provided feedback regarding this NRC staff proposed revision. The NEI stated that it understood the intent of the proposed revision and agreed with it. However, to further clarify the intent of this revision, the NRC agreed that the NEI could provide additional clarification for NRC staff consideration. In its email dated April 10, 2008, the following clarification was provided by the NEI:

"It was understood that the intent of this revision was to convey the meaning that meeting either specified guideline for a small increase was acceptable; i.e., population dose [person-rem/yr] or percentage change of the total population dose. The following change to the language in the NRC disposition document is recommended:"

...NRC staff concludes that for purposes of assessing the risk impacts of the Type A ILRT extension in accordance with the EPRI methodology, a small increase in population dose should be defined as an increase in population dose of less than or equal to either 1.0 person-rem per year or 1 percent of the total population dose, whichever is less restrictive. While acceptable for this application, the NRC staff is not endorsing these threshold values for other applications.

The NRC staff is in agreement with the recommended changes for NEI recommendation 1.1. These clarifications provided by the NEI were made by the NRC staff, as described above, within Section 3.2.4.6 of the final SE. Please note that conforming changes have also been made to EPRI Report No. 1009325, Revision 2, Limitation and Condition No. 2.

B. NEI Recommendation 1.2: The EPRI report will be revised in the "-A" version of the report to change the population dose acceptance guidelines to ≤ 1 person-rem/year in the final SE.

NRC Response: The EPRI Report No. 1009325 should be revised in the –A version of the TR in accordance with the wording stated in the NRC final SE. Specifically, the EPRI report should be revised to reflect that a small increase in population dose should be defined as an increase in population dose of less than or equal to either 1.0 person-rem per year or 1 percent of the total population dose, whichever is less restrictive.

2. Containment Conditional Failure Probability (CCFP) Guidelines For Extended ILRT Intervals (Section 3.2.4.6, Acceptance Guidelines, Page 18).

A. NEI Recommendation 2.1: The EPRI report will be revised in the "-A" version of the report to indicate that changes exceeding a delta of 1.5 percentage points in CCFP would require NRC review and approval.

NRC Response: The NRC staff agrees with this recommendation. EPRI Report No. 1009325, Revision 3, should be revised for the "-A" version of the report in accordance with the NRC final SE.

B. NEI Recommendation 2.2: Revise Section 3.2.4.6 of the SE to reflect the EPRI report clarification and acceptance of CCFP changes less than or equal to 1.5 percentage points.

C. NEI Recommendation 2.3: Revise Section 4.2 of the SE to reflect the EPRI report clarification and acceptance of CCFP changes less than or equal to 1.5 percentage points.

NRC Responses to Recommendations 2.2 and 2.3 are addressed together: The NRC staff is in agreement with the NEI recommendations. The NEI questioned the NRC statement that would require that the increase in CCFP be about 1 percentage point or less, noting that several of the previously approved ILRT extensions involved an increase of 1.1 percentage points. In its comments, the NEI proposed a criterion of 1.5 percentage points.

In the NRC staff's view, an increase of 1.1 percentage point or slightly greater is equivalent to an increase of about 1 percentage point. However, in order to reduce confusion in this area, the following text (in bold) was changed by the NRC staff, in lieu of that located in Section 3.2.4.6 (lines 26-32) of the draft SE:

The NRC staff notes that the increase in CCFP reported in previous one-time 15-year ILRT extension requests has typically been about 1 percentage point or less, with the largest increase being 1.2 percentage point. Rather than using the value of 10 percent provided in EPRI Report No. 1009325, Revision 2, the NRC staff concludes that a small increase in CCFP should be defined as a value marginally greater than that accepted in previous one-time 15-year ILRT extension requests. This would require that the increase in CCFP be less than or equal to 1.5 percentage point. While acceptable for this application, the NRC staff is not endorsing this threshold value for other applications.

In addition, conforming changes have also been made by the NRC staff to EPRI Report No. 1009325, Revision 2, Limitation and Condition No. 2.

3. **PRA Modeling and Sensitivity Analyses (Section 3.2.4.5, Sensitivity and Uncertainty Analysis, pages 16-17).**

A. NEI Recommendation 3.1: The reference to the expert elicitation will be removed from the EPRI report sensitivity cases. However, some references to the expert elicitation will be maintained but it will be used for a validation contained in an appendix to the EPRI report and will not be referenced in the licensee risk impact assessments.

NRC Response: The NRC staff is in agreement with this recommendation. The "-A" version of EPRI Report No. 1009325, should be revised in accordance with the NRC final SE.

B. NEI Recommendation 3.2: Since the NRC has not accepted nor reviewed the expert elicitation, it should not be used as a basis for increasing the large leak size used in the risk impact assessment. It is recommended that all SE references to the expert elicitation be removed.

NRC Response: The NRC staff does not agree with the NEI statement that the NRC has not reviewed the expert elicitation. In the attachment to a letter, dated April 22, 2005, from Michael D. Tschiltz (NRC) to Mr. Anthony Pietrangelo (NEI), the NRC requested additional information (RAI) from NEI regarding the expert elicitation process used in the "EPRI Product No. 1009325, 'Risk Impact of Extended Integrated Leak Rate Testing (ILRT)'." It is clear from the numerous individual RAI questions in the document that NRC staff not only reviewed the expert elicitation, but also had several concerns with the elicitation process and raised several issues. As a result, the NRC staff does not agree with removing all references to the expert elicitation from the SE.

During the April 4, 2008, teleconference between the NRC and the NEI, the NRC staff agreed that the NEI could submit additional clarification on this issue. In an email dated April 10, 2008, NEI reiterated that for various reasons, the expert elicitation is not acceptable to the NRC staff. The NEI proposed the removal of sensitivity analyses using input from the expert elicitation from the EPRI TR and agreed to accept the value of 100 La for the large leak size (EPRI class 3b) in the risk impact assessment report. It is the NEI's position that since sensitivity analyses using input from the expert elicitation will be removed from the EPRI report, the specific language in the draft SE concerning the sensitivity analysis methodology and changes to it will no longer be applicable. As a result, in its April 10, 2008, email to the NRC, the NEI proposed the deletion of the third, fifth, sixth, and seventh paragraphs within draft SE Section 3.2.4.5. The NEI also proposed that the following paragraph be inserted in Section 3.2.4.5:

The EPRI report uses 35 La to represent a large leak in containment for the purposes of assessing the population dose as a result of extending ILRT intervals. The NRC believes that a higher value of La, such as 100 La, more accurately reflects the magnitude of a large leak and the resulting population dose. In addition, while not fully accepted, the range of large leakage in the expert elicitation indicates an estimated leakage of approximately 100 La being the frequency weighted average of a reasonable leakage magnitude range.

The NRC staff reviewed the proposed NEI recommendation and agreed to the removal of some of the discussion on the expert elicitation, since the appropriate application of the Jeffreys non-informative prior distribution in the baseline analysis is acceptable to the NRC staff and this additional sensitivity analyses is not needed. Specifically, the NRC staff removed the third, fifth, sixth, and seventh paragraphs in draft SE Section 3.2.4.5. The fourth paragraph of draft SE Section 3.2.4.5 remains in the final SE, but has been revised slightly as follows:

EPRI Report No. 10009325, Revision 2, called for an assessment of the impact if the leakage probability values were based on an EPRI sponsored expert elicitation rather than the previously discussed Jeffreys Non-Informative Prior distribution. The NRC staff has not accepted the EPRI expert elicitation as presented in the appendices of EPRI Report No. 1009325, Revision 2. The NRC staff concerns with the EPRI expert elicitation are documented in an NRC letter dated April 22, 2005 (Reference 19). These concerns were never addressed satisfactorily. Instead of relying primarily on the results of the expert elicitation, EPRI Report No. 1009325, Revision 2, uses the Jeffreys non-informative prior distribution to determine the probability of a large pre-existing containment leakage in the base case calculation. The appropriate application of the Jeffreys non-informative prior distribution in the baseline analysis is acceptable to the NRC staff and additional sensitivity analyses will not be required.

Finally, the NRC staff believes that the proposed NEI wording to be inserted in Section 3.2.4.5 is duplicative of text already contained within Section 3.2.4.3, "PRA Modeling" of the draft SE. The NRC staff has decided that it is therefore not necessary to include the NEI proposed wording in the final SE. In lieu of including the NEI proposed wording, the NRC staff added the following sentence, which has been inserted in Section 3.2.4.3, paragraph 7, of the final SE:

In addition, while not fully reconciled, the range of large leakages in the expert elicitation indicates that an estimated leakage of approximately 100 La is the frequency weighted average of a reasonable range of leakage magnitudes and will be adopted for this effort

C. NEI Recommendation 3.3: Furthermore, since industry has not had the opportunity to respond to the NRC comments regarding mathematical errors, this categorization of results of the expert elicitation and sensitivity case should be removed. While the nature of the NRC comments in this area are understood, there is not full agreement in this area.

NRC Response: The NRC's response to NEI Recommendation 3.2 is also applicable to this recommendation.

D. NEI Recommendation 3.4: The large leak size of 35 La used in the one-time extensions should be accepted for use in the permanent ILRT extension analyses. The draft SE language should be revised to reflect the above changes.

NRC Response: The NRC staff disagrees with this recommendation. As discussed in the NRC's response to NEI Recommendation 3.2, after the April 4, 2008, call, the NEI proposed the removal of sensitivity analyses using input from the expert elicitation from the EPRI report and agreed to accept the value of 100 La for the large leak size (EPRI class 3b) in the risk impact assessment report.

4. Nine-Month Extension for Unforeseen Emergent Conditions (Section 3.1.1.2, Deferral of Tests Beyond the 15-Year Interval, Page 6).

A. NEI Recommendation 4.1: Revise SE Section 3.1.1.2, as shown in the March 3, 2008, letter from NEI to the NRC.

NRC Response: The NRC staff does not agree with this NEI recommendation.

The NRC staff does not agree, that there should not be an expectation for advance notification and prior approval, and does not agree with the last sentence of the NEI recommendation. The NRC staff position is that with the performance-based interval for the Type A test being increased to up to 15 years, any one-time extension beyond the 15 years will require NRC approval.

The performance-based Type A test interval is considered an upper bound consensus interval for conducting the test based on plant-specific containment performance results and risk-informed assessment, as well as industry experience. NEI 94-01, Revision 2, allows this interval to be as much as 15 years which is a significant period of time between tests. Therefore, with the due date known well in advance, licensees can and must plan well ahead to conduct the next ILRT within the required 15-year interval. The NRC staff's experience from review of several requests for Type A test interval extensions is that most requests did not have a sound justification. Therefore, an extension of the interval beyond 15 years requires NRC approval and will be considered only under compelling circumstances. The NRC staff position and expectations concerning an acceptable justification for one-time extension requests beyond the approved 15 years will be communicated to licensees through a Regulatory Issue Summary (RIS) being developed on the subject. This RIS will also inform licensees of limited built-in flexibility available (in the order of 4 to 9 weeks) within the NEI 94-01 guidance for regulatory leeway with regard to conduct of the ILRT.

