

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT

BPA NO.

1. CONTRACT ID CODE

PAGE 1

OF PAGE 2

2. AMENDMENT/MODIFICATION NO. 0003

3. EFFECTIVE DATE See Block 15c.

4. REQUISITION/PURCHASE REQ. NO. 42-07-036T013M003 09703613160

5. PROJECT NO.(If applicable)

6. ISSUED BY U.S. Nuclear Regulatory Commission Div. of Contracts Attn: Jeffrey R. Mitchell, 301-492-3639 Mail Stop: TWB-01-B10M Washington, DC 20555

CODE 3100

7. ADMINISTERED BY (If other than Item 6) U.S. Nuclear Regulatory Commission Div. of Contracts Mail Stop: TWB-01-B10M Washington, DC 20555

CODE 3100

8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code)

INFORMATION SYSTEMS LABORATORIES, INC ISL ATTN: DR. JAMES F. MEYER 11140 ROCKVILLE PIKE, SUITE 500 ROCKVILLE MD 20852

CODE 107928806

FACILITY CODE

(X)

9A. AMENDMENT OF SOLICITATION NO.

9B. DATED (SEE ITEM 11)

10A. MODIFICATION OF CONTRACT/ORDER NO. NRC-42-07-036 0013

10B. DATED (SEE ITEM 13) 01-11-2008

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers is extended, is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required) 925-15-171-103 Q4160 252A 31x0200.825 Obligate \$75,000.00

13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

(X) A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.

B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).

X C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF: Bilateral Mutual Agreement of the Parties

D. OTHER (Specify type of modification and authority)

E. IMPORTANT: Contractor is not, X is required to sign this document and return 2 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

.....REFER TO ATTACHED PAGE TWO FOR A DESCRIPTION OF MODIFICATION NO. THREE.....

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)

Bruce B. Mrowca V.P.

16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print)

Jeffrey R. Mitchell Contracting Officer

15B. CONTRACTOR OFFEROR

(Signature of person authorized to sign)

15C. DATE SIGNED

6/9/09

16B. UNITED STATES OF AMERICA

BY

(Signature of Contracting Officer)

16C. DATE SIGNED

6/9/09

NSN 7540-01-152-8070 PREVIOUS EDITION NOT USABLE

TEMPLATE - ADM001

SUNSI REVIEW COMPLETE

JUN 12 2009

STANDARD FORM 50 (REV. 10-83) Prescribed by GSA, YEAR 1987

ADM002

The purpose of this modification is to (1) to incorporate the revised task order Statement of Work, (2) increase the contract ceiling by \$114,613.00 from \$244,484.00 to \$359,097.00, (3) provide incremental funding in the amount of \$75,000.00 thereby increasing the total obligations from \$244,484.00 to 319,484.00, (4) extend the period of performance from October 10, 2009 to September 30, 2010 and (5) accept ISL's 2009 Provisional Billing/Forward Pricing Rates for this Task Order No. 13.

Accordingly, the subject task order is modified as follows:

Refer to the Task Order No. 13 "Statement of Work" is here by deleted in its entirety and replaced with the following Statement of Work attached to this Modification No. 3 entitled "Statement of Work Rev 1".


Task Order No. 13 shall be in effect from January 11, 2008 through September 30, 2010, with a cost ceiling of \$359,097.00. The amount of \$338,665.00 represents the estimated reimbursable costs, and the amount of \$20,432.00 represents the fixed fee.

The amount obligated by the Government with respect to this task order is \$319,484.00, of which \$301,306.00 represents the estimated reimbursable costs, and the amount of \$18,178.00 represents the fixed fee.

**A.1 2052.216-71 INDIRECT COST RATES (JAN 1993)**

(a) Pending the establishment of final indirect rates which must be negotiated based on audit of actual costs, the contractor shall be reimbursed for allowable indirect costs as follows:

APPLIES ONLY TO TASK ORDER NO. 13, UNDER NRC-42-07-036

INDIRECT COST POOL	RATE	BASE	PERIOD
Fringe Benefits		Direct Labor	January 1, 2009 - Task 13 Expiration
Overhead		Direct Labor	January 1, 2009 - Task 13 Expiration
G&A		Total Value Added Cost Input	January 1, 2009 - Task 13 Expiration
Material Handling		Materials and Subcontractor Costs	January 1, 2009 - Task 13 Expiration

(b) The contracting officer may adjust these rates as appropriate during the term of the contract upon acceptance of any revisions proposed by the contractor. It is the contractor's responsibility to notify the contracting officer in accordance with FAR 52.232-20, Limitation of Cost, or FAR 52.232-22, Limitation of Funds, as applicable, if these changes affect performance of work within the established cost or funding limitations.

