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WCAP-17179 -NP

Revision 0

"AP 1000TM Component Interface Module Technical Report"

(Non-Proprietary)

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Westinghouse Non-Proprietary Class **3**

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AP1000™ Component Interface Module Technical Report

WESTINGHOUSE NON-PROPRIETARY CLASS 3

WCAP-17179-NP APP-GW-GLR-144 Revision **0**

AP1000TM Component Interface Module Technical Report

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December **2009**

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*Electronically approved records are authenticated in the electronic document management system.

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REVISION HISTORY

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ACRONYMS **AND** TRADEMARKS

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DEFINITIONS

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1 INTRODUCTION

1.1 PURPOSE

The purpose of this report is to describe the Component Interface Module (CIM) system components. The intent of this technical report is to obtain U.S. Nuclear Regulatory Commission (NRC) review and approval for use of the CIM system components in the AP $1000TM$ nuclear safety-related instrumentation and control (I&C) application, and to identify the bounding conditions under which approval is granted.

The CIM system components are logic based modules that do not utilize a microprocessor or software for operation, but instead rely on simple hardware architecture. The logic is implemented using field programmable gate array (FPGA) technology. The CIM system components have been developed as nuclear safety-related (Class 1E) products by CS Innovations, a 10 CFR Part 50, Appendix B supplier (Reference 6) and wholly owned subsidiary of Westinghouse Electric Company.

1.2 **SCOPE**

The scope of this report is limited to the CIM system components. These components include the hardware and their associated external interfaces **[** $]$ ^{a,c} described in Section 2.2. This technical report considers the CIM system applied in the AP1000 plant.

2 **TECHNICAL DESCRIPTION**

2.1 **CIM** SYSTEM OVERVIEW

The CIM system is designed to interface a field component to the Protection and Safety Monitoring System (PMS) and the Plant Control System (PLS). The CIM priority logic function arbitrates between PMS and PLS demands. The CIM component control logic generates a component demand based on the priority logic outputs and field component feedback signals.

Communication with the PMS is accomplished with the Safety Remote Node Controller (SRNC) assembly. **[** $^{\text{a.c}}$ The SRNC module accepts a high speed link (HSL) connection. **[** $]$ ^{a,c} The SRNC communicates with each CIM through a safety bus known as the X bus. The X bus is an independent, bidirectional link between the CIM and the SRNC. The PMS communication link is known

as the X port. The SRNC assembly and X bus structure is depicted in Figure 2-1. The PMS can send an open, close, or stop demand. In addition to the PMS demands received over port

X, the PMS can also send three configuration commands to the CIM. These commands are port Y enable, maintenance mode, and output test enable. [$1^{a,c}$

The CIMs communicate with the PLS through an Ovation® Remote Node Controller (RNC). The Ovation RNC bus is known as the Y bus. The CIM can receive PLS demands from the RNC and transmit status feedback information to the RNC.

A manual control located on each **CIM** provides local maintenance and test features for each field component. **[l**^{a,c} A status bit is

sent to the PMS and PLS processors when local mode is enabled.

The CIM has two Z port inputs that can be used for connection with a high priority system. [$1^{a,c}$

2.2 CIM SYSTEM **DESCRIPTION**

The CIM system comprises one to thirty-two CIMs assembled on one to sixteen **CIM** base plates, two SRNCs assembled on one SRNC base plate, one double width transition panel (DWTP), up to two single width transition panels (SWTP), and one to four branch terminating devices. The CIM system can have one to four branches of CIMs; each branch can have one to eight CIMs. Each CIM controls one component, and each CIM base plate can accommodate one or two CIMs. The SRNC base plate provides for two SRNC modules that comprise the redundant safety system communication.

The DWTP connects two branches of CIMs to the SRNC base plate, redundant 24 volts direct current (Vdc) power supplies and the non-safety Ovation RNC. The DWTP also provides two connectors for interconnection with the SWTP. The SWTP connects one branch of CIMs to the DWTP.

The CIM base plate back plane printed circuit board (PCB) distributes the X and Y buses to each CIM and extends the X and Y buses to the next base plate. The CIM back plane PCB also distributes redundant power supply feeds to each CIM and extends the power supply feeds to the next base plate. The base plate connects the CIM to the field component through the use of terminal blocks, facilitating rapid maintenance and repair activities without disturbing field wiring. a,c

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2.3 HARDWARE **DESCRIPTION**

The five standard components of the CIM system are described below.

