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NUCLEAR REGULATORY COMMISSION

Title: Proposed Changes to the NRC Emergency Preparedness (EP) Rule

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1	UNITED STATES OF AMERICA
2	NUCLEAR REGULATORY COMMISSION
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4	PUBLIC MEETING
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6	PROPOSED CHANGES TO THE NRC EMERGENCY
7	PREPAREDNESS (EP) RULE
8	+ + + +
9	THURSDAY, SEPTEMBER 17, 2009
10	+ + + +
11	ROCKVILLE, MARYLAND
12	+ + + +
13	The Public Meeting convened in the Glen
14	Echo Room at the Bethesda North Marriott Hotel and
15	Conference Center, 5701 Marinelli Road, at 12:30 p.m.,
16	Lisa Gibney, Moderator, presiding.
17	PANELISTS PRESENT:
18	LISA GIBNEY, Moderator
19	JOE JONES, Sandia National Laboratories
20	BOB KAHLER, US Nuclear Regulatory Commission
21	JEFF LAUGHLIN, US Nuclear Regulatory Commission
22	STEVE LaVIE, US Nuclear Regulatory Commission
23	CHRIS MILLER, US Nuclear Regulatory Commission
24	RANDY SULLIVAN, US Nuclear Regulatory Commission
25	DON TAILLEART, US Nuclear Regulatory Commission
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1	ALSO PRESENT:
2	KEITH KEMPER - Exelon Corporation
3	BILL RENZ - Entergy Nuclear
4	STEVE PAYNE (via telephone) - North Carolina Emergency
5	Management
6	RANDY EASTON (via telephone) - Pennsylvania Bureau of
7	Radiation Protection
8	ALAIN GROSJEAN - Entergy Nuclear
9	KEVIN WEINISCH - KLD Associates
10	ATRI SEN - IEM
11	CHRIS BOONE - Southern Nuclear Corporation
12	DON RICKARD - Callaway
13	DIANE HOOPER - Wolf Creek
14	DON MOTHENA (via telephone) - Florida Power and Light
15	Company
16	TED AMUNDSON - Southern Nuclear Corporation
17	JERRY BONANNO - Nuclear Energy Institute
18	MIKE SLOBODIEN - Entergy Nuclear
19	DAVID YOUNG (via telephone) – Nextera Energy
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12:29 p.m.

OR GIBNEY: On the record. Okay. Great. eryone for coming. I'd like to welcome e o what is now our twelfth public meeting on the Proposed Rulemaking and Emergency Preparedness Enhancements.

8 My name is Lisa Gibney. I'm the Outreach 9 Team Leader for the Security Interface and Outreach 10 Branch and it's my pleasure to be our facilitator 11 today. As I said, we do have a lot of questions. So 12 we would encourage you to sign up early and for those of you that are listening on the phone if you could 13 14also let us know if you have an interest in asking questions. That would be great. 15

Just a couple of housekeeping type things 16 I'd like to take a minute to talk about. Of course, 17 because we're all in emergency management, safety 18 comes first. If we do have an emergency and need to 19 leave the room the emergency egress is out the doors, 20 21 take a left to the end of the hallway, take another 22 left and that will take you down to glass doors that will lead you to the parking lot. 23

24 Restrooms if you go out the doors and to 25 the right. Restrooms for both the men and the women

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are outside to the right.

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Of course, it wouldn't be a meeting if we didn't ask you to put your cell phones and your pagers on vibrate. That goes for the staff, too. Look at. Good job; they're all reaching. Good job.

And we do have one formal break scheduled in the agenda today. But please feel free to step out as you need to.

Our agenda is packed pretty full today. 9 We're scheduled to run from 12:30 p.m. to 4:30 p.m. 10 11 We'll have some opening comments from Chris Miller. 12 Then Bob Kahler is going to step us through a summary And then as I mentioned we're of the rulemaking. 13 14going to try to group our questions into topics. We'll talk first about the topics in Part 1. 15 Then we'll talk about the ones in Part 2. We'll have our 16 formal break. And then we'll move onto Parts 3 and 4 17 with some time for some closing comments at the end of 18 19 the day.

20 We do have lots of options for 21 participation today. This is a Category 3 meeting 22 which means we have time for questions and there are multiple ways to participate. Of course, many of you 23 are here in the room and then as we did in the other 24 25 public meetings, we also have folks that are viewing

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us today using the Internet and also listening by using our 800 toll-free number. So please it's going make it very important that you step to the to microphone whenever you're asking your questions. That's also going to allow everyone to hear what's going on today. 6

7 For those of you that are participating 8 remotely, we just do ask that out of respect for others if you could please mute your phone. 9 To do 10 that, you simply need to push * and the 6 key and then 11 that will also unmute your phone if you need to ask a 12 question. For anyone who asks a question today, we do please ask that you state your name, your organization 13 14and your question for the panel.

What's going to be even more important 15 today because even though we're not taking formal 16 comments from the microphone today, we do have -- we 17 are going to transcribe this meeting. Charles who is 18 stuck way in the corner is going to be our transcriber 19 for today. And so again it's going to be important 20 21 for us to be able to get a nice, clear transcript to 22 be sure that we're using the microphone.

The intent of our meeting is still to ask 23 24 questions. We know there are a lot of folks who have 25 questions and we want to answer those questions. The

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other nice thing about the transcript is it will allow us to post the transcript so that we can have folks who weren't able to participate today still have access to those questions and the answers. The transcript will be going up on www.Regulations.gov and in ADAMS as soon as we have that available and it's 6 7 probably going to be our goal is somewhere a week to ten days to be able to have that transcript up and 8 ready for you.

10 If you're ready now to make your comments 11 though, you can do that right now. We're accepting 12 A very easy way to do that is through comments. www.Regulations.gov. All you have to do is go to 13 14www.Regulations.gov and the NRC has a docket number for comments as does FEMA. The NRC docket number is 15 NRC-2008-0122. All you really need to input to be 16 the documents that to get straight to 17 able are relevant to this rulemaking project. It's NRC-2008-18 And FEMA also has a docket for their draft 19 0122. 20 guidance documents associated with this rulemaking and their's is FEMA-2008-0022. 21

22 And no, I didn't plan that. Ιt just worked that way. So it's very handy that they're the 23 24 same. Once you get there, just click on the blue, 25 it's now a blue, comment bubble and it will take you

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right there and you can submit your comment.

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However, www.Regulations.gov is not the only way you can comment. For NRC rulemaking, you can also do email to rulemaking.comments@nrc.gov. You can mail your comments in care of the Secretary, the U.S. Nuclear Regulatory Commission, Washington, D.C. 20555-0001 and please mark it Attention: Rulemaking and Adjudications Staff, and you can also fax your comments to 301-492-3446.

10 If your comments are for FEMA, you can 11 also comment other than www.Regulations.gov for them 12 as well. You can email to FEMA-POLICY@dhs.gov. You can mail, hand deliver or use a courier to the 13 14 Regulation and Policy Team, Office of Chief Counsel, Federal Emergency Management Agency, Room 835, 500 C 15 Street, S.W., Washington, D.C. 20472. You can also 16 fax your comments to 703-483-2999. 17

Now I did go through those comment ways relatively quickly, but again for those of you that are just listening on the phone they will appear in the transcript and you can get them from there also.

Okay. Let me take just a quick second and introduce the staff. We have a large panel, and I'm going to step off camera. Probably a wise decision if I would have made it a couple of minutes ago.

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Sorry to the people who are on the Internet. They got my backside there, but let me just go ahead and introduce the staff.

4 We've got the senior staff up. Jeff 5 Laughlin is on the far right. If you guys could like -- I don't know -- throw confetti or something. Next 6 7 to Jeff is Randy Sullivan. Don Tailleart is a Team 8 Leader now for the Inspection and Regulatory Improvement Branch. And Steve -- Wait for your name -9 10 - Steve LaVie is also here joining the team today. 11 They're the senior staff that's been working on the 12 Rulemaking Project led by Bob Kahler, who is their Branch Chief. You'll be hearing from Bob in just a 13 14minute on a short summary of the rulemaking.

But we're going to start first with Chris Miller. Chris is our Deputy Director and if you'd be kind enough to give us a couple of opening comments Chris that would be great. Thanks.

19 PANELIST MILLER: Thanks, Lisa, and welcome to all the participants we have here who 20 21 braved a little bit of the weather to come here. Ι 22 know I got a little bit wet coming over. It was raining. For you who are participating remotely and 23 24 are braving the perils of the Internet to tie into our 25 system, thank you for taking your time out.

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1 This is an important part of our process. 2 This is the part where we hear interaction from all 3 of our stakeholders including members of the States 4 that are here. We know we have county officials that are tying in from various locations of the country. 5 We have industry representatives, people from NEI and 6 7 the various utilities. And I just want to give a 8 hearty thanks to all of you. We think this is important and we look forward to your participation 9 10 and your questions today.

11 Someone once said this is the best 12 publicized of any NRC rulemaking ever and while I'm not going comment or disagree with that concept, let 13 14 me just give you a short history of where we are in Typical NRC rulemaking process is fairly 15 the process. 16 like to hear from lengthy because we а large population of stakeholders and we take some time to 17 listen and publicize draft documents. 18

normally 19 It's done in two stages, а 20 proposed rulemaking stage and a final rulemaking 21 But for this rulemaking we had such a large stage. 22 interest that we thought it better to do it in three So we did a draft proposed rulemaking stage. 23 stages. 24 We did a preliminary stage; a proposed rulemaking 25 stage, which is where we are right now and then, of

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course, the final stage.

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2 Many times you'll see when the documents 3 go out in the proposed stage that you don't have the 4 guidance documents. But we felt it very important 5 that you understood where the staff was going. So we put out the draft guidance documents as well. 6 In the 7 comments that we're receiving from people and hope to receive from you we want your comment on the guidance 8 It's just one more level down from 9 documents, too. the rulemaking and it gets into more of the details. 10 11 We have those guidance documents out and they've been out since the rulemaking has been out in the proposed 12 13 stage.

14 So we're in the proposed rulemaking stage and we've had 11 public meetings to date, this being 15 our 12th. And why did we have this 12th one? 16 Well, we heard from -- A number of stakeholders said, "We've 17 got some additional questions." What we want to do is 18 19 be able to give you the best comments we can on your 20 proposed rulemaking and guidance and that's really 21 what we're looking for. So we said, "Okay; one more 22 public hearing to try to answer those questions." So that when you provide your comments you give us the 23 24 best comments that you can, most informed by what the 25 rulemaking and the guidance documents are saying. So

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we hope to answer your questions today.

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2 We specifically have this part reserved 3 for the NRC rulemaking. I mentioned that we have a 4 lot of stakeholders here. The one stakeholder who I neglected to mention, Vanessa Quinn back there in the 5 Our FEMA partners have been 6 corner represents FEMA. 7 in lockstep with us in this rulemaking, with FEMA 8 providing the changes to the offsite quidance 9 documents while NRC is doing the rulemaking and the 10 typically quidance documents for the onsite 11 regulations.

While we're doing that, FEMA is also having their own meeting to interact with certain stakeholders who have asked them questions. So today we're trying to focus on the NRC part, the part of the rulemaking and guidance that we, the NRC, drafted.

Where do we go from here? The FEMA and 17 NRC team is going to review your comments. 18 The comment period closes October 19th and we've already 19 started working with the FEMA and NRC team on how we 20 21 adjudicate those comments. We'll eventually 22 adjudicate the comments. We'll make the changes to the rulemaking and guidance documents. Then we'll be 23 24 presenting that to our Commission sometime in the 25 Spring 2010, and then sometime in the Summer 2010 we

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1 hope to have the actual rulemaking and guidance 2 documents out. So that's the path forward. 3 Ι look 4 forward to a good healthy exchange and hear your 5 questions today and once again thank you for participating. And I would like to turn it over to 6 7 Bob Kahler. 8 (Off the record comments.) 9 MODERATOR GIBNEY: As you get started if 10 everybody on the panel could just remember to speak 11 into the microphone. I was having a little bit of 12 trouble hearing you all in the back. Thanks. Okay. Well, good afternoon, 13 MR. KAHLER: 14 everybody. And as I've been introduced, I'm Bob I'm the Branch Chief of the Inspection and 15 Kahler. 16 Regulatory Improvements Branch. I have the overall 17 responsibility for the oversight of the proposed Emergency Preparedness Rulemaking effort. 18 I'd like to personally thank everyone who 19 is in attendance this afternoon whether you're here in 20 21 person, via the Internet, via the live meeting 22 technology, or hanging onto the phone and listening 23 intently as we go through the rulemaking and the 24 questions. Next slide please. 25 This table on this slide identifies the 12 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1 topics that are addressed in the proposed rule and 2 indicates their associated draft guidance document. 3 The first six topics are related to the security 4 issues and these are specifically the on-shift 5 multiple responsibilities, the emergency action levels for hostile action events, the emergency response 6 7 organization augmentation, an alternative facility, 8 coordination with offsite licensee response organizations, protective actions for onsite personnel 9 and challenging drills and exercises. 10

11 These first six topics are the proposed 12 changes and additions to the regulations. They would codify certain voluntary protective measures already 13 14implemented by the nuclear power plant licensees and were previously contained in an NRC bulletin issued in 15 2005 and specifically that Bulletin was 2005-02, 16 "Emergency Preparedness 17 and Response Actions For Security Based Events." 18

The topics 7 through 11, the next five 19 topics, were a result of the NRC comprehensive review 20 21 and they were as an enhancement. We're proposing 22 those for an enhancement to the existing regulations. 23 topics Aqain, these five are the alert and 24 notification system backup means, emergency 25 declaration timeliness, emergency operations facility

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1 - performance-based approach, evacuation time estimate 2 updating, and the emergency plan change process, 3 sometimes referred to as the 10 CFR 50.54(q) process. 4 The final topic, the 12th topic, is 5 administrative in nature and it's associated with the removal of one-time requirements that are no longer 6 binding on 7 any current licensees. These were 8 implemented immediately following the Three-Mile Island incident in 1979. Next slide. 9 10 In addition to the general invitation to 11 submit comments on the proposed rule, the NRC is also specifically requesting input on seven topics. 12 First, the NRC is seeking input as to 13 14whether licensees should be required to implement NIMS -- that's the National Incident Management System --15 and ICS, Incident Command System, into their emergency 16 17 plans. Second, the NRC is seeking comments on 18 whether regulations should explicitly state the number 19 of emergency responders for both the on-shift staff 20 21 and the augmenting emergency response organization. 22 And third, as proposed, the effective date of this rule would be 30 days after the publication of 23 24 the final rule in the Federal Register. The NRC's 25 how the effective date concern over may impact **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1 docketed applications by combined license and early 2 site permit applicants and is seeking comments on how 3 these applicants would implement the rule as proposed. 4 Fourth, as proposed, the rule would be 5 implemented on a schedule that varies from 30 days to three years. The NRC is concerned that this schedule 6 may not be appropriate for some offsite response 7 organizations and nuclear power plant licensees and is 8 seeking comments on these time periods. 9 10 Three of the seven questions regard non-11 power reactor licensees, also referred to as research 12 and test reactors, RTRs. The NRC is seeking comments on whether three of the topics of the proposed rule be 13 14expanded to include non-power reactors. Specifically, should non-power reactor licensees be required to (1) 15 detailed analysis on-shift 16 conduct а of staff functions to ensure timely performance of emergency 17 plan functions without having 18 competing 19 responsibilities; (2) should non-power reactor 20 licensees have the capability to declare an emergency 21 in 15 minutes; and (3) and finally, should non-power 22 reactor licensees include hostile action emergency action levels in their emergency plans. Next slide 23 24 please. 25 The NRC has provided three draft guidance **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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documents to accompany the proposed rule.