\*\*\*\*ALL OTHER TERMS AND CONDITIONS OF THE SUBJECT TASK ORDER REMAIN UNCHANGED\*\*\*\*

**TASK ORDER 13 - MODIFICATION**  
**STATEMENT OF WORK REVISION NO. 1**

JCN Q4160	Contractor Information Systems Laboratories, Inc.	Task Order No. 13 ( <b>Modification 3</b> )
Applicant Westinghouse	Design/Site AP1000/Design Certification	Docket No. <b>5200006</b>
Title/Description Technical Assistance in Support of Design Certification (DC) Reviews of Balance of Plant (BOP) SRP Systems for Westinghouse AP1000 Licensing Applications		
TAC Nos. RX0243	B&R Number 925-15-171-103	SRP Section(s) BOP SRP Sections (see Section 2)
NRC Technical Assistance Project Manager (TAPM)		
Richard Daniel	(301) 415-6319	<a href="mailto:Richard.Daniel@nrc.gov">Richard.Daniel@nrc.gov</a>
NRC Technical Monitor (TM)		
Angelo Stubbs	(301) 415-4013	<a href="mailto:Angelo.Stubbs@nrc.gov">Angelo.Stubbs@nrc.gov</a>

**1.0 BACKGROUND**

The purpose of this Task Order is to obtain the necessary technical assistance to support the NRC staff in determining whether or not the subject COL application meets appropriate regulatory requirements.

Standard design certification (DC) and combined license (COL) applications are submitted pursuant to Title 10 of the *Code of Federal Regulations* (10 CFR), Part 52, "Early Site Permits; Standard Design Certifications; and Combined Licenses for Nuclear Power Plants." The U.S. Nuclear Regulatory Commission (NRC) reviews these requests based on information furnished by, DC and COL applicants pursuant to 10 CFR 52.79, "Contents of Applications Technical Information." The staff publishes the results of these reviews in a Safety Evaluation Report (SER).

The balance of plant (BOP) branch is responsible for performing systems-related safety evaluations of DC and COL applications for proposed new reactors. The branch reviews and evaluates the design and functional performance requirements of essential auxiliary support and balance of plant systems. This includes the review of various BOP fluid systems, protection against internal hazards (such as flooding, pipe breaks, and internally generated missiles), the design of new and spent fuel storage (including load handling systems), the turbine generator and the support systems for the emergency diesel generator. The branch also performs reviews of reactor coolant pressure boundary leakage detection, and is specified as a secondary review branch for radioactive waste systems (system design review only).

This task order is for assistance in support of the review of revisions to the Westinghouse AP1000 design certification and review of combined license application expected to reference this design. Each application will be considered a separate work item, and will be provided upon receipt of the application. The applicants and their planned schedules are listed in Table 1 of

Attachment 1, which are subject to change. Additional background information may be found in the Information Systems Laboratories, inc. (ISL) basic task ordering agreement.

## 2.0 OBJECTIVE

The objective of this task order is to obtain technical expertise from ISL to assist the NRC staff in determining if specific COL/DC application meets appropriate regulatory requirements. The review will be conducted in accordance with 10CFR Part 52, and will be guided primarily by NUREG-0800 "Standard Review Plans for the review of Safety Analysis Reports for Nuclear Power Plants LWR edition." ISL will provide assistance to the staff by developing letter reports that identify needed request for additional information (RAIs) and technical evaluation reports (TER) that provide technical input to the staff in the staff's development of the preliminary safety evaluation reports (SER), SER with open items, and SER with no open items.

The primary deliverable, or output of this regulatory review, shall be the TER. The TER will serve as input to the NRC staff's SER which will document the NRC's technical, safety, and legal basis for approving the COL application. The TER must provide sufficient information to adequately explain the NRC staff's rationale for why there is *reasonable assurance* that public health and safety is protected. The TER, and ultimately the SER, should be written in a manner whereby a person with a technical (non-nuclear) background and unfamiliar with the applicant's request could understand the basis for the staff's conclusions. The TER format is to be provided by NRC.