After considering the NEI proposed revision, as discussed in its March 3, 2008, letter, the NRC staff recommends that the last two sentences (in bold) in SE Section 3.1.1.2 be revised to read as follows:

.... planning purposes." **The NRC staff believes that extensions of the performance-based Type A test interval beyond the required 15 years should be infrequent and used only for compelling reasons. Therefore, if a licensee wants to use the provisions of Section 9.1 in TR NEI 94-01, Revision 2, the licensee will have to demonstrate to the NRC staff that an unforeseen emergent condition exists.**

After reviewing the NEI recommendation, the NRC discussed its proposed change with the NEI during an April 4, 2008, teleconference. NEI stated that it was in agreement with the NRC staff's revisions and did not identify further clarifications/changes for this item.

B. NEI Recommendation 4.2: Revise SE Section 4.1 to remove Condition 5.

NRC Response: The NRC staff does not agree with this recommendation.

The NRC staff believes that there is no need to remove the condition, as discussed above in Section 4.1. During the April 4, 2008, teleconference, the NRC staff provided this feedback to the NEI. The NEI stated that it was in agreement with the NRC staff's revisions and that it had no further clarifications/changes for this item.

5. Extension of Type B and C Testing Intervals Consistent with Standard Scheduling Practices for Technical Specifications (Section 3.1.2.2, Extending Type B&C Test Intervals, Page 8).

A. NEI Recommendation 5.1: Revise NEI 94-01, Revision 2, Section 10.1 as follows:

> "Consistent with standard scheduling practices for Technical Specifications Required Surveillances, intervals for the recommended surveillance frequency for Type B and Type C testing given in this section may be extended by up to 25 percent of the test interval, not to exceed 15 months."

B. NEI Recommendation 5.2: Revise SE Section 3.1.2.2 as follows:

> NEI TR 94-01, Revision 2, Section 10.1, states that the: "... recommended surveillance frequency for Type B and Type C testing given in this section may be extended by up to 25 percent of the test interval, not to exceed fifteen months."

C. NEI Recommendation 5.3: Change the language in both the draft SE and NEI 94-10, Revision 2 to... "Type B and Type C testing given in this section may be extended by up to 25 percent of the test interval, not to exceed 15 months."

NRC Response: The NRC staff's comments address NEI recommendations 5.1, 5.2, and 5.3 together. The NEI recommendations request that revisions be made accordingly in the SE and TR NEI 94-01, Revision 2, to reflect "15 months" for Type B and C testing instead of "9 months." The NRC staff does not agree with the NEI recommendations and does not plan to make revisions to the draft SE to capture the NEI recommended changes. For each of the NEI recommendations (5.1, 5.2, and 5.3), the NRC staff plans to revise the recommended NEI wording with regard to frequency for Type B and C testing to read as follows:

"...intervals of up to 60 months for the recommended surveillance frequency for Type B and Type C testing given in this section may be extended by up to 25 percent of the test interval, not to exceed 9 months."

When the frequency of performing Type B and Type C tests are performance-based, and could be extended to as long as 5 years (60 months) for Type C tests (containment isolation valves), and 10 years (120 months) for Type B tests (containment penetrations), there is no need to

extend them to additional 25 percent or 15 months. An extension of 9 months is sufficient in all cases for Type B and C test intervals up to 60 months and licensees should not be given any extension when the performance-based frequency is extended to beyond 5 yrs (60 months) for Type B tests. The NRC staff believes, that in specific cases, where certain plants are on 24-month operating cycle, there is only opportunity to do this testing after 4 or 6 years, so there is some consideration for an extension (i.e., 9 months). But for the Type B tests (penetrations) where the performance based test interval has been extended beyond 5 years and up to 10 years, there is sufficient time to schedule and perform testing, and that no extension should be allowed for such tests. The NRC staff's review of the performance based frequencies of Type B and Type C tests provided by licensees indicate that the licensees do not need such an extension and that most licensees are careful to keep them within the maximum interval permitted by NEI 94-01 (Rev. 0) and RG 1.163.

During the April 4, 2008, teleconference, the NRC staff provided this feedback to NEI. The NEI stated that it was in agreement with the NRC staff's revisions and that it had no additional clarifications/changes for this item. The NRC wording is captured in the last paragraph of SE Section 3.1.2.2, "Extending Type B & C Test Intervals." It is the NRC staffs understanding that corresponding changes will also be made by the NEI for the "-A" version of TR NEI 94-01, Revision 2.

6. Addressing Inaccessible Areas During Inspections (Section 3.1.3, Type A, Type B, Type C Tests, and Containment In-Service Inspections, Pages 8 and 9).

A. NEI Recommendation 6.1: Revise draft SE Section 3.1.3 as follows:

In approving for Type A tests, the one-time extension from 10 years to 15 years, the NRC staff has identified areas that need to be specifically addressed during the IWE and IWL inspections including a number of containment pressure-retaining boundary components (e.g., seals and gaskets of mechanical and electrical penetrations, bolting, penetration bellows) and a number of areas of the containment structures (e.g., moisture barriers, steel shells, and liners backed by concrete, areas of ice condenser containments that are potentially subject to corrosion). Risk-Informed analysis (both plant-specific and generic (i.e., EPRI Report No. 1009326)) has included specific consideration of degradation in inaccessible areas. However, this consideration is based on the availability of data related to the containment degradation in inaccessible areas. Therefore, licensees referencing NEI TR 94-01, Revision 2, in support of a request to amend their TS should also consider such degradation-susceptible areas in plant-specific evaluations.

NRC Response: The NRC staff agrees with the NEI comment but does not agree with the NEI proposed change to the draft SE (which is to replace the word "inspections" with "evaluations" in the last sentence of Section 3.1.3). With the median age of operating nuclear plants now exceeding 25 years and most plants seeking 20 year license renewals and renewals beyond 60 years in the horizon, the industry experience with significant corrosion degradations in inaccessible areas suggests to a need for considering and exploring available non-destructive examination (NDE) techniques and developing new ones for performing inspections of the degradation-susceptible inaccessible areas. This will ensure that such degradations are detected early and are addressed before they pose a safety concern. Therefore, during an April 4, 2008, teleconference between the NRC staff and the NEI, the NRC staff proposed to revise the last sentence in draft SE Section 3.1.3 to read as follows:

"...inaccessible areas. Therefore, licensees referencing NEI 94-01, Revision 2, in support of a request to amend their TS should also explore/consider such inaccessible degradation-susceptible areas in plant-specific inspections, using possible available NDE methods (such as boroscopes, guided wave techniques, etc.) – see Report ORNL/NRC/LTR-02/02, "Inspection of Inaccessible Regions of Nuclear Power Plant Containment Metallic Pressure Boundaries," June 2002 (ADAMS Accession No. ML061230425) to support plant-specific evaluations."

During the April 4, 2008, teleconference, the NEI raised a concern regarding the NRC staff's use of the word "possible" in the revised sentence. It was agreed upon during the teleconference that NEI would propose alternative wording to ensure that the NRC's concern, that containment inspections be as complete as possible and feasible, was addressed. In its April 10, 2008, email, the NEI provided additional clarification to address the NRC's concern that as technology becomes viable and available, it should be employed to perform inspections of areas of containments that have been previously classified as inaccessible. The NEI proposed the following changes to the NRC staff's revision to clarify this intent:

"...inaccessible areas. Therefore, licensees referencing NEI 94-01, Revision 2, in support of a request to amend their TS should also explore/consider such inaccessible degradation-susceptible areas in plant-specific inspections, using viable, commercially available NDE methods (such as boroscopes, guided wave techniques, etc.) – see Report ORNL/NRC/LTR-02/02, "Inspection of Inaccessible Regions of Nuclear Power Plant Containment Metallic Pressure Boundaries," June 2002, ADAMS Accession No. ML061230425), for recommendations to support plant-specific evaluations.

The NRC staff agrees with the NEI proposed change and has revised the last sentence in Section 3.1.3 of the final SE accordingly.

B. NEI Recommendation 6.2: Revise draft SE Section 3.1.3, as appropriate, to clarify NRC staff intent relative to plant-specific consideration of inaccessible areas.

NRC Response: The NRC staff agrees with the NEI recommendation. This recommendation was addressed by the NRC staff, as described in NEI Recommendation 6.1.

7. Containment Over-Pressure Credit in ECCS Recirculation Analyses (Section 3.2.2, The Proposed Change Is Consistent with the Defense-in-Depth Philosophy, Pages 10-11).

A. NEI Recommendation 7.1: Section 3.2.2 should be revised to clearly identify the need for a Licensing Amendment Request (LAR) in instances where containment over-pressure is relied upon for emergency core cooling system (ECCS) performance.

NRC Response: The NRC staff agrees with the NEI recommendation and proposed changes to the draft SE to address this recommendation. EPRI noted that page H-6 of the EPRI Report No. 1009325, Revision 2, instructs that a LAR is required for plants crediting over-pressure, and recommended that draft SE Section 3.2.2 be revised to clearly identify the need for a LAR in instances where containment over-pressure is relied upon for ECCS performance.

The NRC staff agrees with the need for further clarification and has made the following changes to the draft SE based on the comment:

- The following statement has been added to Section 3.2.2 of the SE (line 50): "Page H-6 of EPRI Report No. 1009325, Revision 2, instructs that a LAR is required for plants crediting over-pressure."
- The following statement has been added to the list of Limitations and Conditions: "A License Amendment Request (LAR) is required in instances where containment over-pressure is relied upon for ECCS performance."

8. Safety Evaluation Discussion of Impact of Test Interval (Section 3.2.4.3, PRA Modeling, Page 15)

A. NEI Recommendation 8.1: The language in this section of the SE is confusing. Revise draft SE Section 3.2.4.3 as follows:

"Thus, for a test interval of 15 years, the value of F is five times the base case, or it increases by resulting in a change (increase) in F of four times the base case value of F."

NRC Response: The NRC staff agrees that the language is somewhat confusing. The NRC staff therefore made some slight editorial changes to the draft SE input (Section 3.2.4.3) which differ from the NEI recommendation. To clarify this section, the NRC staff proposed the following revision (in bold):

The value of F is assumed, as already noted, to be proportional to the length of the test interval. Thus, for a test interval of 15 years, the value of F is five times the value for the base case. There were 217 tests with zero large leak rates. The Jeffreys procedure leads to the result that the probability of a large leak, given a core damage event, is approximately 0.0023 (0.5/217), for the base case (See Section 3.5 of EPRI Report No. 1009325, Revision 2). Increasing the length of the test interval from 3 years to 15 years, therefore, increases the probability of a large leak by four times that of the base case – i.e., the (change) increase in probability is approximately 0.0092 (4 x 0.0023). For a CDF of 1E-4 per year, this results in an increase of approximately 9E-7 in the LERF – in the acceptable range for plants whose LERF is less than 1E-7 per year. The procedure for calculating the increase in the LERF from the increase in the length of the ILRT test interval is acceptable to NRC staff.

During the April 4, 2008, teleconference between the NRC staff and the NEI, the NEI stated that it was in agreement with the NRC revision. However, in its email to the NRC dated April 10, 2008, the NEI identified a typographical error that was not discussed during the conference call. Specifically, the second to last sentence in the revision refers to plants with a LERF less than 1E-7. The correct value for LERF, as stated in the original draft SE language should be 1E-5. The NEI identified that this typographical error should be corrected as follows:

...For a CDF of 1E-4 per year, this results in an increase of approximately 9E-7 in the LERF – in the acceptable range for plants whose LERF is less than 1E-5 per year.

The NRC staff reviewed this NEI recommendation and agrees with the change, with one modification. The NRC staff recommends adding the word "total" in front of the word LERF at the end of the sentence, i.e., "whose total LERF is less than 1E-5 per year." This change has been captured by the NRC staff in SE Section 3.2.4.3.

