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UNITED STATES OF AMERICA

NUCLEAR REGULATORY COMMISSION

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ADVISORY COMMITTEE ON REACTOR SAFEGUARDS

(ACRS)

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SUBCOMMITTEE ON SAFETY RESEARCH PROGRAM

+ + + + +

FRIDAY

APRIL 17, 2009

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ROCKVILLE, MARYLAND

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The Subcommittee met at the Nuclear  
Regulatory Commission, Two White Flint North, Room  
T2B3, 11545 Rockville Pike, at 8:30 a.m., Dana A.  
Powers, Chairman, presiding.

COMMITTEE MEMBERS:

DANA A. POWERS, Chairman

SAID ABDEL-KHALIK, Member

OTTO L. MAYNARD, Member

HAROLD B. RAY, Member

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ACRS STAFF PRESENT:

WILLIAM HINZE, Consultant

MICHAEL LEE

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Opening Remarks by Subcommittee Chair ..... 4

Dana Powers, ACRS

Project Overview and Update: Central and Eastern  
United States Seismic Source Characterization for  
Nuclear Facilities ..... 5

Lawrence Salomone, ISRS

Subcommittee Discussion ..... 95

Dana Powers, et al

Adjourn

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P-R-O-C-E-E-D-I-N-G-S

8:31 a.m.

CHAIRMAN POWERS: Let's come back into session. Today is the second day of our meeting of the Safety Research Program Subcommittee. I'm Dana Powers, chairman of the subcommittee. Other ACRS members in attendance today are Said Abdel-Khalik, Sam Armijo, Otto Maynard, Harold Ray and Bill Hinze is here as our consultant. Mike Lee of the ACRS staff is the designated federal official and will do designated federal official stuff, right?

MR. LEE: Right.

CHAIRMAN POWERS: As stated in the earlier Federal Register notice, a transcript of the meeting is being prepared and will be made publicly available in the near future on the ACRS website. We request anyone wishing to address the subcommittee on the record to use one of the microphones located throughout the room.

We ask that you identify yourself, speak with sufficient volume and clarity so that you can be readily understood. Let's see. Mike mentioned to me that any of the members interested in getting electronic versions of the slides, he will have those available at the close of the meeting. Any members of

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1 the audience wanting to get them can negotiate with  
2 Mike. And for a small fee, or future consideration he  
3 may accommodate your interest.

4 One of the common threads uniting  
5 yesterday's suite of presentations concerned the  
6 estimation of seismic hazard in the form of earthquake  
7 ground motion that needs to be accounted for in the  
8 design of nuclear power plants. Our first and only  
9 speaker today is Mr. Lawrence Salomone and he will  
10 talk about an industry-government partnership under  
11 way to develop a new seismic source characterization  
12 model for the Central and Eastern United States. And  
13 I'll turn the meeting over to you.

14 MR. SALOMONE: Thank you, Dan. I  
15 appreciate the opportunity to share some of the  
16 insights and perspective for this timely and landmark  
17 project. Based on my discussions with Mike I'm going  
18 to focus on kind of the status and overview on my  
19 slides, but I also could provide information with  
20 respect to some of the things we talked about  
21 yesterday about how work like this can be managed,  
22 some of the lessons learned that I've already  
23 assembled in terms of a project like this. So I'd be  
24 happy to share that during the course of our  
25 discussion today. I will also provide the CD with the

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1 Workshop No. 2. It's an excellent example of how the  
2 SSHAC process works to augment what you heard  
3 yesterday. One of the things that I can do with our  
4 presentation today is actually fill in some of the  
5 details. Where some of the concepts might be abstract  
6 you'll actually see it living in terms of this  
7 project.

8           And then we'll look a little closer on an  
9 important topic. We talked about it a little today.  
10 It's very important. As you will learn, I've actually  
11 expanded the scope of this project from the original  
12 conceptual plan regarding paleoliquefaction,  
13 assembling the data as well as what do you do with the  
14 data in terms of reoccurrence and maximum magnitude.  
15 And then finally I'm already looking ahead to 2011  
16 when we will be making this a very transparent process  
17 and put information out in the public domain so you  
18 also will have a list, a draft list that have already  
19 begun EPRI in terms of starting the design of the  
20 website on what would be included in that. And we'll  
21 talk a little about that, and I'd encourage you to  
22 comment, you know, send any comments you might in  
23 terms of what you think we need to add or subtract  
24 with respect to that.

25           So it's really a product of the community,

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1 the end users, and the team that we have assembled are  
2 essentially the instruments of that goal, to achieve a  
3 new CEUS seismic source characterization project.

4 With that said let me just some of my  
5 background so you understand whence I come and where I  
6 am right now and how I fit into some of the things  
7 that are going on in the energy field. I have 40  
8 years of experience in the environmental earth  
9 sciences. If I had to summarize the tasks I've been  
10 assigned it's been mostly as a troubleshooter and a  
11 team builder.

12 In the `60s it was projects like Disney  
13 World and Orlando, Florida. In the `70s it was the  
14 first range of plants, nuclear power plants. In the  
15 `80s and `90s it was Superfund, environmental cleanup.

16 Then in the early `90s I was asked to essentially do  
17 the characterization of the Savannah River site.  
18 There was quite a bit of fragmented information done  
19 by a variety of contractors, and so in assembling a  
20 75-person staff, creating what was then unknown but it  
21 is the GIS technology for that to represent  
22 electronically everything we have assembled, and we  
23 built the characterization model that is still used  
24 today since that time. My counterpart at DOE  
25 headquarters at that time was Jeff Kimball so we

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1 worked closely in some of those comparisons that you  
2 heard about, and we learned a lot about that.

3 Some of the guiding principles that I've  
4 used over that 40-year career I'll share with you  
5 because it helps me as we look at this particular  
6 project. My definition of leadership is that you walk  
7 out beyond the headlights into the darkness. Usually  
8 when you look around there's nobody there, so it's  
9 important to look for ways to bring others to that  
10 vision. If you were out and you had issues and there  
11 were other people who understood those issues I would  
12 call that management. So really all of us and you saw  
13 evidence of that today. There's leadership as well as  
14 management in the work we do.

15 The other important guidelines that I've  
16 used at Savannah River and I'll share with you is an  
17 integrated safety management for seismic safety  
18 approach. Recalling what that is, it's basically a  
19 clockwise wheel. The first box is scope of work. The  
20 second box would be the hazard evaluation. The third  
21 would be monitoring. The next box say at 7 o'clock  
22 would be design and operation, and the last box at 10  
23 o'clock would be feedback. When you consider the work  
24 you're doing think about the work scope. That is  
25 basically the standards, the rules of the game, you

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1 know, whether it's an NRC project or in the case of  
2 Savannah River maybe a DOE project, there are certain  
3 rules that we're asked to follow. The hazard  
4 evaluation, it's important here to think of it as  
5 expert groups, and that's the way I've approached it  
6 at Savannah River.

7 So we have, you know, EPRI, the original  
8 EPRI work. We have Lawrence Livermore. We have the  
9 various studies that were on the slide yesterday with  
10 respect to TIPS and other work, and we have the USGS.

11 It is very, very important not to base on one  
12 particular hazard model. From what I have seen in  
13 doing the comparisons it's important to consider some  
14 of the uncertainties by considering all the hazard  
15 models. And this was important because it helped  
16 shape the vision.

17 From July 2006 through January 2008 is  
18 where I basically walked the halls of potential  
19 sponsors to pull this industry-government partnership  
20 together. And in doing that a guiding principle that  
21 I think reflects what our future is as a profession is  
22 that industry and government can do more for less to  
23 reduce the risk through standardization and  
24 partnering. And that was a key thought, key phrase  
25 that I used to help encourage and - the potential

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1 sponsors to understand the need and to understand the  
2 importance and the opportunity to get important work  
3 done without having to do it totally in isolation from  
4 some other group.

5 With that said, I want to show you the  
6 first product that has come out of this project, that  
7 is publicly available, is the work plan. In order to  
8 really know what you're going to do you must have a  
9 plan. This is our plan. It's - to put on the record  
10 it's EPRI. It's an EPRI Technical Update Report  
11 called Project Plan, Central and Eastern United  
12 States, Seismic Source Characterization for Nuclear  
13 Facilities. Its number is 1016756. Now, one of our  
14 groups that I approached was the Advanced Nuclear  
15 Technology Action Plan Working Group. If you go to  
16 that website on epri.com this project plan is  
17 available electronically for anybody interested in  
18 seeing the overall plan.

19 Let's take a look at the project goals. I  
20 was frequently asked are we going to update the EPRI  
21 model, or you know, what exactly are we going to do.  
22 That title was very, very well thought out. We got a  
23 lot of input in just developing that title because  
24 there was a gap that existed between some of the  
25 hazard models out there. And one of the primary goals

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1 was to close that gap. So we intended to replace the  
2 EPRI and the Livermore models and basically start with  
3 a clean piece of paper.

4 And we'll talk a little about today how  
5 you do that. So we will start from scratch. We have  
6 encouraged our participants not to have any bias in  
7 work that they've already done. It should be obvious  
8 that they obviously have done work before, that's why  
9 they're part of the team, but again, we start with a  
10 clean piece of paper. The other important goal was to  
11 close that gap that I mentioned and to have  
12 transparency.

13 If you've ever tried to use the EPRI model  
14 or as was stated yesterday we couldn't use the  
15 Livermore even if we wanted to because we don't know  
16 really where the details are and how we could assemble  
17 it. So it's very important when I first met with the  
18 team as we were assembling the team is to have a  
19 public transparent process. So if you were not part  
20 of the team but this was a topic of interest and you  
21 were an end user you could walk the talk with us after  
22 the project and understand the background basis for  
23 the model and be able to use it. And so public  
24 transparency and documentation was important. You  
25 will not have to know who to talk to, you will not

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1 have to say the secret password, you will have the  
2 information available in a ready format.

3 As you heard yesterday, the SSHAC process  
4 captures the knowledge and uncertainties of the  
5 informed scientific community. I'm frequently asked,  
6 well, how will you test and validate the process? To  
7 build off what you heard today, the key way that we're  
8 going to test and validate in terms of an overall  
9 sense is that we will be reviewed based on how we have  
10 implemented the SSHAC process. We also will be  
11 reviewed, and again, to review the SSHAC process, you  
12 know, it calls for interaction at the workshops, the  
13 feedback and review from the project participants and  
14 the review of not only the technical work, but the  
15 process from our Participatory Peer Review Panel, and  
16 the check of the documentation that results.

17 So the answer to that question is how well  
18 we've implemented the SSHAC process. Now, we will  
19 also as a team be checking internally the model. One  
20 of the things I've observed of other published efforts  
21 of this magnitude is that the test occurred after it  
22 was published which made it far more difficult,  
23 actually in a regulatory sense, to do that test and to  
24 get that feedback. So we will test this model before  
25 it is published.

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1 In working with our specialty contractor  
2 who will do the hazard calculations, I've also asked  
3 them to help begin the process of answering that  
4 elusive question: what is significant? What is  
5 change? When will you essentially have to update the  
6 model? So I'm hopeful that through all the  
7 sensitivity analysis that we'll be doing during the  
8 course of this project we'll get a better  
9 understanding of what is noise and what is significant  
10 in order to change that model. And as we heard  
11 yesterday it could be a portion of the model or it  
12 could be other aspects of the model. But, we should  
13 know.

14 And I also put out to you too that in my  
15 own mind when I've worked on the legal side the  
16 ultimate question is safety. You know, the risk and  
17 safety, how it's related. So perhaps that question of  
18 significance will come into the safety basis because  
19 if I have a certified design spectrum and I just  
20 enveloped my ground motion response spectrum which is  
21 the demand versus the capacity, 4 percent change might  
22 be a big deal. But if I have those response spectra  
23 where there is a 10 percent gap in certain frequency  
24 ranges, then it may not be significant. We have to  
25 consider these aspects. But ultimately it should come

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1 down to the safety basis is what I think the ultimate  
2 answer is. But we will try to provide it, you know,  
3 at the earthquake engineering level as well to see  
4 what we learn from our sensitivity analysis.

