



**U.S. NUCLEAR REGULATORY COMMISSION  
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**DRAFT REGULATORY GUIDE**

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**DRAFT REGULATORY GUIDE DG-1192**

(Proposed Revision 16 of Regulatory Guide 1.147, dated October 2007)

**INSERVICE INSPECTION CODE CASE ACCEPTABILITY,  
ASME SECTION XI, DIVISION 1**

**A. INTRODUCTION**

General Design Criterion (GDC) 1, "Quality Standards and Records," of Appendix A, "General Design Criteria for Nuclear Power Plants," to Title 10, Part 50, of the *Code of Federal Regulations* (10 CFR Part 50), "Domestic Licensing of Production and Utilization Facilities" (Ref. 1), requires, in part, that structures, systems, and components important to safety be designed, fabricated, erected, and tested to quality standards commensurate with the importance of the safety functions to be performed. Where generally recognized codes and standards are used, Criterion 1 requires that they be identified and evaluated to determine their applicability, adequacy, and sufficiency and be supplemented or modified as necessary to ensure a quality product in keeping with the required safety function.

Provisions of the ASME International Boiler and Pressure Vessel (B&PV) Code have been used since 1971 as one part of the framework to establish the necessary design, fabrication, construction, testing, and performance requirements for structures, systems, and components important to safety. Among other things, ASME standards committees develop improved methods for the construction and inservice inspection (ISI) of ASME Class 1, 2, 3, MC (metal containment), and CC (concrete containment) nuclear power plant components. A broad spectrum of stakeholders participates in the ASME process, which helps to ensure that the various interests are considered.

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This regulatory guide is being issued in draft form to involve the public in the early stages of the development of a regulatory position in this area. It has not received final staff review or approval and does not represent an official NRC final staff position.

Public comments are being solicited on this draft guide (including any implementation schedule) and its associated regulatory analysis or value/impact statement. Comments should be accompanied by appropriate supporting data. Written comments may be submitted to the Rulemaking, Directives, and Editing Branch, Office of Administration, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001; e-mailed to [nrcprep.resource@nrc.gov](mailto:nrcprep.resource@nrc.gov); submitted through the NRC's interactive rulemaking Web page at <http://www.nrc.gov>; faxed to (301) 492-3446; the Federal rulemaking portal: <http://www.regulations.gov>. Copies of comments received may be examined on <http://www.regulations.gov> or at the NRC's Public Document Room, 11555 Rockville Pike, Rockville, MD. Comments will be most helpful if received by August 17, 2009.

Electronic copies of this draft regulatory guide are available on <http://www.regulations.gov>; the NRC's public Web site under Draft Regulatory Guides in the Regulatory Guides document collection of the NRC's Electronic Reading Room at <http://www.nrc.gov/reading-rm/doc-collections/>; and the NRC's Agencywide Documents Access and Management System (ADAMS) at <http://www.nrc.gov/reading-rm/adams.html>, under ML090900445.

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The regulation in 10 CFR 50.55a(g), “Inservice Inspection Requirements,” requires, in part, that Classes 1, 2, 3, MC, and CC components and their supports meet the requirements of Section XI, “Rules for Inservice Inspection of Nuclear Power Plant Components,” of the ASME BPV Code (Ref. 2) or equivalent quality standards. Every 3 years the ASME publishes a new edition of the BPV Code, including Section XI, and new addenda are published every year. The latest editions and addenda of Section XI that the U.S. Nuclear Regulatory Commission (NRC) has approved for use are referenced in 10 CFR 50.55a(b). The ASME also publishes Code Cases quarterly. Code Cases provide alternatives to existing Code requirements that the ASME developed and approved. This regulatory guide identifies the Code Cases that the NRC has determined to be acceptable alternatives to applicable parts of Section XI. Licenses may use these Code Cases without requesting authorization from the NRC, provided that they are used with any identified limitations or modifications. Section XI Code Cases not yet endorsed by the NRC may be used by a licensee or applicant through 10 CFR 50.55a(a)(3). That section permits the use of alternatives to the Code requirements referenced in 10 CFR 50.55a provided that the proposed alternatives result in an acceptable level of quality and safety and that their use is authorized by the Director of the Office of Nuclear Reactor Regulation.

The ASME Code is incorporated by reference into 10 CFR 50.55a, which the NRC will amend to incorporate this guide by reference; 10 CFR 50.55a states the requirements governing the use of Code Cases. Because of continuing change in the status of Code Cases, the staff plans periodic updates to 10 CFR 50.55a and this guide to accommodate new Code Cases and any revisions of existing Code Cases. Code Cases approved by the NRC provide an acceptable voluntary alternative to the mandatory ASME Code provisions.

This regulatory guide contains new information collection requirements subject to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*). Code Case N-730, “Roll Expansion of Class 1 Control Rod Drive Bottom Head Penetrations in BWRs, Section XI, Division 1,” requires licensees to maintain the repair records for the life of the reactor pressure vessel. The Code Case is only applicable to the 35 boiling water reactors. It is estimated that the Code Case will be applied five times each year. The increase in recordkeeping burden is estimated to be 25 p-hrs/yr (i.e., 5 occurrences x 5 p-hr/repair). This regulatory guide does not contain any [new] reporting requirements associated with new Code Cases being addressed in this regulatory guide. This regulatory guide contains existing information collections that are covered by the requirements of 10 CFR Part 50, which the Office of Management and Budget (OMB) approved under OMB control number 3150-0011. The NRC may neither conduct nor sponsor, and a person is not required to respond to, an information collection request or requirement unless the requesting document displays a currently valid OMB control number.