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The first draft guidance document is Draft Regulatory Guide, DG-1237. This draft document provides guidance on the implementation of 10 CFR 50.54(q) with respect to making changes to emergency response plans.

7 Second, the next draft guidance document 8 is a draft NUREG, which details the process for the 9 development of evacuation time estimates for different 10 population groups given certain parameters such as 11 weather conditions, day of the week, time of the day and season of the year. The guidance document also 12 discusses the use of staged evacuations, which can be 13 14more effective.

And, finally, we've developed an interim staff guidance document. This document encompasses all of the remaining topics. Since the proposed rule impacted several existing guidance documents, this draft guidance document was developed to encompass all of the changes rather than propose separate changes to each of the other guidance documents.

22 This interim staff quidance would replace those previous 23 supplement or quidance 24 documents in each of their respective areas. As indicated in each of its sections with the intent of 25

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incorporating this guidance in future revisions to NUREG-0654/FEMA-REP-1 which is our current governing guidance document. Next slide please.

The end of the comment period is rapidly approaching, October 19, 2009. It is anticipated that the rule and guidance documents will be provided to the Commission as Chris had mentioned in the spring of 2010. My current due date is in May of 2010. So that does occur in the spring.

10 The purpose of this public meeting is not 11 to solicit comments on the proposed rule. Rather it 12 is to answer your questions that they aid in the development of your comments. As stated previously, 13 14to ensure your comments are considered, please submit them to the NRC in one of those various ways that Lisa 15 had mentioned before. You can do that by posting them 16 on the Internet at www.Regulations.gov, by sending a 17 letter to the NRC at that address listed here on this 18 19 slide. You can also submit it via email to 20 Rulemaking.Comments@nrc.gov or you can fax your 21 comments to the Secretary, U.S. Nuclear Regulatory Commission at 301-492-3446. 22

I'm going to return this meeting back over to Lisa so we can begin our public portion of the meeting and start with our first set of topics and

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1	entertain your questions. Lisa.
2	MODERATOR GIBNEY: Thank you, Bob.
3	(Microphone issue.)
4	You know it is share and share alike with
5	this staff. Thank you, Bob.
6	And actually I need to take a second
7	anyway and start over. We were evidently not very
8	clear in our request in the beginning. We actually
9	had four sign-up sheets at the table for signing up
10	for each particular topic. I'm getting the vibe that
11	it didn't get communicated that way.
12	So I'm going to ask. Does everybody have
13	a copy of the agenda because we have plenty of those?
14	And then I'm just going to hold up really quick for
15	those who would like to ask a question about Topic 1.
16	Anyone for Topic 1?
17	(No verbal response.)
18	Okay. I just want to verify that one and
19	then Topic 2?
20	(No verbal response.)
21	Okay. That wasn't nearly as bad as I
22	imagined. During the break, we can take care of Topic
23	3 and Topic 4. But for right now, we'll go ahead and
24	start with Topic 1. I'm trying to integrate not only
25	the topics, but the people on the phone and the people
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1	in the room.
2	As of right now, I don't believe I have
3	any questions on this topic from anyone on the phone.
4	So, Mr. Keith Kemper, could we start with you please?
5	Now I have to find you a microphone.
6	MR. KAHLER: Tell you what. Since we're
7	having some technical difficulty and we are
8	entertaining a host of people on the Internet, we're
9	going to take a few minutes here while we're trying to
10	correct the microphone problem, so we don't exclude
11	those who are remotely participating in the meeting.
12	So we'll be right back. Off the record.
13	(Whereupon, a short recess was taken.)
14	MODERATOR GIBNEY: On the record. Okay.
15	We seem to be working better now. Charles, can you
16	hear me okay now? Okay.
17	One more time. Welcome, everybody. Keith
18	Kemper, would you want to Let's try again. Let's
19	see if we can get it to work for you this time, and if
20	you could also tell us your name and who you are with.
21	MR. KEMPER: Sure.
22	MODERATOR GIBNEY: That would be great.
23	MR. KEMPER: Hi. My name is Keith Kemper.
24	I'm with Exelon Corporation. I'm also the Team Lead
25	for the NEI task force that's looking at the on-shift
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staffing multiple responsibilities.

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2 We've been working on reviewing the ISG 3 and the other guidance and we've even been working on 4 coming up with a way to implement the rule and as a 5 result of that we've generated comments and questions and we're really looking for some answers to some 6 7 questions to help us focus our comments. I really 8 appreciate the opportunity to be here today. The first series of kind of questions I have are related 9 the actual job task analysis/time motion study 10 to 11 that's mentioned in the rulemaking as a way to analyze 12 on-shift staffing.

And the first question I have is the rule 13 14talks about, the ISG talks about, using the analysis for design basis accidents as well as design basis 15 threats and we just want to make sure that the 16 limited to those 17 analysis is to be events as designated in the final safety analysis report. 18 We heard some answers before I think that related to 19 Chapter 15 of the final FSAR. Is that the intent of 20 21 the design basis accidents as defined?

PANELIST LAUGHLIN: Yes, the licensees would analyze for the design basis accidents, specified in the final safety analysis report. That's correct.

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MR. KEMPER: Okay. Good. And really the 1 2 main reason I'm asking that is because there are some events that aren't specifically defined in there. 3 For 4 example, like fire safe shutdown events aren't 5 necessarily a standard Chapter 15 kind of design basis accident. Would, for example, those types of events 6 also be included in that analysis as well? 7 PANELIST LAUGHLIN: We're just holding it 8 to the design basis accidents specified in the final 9 safety analysis report. 10 11 MR. KEMPER: Okay. PANELIST LAUGHLIN: In addition to the 12 design basis threat as we mentioned. 13 14 MR. KEMPER: Okay, and we'll make sure we tailor our comment to make sure that we get clear 15 quidance for that in the rule. 16 The second question I have is related to 17 the design basis threat and the issue with the design 18 basis threat is unlike design basis accidents which in 19 the FSAR are clearly delineated down to the sequence 20 21 of events how they are to be responded to. I'm sorry. 22 Design basis accident. I may have misspoken there. The design basis threat on the other hand is more 23 24 about a defined initiating event as opposed to a clear 25 set of how the plant is going to respond and the **NEAL R. GROSS**

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1	expected response to that.
2	So given the lack of defined consequences
3	and sequences, what elements should be evaluated as
4	part of the staffing analysis?
5	PANELIST LAUGHLIN: Licensees can assume
6	that the design basis threat is defeated with no
7	consequences to plant safety.
8	MR. KEMPER: Okay.
9	PANELIST LAUGHLIN: But licensees must be
10	able to implement the emergency plan and the security
11	plan simultaneously in an integrated manner such that
12	there's sufficient on-shift staff to implement both.
13	MR. KEMPER: Got it. Okay. That's very
14	clear. Thank you.
15	There's also a third question in that area
16	which is there are tasks that are defined currently in
17	NUREG-0654 that aren't specifically required to be
18	responded to in a design basis accident or a design
19	basis threat, specifically, first aid, mechanical
20	repair, those kinds of things.
21	What is the expectation for how to
22	evaluate those tasks since they're not specifically
23	called out in response to those types of events?
24	PANELIST LAUGHLIN: Okay. By the way, my
25	name is Jeff Laughlin. I've been answering the
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1	questions on multiple responsibilities.
2	MR. KEMPER: Thanks.
3	PANELIST LAUGHLIN: Licensees must still
4	have sufficient staff to perform the Table B-1
5	functions. Even if there's no performance requirement
6	for a particular function during a site-specific
7	design basis accident, this should not be construed as
8	a basis for reducing or eliminating staff to fulfill
9	those functions.
10	MR. KEMPER: Okay.
11	PANELIST LAUGHLIN: So licensees would be
12	expected to fill those functions until augmenting
13	responders arrive.
14	MR. KEMPER: Understood. And then would
15	those functions be considered available to be
16	collateral duties or do they need to be independent
17	duties?
18	PANELIST LAUGHLIN: The personnel filling
19	those functions or lack thereof if they're not needed
20	at that particular time. They would be available as
21	long as there are no competing functions
22	simultaneously.
23	MR. KEMPER: Okay. Understood.
24	I'm going to switch now to another area
25	that is specifically in the rule about what's done
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1 with the analysis when it's completed. And the issue 2 here is really around once this time motion study or 3 job task analysis is completed will that be subject to 4 inspection by the NRC or will that be submitted to the 5 NRC for prior approval? And then sort of a second part of that question would be then as conditions 6 7 change for whatever reason what would be the for re-performing, 8 expectation resubmitting, reinspecting that initial document? 9

10 PANELIST LAUGHLIN: Again, this is Jeff 11 Laughlin. The staffing analyses should be documented 12 and available for NRC inspection. They are not required to be submitted for formal review. If the 13 14 analyses resulted in an emergency plan change, then they can be used as a basis for that change under 15 50.54(q). 16

No staffing analysis update is necessary, 17 unless there is some technological innovation or other 18 affect the on-shift staff 19 circumstance that may commitment. And if an update is performed, it should 20 21 be documented. But the staffing analyses would not be 22 part of the E-plan and are not subject to any formal change process. 23

MR. KEMPER:

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have one last question unrelated to

Okay. Understood.

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1 those earlier ones. It's related to the question there's proposed an alternate 2 section. In there, 3 Table B-1 as a different means for the rulemaking as 4 opposed to describing the job task analysis. I'm 5 curious as to why though in that question the table includes columns for 60- and 90-minute responders. 6 7 When the rule was clearly focused on on-shift staffing, it's just surprising that it would include 8 the additional augmentation responsibilities. 9 I'm wondering why that's there. 10

11 PANELIST TAILLEART: This is Don Taillert, 12 NRC. The table proposed was as one of the supplemental questions essentially as an alternative 13 14to Table B-1 which includes both the on-shift and augmented staffing response columns or personnel. 15 In looking at both what you would need on-shift and also 16 what you would need for augmented staff, it addressed 17 both of those areas. 18

You're correct. The proposed rule really just focuses on the on-shift staffing. But we are looking for feedback on perhaps coming up with an alternative approach to what's currently in NUREG-0654 for staffing that's shown in Table B-1.

24 MR. KEMPER: So the question is expanding 25 the issue to the whole ERO, not to just Table B-1, on-

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1 shift staffing. PANELIST TAILLEART: 2 Right. 3 MR. KEMPER: Okay. 4 PANELIST TAILLEART: And the 60- and 90-5 reference in the table that's minute in the supplemental section was really put in in recognition 6 7 that a lot of sites have already eliminated the 30-8 minute responders either by supplementing their onshift staff or through other means and a lot of sites 9 10 also gone to 90-minute response for their have 11 augmented staff. So it really just was in recognition 12 that a lot of that was already been done at several of the sites. 13 14 MR. KEMPER: Okay. Thanks, Don. That concludes my questions. Thank you. 15 MODERATOR GIBNEY: Okay. He told me I 16 wasn't waiting long enough before I started to talk, 17 not like anyone's ever told me that before. 18 Questions for Topic 1 from the phone? 19 20 (No verbal response.) 21 Okay. In the interest of time, should we 22 move ahead to the next topic and then we can come back if we have other folks that join us later? 23 24 PANELIST MILLER: Yes. Unless someone has 25 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	MODERATOR GIBNEY: Other Topic 1
2	questions?
3	PANELIST MILLER: another question,
4	what we're going to do even though we advertised we're
5	going to cover these topics at certain times if we
6	have somebody come in and wants to add an additional
7	question here we'll allow that just in the interest of
8	moving the meeting along.
9	MODERATOR GIBNEY: Right. And we should
10	have some time at the end since we're jumping so far
11	ahead.
12	PANELIST MILLER: Okay.
13	MODERATOR GIBNEY: For those of you that
14	are listening remotely on the phone, please feel free
15	to let Ned know if you have a question and we'll make
16	sure that you get in the line for the topics.
17	Topic 2. Mr. Kemper, how about I let you
18	rest your voice for a minute? Bill Renz. And again,
19	Bill And one other thing I should mention. We
20	didn't need it because we only had the one question,
21	but if we do end up having a lot of questions we do
22	have the little timing light available again if it
23	looks like we have a lot of questions which we haven't
24	so far.
25	The other thing I would ask is if anyone's
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in the room here if we could please folks to refrain from using the Internet. It can seriously draw down our bandwidth. If you could cooperate with us on that, we'd sure appreciate it. Thanks.

Bill.

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Again, my name is Bill Renz. 6 MR. RENZ: 7 I'm with Entergy and I have the lead for the licensee 8 coordination with offsite response organizations during a hostile action event, and my question is 9 10 primarily centered around or questions are primarily 11 centered around getting a better understanding of 12 NRC's expectations of licensees and then working with the offsite authorities. As an introduction to 13 14discuss this, I paraphrase some of the language.

"The NRC believes that hostile action 15 events pose a unique challenge at nuclear power plants 16 due to the increased demand on local law enforcement 17 that are expected to implement portions of an offsite 18 19 response organizations emergency plans as well as responding to provide assistance at the plant. 20 The 21 NRC believes that the current regulations do not 22 explicitly require licensees to coordinate with OROs to ensure that personnel are available to carry out 23 24 preplanned actions such as traffic control and route 25 by local law enforcement during a hostile alert

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action, actually during a successful hostile action, event at the plant." I'm trying to better quantify the use of the word "ensure." It almost implies oversight responsibilities for the licensee over the offsite response organization.

The first question is in order to understand the NRC's expectations regarding offsite response organizations licensees need a clarification on whether they must plan for a hostile action event that results in a radiological release.

11 PANELIST SULLIVAN: Hi. Randy Sullivan. handle prepared 12 Yes, licensees must be to а radiological release during a hostile action event 13 14 just as you're ready to handle radiological accidents. Emergency plans by their nature are intended to 15 protect public health and safety in the event of any 16 emergency at the nuclear plant. 17

I wouldn't say it's an oversight function.
I would say it's akin to your current planning
function, just that the plans are adequate to deal
with onsite needs during a hostile action event.

22 MR. RENZ: Okay. Thanks, Randy. Well, with that, Ι 23 then made the 24 observation that a State certifies the adequacy of 25 offsite resources in their letter of annual

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certification to the Federal Emergency Management Agency. Can you tell me what NRC's basis for perform requiring the licensees to also this verification when additional demands are placed on offsite response organizations resources and since is also covered in that letter annual that of certification?

8 PANELIST SULLIVAN: Randy Sullivan again. 9 The intent is to have licensees ensure on an annual 10 basis that all MOUs and LOAs are updated and current, 11 such that the expected ORO resources responding onsite would be available. The State's annual certification 12 letter to FEMA would be appropriate for verifying 13 14 adequate ORO resources are available for offsite A comment should be submitted if the 15 response. 16 stakeholder feels that this needs to be clarified in 17 quidance.