5 The last thing we will do is present this  
6 information to our sponsors and to the oversight group  
7 for DOE and to the regulatory agent, the NRC, for  
8 review. So that they in the firsthand sense will  
9 understand the basis for the model and its  
10 application, and then the formal process of how to  
11 implement it into practice and the various guidance  
12 could then be made by the appropriate oversight group  
13 or agency.

14 CHAIRMAN POWERS: It seems to me that you  
15 have an orthogonality of objectives here. If I'm  
16 trying to create a model of something to feel  
17 successful I want to try to narrow the variance down  
18 on that model to account for as much of the data as I  
19 can with as few parameters as I possibly can. If on  
20 the other hand I'm trying to capture the knowledge and  
21 uncertainty of the informed scientific community, it  
22 seems to me that I want to maximize the variance in my  
23 model. And so I'm not sure what you're trying to -  
24 what takes precedence over here? Something that's  
25 useful, or something that informs?

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1 MR. SALOMONE: Let me see about answering  
2 that. The focus of the project from day one was to  
3 look at those parameters that actually affect the  
4 hazard calculation because ultimately that's what  
5 we're doing, and again, to review. We have inputs to  
6 the probabilistic seismic hazard assessment. On the  
7 source site we use the source characterization model,  
8 and then moving the energy to a particular site you  
9 have the attenuation models. So the ultimate in using  
10 those inputs is to get hazard at a particular  
11 location.

12 And again, this was the generic regional  
13 study so that you can use and save the time and money  
14 associated with doing on an individual site-by-site  
15 basis and the hazard is really what you're looking  
16 for. So part of our task, part of our work of  
17 Workshop No. 1 is to work with the resource experts,  
18 do our own sensitivity analysis, share that with our  
19 resource experts in Workshop No. 1 and to identify  
20 those parameters that ultimately are important in  
21 affecting hazard. Some of the others that may be -

22 CHAIRMAN POWERS: Aren't those obvious?  
23 Don't we know what those are right now?

24 MR. SALOMONE: I don't think it was that  
25 obvious. I think we did some sensitivity. It did - I

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1 can share with you that we saw source geometry was one  
2 of those key parameters. Maximum magnitude is a key -

3 CHAIRMAN POWERS: Did this surprise  
4 anyone? It didn't surprise me.

5 MR. SALOMONE: I don't think it surprised,  
6 but I think it was - we're able to document the answer  
7 and also to cross-check -

8 CHAIRMAN POWERS: You could have done that  
9 on the back of an envelope.

10 MR. SALOMONE: But we were able to cross-  
11 check that these were the key parameters. Any other  
12 parameters or variables we'll leave to the research  
13 group you know to pursue for its own merits. But  
14 that, again, that was one of the tasks as part of our  
15 project that we did that, and that is essentially the  
16 conclusion we came to. And again, what we're trying  
17 to do is give the whole picture because the variety of  
18 knowledge of our end users are varied. So we can't  
19 assume that they may think it is as obvious what the  
20 key issues are. So again, we did that, we documented  
21 it and that's the answer.

22 You saw the org chart yesterday. One  
23 other portion of the org chart that wasn't discussed  
24 so I'll share that is the EPRI side. They're  
25 providing the administrative support. All the

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1 contracts are run through the EPRI organization. We  
2 communicate with industry through the Advanced Nuclear  
3 Technology Action Plan Working Group. There are  
4 approximately 19 utilities that are part of that  
5 program that have contributed to the sponsorship of  
6 this research and other research because this is just  
7 one of the topics that they fund in order to  
8 facilitate the next generation plant in a variety of  
9 technical subjects.

10 My contact there is Jeff Hamel and Bob  
11 Kassawara, and the head of the program that I also  
12 work with is Tom Mulford. The chart shows essentially  
13 the communications that exist on the project. You  
14 have two boxes, TI team and TI staff. We considered  
15 that as total technical integration team. Even though  
16 it's shown as a separate box, we considered that as  
17 one entity. We have the specialty contractors that  
18 are part of that TI team. You see AMEC Geomatrix is  
19 doing the seismicity catalog.

20 And I guess I should point out too, this  
21 will be a major product from this project because up  
22 until now a comprehensive catalog in moment magnitude  
23 did not exist. So we are assembling all the  
24 information in the catalogs that do exist and doing  
25 the development of a new catalog that will be in

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1 moment magnitude that will be the basis of the  
2 development of our model. The database in the GEIS  
3 system is being managed by William Lettis and  
4 Associates. And the hazard calculations and  
5 sensitivity analysis is risk engineering.

6 And in building this team we began  
7 initially with the TI team and the sponsors, and then  
8 moved to the other boxes that you see on this chart.  
9 The Participatory Peer Review Panel, you heard some of  
10 the names. They have contributed greatly. I will  
11 show that when we had talked to our international  
12 observers, the feedback that we get is how unique this  
13 project really is because it is a fully integrated  
14 team. If you look at the SSHAC process being  
15 implemented in other parts of the world it doesn't  
16 have the feature of this full interaction among  
17 regulators, technical agencies of the government,  
18 industry and other resources.

19 So I think we're setting a precedent and  
20 paving a new path that will show how this integrated  
21 group can work together to come up with the needed  
22 product. And so in assembling the peer review panel  
23 we wanted to get a representation of that integrated  
24 group. We have international experts like Carl Stepp  
25 and Walter Arabasz co-chairing the group, Jon Ake and

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1 Annie Kammerer as experts. And I should point out,  
2 you do not see the affiliations there because in this  
3 box they're acting as experts with their particular  
4 areas of expertise to support the project. Jeff  
5 Kimball, Bill Hinze and each one of them have helped  
6 in their own way. Bill Hinze has been extremely  
7 helpful with the database, with the geophysical work  
8 that we're assembling, the importance of which  
9 database we should be looking for, and Annie and Jon  
10 have been extremely helpful in their areas of  
11 expertise, and in helping us as a sponsor as well.

12 Jeff Kimball also, you heard his insights  
13 and they've been fully reflected in the framing of  
14 this project, and I think that we have fully taken  
15 advantage of the expertise. Mark Peterson as you  
16 heard yesterday is the head of the USGS hazard model  
17 and Don Moore represents industry.

18 Let me pick up while I have this org chart  
19 to understand a little about the USGS. It was  
20 identified as an issue yesterday and I can understand  
21 the basis for that. But in my experience I have  
22 worked very hard with Mark Peterson with respect to  
23 fully engaging the USGS on this project.

24 And I would say their engagement is  
25 growing as the project is unfolding. And speaking at

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1 least at the technical level they are very interested  
2 in our product and the comparison that we will do  
3 ultimately with the USGS to help understand our model,  
4 from my discussions with Mark they are open to looking  
5 at ways to refine the USGS model. So it's a two-way  
6 street in terms of what we will learn as the source of  
7 the differences. And with calls that I've gotten from  
8 experts like Walter Mooney and Charles Mueller and  
9 Russ Wheeler they are getting engaged. They are  
10 interested at that level with respect to this product  
11 and so I would be very positive in terms of the  
12 support that they're giving the project and that they  
13 are looking to have a means of improving and refining  
14 their product for the next edition in 2013 or so.

15 The resource experts box, that's a very  
16 limited example. We'll go through and we'll show  
17 there were 12 experts at the first Workshop No. 1  
18 which is issues, and those issues I mentioned as well  
19 as database compilation. Anything that was missed in  
20 the original database that we knew existed they helped  
21 making sure what gaps we needed to fill and we'll see  
22 evidence of what we learned from workshop one.  
23 Workshop No. 2, there were over 60 participants and 24  
24 experts that were proponents of various  
25 interpretations of source characterization in the

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1 Central and Eastern United States. So it's a bold  
2 group. And then in August of this year we will have  
3 Workshop 3 which is the feedback, and we'll talk a  
4 little about the schedule and how it works together.  
5 Now the sponsor review side, Dr. Shields -

6 CHAIRMAN POWERS: Let me ask a question  
7 about the resource experts and experts in general.  
8 When we discussed the SSHAC process yesterday there  
9 was a great deal of emphasis on one of the slides on  
10 the selection of the experts, but you've chosen not to  
11 discuss that at all here. I mean, how did you pick  
12 the experts that you picked? And why does that  
13 capture the range of opinion and uncertainty within  
14 what you call the knowledgeable technical community?  
15 Or is it a case of I define that community by the  
16 experts I picked?

17 MR. SALOMONE: I would simply say the  
18 experts were defined by the expertise of the project  
19 team and the Participatory Peer Review Panel.

20 CHAIRMAN POWERS: But I think that's - I  
21 got the impression that a more transparent or  
22 scrutable process was called for in SSHAC in assuring  
23 that in fact you had representation adequately from  
24 the knowledgeable community. John, do you want to  
25 comment?

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1 DR. AKE: If I could Dana. Yes, the  
2 concept for the selection of the experts, especially  
3 for both the first two workshops, but especially for  
4 Workshop No. 2 was based on identifying central key  
5 issues that the team felt were important in the source  
6 characterization process. And then reaching out then  
7 within the literature, you know, informal discussions  
8 with the colleagues in the broader community, who are  
9 the people that on these four, five, or six different  
10 issues have published a lot, have particular  
11 viewpoints, proponent viewpoints on particular issues  
12 like New Madrid. Is New Madrid going to continue to  
13 have deformation at the same rate its had for the last  
14 200 or 2,000 years, for the next - foreseeable future?  
15 So we identified particular issues and then invited  
16 experts to try and address those issues.

17 MR. SALOMONE: I would also add too that  
18 after beginning the process of assembling the model  
19 and looking at what the available information was,  
20 there were specific questions that the TI team and  
21 staff were interested in getting answered by the  
22 resource experts. So each of the resource experts  
23 prior to the workshop had specific questions that  
24 their presentation should answer so that that is an  
25 important part I think of the interaction and the

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1 feedback from the resource experts as we built the  
2 model.

3 CHAIRMAN POWERS: Well, I'm playing an  
4 uncomfortable role here of sitting in for Professor  
5 Apostolakis and I think he would be bouncing off the  
6 roof right now. You have selected your experts based  
7 on credentials, not on position. In other words, you  
8 said well, they have to have published a lot to get  
9 selected here. That means that the young man whose  
10 just finished his thesis that takes the wild-eyed  
11 radically different view which may be right is  
12 excluded from the potential of being on your panel.

13 MR. SALOMONE: But your assumption there  
14 is that the only information we've assembled is what  
15 these particular resource experts -

16 CHAIRMAN POWERS: I make no assumption. I  
17 do - I understand how you've selected your experts  
18 only based on what you've told me. You haven't told  
19 me very much except that they have to have published a  
20 lot. That's the only criterion I've got down here on  
21 - as a basis for picking. You picked the old boys'  
22 network.

23 DR. KAMMERER: Can I just jump in here for  
24 just one second? Annie Kammerer for the minutes. I  
25 don't think that's actually what did happen.

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1 CHAIRMAN POWERS: Come on, Annie. I can  
2 look at the names up here and take 15 studies, I can  
3 duplicate these names.

4 DR. KAMMERER: But as far as the resource  
5 experts the people who actually came and presented as  
6 proponents of all of the different alternate  
7 hypotheses and models on the areas - the specific  
8 topics of interest, we actually had some people  
9 straight out of school. We were given in terms of the  
10 paleoliquefaction we were actually - some of us sat in  
11 on some of the TI meetings where these were chosen and  
12 I actually had to suggest that they didn't invite a  
13 couple of the recent doctors who had some  
14 paleoliquefaction work because I thought it would kind  
15 of be a little bit unfair to have them standing up  
16 there and trying to represent something when if you  
17 read it there were a lot of issues possibly with it.

18 And I thought it would have been unfair to  
19 say - actually, that did try to include a lot of - a  
20 breadth of opinion in the proponent experts that they  
21 had in the second workshop. And I think actually if  
22 you look at what that group of people was it was a  
23 very broad representation with a lot of people who  
24 were sort of the young, fresh bright minds with the  
25 new theories. So it's sort of a separate group. When

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1 we were talking about the SSHAC, there are - you  
2 remember the picture with all of the different people  
3 with the wizards and the grey beards and the hat.