## B. DISCUSSION

For Revision 16 of Regulatory Guide 1.147, the NRC reviewed the Section XI Code Cases listed in Supplements 2 through 11 to the 2004 and Supplement 0 published with the 2007 Edition (Supplement 0 also serves as Supplement 12 to the 2004 Edition) of the ASME BPV Code. Appendix A to this guide lists the supplements reviewed, the edition, the supplement number, and the date on which the supplement was approved by the ASME Board on Nuclear Codes and Standards. Appendix B is a list of the Section XI Code Cases published by the ASME in the eleven supplements. Finally, Appendix C is a current list of all Section XI Code Cases. The Code Cases addressed by this regulatory guide are listed in five tables:

- (1) Table 1, “Acceptable Section XI Code Cases,” lists the Code Cases that are acceptable to the NRC for implementation in the ISI of light-water-cooled nuclear power plants.
- (2) Table 2, “Conditionally Acceptable Section XI Code Cases,” lists the Code Cases that are acceptable, provided that they are used with the identified limitations or modifications (i.e., the Code Case is generally acceptable but the NRC has determined that the alternative requirements must be supplemented in order to provide an acceptable level of quality and safety).
- (3) Table 3, “Annulled Unconditionally Approved Section XI Code Cases,” lists Code Cases annulled by the ASME that the NRC previously determined to be fully acceptable.
- (4) Table 4, “Annulled Conditionally Acceptable Section XI Code Cases,” lists Code Cases that the NRC determined to be acceptable, provided that they were used with the identified limitations or modifications, but were subsequently annulled by the ASME.
- (5) Table 5, “Section XI Code Cases That Have Been Superseded by Revised Code Cases,” lists Code Cases that have been superseded through revision. Code Cases that the NRC determined to be unacceptable are listed in Regulatory Guide 1.193, “ASME Code Cases Not Approved for Use” (Ref. 4).

Code Cases provide alternatives to existing Code requirements that the ASME developed and approved. The new Code Cases and revisions to existing Code Cases listed as approved in Tables 1 and 2 of this guide will be incorporated by reference into 10 CFR 50.55a. Code Cases approved by the NRC may be used voluntarily by licensees as an alternative to compliance with ASME Code provisions incorporated by reference into 10 CFR 50.55a.

When a licensee initially implements a Code Case, 10 CFR 50.55a requires that the most recent version of that Code Case as listed in Tables 1 and 2 be implemented. If a Code Case is implemented by a licensee and a later version of the Code Case is incorporated by reference into 10 CFR 50.55a and listed in Tables 1 and 2 during the licensee’s present 120-month ISI program interval, that licensee may use either the later version or the previous version. An exception to this provision would be the inclusion of a limitation or condition on the use of the Code Case that is necessary, for example, to enhance safety. Licensees who choose to continue use of the Code Case during the subsequent 120-month ISI program interval will be required to implement the latest version incorporated by reference into 10 CFR 50.55a and listed in Tables 1 and 2.

Code Cases may be annulled because the provisions have been incorporated into the Code, the application for which it was specifically developed no longer exists, or experience has shown that an examination or testing method is no longer adequate. After a Code Case is annulled and 10 CFR 50.55a and this guide are amended, licensees may not implement that Code Case for the first time. However, a licensee who implemented the Code Case prior to annulment may continue to use that Code Case through the end of the present ISI interval. An annulled Code Case cannot be used in the subsequent ISI interval

unless implemented as an approved alternative under 10 CFR 50.55a(a)(3). If a Code Case is incorporated by reference into 10 CFR 50.55a and later annulled by the ASME because experience has shown that an examination or testing method is inadequate, the NRC will amend 10 CFR 50.55a and this guide to remove the approval of the annulled Code Case. Licensees should not begin to implement such annulled Code Cases prior to the rulemaking. Notwithstanding these requirements, the Commission may impose new or revised Code requirements, including implementation schedules, that it determines are consistent with the Backfit Rule (10 CFR 50.109).

With regard to the use of any Code Case, it is the responsibility of the user to make certain that the provisions of the Code Case do not conflict with regulatory requirements or licensee commitments.

## C. REGULATORY POSITION

### 1. Acceptable Section XI Code Cases

The Code Cases listed in Table 1 are acceptable to the NRC for application in licensees' Section XI inservice inspection programs. The ASME issues a new edition of Section XI every 3 years. Code Cases are published quarterly in supplements to each edition. Hence, there are 12 supplements to each edition. To assist users, new and revised Code Cases are shaded to distinguish them from those approved in previous versions of this guide. The shading will assist in focusing attention during the public comment period on the changes to the guide. For Code Cases previously listed in this guide, the third column of Table 1 lists the date of ASME approval. The letter "R" preceding a date indicates that the Code Case was reaffirmed in one of the seven supplements reviewed. For new or revised Code Cases, the third column of Table 1 lists the supplement and edition in which each Code Case was published (e.g., "3/04E" means Code Case Supplement 3 to the 2004 Edition).

**Table 1. Acceptable Section XI Code Cases**

<b>Code Case Number</b>	<b>Table 1 Acceptable Section XI Code Cases</b>	<b>Date or Supplement/ Edition</b>
N-307-3	<i>Revised Ultrasonic Examination Volume for Class 1 Bolting, Table IWB-2500-1, Examination Category B-G-1, When the Examinations Are Conducted from the End of the Bolt or Stud or from the Center-Drilled Hole, Section XI, Division 1</i>	3/28/01
N-323-1	<i>Alternative Examination for Welded Attachments to Pressure Vessels, Section XI, Division 1</i>	4/08/02
N-432-1	<i>Repair Welding Using Automatic or Machine Gas Tungsten-Arc Welding (GTAW) Temper Bead Technique, Section XI, Division 1</i>	3/28/01
N-460	<i>Alternative Examination Coverage for Class 1 and Class 2 Welds, Section XI, Division 1</i>	2/14/03
N-491-2	<i>Rules for Examination of Class 1, 2, 3, and MC Component Supports of Light-Water Cooled Power Plants, Section XI, Division 1</i>	2/14/03
N-494-4	<i>Pipe Specific Evaluation Procedures and Acceptance Criteria for Flaws in Class 1 Ferritic Piping that Exceed the Acceptance Standards of IWB-3514.2 and in Class 1 Austenitic Piping that Exceed the Acceptance Standards of IWB-3514.3, Section XI, Division 1</i> (Note: Code Case N-494-3 was unconditionally approved in Revision 15 to Regulatory Guide 1.147)	7/04E
N-496-2	<i>Helical-Coil Threaded Inserts, Section XI, Division 1</i>	R8/04/04
N-508-3	<i>Rotation of Serviced Snubbers and Pressure Relief Valves for the Purpose of Testing, Section XI, Division 1</i>	11/18/03
N-513-2	<i>Evaluation Criteria for Temporary Acceptance of Flaws in Moderate Energy Class 2 or 3 Piping, Section XI, Division 1</i>	2/20/04
N-517-1	<i>Quality Assurance Program Requirements for Owners, Section XI, Division 1</i>	3/28/01