ISL shall utilize NUREG-0800 as necessary to conduct the safety reviews of the COL/DC applications for the Balance-of-Plant Branch (SBPA/SBPB) for some or all of the following SRP sections as specified for a particular application:

- 3.4.1 Internal Flood Protection for Onside Equipment Failures
- 3.6.1 Plant Design for Protection against Postulated Piping Failures in Fluid Systems  
Outside Containment
- 9.3.1 Compressed Air System
- 9.3.3 Equipment and Floor Drainage System
- 3.5.1.1 Internally Generated Missiles (Outside Containment)
- 3.5.1.2 Internally Generated Missiles (Inside Containment)
- 3.5.1.4 Missiles Generated by Tornadoes and Extreme Winds
- 3.5.2 Structures, Systems, and Components to be Protected from Externally-Generated Missiles
- 5.2.5 Reactor Coolant Pressure Boundary Leakage Detection
- 9.1.2 New and Spent Fuel Storage
- 9.1.3 Spent Fuel Cooling and Cleanup System
- 9.1.4 Light Load Handling System (Related to Refueling)
- 9.1.5 Overhead Heavy Load Handling systems
- 9.2.1 Station Service Water System
- 9.2.2 Reactor Auxiliary Cooling Water System
- 9.2.5 Ultimate Heat Sink
- 9.2.4 Potable and Sanitary Water Systems
- 9.2.6 Condensate Storage Facilities
- 10.4.7 Condensate and Feedwater System
- 10.4.9 Auxiliary Feedwater System (PWR)
- 10.2 Turbine generator
- 10.3 Main Steam Supply System

- 10.4.1 Main Condensers
- 10.4.2 Main condenser Evacuation System
- 10.4.3 Turbine Gland Sealing System
- 10.4.4 Turbine Bypass System
- 10.4.5 Circulating Water System
- 9.5.4 Emergency Diesel Engine Fuel Oil Storage and Transfer System
- 9.5.5 Emergency Diesel Engine Cooling Water System
- 9.5.6 Emergency Diesel Engine Starting System
- 9.5.7 Emergency Diesel Engine Lubrication System
- 9.5.8 Emergency Diesel Engine Combustion Air Intake and Exhaust System
- 14.3.7 Applicable Groups of Plant systems – Inspections, Tests, Analyses, and Acceptance Criteria (Tier 1)

ISL will also review the applications for following SRP sections as the secondary review branch specified in the SRPs:

- 11.2 Liquid Waste Management System (only system design and performance)
- 11.3 Gaseous Waste Management System (only system design and performance)
- 11.4 Solid Waste Management System (only system design and performance)
- 14.2 Initial Plant Test Program for applicable balance-of-plant systems
- 16 Technical Specifications for applicable balance-of-plant systems

In addition, ISL will review BOP related generic issues including NRC Bulletins and Generic Letters, TMI action Items, Task Action Plan, New Generic Issues. ISL will also review BOP related Regulatory Treatment of Non-Safety Systems (RTNSS).

### 3.0 WORK REQUIREMENTS, SCHEDULE, AND DELIVERABLES

Subtask Description	Due Date Or Days	Deliverables
1. Become familiar with applicable SRP Sections (see Section 2) and applicable references (e.g., RG 1.206 and others).	* Define time period after authorization of work	N/A
2. Participate in a kick-off meeting with the NRC staff to discuss the scope of the work, expectations and task order management for each application.	* Define time after receipt of each application (see Attachment 2)	N/A
3. Support staff's acceptance review to identify major deficiencies in the application that might impact the review or affect the planned resource and schedule. The Input will be provided in email/conference calls.	* 15 days from the receipt of the application	EMAIL/Conference Call

