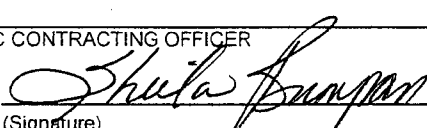


**U.S. NUCLEAR REGULATORY COMMISSION
NOTICE OF GRANT/ASSISTANCE AWARD**

1. GRANT/AGREEMENT NO. NRC-27-09-507		2. MODIFICATION NO.		3. PERIOD OF PERFORMANCE FROM: 8/20/2009 TO: 9/30/09		4. AUTHORITY Pursuant to Section 31b and 141b of the Atomic Energy Act of 1954, as amended	
5. TYPE OF AWARD <input type="checkbox"/> GRANT <input checked="" type="checkbox"/> COOPERATIVE AGREEMENT		6. ORGANIZATION TYPE Non-Profit Organization		7. RECIPIENT NAME, ADDRESS, and EMAIL ADDRESS NATIONAL HISPANIC ENVIRONMENTAL COUNCIL 106 N FAYETTE ST ALEXANDRIA VA 223142902 DUNS: 825233377			
8. PROJECT TITLE: Support the National Hispanic Environmental Council "Minority Youth Environmental Training Institute"							
9. PROJECT WILL BE CONDUCTED PER GOVERNMENT'S/RECIPIENT'S PROPOSAL(S) DATED AND APPENDIX A-PROJECT GRANT PROVISIONS		10. TECHNICAL REPORTS ARE REQUIRED <input checked="" type="checkbox"/> PROGRESS AND FINAL <input type="checkbox"/> FINAL ONLY <input type="checkbox"/> OTHER (Conference Proceedings)		11. PRINCIPAL INVESTIGATOR(S) NAME, ADDRESS and EMAIL ADDRESS National Hispanic Environmental Council Attn: Mr. Roger Rivera EMAIL: rrivera@nheec.org TEL: 703-683-3956			
12. NRC PROGRAM OFFICE (NAME and ADDRESS) NRC Attn: Tuwanda Smith, Esq MS: O3G1 (301) 415-7394 11545 Rockville Pike Rockville MD 20852		13. ACCOUNTING and APPROPRIATION DATA APPN. NO: 31X0200 B&R NO: 97P-15-5C1-161 JOB CODE: N7345 BOC NO: 4110 OFFICE ID NO: SBGR		14. METHOD OF PAYMENT <input type="checkbox"/> ADVANCE BY TREASURY CHECK <input type="checkbox"/> REIMBURSEMENT BY TREASURY CHECK <input type="checkbox"/> LETTER OF CREDIT <input checked="" type="checkbox"/> OTHER (SPECIFY) Electronic ASAP.gov (See Remarks in Item #20 "Payment Information")			
15. NRC OBLIGATION FUNDS THIS ACTION \$50,000.00 PREVIOUS OBLIGATION TOTAL \$50,000.00		16. TOTAL FUNDING AGREEMENT NRC \$50,000.00 RECIPIENT TOTAL \$50,000.00		This action provides funds for Fiscal Year 2009 in the amount of \$50,000.00			
17. NRC ISSUING OFFICE (NAME, ADDRESS and EMAIL ADDRESS) U.S. Nuclear Regulatory Commission Div. of Contracts Attn: Sheila Bumpass Mail Stop: TWB-01-B10M Rockville, MD 20852							
18. Acceptance of or objections to the Terms and Conditions of Award must be Emailed to the NRC Issuing Office (Block #17) within three (3) business days following the Award issue date. NRC considers lack of response as acceptance by the grantee of the terms and conditions of award.				19. NRC CONTRACTING OFFICER  8/20/09 (Signature) (Date) NAME (TYPED) Sheila Bumpass TITLE Contracting Officer TELEPHONE NO. 301-492-3484			
20. PAYMENT INFORMATION Payment will be made through the Automated Standard Application for Payment (ASAP.gov) unless the recipient has failed to comply with the program objectives, award conditions, Federal reporting requirements or other conditions specified in 2 CFR 215 (OMB Circular A110).							
21. Attached is a copy of the "NRC General Provisions for Grants and Cooperative Agreements Awarded to Non-Government Recipients. Acceptance of these terms and conditions is acknowledged when Federal funds are used on this project.							
22. ORDER OF PRECEDENCE In the event of a conflict between the recipient's proposal and this award, the terms of the Award shall prevail.							
23. By this award, the Recipient certifies that payment of any audit-related debt will not reduce the level of performance of any Federal Program.							

TEMPLATE - ADM001

SUNSI REVIEW COMPLETE

SEP 16 2009

ADM002

ATTACHMENT A - SCHEDULE

A.1 PURPOSE OF COOPERATIVE AGREEMENT

The purpose of this Cooperative Agreement is to provide support to the National Hispanic Environmental Council (NHEC) is seeking the support of NRC for its 8th annual "Minority Youth Environmental Training Institute" as described in Attachment B entitled "Program Description."

A.2 PERIOD OF COOPERATIVE AGREEMENT

1. The effective date of this Cooperative Agreement is August 20, 2009. The estimated completion date of this Cooperative Agreement is September 30, 2009.
2. Funds obligated hereunder are available for program expenditures for the estimated period: August 20, 2009 - September 30, 2009.

A. GENERAL

- | | |
|--------------------------------|---|
| 1. Total Estimated NRC Amount: | \$50,000 |
| 2. Total Obligated Amount: | \$50,000 |
| 3. Cost-Sharing Amount: | \$0 |
| 4. Activity Title: | Support to the National Hispanic Environmental Council's 8 th annual "Minority Youth Environmental Training Institute" |
| 5. NRC Project Officer: | Tuwanda Smith, Esq. |
| 6. DUNS No.: | 825233377 |

B. SPECIFIC

- | | |
|-------------------|----------------|
| RFPA No.: | SBC2709507 |
| FFS: | RQSBC09328 |
| Job Code: | N7345 |
| BOC: | 4110 |
| B&R Number: | 97P-15-5C1-161 |
| Appropriation #: | 31X0200 |
| Amount Obligated: | \$50,000 |

A.3 BUDGET

Revisions to the budget shall be made in accordance with Revision of Grant Budget in accordance with 2 CFR 215.25.

A.4 AMOUNT OF AWARD AND PAYMENT PROCEDURES

1. The total estimated amount of this Award is \$50,000.
2. NRC hereby obligates the amount of \$50,000 for program expenditures during the period set forth above and in support of the Budget above. The recipient will be given written notice by the Contracting Officer when additional funds will be added. NRC is not obligated to reimburse the recipient for the expenditure of amounts in excess of the total obligated amount.