<b>Code Case Number</b>	<b>Table 1 Acceptable Section XI Code Cases</b>	<b>Date or Supplement/ Edition</b>
N-526	<i>Alternative Requirements for Successive Inspections of Class 1 and 2 Vessels, Section XI, Division 1</i>	8/20/02
N-532-4	<i>Alternative Requirements to Repair and Replacement Documentation Requirements and Inservice Summary Report Preparation and Submission as Required by IWA-4000 and IWA-6000, Section XI, Division 1</i>	4/19/06
N-534	<i>Alternative Requirements for Pneumatic Pressure Testing, Section XI, Division 1</i>	5/9/03
N-537	<i>Location of Ultrasonic Depth-Sizing Flaws, Section XI, Division 1</i>	3/28/01
N-553-1	<i>Inservice Eddy Current Surface Examination of Pressure Retaining Pipe Welds and Nozzle-to-Safe End Welds, Section XI, Division 1</i>	3/28/01
N-554-3	<i>Alternative Requirements for Reconciliation of Replacement Items and Addition of New Systems, Section XI, Division 1</i>	2/14/03
N-566-2	<i>Corrective Action for Leakage Identified at Bolted Connections, Section XI, Division 1</i>	3/28/01
N-567-1	<i>Alternative Requirements for Class 1, 2, and 3 Replacement Components, Section XI, Division 1</i>	4/19/02
N-573	<i>Transfer of Procedure Qualification Records Between Owners, Section XI, Division 1</i>	2/14/03
N-586-1	<i>Alternative Additional Examination Requirements for Classes 1, 2, and 3 Piping, Components, and Supports, Section XI, Division 1</i>	5/04/04
N-600	<i>Transfer of Welder, Welding Operator, Brazer, and Brazing Operator Qualifications Between Owners, Section XI, Division 1</i>	9/18/01
N-609	<i>Alternative Requirements to Stress-Based Selection Criteria for Category B-J Welds, Section XI, Division 1</i>	7/30/98
N-613-1	<i>Ultrasonic Examination of Penetration Nozzles in Vessels, Examination Category B-D, Item Nos. B3.10 and B3.90, Reactor Nozzle-to-Vessel Welds, Figs. IWB-2500-7(a), (b), and (c), Section XI, Division 1</i>	8/20/02
N-624	<i>Successive Inspections, Section XI, Division 1</i>	4/19/02
N-629	<i>Use of Fracture Toughness Test Data to Establish Reference Temperature for Pressure Retaining Materials, Section XI, Division 1</i>	8/20/02
N-641	<i>Alternative Pressure-Temperature Relationship and Low Temperature Overpressure Protection System Requirements, Section XI, Division 1</i>	2/3/03
N-643-2	<i>Fatigue Crack Growth Rate Curves for Ferritic Steels in PWR Water Environment, Section XI, Division 1</i>	5/04/04
N-649	<i>Alternative Requirements for IWE-5240 Visual Examination, Section XI, Division 1</i>	3/28/01

<b>Code Case Number</b>	<b>Table 1 Acceptable Section XI Code Cases</b>	<b>Date or Supplement/ Edition</b>
N-651	<i>Ferritic and Dissimilar Metal Welding Using SMAW Temper Bead Technique Without Removing the Weld Bead Crown for the First Layer, Section XI, Division 1</i>	8/14/01
N-652-1	<i>Alternative Requirements to Categorize B-G-1, B-G-2, and C-D Bolting Examination Methods and Selection Criteria, Section XI, Division 1</i>	2/20/04
N-658	<i>Qualification Requirements for Ultrasonic Examination of Wrought Austenitic Piping Welds, Section XI, Division 1</i>	4/4/02
N-661-1	<i>Alternative Requirements for Wall Thickness Restoration of Class 2 and 3 Carbon Steel Piping for Raw Water Service, Section XI, Division 1</i> (Note: Code Case N-661 was conditionally approved in Revision 15 to Regulatory Guide 1.147)	7/04E
N-663	<i>Alternative Requirements for Classes 1 and 2 Surface Examinations, Section XI, Division 1</i>	9/17/02
N-664	<i>Performance Demonstration Requirements for Examination of Unclad Reactor Pressure Vessel Welds, Excluding Flange Welds, Section XI, Division 1</i>	8/20/02
N-665	<i>Alternative Requirements for Beam Angle Measurements Using Refracted Longitudinal Wave Search Units, Section XI, Division 1</i>	2/28/03
N-666	<i>Weld Overlay of Class 1, 2, and 3 Socket Welded Connections, Section XI, Division 1</i>	9/04E
N-683	<i>Method for Determining Maximum Allowable False Calls When Performing Single-Sided Access Performance Demonstration in Accordance with Appendix VIII, Supplements 4 and 6, Section XI, Division 1</i>	2/28/03
N-685	<i>Lighting Requirements for Surface Examination, Section XI, Division 1</i>	2/28/03
N-686-1	<i>Alternative Requirements for Visual Examinations, VT-1, VT-2, and VT-3, Section XI, Division 1</i> (Note: Code Case N-686 was unconditionally approved in Revision 15 to Regulatory Guide 1.147)	12/04E
N-695	<i>Qualification Requirements for Dissimilar Metal Piping Welds, Section XI Division 1</i>	5/21/03
N-696	<i>Qualification Requirements for Appendix VIII Piping Examinations Conducted from the Inside Surface, Section XI, Division 1</i>	5/21/03
N-697	<i>Pressurized Water Reactor (PWR) Examination and Alternative Examination Requirements for Pressure Retaining Welds in Control Rod Drive and Instrument Nozzle Housings, Section XI, Division 1</i>	11/18/03
N-700	<i>Alternative Rules for Selection of Classes 1, 2, and 3 Vessel Welded Attachments for Examination, Section XI, Division 1</i>	11/18/03
N-705	<i>Evaluation Criteria for Temporary Acceptance of Degradation in Moderate Energy Class 2 or 3 Vessels and Tanks, Section XI, Division 1</i>	11/04E