4 CHAIRMAN POWERS: I tried hard to forget  
5 that, by the way.

6 (Laughter)

7 DR. KAMMERER: There were a few others.

8 CHAIRMAN POWERS: It still appears to me.

9 DR. KAMMERER: There's two separate - the  
10 experts fall into a bunch of different boxes. Some of  
11 them are the proponents which are responsible for  
12 really representing a particular - their particular  
13 hypothesis or concept, and then others are asked to be  
14 expert evaluators and so it's important in terms of  
15 the evaluators that you do have people with - that  
16 from a historical perspective are familiar with  
17 everything that's out there.

18 So it's important that they do have a  
19 level of experience. But in terms of the proponents  
20 and making sure that we heard from a very wide variety  
21 of people and did get the breadth of all of the  
22 alternate hypotheses, I think there's a lot of effort  
23 to do that. So there's sort of two separate sets of  
24 criteria from what I've seen.

25 CHAIRMAN POWERS: Well, I haven't seen any

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1 criteria except the publication record.

2 DR. HINZE: Could I make a comment here?  
3 The breadth in terms of the personnel that are  
4 involved in this program come in the lower right in  
5 the resource experts. And that comes from the working  
6 group meetings and that's already been set. But I  
7 think that needs to be emphasized because the other  
8 names, yes, they're kind of the old boy system. But  
9 really the breadth comes in that lower right. Now,  
10 the PPRP had an intimate role in recommending - I  
11 think that's the proper term - participants or  
12 resource experts that would be involved. I can tell  
13 you that of the probably 35 that have already made  
14 presentations or 40, there's only one that was in the  
15 EPRI workshops and that's Mark Silback. There was a  
16 GPS, there's a very important item in the GPS data.  
17 That was represented at the first working group  
18 meeting by a recent graduate that teaches at Georgia  
19 Tech, and as far as I know he's just published -

20 CHAIRMAN POWERS: Professional  
21 recommendation, is it?

22 (Laughter)

23 DR. HINZE: In the second working group  
24 meeting on the alternative views there was a young  
25 lady from Stanford that has just - who has one

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1 publication, one pertinent publication to this. And  
2 in addition, there were people brought in from Canada  
3 that brought in some entirely new ideas to this in  
4 terms of geodynamic modeling which were very important  
5 I think in opening up the eyes of the entire group.  
6 So the old boy system was not there in the resource  
7 experts.

8 CHAIRMAN POWERS: Bill, there are only two  
9 possibilities I can see. Is that either they have  
10 failed in the - trying to capture the entire breadth  
11 in their selection of experts, or they have failed in  
12 their objective of being transparent in how they  
13 select their objectives. I mean, it's one or the  
14 other because I certainly don't understand how it was  
15 done. I ask and I come back with credentials, but  
16 when I criticize that then I go well, you know, not  
17 everybody has great credentials. So, you know, I'm  
18 sitting here saying how did we select experts to  
19 assure we're going to capture this entire  
20 knowledgeable community and I come back and I said  
21 well, I don't know how they did that. I guess they  
22 did, but I don't know how they did it.

23 DR. HINZE: Well, one of the things that  
24 encourages me is if you look at the TI team. And  
25 that's where the rubber meets the road. And if you

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1 look at the four first names, they're old standbys.  
2 They're part of the old boy system, they were very  
3 much all - well, maybe Bill Lettis wasn't, but the  
4 Copper, Smith, McGuire and Youngs were very much  
5 involved in essentially all these probabilistic  
6 studies.

7           The thing that is encouraging to me is  
8 that Gerry Stirewalt representing the NRC has not - is  
9 very knowledgeable, very competent and he brings a  
10 different view to this. The same is true of Steve  
11 McDuffie who used to work for the NRC and now is  
12 working for DOE and is a young man compared to most of  
13 us at least, but I don't know whether he'd appreciate  
14 that, the young man, but he's got some new ideas. So,  
15 I think - I look at Gary and Steve as really bringing  
16 some new insight into this TI team.

17           CHAIRMAN POWERS:       Okay, well I will  
18 certainly accept that you're happy with him because I  
19 don't have any reason to think you're not. What I'll  
20 tell you is it's certainly not scrutable how you  
21 picked to assure that you've gotten - met one of your  
22 primary goals which is to capture the knowledge and  
23 uncertainty of the informed technical community, and  
24 certainly don't know how if you take as your standard  
25 for performance conformance with the SSHAC review, how

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1 anybody is going to be able to say well, they did a  
2 pretty good job here so far.

3 MR. SALOMONE: Well, I would also add that  
4 in addition to the resource experts, there will be a  
5 comprehensive bibliography in terms of all the  
6 references that have been considered and utilized  
7 during the course of this project. So the full answer  
8 and scrutiny with respect to answering your question  
9 will be upon that documentation. I'll also encourage  
10 you to look at the breadth of information on the CD  
11 that I passed out and looking at just Workshop No. 2  
12 alone because in terms of seismic source  
13 characterization there's really a full range of  
14 interpretation with professionals of a wide variety of  
15 experience and impact in terms of the profession. So  
16 we hope that as we unveil more of the documentation  
17 that the answer to your question will be more obvious.

18 With that I'll keep moving.

19 And again, I was just mentioning our  
20 sponsors. They've been very helpful. Martha Shields  
21 with DOE and our technical reviewers now representing  
22 the agency, Cliff Munson and Brent Gutierrez on the  
23 NRC and DOE respectfully are very important  
24 contributors.

25 We are trying to get reviews from a wide

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1 variety of directions and perceptions so that the  
2 product will represent a wide variety of reviews. And  
3 your question I think is important because at one  
4 point our participatory peer review would have had the  
5 same questions that you had with respect to our  
6 resource experts. But over time, working together and  
7 working the SSHAC process the peer review panel were  
8 comfortable with respect to the answer to that  
9 question.

10 This is - when we talk about the study  
11 area, this shows essentially the study area that we  
12 are defining. You hear the term "Central and Eastern  
13 United States." In terms of this project, this is our  
14 study area. We used as a guidance geologic features  
15 as well as the requirements by the NRC with respect to  
16 320 kilometers and 200 miles as a point. Now, there  
17 is some issues on the western boundary with respect to  
18 maintaining the 200-mile, so - as well as in the  
19 south.

20 We would start to get into a whole `nother  
21 geologic setting as we moved 200 miles into the Rocky  
22 Mountains, and likewise if we went too far south as an  
23 example and got into Cuba we would get a whole `nother  
24 tectonic province that was beyond the scope of the  
25 stable continental region that we were concentrating

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1 on in the Central and Eastern United States. But  
2 those plants if you were at the tip of Florida or the  
3 tip of Texas or say in Denver, site-specific experts  
4 combined with the regional model will essentially  
5 provide the necessary information.

6 MEMBER ARMIJO: Is the seismicity in  
7 northern or northeastern Mexico so well known that you  
8 can put the boundary of your study area right at the  
9 Rio Grande? I mean, you barely - in Canada you seem  
10 to go pretty much parallel to the border, but I don't  
11 understand why you don't do the same thing in Texas.

12 CHAIRMAN POWERS: Dangerous there.

13 MEMBER ARMIJO: I don't think that's a  
14 criteria. It just seems in line to me.

15 MR. SALOMONE: In that western area we  
16 also considered the Rio Grande Rift which is an  
17 important geologic feature that is outside - that's  
18 basically 105 degrees west and so we've taken the Rio  
19 Grande Rift also as part of the study area as well.  
20 And again, this was another iterative process working  
21 with the peer review panel and the experts on our team  
22 to look at essentially the appropriate study area.

23 MEMBER ARMIJO: So seismicity and those  
24 excluded areas of Mexico wouldn't have any impact on  
25 let's say the Texas nuclear plants? Is that your?

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1 MR. SALOMONE: Well, keep in mind one of  
2 the baselines in terms of the model is the  
3 applications that have already been filed. So the  
4 fact that we have a study area defined this way  
5 doesn't mean we are not considering the information  
6 that is in our database and the database that has been  
7 compiled as part of Task 2 in our project plan. Same  
8 thing, you know, there are - our TI team has worked on  
9 Turkey Point, for example, so we know and we are aware  
10 of the information that is in the work being developed  
11 there. And that was one of the things that we did.  
12 We went through each of the utilities and even though  
13 it was in the public domain they cooperated fully in  
14 making available the information, typically Section  
15 2.5 in the applications that were all available to the  
16 project team as we shape the model.

17 This is just an example of some of the  
18 databases. That's to show some of the geologic data  
19 and the gravity and aeromag data. Moving along just  
20 to give you an idea of the timeline with respect to  
21 development. The project plan was completed in June.

22 Workshop No. 1 was in July of '08. Workshop 2 was  
23 completed in February 18 to the 20<sup>th</sup> of this year and  
24 in June we will finish the database and the seismicity  
25 catalog, and the - from February to May we are

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1 developing the preliminary model and in May we will  
2 showcase that model to the Participatory Peer Review  
3 Panel and we will get feedback on the preliminary  
4 model from the Participatory Peer Review Panel.

5 And from that point on, from May to August  
6 we will be doing the sensitivity analysis that I'll  
7 show later in order to provide deeper insights in how  
8 the model was working at the feedback workshop August  
9 25 and 26. From February 10 to December we'll have a  
10 draft report in February 2010 and you can see the  
11 activities in the documentation, in the transparency,  
12 in the clearances that are required for all the data  
13 that we'll be using, and then the final presentation  
14 to our sponsors in the oversight and regulatory  
15 groups. The final date is December 31, 2010.

16 One of the developments on this project,  
17 beyond the scope of the original SSHAC concept, if you  
18 think back on the original SSHAC, you look at the  
19 original SSHAC concept, a Participatory Peer Review  
20 Panel would be involved in the development of the  
21 process, they would be involved in each of the  
22 workshops providing some kind of report at the end of  
23 each workshop and then they would review the final  
24 report. I think one of the advances we made has been  
25 a more active and interactive arrangement with the

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1 Participatory Peer Review Panel, and these are some of  
2 the tools. As the project manager I wanted to have  
3 tracking milestones in between the large dates you saw  
4 on the previous slide so that we would know how things  
5 were progressing with respect to the various major  
6 tasks that we have.

7 So we have tracking milestones. We also  
8 had conference calls, and this was all the people on  
9 the U.S. project org chart had access to a call-in  
10 number in order to participate in the conference  
11 calls. As required we had special conference calls or  
12 meetings. The May 13 meeting I alluded to is an  
13 example of an extra meeting that was added to provide  
14 the Participatory Peer Review Panel an opportunity to  
15 look at the preliminary model prior to Workshop No. 3.

16 The - we also developed a procedure, a  
17 formal procedure in terms of the interaction with the  
18 Participatory Peer Review Panel. We have a comment  
19 letter at the end of each of the workshops. The TI  
20 team and project manager then respond to the comment  
21 letter. We have an intermediate phone call that  
22 essentially discusses and clarifies any information  
23 that the Participatory Peer Review Panel has, and then  
24 as shown we have the May 13 meeting as an additional  
25 point of interaction with the panel.

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1           These are some of the intermediate  
2 documents. Again, just oral communication is not  
3 always effective so these are some of the documents  
4 that the peer review panel have which is beyond the  
5 scope of the original SSHAC concept when we began this  
6 project, but all helps for the technical review and  
7 the identification of the process - process to  
8 document TI response to the participatory peer review  
9 comment letter.

10           Again, that's how we developed that formal  
11 response between the TI team and the panel. Again,  
12 transparency criterion timeline for the demonstration  
13 sites. There are seven demonstration sites in  
14 different hazard environments and different soil  
15 profiles that we'll be using to test the model. Since  
16 it's only a source model we want to test, again, the  
17 ultimate answer in terms of hazards. So we have seven  
18 locations that will be used to test the different  
19 hazard environments. And that criterion timeline was  
20 provided to the peer review panel for review.

21           The working plan. We actually had a  
22 working plan beyond the project plan that I showed  
23 you. We had a working plan for the TI team in terms  
24 of developing the model, working with Bill Hinze. We  
25 had a map of the seismic reflection lines in the GEIS

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1 database to make sure we had the appropriate aeromag  
2 and gravity data. Sensitivity analysis from Workshop  
3 1 again identifying the issues that are important to  
4 hazard. Again, the list of candidate proponents, we  
5 were discussing that a few minutes ago, again trying  
6 to build from our team and our resources not only  
7 those who would participate in the workshop, but also  
8 other important people that we will talk to in the  
9 course of the development of the model.