2.3.1 Component Interface Module

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2.3.1.1 Module Level Functional Description

2.3.1.1.1 Power Supply

The CIM supports a redundant 24 Vdc power supply feed. The redundant power supply feed is [^{1a,c} utilized within the CIM. Transient voltage suppression is provided for over voltage protection.

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2.3.1.1.2 Field Input Circuits

The CIM supports $\lceil \quad \rceil^{a,c}$ digital inputs that can receive field component feedback information. $\lceil \quad \rceil$

 $]^{a,c}$ The status of each field input is available to the PMS and the PLS.

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2.3.1.1.3 Local Control Input Circuits

The **CIM** includes a local control interface located on the front panel of the CIM.

 $]^{a,c}$ The status of the local control [$]^{a,c}$ is available to the PMS and the PLS for indication of CIM status.

2.3.1.1.4 Z Port Input Circuits

The CIM supports two digital inputs that can receive commands from a high priority system.

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2.3.1.1.5 Output Circuits

The CIM has two outputs to interface with the field device. [

2.3.1.1.6 LED Indicators

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The CIM has $[$]^{a,c} light emitting diodes (LEDs) located on the front panel for indication of the module status.

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2.3.1.2 FPGA Level Functional Description

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2.3.1.2.1 X Bus Communication Functions

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The X bus communication function provides the communications interface between the CIM and SRNC.

 $]^{a,c}$ The X bus protocol is described in subsection 2.4.1.2.

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2.3.1.2.2 Y Bus Communication Functions

The Y bus communication function provides the communications interface between the CIM and Ovation RNC. The Y bus protocol is described in subsection 2.4.1.3.

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2.3.1.2.4 Priority Logic

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The priority logic function takes inputs from the X port, Y port, Z port and local control port. [

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The priority logic module has $\lceil \cdot \rceil^{a,c}$ output signals that interface to the component control logic. $\lceil \cdot \rceil$

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2.3.1.2.5 Component Control Logic

The component control logic interfaces the field component with the **[** \mathbb{R}^n ^{a,c} priority logic. The component control logic utilizes [feedbacks from the field component. **]a,c**

^{a,c} The PLS and the PMS monitor the

 $1^{a,c}$

available feedback from the component and can generate discrepancy detection signals if the component motion does not start or if the component does not reach the commanded state in a predetermined amount

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2.3.1.2.6 LED Control Module

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The LED control module is used to interface the CIM FPGA with $[$ ^{a,c} LED indicators (subsection 2.3.1.1.6). The **LED** control module receives status and control information from the field inputs, outputs, internal logic states and test functions to determine the status of each indicator.

2.3.1.2.7 FPGA Test Functions

The CIM FPGA contains [features are described in subsection 2.5.1.1.1. $l^{a,c}$ test features for the safety system actuation path. These test

2.3.1.2.8 Initialization of **CIM**

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The CIM has design features to provide deterministic power-up and initialization of the CIM.

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Figure **2-3 CIM** Block Diagram

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2.3.2.1 Module Level Functional Description

2.3.2.1.1 Power Supply

The SRNC supports a redundant 24 Vdc power supply feed. The redundant power supply feed is I^{a,c} utilized within the SRNC. Transient voltage suppression is provided for over voltage protection. [

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2.3.2.1.2 LED Indicators

The SRNC has $[$ $]$ ^{a,c} light emitting diodes (LEDs) located on the front panel for indication of the module status. [

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2.3.2.2 FPGA Level Functional Description

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2.3.2.2.1 HSL Communication Functions

The HSL communication functions interface the SRNC to the PM646A. [

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2.3.2.2.2 X Bus Communication Functions

2.3.2.2.3 Communication Buffers

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2.3.2.2.4 LED Control Module

The LED control module is used to interface the SRNC FPGA with $\lceil \cdot \cdot \cdot \rceil^{a,c}$ LED indicators (subsection 2.3.2.1.2). The LED control module receives status and diagnostic information to determine the status of each indicator.

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2.3.2.2.5 **FPGA** Test Functions

The SRNC FPGA contains [\qquad ^{a.c} test features for the safety system actuation path. These test features are described in subsection 2.5.1.1.1.