<b>Code Case Number</b>	<b>Table 1 Acceptable Section XI Code Cases</b>	<b>Date or Supplement/ Edition</b>
N-706-1	<i>Alternative Examination Requirements of Table IWB-2500-1 and Table IWC-2500-1 for PWR Stainless Steel Residual and Regenerative Heat Exchangers, Section XI, Division 1</i> (Note: Code Case N-706 was unconditionally approved in Revision 15 to Regulatory Guide 1.147)	12/04E
N-712	<i>Class 1 Socket Weld Examinations, Section XI, Division 1</i>	2/04E
N-730	<i>Roll Expansion of Class 1 Control Rod Drive Bottom Head Penetrations in BWRs, Section XI, Division 1</i>	11/04E
N-731	<i>Alternative Class 1 System Leakage Test Pressure Requirements, Section XI, Division 1</i>	5/04E
N-733	<i>Mitigation of Flaws in NPS 2 (DN 50) and Smaller Nozzles and Nozzle Partial Penetration Welds in Vessels and Piping by Use of a Mechanical Connection Modification, Section XI, Division 1</i>	6/04E
N-735	<i>Successive Inspection of Class 1 and 2 Piping Welds, Section XI, Division 1</i>	11/04E
N-739	<i>Alternative Qualification Requirements for Personnel Performing Class CC Concrete and Post-Tensioning System Visual Examinations, Section XI, Division 1</i>	11/04E
N-753	<i>Vision Tests, Section XI, Division 1</i>	10/04E



## 2. Conditionally Acceptable Section XI Code Cases

The Code Cases listed in Table 2 are acceptable to the NRC for application in licensees' Section XI inservice inspection programs within the limitations imposed by the NRC staff. Unless otherwise stated, limitations imposed by the NRC are in addition to the conditions specified in the Code Case. The ASME issues a new edition of Section XI every 3 years. Code Cases are published quarterly in supplements to each edition. Hence, there are 12 supplements to each edition. To assist users, new and revised Code Cases are shaded to distinguish them from those approved in previous versions of this guide. The shading will assist in focusing attention during the public comment period on the changes to the guide. For Code Cases previously listed in this guide, the third column of Table 1 lists the date of ASME approval. The letter "R" preceding a date indicates that the Code Case was reaffirmed in one of the seven supplements reviewed. For new or revised Code Cases, the third column of Table 1 lists the supplement and edition in which each Code Case was published (e.g., "3/04E" means Code Case Supplement 3 to the 2004 Edition).

**Table 2. Conditionally Acceptable Section XI Code Cases**

Code Case Number	Table 2 Conditionally Acceptable Section XI Code Cases	Date or Supplement/ Edition
	Condition	
N-416-4	<i>Alternative Pressure Test Requirement for Welded or Brazed Repairs, Fabrication Welds or Brazed Joints for Replacement Parts and Piping Subassemblies, or Installation of Replacement Items by Welding or Brazing, Classes 1, 2, and 3, Section XI, Division 1</i>	4/04E
	Nondestructive examination shall be performed on welded or brazed repairs and fabrication and installation joints in accordance with the methods and acceptance criteria of the applicable subsection of the 1992 Edition of Section III. (Note: Code Case N-416-3 was unconditionally approved in Revision 15 to Regulatory Guide 1.147)	
N-498-4	<i>Alternative Requirements for 10-Year System Hydrostatic Testing for Class 1, 2, and 3 Systems, Section XI, Division 1</i>	4/8/02
	Prior to conducting the VT-2 examination of Class 2 and Class 3 components not required to operate during normal plant operation, a 10-minute holding time is required after attaining test pressure. Prior to conducting the VT-2 examination of Class 2 and Class 3 components required to operate during normal plant operation, no holding time is required, provided the system has been in operation for at least 4 hours for insulated components or 10 minutes for non-insulated components.	

Code Case Number	Table 2 Conditionally Acceptable Section XI Code Cases	Date or Supplement/ Edition
	Condition	
N-504-4	<i>Alternative Rules for Repair of Class 1, 2, and 3 Austenitic Stainless Steel Piping, Section XI, Division 1</i>	10/04E
	The provisions of Section XI, Nonmandatory Appendix Q, "Weld Overlay Repair of Class 1, 2, and 3 Austenitic Stainless Steel Piping Weldments," must also be met. In addition, the following conditions shall be met: (a) the sum of laminar flaw length in any direction shall be less than 10% of the overlay with a total reduction in area equal to or less than Table IWB-3514-3; (b) the finished overlay surface shall be 250 micro-in (6.3 micrometers) root mean square or smoother; (c) the surface flatness shall be adequate for ultrasonic examination; and (d) radiography shall not be used to detect planar flaws under or masked by laminar flaws.  (Note: Code Case N-504-3 was conditionally approved in Revision 15 to Regulatory Guide 1.147)	
N-516-3	<i>Underwater Welding, Section XI, Division 1</i>	4/8/02
	Licensees must obtain NRC approval in accordance with 10 CFR 50.55a(a)(3) regarding the technique to be used in the weld repair or replacement of irradiated material underwater.	
N-528-1	<i>Purchase, Exchange, or Transfer of Material Between Nuclear Plant Sites, Section XI, Division 1</i>	4/19/02
	The requirements of 10 CFR Part 21, "Reporting of Defects and Noncompliance" (Ref. 5), are to be applied to the nuclear plant site supplying the material as well as to the nuclear plant site receiving the material that has been purchased, exchanged, or transferred between sites.	
N-533-1	<i>Alternative Requirements for VT-2 Visual Examination of Class 1, 2, and 3 Insulated Pressure-Retaining Bolted Connections, Section XI, Division 1</i>	4/8/02
	Prior to conducting the VT-2 examination of Class 2 and Class 3 components not required to operate during normal plant operation, a 10 minute holding time is required after attaining test pressure. Prior to conducting the VT-2 examination of Class 2 and Class 3 components required to operate during normal plant operation, no holding time is required, provided the system has been in operation for at least 4 hours for insulated components or 10 minutes for non-insulated components.	
N-552	<i>Alternative Methods - Qualification for Nozzle Inside Radius Section from the Outside Surface, Section XI, Division 1</i>	4/19/02
	To achieve consistency with the 10 CFR 50.55a rule change published September 22, 1999 (64 FR 51370), incorporating Appendix VIII, "Performance Demonstration for Ultrasonic Examination Systems," to Section XI, add the following to the specimen requirements:  "At least 50 percent of the flaws in the demonstration test set must be cracks and the maximum misorientation must be demonstrated with cracks. Flaws in nozzles with bore diameters equal to or less than 4 inches may be notches."  Add to detection criteria, "The number of false calls must not exceed three."	