RESPONSES APPLICABLE TO NEI 94-01

Response to NRC Request for Additional Information on Risk Impact Assessment of Extended ILRT Intervals

Introduction

NRC Letter dated February 21, 2007 identified a number of items for which additional information (RAI) was needed to continue NRC review of the NEI submittal of changes to NEI 94-01 and EPRI Report No. 1009325.

This document consists of the responses to NRC RAIs that pertain specifically to NEI 94-01. Each RAI is presented below followed by the response previously communicated in draft and finally by the accompanying changes that were made to NEI 94-01. To assist the reader NRC comments are in normal print, NEI 94-01 additions are in italics, and NEI 94-01 deletions are struck through.

Containment and Ventilation Branch General Comments (page 1-2)

1. Section 9.1, lines 363-366 state, "Consistent with standard scheduling practices for Technical Specifications Required Surveillances, intervals for recommended Type A testing given in this section may be extended by up to 15 months. This option should be used only in cases where refueling schedules have been changed to accommodate other factors." The NRC staff feels that this passage, unchanged from Revision 0, needs to be revisited.

With the test interval at 10 years, the NRC staff accepted this passage, seeing it as a "last resort" when some unexpected delay in starting a planned refueling outage pushed it out beyond 10 years. However, experience indicates that the wording of the last sentence is not restrictive enough to keep licensees from tacking on the 15 months whenever they want. Conventional wisdom is that most licensees simply think of the test interval as 11 years and 3 months and plan accordingly from the beginning of a test interval. This is a different industry interpretation of the sentence than the NRC staff intended.

With the test interval increased to 15 years, the original wording is no longer acceptable. It should be changed to shorten the "leeway"period from 15 months, to between 6 to 9 months, with a basis provided. A comparable revision to the "leeway" period should also be made to Section 11.3.

Response to general comment 1, page 1:

The last paragraph in Section 9.1 of NEI 94-01 will be changed to read as follows:

~~Consistent with standard scheduling practices for Technical Specifications~~ Required surveillances, intervals for recommended Type A testing given in this

section may be extended by up to 15 months *to accommodate unforeseen emergent conditions, but should not be used for routine scheduling and planning purposes. If this extension is applied, the reason justification, and risk-informed bases for the extension should be documented.* ~~This option should be used only in cases where refueling schedules have been changed to accommodate other factors.~~

The last sentence in Section 11.3 will be deleted entirely.

2. Section 9.2.3.2: One stated objective of this revision is to incorporate into it the exceptions cited in Regulatory Guide (RG) 1.163, so that NEI 94-01 will be acceptable on its own. Exception C.3. of the RG states that visual examinations should be conducted prior to initiating a Type A test, and during two other refueling outages before the next Type A test if the interval for the Type A test has been extended to 10 years. Section 9.2.3.2 states that the examinations must be conducted prior to each Type A test and at periodic intervals between Type A tests as specified by the applicable year and addenda of the American Society of Mechanical Engineers (ASME) Boiler and Pressure Vessel Code, Section XI, Subsections IWE and IWL. The NRC staff request a discussion of whether, and how, this provision is consistent with exception C.3. of the RG, considering especially the longer 15 year interval.

Response to general comment 2, page 1:

The language in Section 9.2.3.2 will be changed to read as follows:

To provide continuing supplemental means of identifying potential containment degradation, a general visual examination of accessible interior and exterior surfaces of the containment for structural deterioration that may affect the containment leak-tight integrity must be conducted prior to each Type A test and ~~at periodic intervals between Type A tests as specified by the applicable year and addenda of the ASME Boiler and Pressure Vessel Code, Section XI, Subsections IWE and IWL~~ *during at least three other outages before the next Type A test if the interval for the Type A test has been extended to 15 years."*

Containment and Ventilation Branch Editorial comments/typographical errors (page 2)

1. Section 1.2, line 84 states, "...reducing the frequency of Type A tests ([integrated leak rate test] IRLTs) from the current 3 per 10 years to 1 per 15 years...." Considering that no plant does 3 tests in 10 years anymore, delete the words "the current."

Response to editorial comment 1, page 2:

To obtain results from the risk impact assessment that would be comparable with previous work (e.g., NUREG-1493 and EPRI TR-104285) that used 3 tests per 10 years, this was chosen as the base for the latter risk impact assessment. The words "current 3 per 10 years" will be replaced with *"baseline (3 per 10 years)"*.

2. Section 6.0, line 237 states, "The installed isolation valve seal-water system fluid inventory is sufficient to assume the sealing function for at least 30 days at a pressure of 1.10 Pa." The staff believes that the word "assume" should be "assure."

Response to editorial comment 2, page 2:

The word "assume" will be changed to *"assure"*.

3. Various locations: American National Standards Institute (ANSI)/American Nuclear Society (ANS)-56.8-1994 is cited in numerous locations, but in two different formats. Sometimes there is a hyphen between "ANS" and "56.8," and sometimes it appears without the hyphen. Please be consistent.

Response to editorial comment 3, page 2:

The convention: *ANSI/ANS-56.8-2002* will be used, with hyphens.

4. Section 10.2.2.1, line 735: The term "P_{ac}" has not been changed to "P_a".

Response to editorial comment 4, page 2:

P_{ac} will be changed to P_a.

5. Section 11.2, line 894: Capitalize "type A."

Response to editorial comment 5, page 2:

The "T" in Type A will be capitalized.

6. Section 11.3.2, line 1132 states, "...under Option B to 10 Code of Federal Regulations (CFR) 50, including...." It should mention also Appendix J, as in "...under Option B of Appendix J to 10 CFR 50, including...."

Response to editorial comment 6, page 2:

The reference to the regulation will be changed to read: ... *"test intervals under 10CFR50, Appendix J, Option B*, including plant-specific"...

Geosciences and Civil Engineering Branch General Comments (pages 3-4)

1. Executive Summary: In the third paragraph, the Revision 0 provision of performing a Type A test after identifying the cause and instituting corrective action has been deleted in this revision. The only way to identify the leakage characteristics of the containment after corrective actions is to perform a Type A test. Please provide justification for this deletion.

Response to comment 1, page 3:

'Demonstration of an acceptable performance leak rate' in the context given was intended to require performance of a Type A test. For clarity, the words *"during a subsequent Type A test"* will be added to the paragraph as follows:

If the Type A performance leakage rate is not acceptable, the performance criterion is not met, and a determination should be performed to identify the cause of unacceptable performance and determine appropriate corrective actions. Once completed, acceptable performance should be reestablished by demonstrating an acceptable performance leakage rate *during a subsequent Type A test* before resuming operation and by performing another successful Type A test within 48 months following the unsuccessful Type A test. Following these successful Type A tests, the surveillance frequency may be returned to at least once per 15 years.

This change will also be made to Section 9.2.6.

2. Section 1.1, line 13: The NRC staff notes that you use the 1994 version of ANSI/ANS-56.8 (the Standard). The 2002 Edition of the Standard utilizes performance based criteria for the containment leakage rate tests. Provide the basis for not using the most recent edition of the Standard. In addition, for consistency and accuracy, direct references to the provisions of the Standard, where applicable and acceptable, should be made, rather than paraphrasing.

Response to comment 2, page 3:

NEI 94-01 will be revised to refer only to *ANSI/ANS-56.8-2002.*

3. Section 1.1, lines 32 to 45: The fact that Nuclear Regulatory Commission Technical Report (NUREG) -1493 arrives at a statement of "imperceptible increase in risk" is based on considering non-degraded and ideal containments. It did not consider the realistic containment vulnerabilities, and the explicit criteria for risk-assessment were not available at that time. In spite of all the efforts to relate ILRT interval to risk parameters, it appears that the risk parameters considered are insensitive to the ILRT interval. In reality, the containment-components of operating reactors are degrading, and pragmatic considerations would require an assessment of overall integrity (leakage rate) of the containment, as a minimum, every 15 years. The NRC staff requests a discussion, in the appropriate sections, which provides guidance to address current containment conditions.

Response to comment 3, page 3:

The following language is to be added at the end of Section 1.1:

....assessments are required. *Moreover, pragmatic considerations require an assessment of the overall integrity of the containment, including Type A integrated leak rated testing at fifteen-year intervals.*

4. Section 1.1, lines 52 to 58: If the exemptions were issued after the Technical Specifications (TS) were approved, when the licensee amends the TS requirements to the new test interval (for Type A, Type B, or Type C tests), it should explicitly describe which exemptions the licensee wants to continue with and which exemptions it will not use during the implementation of the new test intervals. This information should be part

of the TS amendment request. The NRC staff requests that this section be clarified to state that this approach is acceptable provided the NRC has a chance to review the licensee's choice, as part of the TS amendment.

Response to comment 4, page 3:

This paragraph in Section 1.1 has not changed from NEI 94-01, Rev. 0. The language was provided to clarify to plants that previously established exemptions would not be invalidated by implementing Option B of 10CFR50, Appendix J.

Industry agrees that identification of those exemptions which will be in force, and those which will not be used in the future should be provided in the TS amendment request. ~~The model TS amendment request that will be provided to plants when NEI 94-01, Revision 1 is approved and issued will include this requirement.~~ A Technical Specifications Task Force (TSTF) Traveler will be developed and submitted to the NRC when NEI 94-01, Revision 1 is approved and issued. This Traveler will be the model TS to be followed by plants and will include this requirement.

The following sentence will be added to the end of the second to last paragraph of Section 1.1:

However, any exemptions to provisions of 10CFR50, Appendix J to be maintained in force as part of the Containment Leakage Testing Program should be clearly identified as part of the plant's program documentation.

5. Section 3.0, lines 145 to 148: This provision should apply to (1) the plants which do not want to extend their ILRT interval beyond 10 years, and (2) the plants which do not want extend their ILRT interval beyond the one-time 15 year extension. In the second case, the plants will have to revert to a 10 year interval.

Response to comment 5, page 3:

The last paragraph will be changed to: *...and who do not wish to extend ILRT surveillance intervals beyond ten years, including ten years with a one-time extension of the interval up to fifteen years are not....*

A similar change will also be made to Section 1.1.

6. Section 6.0, lines 194 to 200: Irrespective of the impact of the design leakage rate on risk, General Design Criterion 16 states, "Reactor containment and associated systems shall be provided to establish an essentially leaktight barrier against the uncontrolled release of radioactivity ------." The purpose of the overall leakage rate test (i.e. Type A test) is to verify that the containment retains its essentially leaktight condition. L_a is a surrogate for an essentially leaktight condition. This type of discussion is appropriate in these lines.

Response to comment 6, page 3-4:

The language contained in the first paragraph of Section 6 is a direct quote from 10CFR50, Appendix J, Option B. It is provided at this place in NEI 94-01, Revision 1 to articulate the requirements of Option B, and to establish the context of the following paragraphs in this

document. A direct quote from the regulation was considered more appropriate for NEI 94-01, Revision 1 vs. the paraphrase in NEI 94-01, Revision 0.

7. Section 6.0, lines 215 to 221: For the sake of completion and consistency, it is suggested that the provisions of Sections 6.4.4 and 6.5 of the Standard (ANSI/ANS-56.8-2002) be provided in a few paragraphs in this area. Periodic revision of the administrative limits based on operating experience should be emphasized.

