

Murnahan, Colleen

From: Andy Neil [andrew.neil@pathfinderlwd.com]
Sent: Monday, October 26, 2009 11:09 AM
To: Murnahan, Colleen
Subject: Pathfinder (Inc. to LLC)

Colleen,
Thanks for the form from 1556.
Our lawyers had question regarding how long it would take the NRC to make a determination as to whether our company changing from Inc. to LLC would constitute a change of control. I am pretty sure it does not but they want to go ahead and do it asap.

I also left you a quick voicemail regarding the same.

Thanks & Best Regards,

Andrew Neil
Director HSE / Corporate RSO



An Operating Unit of Smith International, Inc.

15151 Sommermeyer St.
Houston, Texas 77041
713-996-1726 Direct Line
713-417-8895 Mobile
713-996-1270 Fax
✉ andrew.neil@pathfinderlwd.com

October 28, 2009

TO: U.S. Nuclear Regulatory Commission - Region IV
Nuclear Materials Licensing Section
612 East Lamar Boulevard, Suite 400
Arlington, TX 76011-4125

Attention: Licensing Department, Ms. Colleen Murnahan

RECEIVED
OCT 28 2009
DNMS

FROM: Pathfinder Energy Services, Inc.
3329 West Pinhook Road
Lafayette, LA 70508

RE: **Intended company name change from Corporation to Limited Liability Company for NRC Radioactive Material License No. 17-27623-01, Docket No. 030-34946.**

Dear Ms. Murnahan,

Pathfinder Energy Services, Inc. (License No. 17-27623-01, Docket No. 030-34946) intends to convert from a Corporation to a Limited Liability Company. This conversion is intended to take effect on 12/31/09 and will change the name of the licensed organization from Pathfinder Energy Services, Inc. to Pathfinder Energy Services, LLC.

In order for the Commission to determine whether a change of control will take place with this conversion, PathFinder has furnished for review, the appropriate information pertaining to Appendix F of NUREG-1556, Volume 15.

Following a timely response from the Commission, Pathfinder will request a license amendment for the name change to take effect on the proposed conversion date of 12/31/09.

If you need further information please contact me at the address above or call (713) 996 1726.

Sincerely,



Andrew Neil
Director HSE & Corporate Radiation Safety Officer

Enc: Requested information for Appendix F, NUREG-1556, Volume 15.

File: PL066

Information Required for Change of Control and/or Change of Ownership
(to include a name change)
source: Appendix F of NUREG-1556, Volume 15 (Date Published: November 2000)

Please provide the following information concerning changes of control (transferor and/or transferee, as appropriate). If any items are not applicable, so state.

1. Provide a complete description of the transaction (i.e., transfer of stocks or assets, or merger). Indicate whether the name has changed and include the new name. Include the name and telephone number of a licensee contact who NRC may contact if more information is needed.

A. Description of the transaction: The change of company from Pathfinder Energy Services Inc. to Pathfinder Energy Services LLC

B. No name change

New name of licensed organization: Pathfinder Energy Services LLC

C. No change in contact

New contact: _____

New telephone number: _____

2. Describe any changes in personnel or duties that relate to the licensed program. Include training and experience for new personnel.

A. No changes in personnel having control over licensed activities.

Changes in personnel having control over licensed activities (e.g. officers of a corporation):

B. No changes in personnel named in the license.

Changes in personnel named in the license (e.g. RSO, AUs) - including training, experience and responsibilities:

3. Describe, in detail, any changes in the organization, location, facilities, equipment or procedures that relate to the licensed program.

Organization:

Equipment:

Location:

Procedures:

Facility:

Not applicable

4. Describe the status of the surveillance program (i.e., surveys, wipe tests, quality control) at the present time and the expected status at the time that control is to be transferred.

A. Description of the status of all surveillance program: The program has not changed from the current licensed program.

B. Surveillance Items & Records: calibrations, leak tests, surveys, inventories, and accountability requirements will be current at the time of transfer

Yes No (explain)

5. Confirm that all records concerning the safe and effective decommissioning of the facility will be transferred to the transferee or to NRC, as appropriate. These records include documentation of surveys of ambient radiation levels and fixed and/or removable contamination, including methods and sensitivity.

Records transferred to:

New licensee

NRC for license termination

Not applicable

6. Confirm that the transferee will abide by all constraints, conditions, requirements and commitments of the transferor or that the transferee will submit a complete description of the proposed licensed program.

