

2010-0065

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RESPONSE TO FREEDOM OF INFORMATION ACT (FOIA) / PRIVACY ACT (PA) REQUEST

RESPONSE TYPE FINAL PARTIAL

REQUESTER

Jason Hickey

DATE

FEB 17 2010

PART I. -- INFORMATION RELEASED

- No additional agency records subject to the request have been located.
- Requested records are available through another public distribution program. See Comments section.
- APPENDICES **A** Agency records subject to the request that are identified in the listed appendices are already available for public inspection and copying at the NRC Public Document Room.
- APPENDICES **B** Agency records subject to the request that are identified in the listed appendices are being made available for public inspection and copying at the NRC Public Document Room.
- Enclosed is information on how you may obtain access to and the charges for copying records located at the NRC Public Document Room, 11555 Rockville Pike, Rockville, MD 20852-2738.
- APPENDICES **B, C** Agency records subject to the request are enclosed.
- Records subject to the request that contain information originated by or of interest to another Federal agency have been referred to that agency (see comments section) for a disclosure determination and direct response to you.
- We are continuing to process your request.
- See Comments.

PART I.A -- FEES

AMOUNT *
\$ 234.03

- You will be billed by NRC for the amount listed. None. Minimum fee threshold not met.
- You will receive a refund for the amount listed. Fees waived.

* See comments for details

PART I.B -- INFORMATION NOT LOCATED OR WITHHELD FROM DISCLOSURE

- No agency records subject to the request have been located.
- Certain information in the requested records is being withheld from disclosure pursuant to the exemptions described in and for the reasons stated in Part II.
- This determination may be appealed within 30 days by writing to the FOIA/PA Officer, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001. Clearly state on the envelope and in the letter that it is a "FOIA/PA Appeal."

PART I.C COMMENTS (Use attached Comments continuation page if required)

The incoming request is in ADAMS at ML093450490.

The records identified on enclosed Appendix A are already publicly available in the NRC's Public Document Room (PDR). We have enclosed a notice which provides procedures for obtaining records from the PDR. Records with an ML Accession number are available in the NRC electronic public reading room (ADAMS) at <http://www.nrc.gov/reading-rm.html>. If you need assistance in reviewing records in the electronic public reading room, please contact the PDR at (301)415-4737, or toll free at (800)397-4209.

The final cost of processing your request is \$234.03. The chargeable fees are as follows: 1 hour of Senior Executive Service Review at \$90.53/hr; 2 hours of Professional Search at \$56.36/hr; 1/2 hour of Professional Review at \$56.36/hr; 13 pages of reproduction at 0.20 per page.

SIGNATURE - FREEDOM OF INFORMATION ACT AND PRIVACY ACT OFFICER

Donna L. Sealing
Donna L. Sealing

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PART II.A -- APPLICABLE EXEMPTIONS

APPENDICES
C, D

Records subject to the request that are described in the enclosed Appendices are being withheld in their entirety or in part under the Exemption No.(s) of the PA and/or the FOIA as indicated below (5 U.S.C. 552a and/or 5 U.S.C. 552(b)).

- Exemption 1: The withheld information is properly classified pursuant to Executive Order 12958.
- Exemption 2: The withheld information relates solely to the internal personnel rules and procedures of NRC.
 - Low 2 Internal matters of a relatively trivial nature.
 - High 2 Disclosure would risk circumvention of a legal requirement.
- Exemption 3: The withheld information is specifically exempted from public disclosure by statute indicated.
 - Sections 141-145 of the Atomic Energy Act, which prohibits the disclosure of Restricted Data or Formerly Restricted Data (42 U.S.C. 2161-2165).
 - Section 147 of the Atomic Energy Act, which prohibits the disclosure of Unclassified Safeguards Information (42 U.S.C. 2167).
 - 41 U.S.C., Section 253b, subsection (m)(1), prohibits the disclosure of contractor proposals in the possession and control of an executive agency to any person under section 552 of Title 5, U.S.C. (the FOIA), except when incorporated into the contract between the agency and the submitter of the proposal.
- Exemption 4: The withheld information is a trade secret or commercial or financial information that is being withheld for the reason(s) indicated.
 - The information is considered to be confidential business (proprietary) information.
 - The information is considered to be proprietary because it concerns a licensee's or applicant's physical protection or material control and accounting program for special nuclear material pursuant to 10 CFR 2.390(d)(1).
 - The information was submitted by a foreign source and received in confidence pursuant to 10 CFR 2.390(d)(2).
 - Disclosure will harm an identifiable private or governmental interest.
- Exemption 5: The withheld information consists of interagency or intraagency records that are not available through discovery during litigation. Applicable privileges:
 - Deliberative process: Disclosure of predecisional information would tend to inhibit the open and frank exchange of ideas essential to the deliberative process. Where records are withheld in their entirety, the facts are inextricably intertwined with the predecisional information. There also are no reasonably segregable factual portions because the release of the facts would permit an indirect inquiry into the predecisional process of the agency.
 - Attorney work-product privilege. (Documents prepared by an attorney in contemplation of litigation)
 - Attorney-client privilege. (Confidential communications between an attorney and his/her client)
- Exemption 6: The withheld information is exempted from public disclosure because its disclosure would result in a clearly unwarranted invasion of personal privacy.
- Exemption 7: The withheld information consists of records compiled for law enforcement purposes and is being withheld for the reason(s) indicated.
 - (A) Disclosure could reasonably be expected to interfere with an enforcement proceeding (e.g., it would reveal the scope, direction, and focus of enforcement efforts, and thus could possibly allow recipients to take action to shield potential wrongdoing or a violation of NRC requirements from investigators).
 - (C) Disclosure would constitute an unwarranted invasion of personal privacy.
 - (D) The information consists of names of individuals and other information the disclosure of which could reasonably be expected to reveal identities of confidential sources.
 - (E) Disclosure would reveal techniques and procedures for law enforcement investigations or prosecutions, or guidelines that could reasonably be expected to risk circumvention of the law.
 - (F) Disclosure could reasonably be expected to endanger the life or physical safety of an individual.
- OTHER (Specify)