Response to comment 7, page 4:

NEI 94-01 will be revised to refer only to *ANSI/ANS-56.8-2002.*

8. Section 8.0, lines 259 to 275: Section 3.2.5 of ANSI/ANS-56.8-2002 has the performance-based guidelines and envelopes the provision in the four bullets. For consistency with the referenced documents, the staff suggests that instead of repeating and abbreviating the Standard's provisions, this NEI report should reference the Standard for draining and venting requirements. In general, this Section has a lot of redundancies with the Standard, and the provisions in this report should point out additional practical guidelines without repeating the content of the Standard.

Response to comment 8, page 4:

NEI 94-01 will be revised to refer only to *ANSI/ANS-56.8-2002.*

9. Section 9.2.2, lines 453 to 458 state, "The interval for testing should begin at initial reactor operation," which contradicts the earlier sentence, "The first periodic Type A test shall be performed within 48 months after the successful completion of the last preoperational Type A test." The staff agrees with the earlier sentence on lines 475-476.

Response to comment 9, page 4:

The second paragraph will be changed to read as follows (*insertions in italics)*:

> The first periodic Type A test shall be performed *after commencing reactor operation and* within 48 months after the successful completion of the last preoperational Type A test. Periodic Type A tests shall be performed at a frequency of at least once per 48 months, until acceptable performance is established in accordance with Section 9.2.3. ~~The interval for testing should begin at initial reactor operation.~~ Each test interval begins upon completion of a Type A test and ends at the start of the next test.

10. Section 9.2.3.3: To ensure that licensee risk-informed assessments are of sufficient quality, the NRC staff requests that NEI propose an approach to ensure that Type A leak rate test results from industry operational experience data are monitored. As appropriate, this data should be utilized in plant-specific ILRT assessments to demonstrate that risk acceptance guidelines reflect insights from the most current data regarding containment degradation. As new information becomes available, after fifteen year ILRT implementation, licensees should periodically reevaluate this conclusion.

Response to comment 10, page 4:

The analysis is intended as a one-time risk assessment to confirm the plant-specific acceptability of extending the ILRT interval. Existing programs in both industry and NRC are in place to collect and disseminate operating experience. Any significant containment degradation or ILRT failure with potential generic implications would be noted in the plant's operating experience review and appropriate action taken.

11. Section 9.2.4: With an ILRT interval of 15 years, the deferral from the Type A test provided in this Section is inappropriate. At this time, the NRC is providing relief from performing ILRT after SG/RPV or penetration replacement and requiring licensees to perform short duration structural tests to get an assurance of compatible modification.

Section 9.2.4 will be changed to read as follows:

> Repairs and modifications that affect the containment leakage integrity require *local* leakage rate testing *or short duration structural tests as appropriate to provide assurance of containment integrity following the modification or repair. This testing shall be performed (*~~Type A testing or local leakage rate testing~~) prior to returning the containment to operation. ~~Testing may be deferred to the next regularly scheduled Type A test for the following repairs or modifications:~~
>
> - ~~Welds of attachments to the surface of steel pressure-retaining boundary;~~
> - ~~Repair cavities, the depth that does not penetrate required design steel wall by more than 10%, or~~
> - ~~Welds attaching to steel pressure-retaining boundary penetrations where the nominal diameter of the welds or penetrations do not exceed one inch.~~

12. Section 10.2.3, lines 771-780: From a practical point of view, the initial testing of the valves should be performed at every outage until a plant specific performance history is developed for each of the valves.

Response to comment 12, page 4:

This section of NEI 94-01 has not been changed from that promulgated in NEI 94-01, Revision 0. Section 10.2.3.1 requires performance of Type C tests at a frequency of at least once per 30 months until adequate performance has been demonstrated. 30 months is not inconsistent with the Appendix J, Option A maximum interval of 24 months, + 25%.

1.0 INTRODUCTION

1.1 Background

Containment leakage rate testing is performed in accordance with 10CFR50, Appendix J, "Leakage Rate Testing of Containment of Light Water Cooled Nuclear Power Plants." Appendix J specifies containment leakage testing requirements, including the types of tests required. In addition, for each type of test, Appendix J discusses leakage rate acceptance criteria, test methodology, frequency of testing, and reporting requirements. The specific testing requirements are discussed in a variety of sources, including Technical Specifications, Containment Leakage Rate Testing Program, Final Safety Analysis Reports (FSARs), National Standards (e.g., ANSI/ANS-56.8–2002, "Containment System Leakage Testing Requirements"), and licensee/NRC correspondence. These documents require that periodic testing be conducted to verify the leakage integrity of the containment and those containment systems and components that penetrate the containment.

The reactor containment leakage test program includes performance of an Integrated Leakage Rate Test (ILRT), also known as a Type A test; and performance of Local Leakage Rate Tests (LLRTs), also known as either Type B or Type C tests. The Type A test measures overall leakage rate of the primary reactor containment. Type B tests are intended to detect leakage paths and measure leakage for certain primary reactor containment penetrations. Type C tests are intended to measure containment isolation valve leakage rates.

In 1995, the NRC amended the regulations to provide an Option B to the 10CFR50, Appendix J. Option B is a performance–based approach to Appendix J leakage testing requirements. This option, in concert with NEI 94-01, allows licensees with good ILRT performance history to reduce the Type A Integrated Leakage Rate Test (ILRT) frequency from three tests in 10 years to at least one test in 15 years. The initial 1995 relaxation of ILRT frequency was based on the NRC risk assessment contained in "Performance-Based Containment Leak-Test Program (NUREG-1493) and EPRI Risk Impact Assessment of Revised Containment Leak Rate Testing Intervals (TR-104285) both of which found that there was a very low increase in risk associated with increasing ILRT surveillance intervals to ten years. Furthermore, the NRC assessment stated that there was an imperceptible increase in risk associated with increasing ILRT intervals up to twenty years. In 2001, many licensees began to submit requests for one-time ILRT interval extensions beyond ten years, and it was deemed appropriate to assess the risk involved in extending ILRT intervals beyond ten years. EPRI Product No. 1018243, "Risk

Impact Assessment of Extended Integrated Leak Rate Testing Intervals" [1] demonstrated that generally there is little risk associated with extension of ILRT intervals of up to fifteen years. However, plant-specific confirmatory risk impact assessments are required. Moreover, pragmatic considerations require an assessment of the overall integrity of the containment, including Type A integrated leak rate testing at fifteen-year intervals.

For Type B and Type C tests, 10CFR50, Appendix J, Option B, in concert NEI 94-01 allows licensees to reduce testing frequency on a plant–specific basis based on experience history of each component, and established controls to ensure continued performance during the extended testing interval.

Generally, a FSAR describes plant testing requirements, including containment testing. In some cases, FSAR testing requirements differ from those of Appendix J. In many cases, Technical Specifications were approved that incorporated exemptions to provisions of Appendix J. Additionally, some licensees have requested and received exemptions after their Technical Specifications were issued. The alternate performance–based testing requirements contained in Option B of Appendix J will not invalidate such exemptions. However, any exemptions to the provisions of 10CFR50, Appendix J to be maintained in force as part of the Containment Leakage Testing Program should be clearly identified as part of the plant's program documentation.

Plants that have elected to invoke 10CFR50, Appendix J, Option B in concert with NEI 94-01 (1995) and Regulatory Guide 1.163 (1995) and who do not wish to extend ILRT surveillance intervals beyond ten years, including ten years with a one-time extension of the interval up to fifteen years are not required to comply with this revision or subsequent revisions of NEI 94-01.

1.2 Discussion

This guideline describes an approach that may be used to meet the alternate testing requirements described in 10CFR50, Appendix J, Option B. The performance history of containment, penetrations, and containment isolation valves is used as the means to justify extending test intervals for containment Type A, Type B, and Type C tests. This guideline provides a method for determining the extended test intervals based on performance.

Under Option B, test intervals for Type A, Type B, and Type C testing may be determined by using a performance–based approach. Performance–based test intervals are based on consideration of operating history of the component and

[1] EPRI Report No. 1018243 was revised to incorporate changes identified in the NRC Safety Evaluation and has been republished as EPRI Report No. 1009325. All further references to this document will utilize the revised number.

resulting risk from its failure. Performance–based for Appendix J refers to both the performance history necessary to extend test intervals as well as the criteria necessary to meet the requirements of Option B. The performance–based approach to leakage rate testing discussed in NUREG–1493, "Performance–Based Leak–Test Program," concludes that the impact on public health and safety due to extended intervals is negligible. EPRI Product No. 1009325 Rev 2-A "Risk Impact Assessment of Extended Integrated Leak Rate Testing Intervals" concludes that reducing the frequency of Type A tests (ILRTs) from the baseline (3 per 10 years) to 1 per 15 years leads to a small increase in risk. The approach of the EPRI Risk Impact Assessment included compliance with appropriate current risk-informed guidance of Regulatory Guide 1.174, Revision 1 (2002), "An Approach for Using Probabilistic Risk Assessment in Risk-Informed Decisions in Plant-Specific Changes to the Licensing Basis."

Type A tests focus on verifying the leakage integrity of a passive containment structure. Type B and C testing focuses on assuring that containment penetrations are essentially leak tight. These tests collectively satisfy the requirements of 10CFR50, Appendix J, Option B summarized as follows: "These test requirements ensure that (a) leakage through these containments or systems and components penetrating these containments does not exceed allowable leakage rates specified in the Technical Specifications and (b) integrity of the containment structure is maintained during its service life."

2.0 PURPOSE AND SCOPE

This guideline describes an acceptable method for implementing the optional performance–based requirements of Appendix J. This method uses industry performance data, plant–specific performance data, and risk insights in determining the appropriate testing frequency. Licensees may elect to use other suitable methods or approaches to comply with Option B, but must obtain NRC approval prior to implementation.

The approach described in this guideline to implement Appendix J, Option B includes:

- Continued assurance of the leakage integrity of the containment without adversely affecting public health and safety;

- A framework to acknowledge good performance;

- Utilization of risk and performance–based methods, including an awareness of the plant-specific risk impact of extension of ILRT intervals of up to fifteen years;

- An awareness of and attention to supplemental means of assessing and maintaining containment integrity, particularly for ILRT interval extensions beyond ten years. Specifically, this includes the Maintenance Rule and ASME Boiler and Pressure Vessel Code, Section XI, Subsections IWE/IWL inspections and

- Licensee flexibility to implement cost–effective testing methods.

This guideline delineates the basis for a performance–based approach for determining Type A, Type B, and Type C containment leakage rate surveillance testing frequencies. It does not address how to perform the tests because these details can be found in existing documents (e.g., ANSI/ANS-56.8–2002) that are endorsed for use. However, some differences exist between ANSI/ANS-56.8-2002, and this document, NEI 94-01. Where differences exist, NEI 94-01, Revision 2 takes precedence.

3.0 RESPONSIBILITY

Each licensee should determine if the requirements of the initial 10CFR50, Appendix J (Option A) or the alternate requirements (Option B) are most appropriate for its facility. If a licensee elects to implement the Option B requirements, the guidance described in this document has been reviewed and endorsed by the NRC as an acceptable method of implementing the requirements.

In addition, if a licensee elects to adopt Option B, it may elect to adopt the requirements that apply to a specific category of tests (i.e., Type A, or Type B and Type C tests) only.

Plants that have elected to adopt 10CFR50, Appendix J, Option B in concert with NEI 94-01 (1995) and Regulatory Guide 1.163 (1995) and who do not wish to extend ILRT surveillance intervals beyond ten years, including ten years with a one-time extension of the interval up to fifteen years are not required to comply with this revision or subsequent revisions of NEI 94-01.