MR. RENZ: Okay. Appreciate that.

of 19 The Department Homeland Security Comprehensive Review Program conducted reviews at each 20 21 of the sites, the nuclear plant sites, and the Hostile 22 Action Pilot Program drills have led the NRC to 23 believe that there is inconsistent implementation 24 concerning coordination with offsite response 25 organizations to ensure adequate resources are

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available to respond to a hostile action event.

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2 Can the NRC provide examples of inadequate 3 resources which are within the licensee's control to 4 correct?

5 PANELIST SULLIVAN: The proposed rule lists several types of offsite resources including 6 7 firefighting, medical local law enforcement, 8 assistance which licensees would be responsible for securing for onsite response. The necessary resources 9 include both equipment and personnel. 10

11 If any gaps exist in the capability of 12 OROs to respond, offsite response organization, ORO, we use that fairly often. If any gaps exist in the 13 14 capability of OROS to respond onsite for hostile action or other types of events, licensees would be 15 address by 16 expected to these gaps identifying additional onsite or offsite resources or having other 17 compensatory measures in place, I mean, such as mutual 18 aid among the county organizations or neighboring 19 counties. 20

MR. RENZ: Okay. Thanks.

During implementation of a hostile action drill program, licensees have coordinated with OROs in a number of areas. For example, licensees have approved staging areas for ORO response resources,

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provided licensee representatives into the incident command post, ensured radio frequencies are compatible. This is the type of coordination that you're suggesting?

5 PANELIST SULLIVAN: Randy Sullivan again. Not specifically. NRC expectations are that licensees 6 7 have coordinated with OROs to ensure that offsite 8 plans can be implemented as written and that OROs 9 fulfill any agreements via MOUs, LOAs for the 10 provision of offsite resources for an emergency. 11 Staging areas and radio frequencies are a piece of 12 that. But it certainly is not the whole puzzle.

MR. RENZ: Okay. I was looking to see ifthat was representative.

At one of the earlier public 15 Okay. 16 meetings on the proposed rule, the NRC stated that licensees would not be expected to close the gaps in 17 ORO resource planning identified by the Comprehensive 18 But in its proposed rule, the NRC 19 Review Program. sited gaps in ORO resource planning identified during 20 21 the DHS Comprehensive Review Program as support for 22 the amendments to that section of the regulation.

Can the NRC clarify whether the proposed gaps will be implemented in the regulation to require licensees to address these gaps? I think you just

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1 answered that question. But if you could, repeat. 2 PANELIST SULLIVAN: Yes, I think I did. Any gaps identified in ORO response would be the 3 4 responsibility of the licensee to address. Any gaps 5 in the capability to implement response action offsite would be the responsibility of OROs. 6 7 The purpose of the requirement the in 8 proposed rule is to ensure that the competition for ORO resources is fully considered and incorporated 9 the planning process through a coordinated 10 into 11 effort. 12 Appreciate that. MR. RENZ: A couple more; both on the ISG. 13 14 With respect to the ISG Section 4(e), licensee coordination of offsite 15 response organizations, the proposed guidance states "If this 16 issue does not apply to the licensee's site, the 17 licensee should document and supporting 18 amend a analysis to the Site Emergency Plan." 19 Could you provide an example of what the 20 21 provisions dealing with offsite response organizations 22 would not apply to the licensee's site? Also if a licensee appended this analysis to their emergency 23 24 plan and if for some reason the issue was deemed to 25 apply in the future or would an update or removal of **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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this appended analysis to the plan be considered a reduction in effectiveness?

PANELIST SULLIVAN: One example where the provisions dealing with OROs would not apply, maybe the best example, is where a licensee has an onsite fire department. ORO support for initial fire rescue response would not apply.

The noted analysis is intended to document 8 have verified 9 that the licensees adequate ORO 10 resources for the hostile action contingency. The 11 intent of this statement is that in cases where 12 licensees have already verified that sufficient ORO resources are available then no further action would 13 14 be necessary.

15 It was not intended that this analysis be 16 made part of the licensee's emergency plan. However, 17 submit a comment if you feel that that's not clear.

Certainly. 18 MR. RENZ: And my last 19 question. With respect to the ISG Section 5(e), coordination of 20 licensee offsite response 21 organizations, the proposed guidance states that 22 "licensees should verify the arrangements for adequate ORO resources remain in effect as part of the annual 23 24 update of the emergency plan and agreements in 25 accordance with evaluation criteria in (p)(4) of

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1 NUREG-0654 Section (2) (p). That criterion requirement 2 is for each organization to update its own plan, not 3 for one organization to verify the response 4 capabilities of another organization. 5 But I was going to ask you to clarify the reliance on (p)(4) in support of this because it seems 6 7 to be not misconstrued, but construed a little askew, 8 a little off. 9 PANELIST SULLIVAN: The intent of this 10 statement is to ensure that licensees verify on an 11 annual basis as part of the E Plan review process that 12 intended ORO resources remain available for the response to a hostile action or any event at the 13 14 nuclear power plant. But if that's not clear, we'd be happy to see a comment on it to clarify. 15 I appreciate your time. 16 MR. RENZ: Thank 17 you so much. 18 MODERATOR GIBNEY: Mr. Kemper. And again We still have nobody from the 19 I'm just checking. remote participation that has any questions on this 20 21 topic, right? Okay. Great. 22 Mr. Kemper, thank you. 23 MR. KEMPER: Okay. Thanks. Yes, this is 24 Keith Kemper again from Exelon. I'm also on the 25 Challenging Drill and Exercise Task Force that NEI has **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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working. I have a couple of questions relative to that area of the rulemaking.

3 The first one deals with the hostile 4 action based drills and exercises. During the 5 preliminary stages of the rulemaking some of the correspondence that came out both the OROs and the 6 7 industry got the impression that the frequency for 8 hostile action drills was going to be an eight year frequency as opposed to the normal REP frequency which 9 10 was six years.

11 Contrary to this understanding when we read the draft ISG it looks like there is a dual 12 requirement, both a six and not to exceed eight year 13 14 requirement for the hostile action drills. Just really looking for clarity since it's a little bit 15 draft ISG exactly how 16 confusing in the that's constructed and what exactly the expectation is. 17 Is it every six or every six not to exceed eight? 18

We put together that --19 PANELIST KAHLER: Oh, this is Bob Kahler. I'm a Branch Chief here. 20 We 21 put together that requirement such that we based it 22 upon there's a six year planning cycle. So we would 23 like to see a hostile action based drill performed 24 during that six year planning cycle. So as vou 25 schedule your six year planning cycle and place the

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hostile action based drill within it, we wanted to clarify that we did not want the frequency of the hostile action based drill to exceed eight years as you're spanning two different planning cycles.

So this would curb that you would perform 5 a hostile action based drill in the first year of your 6 7 six year planning cycle and not perform it again until 8 the last year of your second planning cycle 9 potentially having about 11 years between hostile 10 action exercises. We wanted to limit that span to 11 eight years. And if you have any comments on that, we'll be happy to see that on www.Regulations.gov. 12

And I think we will, Bob, MR. KEMPER: 13 14because the concern would be especially in the States where there are multiple plants. The burden on the 15 OROs and the removal of the flexibility within that 16 State to move the drills around to maximize is going 17 to be even more, you know, further complicated by 18 putting two restrictions on there, both an eight and a 19 six. So we will definitely comment on that. 20

The second question I have and this is really related to in reviewing some of the questions from some of the earlier public meetings. There was a specific question about how will the NRC plan to rejoin the scenario review process affect the time

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line for scenario development and as a result of some of the answers to that question, we have some other follow-up questions. Sorry about piling on here with the questions.

5 Basically, the NRC response was that initially the NRC would defer FEMA's 6 to the 7 and then established scenario review process FEMA 8 responded that the way that the Homeland Security Exercise and Evaluation Program is structured the 9 10 Exercise Planning Team will continually review the 11 scenario as it is being developed. FEMA expects that 12 there will be FEMA and NRC representation on the Exercise Planning Teams taking part in the continual 13 14review process.

So that just -- We weren't quite sure what 15 that meant and specifically what the question is can 16 the licensee expect participation during the site's 17 planning for drills and, if so, what will be the 18 participation 19 of that and will that nature participation be from Headquarters or from the region 20 21 just from a consistency perspective?

I realize I said a lot there. So if you need me to clarify that at all, I certainly can. It's really about the NRC's role in reviewing and/or participating in the development of drills.

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PANELIST KAHLER: Again, this is Bob 1 2 Kahler. Our intent to this point is outlined in 3 4 the guidance. It is for us only to be a participant whenever the scenario is finalized and receiving a 5 copy of that scenario for our review and approval. We 6 also, of course, reserve the right to be part of that 7 8 process that comes up to that point, but we're not 9 proposing that in the rule or in the guidance 10 documents at this time. 11 MR. KEMPER: Okay. Good. 12 PANELIST KAHLER: The HSEEP Program does include that all groups be part of that, but again 13 14that's a guidance document as well. So we are looking at that and seeing how we can or how much we want to 15 become involved in that process. 16 MR. KEMPER: Okay. Understood. 17 The next question I have is -- Well, I'm 18 There was the follow-up question in there 19 sorry. about is that going to be a Headquarters' review or is 20 21 that going to be regional review? Just from a 22 consistency perspective is really the reason to ask that question. 23 PANELIST KAHLER: Okay. Right now, we see 24 25 it as a regional review. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	MR. KEMPER: Okay. Thank you. That's the
2	extent of my questions.
3	PANELIST MILLER: Let me just say that if
4	you have particular opinions on which way that should
5	go.
6	MR. KEMPER: Yes, we will have comment on
7	that.
8	PANELIST MILLER: I would say please
9	Thanks.
10	MR. KEMPER: Thanks, Chris.
11	MODERATOR GIBNEY: Thanks, Mr. Kemper.
12	How are we doing for our remote folks? Anybody in the
13	audience or in remote mode looking to
14	(No verbal response.)
15	Okay. Yes. Okay. Sorry. You're not
16	seeing me. You're probably wondering what all the
17	stops and stutters are for, but we're still having a
18	couple of microphone issues. We're trying to get that
19	working.
20	How about a break? Should we take a short
21	break? We're very far ahead on our time. That will
22	give us a chance to regroup and hopefully work on some
23	of these technical issues with our microphones. So
24	let's take a 15 minute break. Can somebody tell me
25	what time it is and we'll 1:20 p.m. Can somebody
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1	do the math for me? So we'll be back at
2	(Laughter.)
3	Okay. It's a two-part question. 1:35
4	p.m. here Eastern Time we'll join back on. Thank you.
5	Off the record.
6	(Whereupon, a short recess was taken.)
7	MODERATOR GIBNEY: On the record. Welcome
8	back. It does work if I stop and I'm patient.
9	Welcome back. Thanks everybody for coming back and
10	joining us after the break. We have a couple of
11	things that we're going to take care of right away.
12	I've got a couple of questions from some of our State
13	folks that we're going to get to in just a second on
14	Topic 2.
15	But before I do that, Randy, you said
16	you'd like to make a follow-up on something.
17	PANELIST SULLIVAN: Yes. Randy Sullivan.
18	With regards to the staff's intent with
19	the on-shift task analysis or detailed review of
20	duties, I thought we may have left you with the
21	impression, with an incorrect impression. Some sites
22	have no 30 minute responders. Those 30 minute
23	responders' duties have been encompassed by the on-
24	shift organization. When you do your analysis and you
25	have no 30 minutes responders, you must address the
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1	emergency plan functions that would be expected of the
2	emergency responders. Also, whether the DBA calls for
3	it or not.
4	So the 30 minute responders include things
5	like maintenance people. So you have to have those
6	functions addressed whether you would use that in
7	response to a DBA you're analyzing or not. All right.
8	I just wanted to There's that variability in the
9	industry. I didn't want to leave that unclear. Thank
10	you.
11	MODERATOR GIBNEY: Okay. Thank you,
12	Randy.
13	Okay. I'm glad we have some remote
14	participation. Steve Payne, are you with us?
15	MR. PAYNE: I'm with you, Lisa.
16	MODERATOR GIBNEY: Excellent. Can you
17	tell your name please? I want to make sure we're
18	adjusting the volume.
19	MR. PAYNE: Understand. Steve Payne with
20	North Carolina Emergency Management.
21	MODERATOR GIBNEY: Okay. Hang on one
22	second. We're going to punch you up just a little
23	bit.
24	Can you punch him up just a little bit? I
25	mean, on the phone, of course. Not like really.
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1 MR. PAYNE: Thank you. 2 MODERATOR GIBNEY: There. That's much 3 better. 4 Okay, Steve. If you could tell us one 5 more time for the transcriber your name, your organization and then we'll have your question. 6 7 Thanks. 8 MR. PAYNE: Steve Payne with North 9 Carolina Emergency Management. I've got a couple of 10 These will probably go to Randy and this questions. 11 is on the area of the offsite response coordination by the licensee. 12 indicated if 13 You that there were 14inadequate resources that both personnel and equipment would have to be addressed by the licensee. 15 What I want to know is what is it that the licensee uses to 16 determine the adequacy of these responses and then if 17 he's going to address them, how does he address these. 18 And what I'm thinking from your discussion here, 19 you're wanting a full staff from fire, EMS and law 20 21 enforcement ready to respond to only the nuclear power 22 plant because there might be a hostile action or if 23 there is something there a hostile action that the 24 hostile action has to take priority over everything 25 else that is going on.

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1	So I would go back to the original Who
2	determines this adequacy and, if so, how does the
3	licensee correct the inadequacy?
4	MODERATOR GIBNEY: Okay. Guys, they're
5	talking about who is going to answer that.
6	MR. PAYNE: Okay. I have one more
7	question beyond that.
8	MODERATOR GIBNEY: Okay. Hang on. Let's
9	let Steve LaVie I think has a thought for you.
10	PANELIST LaVIE: I'm going to try to give
11	a response to this because I really think this issue
12	has gotten really confused. And I'm going to go back
13	in the history a little bit.
14	When applicants put in for a license, one
15	of the things they have to address I'm going to use
16	the example of the fire departments. The licensee has
17	to identify where he's going to get fire resources and
18	the licensee work with the mutual aid agreements or
19	wherever to get this resource and the local fire
20	departments give them a memorandum of understanding.
21	The applicant shows it to us and we say, "Okay. You've
22	met that requirement."
23	They've been required to do this for
24	years. We're not changing that. Okay. As a result
25	of what we learned out of the comprehensive reviews
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when we had these comprehensive reviews meetings and I participated on many of them and when the people from FEMA would say, "Okay. Fire Chief, what are your duties during an emergency?" And the Fire Chief would rattle off a whole list of things he was doing. He was going to be doing traffic control. He was going to be doing route alerting.

8 So then FEMA turns around to the NRC and 9 says, "Well, where are you going to get your 10 firefighters from? This guy is busy." That was the 11 gap. Now it's not limited just to firefighters.

What the regulation is asking the licensee to do as it has always asked the licensee to do is to make sure that he has adequate resources identified in his plan. If his plan says I have an onsite fire department and I'm not relying on all OROs for that support, then the issue is resolved for that licensee.

18 What the rule is asking the Okay. 19 licensee to do is that the next time they get in involved with these offsite fire departments or the 20 21 local hospitals or the local law enforcement is when 22 they issue you a memorandum of understanding. We want the licensees to go a step further and say, "Are you 23 24 sure you're going to be able to respond as you're 25 telling me?"