Code Case Number	Table 2 Conditionally Acceptable Section XI Code Cases	Date or Supplement/ Edition
	Condition	
N-557-1	<i>In-Place Dry Annealing of a PWR Nuclear Reactor Vessel, Section XI, Division 1</i>	8/20/02
	The secondary stress allowable of $3S_m$ , shown in Figure 1 of the Code Case, must be applied to the entire primary plus secondary stress range during the anneal.	
N-569-1	<i>Alternative Rules for Repair by Electrochemical Deposition of Class 1 and 2 Steam Generator Tubing, Section XI, Division 1</i>	4/19/02
	NOTES: Steam generator tube repair methods require prior NRC approval through the Technical Specifications. This Code Case does not address certain aspects of this repair, e.g., the qualification of the inspection and plugging criteria necessary for staff approval of the repair method. In addition, if the user plans to “reconcile,” as described in Footnote 2, the reconciliation is to be performed in accordance with IWA-4200 in the 1995 Edition, 1996 Addenda of ASME Section XI.	
N-576-1	<i>Repair of Class 1 and 2 SB-163, UNS N06600 Steam Generator Tubing, Section XI, Division 1</i>	4/19/02
	NOTES: Steam generator tube repair methods require prior NRC approval through the Technical Specifications. This Code Case does not address certain aspects of this repair, e.g., the qualification of the inspection and plugging criteria necessary for staff approval of the repair method. In addition, if the user plans to “reconcile,” as described in Footnote 2, the reconciliation is to be performed in accordance with IWA-4200 in the 1995 Edition, 1996 Addenda of ASME Section XI.	
N-583	<i>Annual Training Alternative, Section XI, Division 1</i>	2/14/03
	(1) Supplemental practice shall be performed on material or welds that contain cracks, or by analyzing prerecorded data from material or welds that contain cracks. (2) The training must be completed no earlier than 6 months prior to performing ultrasonic examinations at a licensee’s facility.	
N-593	<i>Alternative Examination Requirements for Steam Generator Nozzle to Vessel Welds, Section XI, Division 1</i>	2/14/03
	Essentially 100 percent (not less than 90 percent) of the examination volume A-B-C-D-E-F-G-H must be inspected.	

Code Case Number	Table 2 Conditionally Acceptable Section XI Code Cases	Date or Supplement/ Edition
	Condition	
N-597-2	<i>Requirements for Analytical Evaluation of Pipe Wall Thinning, Section XI, Division 1</i>	11/18/03
	<p>(1) Code Case must be supplemented by the provisions of EPRI Nuclear Safety Analysis Center Report 202L-R2, "Recommendations for an Effective Flow Accelerated Corrosion Program" (Ref. 6), April 1999, for developing the inspection requirements, the method of predicting the rate of wall thickness loss, and the value of the predicted remaining wall thickness. As used in NSAC-202L-R2, the term "should" is to be applied as "shall" (i.e., a requirement).</p> <p>(2) Components affected by flow-accelerated corrosion to which this Code Case are applied must be repaired or replaced in accordance with the construction code of record and Owner's requirements or a later NRC approved edition of Section III, "Rules for Construction of Nuclear Power Plant Components," of the ASME Code (Ref. 7) prior to the value of <math>t_p</math> reaching the allowable minimum wall thickness, <math>t_{min}</math>, as specified in -3622.1(a)(1) of this Code Case. Alternatively, use of the Code Case is subject to NRC review and approval per 10 CFR 50.55a(a)(3).</p> <p>(3) For Class 1 piping not meeting the criteria of -3221, the use of evaluation methods and criteria is subject to NRC review and approval per 10 CFR 50.55a(a)(3).</p> <p>(4) For those components that do not require immediate repair or replacement, the rate of wall thickness loss is to be used to determine a suitable inspection frequency so that repair or replacement occurs prior to reaching allowable minimum wall thickness, <math>t_{min}</math>.</p> <p>(5) For corrosion phenomenon other than flow accelerated corrosion, use of the Code Case is subject to NRC review and approval. Inspection plans and wall thinning rates may be difficult to justify for certain degradation mechanisms such as MIC and pitting.</p>	
N-606-1	<i>Similar and Dissimilar Metal Welding Using Ambient Temperature Machine GTAW Temper Bead Technique for BWR CRD Housing/Stub Tube Repairs, Section XI, Division 1</i>	8/20/02
	Prior to welding, an examination or verification must be performed to ensure proper preparation of the base metal, and that the surface is properly contoured so that an acceptable weld can be produced. The surfaces to be welded, and surfaces adjacent to the weld, are to be free from contaminants, such as, rust, moisture, grease, and other foreign material or any other condition that would prevent proper welding and adversely affect the quality or strength of the weld. This verification is to be required in the welding procedures.	