4.0 APPLICABILITY

This guideline is applicable to licensees holding an operating license issued in accordance with 10 CFR 50.21(b) and 50.22, and 10 CFR Part 52, Subpart C.

Industry operating experience and plant modifications that may affect Type A, Type B, and Type C testing program(s) should be reviewed to assure test and maintenance programs are appropriately adjusted to reflect these changes.

5.0 DEFINITIONS

Definitions of most commonly accepted terms used in this guideline may be found in ANSI/ANS-56.8–2002. The following additional term and its definition is used in this guideline:

- The **performance leakage rate** is calculated as the sum of the Type A upper confidence limit (UCL) and as–left minimum pathway leakage rate (MNPLR) leakage rate for all Type B and Type C pathways that were in service, isolated, or not lined up in their test position (i.e., drained and vented to containment atmosphere) prior to performing the Type A test. In addition, leakage pathways that were isolated during performance of the test because of excessive leakage must be factored into the performance determination. The performance criterion for Type A tests is a performance leak rate of less than 1.0La.

6.0 GENERAL REQUIREMENTS

10CFR50, Appendix J, Option B states: "Type A tests to measure the containment system overall integrated leakage rate must be conducted under conditions representing design basis loss-of-coolant accident containment peak pressure. A Type A test must be conducted (1) after the containment system has been completed and is ready for operation and (2) at a periodic interval based on the historical performance of the overall containment system as a barrier to fission product releases to reduce the risk from reactor accidents. A general visual inspection of the accessible interior and exterior surfaces of the containment system for structural deterioration which may affect the containment leak-tight integrity must be conducted prior to each test, and at a periodic interval between tests based on the performance of the containment system. The leakage rate must not exceed the allowable leakage rate (La) with margin, as specified in the Technical Specifications. The test results must be compared with previous results to examine the performance history of the overall containment system to limit leakage."

A review of leakage rate testing experience indicates that only a small percentage of Type A tests have exhibited excessive leakage. Furthermore, the observed leakage rates for the few Type A test failures were only marginally above current limits. These observations, together with the insensitivity of public risk to containment leakage rate at these low levels, suggest that for Type A tests, intervals may be established based on performance. The Type A test is the primary means to detect containment leakage that is not detectable by the Type B and Type C testing programs, and is also used to verify at periodic intervals the accident leakage (L_a) assumptions in the accident analysis.

An LLRT is a test performed on Type B and Type C components. An LLRT is not required for the following cases:

- Primary containment boundaries that do not constitute potential primary containment atmospheric pathways during and following a Design Basis Accident (DBA);

- Boundaries sealed with a qualified seal system; or,

- Test connection vents and drains between primary containment isolation valves which are one inch or less in size, administratively secured closed and consist of a double barrier.

For Type B and Type C tests, intervals shall be established based on the performance history of each component. Performance criterion for each component is determined by designating an administrative leakage limit for each component in the Type B and Type C testing program in accordance with guidance provided in Sections 6.5 and 6.5.1 of ANSI/ANS-56.8-2002. The acceptance criteria for Type B and Type C tests is based upon demonstrating that the sum of leakage rates at DBA pressure for containment penetrations and valves that are testable, is less than the total allowable leakage rate specified in the plant Technical Specifications.

Primary containment barriers sealed with a qualified seal system shall be periodically tested to demonstrate their functionality in accordance with the plant Technical Specifications. Specific details of the testing methodology and requirements are contained in ANSI/ANS-56.8–2002 and should be adopted by licensees with applicable systems. Test frequency may be set using a performance basis in a manner similar to that described in this guideline for Type B and Type C test intervals. Leakage from containment isolation valves that are sealed with a qualified seal system may be excluded when determining the combined leakage rate provided that:

- Such valves have been demonstrated to have fluid leakage rates that do not exceed those specified in the technical specifications or associated bases, and

- The installed isolation valve seal-water system fluid inventory is sufficient to ensure the sealing function for at least 30 days at a pressure of 1.10 Pa.

7.0 UTILIZATION OF EXISTING PROGRAMS

Licensees should use existing industry programs, studies, initiatives and data bases, where possible.

8.0 TESTING METHODOLOGIES FOR TYPE A, B AND C TESTS

Type A, Type B and Type C tests should be performed using the technical methods and techniques specified in ANSI/ANS-56.8–2002, or other alternative testing methods that have been approved by the NRC.

All Appendix J pathways must be properly drained and vented during the performance of the ILRT in accordance with Section 3.2.5 of ANSI/ANS-56.8-2002.

It should be noted that the Type B or C tests performed on associated pathways must test all of its containment barriers. This includes bonnets, packings, flanged joints, threaded connections, and compression fittings. If the Type B or C test pressurizes any of the pathway's containment barriers in the reverse direction, it must be shown that test results are not affected in a non-conservative manner by directionality. The as–found and the as–left leakage rate for all pathways that are not drained and vented must be determined by Type B and Type C testing within the previous 30 calendar months of the time that the Type A test is performed and must be added to the Type A leakage rate UCL to determine the overall L_a surveillance acceptance criteria in accordance with the definition in Section 5.0 of this document.

For purposes of determining an acceptable Type A test for operability considerations, the as-found overall integrated leakage rate shall be determined. The as-found overall integrated leakage rate shall be calculated by adding the following quantities to the Type A UCL:

(1) The positive differences between the as-found MNPLR and the as-left MNPLR for each pathway tested and adjusted prior to the ILRT (savings), and

(2) The as-found MNPLR of all leakage paths isolated during the performance of the ILRT.

Note: Because of the performance–based emphasis on Type A testing, present criteria for Type A tests have been defined differently than in the previous ANSI/ANS-56.8-1994. The present criteria, the performance leakage rate (defined in Section 5), is not the same as the aforementioned as-found integrated leakage rate, and does not use the leakage savings value.

If a pathway is isolated during performance of an ILRT due to excessive leakage, and the pathway leakage can be determined by a local leakage rate test, the as–left MNPLR for that leakage path must also be added to the Type A UCL. If the pathway leakage cannot be determined by local leakage rate testing, the performance criteria for the Type A test were not met. If an excessively leaking containment penetration barrier pathway is discovered during the Type A test, and the pathway is neither a Type B or a Type C tested pathway, it shall still be tested

to Type B or Type C test requirements after the Type A test and its as-left MNPLR added to the Type a test UCL. In this case the Type A test performance criterion is not met unless that pathway is subsequently added to the Type B or Type C test program. It the excessive leakage is from a source that can be tested only during a Type A test, the Type A test performance criterion is not met.

ANSI/ANS-56.8–2002, Section 6.4.4 also specifies surveillance acceptance criteria for Type B and Type C tests and states that the combined (as-found) leakage rate of all Type B and Type C tests shall be less than 0.6La when evaluated on a MNPLR basis at all times when containment operability is required. Moreover, the combined leakage rate for all penetrations subject to Type B and Type C tests shall be less than or equal to 0.6La as determined on an MXPLR basis from the as-left LLRT results. These combined leakage rate determinations shall be done with the latest leakage rate test data available, and shall be kept as a running summation of the leakage rates.

9.0 DETERMINING PERFORMANCE-BASED TEST INTERVAL FOR TYPE A TESTS

9.1 Introduction

Determination of the surveillance frequency of Type A tests is based upon satisfactory performance of leakage tests that meet the requirements of Appendix J. Performance in this context refers to both the performance history necessary to determine test intervals as well as overall criteria needed to demonstrate leakage integrity performance. Performance is also used as a basis for demonstrating negligible impact on public health and safety.

The purpose of Type A testing is to verify the leakage integrity of the containment structure. The primary performance objective of the Type A test is not to quantify an overall containment system leakage rate. The Type A testing methodology as described in ANSI/ANS-56.8–2002, and the modified testing frequencies recommended by this guideline, serves to ensure continued leakage integrity of the containment structure. Type B and Type C testing assures that individual penetrations are essentially leak tight. In addition, aggregate Type B and Type C leakage rates support the leakage tightness of primary containment by minimizing potential leakage paths. A review of performance history has concluded that almost all containment leakage is identified by local leakage rate testing.

This section discusses a method to determine a testing frequency for Type A testing based on performance. The extended test interval is based upon industry performance data that was compiled to support development of Option B to Appendix J, and is intended for use by any licensee. In adopting extended test

intervals recommended in this guideline, a licensee should perform Type A testing in accordance with recommended industry practices.

Required surveillance intervals for recommended Type A testing given in this section may be extended by up to 9 months to accommodate unforeseen emergent conditions, but should not be used for routine scheduling and planning purposes.

9.1.1 Performance Criteria

Performance criteria for establishing Type A test intervals should provide both the standard against which performance is to be measured and basis for determining that performance is acceptable.

The performance criterion for Type A test allowable leakage is a performance leakage rate of less than $1.0L_a$. This allowable performance leakage rate is calculated as the sum of the Type A UCL and as–left MNPLR leakage rate for all Type B and Type C pathways that were in service, isolated, or not lined up in their test position (i.e., drained and vented to containment atmosphere) prior to performing the Type A test. In addition, leakage pathways that were isolated during performance of the test because of excessive leakage must be factored into the performance determination. If the leakage can be determined by a local leakage rate test, the as– left MNPLR for that leakage path must also be added to the Type A UCL. If the pathway leakage cannot be determined by local leakage rate testing, the performance criteria are not met.

If an excessively leaking containment penetration barrier pathway is discovered during the Type A test, and the pathway is neither a Type B or a Type C tested pathway, it shall still be tested to Type B or Type C test requirements after the Type A test and its as-left MNPLR added to the Type a test UCL. In this case the Type A test performance criterion is not met unless that pathway is subsequently added to the Type B or Type C test program. If the excessive leakage is from a source that can be tested only during a Type A test, the Type A test performance criterion is not met.

Failure of Type B and Type C test components found during performance of a Type A test should be reviewed for cause determination and corrective actions. If the pathway leakage cannot be determined by local leakage rate testing, the Type A performance criteria are not met.

9.1.2 Test Interval

Extensions in test intervals are allowed based upon two consecutive, periodic successful Type A tests and requirements stated in Section 9.2.3 of this guideline. The elapsed time between the first and the last tests in a series of consecutive passing tests used to determine performance shall be at least 24 months.

9.2 Type A Test

9.2.1 Pretest Inspection and Test Methodology

Prior to initiating a Type A test, a visual examination shall be conducted of accessible interior and exterior surfaces of the containment system for structural problems that may affect either the containment structure leakage integrity or the performance of the Type A test. This inspection should be a general visual inspection of accessible interior and exterior surfaces of the primary containment and components. It is recommended that these inspections be performed in conjunction or coordinated with the ASME Boiler and Pressure Vessel Code, Section XI, Subsection IWE/IWL required examinations.

ANSI/ANS-56.8-2002 testing methodology states that pathways open to the primary containment atmosphere under post–DBA conditions shall be drained and vented to the primary containment atmosphere during a Type A test. There are four exceptions discussed in ANSI/ANS-56.8-2002 that allow penetrations to be tested under the LLRT program and the results added to the Type A leakage rate Upper Confidence Limit (UCL). One exception states that pathways in systems that are required for proper conduct of the Type A test or to maintain the plant in a safe condition during the Type A test may be operable in their normal mode. Proper outage planning should identify systems that are important to shutdown safety. A sufficient number of systems should be available so as to minimize the risk during the performance of the Type A test.