3. Payment shall be made to the Recipient in accordance with procedures set forth in the Automated Standard Application For Payments (ASAP) Procedures set forth below.

Attachment B – Program Description

PROGRAM DESCRIPTION

National Hispanic Environmental Council

Summary Overview

Founded in 1997, the National Hispanic Environmental Council (NHEC) is a national, non-profit organization with over 5,000 professional and student members. Based in Alexandria, V A, NHEC is the only national Latino environmental and natural resource organization in the country. We are an advocacy, policy, and programmatic group, and work to represent the interests of Latinos before federal, state, and green group decision-makers. We are committed to ensuring that our community has a seat and a voice at the environmental decision-making table, and we seek to educate and engage Latinos on a range of environmental and energy issues. Our credo is: " because it's our environment too".

NHEC is actively engaged with national policy makers on a range of key environmental policy issues, including global warming, environmental justice, green jobs, renewable energy, and diversity issues within federal environmental agencies. Regarding climate change, NHEC has held national "Latino Leadership Briefings on Global Warming" in 2007 and 2008 in Washington, D.C. And we have held other regional briefings on global warming throughout 2008, and will again in 2009 as we continue our policy and advocacy work in this area. In addition, last year NHEC helped create a new entity, the "National Latino Coalition on Climate Change" (NLCCC). The NLCCC is composed of 7 national Latino organizations, including organizations such as LULAC and MALDEF, and NHEC President Roger Rivera serves as NLCCC Chairman.

NHEC also runs a number of programs. One successful activity is our Annual Conference and Career Fair... Our 11th Annual Conference/Career Fair will be in October 2009, in Seattle. The NHEC Conference has become the premier networking, recruiting, and educational event to reach Latinos in the environmental arena. And because a major mission of NHEC is to help build the next generation of environmental leaders and professionals, we offer a "Travel Scholarship" to Latino/minority college students, from every environmental and natural resource discipline. Students apply and if accepted, NHEC covers all costs to attend our 4 day Conference/Career Fair. For the last 10 years NHEC has funded around 200 environmental college students, both graduate and undergrads, from across the U.S. and Puerto Rico.

NHEC also periodically runs issue conferences and briefings on key environmental topics and their implications/impacts on Latinos. For example, in March 2008 NHEC, in conjunction with the Centers for Disease Control (CDC) planned and coordinated the first ever "*Climate Change and Communities a/Color: Assessing and Addressing Vulnerabilities*" This 2 day conference held in Atlanta was designed to examine the nexus between global warming and the public and environmental health impacts on Latinos and other minority populations.

NHEC is also committed to environmental education, especially of our youth. Towards this, we run our national "Minority Youth Environmental Training Institute" each year in New Mexico. Our 9th annual Institute will be held in August 2009, again at Glorieta, NM. The Institute is an

intensive, science-based, residential, 10 day environmental education and environmental career awareness program for talented teens aged 16-18. Students apply for a competitive scholarship to attend, but if accepted, NHEC covers all costs for students, with funding provided by a range of public and private sector sponsors.

Students learn at different sites in NM, such as federal parks, forests, and labs. We typically fund at least 40 students from across the country to the Institute, but we have trained as many as 120 students per summer. This number depends on the level of funds we receive from sponsors.

In 2006 we expanded and created 3 "regional" versions of our national Minority Youth Environmental Training Institute. In July 2008, NHEC held its 3rd annual "NYC Environmental Youth Institute", in conjunction with the National Park Service; and in August NHEC field its 2nd annual "Washington, D.C. Environmental Youth Institute". And this October NHEC will hold its 2nd annual "California Environmental Youth Institute", in the Santa Monica Mountains area. Like the NM Institute, these regionals are rigorous, 6 day long residential programs that offer competitive scholarships to teens aged 16-18 highly interested in environmental and energy issues. All our Institutes are designed to build the next generation of minority environmental leaders and professionals.

NHEC also runs our "High School Environmental Career Awareness Program". Here, we hold "Environmental Career/College Days" at selected high schools across the U.S. Given NHEC's mission to help build the next generation of minority environmental professionals, we are partnering with high schools with a major environmental track within their curriculum, and there are over 25 such schools across the U.S., including in C~ TX, NM, FL, IL, NY, and PR.

Last January NHEC began our new "NHEC College Chapters" at several universities across the U.S. Here, students can network/learn from other minority environmental students, while working under NHEC guidance on various environmental projects on and off campus.

NHEC is also engaging Latinos on natural resource issues, and the duty we all have to serve as good stewards of the earth. Towards this, we have 2 full time staff in California who work to engage our community on such issues as environmental justice and the need to preserve wilderness and other habitats. To further this, we run initiatives such as our "Latino Hiking Program" and help run "Latino Earth Day" in Los Angeles and other cities.

NHEC will also convene the first ever "National Latino Energy Summit", to be held in September 2009 in Washington, D.C. This will be the first ever, high level gathering of over 250 top Latino leaders and elected officials from across the country, who will come together with top executives and issue experts from every subset of the energy industry, including fossil, renewable, bio, nuclear, hydro, and more, for 2 days of workshops, networking, and policy development.

PROPOSAL TO THE U.S. NUCLEAR REGULATORY COMMISSION for the

8th ANNUAL

"NHEC MINORITY YOUTH ENVIRONMENTAL TRAINING INSTITUTE"

"10 Days of Learning, A Lifetime of Experience" September 2009, Glorieta, NM

On August 7-16, 2009, the National Hispanic Environmental Council (NHEC) will, with funding provided by sponsors, hold its 8th Annual "Minority Youth Environmental Training Institute". The Institute will once again be held at the Glorieta Lifeway Conference Center (18 miles north of Santa Fe), in the beautiful mountains of northern New Mexico.

Towards this, NHEC is seeking \$50,000 from the Nuclear Regulatory Commission for student Scholarships to the 2009 Institute. Funding permitting, NHEC will offer full scholarships to at least 35-40 top students, selected nationwide, to the Institute.

Past Institutes have been funded by a range of federal environmental agencies (and non federal sponsors) including the U.S. EPA; the Centers for Disease Control/National Center for Environmental Health/ ATSDR; National Park Service; U.S. Geological Survey; NOAA; U.S. Forest Service; and the Edison Electric, Institute. Based on test scores, as well as evaluations from students, teachers, funders, role models, parents, and others, the Institute has been a major success.

NHEC is deeply grateful to its funders for their support. Without their financial assistance, the Institute could not have been held or been a success. In addition to funding, many of these agencies, through their offices in NM, provide substantial in-kind support of staff, equipment, time, and use of federal facilities such parks, forests, labs, etc.

