

OCFO TICKET

05-Oct-09

Assigned	DPBA
Item No	2009-324
Priority	1
From	Berry
Office	OPM
To	Dept. Heads
Date	09/30/2009
Due Date	10/08/2009
Ticket No	

Subject Approval of the FY 2009 Presidential Rank Awards Recipients and Preparing Awards Payments

Instructions

Distribution

Copies

2009-324

OFFICE OF THE SECRETARY  
CORRESPONDENCE CONTROL TICKET

Date Printed: Oct 02, 2009 09:36

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**PAPER NUMBER:** LTR-09-0486 **LOGGING DATE:** 10/02/2009  
**ACTION OFFICE:** EDO **CFO**

**AUTHOR:** Mr. John Berry  
**AFFILIATION:** OPM  
**ADDRESSEE:** Department Heads  
**SUBJECT:** Approval of the FY 2009 Presidential Rank Awards Recipients and Preparing Award Payments

**ACTION:** Appropriate  
**DISTRIBUTION:** RF

**LETTER DATE:** 09/30/2009

**ACKNOWLEDGED:** No  
**SPECIAL HANDLING:** Notify FY winner(s) before public announcement on Oct. 8

**NOTES:** OCM #2941. Assigned to EDO/CFO for Appropriate Action.

**FILE LOCATION:** ADAMS

**DATE DUE:** **DATE SIGNED:**

2941

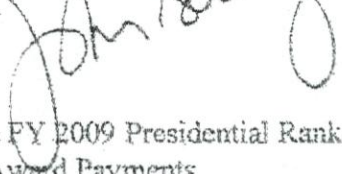


UNITED STATES OFFICE OF PERSONNEL MANAGEMENT  
Washington, DC 20415

The Director

SEP 30 2009

MEMORANDUM FOR SELECTED HEADS OF DEPARTMENT AND AGENCIES  
(see attached list)

FROM: JOHN BERRY  
DIRECTOR 

Subject: Approval of the FY 2009 Presidential Rank Awards Recipients  
and Preparing Award Payments

It is my privilege to announce President Obama's approval of the FY 2009 Presidential Rank Awards winners.

You are now authorized to post payments using FY 2009 appropriations. Guidance on preparing award payments is attached. We will issue a news release of the official announcement on *October 8<sup>th</sup>*. You will have this time to notify awards winners before the list is posted on OPM's website.

If your staff has any questions, please contact Ana Mazzi, Acting Deputy Associate Director, Center for Learning, Executive Resources, and Policy Analysis at 202-606-8046, or Karen English, Presidential Rank Awards program manager at 202-606-2747.

Attachment

cc: Chief Human Capital Officers  
Human Resources Directors

## FY 2009 PRESIDENTIAL RANK AWARDS PARTICIPATING DEPARTMENTS AND AGENCIES

Department of Agriculture	Department of Justice
Department of Commerce	Department of Labor
Defense Nuclear Facilities Board	U.S. National Aeronautics and Space Admin.
Department of Defense	National Science Foundation
Department of Education	National Transportation Safety Board
Department of Energy	U.S. Nuclear Regulatory Commission
U.S. Environmental Protection Agency	Office of Management and Budget
Federal Energy Regulatory Commission	Office of Personnel Management
Federal Trade Commission	Social Security Administration
General Services Administration	Department of State
Department of Health and Human Services	Department of Transportation
Department of Homeland Security	Department of Treasury
Department of Housing and Urban Development	U.S. Agency for International Development
Department of the Interior	Department of Veterans Affairs

**Preparing Payments for FY 2009 Presidential Rank Awards  
and Aggregate Compensation**

Under 5 U.S.C. 4507(e), the Presidential Rank Awards are a lump-sum payment of 35 percent of annual basic pay for the Distinguished Rank and 20 percent of annual basic pay for the Meritorious Rank. Please note that the award is subject to the applicable annual aggregate limitation on pay under 5 U.S.C. 5307. Section 5307(d) permits agencies with certified performance appraisal systems for members of the Senior Executive Service (SES) and senior-level (SL) and scientific or professional (ST) employees (senior professionals) to apply a higher annual aggregate limitation on pay for those employees. The higher aggregate limitation on pay is equivalent to the total annual compensation payable to the Vice President under 3 U.S.C. 104 (\$227,300 in 2009). Agencies without certified performance appraisal systems for their SES members or senior professionals must apply an annual aggregate limitation on pay equivalent to the rate for level I of the Executive Schedule (\$196,700 in 2009).

If a rank award would cause an executive's aggregate compensation to exceed the applicable annual aggregate limitation on pay by the end of calendar year 2009, you should withhold the excess award amount and include the excess payment in any lump-sum payment made at the beginning of calendar year 2010. Such payments count toward the aggregate limitation for calendar year 2010.

Processing the Awards

Effective April 7, 2006, The Guide to Processing Personnel Actions was updated to consolidate the Nature of Action Code (NOAC) for capturing Presidential Rank Awards into one action code. The NOAC is 878. In order to capture payments accurately, use the appropriate Authority Code below.

<u>Award Type</u>	<u>Nature of Action</u>	<u>Nature of Action Code</u>	<u>Legal Authority Code</u>	<u>Legal Authority</u>
Senior Executive Service (SES) Distinguished Rank	Presidential Rank Award	878	V8G	5 U.S.C. 4507(e)(2)
Senior Executive Service (SES) Meritorious Rank			V7G	5 U.S.C. 4507(e)(1)
Senior Professional (SL/ST) Distinguished Rank			V9P	5 U.S.C. 4507(a)(c)
Senior Professional (SL/ST) Meritorious Rank			V9N	5 U.S.C. 4507(a)(c)