10 They do not have to be experts at the  
11 workshop for us to talk to them and to get access to  
12 their information and insight. The specialized tools  
13 that we will use for the source characterization model  
14 has also been discussed and shared, and as we said,  
15 the list of participants and the agenda has worked in  
16 concert with input from the panel.

17 Summary of technical developments. Again,  
18 in preparing for Workshop 2 - and again, this is part  
19 of the answer to your question about which experts and  
20 who we captured. We looked at developing the tectonic  
21 framework. We call it the conceptual seismic source  
22 characterization framework. This is a systematic way  
23 to look at why you're identifying certain sources, and  
24 it was important to the panel that we have this  
25 framework and working with them. And again, when I

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1 say working with them, there's two ways that they can  
2 help the team.

3 Certainly the review as a panel, but also  
4 they have particular areas of expertise. So they can  
5 be invited to a working meeting as a resource expert  
6 to help shape some of the work coming out of the TI  
7 team. And so this is an example of how that resulted  
8 in this framework that is being developed and that  
9 will be a document that will be available as part of  
10 the report for people to see what was the criteria,  
11 and there will be - and again, everything we're trying  
12 to do is have, you know, tables or other tools used to  
13 make it easy to understand the comprehensive amount of  
14 information that we considered and the criteria that  
15 we used.

16 In preparing for Workshop 2 we took a look  
17 at the available information and hazard models with  
18 respect to these key regions. And again, questions  
19 came up in terms of further information we needed. We  
20 discussed among ourselves as well as the peer review  
21 panel who would know about answering those questions  
22 that we had with respect to these regions, and that  
23 helped shape that list. We looked at the review of  
24 the alternate maximum magnitude approaches, and again,  
25 the synergy I might add with Annie's program for the

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1 NRC and the work we were doing cannot be overstated.  
2 It really was very helpful with certain things going  
3 on in different areas so that the project could take  
4 advantage of the work that we were doing and some of  
5 the work that was going on with the NRC research to  
6 utilize what was happening with respect to our  
7 project.

8 So there was a very, I think, dynamic that  
9 existed with respect to - and still exists with  
10 respect to the various activities going on. We took a  
11 look at how to approach the background zones. And  
12 again, the new seismicity catalog based upon moment  
13 magnitude which in itself will be a major contribution  
14 to the state of practice.

15 Here's some of the tests that we will  
16 have. I mentioned it earlier. We will have seven  
17 generic sites that will not be any particular site,  
18 but they will be chosen based on the hazard  
19 environment and we will have different soil profiles.

20 So there will be rock, shallow soil and deep soil in  
21 order to test the hazard model. The choice of sites  
22 and the criteria was discussed with the peer review  
23 panel and that criteria will be part of the  
24 documentation in the final report. We will also, and  
25 again, in talking to Mark Peterson on how we could

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1 engage the USGS he and I teamed together and agreed  
2 that - and from my regulatory experience I know the  
3 question would be asked how does this model compare to  
4 the USGS hazard model, so why not do it as part of our  
5 project. And so working with Mark and with the  
6 support that we have from our sponsor we will be  
7 looking at the USGS.

8 And they are open to refining their models  
9 for the next edition and we will be open in terms of  
10 modification to our model depending on any differences  
11 and the sources of those differences. So we'll make  
12 adjustments as required.

13 MEMBER MAYNARD: I'm not sure I understand  
14 exactly what you mean by generic test sites. First of  
15 all, are these existing sites something that's been  
16 analyzed before, or are these just randomly picked out  
17 there to have different characteristics? What's  
18 really meant by the generic test sites?

19 MR. SALOMONE: The latter. Yes, so you  
20 know, as opposed to - take Savannah River site as an  
21 example. We will not use Savannah River site, but  
22 somewhere that the influence of the Charleston is  
23 significant will be chosen in terms of latitude-  
24 longitude, okay? And then we put a generic profile on  
25 that. We'll have a rock answer, we'll have a shallow

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1 soil answer, and we'll have a deep soil answer to see  
2 how the model is performing.

3 CHAIRMAN POWERS: But how do you know how  
4 the model is performing? The model predicts  
5 something, I'm sure it does that. So what? I mean, I  
6 can predict something right now.

7 MEMBER ARMIJO: What do you compare it  
8 against as your reference? You're testing it against  
9 something. I'm confused about that.

10 MR. SALOMONE: Well, the quantitative  
11 comparison will be with the USGS as the model. I know  
12 personally - I haven't thoroughly investigated that  
13 model. I know where it - how it compares to the EPRI  
14 and the Livermore model, and we will be having that  
15 comparison to see with a known hazard model. Also,  
16 the answers that we get with respect to the different  
17 hazard environments, the individuals that have done  
18 numerous sensitivity analysis and hazard analysis for  
19 the various COLA submittals also know what to expect  
20 with respect to the different hazard environments.  
21 Remember, these individuals have access to the answers  
22 that were submitted with respect to the various  
23 applications. So we will see how those models compare  
24 to the baseline of information that was part of our  
25 overall database. So those will provide insights in

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1 terms of how the model is working.

2 CHAIRMAN POWERS: But it's going to be  
3 different?

4 MR. SALOMONE: Excuse me?

5 CHAIRMAN POWERS: It'll be different.

6 MR. SALOMONE: Yes, I would think it would  
7 be. It would be different, but in terms of how it is  
8 functioning with those tests at seven different sites  
9 as well as the comparison with USGS, comparison with  
10 the site-specific answers that have accumulated with  
11 the numerous submittals that we have already will  
12 provide a thorough baseline I think for seeing how  
13 this model is performing with respect to the baseline  
14 of information that have been assembled as part of our  
15 database compilation.

16 MR. MUNSON: If I could make a comment. I  
17 see this more as like a sanity check rather than -  
18 something that you would look at and say well USGS is  
19 a little bit higher here, maybe we should go back and  
20 tweak our model. You know, if they did something - if  
21 that was - we would be up and screaming. So I don't  
22 see this as like a huge, you know, go/no-go thing.  
23 It's more of a sanity check.

24 MR. SALOMONE: Some of the challenges that  
25 we're meeting actually are on the administrative side.

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1 Just keeping the cash flow with respect as fast as  
2 this schedule is is important. It's an aggressive  
3 schedule technically and keeping the administrative  
4 details moving along to have the money available to  
5 the project team as it's needed to meet the schedule.

6 What you have in the first bullet item is  
7 the result of Workshop No. 1 in terms of the  
8 engagement with the resource experts. Additional  
9 information to improve the quality, not necessarily to  
10 have quality with respect to the project, but to  
11 improve the quality of the end product. We have added  
12 funds for the additional gravity processing and  
13 compilation. Same thing with magnetic, aeromag data.

14 I've encouraged the TI team to expand the  
15 paleoliquefaction task. It's one of the biggest  
16 increased fundings for the paleoliquefaction.

17 We'll talk a little about that towards the  
18 end. The world stress map will be updated for this  
19 project. We've added a seventh demonstration site.  
20 Originally you'll read in the project plan that there  
21 were six sites and at the request of the PPRP we've  
22 added another demonstration site to cover nother  
23 hazard environment that wasn't fully covered in the  
24 six. This would be a Nebraska-Kansas site that was  
25 recommended. The comparison was funded and there was

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1 additional PPRP participation that had to be funded,  
2 and additional GIS support.

3 So we've added another senior GIS  
4 specialist to help us in the communication of the  
5 information. No matter how well we do technically if  
6 we are not able to communicate it to our audience then  
7 we will not - it will not be clear to our audience how  
8 much work has been done, reviewed, interpreted and  
9 utilized in the course of achieving our goal.

10 MEMBER RAY: Tell me how you avoid this  
11 work impinging on existing plants.

12 MR. SALOMONE: I will answer that  
13 generally and I will defer to regulators in the room  
14 if they want to add. But I would say generally this  
15 is the philosophy that I use in terms of managing work  
16 like this. The Savannah River site, comprehensive  
17 work in terms of direction to the operating divisions.

18 This should be considered research until it's  
19 published. So until it's published it's not done and  
20 it's not complete and it hasn't been completely  
21 vetted. So with that introduction I'll defer -

22 MEMBER RAY: Well, but I'm thinking about  
23 it clear through the publication obviously.

24 MR. SALOMONE: I'll defer to the  
25 regulators on that.

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1 MR. MUNSON: Definitely as you've heard  
2 the past couple of days we've had that generic issue  
3 199 ongoing and we're looking at how the hazard -  
4 perception of the hazard is changing, characterization  
5 of the hazard is changing since the mid-'90s. And so  
6 this will be another data point to look at.

7 MEMBER RAY: So you think the GSI serves  
8 to insulate these deliberations from the implications  
9 that might otherwise attach to existing plants. For  
10 example we can talk about sites, many of which are COL  
11 sites. But there are existing sites, lots of them. I  
12 don't know -

13 MR. MUNSON: Can you clarify what you mean  
14 by "insulate?"

15 MEMBER RAY: Well, the chairman was asking  
16 about the selection of the experts. That's in order  
17 to demonstrate that the deliberations are fully  
18 comprehensive in terms of the expertise that should  
19 exist and isn't biased to some desired outcome. Well,  
20 the existence of plants out there is a similar  
21 question. A cynic would say well this is just a  
22 process of getting everybody to agree on something  
23 that takes into account what already exists. I say a  
24 cynic would say that. I'm not saying that.

25 CHAIRMAN POWERS: Well, I'll say that.

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1           MEMBER RAY: In setting this process up  
2 the obvious question seems to me and I haven't heard  
3 it talked about quite yet the way I'm asking it, is  
4 how do you carry forward this work given that there  
5 are a lot of sites out there already that have  
6 existing seismic hazard implicit in their license? I  
7 happen to have had to upgrade a plant seismically once  
8 in my life and I know how difficult it can be to do.  
9 And so I think you're answering the question the way  
10 at least I would answer it is well, the GSI is  
11 intended to address whatever new insights may exist as  
12 a result of this work or anything else and the world  
13 of existing plants that are out there.

14           MR. CHOKSHI: This is Nilesh Chokshi. I  
15 think as a regulatory process, you know, this is not  
16 only in the seismic area that new information may come  
17 that you have some impact on the operating plant. And  
18 GSIs, or the generic issues, the one process by which  
19 you examine what the impact of the new information.

20           MEMBER RAY: Okay, but you've got industry  
21 involved here. They're funding the project manager.  
22 And so it seems like it would be important to credibly  
23 be able to say the chips will fall wherever they may.

24           MR. CHOKSHI: I see what you -

25           MEMBER RAY: And that's the question I'm

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1 asking. How do you accomplish that given that the  
2 project manager is EPRI which is funded by the  
3 industry?

4 MR. CHOKSHI: I think that's the multi-  
5 agency program and SSHAC guidelines, you know. You  
6 have to - the people who do the professional job, I  
7 mean it seems to me there are enough checks and  
8 balances in the process, in the SSHAC process, and  
9 enough different perspectives that the process should  
10 take care of that.

11 MEMBER RAY: Okay, well that's fine and I  
12 don't have any better answer for you than that, but  
13 it's an important element and it seems like it needs  
14 to be kept in mind. I mean, we've been sitting for an  
15 hour listening to process stuff basically, and the  
16 process needs to take into account the fact that you  
17 inevitably have some potential for impacting a whole  
18 bunch of existing plants, okay? And that ought to be  
19 central.

20 Like I say, the terms asking you about  
21 picking the people, but I'm asking a different but  
22 related question which is well how do you credibly  
23 demonstrate that you've come up with the right answer  
24 given the potential implications for a whole lot of  
25 existing plants? That's as simple as I can make it.

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1 And you know, you're trying to address this in various  
2 ways. I'm just trying to sharpen your focus to say  
3 look, how do you make sure that the right answer will  
4 emerge regardless of what the consequences are?

5 MR. MUNSON: I guess I don't know what you  
6 mean by "right answer."

7 MEMBER RAY: Well, that's sophistry. I  
8 will tell you there is a right answer when it comes to  
9 the mission of the agency and the - I'm sure you're  
10 going to arrive at the right answer ultimately.