The Institute is an intensive, residential, hands-on, science-based, and highly educational 10 day national program. The Institute is designed to inspire, engage, and train Latino and other talented minority teens (aged 16 - 18) on environmental, natural resource, and energy issues, and to give information about and encourage these youth to pursue careers and college degrees in these fields. The Institute's motto is "10 Days of Learning, A Lifetime of Experience".

As this is a national program, students apply and are competitively selected from all across the U.S. and Puerto Rico. Each year, NHEC receives hundreds of applications from highly qualified teens to this very competitive program. The number of selectees has varied each year, depending directly on the amount of funding we receive. NHEC has funded as many as 120 students per Institute, and as few as 30. NHEC handles all aspects of the outreach and selection process, and all other logistics.

Selection criteria includes grades (a minimum 2.8 GPA is required), relevant courses, references, and proven interest/experience in the environment, as well as diversity by ethnicity, race, gender, age, geography, income, school, and more. This diversity of students ensures they not only learn from the curriculum, but from each other.

There is no fee to apply, and only a \$25 fee is charged for those students selected. Through a full scholarship, NHEC covers all costs of the 10 day, residential Institute for selected students. This scholarship includes students' airfare; housing; meals; local transportation by bus to the various training sites in NM; textbooks and instructional materials; calculators; lab/testing kits; scientific equipment, including GIS and GPS; backpacks; tents and other camping equipment rental; safety equipment; and more.

1. Provide a solid program of environmental education coursework that over 10 days will teach basic to advanced environmental, natural resource and energy concepts;
2. Provide environmental career counseling, i.e. teaching students about the many careers in the environmental, natural resources and energy fields;
3. Provide environmental college awareness, i.e. teaching students about the many and varied environmental, natural resource, energy majors at colleges across the country. This includes identifying these schools and how to apply; and strongly encouraging students' enrollment in these majors, and attendance at these universities;
4. Use of Latino/minority environmental educators as paid instructors, combined with minority environmental professionals as volunteer "role models".

Using daily classroom and outdoor settings, the Institute seeks to build the next generation of environmental leaders and professionals by teaching qualified teens about a wide range of environmental topics and careers. Further, the Institute instills in students the belief that our natural heritage belongs to all Americans - including Latinos - and therefore we all share a duty to protect our environment. Fundamentally, the Institute is opening the doors of opportunity for Latino/minority youth in the environmental, natural resources, and energy field.

In every person's life, there are always several experiences from our youth that make such a deep impression that it "opens our eyes", and thus is instrumental in shaping who we are and the career path we follow. Ultimately, the Institute seeks to provide that singular transformative experience that excites students into environmental awareness, and propels them to become the next generation of environmental professionals and leaders. We are proud to say that, based on where many past Institute students are today and what majors they are currently pursuing; our Institute is succeeding in this goal.

The curriculum was created by NHEC to not only be rigorous and science-based, but multicultural as well. It is taught by paid instructors who are skilled Latino/minority environmental educators, all of whom have at least a master's degree in their field. Students must have a minimum of 2.8 GPA to be admitted into the Institute. Nearly all accepted students have a 3.0 or higher, and many have 3.5 GPA's or higher.

The curriculum begins by covering basic topics in environmental, energy, and natural resource fields, progressing to more advanced issues as each of the 10 days unfold. Another curriculum component spotlights key connections between history, culture "the environment, energy, and related issues. The curriculum will also show how these factors inter-relate and impact people

and the environment. The rich diversity of New Mexico in particular lends itself to this goal.

Another Institute goal is to showcase some of the key issues relevant to our federal sponsors. In the case of EP A, as an example, this would include discussions about environmental justice, pollution, environmental health hazards, recycling, wetlands, the need for environmental regulations and policy-making, and more. As a sponsor, issues specific to the Nuclear Regulatory Commission would be taught, including a variety of energy, sustainable and renewable energy issues, and more.

As many minorities are from urban areas, a major Institute goal is to introduce students to nature, and the curriculum reflects this. Participants spend much of each day outdoors in supervised field activities at Glorieta, as well as nearby federal parks, forests, and labs.

Students are taught at a variety of sites, including a fish" hatchery; a waste water treatment plant; a federal dam/electrical generating plant; a toxic waste dump; wetlands; rivers; national forests; a farm; a burned forest; a solar energy facility; museums; archeological ruins; a historic neighborhood; an environmental health laboratory; and more. Students hike trails, Climb Mountains, wade streams, and camp overnight at Abiquiu Lake and Dam. Students also participate in a "mock trial" on environmental justice (using the measurements taken in their field testing as evidence), in which the students serve in a variety of court-room roles, such as witnesses, the jury, attorneys, and more.

The coursework is intensive, and students are kept very busy, including class work every evening after dinner. The Institute is rigorous, hands-on, and science based, and includes field testing at various sites, with instruments, of the local air, water, and soil. Each morning and again at night there is classroom instruction on the environmental and energy topics being taught, coupled with outdoor field work during the day that underscores the classroom lessons. All this is designed to build on the existing, and often extensive, environmental interest and academic backgrounds these students already possess and are bringing from home, school, or work.

The Institute culminates in a final presentation by each student team on the results of all the field testing, science measurements, and biological assessments they have been conducting throughout the 10 days.

In short, the Institute is for serious students with proven, existing environmental experience - it is definitely not "summer camp" or a free, 10 day vacation.

The curriculum also has a strong leadership development component - it instills leadership skills through group challenges, team building exercises, individual tasks, and frequent class presentations by teams of students. These structured activities help nurture and shape the student's leadership abilities for the future. Appropriate behavior is also an important element of the Institute -- students must adhere to a written "Code of Conduct" (co-signed by their parents) governing their behavior while at the Institute, and students are monitored and evaluated by their instructors regarding this.

Another major element of the Institute is that it includes classes on the many different careers and college majors in the environment, and gives students the chance to see up-close - through frequent interaction with staff from EP A, USGS, National Park Service, NOAA, DOE, and others, how these jobs and majors are performed in the real world. The Institute seeks to "open

horizons" by showing students the broad range of career and college options that exist in the environmental and energy arenas.

Students are given a "pre-test" immediately upon arrival at Glorieta that measures their current level of knowledge about environmental, energy~ and natural resource issues, as well as environmental careers and college options. On the last day of the Institute students are given a final test that measures what they have learned. NHEC is pleased to report that nearly all students showed at least a 65% increase in knowledge of the above, with some students scoring even higher.