PART II.B -- DENYING OFFICIALS

Pursuant to 10 CFR 9.25(g), 9.25(h), and/or 9.65(b) of the U.S. Nuclear Regulatory Commission regulations, it has been determined that the information withheld is exempt from production or disclosure, and that its production or disclosure is contrary to the public interest. The person responsible for the denial are those officials identified below as denying officials and the FOIA/PA Officer for any denials that may be appealed to the Executive Director for Operations (EDO).

DENYING OFFICIAL	TITLE/OFFICE	RECORDS DENIED	APPELLATE OFFICIAL		
			EDO	SECY	IG
Elmo E. Collins, Jr.	Regional Administrator, Region 4	Appendix C, Item 1. Appendix D, Items 1-4	✓		
John F. Cordes	Acting Associate General Counsel for Hearing, Enforcement and Administration	Appendix D, Item 5		✓	

Appeal must be made in writing within 30 days of receipt of this response. Appeals should be mailed to the FOIA/Privacy Act Officer, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, for action by the appropriate appellate official(s). You should clearly state on the envelope and letter that it is a "FOIA/PA Appeal."

**APPENDIX A
RECORDS ALREADY PUBLICLY AVAILABLE**

<u>NO.</u>	<u>DATE</u>	<u>ACCESSION NUMBER</u>	<u>DESCRIPTION/ (PAGE COUNT)</u>
1.	09/16/85	85 8509300375	Letter to Chairman Nunzio J. Pallandino from Richard A. Meserve, Covington & Burlington, Subject: Proposed Amendments to 10 CFR Part 40E, Appendix A (5 pages)
2.	1/25/96	9602130038	Final Response to FOIA-95-468, Docket Number 04002038 / (19 Pages)

**APPENDIX B
RECORDS BEING RELEASED IN THEIR ENTIRETY
(If copyrighted identify with *)**

<u>NO.</u>	<u>DATE</u>	<u>DESCRIPTION/ (PAGE COUNT)</u>
1.	6/17/1986	Memo to File, Subject: Meeting Minutes – New Mexico Licensees, May 29, 1986, Release marked lines (6 pages total, / 1 page released). Portions are Outside of the Scope
2.	03/30/87	Letter from Robert L. Fonner, OGC to Richard Young, State of New Mexico, Subject: UMTRCA Title I Uranium Mill Tailings / (1 page)
	03/23/87	Attachment 1: Letter to Senator Alan K. Simpson from Lando W. Zech, Jr., Chairman Subject: Outling present NRC thinking on commingled Title I and II tailings and completion of stabilization before Title I program termination date / (2 pages)
	03/06/87	Attachment 2: Letter to Robert Fonner, Esq., OGC from Richard Young, State of New Mexico, Subject: Provide NRC's legal position on Title I and II tailings commingled and completion of stabilization before Title I program termination date / (1 page)
3.	06/09/09	NRC Yellow Announcement to All NRC Employees, Subject: Litigation Hold for Materials Related to Tronox, Inc., / (2 Pages)

APPENDIX C
RECORDS BEING WITHHELD IN PART

<u>NO.</u>	<u>DATE</u>	<u>DESCRIPTION/ (PAGE COUNT)/EXEMPTIONS</u>
1.	Undated	Financial Analysis of Selected Uranium Mining and Bonding Companies in New Mexico (37 pages total, 5 pages released) / (Portions are Outside of the Scope). Exemption 4

**APPENDIX D
RECORDS BEING WITHHELD IN THEIR ENTIRETY**

<u>NO.</u>	<u>DATE</u>	<u>DESCRIPTION/ (PAGE COUNT)/EXEMPTIONS</u>
1.	7/15/1985	Memorandum to Ken, Denise, Laure, from Dick, Subject: Summary of Data and Questions Regarding Financial Responsibility of Our Five Active (Until Recently) Uranium Mill Sites. (9 pages) / Portions are Outside of the Scope and EX 5
2.	6/8/09	Top Email from John Cordes to Karla Fuller is Out of Scope. Email from Karla Fuller to John Cordes, Subject: Re: Notice of August 12, 2009 Deadline for Filing Proof of Claim, withhold all, exemption 5. Bottom Email from John Cordes to Karla Fuller is Out of Scope. (1 page) / EX 5
3.	6/8/09	Email from Karla Fuller to John Cordes, Subject: Notice of August 12, 2009, Deadline for Filing Proofs of Claim. (1 page) / Portions are Outside of the Scope and EX 5
4.	6/12/09	Email from Karla Fuller to Jack Whitten, Subject: Litigation Hold. (1 page) / EX 5
5.	10/26/07	Memorandum to Francis Cameron, OGC from John Hull, OGC, Subject: Legal Views on Licensing of a Uranium Mill. (7 Pages). / EX 5