11 MR. KIMBALL: If I may, Jeff Kimball  
12 again. The point of my presentation yesterday for the  
13 existing set of applications that are coming in, if  
14 you don't go the extra step and make sure the right  
15 set of sensitivity studies are done frankly to protect  
16 the applicant, then you're setting the applicant up  
17 for whatever comes out of this and that's the wrong  
18 mentality I guess I'd say. You really have to - you  
19 really have to push yourself hard. Both the NRC and  
20 the applicants and their experts have to push  
21 themselves hard to make sure the right set of  
22 sensitivity studies are being done for the current  
23 PSHAs in the applications or indeed you're exactly  
24 doing what you're concerned about. Because you're  
25 going to set yourself up.

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1                   MEMBER RAY:    That's a valid point.    The  
2 motivations on the part of the industry should be to  
3 not be vulnerable to second-guessing later on.    But  
4 that has, like I said, the plant I'm talking about, we  
5 built a new plant next to an old plant and we wound up  
6 having to make very, very substantial modifications to  
7 the old plant as a result.    So I've been there, I know  
8 how it works.

9                   MEMBER MAYNARD:    I guess, while I was at  
10 the mic I want to speak as one PPRP person, but I can  
11 have influence at times.    I think Dr. Powers your  
12 point was right on the money.    Now that this project  
13 is underway and we've had two workshops it should be  
14 easy on a few viewgraphs to explain why the experts at  
15 either workshop in fact fairly represent the community  
16 distribution.    And we should be able to do that.    I  
17 mean, it's - you know, I think you've heard from  
18 people that we believe that in fact it represents, but  
19 you know, for a person who's not been involved, you  
20 know, I think it's putting - I'll call them loosely  
21 "criteria" down to explain why that set up people is  
22 in fact - represents the community distribution should  
23 be done.    I mean, we're into this now a year we've had  
24 the workshops.    Forty people at each workshop, we  
25 should explain well why were those the right 40 people

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1 or 35 people. That shouldn't be too hard.

2 MEMBER MAYNARD: I think you should be  
3 able to explain that. I think that's kind of an  
4 after-the-fact thing. I think what's really needed in  
5 this process is what was the up-front criteria. Right  
6 now if you put the criteria together you're primarily  
7 going to be justifying what you did as opposed to  
8 identifying a criteria of why you - I'm sure there's a  
9 criteria there and I'm sure proper consideration was  
10 given, but from a transparency standpoint it looks  
11 like we're - it kind of appears like we picked the  
12 experts and now we're going to justify why those  
13 represent the community. That's kind of the image  
14 that I get.

15 DR. KAMMERER: Annie Kammerer. I just  
16 want to make one point that I think - I guess for me  
17 personally is really pertinent I suppose as a younger  
18 member of the seismic hazard community which is that  
19 this particular project is very different from the  
20 EPRI-SOG in that it's going to be public and  
21 transparent and out there for everybody. You know,  
22 when I was in grad school we heard about this thing  
23 but we could never see it, and so there was not the  
24 ability for the broader community to say whether or  
25 not their views were represented, whether there was -

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1 it was very challenging to say whether the center and  
2 body and range has been captured because the body and  
3 range couldn't even see the product.

4 And so ultimately this study, we'll end up  
5 with a very large body of documentation, the model  
6 will be out there for the public to view, and I think  
7 really ultimately that will be the ultimate challenge.

8 Because if the goal is to represent the center and  
9 body and range, and we then have the community whose  
10 views are supposed to be represented, I mean, I think  
11 ultimately we'll see what they think and that will  
12 give us the level of comfort in the end.

13 MEMBER ARMIJO: Well, you're - in the  
14 various workshops you're going to wind up, you know,  
15 assuming they're not the same guys over and over  
16 again, 30, 40, or more experts presenting or working  
17 on this project. You know, I think it would be rather  
18 difficult to conspire to avoid certain experts if you  
19 have an open system. Can anyone attend these  
20 workshops?

21 MR. SALOMONE: Yes.

22 MEMBER ARMIJO: So has anyone been  
23 excluded that says hey, my views aren't being taken  
24 into account, I'd like to be on these expert panels?  
25 Have you had those kind of requests? You know, I

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1 don't see this as a biased project. I think it's a  
2 very open project. I guess I don't understand my  
3 colleagues' concerns as much as I should, but it seems  
4 to me very straightforward.

5 MEMBER MAYNARD: My concern is not that  
6 they went out and biased it or whatever. It's more  
7 from typically if you're going to be selecting people  
8 for a transparent program you need to have some  
9 criteria defined before you select that's visible, not  
10 just in somebody's mind, but something that's written  
11 down or you can document. I don't have any concern  
12 that this is biased, it's just more what was the  
13 criteria before they started selecting. I think I'm  
14 hearing the criteria after they were selected.

15 CHAIRMAN POWERS: I'm quite convinced  
16 that's what it is. It's incredibly biased.  
17 Automatically excludes anybody that isn't funded to  
18 attend.

19 MR. CHOKSHI: Can I make a comment?  
20 Listening to I think - I think I appreciate I think  
21 the point you are making, and what is not clear in my  
22 mind whether the selection process is not clearly  
23 explained or we need to go back and look at that, make  
24 sure that, you know, we are truly presenting what we  
25 set out to do. That is goal, okay? And I think that

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1 we need to go back and look at this as the sponsors  
2 that you know, because I couldn't answer your question  
3 so we need to understand how this, you know. So I  
4 think that's something we need to be able to - what  
5 process we need to explain fully, or look at our  
6 process and see if it does capture what we really want  
7 to do.

8 MR. SALOMONE: What I will get to is  
9 actually the documentation that's on the CD. You  
10 know, you have quite a bit of information beginning  
11 with the project plan.

12 You also will see the documentation that's  
13 on the CD and that may help. When you see the breadth  
14 of information we had access to, and again, this is  
15 only those who were able to make and participated in  
16 the Workshop No. 2. But there is a whole host of  
17 other experts who have something that they can  
18 contribute. I will also show you the questions that  
19 were asked to each of these individuals and you will  
20 see why these individuals were chosen for this  
21 particular workshop because in assembling the baseline  
22 for this model it was clear that certain answers had  
23 to be obtained from our resource experts that were  
24 available to us.

25 This just quickly is the status that you

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1 can read. Again, this is the next steps that are  
2 coming. We talked about that again on this CD in the  
3 documentation there will be an agenda. You can click  
4 on Bookmarks and you'll see this outlined, the agenda.

5 You'll be able to open up the agenda to each of the  
6 speakers and based on what topic interests you you can  
7 see what the information was with respect to that.  
8 There will be a summary of the proceedings,  
9 essentially a transcript of the workshop. At the end  
10 of that proceeding you will see the questions that  
11 were given to the experts prior to the workshop  
12 because of their area of expertise to cover the full  
13 range of information we needed in order to answer the  
14 question.

15 And remember, the TI team takes the  
16 ownership for the project, they are responsible to  
17 assemble all the information, tap whatever expert is  
18 out there to provide this information. And again, to  
19 make the point again, this is not an industry - this  
20 is an industry-government partnership to do this. So  
21 the industry is not influencing the project team. We  
22 are performing the research associated with developing  
23 this new model independent of any commercial  
24 involvement at this point. They will receive the  
25 information as others will - as the other sponsors

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1 will at the time it is completed.

2           So with that let me just show you as an  
3 example.       I mentioned we expanded the  
4 paleoliquefaction database. Let me just quickly tell  
5 you with respect to paleoliquefaction, how important  
6 this is.       In fact, the largest uncertainty is  
7 associated with the recurrence model because the  
8 uncertainty in the interpretation of the recurrence  
9 rates from paleoliquefaction data. We also learned in  
10 the workshop the differences between tectonic strain  
11 rates and some of the recurrence rates that are coming  
12 from the paleoliquefaction data. So what we're going  
13 to do with the team that we've assembled, and again,  
14 this is a geologic, seismologic and geotechnical  
15 issue, so we need access to those expertise. This is  
16 how we formulate the team in order to help us with the  
17 answers.

18           We have a responsibility for the ownership  
19 of this project. We are going to tap whoever we need  
20 that is out there that has something to contribute to  
21 get the best answer available for our sponsors. There  
22 are 17 sources that have been identified and what they  
23 will do is they will populate a data set that will  
24 include the feature location, the feature type - by  
25 that I mean sand blow versus sand dike, feature size,

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1 quantitative or qualitative descriptor, the age  
2 constraint, is it a maximum, is it a minimum, is it  
3 temporary age, and some data quality indicator. Now,  
4 some of this information is not readily available in  
5 all of these 17 study areas. There's also areas that  
6 were studied and there was non-detects. But  
7 understanding the liquefaction mechanism you can have  
8 changes with respect to where the water table is in a  
9 given time when an event occurs and maybe that's why  
10 there wasn't liquefaction.

11 One of the things I would add in terms of  
12 looking ahead for further research, as I said earlier,  
13 we need a procedure so that the information that is  
14 obtained is a bit more formal in terms of those that  
15 get out there to assemble the data. Also, what we see  
16 missing quite often, the Vincennes location is an  
17 example of what can be done, but in understanding the  
18 liquefaction phenomenon you need a geotechnical  
19 characterization of the soils that are being seen in  
20 terms of grain size as an example to understand, well,  
21 if I have one area where I didn't see liquefaction and  
22 I do see an area of liquefaction was it due to the  
23 grain size differences that could have made that  
24 impact? And we don't have that good of geotechnical  
25 information at these study areas as we should.

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1           But again, if we develop a procedure with  
2 the input from the seismologist, the geologist and the  
3 geotechnical engineer, we will have the necessary  
4 information being assembled. But again, one of the  
5 elements of this project is we are not creating new  
6 data, we are assembling the available data and so we  
7 are taking the available study area so we will have a  
8 team developing this data set.

9           Now in addition to that some of the users  
10 that try to estimate the recurrence interval from  
11 paleoliquefaction data will also be working on what to  
12 do with the information and this data set. So some of  
13 their expertise with respect to how one - and the  
14 approaches that could be used to define maximum  
15 magnitude or recurrence interval will also be going,  
16 and that will be a document in the report, that will  
17 also be provided to the TI team with respect to this  
18 element.

19           MEMBER ABDEL-KHALIK: Could you tell us  
20 something about the data quality indicators that you  
21 mentioned?

22           MR. SALOMONE: Yes. Depending on what we  
23 find when we go to these different study areas, there  
24 will be some indication, whether it be a numbering  
25 system where 5 is excellent documentation like we

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1 might get from the Vincennes location because there's  
2 a comprehensive geotechnical information that is  
3 helping guide that, or you have another quality  
4 indicator, you know, maybe it's a 3 versus 1 would be  
5 just observed the information, there is very sketchy  
6 information with respect to these parameters. So  
7 that's what we're thinking right now, that there be  
8 some indication maybe in a quantitative scale or  
9 qualitative message that would give the reviewer an  
10 opportunity to know how well the material is - you  
11 know, that was obtained is viewed with respect to what  
12 you need.

13           And again, let me illustrate the  
14 importance of the geotechnical aspect of this. We  
15 were involved with some work on the Charleston  
16 project. We observed again a researcher was a  
17 master's degree student, and not very well known,  
18 probably not working in paleoliquefaction today. But  
19 they were publishing a paper with respect to going  
20 from the paleoliquefaction data that had been  
21 published by Talwani and Schaeffer in the Charleston  
22 area and they were re-computing the size of the  
23 earthquake, and it was in the lower magnitude, the 7's  
24 that they basically computed.

25           When we examined the details we learned

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1 that they didn't consider some of the age effects with  
2 respect to the formations that we knew existed for  
3 that particular formation. We then suggested that to  
4 the authors and the researchers. They changed their  
5 look, published again, and what you now see is a  
6 magnitude that's in the high 6's. So it is an art at  
7 this point and a lot more work, and that would be an  
8 area for research that I would suggest to the panel as  
9 you provide guidance, that much could be done to  
10 organize the approach that's used to obtain the data  
11 and then to work hard on what you do once you have the  
12 data.

13 MEMBER ARMIJO: Does the USGS map include  
14 this paleoliquefaction data, and do they treat it the  
15 same way evaluating the quality of data and how to use  
16 it? I guess that's my question.

