Procedural Enhancements

Bob Lerch
Region III

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Procedural Enhancements

- Purpose: Reach a common understanding and alignment on the nature of procedural changes

- What: Revise several baseline, event response, and supplemental procedures to more accurately address safety culture

What we do now in 71152

- Types of inspections
  - Routine review: Review of each item entered into the CAP
  - Quarterly samples: Follow-up on a sample of 3-6 issues
  - Semi-annual review: Look for trends including outside the CAP
  - Biennial: Overall review of CAP and a sample of SCWE
- Documentation
  - Findings: Performance deficiencies that are more than minor
  - Assessments: Conclusions based on inspection results

Proposed Changes to 71152

- Routine review: No change
- Quarterly samples: No change
- Semi-annual trending: No change
- Biennial: Enhance to align with cross-cutting areas of PI&R and SCWE
  - For example:
    - Modify sample requirements for review of self and independent assessments, including safety culture assessments
    - Include any alternate process for raising concerns as part of the inspection sample

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Event Response Procedures

- 71153, “Event Followup”
- 93812, “Special Inspection”
- 93800, “Augmented Inspection Team”

  – Enhance inspection guidance to consider causal factors related to safety culture

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Supplemental Procedures

- 95001, “Inspection for One or Two White Inputs …”
- 95002, “Inspection for One Degraded Cornerstone …”
- 95003, “Supplemental Inspection for Repetitive Degraded Cornerstone …”

  – Enhance guidance to verify that the licensee adequately identified through self or independent assessment whether safety culture components were factors in performance problems, and took appropriate corrective actions

Summary

- Procedure changes are planned
- Proposed enhancements will more accurately address components of safety culture