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Now if the local fire department comes 1 2 We're not asking the licensee back _ _ to do а qualitative evaluation whether it's 3 of or not 4 adequate. But if the local fire department comes back and says, "No, I can't respond to your site," that's a 5 The emergency plan on that site says, "I 6 problem. 7 have fire support coming from this point." Well, the 8 licensee just got information that he can't comply with his plan. His plan said, "Fire Department X is 9 10 going to come to my site." He's just been told the 11 fire department can't come to the site.

12 Licensee is expected to take action to compensate for that weakness. Now the licensee can go 13 14out and make arrangements and talk with the fire chief and look at mutual aid agreements and all of this type 15 But what the licensee is doing is not 16 of stuff. evaluating what the local fire department is doing 17 otherwise. What the licensees are being asked to do 18 19 is determine how he, the licensee, is going to get 20 those resources.

21 The rule does not require licensees to go 22 hold the hands of the local in and emergency 23 whether management agency to see or not he has 24 adequate staff. The issue is solely with regard to 25 that offsite response coming to your site.

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I'm hoping I clarified it because we've 1 2 had an awful lot of comments. This is the licensee 3 taking over FEMA's role. No, it's not. We are 4 responsible for determining whether or not the 5 licensee can meet his commitments and that's what we're addressing. 6 7 Did I get anywhere close to answering your 8 questions or? 9 You kind of, but at the same MR. PAYNE: 10 time let me read from Federal Register that says that 11 it will, that "NRC will require licensees to confirm ORO resources will be available in the event of a 12 hostile action and that the requirement will be 13 14enforced during routine inspections." So it still has the sound of the licensee going out to review the OROs 15 MOUs and as a State agency my MOUs to ensure that I 16 can do what I've told FEMA that I can do. 17 18 PANELIST LaVIE: No. What the licensee has been tasked to do is to demonstrate to the NRC 19 20 that that resource, the resources the licensee is 21 expecting to come onto his site will be available 22 during a hostile action event or actually any event. 23 MODERATOR GIBNEY: Steve Payne, Bob Kahler 24 has a thought. I see him reaching for his microphone. 25 Bob. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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MR. PAYNE: Go ahead.

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2 PANELIST KAHLER: Steve, this is Bob 3 Kahler. As Steve LaVie has stated, our expectations 4 during that confirmation process would be that they 5 receive from the offsite response organizations through the MOU, Memorandum of Understanding, or the 6 7 the offsite Letter of Agreement that response 8 organization states that they are able to support the licensee's request for onsite support and what we're 9 10 that's always been in place. saying is Ιt is 11 something that's currently out there and all we're 12 saying is we are specifically telling the licensees that included in that we would want to see something 13 14that you have indeed considered the hostile action event in that support request and that's the only 15 thing we were saying. 16

of fact, 17 As а matter we have had discussions on this internally and stated that that is 18 really an enhancement to the current regulation. 19 Ιt 20 changing the regulation. Ιt is is not just 21 recognizing post 9/11 that this type of an initiating event at the site is what we need to include in our 22 23 planning process. And again, I hope that kind of clarifies it. 24

MODERATOR GIBNEY: Steve, are we getting

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1	close to an answer for you?
2	MR. PAYNE: It's getting close, but let's
3	not drag this thing out.
4	MODERATOR GIBNEY: Okay. I know you said
5	you had another thought. Let me just check with
6	Randy. Randy Easton, are you there?
7	MR. EASTON: Yes, I'm here.
8	MODERATOR GIBNEY: Is your question kind
9	of about this ORO thing, too?
10	MR. EASTON: No, this is more about the
11	challenging drills and exercises.
12	MODERATOR GIBNEY: Okay. Steve, can you
13	stay with us for just a second if I give Randy a turn?
14	MR. PAYNE: Well, considering my other
15	question was along Randy's let him go first.
16	MODERATOR GIBNEY: Okay. Randy, you're
17	on.
18	MR. EASTON: Okay. Thank you. I'm Randy
19	Easton from the Pennsylvania Bureau of Radiation
20	Protection in Harrisburg, and considering challenging
21	drills and exercise, first a point and then a
22	question. I'd like to express our concern also about
23	at a State with five reactor sites that more guidance
24	be given to scheduling these challenging drills and
25	exercises. There seems to be a six year window and
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then an eight year window and then numerous items within those windows. And a State with five sites we see that that could make for a challenging scheduling conference.

The second one concerns we're looking at from the Federal Register notice having scenarios that have no radiological release, unplanned minimal radiological release. That there are other types of protective actions and initial classification and rapid escalation to a site area or general emergency.

11 Our concern is that for these exercises, these biennial exercises, we have quite a few required 12 elements that are evaluated and it looks like we can 13 14end up with scenarios that would not cause a number of these evaluation areas to be demonstrated which leads 15 us to either having out-of-sequence demonstrations or 16 the item not being demonstrated and my question is in 17 proposing these challenging scenarios is NRC going to 18 work and be cognizant of the fact with FEMA that we 19 need to have these evaluation areas demonstrated or 20 how this will be handled if a scenario ends at a point 21 prior to the demonstration of certain elements. 22 23

MODERATOR GIBNEY: Gentlemen?

24 PANELIST KAHLER: If I can, this is Bob 25 Kahler again. First of all, your comment about having

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1	a State with five reactor sites, I'm kind of familiar
2	with that from a past life. That is an excellent
3	comment and that is something that we would hope that
4	you provide to us as far as how that would impact you
5	and to provide that comment to us for our
6	consideration.
7	MODERATOR GIBNEY: AND how can he do that,
8	Bob?
9	PANELIST KAHLER: He can do that on
10	www.Regulations.gov. Thank you, Lisa.
11	MODERATOR GIBNEY: Yes.
12	PANELIST KAHLER: Or by sending us a
13	letter or emailing or faxing it to us, yes. But that
14	is a good comment that we would hope that you could
15	submit to us.
16	The other one that you have having the
17	multitude of different types of challenging drills and
18	exercises whether they be a no release, minimal
19	release or rapid escalation, so on and so forth and
20	the concern about not being able to demonstrate all of
21	the objectives that FEMA would require to have you do
22	over a planning cycle, again another excellent comment
23	because when we did put this together and put together
24	the varying types of drills and exercises that would
25	have these type of elements in it we believed that
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when we wrote that that there would be no impact upon that capability quite honestly.

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So if you believe that is; please again 3 4 put that comment out to us. Tell us more about how 5 that perception is made and we would, of course, these kind of comments and I believe when we had explained 6 7 it before, following October 19th we do have a joint 8 comment resolution team in place between FEMA and the NRC and those type of comments that span both the NRC 9 10 requirements and the FEMA quidance and their 11 evaluation criteria we're going to seek a common we're 12 resolution to those comments such that in lockstep going forward with the rule and the FEMA 13 14guidance documents. So that is something that I would propose that you place not only on www.Regulations.gov 15 underneath our docket but maybe propose that you do it 16 with the FEMA docket number for 17 also www.Regulations.gov. 18 19 MR. EASTON: Thank you, Bob. PANELIST KAHLER: You're welcome. 20 21 MODERATOR GIBNEY: Steve, did Randy's 22 question jive with yours or did you have another

follow-up or follow-on?

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24 MR. PAYNE: Yes. It does with mine and it 25 goes to the idea of six-year/eight-year cycles.

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1 Considering we currently have the requirement to do at 2 least one ingestion pathway zone. We're now required 3 it for a hostile action exercise doing your more 4 normal emergency planning zone or emergency plume zone 5 exercise. When you look at doing these biennially in six- or eight-year period, you've only got three or 6 7 four exercises that can be accomplished to do this and we get back to the arena of predictability. Well, 8 it's been so long since we did the last one of this 9 type. It's probably this one coming up or things of 10 11 that nature.

Has there been any thought given to having 12 a larger span cycle of time frame understanding that 13 14we need to, say, put things as you said -- the earlier one -- make it so that somebody couldn't take two six-15 year cycles and put your hostile action drill as the 16 first and last one going 12 years? I would agree 17 that's a little long but look at somewhat longer 18 cycles especially for those of us as Pennsylvania. 19 Ι now have five sites to deal with. So rotating this 20 21 through, I'm spending an awful lot of time doing 22 exercising.

PANELIST KAHLER: Steve, this is Bob
Kahler again. To answer your first question, yes, we
did give consideration to longer planning cycles and,

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1 yes, we did give consideration to longer frequencies 2 and in conjunction with coordination with FEMA again 3 we've put forward for your consideration in the 4 proposed rule and the guidance documents what we were thinking at the time we published it in the Federal 5 Register which was a six-year planning cycle with that 6 7 eight-year frequency and believing that that was 8 something we wanted to put out to receive comments on. Again, please if you want to comment on 9 that, I would urge you to do it both on the FEMA and 10 11 the NRC docket numbers for our consideration and to 12 tell us as to what your desires are and the impacts of what the current proposed frequencies would do and so 13 14on and so forth. And that argument is being 15 MR. PAYNE: Thank you. 16 prepared. PANELIST KAHLER: Good. 17 MODERATOR GIBNEY: 18 Great. Thank you, 19 Steve. 20 Okav. Let's check one more time for any other questions on the Topic 2, Segment 2. Anybody 21 22 remotely for Topic 2 or Topic 1? Mr. Kemper? 23 MR. KEMPER: Can I go back to Topic 1 24 based on Topic 2? 25 MODERATOR GIBNEY: Let's come back if we **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	have time at the end. I don't want to get too far off
2	my beaten path.
3	Okay. As we move to Topic 3, we're going
4	to do a couple of staff shifts. So we have the best
5	folks up at the table to help you. Do you know what?
6	Joe, why don't you take a second to introduce
7	yourself?
8	PANELIST JONES: This is Joe Jones with
9	Sandia National Labs. I support NRC in the emergency
10	preparedness activities.
11	MODERATOR GIBNEY: Okay. Great. Thanks,
12	Joe.
13	How about if we start with Atri Sen?
14	You're in the room, right? Come on up.
15	(Off the microphone comments.)
16	You're coming en masse. Okay. There's
17	only one microphone though. So you've got to share
18	it.
19	MR. GROSJEAN: We'll take turns.
20	MODERATOR GIBNEY: Okay.
21	MR. GROSJEAN: Good afternoon. My name is
22	Alain Grosjean with Entergy Nuclear. I do have with
23	me today Kevin Weinisch who is from KLD Associates and
24	Atri Sen from IEM. Again, both of these individuals
25	represent traffic engineering firms with again a lot
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of experience in preparing traffic evacuation travel estimates for nuclear and non-nuclear emergencies and I also again want to thank you and appreciate having Joe Jones from Sandia here at this presentation so that we can have some good dialogue on some of these questions.

7 I basically have five questions, two that 8 are on rulemaking language and three that are on the 9 Sandia, the draft NUREG documents, the Sandia 10 I'm basically provide document. going to the 11 question, give some thoughts. I'll kind of queue it 12 up and then I'll ask these gentlemen if need be to provide some technical support. 13

14 The first question deals with the 10 percent criterion on the ETE updates. The rulemaking 15 language currently calls for an update of ETEs at 16 every decennial census and then in between some type 17 of annual review of population data. You're proposing 18 a 10 percent change in that population will require an 19 update to the ETE. 20

So the question that I have, and just two thoughts, what is the basis again of this ten percent criteria for the ETE updates. Reading the rulemaking language and kind of what we can determine from what was written it's being based on curves that are in

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this highway capacity manual. The curves it appears are based on curves for roads that are primarily freeways which again I think in our opinion not many freeways run through our EPZs and also again some of the curves, there's I think a difference in the level of service interpretation for some of these curves. 6 7 So, with two points, I'll go back again, the basis 8 that you're proposing for this ten percent criterion.

9 PANELIST JONES: Okay. This is Joe Jones. 10 the basis for the ten percent increase Yes, is 11 because traffic results, the ten percent increase in traffic results in a decrease of level of service from 12 Level D which is congested mode to a Level E which is 13 14a heavily congested mode as indicated in the Highway Capacity Manual. But that is for two-lane roadways as 15 well as freeways in the Highway Capacity Manual. 16

have the exhibit numbers. 17 Т We can provide that in a formal response when you submit that 18 as a comment. But if you want to take a look at that, 19 you'll notice that it is for two-lane roadways as well 20 21 as freeways. A change in level of services is an 22 indicator that traffic slows down and evacuation times would then increase. 23

24 MR. GROSJEAN: Okay. The other issue has 25 to do with your assumption that roadways in EPZ are at

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or near capacity which is not necessarily the case in these EPZs.

3 PANELIST JONES: Joe Jones again. Yes. 4 The quidance is not intended to establish any 5 assumptions on roadway operating capacity. For example, in Section 2.5.3 of the guidance, it states 6 7 that the volume of vehicles should be representative 8 of the average daytime traffic within the EPZ and it to be for 9 allows for values reduced nighttime 10 So it is not intended to establish that scenarios. 11 roadways are at capacity.

MR. GROSJEAN: Okay.

PANELIST MILLER: If I could just provide 13 14one clarification. When you were talking about the curves and where the information came from and Mr. 15 Jones talked about when you submit comments and we 16 could provide you, our intent is not to provide a 17 formal response at that point. So you provide your 18 We take those into adjudication and we'll 19 comments. change or adjust the rulemaking or the guidance as 20 necessary. If you need a specific reference, you 21 22 would have to seek that in another manner besides the comments on www.Regulations.gov. 23

MR. GROSJEAN: Okay.

PANELIST MILLER: Okay. Thanks.

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1	MR. WEINISCH: This is Kevin Weinisch from
2	KLD. Just a quick comment on Joe's response. Sorry
3	for my voice. I'm a little sick today.
4	But I agree with what you said on Section
5	2.5.3 that we do need to assess what the actual demand
6	is and what we have found that a majority of U.S.
7	sites is that they're at Level Service A or B. So to
8	make the baseline assumption that they're at D and a
9	ten percent increase would take them into a congestion
10	environment. That kind of brings into question the
11	validity of the ten percent criterion.
12	PANELIST JONES: Okay. Now that better
13	explains Comment No. 1. The ten percent, again we're
14	not assuming Level of Service D at the start of an
15	evacuation. But during an evacuation you do get to
16	Level of Service D and a ten percent increase would
17	cause that to fall to a Level of Service E sooner than
18	it would had you not had an increase in traffic, but
19	it's not a baseline roadway condition.
20	MR. WEINISCH: Okay. Our stuff is more
21	comments than questions. We'll submit that relative
22	to those responses.
23	MODERATOR GIBNEY: Actually, Kevin, thanks
24	for that opening because I was just going to say that
25	what I'm hearing does sound a lot like a comment and
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even though we've talked a lot about this is just to ask questions if anybody is listening remotely especially or anybody here in the room just a reminder that those kinds of comments really do need to get into the written record. So if you could put those in writing that would be great.

Alain, did you have other?

MR. GROSJEAN: 8 Yes. Again, the second 9 question also deals with the rulemaking language. Ιt deals with the 180-day time frame of submittal of the 10 11 ETE updates. Again, the question is, "What is the basis for the 180-day time frame for ETE updates?" 12 We've investigated with the Census 13 Bureau. 14 Historically again, this data is released in stages. The Census Bureau currently plans on releasing some 15 preliminary data April 1, 2011. 16

If you actually go to the AskCensus2010 17 website they will state that other data products such 18 as demographic profiles, summary files of aggregated 19 data and reports will be released on a flow basis from 20 21 April 2001 through September 2013. So some of the 22 data that's needed for these ETE updates could be available as late as 2013. The basis and then when 23 24 does this 180-day clock begin given those census 25 assumptions?