For planning and scheduling purposes, or ALARA considerations, licensees may want to consider not venting and draining additional penetrations that are capable of local leakage rate testing.

9.2.2 Initial Test Intervals

A preoperational Type A test shall be conducted prior to initial reactor operation. If initial reactor operation is delayed longer than 36 months after completion of the preoperational Type A test, a second preoperational Type A test shall be performed prior to initial reactor operations.

The first periodic Type A test shall be performed after commencing reactor operation and within 48 months after the successful completion of the last preoperational Type A test. Periodic Type A tests shall be performed at a frequency of at least once per 48 months, until acceptable performance is established in accordance with Section 9.2.3. Each test interval begins upon completion of a Type A test and ends at the start of the next test.

If the test interval ends while primary containment integrity is either not required or it is required solely for shutdown activities, the test interval may be extended indefinitely. However, a successful Type A test shall be completed prior to entering the operating mode requiring primary containment integrity.

9.2.3 Extended Test Intervals

Type A testing shall be performed during a period of reactor shutdown at a frequency of at least once per 15 years based on acceptable performance history. Acceptable performance history is defined as successful completion of two consecutive periodic Type A tests where the calculated performance leakage rate was less than 1.0 L_a. A preoperational Type A test may be used as one of the two Type A tests that must be successfully completed to extend the test interval, provided that an engineering analysis is performed to document why a preoperational Type A test can be treated as a periodic test. Elapsed time between the first and last tests in a series of consecutive satisfactory tests used to determine performance shall be at least 24 months.

For purposes of determining an extended test interval, the performance leakage rate is as defined in Section 5.0 and repeated here for completeness: The performance leakage rate is calculated as the sum of the Type A upper confidence limit (UCL) and as–left minimum pathway leakage rate (MNPLR) leakage rate for all Type B and Type C pathways that were in service, isolated, or not lined up in their test position (i.e., drained and vented to containment atmosphere) prior to performing the Type A test. In addition, leakage pathways that were isolated during performance of the test because of excessive leakage must be factored into the performance determination. If the pathway leakage can be determined by a local leakage rate test, the as–left MNPLR for that leakage path must also be added to the Type A UCL. If the pathway leakage cannot be determined by local leakage rate testing, the performance criteria for the Type A test are not met. If an excessively leaking containment penetration barrier pathway is discovered during the Type A test, and the pathway is neither a Type B or a Type C tested pathway, it shall still be tested to Type B or Type C test requirements after the Type A test and its as-left MNPLR added to the Type a test UCL. In this case the Type A test performance criterion is not met unless that pathway is subsequently added to the Type B or Type C test program. It the excessive leakage is from a source that can be tested only during a Type A test, the Type A test performance criterion is not met.

In reviewing past performance history, Type A test results may have been calculated and reported using computational techniques other than the Mass Point method from ANSI/ANS-56.8–2002 (e.g., Total Time or Point–to–Point). Reported test results from these previously acceptable Type A tests can be used to establish the performance history. Additionally, a licensee may recalculate past Type A UCL (using the same test intervals as reported) in accordance with ANSI/ANS-56.8–2002

Mass Point methodology and its adjoining Termination criteria in order to determine acceptable performance history. In the event where previous Type A tests were performed at reduced pressure (as described in 10 CFR 50, Appendix J, Option A), at least one of the two consecutive periodic Type A tests shall be performed at peak accident pressure (P_a).

9.2.3.1 General Requirements for ILRT Interval Extensions beyond Ten Years

Type A ILRT intervals of up to fifteen years are allowed by this guideline. The Risk Impact Assessment of Extended Integrated Leak Rate Testing Intervals, EPRI report 1009325 indicates that, in general, the risk impact associated with ILRT interval extensions for intervals up to fifteen years is small. However, plant-specific confirmatory analyses are required. In addition, although the historical containment leak-tight performance has been very good, a few instances of degradation have occurred and have been detected by supplemental means other than Type A ILRTs. These means include visual examinations, ASME Boiler and Pressure Vessel Code Section XI, Subsection IWE/IWL examinations and Maintenance Rule inspections. The following paragraphs summarize the additional requirements for extending ILRT intervals beyond ten years.

9.2.3.2 Supplemental Inspection Requirements

To provide continuing supplemental means of identifying potential containment degradation, a general visual examination of accessible interior and exterior surfaces of the containment for structural deterioration that may affect the containment leak-tight integrity must be conducted prior to each Type A test and during at least three other outages before the next Type A test if the interval for the Type A test has been extended to 15 years. It is recommended that these inspections be performed in conjunction or coordinated with the ASME Boiler and Pressure Vessel Code, Section XI, Subsection IWE/IWL required examinations.

9.2.3.3 Deficiencies Identified During Supplemental Inspections

Deficiencies identified during supplemental inspections or at any time between Type A ILRTs should be included in the plant's corrective action program and a determination should be performed to identify the cause of the deficiency and determine appropriate corrective actions. The determination should include whether the deficiency is a local, one-time occurrence or if it could be more pervasive, and whether it is isolable in accordance with the discussion of Section 9.2.3 regarding penetration pathways. If the deficiency constitutes a non-isolable leakage pathway (for example, through-wall liner corrosion), the as-found leakage must be quantified and should be added to the as-left performance leak rate determined in the last ILRT. If the combination of these leak rates exceeds La, then the containment performance has degraded, and the unit should be removed

from an extended ILRT interval, if applicable, and corrective action pursued in accordance with Section 9.2.6.

9.2.3.4 Plant-Specific Confirmatory Analyses

To provide plant-specific assurance of the acceptability of the risk impact of extending ILRT intervals up to a maximum of fifteen years, a confirmatory risk impact assessment is required. The assessment should be performed using the approach and methodology described in EPRI Report 1009325 Rev 2-A, "Risk Impact Assessment of Extended Integrated Leak Rate Testing Intervals". The analysis is to be performed by the licensee and retained in the plant documentation and records as part of the basis for extending the ILRT interval.

9.2.4 Containment Repairs and Modifications

Repairs and modifications that affect the containment leakage integrity require local leakage rate testing or short duration structural tests as appropriate to provide assurance of containment integrity following the modification or repair. This testing shall be performed prior to returning the containment to operation.

9.2.5 Surveillance Acceptance Criteria

The as–found Type A test leakage rate must be less than the acceptance criterion of 1.0 L_a given in the plant Technical Specifications. Prior to entering a mode where containment integrity is required, the as–left Type A leakage rate shall not exceed 0.75 L_a. The as–found and as–left values are as determined by the appropriate testing methodology specifically described in ANSI/ANS-56.8–2002.

9.2.6 Corrective Action

If the Type A performance leakage rate is not acceptable, the performance criterion is not met, and a determination should be performed to identify the cause of unacceptable performance and determine appropriate corrective actions. Once completed, acceptable performance should be reestablished by demonstrating an acceptable performance leakage rate during a subsequent Type A test before resuming operation and by performing another successful Type A test within 48 months following the unsuccessful Type A test. Following these successful Type A tests, the surveillance frequency may be returned to at least once per 15 years.

10.0 DETERMINING PERFORMANCE–BASED TEST FREQUENCIES FOR TYPE B AND TYPE C TESTS

10.1 Introduction

This section discusses the method to determine extended test intervals for Type B and Type C tests based on performance. It presents a range of acceptable intervals based upon industry data that have been analyzed through a process similar to that used by NRC in NUREG–1493, and have been reviewed for safety significance. Individual licensees may adopt a testing interval and approach as discussed in this guideline provided that certain performance factors and programmatic controls are reviewed and applied as appropriate. Programmatic controls may be necessary to ensure that assumptions utilized in analysis of the industry data are reasonably preserved at individual facilities.

The range of recommended frequencies for Type B and Type C tests are discussed in Section 11.0. The proposed frequencies are in part based upon industry performance data that was compiled to support the development of Option B to Appendix J, and a review of their safety significance. A licensee should develop bases for new frequencies based upon satisfactory performance of leakage tests that meet the requirements of Appendix J. Additional considerations used to determine appropriate frequencies may include service life, environment, past performance, design, and safety impact. Additional technical information concerning the data may be found in NUREG–1493.

Consistent with standard scheduling practices for Technical Specifications Required Surveillances, intervals of up to 60 months for the recommended surveillance frequency for Type B and Type C testing given in the section may be extended by up to 25 percent of the test interval, not to exceed nine months.

10.2 Type B and Type C Testing Frequencies

The testing interval for each component begins after its Type B or Type C test is completed and ends at the beginning of the next test. If the testing interval ends while primary containment integrity is not required or is required solely for cold shutdown or refueling activities, testing may be deferred; however, the test must be completed prior to the plant entering a mode requiring primary containment integrity.

Leakage rates less than the administrative leakage rate limits are considered acceptable. Administrative limits for leakage rates shall be established, documented and maintained for each Type B and Type C component prior to the performance of local leakage rate testing in accordance with the guidance provided in ANSI/ANS-56.8-2002, Sections 6.5 and 6.5.1. The administrative limits assigned to each component should be specified such that they are an indicator of potential

valve or penetration degradation. Administrative limits for airlocks may be equivalent to the surveillance acceptance criteria given for airlocks in Technical Specifications.

Administrative limits are specific to individual penetrations or valves, and are not the surveillance acceptance criteria for Type B and Type C tests. Due to the performance–based nature of Option B to Appendix J and this guideline, it is recommended that acceptance criteria for the combined leakage rate for all penetrations subject to Type B or Type C testing be defined in accordance with ANSI/ANS-56.8-2002, Section 6.4.4.

The surveillance acceptance criteria for airlocks are as specified in Technical Specifications, and administrative limits do not apply. In addition, there is other leakage rate testing specified in the Technical Specifications that contain Surveillance Acceptance Criteria and Surveillance Frequencies, for example, vent and purge valves and BWR main steam and feedwater isolation valves. This guideline does not address the performance–based frequency determination of those surveillances.

If no plant-specific technical specifications are in effect for BWR and PWR containment purge and vent valves and/or BWR main steam and feedwater isolation valves, the interval for Type C tests should be limited to 30 months.

10.2.1 Type B Test Intervals

10.2.1.1 Initial Test Intervals (Except Containment Airlocks)

Type B tests shall be performed prior to initial reactor operation. Subsequent periodic Type B tests shall be performed at a frequency of at least once per 30 months, until acceptable performance is established per Section 10.2.1.2.

10.2.1.2 Extended Test Intervals (Except Containment Airlocks)

The test intervals for Type B penetrations may be increased based upon completion of two consecutive periodic as–found Type B tests where results of each test are within a licensee's allowable administrative limits. Elapsed time between the first and last tests in a series of consecutive satisfactory tests used to determine performance shall be 24 months or the nominal test interval (e.g., refueling cycle) for the component prior to implementing Option B to Appendix J. An extended test interval for Type B tests may be increased to a specific value in a range of frequencies from greater than once per 30 months up to a maximum of once per 120 months. The specific test interval for Type B penetrations should be determined by a licensee in accordance with Section 11.0.