17 MR. SALOMONE: I think we'll be taking a  
18 look at it in a lot more detail than USGS. They  
19 certainly have some experts that have attended the  
20 workshops and they have made judgments with respect to  
21 the use of that to find their seismic zones and to set  
22 recurrence rates and maximum magnitudes. But I would  
23 say this project will be looking at it in a bit more  
24 detail.

25 The next thing I wanted to share with you,

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1 you should have copies of this. I'm looking ahead  
2 right now in terms of that issue about documentation  
3 and public transparency. I've left a card so you have  
4 access to me. If you have any ideas in terms of that  
5 transparency and I certainly will add the criteria  
6 that were used to define resource experts as part of  
7 our documentation. And what this is is a first  
8 attempt to provide EPRI with a feel for what  
9 information we would be looking to put on a public  
10 website. The way I think of it, I think of it in two  
11 major bins.

12 One would be the documentation to  
13 understand the basis for the model, and then the  
14 second bin would be I want to use the model and how do  
15 I use the model and what information do I need to use  
16 the model. So at your leisure you can go down that  
17 list and if you think of anything else that was missed  
18 or should be deleted or added I welcome any comment.

19 So you see, see that. And again, part of  
20 the transparency. We'll have the plan, we'll have the  
21 CDs from the workshop which have a wealth of  
22 information, we'll have the final report in digital  
23 form, the bibliography to show all the information  
24 that was accessed and all the researchers and others  
25 who have contributed and have been contacted or their

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1 work known to the project team. And this is way  
2 beyond those who have attended the workshops or  
3 participated in the workshops.

4 Any new computer codes to estimate  
5 seismicity rates and b-values, the sensitivity  
6 analysis that showed the significant issues again so  
7 people who it may not be fully apparent to them what  
8 the significant issues on hazard are. I know in some  
9 of the national workshops it wasn't always clear.  
10 There was a lot of discussion on what the key factors  
11 are. And then the results of our sensitivity analysis  
12 and the comparison with the USGS, and then some sample  
13 hazard calculations for the end user to check their  
14 model and see if they can get the answer that we would  
15 get using the model so that they know their software  
16 is working.

17 CHAIRMAN POWERS: Why aren't you focusing  
18 on sensitivity rather than doing full-blown parametric  
19 uncertainty analysis?

20 MR. SALOMONE: Repeat the question?

21 CHAIRMAN POWERS: You highlight and in  
22 fact throughout the last day and a quarter you've  
23 highlighted sensitivity analyses. And my question is  
24 why not do a full-blown uncertainty analysis?

25 MR. SALOMONE: What we will be doing to

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1 answer the uncertainty analysis, we will have the  
2 logic trees that essentially account for the  
3 uncertainties. And again, the key parameters would be  
4 the source geometry, the maximum magnitude and the  
5 recurrence rates. So in looking at the results of the  
6 preliminary model and the demonstration sites, if a  
7 particular issue comes up in terms of the uncertainty  
8 we can remove parts of the tree and see what the  
9 effect on the ultimate answer is.

10 CHAIRMAN POWERS: I'm sure you can. I'm  
11 asking why you're not propagating a simple Monte Carlo  
12 analyses through the whole thing?

13 MR. SALOMONE: It's the standard  
14 procedure. So I think we are.

15 DR. KAMMERER: My understanding is that  
16 they are, that actually what they're doing is -

17 CHAIRMAN POWERS: Then we get the drill of  
18 going through all the correlations and the variables.  
19 How are you handling correlations, Annie?

20 DR. KAMMERER: We'll have to see. I mean,  
21 we'll just have to see what it is they're doing.

22 CHAIRMAN POWERS: I mean, he cites to two  
23 parameters right off the top of his head says source  
24 geometry, maximum magnitude, totally correlated. And  
25 so you have to come up with a correlation model. How

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1 are you going to do that?

2 DR. KAMMERER: Well, we're going to have  
3 to see what they provide us for us. But I understood  
4 that's what they're doing, but we're going to have to  
5 -

6 CHAIRMAN POWERS: He's very explicit.  
7 He's only doing that sensitivity analyses, and I think  
8 that's what he's actually doing. The question is  
9 given that you have this model, given that you have a  
10 consistent fault line in this model, why not do the  
11 uncertainty analysis? The answer probably is you  
12 can't handle the correlations because you don't know  
13 what they are.

14 MR. SALOMONE: Okay. Section B is an  
15 example of what would be available for the end users.  
16 And again, we'll have the logic trees, the parameter  
17 distributions and the derived maximum magnitude and  
18 recurrence parameters. We would expect to have a list  
19 of files for the geometry, the rates and the maximum  
20 magnitude information. You want to switch now?

21 MR. KIMBALL: While you're switching out I  
22 did want to clear up a confusion. My comment was to  
23 go back and see what documentation existed beforehand,  
24 not to create anything. So I wanted to make that  
25 clear. There may be little, I don't know. I believe

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1 there was some documentation on picking the experts  
2 and we'll just have to see what it was and how it was  
3 documented. I'm going to avoid the C-word this time.

4 I'm not sure there was explicit criteria used, but I  
5 - you know, there was some documentation of why the  
6 expert. It may be that it did involve a fair amount  
7 of judgment and that'll have to be reflected.

8 MR. SALOMONE: Jeff, you reviewed the  
9 list. What criteria were you using?

10 MR. KIMBALL: I'm going to avoid the word  
11 for a minute, but you know, whether we have to say  
12 that there was judgment involved - I don't recall -  
13 not being on the TI team, you know, it's their job is  
14 to have to look at this documentation - what existed.

15 But I know that we wanted to get the range of views,  
16 we wanted to represent the geographic regions. I  
17 think from our knowledge we wanted to reflect the full  
18 literature ideas that we were aware of, or the  
19 academic research ideas that we were aware of. You  
20 know, off the top of my head I'm answering, but you  
21 know, I think there was quite a bit. How much was  
22 written down I'll just have to see.

23 But you know I know for example, the  
24 obvious example that Bill was pointing out is there's  
25 been some literature in the last 10 years on strain

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1 rates in New Madrid implying that New Madrid is dying  
2 down or has gone into a cycle where there is not the  
3 threat that we might expect from the  
4 paleoliquefaction. We made sure that that was fully  
5 vetted at the workshop too. Now, how that gets  
6 reflected in the PSHA we'll have to see, but views  
7 like that we made sure that we did get the - call it -  
8 I wouldn't call it the outlier. We wanted to get the  
9 full range of views of people.

10 MR. SALOMONE: Another major area too was  
11 the strain rates, you know, are they telling you  
12 anything important versus the paleoliquefaction data.

13 So again, this was part of what went in. But this is  
14 the CD that you see. The agenda that you see here.

15 MEMBER MAYNARD: We only have 960 pages of  
16 it.

17 MR. SALOMONE: This is the agenda. You  
18 will see it. Most of it is the presentations, but the  
19 agenda is really about three pages.

20 DR. HINZE: In addition to the items that  
21 Jeff mentioned, I think the one he didn't mention was  
22 methodologies, and that's kind of what you were  
23 getting at, Jeff. And that's incorporated into that  
24 list. You won't be able to read it, but that's been  
25 incorporated into that.

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1 MR. SALOMONE: Now, what you see here is  
2 essentially a listing of everything that's there. We  
3 have the agenda. If you click on the plus sign you  
4 will get each of the speakers that presented with  
5 their information. The attendee list is also there so  
6 you can see the number of observers as well as  
7 resource experts beyond those that spoke that were  
8 present including the international observers. We  
9 also had opportunity in addition to the pre-meeting  
10 the day before the 3-day workshop. We did this for  
11 Workshop No. 1 with the international observers to  
12 have a dinner with the international guests to  
13 informally talk about things that were happening.

14 CHAIRMAN POWERS: How many people from  
15 Canada participated?

16 MR. SALOMONE: Excuse me?

17 CHAIRMAN POWERS: How many people from  
18 Canada participated?

19 MR. SALOMONE: In the workshop?

20 CHAIRMAN POWERS: Yes.

21 MR. SALOMONE: There were over 60  
22 participants in the workshop and there were 18 in the  
23 pre-meeting that included the experts from the  
24 countries that Annie cited as well as the young  
25 professionals that were learning the process.

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1 DR. KAMMERER: From Canada.

2 CHAIRMAN POWERS: How many from Canada was  
3 it?

4 MR. SALOMONE: Oh, from Canada?

5 MR. CHOKSHI: Alexandro Forte.

6 MEMBER MAYNARD: You've got to go to the  
7 microphone.

8 DR. KAMMERER: We're just trying to -

9 MR. CHOKSHI: Four or five.

10 MR. KIMBALL: It's an interesting  
11 question, by the way, because this is a good example  
12 of making sure the breadth is the right set of people.

13 There was a geologics site at America's special  
14 publication a couple of years ago. I'm getting older  
15 too, I don't remember dates. Just the other day I'll  
16 say. Any case, there were some profound papers in  
17 that GSA publication on the overall understanding of  
18 tectonic framework and why are we seeing seismicity in  
19 intraplate environments. Those - some of the profound  
20 papers were our Canadian colleagues and we made sure  
21 that they were involved in this process.

22 CHAIRMAN POWERS: I noticed that there are  
23 no liquefaction events apparently in Canada. That's  
24 why I asked.

25 MR. KIMBALL: There are in the St.

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1 Lawrence area.

2 CHAIRMAN POWERS: Well, I would think so.

3 And since we have plants right across the other side.

4 MR. SALOMONE: We'll go back but can we  
5 blow that up at all? Okay. Here's the list that  
6 you'll have and you can count the Canadian experts  
7 that were there. Let's go back. And so that's the  
8 attendee list. Let me click on the agenda. So you  
9 can see here, on the left side, the topic and again,  
10 as you move, scroll to the right, you can pick up, you  
11 know. This is Frank Pazzaglia and you can see his  
12 entire presentation, the amount of information that he  
13 provided. Again, you have the authors on the right  
14 and so you can go through that and look at each of the  
15 presentations.

16 Let's see. There it is there. If we go  
17 back, let's see. Go through the list. And again, the  
18 way - when you look at the agenda, the way it was  
19 organized is the proponents were speaking close to  
20 each other so that you could easily get the different  
21 positions with respect to certain issues. And one  
22 thing -

23 DR. HINZE: One of the tweaks that  
24 happened during the workshop is that the PPRP was  
25 concerned about a lack of formal or informal

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1 interaction between the resource experts because this  
2 is part of SSHAC. And one of our early meetings right  
3 after the process started - we were meeting all the  
4 time - is that we informed the leaders of the working  
5 group to make certain that there was more interaction.

6 And that finally - I mean, that was implemented.

7 DR. KAMMERER: We were promised we'd hear  
8 some fighting and we didn't get it day one.

9 CHAIRMAN POWERS: Well, what Bill said is  
10 absolutely true. My experience is with NUREG 1150 and  
11 the panels there when the formal presentations, there  
12 were orthogonal views that emerged not to be quite so  
13 orthogonal upon less formal interaction. And in the  
14 final elicitation in fact the distributions tended to  
15 be - the spread in the distributions tended to be  
16 dominated by things that were not the original areas  
17 of orthogonality, but collegially defined were  
18 uncertainties.

19 DR. HINZE: To avoid a few people taking  
20 over the meeting, what you really need to hear is the  
21 entire view and so sometimes that takes a formal  
22 structure to bring out reactors to it.

23 MR. SALOMONE: What I have here up now on  
24 the screen is the first page. This goes from Page 21  
25 to 27. These are the questions that each of the

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1 researchers obtained prior to the workshop. They did  
2 not see the other questions that the other researchers  
3 got, so they got simply their questions. And the  
4 summary of all the questions are essentially in the  
5 documentation for - so, like Stephane Mazzotti, you  
6 know.

7           What criteria should be used to define  
8 seismic sources? Do glacial rebound processes  
9 influence seismicity? So these are just typical  
10 questions that you can read at your leisure. All the  
11 different experts. As you move through the agenda you  
12 will also start to see the breadth of information and  
13 through these questions you'll also know what was on  
14 the mind of the TI team and staff of what they needed  
15 to get from our resource experts.