Subtask Description	Due Date Or Days	Deliverables
<p>4. Review the COL application applicable sections (see Section 2) to determine the adequacy of the application. Determine if the methods and approach proposed by the applicant meet the appropriate review guidance. Identify issues and those aspects of the application that need additional or clarifying information. Prepare a Technical Evaluation Report (TER) providing</p> <ul style="list-style-type: none"> <li>a. the draft Request for Additional Information (RAIs), and</li> <li>b. the draft preliminary safety evaluation report (PSER).</li> </ul>	* 90 days from the receipt of the application	Technical Evaluation Report providing staff with RAIs and PSER
<p>5. Review responses to the RAI questions to determine if they adequately resolve the outstanding issues. Prepare a TER providing the input to the SER with open items (SER/OI).</p>	* 20 days after receipt of the RAI responses	Technical Evaluation Report providing the input to the SER with open items (SER/OI).
<p>6. <b>Review the applicant's response to the Confirmatory and open items identified in subtask 5. Prepare a technical evaluation report (TER) providing the input to the SER describing the resolution to the open items.</b></p> <p><b>This effort could include the followings:</b></p> <ul style="list-style-type: none"> <li>a. <b>Review of applicant's letters responding to Staffs RAI's</b></li> <li>b. <b>Review of New Revisions to the AP1000 DCD</b></li> <li>c. <b>Providing support to NRC staff at Public Meetings held to discuss resolution of unresolved RAI's and Open Items.</b></li> <li>d. <b>Providing support to NRC staff conducting audits.</b></li> </ul>	<p>* 20 days after receipt the applicant's responses to the open items</p> <p>* N/A</p> <p>one week after return from trip</p>	<p><b>Technical Evaluation Report (TER) providing the input to the SER describing the resolution to the open items</b></p> <p><b>Meeting Attendance</b></p> <p><b>Trip/Audit Report</b></p>
<p>7. <i>As needed and requested by the staff, provide technical support to the staff during related ACRS meetings and hearing proceedings.</i></p>	TBD	TBD

\* See projected application date in Table 1 of Attachment 1. These work schedules are subject to change by the NRC project manager (NRC PM) to support the needs of the NRC Licensing Project Plan Integrated Schedule. However, the deliverables shall be in accordance with the

original contract criteria. As a result of Subtask 3 for each application, changes of the above table and the level of effort and contract costs may be needed.

The contractor shall submit a cost estimate, staffing plan, and project plan with a schedule for deliverables within 10 days of receipt of this task order, unless otherwise directed by the NRC technical assistance project manager (TAPM). The NRC technical monitor (TM) will review the plan based on the forecast of the NRC LPP Integrated Schedule.

ISL shall provide the following information prior to initiation of a task order:

- A staffing plan that specifically reflects services to be provided
- A quality control plan which outlines the procedures and system that ISL will use for document version and configuration control, technical input tracking, change management, and technical and editorial reviews. ISL shall organize, track, and manage changes in a structured, systematic, and transparent manner, throughout the production of each task order deliverable.
- ISL shall also provide a statement of professional qualifications for staff proposed to work under this task order.

#### **4.0 TECHNICAL AND OTHER SPECIAL QUALIFICATIONS REQUIRED**

As specified in the basic task ordering agreement, ISL shall provide individuals who have the required educational background and work experience to meet the objectives of the work specified in this task order. The personnel specified to perform the reviews (engineers/scientists) shall possess experience in technical areas related to U.S. nuclear reactor design, construction, operation, maintenance, and inspection of nuclear power plants. Emphasis is placed on experience that is related to safety where judgments are made as to whether applicable codes and federal regulations are being, or have been, and/or followed. Specific qualifications for these efforts include:

- Engineers/Scientists who are cognizant of nuclear plant designs and are capable of performing detailed design and safety reviews addressing the adequacy of plant protection from internal hazards including, floods, pipe failures, and missiles.
- Engineers/Scientists who are cognizant of nuclear plant designs and are capable of performing detailed design and safety reviews of balance of plant fluid systems including service water, component cooling water, compressed air, circulating water, ultimate heat sink and condensate storage.
- Engineers/Scientist who are cognizant of nuclear plant designs and are capable of performing detailed design and safety reviews of balance of plant steam and power conversion systems including main steam, main condensers, turbine bypass, condensate and feedwater, and auxiliary feedwater systems.
- Engineers/Scientist who are cognizant of nuclear plant designs and are capable of performing detailed design and safety reviews of spent fuel storage, cooling, and cleanup, and review of light and heavy load handling systems.
- Engineers/Scientist who are cognizant of nuclear plant designs and are capable of performing detailed design and safety reviews of emergency diesel support systems

including diesel fuel oil storage & transfer, diesel cooling water, diesel starting, diesel lubrication, diesel combustion air intake & exhaust systems.

