

2009 CLINTON POWER STATION

INITIAL EXAMINATION

ADMINISTRATIVE FILES

| Facility: <u>Clinton Power Station</u> Date of Examination: <u>Weeks of 8/24 and 8/31/2009</u> | | |
|---|---|---------------------------|
| Developed by: Written - Facility <input checked="" type="checkbox"/> NRC <input type="checkbox"/> // Operating - Facility <input checked="" type="checkbox"/> NRC <input type="checkbox"/> | | |
| Target Date* | Task Description (Reference) | Chief Examiner's Initials |
| -180 | 1. Examination administration date confirmed (C.1.a; C.2.a and b) | meb |
| -120 | 2. NRC examiners and facility contact assigned (C.1.d; C.2.e) | meb |
| -120 | 3. Facility contact briefed on security and other requirements (C.2.c) | meb |
| -120 | 4. Corporate notification letter sent (C.2.d) | meb |
| [-90] | [5. Reference material due (C.1.e; C.3.c; Attachment 3)] | NA |
| {-75} | 6. Integrated examination outline(s) due, including Forms ES-201-2, ES-201-3, ES-301-1, ES-301-2, ES-301-5, ES-D-1's, ES-401-1/2, ES-401-3, and ES-401-4, as applicable (C.1.e and f; C.3.d) | meb |
| {-70} | {7. Examination outline(s) reviewed by NRC and feedback provided to facility licensee (C.2.h; C.3.e)} | meb |
| {-45} | 8. Proposed examinations (including written, walk-through JPMs, and scenarios, as applicable), supporting documentation (including Forms ES-301-3, ES-301-4, ES-301-5, ES-301-6, and ES-401-6, and any Form ES-201-3 updates), and reference materials due (C.1.e, f, g and h; C.3.d) | meb |
| -30 | 9. Preliminary license applications (NRC Form 398's) due (C.1.i; C.2.g; ES-202) | meb |
| -14 | 10. Final license applications due and Form ES-201-4 prepared (C.1.i; C.2.i; ES-202) | meb |
| -14 | 11. Examination approved by NRC supervisor for facility licensee review (C.2.h; C.3.f) | meb |
| -14 | 12. Examinations reviewed with facility licensee (C.1.j; C.2.f and h; C.3.g) | meb |
| -7 | 13. Written examinations and operating tests approved by NRC supervisor (C.2.i; C.3.h) | meb |
| -7 | 14. Final applications reviewed; 1 or 2 (if >10) applications audited to confirm qualifications / eligibility; and examination approval and waiver letters sent (C.2.i; Attachment 5; ES-202, C.2.e; ES-204) | meb |
| -7 | 15. Proctoring/written exam administration guidelines reviewed with facility licensee (C.3.k) | meb |
| -7 | 16. Approved scenarios, job performance measures, and questions distributed to NRC examiners (C.3.i) | meb |
| <p>* Target dates are generally based on facility-prepared examinations and are keyed to the examination date identified in the corporate notification letter. They are for planning purposes and may be adjusted on a case-by-case basis in coordination with the facility licensee. [Applies only] {Does not apply} to examinations prepared by the NRC.</p> | | |

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 8/24/09 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 8/24-9/4/09. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

| PRINTED NAME | JOB TITLE / RESPONSIBILITY | SIGNATURE (1) | DATE | SIGNATURE (2) | DATE NOTE |
|-------------------------|----------------------------|---------------|----------|---------------|-----------|
| 1. Tom Pickle | INSTRUCTOR / EXAM AUTHOR | [Signature] | 1/20/09 | [Signature] | 9/14/09 |
| 2. TALLIN KAY FRENCH | Instructor / Exam author | [Signature] | 1/2/09 | [Signature] | 9/14/09 |
| 3. MARIA CONRAD | SIMULATOR ANALYST | [Signature] | 1/2/09 | [Signature] | 9/9/09 |
| 4. Scott M. DeRust | OTPS | [Signature] | 1/22/09 | [Signature] | |
| 5. Jim Lewis | FACILITY REP | [Signature] | 1/26/09 | [Signature] | 9/9/09 |
| 6. Thomas Bauer | EMD | [Signature] | 2/25/09 | [Signature] | |
| 7. Joseph Crump | EMD | [Signature] | 2/24/09 | [Signature] | |
| 8. Mark Hornell | Sim Coordinator | [Signature] | 2-24-09 | [Signature] | 9/6/09 |
| 9. Mark Daulton | SRO | [Signature] | 02/27/09 | [Signature] | 09/10/09 |
| 10. Vincent A. Patten | RO | [Signature] | 4-27-9 | [Signature] | 9-10-9 |
| 11. Brian Zimmerman | RO | [Signature] | 4-27-09 | [Signature] | 9-10-9 |
| 12. Christopher D. Dean | SRO | [Signature] | 4/27/09 | [Signature] | 9/10/09 |
| 13. Gary Schollmann | IT Real Time | [Signature] | 5/19/09 | [Signature] | 9/8/09 |
| 14. Timothy A. Staber | SRO | [Signature] | 6/1/09 | [Signature] | 9/14/09 |
| 15. Nick Bensen | RO | [Signature] | 5/1/09 | [Signature] | 9/10/09 |