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PANELIST JONES: This is Joe Jones again. 1 2 The basis of the 180-day time frame, this is based on past experience. 3 We recognize an ETE study takes 4 several months to complete. The NRC thought that six 5 months was а reasonable amount based on this But the NRC's intent is that once the 6 experience. 7 Census data is available to stakeholders, the data 8 that would be used for the ETE update that is when the 180-day clock would start. 9 MR. GROSJEAN: So basically whatever the 10 11 data that's needed for a particular site, whenever 12 that data has all come in, that the 180-day clock would start from that point on. 13 14 PANELIST JONES: That was the intent, yes. MR. GROSJEAN: Okay. 15 The third question deals with the draft 16 NUREG, the Sandia document, and it deals with the 17 staged evacuation. Obviously, there is great benefit 18 19 for staged evacuation. It's not necessarily -- Staged 20 evacuation doesn't necessarily work at every site, but 21 the question is why was not criteria provided to 22 estimate the impact of a staged evacuation. It's acknowledged that it's beneficial in the document, but 23 24 there really isn't any way of assessing when that is 25 beneficial for a particular site.

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PANELIST JONES: The ETE is not a document that's intended to evaluate the impact of the staged evacuation. If a staged evacuation does not affect the ETE, that information would still be available or would still be valuable to decision makers. And, as you said, a staged evacuation can be more beneficial to the public health and safety because it moves the public nearest the plant first.

9 MR. GROSJEAN: So this staged evacuation, 10 an analysis would be done to determine whether it's 11 beneficial for a site. It's not necessarily a mandate 12 for every site to have a staged evacuation in their 13 document.

14 PANELIST KAHLER: Do you want to take 15 that, Jeff, or do you want me?

PANELIST LAUGHLIN: I think as I recall the way it's worded in the NUREG. Yes, Jeff Laughlin. Sorry. That, yes, we do want scenarios run for staged evacuation. But if you make a strong case and establish the basis that that's not necessary based on the population around the site, then make that case, establish your basis.

23 MR. WEINISCH: So if we provide a 24 sensitivity study on a worst case scenario and we find 25 that staged evacuation has no effect on the ETE, is it

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1	okay at that point to say I don't need to consider 35
2	regions if my worst case scenario has no benefit?
3	PANELIST LAUGHLIN: Well, I won't make a
4	blanket statement on the way you can do your business
5	right now. I would say if it's counter to what's in
6	our present guidance, then submit a comment and let us
7	evaluate that.
8	MR. WEINISCH: Okay. Thank you.
9	MODERATOR GIBNEY: Alain, before you go
10	on, let me just check real quick to see for those
11	participating remotely any ETE type questions? Any
12	questions for Part 3?
13	(No verbal response.)
14	Okay. I just wanted to ask. Trying to
15	balance.
16	PANELIST KAHLER: If I can This is Bob
17	Kahler. If I can follow up on that comment or that
18	answer that Jeff provided. I think what I heard in
19	your question was if you do do an impact analysis of
20	staged evacuations which isn't something that the
21	Evacuation Time Estimate Guidance document is
22	requesting you to do. It's asking you to do an
23	analysis of what the evacuation time estimate would be
24	for staged evacuations. That's what it's asking you
25	to do.
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It didn't intend you to conduct an impact study on that. That is if you decide to do that and you identify that the impact of a staged evacuation obtains no additional benefit from an all evacuation for those areas that you were doing that staged evacuation time estimate for, then you would need to be able to justify that analysis, all right, that had impact and say, "I'm not going to perform a staged evacuation."

10 I think that's how we answered it before 11 in that the ETE is exactly that. It's an evacuation 12 time estimate. It doesn't look at the impacts of that staged evacuation versus non-staged evacuation. 13 But 14what the ETE did do though was that it did identify that staged evacuations can be beneficial because they 15 can provide a lower ETE and we recognize that. 16 So we want you to make that analysis and that's what the 17 NUREG is stating. 18

Exactly again what you're 19 MR. GROSJEAN: saying, it can be beneficial to make the analysis. 20 21 But it's not prescribed that every site have a staged 22 evacuation. Again a low population site may not work. PANELIST KAHLER: We want you to -- That's 23 24 correct. We want you to make the evacuation time 25 estimate for staged evacuation and then do with it

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1 what you can for the decision makers. I mean your 2 decision makers may still want to do staged а 3 evacuation even though there's no additional benefit. 4 Okay. But you need to provide them that information 5 up front as part of that analysis so they can make the decision. You're providing them the numbers. 6 They 7 provide the decision based on those numbers. 8 MR. GROSJEAN: Okay. The next question --MODERATOR GIBNEY: Just a second. 9 10 PANELIST SULLIVAN: I have to stand over 11 here and is this working? MODERATOR GIBNEY: Yes. 12 PANELIST SULLIVAN: Oh, on the 13 tape. 14 Randy Sullivan here. I wonder if we're using different language. By staged evacuation we mean, you 15 know, generally the two miles closest to the plant. 16 Does this mean something different in your world? 17 MR. GROSJEAN: No. 18 19 PANELIST SULLIVAN: Okay; then fine. 20 Thank you. 21 MR. GROSJEAN: My next question again with the Sandia document deals with shadow evacuation. 22 The document discusses shadow evacuation out to 15 miles 23 24 from the plant. It uses a percentage of about 20 25 It appears as though it might be based on percent. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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NUREG-6953, Volume II, the Focus Groups and Telephone Survey. But it's not necessarily perfectly clear that it comes from that document. So the basis for that 20 percent is one of the questions.

5 But the other question is why was a shadow evacuation percentage of 20 percent considered for all 6 7 areas not within the plume, a consistent 20 percent 8 versus some varying percentages. Experience has shown that you might have a higher percentage closer in and 9 10 further out. But it was a uniform 20 percent. So 11 again the basis of the 20 percent and why wasn't kind 12 of a graduated percentage scale not applied?

PANELIST JONES: This is Joe Jones.

The 20 percent is derived from Section 3.2.3.5 of NUREG-6953, Volume II. That's the section where you'll find the information that discusses that. And it's a derivation. It's not a precise number. That's difficult to come up with, but we have confidence in that number.

As far as applying a uniform 20 percent versus a graded approach, we selected a uniform approach just as general basis. If you have a suggestion for a graded approach, we'd appreciate a comment on that.

MR. GROSJEAN: Okay.

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1	MR. SEN: Good afternoon. This Atri Sen
2	with IEM. The question I have about the shadow
3	evacuation there are two preceding NUREG/CR documents
4	I think published by Sandia. If I remember it right,
5	I believe 6863 and 4831 where the conclusions have
6	been kind of twofold. One has been shadow evacuation
7	has no impact on evacuation either in traffic capacity
8	or on the congregation center capacity. And the
9	second document states it has some impact only on
10	sites of 600,000 or more.
11	So my question is does this new guidance
12	supersede the two before? And, if yes, why?
13	PANELIST JONES: This is Joe Jones. In
14	the earlier statements, this guidance will supersede.
15	However, it is NUREG-6864 that does conclude that
16	shadow evacuations do not typically affect a large
17	scale evacuation. But shadow evacuations can affect
18	evacuation speeds. So they don't always, but they can
19	and that's the reason that they should be considered
20	in this analysis.
21	MR. SEN: Regardless of the size of EPZ
22	population. I mean if it's above an EPZ of 4,000 do
23	you expect shadow versus 100,000?
24	PANELIST JONES: Again for this element we
25	provided a uniform approach rather than a graded
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1 approach and if you have a suggestion on a graded approach, we would appreciate a comment on that. 2 3 MR. SEN: Great. Thank you. 4 MR. GROSJEAN: Okay, and the last question 5 also on the Sandia document deals with a relatively concept with evacuation time estimates, 6 new the 7 traffic signal timing requirement. The question again is, "What is the basis 8 9 traffic signal timing for this field data 10 requirement?", and again a couple thoughts on that in 11 the sense that traffic signal timing varies greatly, 12 the time of day, the day of the week, you know, maybe New technology in traffic season of the year. 13 14 signalization is more active so that it basically changes itself due to the flow of the traffic. 15 Trying to get this data from the locals, 16 they may not have it. It may be outdated. Going to 17 the field to take this information again would be a 18 very, very laborious effort. Probably extend your six 19 month period to a year. So again, the basis for this 20 21 requirement given the challenges that this faces in 22 collecting this data. 23 PANELIST JONES: This is Joe Jones. Well, 24 the timing signal, the traffic signal timing, is an element of evacuations that affects the ETE because it 25 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1 controls traffic flow at intersections. You did a 2 good job of explaining the variations you see in traffic signalization. In review of evacuation time 3 4 estimates, we see an inconsistent approach regarding assumptions on signalization timing. So if you have a 5 comment that might propose another approach to this, 6 we'd be interested in that. 7 8 MR. GROSJEAN: Thank you. MODERATOR GIBNEY: Okay. Anybody remotely 9 for Topic 3? 10 11 (No verbal response.) Okay. Then let's move ahead into Part 4. 12 Anybody remotely for Part 4? 13 14 (No verbal response.) Okay. How about Chris Boone? 15 Now I see there's someone else from Southern Nuclear. Are you 16 17 guys a team, too? 18 MR. BOONE: We're a team. 19 MODERATOR GIBNEY: You're a team, but not that kind. Okay. Well, Chris Boone and then Ted. 20 21 You're going to have to help me. AMUNDSON? 22 MR. AMUNDSON: Amundson. 23 MODERATOR GIBNEY: Okay. Amundson. Okay. 24 We'll come to you next, sir. 25 Mr. Boone. NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1 MR. BOONE: Okay. Great. I'm Chris 2 Boone. I'm with Southern Nuclear. I'm on the 50.54(q) 3 Task Force with NEI and we've assembled 4 several questions we want to ask you today. And then there's a separate one of the other gentleman that 5 will ask questions, not Ted, but Jerry has a follow-on 6 7 question that is related to these same topics. But 8 because of its specificity, he's going to ask that particular question separately. 9

10 questions are in These the area of 11 50.54(q) in trying to clarify to inform our comments 12 back to you regarding that. And we've asked several of these in the other public meetings and appreciate 13 14the input and feedback that you've given us thus far and we're trying to finalize those comments with these 15 last few questions. 16

In the spirit of that, I'd like to start 17 with the Federal Register notice introduces a new term 18 that's called the Emergency Planning Function and, as 19 Federal 20 explained the Register notice, in the 21 Emergency Planning Functions would not replace or 22 supplement the regulations upon which they would be 23 based and compliance with those functions would not be 24 required which is the language from the Federal 25 Register notice.

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1	And if the legal standard for compliance
2	is the planning standards at 50.47(b) then those
3	should be the standards utilized for the 50.54(q)
4	review rather than these new introduced emergency
5	planning functions. So our question is what is the
6	purpose and basis for introducing this new term,
7	emergency planning function, and how is that
8	specifically to be utilized in lieu of the
9	requirements in the regulations?
10	PANELIST LaVIE: Okay. I guess this is
11	going to fall to me. I'm going to answer my name
12	is Steve LaVie. I was the author of this, although I
13	used an awful lot of documents that have been provided
14	by other people in drafting it including NEI.
15	Answering the second question first, your
16	bottom line, since the change process in 50.54(q) has
17	to address non-power reactors as well as power
18	reactors and since the planning standards in 50.47(b)
19	do not apply to non-power reactors, we cannot use the
20	term planning standard. The other issue involved here
21	is that referring to the planning standard alone would
22	not bring the requirements in Appendix E that support
23	the planning standards.
24	So when we developed this, we needed to do
25	something different. We could not rely on the
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1 planning standard. As specified in the statements of 2 consideration, the use of emergency planning function 3 is an evaluation approach that's used to determine 4 whether or not you may have a reduction in the 5 effectiveness of your plan. It's not really addressing compliance. You understand a regulatory 6 7 requirement and use the emergency planning space would 8 include the regulations, any license orders, but also includes the plan itself. 9 Okay. So it's not 10 regulatory based, although what's in your plan is 11 supposed to meet the regulation.

12 why did we particularly pick this Now 13 drafting the proposed rulemaking approach? In 14language we wanted to build on the work that the industry and the NRC staff did in developing the 15 reactor oversight process and that the process that 16 was documented primarily in Appendix B in Manual 17 Chapter 0609. In that document, the 18 emergency planning significance determination process which is 19 used to determine whether or not what the significance 20 21 of a violation is is based on planning standard 22 functions and the significance assigned is based on 23 whether the planning standard function is lost or 24 degraded and whether the planning standard function is 25 risk significant or not. We put more significance on

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things which are risk significant.

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Appendix B of Manual Chapter 0609 tabulates the planning standard functions much as the Draft Guide 1237 tabulates the emergency planning functions. Now as I pointed out earlier, we couldn't use the words planning standard because they don't apply to non-power reactors. That's why we went with another term, a new term.

Now Appendix B for Manual Chapter 0609
also tabulates for each set of the planning standard
functions the supporting requirements of Appendix E.
So those are pulled in and the informing criteria of
NUREG-0654 just as Draft Guide 1237 does for the
planning standard functions.

in adapting the protocol 15 for the Now 16 proposed 50.54(q), the staff determined that the plan changes that would cause a planning standard function 17 to be lost or degraded would constitute a reduction in 18 19 effectiveness. We saw a wonderful synergy with the work that had been done in 1999-2000 time frame to 20 21 work with that synergy and develop it. And therefore 22 we determined that, and it's explained in the rule 23 language and in the draft guide, is that we were 24 looking at the parallel, the increased significance of 25 violations of the planning standards that would result

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1	in a loss function or degraded function.
2	So what we're saying in essence is that if
3	we discovered this on an inspection, would we consider
4	it a loss of a function or a degraded function? In
5	either case, that would be a decrease in
6	effectiveness, a reduction in effectiveness. Excuse
7	me. I've got to get used to that terminology.
8	If it didn't result in a degraded function
9	or a loss of a function, then it's low significance
10	and most likely it wouldn't cause a decrease of
11	effectiveness. So that's why we adapted the use for
12	emergency planning function in the proposed rule
13	language and as implemented in Draft Guide 1237.
14	We are certainly open to additional
15	approaches. If you want to make a comment to that
16	effect, please do.
17	MR. BOONE: Steve, I think we can submit
18	our comment now that we have that additional
19	information. You guys would clarify that in the rule.
20	That's what we would expect.
21	PANELIST LaVIE: If not the rule If we
22	determine that it's necessary to change the rule, we
23	would do so. I dare tell you we have poured over the
24	language in the rule. My colleagues in the Office of
25	General Counsel have poured over it. Other groups
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1	have poured over it. We think it says what it needs
2	to say. However, we are open to
3	MR. BOONE: Well, it may just be the
4	guidance that needs to
5	PANELIST LaVIE: Yes, the guidance or the
6	statements of consideration perhaps.
7	MR. BOONE: Yes, that would be perfect.
8	PANELIST LaVIE: Right.
9	MR. BOONE: Okay. The additional question
10	resolves around the Draft Guide itself, 1237.
11	PANELIST LaVIE: Okay.
12	MR. BOONE: And that proposed rule
13	requires the use of the 50.90 process for changes that
14	would result in a reduction of effectiveness of
15	emergency plans. And then since we've had our public
16	meetings back in the May-June time frame, you guys
17	have actually issued a draft RIS to address this
18	particular issue and we understand we're not
19	discussing that there today.
20	But, as proposed, the Draft Guide 1237 and
21	the rule do not provide licensees with true ownership
22	of the margin of capability. Our question would be
23	why wasn't the proposed 50.54(q) process aligned with
24	the 50.36, 50.59 and 50.92 processes and what should
25	licensees expect to license amendment returning back
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from a submittal of a reduction in effectiveness to actually look like?