Code Case Number	Table 2 Conditionally Acceptable Section XI Code Cases	Date or Supplement/ Edition
	Condition	
N-616	<i>Alternative Requirements for VT-2 Visual Examination of Classes 1, 2, 3 Insulated Pressure Retaining Bolted Connections, Section XI, Division 1</i>	4/8/02
	<p>(1) Insulation must be removed for VT-2 examination during the system pressure test for any 17-4 PH stainless steel or 410 stainless steel stud or bolt aged at a temperature below 1100°F or with hardness above Rc 30.</p> <p>(2) For A-286 stainless steel studs or bolts, the preload must be verified to be below 100 Ksi or the thermal insulation must be removed and the joint visually examined.</p> <p>(3) Prior to conducting the VT-2 examination of Class 2 and Class 3 components not required to operate during normal plant operation, a 10-minute holding time is required after attaining test pressure. Prior to conducting the VT-2 examination of Class 2 and Class 3 components required to operate during normal plant operation, no holding time is required, provided the system has been in operation for at least 4 hours for insulated components or 10 minutes for non-insulated components.</p>	
N-619	<i>Alternative Requirements for Nozzle Inner Radius Inspections for Class 1 Pressurizer and Steam Generator Nozzles, Section XI, Division 1</i>	4/8/02
	In lieu of a UT examination, licensees may perform a visual examination with enhanced magnification that has a resolution sensitivity to detect a 1-mil width wire or crack, utilizing the allowable flaw length criteria of Table IWB-3512-1 with limiting assumptions on the flaw aspect ratio. The provisions of Table IWB-2500-1, Examination Category B-D, continue to apply except that, in place of examination volumes, the surfaces to be examined are the external surfaces shown in the figures applicable to this table (the external surface is from point M to point N in the figure).	
N-638-4	<i>Similar and Dissimilar Metal Welding Using Ambient Temperature Machine GTAW Temper Bead Technique, Section XI, Division 1</i>	11/04E
	<p>Ultrasonic Testing examinations shall be demonstrated for the repaired volume using representative samples which contain construction type flaws. The acceptance criteria of NB-5330 of Section III (Ref. 7) edition and addenda approved in Title 10 of the Code of Federal Regulations (10 CFR 50.55a) apply to all flaws identified within the repaired volume.</p> <p>(Note: Code Case N-638-1 was conditionally approved in Revision 15 to Regulatory Guide 1.147)</p>	
N-639	<i>Alternative Calibration Block Material, Section XI, Division 1</i>	8/20/02
	Chemical ranges of the calibration block may vary from the materials specification if (1) it is within the chemical range of the component specification to be inspected, and (2) the phase and grain shape are maintained in the same ranges produced by the thermal process required by the material specification.	

Code Case Number	Table 2 Conditionally Acceptable Section XI Code Cases	Date or Supplement/ Edition
	Condition	
N-647	<i>Alternative to Augmented Examination Requirements of IWE-2500, Section XI, Division 1</i>	11/18/03
	A VT-1 examination is to be used in lieu of the “detailed visual examination.” [Note: Draft Regulatory Guide DG-1070, “Sampling Plans Used for Dedicating Simple Metallic Commercial Grade Items for Use in Nuclear Power Plants” (Ref. 8), is being developed to provide acceptable guidelines for sampling criteria.]	
N-648-1	<i>Alternative Requirements for Inner Radius Examination of Class 1 Reactor Vessel Nozzles, Section XI Division 1</i>	9/18/01
	In place of a UT examination, licensees may perform a visual examination with enhanced magnification that has a resolution sensitivity to detect a 1-mil width wire or crack, utilizing the allowable flaw length criteria of Table IWB-3512-1 with limiting assumptions on the flaw aspect ratio. The provisions of Table IWB-2500-1, Examination Category B-D, continue to apply except that, in place of examination volumes, the surfaces to be examined are the external surfaces shown in the figures applicable to this table (the external surface is from point M to point N in the figure).	
N-660	<i>Risk-Informed Safety Classification for Use in Risk-Informed Repair/Replacement Activities, Section XI, Division 1</i>	7/23/02
	The Code Case must be applied only to ASME Code Classes 2 and 3, and non-Code Class pressure retaining components and their associated supports.	
N-662	<i>Alternative Repair/Replacement Requirements for Items Classified in Accordance with Risk-Informed Processes, Section XI, Division 1</i>	8/20/02
	The Code Case must be applied only to ASME Code Classes 2 and 3, and non-Code Class pressure retaining components and their associated supports.	
N-694-1	<i>Evaluation Procedure and Acceptance Criteria for PWR Reactor Vessel Upper Head Penetrations, Section XI, Division</i>	2/20/04
	(a) The maximum instantaneous through-thickness stress distribution along the crack front and in the crack-length path must be used to calculate the crack driving force.  (b) The stress intensity factor expression of Raju and Newman (Ref. 11) is only applicable to cylindrical products having a ratio of wall thickness to inside radius between 0.1 and 0.25.	
N-751	<i>Pressure Testing of Containment Penetration Piping, Section XI, Division 1</i>	11/04E
	When a 10 CFR 50, Appendix J, Type C test is performed as an alternative to the requirements of IWA-4540 (IWA-4700 in the 1989 edition through the 1995 edition) during repair and replacement activities, nondestructive examination must be performed in accordance with IWA-4540(a)(2) of the 2002 Addenda of Section XI.	

### 3. Annulled Unconditionally Approved Section XI Code Cases

The Code Cases listed in Table 3 were previously unconditionally approved by the NRC and have been annulled by the ASME. The third column of the table lists the date that the ASME annulled the Code Case, and the Supplement and Edition if the Code Case was annulled in Supplement 2 to the 2004 Edition through Supplement 0 to the 2007 Edition (e.g., “3/04E means Supplement 3 to the 2004 Edition).