NOTES:

Page 1 of 2 ²⁸ 8/24/09

① Signed off on attachment 1 and faxed in Tallin Kay French 9/23/09
 ② Signed off on attachment 1 and faxed in Tallin Kay French 9/25/09
 ③ Signed off on attachment 1 and faxed in Tallin Kay French 9/25/09

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 8/24/09 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 8/24-9/4/09. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

| PRINTED NAME | JOB TITLE / RESPONSIBILITY | SIGNATURE (1) | DATE | SIGNATURE (2) | DATE NOTE |
|--------------------|----------------------------|------------------------|----------|------------------------|-----------|
| 1. Peter A Limon | RO | <i>Peter Limon</i> | 6/1/09 | <i>Peter Limon</i> | 9/1/09 |
| 2. Richard R. Kiss | SRO / SM | <i>Richard R. Kiss</i> | 6/1/09 | <i>Richard R. Kiss</i> | 9/1/09 |
| 3. Robert Rusk | SRO | <i>Robert Rusk</i> | 6/18/09 | <i>Robert Rusk</i> | 9/18/09 |
| 4. Jeff Naden | SRO | <i>Jeff Naden</i> | 10-18-09 | <i>Jeff Naden</i> | 9-10-09 |
| 5. M. TAPIA | RO | <i>M. Tapia</i> | 6/22/09 | <i>M. Tapia</i> | 9/23/09 |
| 6. Stephen Kalka | RO | <i>Stephen Kalka</i> | 6/26/09 | <i>Stephen Kalka</i> | 9/18/09 |
| 7. Jacob Smith | SRO | <i>Jacob Smith</i> | 6/29/09 | <i>Jacob Smith</i> | 9/1/09 |
| 8. Rick Bair | SRO | <i>Rick Bair</i> | 6/30/09 | <i>Rick Bair</i> | 5/18/09 |
| 9. RANBY SYMPSON | RO | <i>Ranby Simpson</i> | 6/30/09 | <i>Ranby Simpson</i> | 9/10/09 |
| 10. THOMAS LANDIN | SRO | <i>Thomas Landin</i> | 6/30/09 | <i>Thomas Landin</i> | 9/17/09 |
| 11. STEVE RUSSELL | OTPS / oversight | <i>Steve Russell</i> | 7/7/09 | <i>Steve Russell</i> | 5/17/09 |
| 12. Ted Dolaney | RO | <i>Ted Dolaney</i> | 8/3/09 | <i>Ted Dolaney</i> | 9/17/09 |
| 13. James Foreman | SRO | <i>James Foreman</i> | 8/3/09 | <i>James Foreman</i> | 9/18/09 |
| 14. WANDA LOWERY | OSC | <i>Wanda Lowery</i> | 8/10/09 | <i>Wanda Lowery</i> | 9/10/09 |
| 15. W.D. Kiser | SRO / INST. | <i>W.D. Kiser</i> | 8/24/09 | <i>W.D. Kiser</i> | 9/4/09 |

NOTES:

Page 2 of 3 9/29/09

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 8/24/09 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 8/24/09 - 9/1/09. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

| PRINTED NAME | JOB TITLE / RESPONSIBILITY | SIGNATURE (1) | DATE | SIGNATURE (2) | DATE NOTE |
|------------------------|-----------------------------|--------------------|---------|--------------------|-----------|
| 1. R.M. McCoskie | ILF Lead Instructor | <i>[Signature]</i> | 8/24/09 | <i>[Signature]</i> | 9/4/09 |
| 2. CARL LEACH | SRO Instructor | <i>[Signature]</i> | 8/24/09 | <i>[Signature]</i> | 9/4/09 |
| 3. Craig Frost | SRO Instructor | <i>[Signature]</i> | 8/24/09 | <i>[Signature]</i> | 9/15/09 |
| 4. Tim Satterlee | SRO Instructor | <i>[Signature]</i> | 8/24/09 | <i>[Signature]</i> | 9/4/09 |
| 5. Craven W. Mitchell | SRO Instructor | <i>[Signature]</i> | 8/24/09 | <i>[Signature]</i> | 9/3/09 |
| 6. Michael A. Riandean | SOS | <i>[Signature]</i> | 8/24/09 | <i>[Signature]</i> | 9/9/09 |
| 7. GEORGE M. VAUGHN | SRO INSTRUCTOR | <i>[Signature]</i> | 8/24/09 | <i>[Signature]</i> | 9/4/09 |
| 8. Thomas D. Chalmers | Ops Director | <i>[Signature]</i> | 8/24/09 | <i>[Signature]</i> | 9/4/09 |
| 9. MARK OTTEN | OTM | <i>[Signature]</i> | 8/24/09 | <i>[Signature]</i> | 9/4/09 |
| 10. Mark Vandermyle | Reactor Engineer Supervisor | <i>[Signature]</i> | 9/27/09 | <i>[Signature]</i> | 9/9/09 |
| 11. Dan Kemper | Reg Assurance Mgr | <i>[Signature]</i> | 8/28/09 | <i>[Signature]</i> | 9/9/09 |
| 12. | | | | | |
| 13. | | | | | |
| 14. | None | | | | |
| 15. | | | | | |