A unique element of the Institute is the use of paid Latino/minority environmental educators as instructors, coupled with volunteer Latino/minority "role models". These role models are working minority professionals, from every environmental discipline, who volunteer their time, technical knowledge, life experiences, and heritage to help the instructors teach students by providing supplemental information and guest lectures.

Most role models pay their own expenses to attend the Institute; typically, a role model's employer will cover the costs of their participation, including per diem and travel expenses. This is almost always the case with role models who work at federal agencies.

In 2007, for example, all 4 of the paid instructors were minority - 3 were Latino and one was Asian. Of the 38 role models who participated, 35 were Latino or minority. Several role models a day were present, typically for 2-3 days at a time, covering the entire length of the Institute. Several role models stayed for 3-4 days.

The role models come from many fields - toxicologists, environmental health inspectors, park rangers, wildlife biologists, activists, environmental attorneys, environmental engineers, foresters, hydrologists, geologists, soil scientists, professors, administrators, public affairs, firefighting, law enforcement officers, and more.

Through the instructors and volunteer role models, students are able to make a tangible connection between their dreams and the future. Students can see and hear from the various role models - i.e. how they got to where they are, what challenges they faced, and how students can follow in their footsteps. In this way, students have their "own" to

learn from, be inspired by, to emulate, and who have a story of success to tell. The result is that the message to students is not only clear, but believable: "I made it in this field, and so can you".

This role model component of the Institute is vital, in that it directly addresses the "believability gap" that exists for minority students in most mainstream environmental education programs targeted to minorities.

Today, these mainstream programs, while well intentioned, fail in one key area showcasing minority environmental professionals, and the fact that minority Americans actually do environmental work. The truth is: how can minority students ever truly believe they can pursue an environmental career if they almost never see faces that look like them? The Institute seeks to redress this failing.

The Institute is a unique program in that it seeks, for the first time ever at the national level, to

reach Latino/minority students through the concept of empowerment -- by having "our own teach our own". This is the single major reason why NHEC believes that our Institute is different - and frankly, more effective than - most current environmental education programs targeted to minority youth.

NHEC believes that our Institute addresses several major educational and employment challenges currently being faced by federal environmental agencies, as well as others in the private and non-profit sectors. Pre-eminent among them is the urgent need to tackle the severe under-representation of Latinos in the environmental workforce, and in its programs and policies. Latinos are particularly under-represented in science and technical fields in the environment, and especially in the environmental and energy field.

We believe that it is imperative that federal environmental agencies and others support programs like the Institute that help identify, educate, and recruit a workforce (and ultimately a community) qualified to help fulfill their mission. This, coupled with the federal government's commitment to diversity and a workforce that "looks like America", makes it incumbent on federal environmental agencies to actively support those efforts -like the Institute ~ that will meet their diversity goals, especially regarding Latinos.

Another imperative driving diversity recruitment/outreach efforts throughout the public sector is the looming "retirement crisis". At many federal and state environmental agencies, 40% of the workforce is eligible for retirement in 2007. Essentially, the wave of people who joined NRC, EPA, NPS, and many other agencies in the 1960's and 1970's are leaving. The result is an issue both pressing and profound; **who will replace these skilled professionals and do our nation's environmental work in the future?**

Unless addressed, this major "brain drain" will have a direct impact on the ability of environmental agencies - especially those charged with protecting our nation's health and safety, -- to fulfill their core missions. However, creating the next generation of leaders and technically trained science employees will not happen overnight. It means, among other things, **working with youth, and funding youth programs like the Institute that will build what federal agencies and others now urgently need --- an effective "recruitment pipeline" for tomorrow.**

Another need met by the Institute are the various requirements of the several Presidential Executive Orders (EO) that apply specifically to Hispanics. These are; EO 13171 (Oct. 2000), "Increasing Hispanic Employment in the Federal Government"; EO 12900 (Feb. 1994) "Educational Excellence for Hispanics"; and EO 12898 (March 1994) "Environmental Justice for All Americans".

The EO's cited above mandate outreach and diversity initiatives to Latinos, and we strongly believe that this Institute is an excellent example of a program that directly fulfills these requirements.

We believe that the Institute is a "deliverable" for federal agencies seeking to meet their employment and educational outreach requirements to Latinos under each EO cited above.

Thus, the Institute is not only an employment/recruitment program, it is clearly an outreach and educational program as well, in that it is teaching the students, and ultimately their families and

community, about key environmental and energy issues. We believe this outreach component is undoubtedly of major benefit to federal agencies mission, and others.

In summary, the Institute is - and if funded, will be again - an effective, proven vehicle for federal agencies and others to help create the environmental AND energy leaders of the near future. By supporting the Institute, agencies like NRC will be building a diverse, technically trained cadre of young talent educated about and headed toward these agencies' core mission.

For all these reasons, NHEC is seeking the support of NRC for its 8th annual "Minority Youth Environmental Training Institute". The National Hispanic Environmental Council is a national, non-profit, membership based organization founded in 1997, and located in Washington, D.C. NHEC is both an advocacy and a programmatic organization. With over 5,000 members across the country, NHEC is the only national Latino environmental and natural resource organization in the country.

NHEC seeks to educate, empower, and engage our community on environmental and sustainable development issues; encourage Latinos to actively work to preserve and protect our environment; provide a national advocacy and policy voice for Latinos before federal, state, and non-profit environmental decision makers; and actively assist Latinos to pursue the many career, educational, and policy opportunities in the environmental, natural resources, and energy field. We operate programs, in partnership with our supporters, that accomplish this mission, and furthers our guiding credo: " because it's our environment too".

Attachment C – Standard Terms and Conditions

The Nuclear Regulatory Commission's Standard Terms and Conditions for U.S. Nongovernmental Recipients

Preface

This award is based on the application submitted to, and as approved by, the Nuclear Regulatory Commission (NRC) under the authorization 42 USC 2051(b) pursuant to section 31b and 141b of the Atomic Energy Act of 1954, as amended, and is subject to the terms and conditions incorporated either directly or by reference in the following:

- Grant program legislation and program regulation cited in this Notice of Grant Award.
- Restrictions on the expenditure of Federal funds in appropriation acts, to the extent those restrictions are pertinent to the award.
- Code of Federal Regulations/Regulatory Requirements - 2 CFR 215 Uniform Administrative Requirements For Grants And Agreements With Institutions Of Higher Education, Hospitals, And Other Non-Profit Organizations (OMB Circulars), as applicable.