**Table 3. Annulled Unconditionally Approved Section XI Code Cases**

<b>Code Case Number</b>	<b>Table 3 Annulled Unconditionally Approved Section XI Code Cases</b>	<b>Annulment Date; Supplement/ Edition</b>
N-34 (1551)	<i>Inservice Inspection of Welds of Nuclear Components, Section XI</i>	11/20/81
N-72 (1646)	<i>Partial Postponement of Category B-C Examination for Class 1 Components, Section XI</i>	1/1/81
N-73 (1647)	<i>Partial Postponement of Category B-D Examination for Class 1 Components, Section XI</i>	1/1/81
N-98 (1705-1)	<i>Ultrasonic Examination - Calibration Block Tolerances, Section XI, Division 1</i>	8/9/96
N-112 (1730)	<i>Acceptance Standards for Class 2 and 3 Components, Section XI, Division 1</i>	7/1/79
N-113-1	<i>Basic Calibration Block for Ultrasonic Examination of Weld 10 in. to 14 in. Thick, Section XI, Division 1</i>	8/9/96
N-167 (1804)	<i>Minimum Section Thickness Requirements for Repair of Nozzles, Section XI, Division 1</i>	1/14/80
N-198-1	<i>Exemption from Examination for ASME Class 1 and 2 Piping Located at Containment Penetrations, Section XI, Division 1</i>	3/28/01
N-211	<i>Recalibration of Ultrasonic Equipment Upon Change of Personnel, Section XI, Division 1</i> (The Code Case was annulled on 3/20/81 and reinstated on 7/13/81. There was no change in the Code Case, and the NRC considers that the Code Case was in effect during the period 3/20/81 through 7/13/81)	4/30/93
N-216	<i>Alternative Rules for Reactor Vessel Closure Stud Examination, Section XI, Division 1</i>	5/7/90
N-234	<i>Time Between Ultrasonic Calibration Checks, Section XI, Division 1</i>	12/3/90
N-235	<i>Ultrasonic Calibration Checks per Section V, Section XI, Division 1</i>	8/9/96
N-236-1	<i>Repair and Replacement of Class MC Vessels, Section XI, Division 1</i>	8/5/97
N-288	<i>Hydrostatic Test Requirements for Class 1 and Class 2 Components, Section XI, Division 1</i>	5/25/83

<b>Code Case Number</b>	<b>Table 3 Annulled Unconditionally Approved Section XI Code Cases</b>	<b>Annulment Date; Supplement/ Edition</b>
N-306	<i>Calibration Block Material Selection, Appendix I, I-3121, Section XI, Division 1</i>	5/7/90
N-308	<i>Documentation of Repairs and Replacements of Components in Nuclear Power Plants, Section XI, Division 1</i>	9/30/90
N-311	<i>Alternative Examination of Outlet Nozzle on Secondary Side of Steam Generators, Section XI, Division 1</i>	11/18/03
N-322	<i>Examination Requirements for Integrally Welded or Forged Attachments to Class 1 Piping at Containment Penetrations, Section XI, Division 1</i>	2/14/03
N-334	<i>Examination Requirements for Integrally Welded or Forged Attachments to Class 2 Piping at Containment Penetrations, Section XI, Division 1</i>	7/14/06
N-335-1	<i>Rules for Ultrasonic Examination of Similar and Dissimilar Metal Piping Welds, Section XI, Division 1</i>	5/11/97
N-343	<i>Alternative Scope of Examination of Attachment Welds for Examination Categories B-H, B-K-1, and C-C, Section XI, Division 1</i>	12/3/90
N-355	<i>Calibration Block for Angle Beam Ultrasonic Examination of Large Fittings in Accordance with Appendix III-3410, Section XI, Division 1</i>	8/9/96
N-356	<i>Certification Period for Level III NDE Personnel, Section XI, Division 1</i> (Note: July 1, 1988, is the date that the provisions of the Code Case were acceptable to the NRC.)	8/5/97
N-375-2	<i>Rules for Ultrasonic Examination of Bolts and Studs, Section XI, Division 1</i>	5/7/90
N-389-1	<i>Alternative Rules for Repairs, Replacements, or Modifications, Section XI, Division 1</i>	4/19/02
N-401-1	<i>Eddy Current Examination, Section XI, Division 1</i>	5/11/97
N-402-1	<i>Eddy Current Calibration Standards, Section XI, Division 1</i>	5/11/97
N-406	<i>Alternative Rules for Replacement, Section XI, Division 1</i>	5/7/90
N-408-3	<i>Alternative Rules for Examination of Class 2 Piping, Section XI, Division 1</i>	4/19/02
N-409-3	<i>Procedure and Personnel Qualification Requirements for Ultrasonic Detection and Sizing of Flaws in Piping Welds, Section XI, Division 1</i>	4/30/96
N-415	<i>Alternative Rules for Testing Pressure Relief, Section XI, Division 1</i>	8/14/94



<b>Code Case Number</b>	<b>Table 3 Annulled Unconditionally Approved Section XI Code Cases</b>	<b>Annulment Date; Supplement/ Edition</b>
N-419	<i>Extent of VT-1 Examinations, Category B-G-1 of Table IWB-2500-1, Section XI, Division 1</i>	5/13/94
N-424	<i>Qualification of Visual Examination Personnel, Section XI, Division 1</i>	7/18/88
N-426	<i>Extent of VT-1 Examinations, Category B-G-2 of Table IWB-2500-1, Section XI, Division 1</i>	5/13/94
N-427	<i>Code Cases in Inspection Plans, Section XI, Division 1</i>	12/16/94
N-429-2	<i>Alternative Rules for Ultrasonic Instrument Calibration, Section XI, Division 1</i>	7/27/95
N-435-1	<i>Alternative Examination Requirements for Vessels With Wall Thickness 2 in. or Less, Section XI, Division 1</i>	5/4/04
N-436-1	<i>Alternative Methods for Evaluation of Flaws in Austenitic Piping, Section XI, Division 1</i>	12/3/90
N-437	<i>Use of Digital Readout and Digital Measurement Devices for Performing Pressure Tests, Section XI, Division 1</i>	7/27/95
N-444	<i>Preparation of Inspection Plans, Section XI, Division 1</i> (Valve stroke times may be documented outside of the IST program. However, if included within the IST program and it becomes necessary to revise the maximum stroke time required by “Supplement 4—Content of IWV Valve Test Tables,” it is not necessary to submit a revised IST program to the NRC solely to document a revision in valve stroke time.)	12/30/90
N-445	<i>Use of Later Editions of SNT-TC-1A for Qualification of Nondestructive Examination Personnel, Section XI, Division 1, 2 and 3</i>	5/7/90
N-446	<i>Recertification of Visual Examination Personnel, Section XI, Division 1</i>	5/7/90
N-448	<i>Qualification of VT-2 and VT-3 Visual Examination Personnel, Section XI, Division 1</i>	4/30/96
N-449	<i>Qualification of VT-4 Visual Examination Personnel, Section XI, Division 1</i>	4/30/96
N-457	<i>Qualification Specimen Notch Location for Ultrasonic Examination of Bolts and Studs, Section XI, Division 1</i>	4/19/02
N-458-1	<i>Magnetic Particle Examination of Coated Materials, Section XI, Division 1</i>	3/38/01
N-461-1	<i>Alternative Rules for Piping Calibration Block Thickness, Section XI, Division 1</i>	3/28/01
N-463-1	<i>Evaluation Procedures and Acceptance Criteria for Flaws in Class 1 Ferritic Piping That Exceed the Acceptance Standards of IWB-3514.2, Section XI, Division 1</i>	3/28/01