2.3.2.2.6 Initialization of **SRNC**

The SRNC has design features to provide deterministic power-up and initialization of the SRNC. [

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Figure 2-4 **SRNC** Block Diagram

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2.3.3 Transition Panels

2.3.3.1 Double Width Transition Panel

The DWTP connects [$]^{a,c}$ CIM base plates to the SRNC base plate, Ovation RNC assembly, and redundant 24 Vdc power feeds.

Figure 2-5 Double Width Transition Panel

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2.3.3.2 Single Width Transition Panel

The SWTP connects one CIM base plate branch to the DWTP. [

Figure 2-6 Single Width Transition Panel

2.3.4 Base Plates

The CIM and SRNC base plates provide a physical mounting location for the CIM and SRNC modules. **I** $]^{a,c}$

2.3.4.1 CIM Base Plate

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Figure 2-7 CIM Base Plate with CIMs Installed

2.3.4.2 SRNC Base Plate

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Figure 2-8 SRNC Base Plate with SRNCs Installed

2.3.5 Branch Terminator

The branch terminator is installed on the last **CIM** base in each branch.

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2.4 SYSTEM INTERFACES

2.4.1 Communications Interfaces

2.4.1.1 High Speed Link

The PM646A processor and SRNC module communicate with the HSL protocol. [

2.4.1.2 X Bus

The communication protocol that CIMs and the SRNC use to communicate is the X bus protocol. [

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2.4.1.3 Y Bus

The communication protocol that is used with the PLS is the Ovation **1/0** bus.

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2.4.2 Class 1E/Non-IE Isolation

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2.4.3 Discrete Interfaces

The CIM has four sets of discrete interfaces that are used for control and connection with plant components. The field input circuits (subsection 2.3.1.1.2) connect with status feedback indicators that receive component status information. The local control input circuits (subsection 2.3.1.1.3) provide a local interface for the CIM. [^{]a,c} The

Z port input circuits (subsection 2.3.1.1.4) connect with a high priority system. [

 $]^{a,c}$ The CIM outputs (subsection 2.3.1.1.5) interface the CIM open and close commands to the field device.

2.4.4 Actuators Controlled **by CIM**

The CIM interfaces with components of the following types:

- Motor Control Centers
- AOVs
- SOV_s
- **0** Circuit Breakers
- Squib Valves
- **I**

I **,c**

2.5 SYSTEM **DIAGNOSTICS AND FAULT INDICATIONS**

- **2.5.1** Diagnostics
- **2.5.1.1** Continuous Diagnostics
- **2.5.1.1.1** Safety Path Testing

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2.5.1.1.2 Additional Continuous Diagnostics

The following sections detail additional diagnostics for the CIM and SRNC modules that support safety path testing.

SRNC - Power Supply Monitors

The SRNC monitors the 24 Vdc power supply feed \int_{0}^{a} to ensure the supplied voltage is within the operating range of the SRNC. If the voltage is not within range, the SRNC will visually indicate this condition on the front panel status LEDs, as well as transmit this condition to the PMS and the PLS.

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CIM - Ground Fault Detection

The field feedback inputs are provided with ground fault detection capabilities. A ground fault occurs if there is current flow between the field input channel and earth ground. This condition is transmitted to the PMS and the PLS.

CIM - Power Supply Monitors

The CIM monitors the 24 Vdc power supply feed to ensure the supplied voltage is within the operating range of the CIM. [

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2.5.1.2 Periodic Diagnostics

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2.5.2 Fault Indications

2.5.2.1 Local Indications

Specific fault indications are indicated locally on CIM and SRNC front panel LED display. The fault indications are listed as follows. For an explanation of the front panel indicators, see subsection 2.3.1.1.6 for the CIM and subsection 2.3.2.1.2 for the SRNC.

CIM:

- \bullet and \bullet
- **0** 24V-A LED indicator not lit: The 24V-A power supply feed does not have a voltage applied that is in the operating range of the **CIM.**
- **0** 24V-B LED indicator not lit: The 24V-B power supply feed does not have a voltage applied that is in the operating range of the CIM.
- * Flashing Z-Port LED indicator: Ground fault or 48 Vdc wetting power supply failure.
- Flashing Field Input LED indicator: Ground fault or 48 Vdc wetting power supply failure.
- X bus indicator not lit: The CIM is not communicating on the X bus. \bullet
- Y bus indicator not lit: The CIM is not communicating on the Y Bus.

