



UNITED STATES  
NUCLEAR REGULATORY COMMISSION  
WASHINGTON, D.C. 20555-0001

OFFICE OF THE  
INSPECTOR GENERAL

May 12, 2009

MEMORANDUM TO: R. William Borchardt  
Executive Director for Operations

FROM: Stephen D. Dingbaum */RA/*  
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S  
AUTOMATED ACCESS CONTROL AND ENHANCED  
SECURITY SYSTEM (OIG-07-A-10)

REFERENCE: DIRECTOR, OFFICE OF ADMINISTRATION,  
MEMORANDUM DATED APRIL 30, 2009

Attached is the Office of the Inspector General (OIG) analysis and status of recommendation 8 as discussed in the agency's response dated April 30, 2009. Based on this response, recommendation 8 is closed. Recommendations 13 and 15 remain in resolved status. Recommendations 1, 2, 3, 4, 5, 6, 7, 9, 10, 11, 12, 14, 16, and 17 were closed previously. Please provide an updated status of the resolved recommendations by October 30, 2009.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca, Team Leader, at 415-5911.

Attachment: As stated

cc: V. Ordaz, OEDO  
J. Arildsen, OEDO  
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## Audit Report

### AUDIT OF NRC'S AUTOMATED ACCESS CONTROL AND ENHANCED SECURITY SYSTEM

OIG-07-A-10

#### Status of Recommendation

Recommendation 8: Write and implement badge access system operating procedures that provides system user guidance and addresses the preceding three recommendations.

Agency Response Dated  
April 30, 2009:

The ACCESS system operating procedures have been developed to address the audit functions described in Recommendations 5, 6 and 7. Specifically, the procedures describe the process to conduct quality assurance reviews on a quarterly basis to ensure the accuracy of system data regarding special access areas, terminated employees and terminated contractors. The procedures also describe the process to review the list of super users (individuals having access to all areas) on a quarterly basis, modify as required, and send to appropriate points of contact. The procedures discuss the process by which the list of departures is provided to the regions and the Technical Training Center on a quarterly basis to include adding an ADM memorandum to the report making the list an official notification. These procedures are provided as Enclosure 1.

The current ACCESS system is being replaced in the coming months as part of an agency effort to implement a Homeland Security Presidential Directive 12 (HSPD-12) compliant physical access control system (PACS). When complete, the new ACCESS system will not be used to produce badges. The badge production function will transfer to the HSPD-12 system. Detailed operating instructions for the new ACCESS system are being developed as part of the security certification and accreditation documentation process, which began in March 2009.

The procedures provided in Enclosure 2 describe the Personal Identity Verification process to be implemented in the HSPD-12 system. These procedures include specific roles that will exist in the HSPD-12 system operating procedures. These roles will include the Sponsor, Registrar, Adjudicator, and Activator. The HSPD-12 system operating procedures will be fully documented and implemented as part of the certification and accreditation for the new HSPD-12 system.

## Audit Report

### AUDIT OF NRC'S AUTOMATED ACCESS CONTROL AND ENHANCED SECURITY SYSTEM

OIG-07-A-10

#### Status of Recommendation

Recommendation 8 continued:

Documentation for both the new ACCESS and HSPD-12 systems will be completed by December 1, 2009.

OIG Analysis:

OIG reviewed the Badge Access System Operating Procedures and determined they provide system user guidance and address the issues raised in recommendations 5, 6, and 7. The procedures have been implemented as evidenced by the closure of recommendations 5, 6, and 7.

**Status:**

Closed.