To assist with finding additional guidance for selected items of cost as required in 2 CFR 220, 2 CFR 225, and 2 CFR 230 these URLs to the Office of Management and Budget Cost Circulars are included for reference:

A-21: <http://www.whitehouse.gov/omb/circulars/a021/print/a021.html>

A-87: <http://www.whitehouse.gov/omb/circulars/a087/print/a087-all.html>

A-122: <http://www.whitehouse.gov/omb/circulars/a122/print/a122.html>

A-102, SF 424: <http://www.whitehouse.gov/omb/circulars/a102/print/a102.html>

Form 990: <http://www.irs.gov/pub/irs-pdf/i990-ez.pdf>

Any inconsistency or conflict in terms and conditions specified in the award will be resolved according to the following order of precedence: public laws, regulations, applicable notices published in the Federal Register, Executive Orders (EOs), Office of Management and Budget (OMB) Circulars, the Nuclear Regulatory Commission's (NRC) Mandatory Standard Provisions, special award conditions, and standard award conditions.

By drawing funds from the Automated Standard Application for Payment system (ASAP), the recipient agrees to the terms and conditions of an award.

Certifications and representations. These terms incorporate the certifications and representations required by statute, executive order, or regulation that were submitted with the SF424B application through Grants.gov.

I. Mandatory General Requirements

The order of these requirements does not make one requirement more important than any other requirement.

1. Applicability of 2 CFR Part 215

a. All provisions of 2 CFR Part 215 and all Standard Provisions attached to this grant/cooperative agreement are applicable to the Recipient and to sub-recipients which meet the definition of "Recipient" in Part 215, unless a section specifically excludes a sub-recipient from coverage. The Recipient and any sub-recipients must, in addition to the assurances made as part of the application, comply and require each of its sub-awardees employed in the completion of the project to comply with Subpart C of 2 CFR 215 Part 180 and include this term in lower-tier (subaward) covered transactions.

b. Recipients must comply with monitoring procedures and audit requirements in accordance with OMB Circular A-133. <

http://www.whitehouse.gov/omb/circulars/a133_compliance/08/08toc.aspx >

2. Award Package

This award is composed of an award face page, which incorporates the recipient's proposal by reference, and the NRC Standard Provisions for U.S. Nongovernmental Recipients. This award constitutes acceptance by the NRC of the Recipient's proposal and budget unless otherwise indicated on the face page, Block 9.

Substantial Involvement

This award is issued as a Cooperative Agreement, a financial assistance mechanism in which substantial NRC programmatic involvement is anticipated in the performance of the activity. Under the cooperative agreement, the NRC purpose is to support and stimulate the recipients' activities by involvement in and otherwise working jointly with the award recipients in a partnership role; it is not to assume direction, prime responsibility, or a dominant role in the activities. Consistent with this concept, the dominant role and prime responsibility resides with the awardees for the project as a whole, although specific tasks and activities may be shared among the awardees and the NRC as defined below.

1. Recipient Responsibility.

- a. The Recipient will bear primary responsibility for performance stated in the program description, within the limits of the Cooperative Agreement's terms and conditions.
- b. The principal investigator has primary authorities and responsibilities to define objectives and approaches, and to plan, conduct, analyze, and publish results, interpretations, and conclusions of their research and other activities. The Principal Investigator should be prepared to work collaboratively with the NRC to achieve the goals of this cooperative agreement and agree to accept the participatory and cooperative nature of the group process.

2. NRC Responsibilities

- a. The NRC Project Officer (PO) will have substantial involvement above and beyond the normal program stewardship of the award. The NRC PO will insert substantial involvement into the conference by actively participating as a speaking member.

- b. Role of the NRC Grants Officer:

The NRC Grants Officer (GO) is responsible for all business management aspects of negotiation, award, financial and administrative aspects of the cooperative agreement. The GO utilizes information from site visits, reviews of expenditure and audit reports and other appropriate means to assure that the project is operated in compliance with all applicable Federal laws, regulations, guidelines and the terms and conditions of award. Questions concerning the applicability of regulations and policies to this cooperative agreement and all requests for required prior approvals such as requests for permission to expend funds for certain items should be directed to the GO. Required approvals must be provided in writing and the GO is the only person who may grant such required approvals. Written approvals granted by other officials are not binding on the government. All changes in the terms of the cooperative agreement award must be issued in writing by the GO.

§ 215.41 Recipient responsibilities.

The Recipient is obligated to conduct such project oversight as may be appropriate, to manage the funds with prudence, and to comply with the provisions outlined in 2 CFR 215.41. Within this framework, the Principal Investigator (PI) named on the award face page, Block 11, is responsible for the scientific or technical direction of the project and for preparation of the project performance reports. This award is funded on a cost reimbursement basis not to exceed the amount awarded as indicated on the face page, Block 16., and is subject to a refund of unexpended funds to NRC.

The standards contained in this section do not relieve the recipient of the contractual responsibilities arising under its contract(s). The recipient is the responsible authority, without recourse to the NRC, regarding the settlement and satisfaction of all contractual and administrative issues arising out of procurements entered into in support of an award or other agreement. This includes disputes, claims, protests of award, source evaluation or other matters of a contractual nature. Matters concerning violation of statute are to be referred to such Federal, State or local authority as may have proper jurisdiction.

Subgrants

Appendix A to Part 215—Contract Provisions

Sub-recipients, sub-awardees, and contractors have no relationship with NRC under the terms of this grant/cooperative agreement. All required NRC approvals must be directed through the Recipient to NRC. See 2 CFR 215.180 and 215.41.

Nondiscrimination

(This provision is applicable when work under the grant/cooperative agreement is performed in the U.S. or when employees are recruited in the U.S.)

No U.S. citizen or legal resident shall be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity funded by this award on the basis of race, color, national origin, age, religion, handicap, or sex. The Recipient agrees to comply with the non-discrimination requirements below:

Title VI of the Civil Rights Act of 1964 (42 USC §§ 2000d et seq)
Title IX of the Education Amendments of 1972 (20 USC §§ 1681 et seq)
Section 504 of the Rehabilitation Act of 1973, as amended (29 USC § 794)
The Age Discrimination Act of 1975, as amended (42 USC §§ 6101 et seq)
The Americans with Disabilities Act of 1990 (42 USC §§ 12101 et seq)
Parts II and III of EO 11246 as amended by EO 11375 and 12086.
EO 13166, "Improving Access to Services for Persons with Limited English Proficiency."
Any other applicable non-discrimination law(s).

Generally, Title VII of the Civil Rights Act of 1964, 42 USC § 2000e et seq, provides that it shall be an unlawful employment practice for an employer to discharge any individual or otherwise to discriminate against an individual with respect to compensation, terms, conditions, or privileges of employment because of such individual's race, color, religion, sex, or national origin. However, Title VII, 42 USC § 2000e-1(a), expressly exempts from the prohibition against discrimination on the basis of religion, a religious corporation, association, educational institution, or society with respect to the employment of individuals of a particular religion to perform work connected with the carrying on by such corporation, association, educational institution, or society of its activities.