3 PANELIST LaVIE: Okay. This is a slightly 4 different twist than we were expecting. We changed 5 the rule language to require the submittal under 50.90 because it had been determined that under the Atomic 6 Energy Act that if you had identified this change as a 7 8 reduction in effectiveness you were asking us for an additional authority on your part and we were advised 9 that under the Atomic Energy Act the only mechanism in 10 11 place to do that was a license amendment.

12 So we expect that once the licensee has determined -- This is a binary situation. Ιf the 13 14 licensee determines there is а reduction in effectiveness, it must be submitted under 50.90. Τf 15 it is not a reduction in effectiveness, it does not 16 have to be submitted under 50.90. The licensee would 17 implement it as he does now. 18

Now once that license amendment comes in, it will be processed through the Office of Nuclear Reactor Regulations, the license amendment process. The project manager will issue work orders and so forth and a safety evaluation report would be issued on that amendment and the licensee would get back the safety evaluation report indicating our determination

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that even though you identified this as a reduction in effectiveness the staff determines that reasonable assurance is still present and that you are still in compliance with the various regulations or not if it's rejected. That's the basis of our determination at that point.

7 It's not whether or not we agree or 8 disagree with your determination it's a decrease in effectiveness. If you've told us it's a decrease in 9 10 effectiveness, we're preceding on the basis that we 11 have to look at it that way and what we're looking at 12 is do you comply with the regulations and do we still have reasonable assurance that your plan provides for 13 14implementation of protective measures, can and will be taken. 15

PANELIST MILLER: 16 Let me just -- Chris 17 Miller here. Let me just add a little bit of input to response in that in the -if you submit 18 that 19 something, a plan change, and say that it is а 20 decrease in effectiveness there may be some discussion 21 on whether it is or whether it's not and the staff may 22 make a determination it's not and we may recommend a different process. So there may be some ability. 23 But 24 I think your question was -- There may some ability to 25 change that amendment request.

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1	But I think your question was what happens
2	to it if it is processed as 50.90.
3	MR. BOONE: Right. We're just curious
4	what it looks like when it comes back.
5	PANELIST MILLER: And what Steve described
6	is the process. So I just wanted to make sure I
7	clarified the up front part.
8	PANELIST LaVIE: What you would see in the
9	final page of the safety evaluation report under
10	conclusion is a statement and of course it will be
11	poured over by our lawyers, but a statement in
12	essence, we still have reasonable assurance that your
13	plan as modified can continue and will implement
14	protective measures in the event of an emergency and
15	that you comply with all the regulations.
16	MR. BOONE: Right. The other piece of
17	that question that hasn't really been addressed is why
18	that particular process is more closely aligned with
19	the 50.90 process. Specifically who is going to own
20	this margin between the base regulatory requirements
21	with the planning functions as you described them or
22	the emergency planning functions and the current level
23	of preparedness as stated in the existing emergency
24	plans and in the revision and how that's controlled in
25	50.90 space?

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Thank you for asking PANELIST LaVIE: 1 2 that. What we want to emphasize is that the rule language does not talk about that margin. 3 4 MR. BOONE: We recognize that. 5 PANELIST LaVIE: What the rule language requires a licensee to do is to make an evaluation of 6 7 whether the change he is considering would reduce the 8 effectiveness of the plan and then based on that determination you either submit it or you don't. 9 10 Whether or not the margin between the regulatory 11 requirements -- Let me use an example. 12 Table -2B1 apparently may require two firefighters or, excuse me, two mechanics. And for 13 14 whatever reason during the years your predecessors decided to have four. The fact that it appears that 15 you have an excess of two is not really correct until 16 you determine why those excess people were put there. 17 If they were put there in order to meet shortfalls in 18 performance, then removing those two "excess people" 19 would likely result in a reduction in effectiveness. 20 21 The staff is extremely uncomfortable space, 22 working in this margin particularly in 23 emergency planning. Yes, margin is identified in the 50.59 rule, but in most of those analysis situations 24 25 vou're dealing with numerical values. You can **NEAL R. GROSS**

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1 demonstrate the margin. The containment fails at 145 2 We want to move up five pounds from our pounds. 3 design pressure. Okay. You have numerical criteria. 4 That's not really relevant to emergency planning. 5 MR. BOONE: All right. Thank you, Steve. PANELIST LaVIE: 6 Okay. 7 MR. BOONE: One final question. 8 PANELIST KAHLER: If I can. 9 MR. BOONE: Sure. 10 PANELIST KAHLER: You asked the question who owns the margin. 11 12 MR. BOONE: Yes. It's your responsibility PANELIST KAHLER: 13 14to maintain your emergency plan and if whatever it is margin 15 that that you have created above the requirements you own that margin and you need to be 16 able to assess that margin and the reasons that margin 17 exists. So when you do your 50.54(q) process if you 18 want to go and alter that margin that you created in 19 your plan, your 50.54(q) assessment must be such that 20 21 it addresses whether a change in that commitment that 22 you have in your plan results in a reduction in effectiveness. 23 24 Now I can go back to Steve and say, "You 25 know, you had those two mechanics on shift. You added NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1 an additional two. You now have four. And the reason 2 why you did it was just because you wanted to have 3 extra people on shift because they were there as a 4 result of some sort of an agreement between the 5 personnel of the site and management of the site." And then that agreement was later changed. 6 But what may have occurred was the conditions under your emergency 7 plan operated with those four mechanics and as 8 а 9 result of that some other changes may have occurred in your emergency plan as a result of those additional 10 11 people being there that took credit for it.

12 Don't assume that you can just alter that margin just on the basis of the original concept. 13 You 14must perform the 50.54(q) on a whole for the emergency plan to ensure the plan as described continues to be 15 maintained and effective. We've seen that in the past 16 too is that the original reason is no longer the 17 that currently exists today because 18 reason other 19 changes have been impacted as a result of that. So be sure that it's a totality of review of the change 20 21 across your emergency plan.

MR. BOONE: What I'm hearing you saying is that it's truly the intent of the proposed regulation that the 50.54(q) evaluation of the margin be consistent with the 50.59 process.

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PANELIST LaVIE: No, I don't think he's 1 2 saying that. 3 PANELIST KAHLER: No. I don't think you 4 heard that from me. 50.59 does 5 PANELIST LaVIE: have an evaluation criteria and it talks about reduction in 6 margin. 7 8 MR. BOONE: Yes. 9 PANELIST LaVIE: There such are no 10 criteria. 11 MR. BOONE: There are no criteria in 50.54(q), right. 12 PANELIST KAHLER: It does address margin. 13 14The 54(q) process does not address margin. Ιt addresses maintaining effectiveness of the plan. 15 MR. BOONE: All right. So I would go back 16 to my original question then. Why wouldn't we align 17 those processes when the draft guide says that we're 18 doing that? 19 PANELIST KAHLER: And thank you, Chris. I 20 21 would hope that you can provide us something in a 22 comment on www.Regulations.gov as to your thought process as to why you would like to see that. 23 24 MR. BOONE: Okay. Great. 25 PANELIST KAHLER: We certainly appreciate **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

it.

1 2 MR. BOONE: Wonderful. The last question that I have in the same area is on 50.54(q) covering E 3 4 Plan changes and all the proposed changes to We had some 5 resources, capabilities and methods. other questions talking about ORO that's related to 6 7 this, but this is a similar issue but in 50.54(q)8 space where the ORO changes in scope and impact really 9 have fallen under 44 CFR 350 space for evaluation by 10 There are several examples in the draft guide FEMA. 11 that imply that 50.54(q) evaluations for resources and capabilities outside the licensee's scope must still 12 be performed. 13 14 Our question is how is that line of demarcation to be drawn on what necessitates 15 а 16 50.54(q) evaluation in the ORO space specific to their resources and things I think Steve kind 17 and of answered earlier it's all about them replying and 18 responding to the site. 19 20 PANELIST LaVIE: Right. 21 MR. BOONE: And if that's the answer, then 22 23 PANELIST LaVIE: There are a couple of

24 things I think that can maybe help clarify this 25 greatly. We need to keep in mind that 50.54 (q)

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actually has two major parts. Okay. First off, it provides the licensee with authority to make changes provided they don't reduce the effectiveness. There's a second part that, however, that says the licensee shall follow and maintain the effectiveness of his plan. So there are two issues involved here.

7 In order to be in the change process, the 8 licensee has to be making an intentional change to his 9 plan. Otherwise you're not changing your plan. So if 10 the licensee is considering, "I want to change this 11 paragraph in my plan," the change process applies. 12 Okay.

If as a result of some action offsite -Oh, in addition, the licensee intentional, it also has
to be involved with something that is in the plan.
Okay. If your plan identifies certain resources and
certain capabilities and you want to make a change in
those resources and capabilities, then the change
process in 50.54(q) will apply.

Now if your plans, for instance, specifies that you are going to rely on a certain hospital to treat people or a contaminated injured person from your site or radiation exposed individuals and your plan identifies this resource, when the NRC reviewed their plan, they looked at this and said, "Okay. We

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agree with what they've done and they meet I think it's (b)(13). It meets one of the planning standards that talks about medical support." And that's great.

4 Now you want to change that. Okay. Maybe 5 you've decided to go with a different vendor. That's an intentional change. However, if you get a letter 6 7 memorandum from that hospital mid between of 8 understanding periods and they tell you "We're going 9 out of business. We can no longer respond to your 10 site," that isn't under your control. We recognize 11 that. But you are also not making an intentional 12 change to your plan. You didn't intend for them to go out of business. 13

14 Now, with that said, the second part of 50.54(q) comes into play. Your responsibility is to 15 maintain the effectiveness of your plan. 16 You're not in compliance. Once they go out of business, you are 17 no longer in compliance with your plan because your 18 19 plan says they're going to respond. Okay. So the 20 effectiveness of your plan is now in question. You're 21 going to have to do compensative actions. Find 22 hospital participate another to or whatever arrangements you need to. We're not specifying them. 23 24 Whatever arrangements you decide to do you 25 then need to make a change to your plan. That change

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1 now must be evaluated under 50.54(q) change process 2 because now you're making an intentional change to 3 your plan. The fact that the hospital decision wasn't 4 under your control still impacts your plan. 5 I'm seeing a puzzled look. No. I'm hearing what you're 6 MR. BOONE: 7 saying. 8 PANELIST LaVIE: I think a lot of this is 9 -- I realize this is terribly confusing. We have 10 discussions internally constantly. Our Office of 11 Enforcement just doesn't understand some times why we violations under traditional enforcement 12 cite and sometimes under the ROP and the difference is there 13 14 are two requirements in 50.54(q). Okay. We can't separate the two requirements. 15 But when we're talking about when you must 16 do a 50.54(q) evaluation just because the hospital 17 tells you they're going out of business does not 18 require you to make a 50.54(q) evaluation. 19 But you 20 are required to do something to fill that gap. Ι 21 don't want to use that word. Fill that weakness. 22 MR. BOONE: Yes, as you would say, planning function. 23 24 PANELIST LaVIE: And as you evaluate 25 handling this weakness, you now have to rely on a **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1 hospital that's 200 miles away. When you do your 2 50.54(q) evaluation on your plan change, you're going to need to address the impact of that additional 3 4 travel time. Can the hospital respond as quickly as 5 they used to? Do they have the capabilities of the previous hospital? 6

MR. BOONE: Yes, and, Steve, really that's 8 getting at the heart of the comment there or question. PANELIST LaVIE: Okay.

MR. BOONE: 10 But another piece of that 11 question with a different example, not so much a 12 hospital going out of business, the draft guidance and the regulation as proposed would imply that changes to 13 14those resources offsite such as a change in the shift schedules or equipment availability, something like 15 that, would need to be evaluated in 50.54(q) space. 16

question, understanding that you'd 17 My really be evaluating that to determine whether or not 18 it has an impact on their ability to respond onsite: 19 If you were to determine that does, yet it wouldn't 20 21 really require a change to your existing emergency 22 plan -- they are actually revising their plan -- the of emergency plan 23 definition in the proposed 24 regulations to include those supporting documents and 25 other documents, States' plans, what would that look

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1 like when we submit it under 50.90 to come back and 2 we're really talking about a change to the State emergency plan, not so much the licensee's plan? 3 4 PANELIST LaVIE: Okay. There is a very --5 I'm going to call it a bright line -- maybe it isn't, between offsite and onsite with regard to who's 6 7 responsible. Changes to the State plan go through 8 in the 44 CFR process if they constitute a FEMA substantial change. They are not subject to 50.54(q). 9 10 Now, if that State plan change affects the 11 fire department from coming to your site and now 12 they're telling you "We can't respond to your site," you're going to have to do something to your plan. 13 14Now you're making a change to your plan. MR. BOONE: Right. Under 50.54 (q). 15 PANELIST LaVIE: Under 50.54 (q). 16 MR. BOONE: Right, and I understood that. 17 But it's just not as clear --18 PANELIST LaVIE: You will never submit a 19 State plan change under 50.90. 20 MR. BOONE: Right. It's just the way it's 21 22 currently written and we'll clarify this with а 23 comment is that it's not clear that all of those 24 changes made to ORO plans would result in a change to 25 the onsite plans. Yet they're supposed to be reviewed **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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under 50.54(q).

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PANELIST LaVIE: Our intent was to address what's in the licensee's plan.

MR. BOONE: Okay. We'll clarify that in a comment.

PANELIST LaVIE: And I do invite you. 6 As 7 a matter of fact, when we thought about some of these 8 issues, I went into the draft guide and I found an example where I quite frankly specified the wrong 9 10 Okay. It talks about the volunteer fire thing. 11 department. And when I went back and read it I "That's obviously one 12 thought, place I made а mistake." 13

14 Please file comments to show where we may need to rethink some of the other items. I noticed in 15 draft reg guide in most cases 16 the the lead-in paragraph specified changes to the licensee's plans, 17 procedures, resources, capabilities relied upon in 18 that plan. And then it lists the examples. And the 19 one case that was identified it didn't have that lead-20 21 in and it could obviously be confused that we were 22 talking about the change in the volunteer fire department plan. That really was not our intent. 23

24 So if you do have several, hopefully not 25 several more, but if you can identify a few more we

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1	would certainly like to fix them.
2	MR. BOONE: Okay. Great. And we'll
3	include that in our comments.
4	PANELIST LaVIE: Thank you.
5	PANELIST KAHLER: Very good, and that's
6	why I love this process because we do get the
7	involvement of the stakeholders. We put a proposed
8	rule out. You read it. We have one interpretation of
9	it. If you have another one, please provide a comment
10	as to why you perceive it differently than the staff
11	intended it to be perceived. Please make those
12	comments again on and I'm going to give you a plug
13	here, Lisa, www.Regulations.gov or you can, of course,
14	fax it to us, email it to us or send it to us by
15	letter.
16	Thank you again, Chris.
17	MR. BOONE: Thank you.
18	MODERATOR GIBNEY: Chris Mr. Boone,
19	were you done?
20	(No verbal response.)
21	I just need you to step to the microphone
22	if you could please.
23	MR. RICKARD: Don Rickard with STARS
24	Regulatory Affairs. Who owns the functional
25	capability above the planning standards? We got rid
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of the term "margin." So there's an "excessive level of functional capability in the emergency plan." Who owns that? Who can make the change to that? PANELIST KAHLER: Once you have written your plan and its part of your license you own it. If you determine that you're going to make a change to whatever you have in that plan, you own it. You will

8 make that determination as to whether it's a reduction of effectiveness or whether it's not. 9 Ιf you determine it's not a reduction of effectiveness, then 10 11 you make the change. If you determine it is a reduction of effectiveness, the change you want to 12 make you must submit it to us through the 50.90 13 14process.