SRNC:

- **0** 24V-A LED indicator not lit: The 24V-A power supply feed does not have a voltage applied that is in the operating range of the **CIM.**
- 24V-B LED indicator not lit: The 24V-B power supply feed does not have a voltage applied that is in the operating range of the CIM.
- **0** X bus indicators: LED indicators are provided for the X bus branches. The indicator is not lit when the SRNC is not communicating on the specific X bus branch.
- * HSL indicator not lit: The SRNC is not communicating across the HSL.

2.5.2.2 Remote Indications

Specific fault indications are sent to the PMS and the PLS via each respective communication link. The following list details the fault indications that are sent:

CIM:

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SRNC:

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2.6 SYSTEM OPERATION

2.6.1 Time Response

Time response of the CIM system is defined by the requirements listed in References 8and 9.

2.6.2 **CIM** and **SRNC** Operational Modes

The CIM and SRNC modules initialize upon application of power (subsections 2.3.1.2.8 and 2.3.2.2.6). Normal operation will commence after initialization is complete. The normal operating mode for the CIM and SRNC is not affected during different plant operational modes (test, normal operation, etc.) that the plant may operate in. The CIM priority and component control logic does not change for any plant operational mode.

2.7 **DEVELOPMENT PROCESS**

The development of the CIM and SRNC is a joint effort between Westinghouse and CS Innovations. ſ

]a~C Both organizations utilize development processes that have been reviewed for development of safety-related equipment.

The Westinghouse design process **[**

^{a,c} has recently been reviewed by the NRC. As part of the review of the modification of the Main Steam and Feedwater Isolation System controls at the Wolf Creek Generating Station, the NRC staff reviewed the development and review processes that are used by CS Innovations. In this review, the NRC staff found these development and review processes acceptable for the development of FPGA-based safety-related applications for use in nuclear power plants. Refer to the Issuance of Amendment No. 181 (Reference 17).

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2.7.1 Project Definition Phase

The project definition phase is a planning phase that is performed prior to the design of the system. [

2.7.2 System Definition Phase

There are two main tasks in the system definition phase. These include the system requirements analysis and CS Innovations planning. This phase contains a hand off between the design teams at Westinghouse and CS Innovations.

2.7.2.1 System Requirements Analysis

During the system requirements analysis task, the project technical baseline is analyzed to specify the system requirements. These requirements comprise the overall requirements and constraints for the CIM and SRNC. [

2.7.2.2 **CS** Innovations Planning

The CS Innovations planning phase is the initial phase of the project life cycle for CS Innovations. The requirements from Westinghouse are analyzed, and all CS Innovations activities are planned.

2.7.3 Design Phase

In the design phase, the design work, detailed specification and analysis on the design are completed. [

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2.7.4 Implementation Phase

The implementation phase includes the development and production of a first article of the hardware. These prototypes are used for design verification and qualification. [$\int^{a,c}$

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2.7.5 Integration Phase

There are two main tasks in the integration phase. These include the validation and Independent V&V. At the end of this phase, the development of the building blocks is completed, and the design is ready for production.

2.7.5.1 Validation

The validation task includes fully testing the first article devices. This includes functionally testing the devices and the complete equipment qualification testing. [

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2.7.5.2 Independent V&V

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2.7.6 System Integration Phase

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The system integration phase includes the installation of the devices into the AP 1000 system. [

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2.7.7 Installation Phase

The installation phase includes the installation and testing of the AP1000 system in the plant. [

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2.8 EQUIPMENT QUALIFICATION

The **CIM** system components will undergo two sets of equipment qualification tests. The first set will be completed under the CS Innovations process. [

 $]^{a,c}$ The second set of tests will be conducted under the Westinghouse process. [

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2.9 RELIABILITY

2.9.1 FMEA

The Failure Mode and Effects Analysis (FMEA) is a qualitative evaluation which identifies failure modes that contribute to a system's unreliability. The **FMEA** identifies significant single failures and their effects or consequences on the system's ability to perform its functions. [

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2.9.2 MTBF

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2.10 CYBER SECURITY

The SRNC and CIM are designed and implemented to meet the intent of the Regulatory Guide 1.152, "Criteria for Use of Computers in Safety Systems of Nuclear Power Plants" (Reference 5). Special emphasis was placed on cyber security throughout the life cycle.