Modifications/Prior Approval

NRC prior written approval may be required before a recipient makes certain budget modifications or undertakes particular activities. If NRC approval is required for changes in the grant or cooperative agreement, it must be requested of, and obtained from, the NRC Grants Officer in advance of the change or obligation of funds. All requests for NRC prior approval must be made, in writing (which includes submission by e-mail), to the designated Grants Specialist and Program Office no later than 30 days before the proposed change. The request must be signed by both the PI and the authorized organizational official. Failure to obtain prior approval, when required, from the NRC Grants Officer may result in the disallowance of costs, termination of the award, or other enforcement action within NRC's authority.

Lobbying Restrictions

The Recipient will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

The Recipient shall comply with provisions of 31 USC § 1352. This provision generally prohibits the use of Federal funds for lobbying in the Executive or Legislative Branches of the Federal

Government in connection with the award, and requires disclosure of the use of non-Federal funds for lobbying.

The Recipient receiving in excess of \$100,000 in Federal funding shall submit a completed Standard Form (SF) LLL, "Disclosure of Lobbying Activities," regarding the use of non-Federal funds for lobbying within 30 days following the end of the calendar quarter in which there occurs any event that requires disclosure or that materially affects the accuracy of the information contained in any disclosure form previously filed. The Recipient must submit the SF-LLL, including those received from sub-recipients, contractors, and subcontractors, to the Grants Officer.

§ 215.13 Debarment And Suspension.

The Recipient agrees to notify the Grants Officer immediately upon learning that it or any of its principals:

- (1) Are presently excluded or disqualified from covered transactions by any Federal department or agency;
- (2) Have been convicted within the preceding three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, receiving stolen property, making false claims, or obstruction of justice; commission of any other offense indicating a lack of business integrity or business honesty that seriously and directly affects your present responsibility;
- (3) Are presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b); and
- (4) Have had one or more public transactions (Federal, State, or local) terminated for cause or default within the preceding three years.

b. The Recipient agrees that, unless authorized by the Grants Officer, it will not knowingly enter into any subgrant or contracts under this grant/cooperative agreement with a person or entity that is included on the Excluded Parties List System (<http://epls.arnet.gov>).

The Recipient further agrees to include the following provision in any subgrant or contracts entered into under this award:

'Debarment, Suspension, Ineligibility, and Voluntary Exclusion

The Recipient certifies that neither it nor its principals is presently excluded or disqualified from participation in this transaction by any Federal department or agency. The policies and procedures applicable to debarment, suspension, and ineligibility under NRC-financed transactions are set forth in 2 CFR Part 180.'

Drug-Free Workplace

The Recipient must be in compliance with The Federal Drug Free Workplace Act of 1988. The policies and procedures applicable to violations of these requirements are set forth in 41 USC 702.

Implementation of E.O. 13224 -- Executive Order On Terrorist Financing

The Recipient is reminded that U.S. Executive Orders and U.S. law prohibits transactions with, and the provision of resources and support to, individuals and organizations associated with terrorism. It is the legal responsibility of the Recipient to ensure compliance with these Executive Orders and laws. This provision must be included in all contracts/sub-awards issued under this grant/cooperative agreement.

Award recipients must comply with Executive Order 13224, Blocking Property and Prohibiting Transactions with Persons who Commit, Threaten to Commit, or Support Terrorism. Information about this Executive Order can be found at: www.fas.org/irp/offdocs/eo/eo-13224.htm.

Procurement Standards. § 215.40

Sections 215.41 through 215.48 set forth standards for use by recipients in establishing procedures for the procurement of supplies and other expendable property, equipment, real property and other services with Federal funds. These standards are furnished to ensure that such materials and services are obtained in an effective manner and in compliance with the provisions of applicable Federal statutes and executive orders. No additional procurement standards or requirements shall be imposed by the Federal awarding agencies upon recipients, unless specifically required by Federal statute or executive order or approved by OMB.

Travel

Travel is an appropriate charge to this award and prior authorization for specific trips are not required, as long as the trip is identified in the recipient's original program description and original budget. All other travel, domestic or international, must not increase the total estimated award amount. Trips that have not been identified in the approved budget require the written prior approval of the Grants Officer.

Travel will be in accordance with the US Government Travel Regulations at: www.gsa.gov/federaltravelregulation and the per diem rates set forth at: www.gsa.gov/perdiem.

Travel costs to the grant must be consistent with provisions as established in Appendix A to 2 CFR 220 (J.53)

Property Management Standards

Property standards of this award shall follow provisions as established in 2 CFR 215.30.

Equipment procedures shall follow provision established in 2 CFR 215.34.

Procurement Standards

Procurement standards of this award shall follow provisions as established in 2 CFR 215.40.

Intangible and Intellectual Property

Intangible and intellectual property of this award shall generally follow provisions established in 2 CFR 215.36.

Inventions Report - The Bayh-Dole Act (P.L. 96-517) affords recipients the right to elect title and retain ownership to inventions they develop with funding under an NRC grant award ("subject inventions"). In accepting an award, the recipient agrees to comply with applicable

NRC policies, the Bayh-Dole Act, and its Government-wide implementing regulations found at Title 37, Code of Federal Regulations (CFR) Part 401. A significant part of the regulations require that the recipient report all subject inventions to the awarding agency (NRC) as well as include an acknowledgement of federal support in any patents. NRC participates in the trans-government Interagency Edison system (<http://www.i Edison.gov>) and expects NRC funding recipients to use this system to comply with Bayh-Dole and related intellectual property reporting requirements. The system allows for recipients to submit reports electronically via the Internet. In addition, the invention must be reported in continuation applications (competing or non-competing).

Patent Notification Procedures- Pursuant to EO 12889, NRC is required to notify the owner of any valid patent covering technology whenever the NRC or its financial assistance Recipients, without making a patent search, knows (or has demonstrable reasonable grounds to know) that technology covered by a valid United States patent has been or will be used without a license from the owner. To ensure proper notification, if the Recipient uses or has used patented technology under this award without license or permission from the owner, the Recipient must notify the Grants Officer. This notice does not necessarily mean that the Government authorizes and consents to any copyright or patent infringement occurring under the financial assistance.

