



UNITED STATES  
NUCLEAR REGULATORY COMMISSION  
WASHINGTON, D.C. 20555-0001

OFFICE OF THE  
INSPECTOR GENERAL

October 30, 2009

MEMORANDUM TO: J.E. Dyer  
Chief Financial Officer

FROM: Stephen D. Dingbaum **/RA/**  
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF U.S.  
NUCLEAR REGULATORY COMMISSION'S ACCOUNTING  
AND CONTROL OVER TIME AND LABOR REPORTING  
(OIG-08-A-11)

REFERENCE: CHIEF FINANCIAL OFFICER MEMORANDUM, DATED  
OCTOBER 5, 2009

Attached is the Office of the Inspector General's analysis and status of recommendations as discussed in the agency's response dated October 5, 2009. Based on this analysis, recommendations 3 and 4 remain resolved. Recommendations 1, 2, 5, and 6 were closed previously. Please provide an updated status of these resolved recommendations by April 30, 2010. Please include a copy of any project plans related to these recommendations.

If you have any questions or concerns, please contact me at 415-5915 or Steven Zane, Team Leader, at 415-5912.

Attachments: As stated

cc: N. Mamish, OEDO  
J. Andersen, OEDO  
J. Arildsen, OEDO  
C. Jaegers, OEDO

## **Audit Report**

### **AUDIT OF U.S. NUCLEAR REGULATORY COMMISSION'S ACCOUNTING AND CONTROL OVER TIME AND LABOR REPORTING**

**OIG-08-A-11**

#### **Status of Recommendations**

Recommendation 3: The CFO should conduct a detailed system analysis and eliminate redundant paper forms that are not needed.

Agency Response Dated  
October 5, 2009:

The modernization project for the Time & Labor (T&L) system is scheduled to be completed by March 2010. As part of this modernization, the OCFO is working to incorporate workflow which would allow for electronic signatures. The OCFO has met with the Office of Human Resources to discuss the possible elimination of various leave request forms and has also met with the National Treasury Employees Union. In our preliminary findings, the Summary Approval Report, all leave request forms, unit transfer forms, and security request forms can be part of the electronic workflow process. This recommendation remains open.

OIG Analysis: The proposed corrective action addresses the intent of OIG's recommendation. This recommendation will be closed when OIG receives a report on the system analysis that results in the elimination of redundant paper forms.

**Status:** Resolved.

## **Audit Report**

### **AUDIT OF U.S. NUCLEAR REGULATORY COMMISSION'S ACCOUNTING AND CONTROL OVER TIME AND LABOR REPORTING**

**OIG-08-A-11**

#### **Status of Recommendations**

Recommendation 4: The CFO should ensure the use of electronic signatures for time reporting and approval.

Agency Response Dated  
October 5, 2009: The modernization project for the T&L system is scheduled to be completed by March 2010. As part of this modernization, the OCFO is working to incorporate workflow which would allow for electronic signatures. This recommendation remains open.

OIG Analysis: The proposed corrective action addresses the intent of OIG's recommendation. This recommendation will be closed when OIG receives evidence that electronic signatures for time reporting and approval have been incorporated into the T&L system.

**Status:** Resolved.