- Engineers/Scientist who are cognizant of nuclear plant designs and are capable of performing detailed design and safety reviews of radioactive waste management systems and reactor coolant boundary leakage detection.

ISL shall provide a project manager (PM) to oversee the effort and ensure the timely submittal of quality deliverables so that all information is accurate and complete as defined in the base contract.

The NRC will rely on representations made by ISL concerning the qualifications of the personnel assigned to this task order, including assurance that all information contained in the technical and cost proposals, including resumes, is accurate and truthful. The resume for each professional proposed to work under this task order (principal investigators, technical staff, employees, consultants, specialists or subcontractors) shall describe the individual's experience in applying his or her area of engineering specialization to work in the proposed area. The use of particular personnel on this task order is subject to the NRC technical monitor's (TM's) approval. This includes any proposed changes to key personnel during the life of the task order.

## **5.0 REPORTING REQUIREMENTS**

### **Task Order Progress Report**

The contractor shall provide a bi-weekly progress report summarizing accomplishments, expenditures, contractor staff hours expended, percent completed for each task under this task order, and any problems encountered by the contractor. The report shall be sent via e-mail to the NRC TM, TAPM and CO. Please refer to Section F of the basic contract award document for contract reporting requirements.

### **Technical reporting requirements**

Unless otherwise specified above, the contractor shall provide all deliverables as draft products. The NRC TM will review all draft deliverables (and coordinate any internal NRC staff review, if needed) and provide comments back to the contractor. The contractor shall revise the draft deliverable based on the comments provided by the TM, and then deliver the final version of the deliverable. When mutually agreed upon between the contractor and the TM, the contractor may submit preliminary or partial drafts to help gauge the contractor's understanding of the particular work requirement.

The contractor shall provide the following deliverables in hard copy and electronic formats. The electronic format shall be provided in MS Word or other word processing software approved by the TM. For each deliverable, the contractor shall provide one hard copy and electronic copy to both the PM and the TM. The schedule for deliverables shall be contained in the approved project plan for the task order effort.

In all correspondence, include identifying information: JCN Q4160; Task Order 13; the applicant: Westinghouse; and, the site: Design Certification Amendment.



## **6.0 MEETINGS AND TRAVEL**

The following travel assumptions should be considered in planning the work effort. It is likely that a smaller group than the entire review team will be necessary to accomplish some activities; the actual travel contingent will be determined by the NRC TM after discussion with the contractor PM (and PTL). Travel in excess of the total number of person-trips must be approved by the NRC TAPM; travel within the work scope limits will be approved by the NRC TM.

- One-person, two-day working meeting to kickoff project
- One- person, two-day meetings, if needed, for hearing or ACRS meeting.
- **Two-person, two day trip, if need, to Westinghouse headquarters (Monroeville, PA) to support staff Audit.**

At the discretion of the NRC TM, quarterly progress meetings may be conducted at the contractor or via telephone or video conference

## **7.0 NRC FURNISHED MATERIAL**

The NRC TM will provide those NRC documents related to the applicable portions of the application that are readily available. The NRC TM will provide access to the applicant's safety analysis report, pertinent sections of the COL, DC, or other NRC safety documents and docketed correspondence on related issues. The contractor staff will identify any additional NRC documentation that is needed and the TM will determine whether it will be provided by the NRC or obtained directly by the contractor, NUDOCS, ADAMS, NRC public document room or the NRC website at [www.nrc.gov](http://www.nrc.gov).

## **8.0 PERIOD OF PERFORMANCE**

The period of performance is from 01/11/2008 through 9/30/2010.

## **9.0 OTHER APPLICABLE INFORMATION**

### License Fee Recovery

- All work under this task order is fee-recoverable under 10 CFR Part 170 and shall be charged to the appropriate TAC number(s).

### Expected Classification or Sensitivity

- All work under this project is expected to be unclassified and not sensitive.

### Assumptions and Understandings:

- The level of effort for Tasks 4 and 5 is based on the assumption that the contractor is familiar with the review procedures of SRP Sections.
- The level of effort in Task 7 is based on requiring one trip to NRC headquarters.

- It is assumed that the ISL will have access to the NRC furnished material available on the Internet.
- It is understood that the scope of the review consists of conference calls with the NRC staff, and with the NRC staff and the applicant, to discuss open items in an attempt to obtain additional information or reach resolution.