MR. RICKARD: So if the planning standards remain fully met, the plan fully executable, and there is some element that is removed, we still meet the plan.

PANELIST KAHLER: No. What you said was the plan remains executable. We used the term the plan is maintained effective.

MR. RICKARD: Effective.
PANELIST KAHLER: Yes.
PANELIST LaVIE: I want to clarify
something if I might. I want to make sure you're

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using the terminology the same way I thought you were using it. I was involved with the 50.59 debacle years ago. When you say that you own the margin, you were implying that you can make whatever change you want because you own that margin. That's not the way Bob answered the question.

PANELIST KAHLER: We do not consider there to be a margin when I see your plan. What you have in your plan is what you're committed to. If you can make a change and continue to prove that there is effectiveness in the implementation of that plan, you could make the change and that is your process that's provided to you by 50.54(q) regulation.

When you're saying who owns the margin, you're saying you have a margin. We see a plan. Okay.

MR. RICKARD: Okay. A follow-up and it's 17 a question. The current 50.54(q) quidance document, 18 19 the draft quide, more than implies, essentially states, that an ever increasing level of performance 20 21 effectiveness can occur but there's no diminishment 22 under the 50.54(q) process from your current level of 23 performance. Based on the draft guide language right 24 now, you can always go up but can never come back down 25 regardless of the extent to which the plan is

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effective.

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2 PANELIST KAHLER: No. If that's your 3 perception, please identify to us in a draft req. 4 guide where you get that perception from. If you want 5 to propose something to clarify that situation to us, please do so and provide us the comment. 6 (Off the record comments.) 7 8 MS. HOOPER: I have a question. 9 MODERATOR GIBNEY: Sure. 10 MS. HOOPER: Diane Hooper from Wolf Creek. 11 MODERATOR GIBNEY: Go ahead. You're fine. Okay. MS. HOOPER: You stated that when 12 you submit the 50.90 which is a license amendment 13 14 request that we get an SE. I think the question that we were trying to look for is, "What is the license 15 amendment specifically going to look like because 16 you're going to actually have to amend our license for 17 some of these changes?" 18 It's not just the SE or the SER, however 19 you want to state it. It's that document. 20 Is it 21 going to be a -- You know, are we going to have some 22 kind of a commitment or a condition? How is that going to look? It's a problem with 50.59. We've done 23 some of these and we all thought we'd get the SE and 24 25 it would be fine. But we're finding that it's a bit **NEAL R. GROSS**

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difficult.

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2	PANELIST LaVIE: I think one of the
3	Maybe this helps and if it's not, please correct me.
4	And maybe I can help clarify it. License amendments
5	are typically issued, typically not exclusively, for
6	changes to the FSAR. That's what triggers 50.59.
7	MS. HOOPER: Or all tech specs.
8	PANELIST LaVIE: Okay. All tech specs,
9	but that's exempt from 50.59. Okay. Now I lost my
10	train of thought there. Okay. Triggers a change to
11	the FSAR.
12	The emergency plan although it's usually
13	handled as a standalone document is Chapter 13.3 in
14	the FSAR.
15	MS. HOOPER: Right. I understand.
16	PANELIST LaVIE: Okay. So I would expect
17	that NRR when it writes their letter that you're going
18	to get it says that you were authorized. The staff
19	has looked at the change you've proposed to your
20	emergency plan, Chapter 13.3 of the FSAR, and the
21	staff has determined that this change is I'm going
22	to use the word acceptable because I don't want to
23	rattle off all those words again. You know they'll be
24	very definite legal language that everybody's going to
25	go over with a fine tooth comb.
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But that's the way it will probably be coming to you. I don't know. I'm not in NRR. But that's how it's likely to be imposed. I doubt very highly the letter will say you're allowed to change your emergency plan. It's going to reference Chapter 13.3 because the FSAR is part of your license. The emergency plan is part of your license because it's part of the FSAR.

9 PANELIST MILLER: Let me just make a 10 couple of points. We don't, as Steve mentioned, have 11 the staff from NRR here available to predict and 12 answer that question specifically. But it sounds like you did have some experience with other changes that 13 14may have been challenging in how you received the SE. So please let us know what those are and if there's a 15 better way to receive it just put that on 16 the 17 www.Regulations.gov.

18 HOOPER: Okay. I think that the MS. question is what's it going to look like when it comes 19 20 back and I think you guys have to think about that, 21 too, because I know we are struggling with that as far 22 as questions submitting 50.59 changes under 50.90, 23 submitting basis changes under 50.90. Those are 24 coming back kind of confusing.

Another question and this was discussed a

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little bit. Did you consider when you were revising the 50.54(q) to make it look more like 50.59? I know we talked about margin, but more or less under the addressing activities rather than changes to a specific document and also defining the word change.

PANELIST LaVIE: Let me take your second 6 7 part first. The proposed rule language does have a 8 definition of change. When I drafted the proposed language for 50.54(q) I had 50.59 in front of me. 9 You 10 know, I hope this doesn't cut my salary, but, you know, we tend not to reinvent the wheel because it 11 12 facilitates getting things through the Office of General Counsel. If it's already been approved once, 13 14we can get it through again. So I started with the 50.59 and then see what I could do. 15

Now 50.59 you have a list of, I think, its 16 change reduction of 17 11 items such as margin, identification of accident, 18 new increase the consequence of an accident previously evaluated. 19 You 20 probably are more familiar with that than I am now. 21 When looked at that, we really didn't we have 22 something like that for emergency planning.

What we had were the planning standards. But we had 16 of them. We started looking at that. We said the rule is going to become very unwieldy if

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we put the emergency planning functions in the rule. So the intent was to identify what those were and how they related to the reduction of effectiveness determination, but to put the planning standards by themselves into the guidance document.

Now I can make the same comment about the 6 7 guidance document. When Ι started drafting the 8 quidance document, I had the NEI document that was written on 50.59 and I used that format to the extent 9 There were places I had to deviate 10 possible. 11 obviously, but we tried to use that format where we outlined all the definitions and discussed then 12 in more detail than we did in the regulation, provided 13 14 examples of things and then we went into the criteria, each individual criterion. Now in our case it was by 15 emergency planning function instead of 16 marqin of safety or whatever else and provided examples for each 17 of those cases. 18

Now if you think I've misrepresented that or there's a better way to do it we would be certainly willing to hear it. Thank you.

22 MODERATOR GIBNEY: Thanks, Steve. We did 23 have one request to follow on the same thread from 24 someone on the phone. Don, are you with us?

MR. MOTHENA: Yes, I am. Thanks, Lisa.

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MODERATOR GIBNEY: Okay. Just before you start if we just ask quickly those that are on the phone if everyone could double check for the *6. We're getting requests that there's an awful lot of background noise on the phone. So if everyone could just check for their *6 except you, Don, that would be most appreciated.

Don, if you could tell us who you --Perfect. I'm hearing it work. So if I could get you to tell us who you are and who you're with, that would be great. Thanks.

Sure. 12 MR. MOTHENA: My name is Don I'm with Florida Power and Light Company. 13 Mothena. 14My question is similar but on a different text. Req. Guide 1.101 allows us to submit alternate approaches 15 for meeting the guidance and while at the surface it 16 that an item is a reduction in 17 may appear the effectiveness or really a difference in the way you're 18 meeting an existing commitment, it's not a decrease. 19 It's just a different way to accomplish that. 20

Is it your vision that the 50.90 process would be used for a change that would be an alternate approach to accomplishing a similar activity?

24 PANELIST LaVIE: This is Steve LaVie. The 25 alternate approach was identified in that RIS and in

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1 the reg. guide and in the past year or two we have --2 people have pointed out to us within management within 3 the NRC that the regulation provided for binary state was either a reduction in effectiveness or -- Well, 4 5 the original language was either a decrease in effectiveness or it was not. The regulations provided 6 7 nothing for alternative approaches. As a result of that concern expressed to 8 Office of General 9 by Counsel us in part and have eliminated the 10 management, we alternative 11 approach from DG-1237 and ultimately it will be gone 12 from Req. Guide 101 as well. MODERATOR GIBNEY: Don, does that answer 13 14your question? MR. MOTHENA: Yes. I'm not so sure I like 15 it, but it does answer me. 16 MODERATOR GIBNEY: That wasn't part of the 17 I just asked if it answered it. 18 deal. 19 PANELIST LaVIE: The bottom line is always going to be you need to make a determination of 20 whether or not it's a reduction in effectiveness. 21 22 Part of the problem with the alternative approach item just to let you know, full disclosure and all that 23 24 good stuff, is that the regulation says the licensee 25 makes the determination. It doesn't say the staff **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1	makes it.
2	MR. MOTHENA: I understand and then the
3	inspection takes place and we have the great debates.
4	I understand.
5	PANELIST LaVIE: Right. Now understand
6	that that does not preclude you from talking to the
7	staff. All it addresses is what you submit.
8	MR. MOTHENA: I understand. My next add-
9	on question to this is kind of in a carry-on. I see
10	an unintended consequence from moving to the 50.90
11	process to what may have taken place in the past is
12	changes that were close to the line or that were
13	clearly in the licensee's mind as not a decrease in
14	effectiveness. They're going to force using the
15	license amendment and open it up for possible public
16	interaction and things of that nature. And you're
17	likely not to see some changes that may be of benefit
18	to the program and to the protection of the public.
19	Has the NRC had any discussion or thoughts
20	in that direction?
21	PANELIST LaVIE: I can't say we've had
22	discussions, but it was something I was certainly
23	thinking of.
24	PANELIST KAHLER: I would say at this
25	point, Don, if you see that it may end up with some
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1	unintended consequences please submit that as a
2	comment to provide that to us for our consideration.
3	Okay.
4	MR. MOTHENA: Understood.
5	PANELIST MILLER: And this is Chris
6	Miller. There have been discussions on that. So rest
7	assured that staff has discussed that and management
8	has discussed it and we came up with the approach that
9	we came up with. But you might have a different one or
10	a comment or a better way to approach it and we'd like
11	to hear about it.
12	MR. MOTHENA: Thanks.
13	MODERATOR GIBNEY: Don, did we get to your
14	questions?
15	MR. MOTHENA: You did. Thank you.
16	MODERATOR GIBNEY: Okay.
17	Mr. Amundson who I promised quite a while
18	ago. I didn't forget you and I think Jerry Bonanno
19	was next on the list for questions.
20	MR. AMUNDSON: Thank you. I'm Ted
21	Amundson from South Nuclear. I'm in the Nuclear
22	Development area and I've been working on licensing
23	and permitting for the Vogtle 3 and 4 site. I'm also
24	on the NEI Task Force related to analyzing the impact
25	on rulemaking as it relates to COL applications and/or
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ESP applications.

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My question is related -- Well, first of two questions, but both of them are related to the Federal Register, Section 4, Question 6 part related to effective date of implementation and the effect that that may or may not have on COL applications and/or ESP applications.

The first question is if the rule becomes 8 effective after the NRC has completed its reasonable 9 10 assurance determination -- in other words, we have a 11 final safety evaluation report in hand -- but before 12 the COL is issued itself would the reasonable assurance determination become outdated? In other 13 14 words, do we need to revisit or reapply and go through the process again to obtain a new reasonable assurance 15 determination? 16

PANELIST MILLER: What you pose -- this is 17 Chris Miller -- is a good question and something that 18 the staff is currently considering across a number of 19 the offices: Office of New Reactors, Nuclear Security 20 21 and Incident Response, Nuclear Reactor Regulation, 22 Office of General Counsel. We're in active discussions on what's the best way that if a new 23 24 regulation comes out in the middle of a -- somewhere 25 in the Part 52 application process. What's the best

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way to address that and for an applicant to advise us, advise the NRC, or change their application to meet the new rule because obviously you would have to meet the new rule. What's the best way of approaching that?

And so I think the best thing to say is stand by. We're in constant discussion on that. We have recently assembled a team that's going to be addressing that in a little bit more detail.

You won't see it in this regulation nor these reg. guides specifically. You know, the process, it's going to be generic to more than just this rulemaking. It will be generic to all the rulemaking that might affect an applicant during the Part 52 process.

MODERATOR GIBNEY: So, Chris, does that 16 mean that he should still though -- Even though he 17 won't see the outcome in this process, is it still 18 for www.Regulations.gov 19 something we'd want in а 20 comment? This is getting -- I feel like this is going 21 crooked.

Bob.

PANELIST KAHLER: Yes, if I can. To say you may not see it, we don't know what we're going to do with any comments we may receive as a result of

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that request for input and how we may incorporate or may not incorporate that within this particular rule. So at this point we recognize that and we recognize that that exists. We are in discussions.

We want to hear from the industry. We want to hear from industry what the impact is during that time period so we can inform us better on how to make our approach. Because we have thoughts, but we can't provide that to you at this time, we want to hear your input into it and your suggestions and recommendations.

But I know my General Counsel has always said that we shouldn't say ahead of time what may or may not happen to a proposed rule based upon comments not yet received or will be received. What we can do at this point is to say please provide those comments and we'll give them consideration.

18 MR. AMUNDSON: Right. Understand. Thank 19 you.

20 PANELIST MILLER: We want to hear the 21 timing comments. We want to hear the comments about 22 how they affect you and we'll consider that as we're 23 moving forward with this rule. I think it will apply 24 to this rulemaking, but it will probably apply to 25 other rulemaking as well. It's a good comment or a

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good question, turn it into a comment.

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MR. AMUNDSON: Okay. We'll be working on it. All right. Thank you. And rest assured we certainly plan to address those issues in our comments.

The second question is kind of related, 6 7 but we've also noticed that in the Federal Register 8 you basically are proposing to implement the rule 9 through publication in the Federal Register. Thirty Effective 30 days after. One hundred eighty 10 days. 11 implement with exceptions, specifically days to 12 related to 50.54(q) and certain items in Appendix E related to drills and exercises. We also noted that 13 14 you are planning it appears to put implementation language related to drills and exercises in Appendix E 15 language itself in terms of the implementation process 16 or schedule. 17

question is, "Has the 18 So the staff 19 considered perhaps embedding the implementation language throughout the regulations and not rely on 20 21 just the Federal Register notice?"

PANELIST TAILLEART: Yes. This is Don Tailleart, NRC. You know, we have discussed among the staff the best way to address this issue, but again we are looking for your input and comments on

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your thoughts. Whether or not it goes in the regulation or different parts of the regulation or how we address that is what we're looking for your input on. So if you have some thoughts please submit that as a comment.