NOTES:

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Attachment I original
Clinton Power Station

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 9/24/09 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

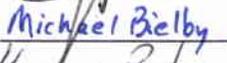
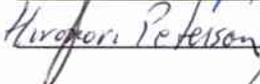
To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 9/24/09 from the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

| | PRINTED NAME | JOB TITLE / RESPONSIBILITY | SIGNATURE (1) | DATE | SIGNATURE (2) | DATE | NOTE |
|-----|---------------|----------------------------|---------------|------|----------------|---------|------|
| 1. | Scott Deprest | OTPS - oversight | N/A | | Scott Deprest | 9/14/09 | |
| 2. | Thomas Bauer | IMD | N/A | | Thomas Bauer | 9-24-09 | |
| 3. | Joseph Crump | IMD | N/A | | Joseph W Crump | 9-24-09 | |
| 4. | | | | | | | |
| 5. | | | | | | | |
| 6. | | | | | | | |
| 7. | | | | | | | |
| 8. | | | | | | | |
| 9. | | | | | | | |
| 10. | | | | | | | |
| 11. | | | | | | | |
| 12. | | | | | | | |
| 13. | | | | | | | |
| 14. | | | | | | | |
| 15. | | | | | | | |

no further entries

NOTES:

Clinton Power Station

| Facility: <u>Clinton</u> | | Date of Exam: <u>9/4/09</u> | | Exam Level: RO <input checked="" type="checkbox"/> SRO <input type="checkbox"/> | | |
|--|--|-----------------------------|------------|---|--|--|
| Item Description | Initials | | | | | |
| | a | b | c | | | |
| 1. Clean answer sheets copied before grading | RF | J | MGB | | | |
| 2. Answer key changes and question deletions justified and documented | RF | J | MGB | | | |
| 3. Applicants' scores checked for addition errors (reviewers spot check >25% of examinations) | RF | J | MGB | | | |
| 4. Grading for all borderline cases (80% ± 2% overall and 70 or 80, as applicable, ± 4% on the SRO-only) reviewed in detail | RF | J | MGB | | | |
| 5. All other failing examinations checked to ensure that grades are justified | N/A RF | N/A J | N/A MGB | | | |
| 6. Performance on missed questions checked for training deficiencies and wording problems; evaluate validity of questions missed by half or more of the applicants | RF | J | MGB | | | |
| | Printed Name / Signature | | Date | | | |
| a. Author |  Tullion Ray French | | 9/8/09 | | | |
| b. Facility Reviewer(*) |  James Lewis | | 9/9/09 | | | |
| c. NRC Chief Examiner(*) |  Michael Bielby / Michael F. Bielby Sr. | | 9/26/09 | | | |
| d. NRC Supervisor(*) |  Hirofumi Peterson | | 10/13/09 | | | |
| (*) The facility reviewer's signature is not applicable for examinations graded by the NRC; two independent NRC reviews are required. | | | | | | |

| Facility: <u>Clinton</u> | | Date of Exam: <u>9/4/09</u> | | Exam Level: RO <input type="checkbox"/> SRO <input checked="" type="checkbox"/> | | |
|--|---|-----------------------------|----------------|---|--|--|
| Item Description | Initials | | | | | |
| | a | b | c | | | |
| 1. Clean answer sheets copied before grading | ARF | 4 | MGB | | | |
| 2. Answer key changes and question deletions justified and documented | ORF | 4 | MCA | | | |
| 3. Applicants' scores checked for addition errors (reviewers spot check >25% of examinations) | RF | 4 | MCA | | | |
| 4. Grading for all borderline cases (80% ± 2% overall and 70 or 80, as applicable, ± 4% on the SRO-only) reviewed in detail | RF | 4 | MCA | | | |
| 5. All other failing examinations checked to ensure that grades are justified | N/A | NA | N/A MCA | | | |
| 6. Performance on missed questions checked for training deficiencies and wording problems; evaluate validity of questions missed by half or more of the applicants | RF | 4 | MCA | | | |
| Printed Name / Signature | | Date | | | | |
| a. Author | <u>Talbin Ray French</u> <u>Talbin Ray French</u> | | <u>9/6/09</u> | | | |
| b. Facility Reviewer(*) | <u>JAMES EVANS</u> | | <u>9/9/09</u> | | | |
| c. NRC Chief Examiner(*) | <u>Michael Bielby / Michael F. Bielby Sr.</u> | | <u>9/26/09</u> | | | |
| d. NRC Supervisor(*) | <u>Hironori Peterson</u> | | <u>10/13/0</u> | | | |
| (*) The facility reviewer's signature is not applicable for examinations graded by the NRC; two independent NRC reviews are required. | | | | | | |