16           And again, further dialogue with any of  
17 these experts still going on as we need to augment  
18 what came up in the 3-day workshop as well as those  
19 who are not on the list, but also accessible based on  
20 their knowledge that they can contribute. And just to  
21 show you we have the PPRP letter report so you can see  
22 the observations of the panel. That's available.

23           And again, just to show you some of the  
24 participants. This is the international observer  
25 group and the young professionals that we had at the

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1 pre-meeting. This is some of the action photos  
2 working at the workshop. And again, the way this  
3 worked, there was two rows reserved for the TI team  
4 and TI staff and an additional row for the PPRP so  
5 that they were not scattered throughout the conference  
6 room that was used for the over 60 attendees. Here's  
7 more shots there. Again, these two rows here and here  
8 were reserved for the TI team. These are the resource  
9 experts. This is the Participatory Peer Review Panel  
10 minus one and I'll let you see who was busy during  
11 that break, the lunch break. Here's the TI team and  
12 staff, and here are some of the observers.

13 CHAIRMAN POWERS: I have to admit that I'm  
14 puzzled on how this helps us.

15 MR. SALOMONE: And with that said I think  
16 I'll take any additional questions.

17 MEMBER ARMIJO: Okay, I have a question.  
18 On the final technical report that's going to be  
19 published, is that going to be an EPRI document, a  
20 Savannah River Nuclear Solutions document, a NUREG?

21 MR. SALOMONE: It will be an EPRI document  
22 and it will be made available to the NRC and how it's  
23 reflected in terms of any formal NUREG, that would be  
24 a decision upon submittal.

25 MEMBER ARMIJO: And maybe some of the

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1 concerns raised that might be addressed - had it been  
2 a NUREG, you would typically go out for public  
3 comments where people who said gee, you never listen  
4 to my views and here they are. Would NRC consider  
5 doing something like that if they referenced this in a  
6 NUREG?

7 DR. KAMMERER: You know, there's been a  
8 lot of discussion about that, of how do we do this  
9 feedback loop with the people who have participated to  
10 make sure that their views were captured in the right  
11 way. How do we make sure that this body of people,  
12 these 40 people at each of the workshops have some  
13 sort of possibility to review back what's provided. I  
14 think that's a topic of ongoing discussion right now  
15 in terms of both the conference calls, the peer review  
16 panel, we've discussed it quite a bit.

17 We've actually ended up to add some  
18 additional review at least with us internally, the  
19 peer review panel, an extra internal meeting for us to  
20 get one first look at it, and that's going to be  
21 happening in May, the first - second week of May I  
22 believe to look at it. And then I think we're going  
23 to need to discuss that then, first of all to go back  
24 to the technical community who participated. And then  
25 I think we as a group here at the NRC have been

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1 talking about how we will address this, the need for  
2 us to also be doing - to develop some of our own  
3 software, to do our own parametric look and  
4 sensitivity, and how we might go to the community and  
5 make some of these decisions ourselves.

6 MR. CHOKSHI: I think that's an excellent  
7 question. From a regulatory point of view all  
8 stakeholders beyond this technical community, you  
9 know, the people that are ultimately going to be  
10 affected by this opportunity. And I think we need to  
11 figure out how we do that. Right now I don't have an  
12 answer for you. If you go through our regulatory  
13 guidance process it does provide an opportunity, but  
14 you know, but you are right, this technical study, it  
15 needs to be, you know, we need to provide  
16 opportunities to our stakeholders.

17 DR. HINZE: Question if I may. Larry, you  
18 were here yesterday afternoon and there was some  
19 discussion regarding the selection of the level of a  
20 SSHAC study. You have in the project plan a page on  
21 this topic. Can you put into words how you arrived -  
22 what criteria you used to arrive at the level of the  
23 SSHAC study that is currently underway?

24 MR. SALOMONE: When you look at the  
25 procedure with respect to a SSHAC Level 4 versus SSHAC

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1 Level 3, the first response to your question is that  
2 when we began assembling the TI team and the peer  
3 review panel that was discussed as an option. What  
4 level do you think we need to go to in order to meet  
5 our goal? So when you looked at the schedule demands  
6 or a SSHAC Level 4 versus a SSHAC Level 3, this  
7 information based on being timely required an approach  
8 that would allow this information to be available in  
9 the two and a half years that you see as part of our  
10 schedule.

11 If we were to use a SSHAC Level 4 we would  
12 go beyond those two and a half years. And keep in  
13 mind that - and again, this question can also be  
14 answered by walking the talk for a year and a half  
15 trying to look for sponsors. There were certain input  
16 and sensitivities on the part of the sponsors in order  
17 to have a viable project. So in terms of dollars  
18 available for funding as well as the schedule to meet  
19 the needs of those end users that will be using it,  
20 that helped shape it as well as the technical input in  
21 the original.

22 We also reserved the right in going to a  
23 SSHAC Level 3 of - when you read the SSHAC approach  
24 you can go to a higher level of SSHAC level for a  
25 particular issue. So that if we had a particular

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1 issue that demanded beyond the SSHAC Level 3 we could  
2 do that.

3 MEMBER ARMIJO: Are you doing it?

4 MR. SALOMONE: I think paleoliquefaction  
5 would come closest to putting more meat and more time  
6 involved on that particular task. If you look at the  
7 original conceptual plan it was - is more like a Level  
8 3 and now we're approaching a Level 4 with respect to  
9 the paleoliquefaction task. So we reserve that right.

10 Now, to my knowledge in terms of SSHAC level they've  
11 not mixed it up in a particular project, but we wanted  
12 the flexibility to be able to go to a higher SSHAC  
13 level if there was a particular issue that demanded  
14 it.

15 But in summary I would say number one, the  
16 TI team working with the peer review panel made the  
17 final decision with respect to SSHAC level. There was  
18 also certain constraints with respect to the realities  
19 in terms of funding and schedule that also was clear.

20 And I would say that for what I found in the year and  
21 a half is you know, the experiences with the SSHAC  
22 Level 4 were such that the time and the amount of  
23 effort and some of the issues that developed as SSHAC  
24 4 was developed made the SSHAC Level 3 more viable.

25 And I would say in the future if I had to

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1 make a prediction depending on the choices on the part  
2 of the sponsors I think the SSHAC Level 3 in terms of  
3 updates for this work may become the rule of thumb  
4 with respect to that, in terms of that. Now I think  
5 by meeting the schedule I think the credibility of the  
6 SSHAC Level 3 process I think will also enhance its  
7 use in future work.

8 DR. HINZE: Could I have another question?

9 In the introduction to the program plan and the  
10 discussion of the objectives, two words stand out:  
11 stability and longevity. I think stability is pretty  
12 clear as to its meaning. Longevity seems to give a  
13 few people heartburn if you will. Could you discuss  
14 what you conceive of as longevity in terms of how this  
15 program leads to it?

16 MR. SALOMONE: The way we look at  
17 longevity, it's really providing the technical  
18 underpinnings for future work. I mentioned in my  
19 comments yesterday, if you think of this as the  
20 parental foundation for seismic source  
21 characterization in the Central United States, other  
22 end users will be able to build off of this technical  
23 input for their particular needs. So if you're in the  
24 building community you might be looking at the  $10^{-4}$   
25 whereas in nuclear where it might be  $10^{-5}$  and  $10^{-6}$ . But

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1 the genetic profile, the makeup will have come from  
2 the same parent with respect to that. So if the  
3 foundation can be strong, the robustness and the  
4 uncertainty that's included in the model, then it will  
5 have that longevity. And then as new information  
6 comes available judgments can be made with respect to  
7 the need to update it in part or to whatever extent  
8 the data suggests.

9 And again, with the information that will  
10 be available judgments could be made in terms of what  
11 is considered noise with respect to changes and what  
12 is real in terms of importance in terms of updating  
13 that. But again, the simple answer of longevity is  
14 the strength of this providing the technical  
15 underpinning for seismic source characterization in  
16 the Central and Eastern United States.

17 CHAIRMAN POWERS: The way to make most  
18 long expert elicitations have a long viability in the  
19 community is to make them complicated and expensive  
20 enough that no one wants to repeat them.

21 MR. SALOMONE: Could you do that a little  
22 slower? I want to get all those words.

23 (Laughter)

24 CHAIRMAN POWERS: How do you see this from  
25 NUREG 1150? It's not going to be repeated because you

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1 made it complicated enough and expensive enough that  
2 people blanch at the idea of repeating it even though  
3 it's horribly out of date now. Are there any other  
4 questions for this speaker? I'm going to take a 15-  
5 minute break at this point and we are going to go off  
6 the record at this point.

7 MR. MUNSON: Can I ask a question before  
8 we do that?

9 CHAIRMAN POWERS: Sure.

10 MR. MUNSON: We're a little hazy on the  
11 final steps in this whole process and you know, how it  
12 eventually gets sent to us in DOE and you know,  
13 presumably we'll have questions, RAIs, you know, send  
14 it out for public comment and all that. And my  
15 question would be who's going to be there to answer  
16 the questions when this project is done?

17 MR. SALOMONE: Do you want me to comment?

18 CHAIRMAN POWERS: The project manager.

19 MR. SALOMONE: The product of this effort  
20 will be the final report and the documentation of what  
21 went into the report. And there will be a public  
22 website with respect to the report and all the  
23 background as I explained. It will then be presented  
24 to the NRC, to the Defense Nuclear Facilities Safety  
25 Board and to DOE.

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1           At that point it will be their decision on  
2 how to handle this information. The service that our  
3 project team can provide will be what you consider a  
4 new task from that point on, and we would have to work  
5 with the sponsors to continue the project beyond that  
6 approach, beyond that point. So - but our deliverable  
7 is to provide the report and then later on if there  
8 are ARIs and other inputs that team could still be  
9 utilized but would be considered additional task for  
10 doing that work. And I should mention why that's the  
11 case in terms of the steps is because at the time the  
12 project plan was conceived we really are not sure  
13 exactly how it will be implemented in the various  
14 organizations.

15           So you could not really plan for that.  
16 But we're not going anywhere and we will certainly be  
17 able to work with the sponsors in terms of additional  
18 - as an additional task as required depending on the  
19 choices that are made by the sponsors on how to  
20 utilize the product.

21           DR. KAMMERER: So one of the things that  
22 we saw in the SSHAC presentation yesterday is there  
23 was a significant amount of discussion of intellectual  
24 ownership of the products that come out of a SSHAC-  
25 informed process. And in a Level 3 the ownership is

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1 with the TI team so which would be Dr. Coppersmith, et  
2 al. So I think we definitely - you might start  
3 talking to EPRI now about the fact that they're going  
4 to need to be available as the intellectual owners of  
5 the product to be able to ask the technical or be able  
6 to answer the technical questions because of course  
7 it's inevitable that there will be some.

8 CHAIRMAN POWERS: It sounds to me like you  
9 better make sure that your technical review is high  
10 quality because otherwise it's going to cost you some  
11 money.

12 DR. KAMMERER: Absolutely. It's going to  
13 cost us no matter what, so. For me personally, I mean  
14 one of the things that I am very enthusiastic about  
15 this is the fact that this will be a public  
16 transparent product in the end because again, when I  
17 was a young engineer you know and hearing that these  
18 things existed and not being able to delve into them,  
19 and learn from them, and read about the documentation,  
20 it was - there was some level of discomfort there.  
21 And so I think that this is a large - big step  
22 forward.

23 CHAIRMAN POWERS: Any other comments?  
24 Good. I'm going to take a recess for 15 minutes.  
25 We'll come back and I just want to touch base back to

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1 the research program to make sure we're on pace there.

2 This material I think goes to our seismic  
3 subcommittee who will do with it as they will. We're  
4 off the record at this point.

5 (Whereupon, the foregoing matter went off  
6 the record at 10:31 a.m. and went back on the record  
7 at 10:48 a.m.)

8 CHAIRMAN POWERS: All I really wanted to  
9 do is discuss what we plan to do in writing up this  
10 section for the research board. My intention is not  
11 to write anything till I've completed the retreat, and  
12 I - probably just buttonhole and say nothing major  
13 changed, two or three items changed, something like  
14 that. I mean, whatever comes out of that, just to  
15 reflect - yes, just more timely stuff. And then to go  
16 ahead and produce a draft. I know that Bill's going  
17 to give me a list of specifics he thinks needs to be  
18 highlighted in the thing.