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2.10.1 Communication Ports

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2.10.2 **CIM** and **SRNC** Cyber Security Characteristics

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2.11 DIVERSITY

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following evaluation will focus on the diversity requirements for the CIM and SRNC and support the two aforementioned diversity evaluations.

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The **CIM** and SRNC provide the control of the safety-related components through the PMS. This actuation path must be diverse from the path that is provided in the DAS.

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2.11.1 Design Diversity

Design diversity is the use of different methods to solve similar problems. [

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2.11.2 Equipment Diversity

Equipment diversity is the use of different hardware to perform similar safety functions. [

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2.11.3 Functional Diversity

Two systems are functionally diverse if they perform different physical functions though they may have overlapping safety effects.

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2.11.4 Human Diversity

The purpose of human diversity is to reduce the chance of common errors in similar designs. [

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2.11.5 Signal Diversity

Signal diversity is the use of different sensed parameters to initiate protective action.

2.11.6 Software Diversity

Software diversity is the use of different programming or algorithms to perform the same or similar functions. [

2.11.7 Diversity Summary

All of the elements must be evaluated to determine if adequate diversity is provided.

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2.12 **HUMAN** FACTORS **AND MAINTENANCE CONSIDERATIONS**

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The following human factors considerations have been incorporated into the designs of the **CIM** and SRNC modules. These human factors considerations support maintenance and test features for PMS.

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Module Replacement

The **CIM** and SRNC base plates have been designed with rigid metal guides to ensure proper module alignment and mating with the backplane. The modules have two thumb screw fasteners to secure the module into the base plate assembly.

Module Indicators

The CIM and SRNC indicators are straightforward in their design to minimize the chance of misinterpretation. Failures and off-normal conditions are clearly indicated by the behavior of the module indicators.

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Pre-configured Modules

CIM and SRNC FPGA cores are configured prior to shipment and cannot be altered by the customer. This approach strengthens cyber security defenses, improves configuration control of CIM system components, and prevents maintenance errors.

Electrostatic Discharge (ESD)

The CIM and SRNC are qualified for ESD resistance.

Local Controls

The CIM local controls are designed for their ease of use and indication. [

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Test Points

The **CIM** base plate is designed with test points and field disconnect terminal blocks to aid in maintenance and troubleshooting activities. The field disconnects and test points can be used to test the signal path without disconnecting any field wiring from the base plate.

2.13 OPERATING HISTORY

The CIM function has been previously utilized in operating nuclear power plants. The CIM system components are newly designed assemblies and thus have no operating history. The first planned use of the redesigned CIM system assemblies is for the AP1000 plant application.

3 REGULATORY **COMPLIANCE**

3.1 IEEE 603

IEEE 603-1991, "IEEE Standard Criteria for Safety Systems for Nuclear Power Generating Stations" (Reference 1), establishes the minimum functional design criteria for the power, instrumentation, and control portions of nuclear power generating station safety systems. The criteria established in IEEE 603 provide a means for promoting safe practices for design and evaluation of safety system performance and reliability. **[**

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3.2 IEEE 7-4.3.2

The CIM development process is described in Section 2.7. The design process utilizes the design processes of Westinghouse and CS Innovations.

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3.3 DI&C-ISG-04

The NRC Task Working Group #4, "Highly Integrated Control Rooms - Communications Issues" (Reference 3), has provided interim NRC staff guidance on the review of communications issues. The interim NRC staff guidance contains three sections: Interdivisional Communications, Command Prioritization, and Multidivisional Control and Display Stations. The third section provides guidance for control displays, which is not applicable to components of the CIM system.

3.3.1 DI&C-ISG-04, Section 1, "Interdivisional Communications"

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Section 1 of DI&C-ISG-04 (Reference 3) provides guidance on communications, including transmission of data and information, among components in different electrical safety divisions and communications between a safety division and equipment that is not safety-related. This interim staff guidance (ISG) does not apply to communications within a single division. The ISG provides twenty staff positions in this section. The following statements are the responses to each of the twenty staff positions provided in the ISG.

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3.3.2 DI&C-ISG-04, Section 2, "Command Prioritization"

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Section 2 of DI&C-ISG-04 (Reference 3) provides guidance applicable to a prioritization device, which receives device actuation commands from multiple safety and non-safety sources, and sends the command having highest priority on to the actuated device. The ISG provides ten staff positions in this section. The following statements are the responses to each of the ten staff positions provided in the ISG.

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