OFFICE OF THE
INSPECTOR GENERAL

May 12, 2009

MEMORANDUM TO: R. William Borchardt
                   Executive Director for Operations

FROM: Stephen D. Dingbaum **/RA/**
                   Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S
                   AUTOMATED ACCESS CONTROL AND ENHANCED
                   SECURITY SYSTEM (OIG-07-A-10)

REFERENCE: DIRECTOR, OFFICE OF ADMINISTRATION,
                   MEMORANDUM DATED APRIL 30, 2009

Attached is the Office of the Inspector General (OIG) analysis and status of recommendation 8 as discussed in the agency's response dated April 30, 2009. Based on this response, recommendation 8 is closed. Recommendations 13 and 15 remain in resolved status. Recommendations 1, 2, 3, 4, 5, 6, 7, 9, 10, 11, 12, 14, 16, and 17 were closed previously. Please provide an updated status of the resolved recommendations by October 30, 2009.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca, Team Leader, at 415-5911.

Attachment: As stated

cc: V. Ordaz, OEDO
       J. Arildsen, OEDO
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**AUDIT OF NRC'S AUTOMATED ACCESS CONTROL AND
ENHANCED SECURITY SYSTEM**

OIG-07-A-10

Status of Recommendation

Recommendation 8: Write and implement badge access system operating
procedures that provides system user guidance and
addresses the preceding three recommendations.

Agency Response Dated
April 30, 2009: The ACCESS system operating procedures have been
developed to address the audit functions described in
Recommendations 5, 6 and 7. Specifically, the procedures
describe the process to conduct quality assurance reviews
on a quarterly basis to ensure the accuracy of system data
regarding special access areas, terminated employees and
terminated contractors. The procedures also describe the
process to review the list of super users (individuals having
access to all areas) on a quarterly basis, modify as required,
and send to appropriate points of contact. The procedures
discuss the process by which the list of departures is
provided to the regions and the Technical Training Center on
a quarterly basis to include adding an ADM memorandum to
the report making the list an official notification. These
procedures are provided as Enclosure 1.

The current ACCESS system is being replaced in the
coming months as part of an agency effort to implement a
Homeland Security Presidential Directive 12 (HSPD-12)
compliant physical access control system (PACS). When
complete, the new ACCESS system will not be used to
produce badges. The badge production function will transfer
to the HSPD-12 system. Detailed operating instructions for
the new ACCESS system are being developed as part of the
security certification and accreditation documentation
process, which began in March 2009.

The procedures provided in Enclosure 2 describe the
Personal Identity Verification process to be implemented in
the HSPD-12 system. These procedures include specific
roles that will exist in the HSPD-12 system operating
procedures. These roles will include the Sponsor, Registrar,
Adjudicator, and Activator. The HSPD-12 system operating
procedures will be fully documented and implemented as
part of the certification and accreditation for the new HSPD-
12 system.

Recommendation 8 continued:

	Documentation for both the new ACCESS and HSPD-12 systems will be completed by December 1, 2009.
OIG Analysis:	OIG reviewed the Badge Access System Operating Procedures and determined they provide system user guidance and address the issues raised in recommendations 5, 6, and 7. The procedures have been implemented as evidenced by the closure of recommendations 5, 6, and 7.
Status:	Closed.