19 DR. HINZE: More than you want, I think.

20 CHAIRMAN POWERS: I count on that  
21 happening. By the way, Said was so distraught at the  
22 idea of there being seismic activity in the vicinity  
23 of Georgia that he's raced back to take out insurance  
24 -

25 (Laughter)

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1 CHAIRMAN POWERS: - and things of that  
2 nature. The man was just quivering. I swear he  
3 thought that the South Carolina border protected him  
4 or something. What I'm asking is that if you have  
5 thoughts - and they need not be coherent, they can be  
6 piecemeal - on things you think ought to be said in  
7 the research with respect to the research program  
8 itself, I would appreciate just a note. I mean, you  
9 don't have to develop language -

10 MEMBER RAY: Can I ask a question on that?

11 CHAIRMAN POWERS: Sure.

12 MEMBER RAY: I realize this is a comment  
13 on research and I want to really respect that and not  
14 make it sound like I don't understand it. I do. But  
15 is there an implication when research is done as to  
16 its ultimate use in the agency? And I think we got to  
17 that question which was sort of back in my mind toward  
18 the end here. I don't know that that's appropriate to  
19 comment on in this context, Dana, but nevertheless  
20 this - is this research - you know a lot more about  
21 this than I - is this research different in the way  
22 it's being conducted?

23 And I find no fault with the way it's  
24 being conducted, by the way. But is it different  
25 insofar as it is later on going to have this problem

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1 of, well, we've got questions as an agency, who do we  
2 ask the questions of and what are the implications of  
3 this research for the process that the agency is  
4 involved in, which is a regulatory process. A lot of  
5 research is done that doesn't directly link up with  
6 regulation, I understand that, and this may be the  
7 case here as well, but I think that there's - I sense  
8 a bit of a difference here, that this research is  
9 intended to be used and not just by new applicants  
10 necessarily.

11 And maybe I've wandered around enough with  
12 my question. You can respond a bit. I just don't  
13 know to what extent our comments have to do with well,  
14 how is this going to be implemented given the very  
15 good way that it's being conducted?

16 CHAIRMAN POWERS: It is - if we have  
17 comments in that particular area - I think you're  
18 talking about the Central and Eastern United States?

19 MEMBER RAY: I am, yes.

20 CHAIRMAN POWERS: That component to which  
21 we can blame the NRC staff to the extent that they're  
22 a sponsor of it where the commission expects us in  
23 this report, unlike the quality report, to comment  
24 specifically on this - on how is this research going  
25 to be used. Is it useful to the agency? In your

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1 case, it's transparently clear it's useful to the  
2 agency. There's a question on how does it actually  
3 get used by the agency and where does the expertise  
4 arise.

5 I think if I were - I mean, that is a  
6 legitimate field to comment on. I think if I were  
7 responding from the staff on that I would say well, we  
8 have expertise at several points in this program and  
9 we fully intend to preserve that expertise as far as  
10 being the reference point that the line organizations  
11 can come to and say okay, my applicant has appealed to  
12 this latest seismic survey in the design of his  
13 application and I'm reviewing it and I have these  
14 questions. Research, please answer my questions for  
15 me so to save me from having to ask the licensee.

16 MEMBER RAY: Well, your NUREG example is a  
17 good example I think of a way of taking this forward  
18 into the agency. And the question might be well,  
19 that's what we intend to do, we intend to publish this  
20 as some kind of agency document, invite comments on it  
21 and based on that to make it a part of our regulatory  
22 regime. That's an answer, you know.

23 CHAIRMAN POWERS: I would suspect that  
24 they would - that eventually it will get referenced in  
25 a reg guide in which case it becomes part of the

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1 regulatory process. It can be referenced in one of  
2 two ways. It can be referenced as a resource document  
3 in a REG guide or it can be endorsed. And endorsing  
4 is the same as if the NRC had written it.

5 MEMBER RAY: So then it would go through  
6 the normal public comment process and resolution.

7 MR. CHOKSHI: I think Dr. Powers  
8 characterized the process as I think, that you know,  
9 in principle it's no different than what we do. Right  
10 now when we say you can start at the EPRI or Livermore  
11 models, instead of that we will be reporting to this  
12 new site and so that is where you start, and then you  
13 have to do your site-specific studies, then the  
14 application comes in. We review with the expertise  
15 and that will be - it's the normal process of re-  
16 view at that point in time. So in terms of from the  
17 regulatory point of view it's not that big a  
18 challenge.

19 MEMBER RAY: Well, I'm not suggesting it's  
20 a big challenge. I will say that like a lot of  
21 research - it's not unique to seismic - where you're  
22 dealing with something that affects a lot of existing  
23 plants there is ultimately or inevitably an issue that  
24 has to be dealt with. Like I say, it's not unique to  
25 seismic at all. But still, the status of this work is

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1 a part of the regulatory process whether it's in any  
2 one of the things that the chairman suggested. It  
3 might be a reference-able document, an endorsed  
4 document, a document that the agency sought comment  
5 on, God knows what you'll decide to do. Those are  
6 choices yet to be made. That might come out of your  
7 workshop, I don't know, and be relevant.

8 CHAIRMAN POWERS: No, I think that'll come  
9 out of the regulatory deliberations. They have to  
10 look at what they've got.

11 MEMBER RAY: I didn't mean workshop, I  
12 meant the retreat, but in any event.

13 MR. CHOKSHI: Because in that process we  
14 involve the stakeholders, you know.

15 CHAIRMAN POWERS: Yes, it won't come out  
16 of the retreat. They can't make that judgment until  
17 they see what they have. And that - I guess I agree  
18 that right now on the face of it it's just going to be  
19 - there's going to be an update to a reg guide and one  
20 sentence is going to come out and another sentence is  
21 going to come in.

22 MR. CHOKSHI: Exactly. That will be it  
23 seems to me the same thing, the most practical and  
24 easiest way to do it.

25 MR. LEE: I think we heard yesterday that

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1 case of the EPRI CEUS Project 1.208 would be amended  
2 to - you'd redact - I mean, I'm not saying this is  
3 what's going to happen, but the expectation is  
4 substitute the EPRI Livermore methodologies for the  
5 CEUS work.

6 MR. MUNSON: It's an expectation but it's  
7 certainly not de facto -

8 CHAIRMAN POWERS: It's not decided.

9 MEMBER MAYNARD: It's similar to ASME or  
10 IEEE things where it's cooperative?

11 CHAIRMAN POWERS: Because it's not  
12 explicitly guidance it would surprise me if it looked  
13 like that.

14 MEMBER MAYNARD: Well I mean as far as the  
15 process.

16 CHAIRMAN POWERS: The process, kind of the  
17 same.

18 MEMBER MAYNARD: And that they may take  
19 the document, they may have some regulatory positions  
20 that are slightly different or considerably different,  
21 public comment, NUREG or reg guide whatever.

22 CHAIRMAN POWERS: Yes, and I suspect you  
23 know when you use it a couple of times or try it a  
24 couple of times they'd find out well, there's room for  
25 substantial misinterpretation. They put out another

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1 reg guide that says here's how you read these  
2 sentences, or here's the way to do the calculation  
3 that doesn't get cross-wise with Cliff, get him upset.

4 DR. HINZE: I think we have to remember  
5 that this is not an end itself, that this is just one  
6 step in the process. And I think that kind of gets  
7 lost in the discussion here, and that is that really  
8 what happens is that this is then connected to the  
9 next generation Eastern U.S. ground motion. And once  
10 that then is put in there, then we will be at the  
11 place where we can actually do some Monte Carlo-ing,  
12 we can do some studying and have meaningful results.  
13 At this point this is just an SSC. This is just a  
14 seismic site characterization, and so it's just one  
15 step and -

16 CHAIRMAN POWERS: But it's been one that's  
17 been fraught with past difficulties and quite frankly  
18 a duplication of effort that we think we can get rid  
19 of.

20 DR. HINZE: You know, and I think this  
21 really gets at what Jeff was saying yesterday. It's  
22 working towards that at least in terms of a consensus  
23 or harmonization or whatever terms he uses. I guess  
24 that comes close to it anyhow.

25 CHAIRMAN POWERS: I like the theses under

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1 which the original Livermore and EPRI work were done.

2 I think this one comes too close to trying to create  
3 a model rather than a characterization, which is going  
4 to drive narrowing of variances in ways that - I mean,  
5 narrowing a variance when it's justified I think is  
6 great. Narrowing a variance in order to have a nifty-  
7 looking model I get more concerned about.

8 DR. HINZE: I think all of the reviews  
9 that this will go through should take at heart your  
10 comment and I'm sure they will.

11 CHAIRMAN POWERS: All right well, again  
12 Harold, everything you've mentioned, fair game. The  
13 research report really addresses are we doing the  
14 right research, is the right research meeting a  
15 regulatory need, have we satisfied the regulatory  
16 need. The usual problems we run into in our review is  
17 that people will examine it and say well, there's a  
18 scientific need which is different from a regulatory  
19 need. And part of our function is to say well, has  
20 the research gone on enough that it's met the  
21 regulatory need. If science needs to be done, please  
22 call NSF or somebody like that. That's usually where  
23 we run to counter purposes in this thing. But the  
24 question of is there a regulatory need that the  
25 research is meeting, that's explicitly and exactly in

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1 our charge.

2 MEMBER RAY: I think the answer is yes in  
3 my opinion.

4 CHAIRMAN POWERS: Yes, I think that came  
5 through. I mean, the opening speaker laid out in here  
6 and say what we need and we've seen it in every single  
7 early site permit, we'll see it in every COL. Yes,  
8 there's an absolute need here and like I said, what I  
9 thought was just delightful was not only were the  
10 needs identified but I can track them one to one with  
11 -

12 MEMBER RAY: I just thought from the  
13 opening speaker I would see more of a clear path to  
14 its application and that's not the case.

15 CHAIRMAN POWERS: I agree with you here.  
16 That is - and I do remind people not so much in this  
17 context, but in the context of the overall research  
18 program, the commission does - is asking us to look at  
19 the research programs and ascertain have they met the  
20 regulatory need. And what they're essentially asking  
21 is can I free up other - those resources to meet other  
22 needs.

23 And they do rely on us very much for that.

24 Now this is a new program so it's kind of hard for it  
25 to have met a regulatory need, but there's a point at

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1 which it will. And in other areas we can see where  
2 things have met the regulatory need and whatnot, which  
3 is distinct from the scientific need. And again, if  
4 you - I'll take anything I can get from you.

5 As far as points to be made you don't have  
6 to worry about the language, just tell me to make that  
7 point and I will do so. The intention is we'll  
8 iterate a draft around to ourselves. Once we're happy  
9 with it we'll fire it out to the staff, ask them to  
10 look at it. You guys are free to comment on anything,  
11 but recognize we'll probably only take the comments on  
12 factual accuracy and things like that.

13 (Laughter)

14 CHAIRMAN POWERS: Then we'll amend it and  
15 then it goes to the full ACRS to get reviewed and  
16 things like that. I did not see - I saw a huge amount  
17 in this research program that I would love to appoint  
18 the full ACRS committee if they had all kinds of time  
19 on their hands. They don't. I think we'll wait for  
20 products to come out of this to bring it forward to  
21 the full committee, but I think you're in good stead  
22 to come to the full committee when you get those  
23 products available. Any other comments?

24 MR. LEE: Yes. They'll have their  
25 retreat, they'll bang out an email or something to the

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1 committee? And then you're also going to get us that  
2 list?

3 CHAIRMAN POWERS: Yes. I need pins and  
4 titles.

5 MR. CHOKSHI: Yes. I think that's going  
6 to that -

7 CHAIRMAN POWERS: And that's just to meet  
8 my obligation to the commission. I mean, we're - what  
9 we'll be actually examining is what you presented to  
10 us. Pins and titles we don't exactly look into real  
11 closely, but I have to meet their requirements.  
12 Otherwise let's thank you all for attending. Thank  
13 you for wonderful presentations and we are adjourned.

14 (Whereupon, the foregoing matter went off  
15 the record at 11:03 a.m.)

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