10.2.1.3 Repairs or Adjustments (Except Containment Airlocks)

In addition to the periodic as–found Type B test, an as–found Type B test shall be performed prior to any maintenance, repair, modification, or adjustment activity if the activity could affect the penetration's leak tightness. An as–left Type B test shall be performed following maintenance, repair, modification or adjustment activity. In addition, if a primary containment penetration is opened following as–found testing, a Type B test shall be performed prior to the time primary containment integrity is required. If the as–found and as–left Type B test results are both less than a component's allowable Administrative Limit, a change in test frequency is not required. If as–found or as–left test results are greater than the allowable administrative limit, provisions of Section 10.2.1.4 apply.

Frequency for a Type B testing shall be in accordance with Section 10.2.1.1 if the penetration is replaced or engineering judgment determines that modification of the penetration has invalidated the performance history. Testing shall continue at this frequency until adequate performance is established in accordance with Section 10.2.1.2.

10.2.1.4 Corrective Action

If Type B test results are not acceptable, then the testing frequency should be set at the initial test interval per Section 10.2.1.1. In addition, a cause determination should be performed and corrective actions identified that focus on those activities that can eliminate the identified cause of failure[2] with appropriate steps to eliminate recurrence. Cause determination and corrective action should reinforce achieving acceptable performance. Once the cause determination and corrective actions have been completed, acceptable performance may be reestablished and the testing frequency returned to the extended interval in accordance with Section 10.2.1.2.

Failures of Type B penetrations discovered during performance of a Type A test should be considered as failures of a Type B test for purposes of cause determination and corrective action. This includes failures of penetrations that were not previously identified by a Type B testing program.

[2] A failure in this context is exceeding an administrative limit and not the total failure of the penetration. Administrative limits are established at a value low enough to identify and allow early correction of potential total penetration failures.

10.2.2 Containment Airlocks

10.2.2.1 Test Interval

Containment airlock(s) shall be tested at an internal pressure of not less than P_a prior to a preoperational Type A test. Subsequent periodic tests shall be performed at a frequency of at least once per 30 months. Containment airlock tests should be performed in accordance with ANSI/ANS-56.8–2002. In addition, equalizing valves, door seals, and penetrations with resilient seals (i.e., shaft seals, electrical penetrations, view port seals and other similar penetrations) that are testable, shall be tested at a frequency of once per 30 months.

Airlock door seals should be tested prior to a preoperational Type A test. When containment integrity is required, airlock door seals should be tested within 7 days after each containment access.

For periods of multiple containment entries where the airlock doors are routinely used for access more frequently than once every 7 days (e.g., shift or daily inspection tours of the containment), door seals may be tested once per 30 days during this time period.

Door seals are not required to be tested when containment integrity is not required, however they must be tested prior to reestablishing containment integrity. Door seals shall be tested at P_a, or at a pressure stated in the plant Technical Specifications.

10.2.2.2 Repairs or Adjustments of Airlocks

Following maintenance on an airlock pressure-retaining boundary, one of the following tests shall be completed:

- Airlock shall be tested at a pressure of not less than P_a; or
- Leakage rate testing at P_a shall be performed on the affected area or component.

10.2.2.3 Corrective Action

If containment airlock Type B test results are not acceptable, then a cause determination should be performed and corrective actions identified that focus on those activities that can eliminate the identified cause of a failure[2] with appropriate

[2] A failure in this context is exceeding performance criteria for the airlock, not a total failure.

steps to eliminate recurrence. Cause determination and corrective action should reinforce achieving acceptable performance.

10.2.3 Type C Test Interval

10.2.3.1 Initial Test Interval

Type C tests shall be performed prior to initial reactor operation. Subsequent periodic Type C tests shall be performed at a frequency of at least once per 30 months, until adequate performance has been established consistent with Section 10.2.3.2.

10.2.3.2 Extended Test Interval

Test intervals for Type C valves may be increased based upon completion of two consecutive periodic as–found Type C tests where the result of each test is within a licensee's allowable administrative limits. Elapsed time between the first and last tests in a series of consecutive passing tests used to determine performance shall be 24 months or the nominal test interval (e.g., refueling cycle) for the valve prior to implementing Option B to Appendix J. Intervals for Type C testing may be increased to a specific value in a range of frequencies from 30 months up to a maximum of 60 months. Test intervals for Type C valves should be determined by a licensee in accordance with Section 11.0.

10.2.3.3 Repairs or Adjustments

In addition to the periodic as–found Type C test, an as–found Type C test shall be performed prior to any maintenance, repair, modification, or adjustment activity if it could affect a valve's leak tightness. An as–left Type C test shall be performed following maintenance, repair, modification or adjustment activity unless an alternate testing method or analysis is used to provide reasonable assurance that such work does not affect a valve's leak tightness and a valve will still perform its intended function.

If as–found and as–left Type C test results are both less than a valve's allowable administrative limit, a change of the test frequency is not required. If as–found or as–left test results are greater than the allowable administrative limit, then provisions of Section 10.2.3.4 apply.

The frequency for Type C testing shall be in accordance with Section 10.2.3.1 if a valve is replaced or engineering judgment determines that modification of a valve has invalidated the valve's performance history. Testing shall continue at this frequency until an adequate performance history is established in accordance with Section 10.2.3.2.

10.2.3.4 Corrective Action

If Type C test results are not acceptable, then the testing frequency should be set at the initial test interval per Section 10.2.3.1. In addition, a cause determination should be performed and corrective actions identified that focus on those activities that can eliminate the identified cause of a failure[3] with appropriate steps to eliminate recurrence. Cause determination and corrective action should reinforce achieving acceptable performance. Once the cause determination and corrective actions have been completed, acceptable performance may be reestablished and the testing frequency returned to the extended interval in accordance with Section 10.2.3.2.

Failures of Type C valves that are discovered during performance of a Type A test should be considered as a failure of a Type C test for purposes of cause determination and corrective action. This includes failures of valves that were not previously identified by a Type C test.

11.0 BASES FOR PERFORMANCE AND RISK-BASED TESTING FREQUENCIES FOR TYPE A, TYPE B, AND TYPE C TESTS

11.1 Introduction

This section provides guidance on establishing leakage testing frequencies and provides information regarding the risk impact of extending leakage rate testing intervals. Extended test intervals in Sections 9.0 and 10.0 have been selected based on performance, and have been assessed for risk impact. The various factors and discussion in this section should be considered when establishing different plant–specific testing frequencies.

Section 9.0 provides guidance on extending Type A ILRT surveillance intervals.

Section 10.0 presents a range of acceptable extended test intervals for Type B and Type C tests. Individual licensees may adopt specific testing intervals of up to 60 months as discussed in Section 10.0 without additional detailed analysis provided the performance factors discussed in Section 11.3.1 are considered. Additional programmatic controls are discussed in Section 11.3.2 and should be considered when the extended test intervals are greater than 60 months.

[3] A failure in this context is exceeding an administrative limit and not the total failure of the valve. Administrative limits are established at a value low enough to identify and allow early correction of total valve failures.

11.2 Discussion

Assessments of the risk impact of extending leakage rate testing intervals have been performed at two different times to support similar objectives. The more recent risk impact assessment, completed in 2008, supported optimized ILRT interval extensions of up to fifteen years. The previous assessments completed in 1994-1995 supported Type A ILRT extensions of up to ten years, as well as extensions of Type B and Type C testing intervals.

The objective of the work concluded in 2008 and is published as EPRI Product No. 1009325, Rev 2-A, "Risk Impact Assessment of Extended Integrated Leak Rate Testing Intervals" was to perform a general risk impact assessment for optimized ILRT intervals of up to fifteen years, utilizing current industry performance data and risk-informed guidance, primarily NRC Regulatory Guide 1.174, Revision 1. This risk impact assessment complements the previous EPRI report, TR-104285, *Risk Impact Assessment of Revised Containment Leak Rate Testing Intervals.* The earlier report considered changes to local leak rate testing intervals as well as changes to ILRT testing intervals. The original risk impact assessment considered the change in risk based on population dose, whereas the revision considered dose as well as large early release frequency (LERF) and containment conditional failure probability (CCFP). The following paragraphs discuss the approach taken and results of this assessment.

Approach

The first step was to obtain current containment leak rate testing and performance information. This was obtained through an NEI industry-wide survey conducted in 2001. Additional information regarding recent industry ILRT performance was obtained in 2007. A database was generated using this information supplemented with recent industry failure reports and previous survey information. The data indicate that there were no failures that could result in a risk-significant large early release. This information was used to develop the probability of a pre-existing leak in the containment.

The risk impact for two example plants, a PWR and BWR, was determined using conservative assumptions with accident classes developed similar to the original EPRI report but with enhancements for assessing changes in LERF.

Results

Using the conservative assumptions concerning the leakage and timing associated with a large early release, the reduction in frequency of the Type A ILRT test results in a change in LERF that ranges between the "very small" (< 1E-07) and "small" (1E-07 to 1E-06) risk increase regions of Regulatory Guide 1.174, Revision 1. In the cases where the risk increase is conservatively calculated to be greater than the "very small" region, the total LERF is significantly lower than the Regulatory

Guide 1.174, Revision 1 threshold criteria of total LERF less than 1E-05 per year. The core damage frequency remains unchanged.

Other figures-of-merit have similar very small changes, including the population dose rate and the conditional containment failure probability (CCFP) changing very little over the range of ILRT interval extensions from 3 in 10 years to 1 in 15 years.

As can be seen from the two examples as well as the many plant-specific analyses developed to date to support one-time ILRT interval extensions, these results, and therefore the conclusions derived from them, are in general applicable. However, as required in Sections 9.2.3.1 and 9.2.3.3 of this guideline, plant-specific confirmatory risk impact assessments are also required.

Defense-in-depth as well as safety margins are maintained through the continued inspection of containment as required by ASME Section XI, Subsections IWE and IWL, and other required inspections, such as those performed to satisfy the Maintenance Rule. In addition, this guideline requires acceptable historical performance of Type A Integrated Leak Rate Tests before integrated leak rate testing intervals can be extended.

This risk impact assessment confirms previous (NUREG-1493) conclusions regarding risk in extending ILRT intervals up to fifteen years, using current regulatory guidance and risk-informed concepts.

Similar approaches were taken in 1994-1995, although the guidance of Regulatory Guide 1.174 was not available at that time. The following paragraphs discuss these approaches.

The effect of extending containment leakage rate testing intervals is a corresponding increase in the time that an excessive leak path would exist undiscovered and uncorrected. The degree to which intervals can be extended is a direct function of the potential effects on the health and safety of the public that occur due to an increased likelihood of undiscovered containment leakage.

In order to determine the acceptability of extended testing intervals, the methodology described in NUREG–1493 was applied, with some modifications, to historical representative industry leakage rate testing data gathered from approximately 1987 to 1993, under the auspices of NEI. The range of testing intervals recommended for Type B and Type C testing was evaluated to determine the level of increased risk in the event of an accident. The same methodology was also applied to the 10–year interval for Type A testing. In all cases, the increased risk corresponding to the extended test interval was found to be small and compares well to the guidance of the NRC's safety goals.

NUREG–1493 provided the technical basis to support rulemaking to revise leakage rate testing requirements contained in Option B to Appendix J. The basis consisted of qualitative and quantitative assessments of the risk impact (in terms of increased public dose) associated with a range of extended leakage rate testing intervals.

NUREG–1493 found the effect of Type B and Type C testing on overall accident risk is small and concluded that:

- Performance–based alternatives to local leakage rate testing requirements are feasible without significant risk impacts; and

- Although extended testing intervals led to minor increases in potential off–site dose consequences, the actual decrease in on–site (worker) doses exceeded (by at least an order of magnitude) the potential off–site dose increases.