Data, Databases, and Software - The rights to any work produced or purchased under a NRC federal financial assistance award are determined by 2 CFR 215.36. Such works may include data, databases or software. The Recipient owns any work produced or purchased under a NRC federal financial assistance award subject to NRC's right to obtain, reproduce, publish or otherwise use the work or authorize others to receive, reproduce, publish or otherwise use the data for Government purposes.

Copyright - The Recipient may copyright any work produced under a NRC federal financial assistance award subject to NRC's royalty-free nonexclusive and irrevocable right to reproduce, publish or otherwise use the work or authorize others to do so for Government purposes. Works jointly authored by NRC and Recipient employees may be copyrighted but only the part authored by the Recipient is protected because, under 17 USC § 105, works produced by Government employees are not copyrightable in the United States. On occasion, NRC may ask the Recipient to transfer to NRC its copyright in a particular work when NRC is undertaking the primary dissemination of the work. Ownership of copyright by the Government through assignment is permitted under 17 USC § 105.

Records retention and access requirements for records of the Recipient shall follow established provisions in 2 CFR 215.53.

Organizational Prior Approval System

In order to carry out its responsibilities for monitoring project performance and for adhering to award terms and conditions, each recipient organization shall have a system to ensure that appropriate authorized officials provide necessary organizational reviews and approvals in advance of any action that would result in either the performance or modification of an NRC supported activity where prior approvals are required, including the obligation or expenditure of funds where the governing cost principles either prescribe conditions or require approvals.

The recipient shall designate an appropriate official or officials to review and approve the actions requiring NRC prior approval. Preferably, the authorized official(s) should be the same official(s) who sign(s) or countersign(s) those types of requests that require prior approval by

NRC. The authorized organization official(s) shall not be the principal investigator or any official having direct responsibility for the actual conduct of the project, or a subordinate of such individual.

Conflict Of Interest Standards of this award shall follow provisions as established in 2 CFR 215.42 Codes of Conduct.

Dispute Review Procedures

- a. Any request for review of a notice of termination or other adverse decision should be addressed to the Grants Officer. It must be postmarked or transmitted electronically no later than 30 days after the postmarked date of such termination or adverse decision from the Grants Officer.
- b. The request for review must contain a full statement of the recipient's position and the pertinent facts and reasons in support of such position.
- c. The Grants Officer will promptly acknowledge receipt of the request for review and shall forward it to the Director, Office of Administration, who shall appoint a review committee consisting of a minimum of three persons.
- d. Pending resolution of the request for review, the NRC may withhold or defer payments under the award during the review proceedings.
- e. The review committee will request the Grants Officer who issued the notice of termination or adverse action to provide copies of all relevant background materials and documents. The committee may, at its discretion, invite representatives of the recipient and the NRC program office to discuss pertinent issues and to submit such additional information as it deems appropriate. The chairman of the review committee will insure that all review activities or proceedings are adequately documented.
- f. Based on its review, the committee will prepare its recommendation to the Director, Office of Administration, who will advise the parties concerned of his/her decision.

Termination and Enforcement. Termination of this award by default or by mutual consent shall follow provisions as established in 2 CFR 215.60.

Monitoring and Reporting § 215.51

a. Recipient Financial Management systems must comply with the established provisions in 2 CFR 215.21

- Payment – 2 CFR 215.22
- Cost Share – 2 CFR 215.23
- Program Income – 2 CFR 215.24
 - Earned program income, if any, shall be added to funds committed to the project by the NRC and Recipient and used to further eligible project or program objectives.
- Budget Revision – 2 CFR 215.25
 - In accordance with 2 CFR 215.25(e), the NRC waives the prior approval requirement for items identified in sub-part (e)(1-4).

- o The Recipient is not authorized to rebudget between direct costs and indirect costs without written approval of the Grants Officer.
- o Allowable Costs – 2 CFR 215.27

b. Federal Financial Reports

Effective October 1, 2008, NRC transitioned from the SF-269, SF-269A, SF-272, and SF-272A to the Federal Financial Report (SF-425) as required by OMB:

http://www.whitehouse.gov/omb/fedreg/2008/081308_ffr.pdf

http://www.whitehouse.gov/omb/grants/standard_forms/ffr.pdf

http://www.whitehouse.gov/omb/grants/standard_forms/ffr_instructions.pdf

The Recipient shall submit a "Federal Financial Report" (SF-425) on a semi-annual basis for the periods ending March 31 and September 30, or any portion thereof, unless otherwise specified in a special award condition. Reports are due no later than 30 days following the end of each reporting period. A final SF-425 shall be submitted within 90 days after expiration of the award.

Period of Availability of Funds 2 CFR § 215.28

- a. Where a funding period is specified, a recipient may charge to the grant only allowable costs resulting from obligations incurred during the funding period and any pre-award costs authorized by the NRC.
- b. Unless otherwise authorized in 2 CFR 215.25(e)(2) or a special award condition, any extension of the award period can only be authorized by the Grants Officer in writing. Verbal or written assurances of funding from other than the Grants Officer shall not constitute authority to obligate funds for programmatic activities beyond the expiration date.
- c. The NRC has no obligation to provide any additional prospective or incremental funding. Any modification of the award to increase funding and to extend the period of performance is at the sole discretion of the NRC.
- d. Requests for extensions to the period of performance shall be sent to the Grants Officer at least 30 days prior to the grant/cooperative agreement expiration date. Any request for extension after the expiration date shall not be honored.

Automated Standard Application For Payments (ASAP) Procedures

Unless otherwise provided for in the award document, payments under this award will be made using the Department of Treasury's Automated Standard Application for Payment (ASAP) system < <http://www.fms.treas.gov/asap/> >. Under the ASAP system, payments are made through preauthorized electronic funds transfers, in accordance with the requirements of the Debt Collection Improvement Act of 1996. In order to receive payments under ASAP, Recipients are required to enroll with the Department of Treasury, Financial Management Service, and Regional Financial Centers, which allows them to use the on-line method of withdrawing funds from their ASAP established accounts. The following information will be required to make withdrawals under ASAP: (1) ASAP account number – the award number found on the cover sheet of the award; (2) Agency Location Code (ALC) – 31000001; and Region Code. Recipients enrolled in the ASAP system do not need to submit a "Request for Advance or Reimbursement" (SF-270), for payments relating to their award.

Audit Requirements

Organization-wide or program-specific audits shall be performed in accordance with the Single Audit Act Amendments of 1996, as implemented by OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations."

<http://www.whitehouse.gov/omb/circulars/a133/a133.html> Recipients are subject to the provisions of OMB Circular A-133 if they expend \$500,000 or more in a year in Federal awards.

The Form SF-SAC and the Single Audit Reporting packages for fiscal periods ending on or after January 1, 2008 must be submitted online.