Description of proposed licensed program attached

OR

_____ will abide by all constraints, conditions,
(transferee)
requirements and commitments of _____
(transferor)

Signature/Title
Transferee

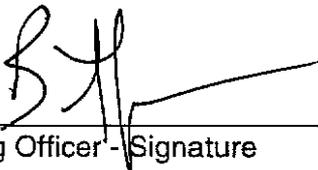
date

Signature/Title
Transferor

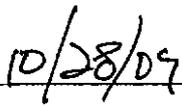
date

OR

Not applicable (name change only)



Certifying Officer - Signature



Date

Brent Janner - President
Certifying Officer - Typed name and title

ACCEPTANCE REVIEW MEMO (ARM)

Licensee: Pathfinder Energy Services Inc **License:** 17-27623-01
Docket: 030-34946 **Mail Control:** 472466
Type of Action: Amend **Date of Requested Action:** 10-28-09
Reviewer Assigned: **ARM reviewer(s):** Torres

Response	Deficiencies Noted During Acceptance Review
	<input type="checkbox"/> Open ended possession limits. Submit inventory. Limit possession. <input type="checkbox"/> Submit copies of latest leak test results. <input type="checkbox"/> Add IC L.C./Fingerprint LC, add SUNSI markings to license. <input type="checkbox"/> Confirm with licensee if they have NARM material. <input type="checkbox"/> Change of contact information (RSO), send request to update IC database.

Reviewer's Initials: _____ **Date:** _____

<input type="checkbox"/> Yes <input type="checkbox"/> No	Request for unrestricted release Group 2 or >. Consult with Bravo Branch.
<input type="checkbox"/> Yes <input type="checkbox"/> No	Termination request < 90 days from date of expiration
<input type="checkbox"/> Yes <input type="checkbox"/> No	Expedite (medical emergency, no RSO, location of use/storage not on license, RAM in possession not on license, other)
<input type="checkbox"/> Yes <input type="checkbox"/> No	TAR needed to complete action.

Branch Chief's and/or HP's Initials: _____ **Date:** _____

SUNSI Screening according to RIS 2005-31

Yes No **Sensitive and Non-Publicly Available** if any item below is checked

General guidance:

- _____ RAM = or > than Category 3 (Table 1, RIS 2005-31), use Unity Rule
- _____ Exact location of RAM [suite #, bldg. #, location different from mailing address] (whether = or > than Category 3 or not)
- _____ Design of structure and/or equipment (site specific)
- _____ Information on nearby facilities
- _____ Detailed design drawings and/or performance information
- _____ Emergency planning and/or fire protection systems

Specific guidance for medical, industrial and academic (above Category 3):

- _____ RAM quantities and inventory
- _____ Manufacturer's name and model number of sealed sources & devices
- _____ Site drawings with exact location of RAM, description of facility
- _____ RAM security program information (locks, alarms, etc.)
- _____ Emergency Plan specifics (routes to/from RAM, response to security events)
- _____ Vulnerability/security assessment/accident-safety analysis/risk assess
- _____ Mailing lists related to security response

Branch Chief's and/or HP's Initials: RITC **Date:** 11-20-09

11-24-09

DATE

This is to acknowledge the receipt of your letter/application dated 10-28-08, and to inform you that the initial processing, which includes an administrative review, has been performed.

There were no administrative omissions. Your application will be assigned to a technical reviewer. Please note that the technical review may identify additional omissions or require additional information.

Please provide to this office within 30 days of your receipt of this card:

The action you requested is normally processed within 90 days.

A copy of your action has been forwarded to our License Fee & Accounts Receivable Branch, who will contact you separately if there is a fee issue involved.

Your action has been assigned **Mail Control Number** 472466.
When calling to inquire about this action, please refer to this mail control number.
You may call me at 817-860-8103.

Sincerely,

Colleen Murahan

Licensing Assistant

: (FOR LFMS USE)
 : INFORMATION FROM LTS
 : -----
 :
 : Program Code: 03111
 : Status Code: 0
 : Fee Category: 5A
 : Exp. Date: 20190831
 : Fee Comments: _____
 : Decom Fin Assur Reqd: N
 :

BETWEEN:
 License Fee Management Branch, ARM
 and
 Regional Licensing Sections

LICENSE FEE TRANSMITTAL

A. REGION

1. APPLICATION ATTACHED

Applicant/Licensee: PATHFINDER ENERGY SERVICES, INC.
 Received Date: 20091030
 Docket No: 3034946
 Control No.: 472466
 License No.: 17-27623-01
 Action Type: Amendment

2. FEE ATTACHED

Amount: _____
 Check No.: /

3. COMMENTS

Signed Colleen Murnahan
 Date 11-18-09

B. LICENSE FEE MANAGEMENT BRANCH (Check when milestone 03 is entered /_/)

1. Fee Category and Amount: _____

2. Correct Fee Paid. Application may be processed for:

Amendment _____
 Renewal _____
 License _____

3. OTHER _____

Signed _____
 Date _____