NEI, in conjunction with EPRI, undertook a similar study in order to supplement NRC's rulemaking basis and provide added assurance the more detailed elements in this guideline have an adequate basis. Results of the EPRI study are documented in EPRI Research Project Report TR–104285, "Risk Impact Assessment of Revised Containment Leak Rate Testing Intervals."

EPRI developed an abbreviated methodology that was used to assess plant risk impact associated with containment leakage rate testing alternatives currently being proposed by this guideline. The overall approach involved an examination of the risk spectra from accidents reported in PWR and BWR IPEs. Plant risk was quantified for PWR and BWR representative plants. Quantification of the risk considered the consequences from containment leakage in more detail than reported in IPEs. The impact associated with alternative Type B and Type C test intervals, measured as a change in risk contribution to baseline risk, is presented in Table 1. The risk values compare well with the analysis in NUREG–1493.

The risk model was specifically quantified by using a "failure to seal" probability (as opposed to failure to close considered in IPEs). This required failure rates to be developed for this failure mode. Type B and Type C test data obtained by NEI allowed determination of failure rates where failure is defined as the measured leakage exceeding allowable administrative limits for a specific Type B or Type C component. The failure rate values were used in the containment isolation system fault tree, and used to calculate a failure–to–seal probability. Characterization of baseline risk (in terms of accident sequences that are influenced by containment isolation valve or containment penetration leakage rate) allowed the plant models to calculate the risk impact associated with changes in test intervals.

As indicated above, historical industry failure rate data was used to develop the component failure to seal probabilities used in the analysis. This approach is quite

conservative because these guidelines require demonstration of performance prior to extending the component leakage rate testing interval. The performance demonstration consists of successful completion of two consecutive leakage rate tests to increase the interval from 30 to 60 months and three consecutive leakage rate tests to increase the interval to greater than 60 months. This takes advantage of the findings of NUREG–1493, Appendix A, which suggests that "If the component does not fail within two operating cycles, further failures appear to be governed by the random failure rate of the component," and "Any test scheme considered should require a failed component pass at least two consecutive tests before allowing an extended test interval." In addition, the penetration failure analysis considered components that exceeded the administrative limits as failures. The containment leakage rate computation conservatively used maximum pathway leak rates derived from the upper bounds of the NEI data. Therefore, the analysis is very conservative, and the component performance trending provides the necessary confidence demonstration that component leakage is being managed at a low level.

For Type C test, a bounding analysis was performed that assumed all valves have test intervals that were extended to 48, 60, 72 and 120 months. For Type B tests, it was assumed that electrical penetrations were tested at a nominal 120 months frequency. In addition, it was assumed that some portion of the penetrations was tested periodically during the 120 months. Airlock tests were assumed to be conducted every 24 months. Blind flanges were assumed to be tested after each opening, or at 48–month intervals.

There are many points of similarity between the NUREG–1493 report and the EPRI study, both in methodology and assumptions, reflecting close agreement on elements important to safety for containment leakage rate testing. The similarity also extends to the results. The EPRI study confirms the low risk significance associated with Type A testing intervals of 10 years. Similarly, extending the Type B and Type C test intervals to 120 months was found acceptable provided the Type B or Type C components have successfully passed two consecutive tests, and provided that certain controls were imposed on the leakage rate testing program.

Changing Appendix J test intervals from those presently allowed to those in this guideline slightly increases the risk associated with Type A and Type B and Type C–specific accident sequences as discussed in Table 1. The data suggests that increasing the Type C test interval can slightly increase the associated risk, but this ignores the risk reduction benefits associated with increased test intervals. In addition, when considering the total integrated risk (representing all accident sequences analyzed in the IPE), the risk impact associated with increasing test intervals is negligible (less than 0.1 percent of total risk). This finding is further reinforced by the conservative assumptions used in the analysis. The EPRI study reaffirms the conclusion in NUREG–1493 that changes to leakage testing frequencies are "feasible without significant risk impact."

Table 1

Risk Results for Type A, Type B, and Type C Test Intervals

Test Type	*Risk–Impact Current Test Intervals*	*Risk–Impact Extended Test Intervals*	*Comment*
	PWR Representative Plant Summary		
Type A	The increase in ILRT test intervals from 3 in 10 years to 1 in15 years results in a small change in LERF that ranges between the "very small" (<1E-07) and "small" (1E-07 to 1E-06) ΔLERF risk increase regions of NRC Regulatory Guide 1.174. In cases where the risk increase is greater than the "very small" region, the total LERF is significantly lower than the Regulatory Guide 1.174 threshold criteria of total LERF < 1E-05 per year. Changes in population dose and CCFP are also very small.		Please refer to EPRI Report 1009325, PWR example discussion for more information.
Type B	«0.001% incremental risk contribution 6.9E–05 person–rem/yr rebaselined risk	<0.001% incremental risk contribution, 1.3E–04 person–rem/yr rebaselined risk. Based on testing with some components tested periodically during time interval months. In addition, blind flanges and penetrations would be removed and retested during every refueling outage. Airlocks to be tested every 24 months.	A range of 0.2 to 4.4 percent is provided for other plants for both Type B and Type C penetrations in NUREG–1493.
Type C	0.022% of total risk 4.9E–03 person–rem/yr	0.04% incremental risk contribution, 8.8E–03 person–rem/yr rebaselined risk, based on 48 month test intervals. 1E–2, 1.2E–2, and 1.64E–2 person–rem/yr risk, based on 60, 72, and 120 month test intervals	A range of 0.2 to 4.4 percent of total risk is provided for other plants for both Type B and Type C penetrations in NUREG–1493.

Table 1 (continued)

Test Type	*Risk–Impact Current Test Intervals*	*Risk–Impact Extended Test Intervals*	*Comment*
	BWR Representative Plant Summary		
Type A	The increase in ILRT test interval from 3 in 10 years to 1 in15 years results in a change in LERF that falls in the "very small" (<1E-07) ΔLERF risk increase region of NRC Regulatory Guide 1.174. Moreover, the total LERF is significantly lower than the Regulatory Guide 1.174 threshold criteria of total LERF < 1E-05 per year. Changes in population dose and CCFP are also very small.		Please refer to EPRI Report 1009325, BWR example discussion for more information.
Type B	<0.001% of total risk 8.0E–06 person–rem/yr	0.001%, 1.85E–05 person–rem/yr Based on testing with some components tested periodically during time interval months. In addition, blind flanges and penetrations would be removed and retested during every refueling outage. Airlocks to be tested every 24 months.	A range of 0.2 to 4.4 percent is provided for other plants for both B and C penetration types in NUREG–1493.
Type C	0.002% of total risk 4.5E–06 person–rem/yr	0.006% of total risk, 1.1E–04 person–rem/yr, based on 48 months test intervals. 1.8E–4, 2.3E–4, and 5.01E–4 person–rem/yr risk, based on 60, 72, and 120 month test intervals.	A range of 0.2 to 4.4 percent is provided for other plants for both B and C penetration types in NUREG–1493.

11.3 Plant–Specific Testing Program Factors

A licensee may adopt specific surveillance frequencies from Section 10.0 provided that plant–specific test performance history is acceptable as discussed in Section 10.0, and certain performance factors and controls are reviewed and applied as appropriate in the determination of test intervals. Each licensee should demonstrate by quantitative or qualitative review that plant–specific performance is adequate to support the extended test interval.

11.3.1 Performance Factors

Prior to determining and implementing extended test intervals for Type B and Type C components, an assessment of the plant's containment penetration and valve performance should be performed and documented. The following are some factors that have been identified as important and should be considered in establishing testing intervals:

- *Past Component Performance* — Based on a survey sample of industry data from approximately 1987 to 1993, 97.5% of the industry's containment penetrations have not failed a Type B test, and 90% of the isolation valves have never failed a Type C test in over 500 reactor–years of commercial operation. Of the 10% of the Type C tests that have failed, only 22% of those have failed more than once. A licensee should ensure that leakage rate testing intervals are not extended until plant–specific component performance of two successful consecutive as–found tests are performed.

- *Service* — The environment and use of components are important in determining its likelihood of failure. For example, a plant may have experienced high leakage in valves in a high–flow steam environment due to effects of valve seat erosion. Certain valves that open and close frequently during normal plant operations may have experienced higher leakage. Moreover, penetrations and valves may have components that are sensitive to age-related degradation, including resilient seals subject to high-temperature conditions, certain electrical penetrations with epoxy seals, and mechanical bellows. The licensee's testing program should identify these types of components to establish their testing intervals based on their performance history.

- *Design* — Valve type and penetration design may contribute to leakage. For example, motor operated valves in a plant may be found to leak less frequently than check valves, and may support a longer test interval. Vendor recommendations for valve or penetration subcomponent service life may be a factor in determining test intervals. Certain passive penetrations, such as

electrical penetrations, may have had excellent performance history. Test intervals for these penetrations may be relatively longer.

- *Safety Impact* — The relative importance of penetrations can be judged in terms of the potential impact of failure in limiting releases from containment under accident conditions. Due to size or system inter–connections, some components or penetrations may be more important than others in ensuring the safety function of a containment penetration is achieved. This relative importance should be considered in determining the test interval.

- *Cause Determination* — For failures identified during an extended test interval, a cause determination should be conducted and appropriate corrective actions identified. Part of a corrective action process should be to identify and address common–mode failure mechanisms.

11.3.2 Programmatic Controls

If a licensee considers extended test intervals of greater than 60 months for a Type B tested component, the review to establish surveillance test intervals should include the additional considerations:

- *As–found Tests* — In order to provide additional assurance that the increased probability of component leakage is kept to a minimum, and is reasonably within the envelope of industry data, a licensee should consider requiring three successive periodic as–found tests to determine adequate performance.

- *Schedule* — To minimize any adverse effects of unanticipated random failures, and to increase the likelihood unexpected common–mode failure mechanisms will be identified in a timely manner, a licensee should implement a testing program that ensures components are tested at approximate evenly– distributed intervals across the extended testing interval for valves or groups of valves. A licensee should schedule a portion of the tests during each regularly scheduled outage or on some regular periodic basis, such that some percentage of the components is tested periodically, and all components are tested at the new extended test interval of greater than 60 months.

- *Review* — A review of the entire process should be performed prior to establishing alternate test intervals under 10CFR50, Appendix J, Option B, including plant–specific performance history, data analysis, establishment of surveillance frequencies, and, if available and applicable, any risk–impact assessment. This review should include adjustments to the program as required, based on expert insight or engineering judgment. Results of the review should be documented.

12.0 RECORDKEEPING

12.1 Report Requirements

A post–outage report shall be prepared presenting results of the previous cycle's Type B and Type C tests, and Type A, Type B, and Type C tests, if performed during that outage. The technical contents of the report are generally described in ANSI/ANS-56.8–2002, and shall be available on–site for NRC review. The report shall also show that the applicable performance criteria are met, and serve as a record that continuing performance is acceptable.

12.2 Records

Documentation developed for implementation of 10CFR50, Appendix J, Option B should be done in accordance with licensee established procedures. Sufficient documentation shall be collected and retained so that the effectiveness of the implementation of 10CFR50, Appendix J, Option B can be reviewed and determined. This documentation, including the plant-specific confirmatory risk impact assessment for extending ILRT intervals beyond ten years shall be available for internal and external review, but is not required to be submitted to the NRC.