1. Create your online report ID at <http://harvester.census.gov/fac/collect/ddeindex.html>
2. Complete the Form SF-SAC
3. Upload the Single Audit
4. Certify the Submission
5. Click "Submit."

Organizations expending less than \$500,000 a year are not required to have an annual audit for that year but must make their grant-related records available to NRC or other designated officials for review or audit.

III. Programmatic Requirements

Performance (Technical) Reports

a. The Recipient shall submit performance (technical) reports electronically to the NRC Project Officer and Grants Officer as specified in the special award conditions in the same frequency as the Federal Financial Report unless otherwise authorized by the Grants Officer.

b. Unless otherwise specified in the award provisions, performance (technical) reports shall contain brief information as prescribed in the applicable uniform administrative requirements 2 CFP §215.51 which are incorporated in the award.

Unsatisfactory Performance

Failure to perform the work in accordance with the terms of the award and maintain at least a satisfactory performance rating or equivalent evaluation may result in designation of the Recipient as high risk and assignment of special award conditions or other further action as specified in the standard term and condition entitled "Termination".

Failure to comply with any or all of the provisions of the award may have a negative impact on future funding by NRC and may be considered grounds for any or all of the following actions: establishment of an accounts receivable, withholding of payments under any NRC award, changing the method of payment from advance to reimbursement only, or the imposition of other special award conditions, suspension of any NRC active awards, and termination of any NRC award.

Other Federal Awards With Similar Programmatic Activities

The Recipient shall immediately provide written notification to the NRC Project Officer and the Grants Officer in the event that, subsequent to receipt of the NRC award, other financial assistance is received to support or fund any portion of the program description incorporated into the NRC award. NRC will not pay for costs that are funded by other sources.

Prohibition Against Assignment By The Recipient

The Recipient shall not transfer, pledge, mortgage, or otherwise assign the award, or any interest therein, or any claim arising thereunder, to any party or parties, banks, trust companies, or other financing or financial institutions without the express written approval of the Grants Officer.

Site Visits

The NRC, through authorized representatives, has the right, at all reasonable times, to make site visits to review project accomplishments and management control systems and to provide such technical assistance as may be required. If any site visit is made by the NRC on the premises of the Recipient or contractor under an award, the Recipient shall provide and shall require his/her contractors to provide all reasonable facilities and assistance for the safety and convenience of the Government representative in the performance of their duties. All site visits and evaluations shall be performed in such a manner as will not unduly delay the work.

IV. Miscellaneous Requirements

Criminal and Prohibited Activities

- a. The Program Fraud Civil Remedies Act (31 USC §§ 3801-3812), provides for the imposition of civil penalties against persons who make false, fictitious, or fraudulent claims to the Federal government for money (including money representing grant/cooperative agreements, loans, or other benefits.)
- b. False statements (18 USC § 287), provides that whoever makes or presents any false, fictitious, or fraudulent statements, representations, or claims against the United States shall be subject to imprisonment of not more than five years and shall be subject to a fine in the amount provided by 18 USC § 287.
- c. False Claims Act (31 USC 3729 et seq), provides that suits under this Act can be brought by the government, or a person on behalf of the government, for false claims under federal assistance programs.
- d. Copeland "Anti-Kickback" Act (18 USC § 874), prohibits a person or organization engaged in a federally supported project from enticing an employee working on the project from giving up a part of his compensation under an employment contract.

American-Made Equipment And Products

Recipients are hereby notified that they are encouraged, to the greatest extent practicable, to purchase American-made equipment and products with funding provided under this award.

Increasing Seat Belt Use in the United States

Pursuant to EO 13043, Recipients should encourage employees and contractors to enforce on-the-job seat belt policies and programs when operating company-owned, rented or personally-owned vehicle.

Federal Employee Expenses

Federal agencies are generally barred from accepting funds from a Recipient to pay transportation, travel, or other expenses for any Federal employee unless specifically approved in the terms of the award. Use of award funds (Federal or non-Federal) or the Recipient's provision of in-kind goods or services, for the purposes of transportation, travel, or any other expenses for any Federal employee may raise appropriation augmentation issues. In addition,

NRC policy prohibits the acceptance of gifts, including travel payments for Federal employees, from Recipients or applicants regardless of the source.

Minority Serving Institutions (MSIs) Initiative

Pursuant to EOs 13256, 13230, and 13270, NRC is strongly committed to broadening the participation of MSIs in its financial assistance program. NRC's goals include achieving full participation of MSIs in order to advance the development of human potential, strengthen the Nation's capacity to provide high-quality education, and increase opportunities for MSIs to participate in and benefit from Federal financial assistance programs. NRC encourages all applicants and Recipients to include meaningful participations of MSIs. Institutions eligible to be considered MSIs are listed on the Department of Education website:

<http://www.ed.gov/about/offices/list/ocr/edlite-minorityinst.html>

Research Misconduct

Scientific or research misconduct refers to the fabrication, falsification, or plagiarism in proposing, performing, or reviewing research, or in reporting research results. It does not include honest errors or differences of opinions. The Recipient organization has the primary responsibility to investigate allegations and provide reports to the Federal Government. Funds expended on an activity that is determined to be invalid or unreliable because of scientific misconduct may result in a disallowance of costs for which the institution may be liable for repayment to the awarding agency. The Office of Science and Technology Policy at the White House published in the Federal Register on December 6, 2000, a final policy that addressed research misconduct. The policy was developed by the National Science and Technology Council (65 FR 73260). The NRC requires that any allegation be submitted to the Grants Officer, who will also notify the OIG of such allegation. Generally, the Recipient organization shall investigate the allegation and submit its findings to the Grants Officer. The NRC may accept the Recipient's findings or proceed with its own investigation. The Grants Officer shall inform the Recipient of the NRC's final determination.

Publications, Videos, and Acknowledgment of Sponsorship

Publication of the results or findings of a research project in appropriate professional journals and production of video or other media is encouraged as an important method of recording and reporting scientific information. It is also a constructive means to expand access to federally funded research. The Recipient is required to submit a copy to the NRC and when releasing information related to a funded project include a statement that the project or effort undertaken was or is sponsored by the NRC. The Recipient is also responsible for assuring that every publication of material (including Internet sites and videos) based on or developed under an award, except scientific articles or papers appearing in scientific, technical or professional journals, contains the following disclaimer:

"This [report/video] was prepared by [Recipient name] under award [number] from [name of operating unit], Nuclear Regulatory Commission. The statements, findings, conclusions, and recommendations are those of the author(s) and do not necessarily reflect the view of the [name of operating unit] or the US Nuclear Regulatory Commission."