6 MR. AMUNDSON: Okay. Thank you. That's 7 all I have.

8 MODERATOR GIBNEY: Great. Thank you and 9 as Jerry Bonanno's coming towards the microphone, it's 10 probably a good time for me to remind everybody that 11 even though we have heard a lot of good questions 12 today all of them even though you've said them out loud please don't assume that that's all we need to 13 14 take action upon. We do need all your thoughts in writing and I know we've said this 100 times and those 15 of you that have been to the other meetings, I'm past 16 it's still important for us 17 100. But to keep reiterating that we really do need those comments 18 written in www.Regulations.gov or whatever format you 19 20 So we appreciate everyone coming choose to use. today, but we do still need to have the written 21 22 comments. 23 Mr. Bonanno.

24 MR. BONANNO: Thanks. Jerry Bonanno from 25 NEI. I had a specific question and it's about Section

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1 (c) (2) in the draft guide and its consistency with the 2 proposed revisions of 50.54(q). So the question is or 3 the setup to the question is Section (c)(2) lists a 4 series of changes that the staff recommends that 5 licensees submit for review and approval pursuant to 50.4. 6 7 The proposed rule as we've discussed

8 contains a legal analysis with the conclusion that 9 result changes that will in а reduction of 10 effectiveness should be submitted pursuant to 50.90 or 11 must be submitted pursuant to 50.90 through a license 12 amendment process.

I guess the specific question is what is the legal distinction between the changes described in Section (c)(2) and the changes described in Section 50.54(q).

PANELIST LaVIE: Okay. Actually it's quite easy, although I will admit that we looked at the language and we need to reconsider parts of it.

It's important to understand that the Draft Guide 1237 is a guidance document. The regulation specifies that if your change results in a reduction in effectiveness you must submit it under 50.90. You would not submit it under Section (2). You've already submitted it.

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Therefore, Section (2) really addresses 1 2 those changes that you have your staff has or determined reduction 3 do not constitute in 4 effectiveness. Our choice of the particular I think 5 it's seven items was based on as explained in the draft guide our experience in reviewing licensees' 6 7 submittals done under the old system on some of these 8 changes and enforcement actions associated with some of the changes we've observed. For these specific 9 10 eight items, we noticed trends that indicated that for 11 instance I think it can be said that very, very few of 12 the license amendment, excuse me, very few of the licensees' submittals under the old scheme got through 13 14on the first pass. Okay. We saw weaknesses in the 15 analysis, a weakness in the approach. There were large numbers of RAIs involved and what finally got 16 approved was different than was finally requested. 17 18 This gives the staff concern in that if 19 we're seeing it, okay, that's great. We saw it and we were able to handle it, but there's an awful lot of 20 21 changes that because they were determined to be not

22 reductions in effectiveness that we did not see.

We started to feel very uncomfortable about this and the draft guide in Section (2) was intended to suggest that you avail yourself of

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discussing this with the staff. Okay. I know what the language specifically says. Our intent was is that we were trying to forestall problems. I believe that your organizations and our organizations would just as soon resolve this before we have to cite a violation. 6

particular 7 These changes had caused 8 problems in the past. Now when I say that they caused 9 problems in the past that does not override the fact 10 the regulation says that you're allowed to implement 11 changes that do not decrease the effectiveness of your plan. Under regulation, that is your authority. 12

So I just have a 13 MR. BONANNO: Okay. 14 follow-up question.

PANELIST LaVIE: But please make a comment 15 on this because apparently it's unclear. 16

MR. BONANNO: Yes. No, we will and I 17 think you could probably get the language cleared up 18 in the guidance. But the question that it begs since 19 those changes are not necessarily reductions 20 in 21 effectiveness the way that the language is written now 22 you know it begs the questions that what's the 23 regulatory basis for quidance the and is it enforceable. 24

And I think what you're describing helps

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me along the way to develop comments. Because if what you're proposing is to promote dialogue on certain things that might be questionable I think that's one thing. But you know recommending that something be submitted for review and approval triggers a different set of thoughts. 6

If I can take a direct 7 PANELIST KAHLER: 8 approach on it, then there is no basis in regulation for that recommendation in the draft Reg. Guide. 9 Ιt is a recommendation by the staff. As Steve had said 10 11 before, it's a binary type of process. You would have 12 made a determination. If you determine that it's a reduction in effectiveness, you must submit it to us 13 14by 50.90. If you determine it's not a reduction in effectiveness, you can go forward with the change. 15

The staff is just recommending that for 16 certain items our past experience says that you may 17 want to take these particular type of issues, submit 18 them to us by 50.4 for our review because we have seen 19 issues in the past with these specific areas and it 20 21 may benefit all parties for that submittal.

22 You do not have to do that. If you do not do that, if you decide that for those areas you want 23 24 to go forward with a process and we never hear from 25 again, that fine because vou is you have that

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1	authority under 50.54(q) and that's what we're saying.
2	MR. BONANNO: Okay. Thank you.
3	PANELIST KAHLER: There's no regulatory
4	basis for that recommendation.
5	MR. BONANNO: Thanks.
6	MODERATOR GIBNEY: Thanks. Any other
7	questions on this Part 4 from those remotely? I'm not
8	hearing any.
9	The last person that we had signed up is
10	Mike Slobodien. Do you have a question for us and
11	like I said it's the last question that we have listed
12	so far. So kind of a last call. Start thinking.
13	MR. SLOBODIEN: I'm Mike Slobodien from
14	Entergy Corporation and my question really has to do
15	with formatting of comments that are going to be made
16	to you. The Federal Register notice addresses rule
17	changes. There's a discussion of interim staff
18	guidance, a reg. guide and a draft NUREG. Is there a
19	preferred way in which we should comment by topic area
20	which cuts across these or by type of item? For
21	example, comment on proposed regulations one way one
22	comment. Comment on staff guidance and then comment
23	on other documents or if it's a topic area do you see
24	or want to see comments cutting across if they're
25	related?

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Let me give an example. On the item on multiple shift responsibilities it's addressed in the rule. It's addressed in staff guidance. And it's addressed in one of the questions that you're asking having to do related to Table B-1 type of approach. Is there a preferred way that would be beneficial to you in the way we post our comments?

8 PANELIST KAHLER: Don, because you're 9 going to be leading the comment team, is there any 10 special format you would like to see? Or is does that 11 ease the process?

12 PANELIST TAILLEART: Yes, Ι quess my initial reaction to that -- this is Don Tailleart, NRC 13 14-- my initial reaction and the way that we were headed with the Comment Adjudication Teams was to, and the 15 way we were planning on vetting the comments 16 or sorting the comments, was to look at each of the 12 17 rulemaking topics and comment specifically on those 18 topics and the rule language itself would go into one 19 bin. Feedback and comments on the questions that were 20 21 asked in the proposed rule would go into another bin. 22 And then comments on each of the guidance documents would go into separate bins for each of those guidance 23 24 documents. And then we in working with the Comment 25 Adjudication Teams would look for cross cutting

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1 comments or issues so we could pull those together. 2 But I think it would be most helpful for 3 us and other folks if they have different ideas can 4 chime in on this, but I think it would be most helpful for us to use the approach I just described, address 5 each of the rulemaking topics, each of the questions 6 7 that are requesting supplemental information and each 8 of the guidance documents and then we can take that information and if we see issues that are common and 9 10 threads amongst the various documents, we can put 11 those together. Thank you. 12 MR. SLOBODIEN: MODERATOR GIBNEY: Okay. Last call for 13 14questions remotely? Hold on a second. 15 MR. YOUNG: I just sent one in. MODERATOR GIBNEY: Here we go. 16 MR. YOUNG: Okay. 17 MODERATOR GIBNEY: Ηi. 18 This is David 19 MR. YOUNG: Young of Seabrook. 20 21 MODERATOR GIBNEY: I'm sorry. Can you 22 tell us who you are again? 23 MR. YOUNG: I'm sorry. David Young, Seabrook Station. 24 25 MODERATOR GIBNEY: Hi Dave. And you have **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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a question for us.

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MR. YOUNG: I do.

MODERATOR GIBNEY: Okay.

4 MR. YOUNG: I was just thinking about the 5 clarification that was offered earlier back on Part 1 on the staffing analysis. We talked about maintaining 6 7 the functions (Background conversation.) I guess the 8 follow-up question I have is I'm curious why that becomes part of the assessment or analysis process if 9 10 in fact there's no associated command and control or 11 infrastructure in place to utilize those functions. 12 Such as an example, I'm curious why we would have a functional commitment to have offsite field monitoring 13 14team capability when in fact there's nobody available to direct that offsite monitoring team. 15 So I'm not sure why that function capability is still carrying 16 forward in light of not having anybody direct it. 17

have to say there's a related 18 And Ι question from a consistency thing on that I was just 19 thinking about where in the answer of the design basis 20 21 threat it talked assuming that there are no 22 consequences -- Let's see. Where is the wording here? No consequences to plant safety. So again, this kind 23 24 of thinking of just using the offsite monitoring team 25 again as sort of an example. I'm not sure why we

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1 would have to assess to have that function available 2 within 30 minutes because if there's no consequence to 3 plant safety, there's not going to be any release. So 4 I guess I'm just looking for some clarification as to 5 why these 30 minute functions are still considered to be valuable enough to keep into the 6 assessment 7 process. MODERATOR GIBNEY: Okay. Let's take a --8 Let me get you the microphone. 9 Randy. 10 PANELIST SULLIVAN: Randy Sullivan, NRC. 11 David, my comment was with respect to those sites that 12 have enveloped their 30-minute responders in their onshift staff. 13 14 MR. YOUNG: I'm sorry, Randy. Can you speak up a little bit? I'm having a hard time hearing 15 16 you. PANELIST SULLIVAN: My comment was with 17 regards to those sites that have enveloped their 30-18 minute responders in their on-shift staff. You know 19 20 we've approved several E Plan changes that did that. 21 It eliminated the 30-minute responders and instead put additional staff on shift. 22 23 So those sites that have that 24 configuration would need to assess the functions of 25 the 30-minute responders as part of this analysis. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1	Those sites that still have 30-minutes responders
2	wouldn't do that. They would just do the on-shift
3	staff analysis.
4	And, by the way, you understand that this
5	analysis is done against the suite of design basis
6	accidents, not just one. I mean you understand that,
7	right?
8	MR. YOUNG: Yes. We get that. We
9	understand that.
10	PANELIST SULLIVAN: Okay. So some of
11	those design basis accidents call for field
12	monitoring, right, like LOCA maybe. You would want to
13	verify that there's no leakage offsite.
14	MR. YOUNG: Again that goes back to the
15	first part of what I'm commenting on or I guess what
16	I'm asking about here is that if I have a field
17	monitoring team function at 30 minutes, but there's
18	not going to be anybody available to direct it.
19	PANELIST LaVIE: Dave, this is Steve
20	LaVie. It's our expectation and I think it's borne out
21	in NUREG-0654 that the shift supervisor has that
22	responsibility to control and direct all emergency
23	planning activities until relieved by the emergency
24	director.
25	MR. YOUNG: Yes. I would be interested in
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1 -- Well. Okay. So going back it sounds like really 2 what you're saying here is that whatever the site 3 currently has as its basis for on-shift capabilities whether or not -- well, if 30 minutes has been ruled 4 5 into those that's what's being assessed against for that particular site. 6 7 PANELIST KAHLER: And that is correct, 8 Dave. And that is how we respond. MODERATOR GIBNEY: Dave, did we get to the 9 thrust of your question there or? 10 MR. YOUNG: Yes and no, but I think I've 11 12 got a little better understanding. MODERATOR GIBNEY: Okay. Anybody else 13 14 from the remote group that might have a question? 15 (No verbal response.) How about here in the 16 Okav. room? Anybody else? Okay. If not, Chris, if you could give 17 us some --18 (Off the record comment.) 19 Oh, you're right. I'm sorry. Mr. Kemper, 20 21 did you still want to -- Early you wanted to follow up 22 or? 23 MR. KEMPER: (Inaudible.) 24 MODERATOR GIBNEY: Sorry. I'm bad. Can 25 you just --**NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1	MR. KEMPER: That last question resolved
2	my question.
3	MODERATOR GIBNEY: Wonderful. Thank you.
4	Okay. Chris, if you had some closing
5	comments for us and then I think we did finish early
6	and on the off chance that there might have been some
7	folks who were joining in anticipation of the public
8	time the staff will be sticking around. We'll keep
9	the phone lines open to at least 3:30 p.m. when we
10	originally would have been on.
11	If you have some closing comments for us,
12	that would be great. Thank you.
13	PANELIST MILLER: Thank you, Lisa. First
14	of all, thank you to all of the participants here and
15	remote. I was talking to one of the participants on
16	the break who said it was a lot more detailed meeting
17	this time than he was expecting based on his
18	participation the last time. And really that's kind
19	of almost the intent.
20	We knew that we were going to get some
21	more specific questions to enable the feedback to us
22	to be that much more detailed and specific. I'm
23	thinking by that comment we accomplished the purpose,
24	but I hope we get your agreement on that. I think it
25	was a collaborative process. We heard from a number
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1 of people how the guidance may need to be tweaked or 2 the regulations may need to be tweaked or changed based on their comments and we look forward again to 3 4 the comments coming to us on www.Regulations.gov. 5 Again, the closure of the comment period is October 19th. So it's coming. It's not that far 6 away. Please put some real thought into it and give 7 us your best shot on how you think you could improve 8 the regulations or the guidance that we've proposed. 9 10 And by the way I would like to hear your 11 feedback and Lisa will probably give you one more 12 pitch on that, both on the content of the meeting. Did it meet both remotely and here your needs for 13 14having additional information provided and also for the remote folks on the technology. We're interested 15 because I think as we've discussed in some of our 16 earlier meetings this is a new process that we're 17 trying out. We're trying to make these meetings 18 19 available to more people and to people who can't So we'd like to hear those comments as well 20 travel. 21 and Lisa will give you a few details on how to do 22 that. 23 But again to everybody thank you very 24 much. And I really appreciate the substantive nature 25 of the comments. **NEAL R. GROSS**

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MODERATOR GIBNEY: Great. Thanks. Yes, I 1 was just about to do that. Actually when I introduced 2 staff today I was very negligent. didn't 3 the Ι 4 actually acknowledge any of the Outreach Team who 5 actually makes all the magic happen. So thanks to Sara Sahm, Annette Stang, Ned Wright, Rollie Berry 6 who's been the background of all our errands and if 7 8 you do have comments about the live meeting and how it works you can actually direct those to Sara. 9 Sara 10 Sahm is who most of you would have registered with 11 when you asked to be included in this. Sara will send 12 you a feedback form and it would be really terrific if you could take a couple minutes and answer that. 13 Т 14 know it's an imposition again on your time, but we really are looking for the feedback and some ways on 15 how we can improve and to also know if it's valuable 16 We think it's valuable, but again as you heard 17 to us. today the NRC staff isn't always -- our perceptions 18 19 aren't always what everyone else's is.

Thanks again, everyone, for your participation. Like I said, the staff will stick around for a few minutes in case you have any other questions and again the end of the comment period is October 19th. Thank you. Off the record.

(Whereupon, at 3:18 p.m., the above-

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entitled matter was concluded.)

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