<b>Code Case Number</b>	<b>Table 3 Annulled Unconditionally Approved Section XI Code Cases</b>	<b>Annulment Date; Supplement/ Edition</b>
N-471	<i>Acoustic Emission for Successive Inspections, Section XI, Division 1</i>	4/19/02
N-472	<i>Use of Digital Readout and Digital Measurement Devices for Performing Pump Vibration Testing, Section XI, Division 1</i>	8/14/97
N-478	<i>Inservice Inspection for Class CC Concrete Components Of Light-Water Cooled Power Plants, Section XI, Division 1</i>	3/2/98
N-479-1	<i>Boiling Water Reactor (BWR) Main Steam Hydrostatic Test, Section XI, Division 1</i>	4/19/02
N-481	<i>Alternative Examination Requirements for Cast Austenitic Pump Casings, Section XI, Division 1</i>	3/28/04
N-485-1	<i>Eddy Current Examination of Coated Ferritic Surfaces as an Alternative to Surface Examination, Section XI, Division 1</i>	2/14/03
N-489	<i>Alternative Rules for Level III NDE Qualification Examinations, Section XI, Divisions 1, 2, and 3</i>	4/19/02
N-490-1	<i>Alternative Vision Test Requirements for Nondestructive Examiners, Section XI, Divisions 1, 2, and 3</i>	2/14/03
N-495	<i>Hydrostatic Testing of Relief Valves, Section XI, Division 1</i>	4/19/02
N-496-1	<i>Helical-Coil Threaded Inserts, Section XI, Division 1</i>	5/11/97
N-503	<i>Limited Certification of Nondestructive Examination Personnel, Section XI, Division 1</i> (Note: Because of the statistical screening criteria used for Appendix VIII to Section XI qualifications, this Code Case is not applicable to Appendix VIII, "Performance Demonstration for Ultrasonic Examination Systems.")	2/14/03
N-514	<i>Low Temperature Overpressure Protection, Section XI, Division 1</i>	4/19/02
N-515	<i>Class 1 Mechanical Joint Pressure Tests, Section XI, Division 1</i>	4/19/02
N-521	<i>Alternative Rules for Deferral of Inspections of Nozzle-to-Vessel Welds, Inside Radius Sections, and Nozzle-to-Safe End Welds of a Pressurized Water Reactor (PWR) Vessel, Section XI, Division 1</i>	4/19/02
N-522	<i>Pressure Testing of Containment Penetration Piping, Section XI, Division 1</i>	4/8/02
N-523-2	<i>Mechanical Clamping Devices for Class 2 and 3 Piping, Section XI, Division 1</i>	3/28/04
N-524	<i>Alternative Examination Requirements for Longitudinal Welds in Class 1 and 2 Piping, Section XI, Division 1</i>	4/19/02
N-535	<i>Alternative Requirements for Inservice Inspection Intervals, Section XI, Division 1</i>	3/28/01

<b>Code Case Number</b>	<b>Table 3 Annulled Unconditionally Approved Section XI Code Cases</b>	<b>Annulment Date; Supplement/ Edition</b>
N-538	<i>Alternative Requirements for Length Sizing Performance Demonstration in Accordance with Appendix VIII, Supplements 2, 3, 10, 11, and 12, Section XI, Division 1</i>	4/19/02
N-541	<i>Alternative Requirements for Performance Demonstration in Accordance with Appendix VIII, Supplements 4 and 6, Section XI, Division 1</i>	3/28/01
N-543	<i>Alternative to Performing Periodic Calibration Checks, Section XI, Division 1</i>	9/18/01
N-544	<i>Repair/Replacement of Small Items, Section XI, Division 1</i>	3/28/01
N-545	<i>Alternative Requirements for Conduct of Performance Demonstration Detection Test of Reactor Vessel, Section XI, Division 1</i>	5/20/98
N-555	<i>Use of Section II, V, and IX Code Cases, Section XI, Division 1</i>	4/8/02
N-556	<i>Alternative Requirements for Verification of Acceptability Of Replacements, Section XI, Division 1</i>	4/19/02
N-563	<i>Grading of Examinations, IWA-2320, Section XI, Division 1</i>	4/19/02
N-588	<i>Attenuation to Reference Flaw Orientation of Appendix G for Circumferential Welds in Reactor Vessels, Section XI, Division 1</i>	3/28/04
N-592	<i>ASNT Central Certification Program, Section XI, Division 1</i>	3/28/04
N-598	<i>Alternative Requirements to Required Percentages of Examinations, Section XI, Division 1</i>	3/28/04
N-601	<i>Extent and Frequency of VT-3 Visual Examination for Inservice Inspection of Metal Containments, Section XI, Division 1</i>	3/28/04
N-603	<i>Alternative to the Requirements of IWL-2421, Sites with Two Plants, Section XI, Division 1</i>	3/28/04
N-604	<i>Alternative to Bolt Torque or Tension Test Requirements of Table IWE-2500-1, Category E-G, Item E8.20, Section XI, Division 1</i>	9/18/04
N-605	<i>Alternative to the Requirements of IWE-2500(c) [sic, should state IWE-2500(b)] for Augmented Examination of Surface Areas, Section XI, Division 1</i> [Note: Draft Regulatory Guide DG-1070 (Ref. 8) is being developed to provide acceptable guidelines for sampling criteria.]	3/28/04
N-617	<i>Alternative Examination Distribution Requirements for Table IWC-2500-1, Examination Category C-G, Pressure Retaining Welds in Pumps and Valves, Section XI, Division 1</i>	4/19/02
N-623	<i>Deferral of Inspections of Shell-to-Flange and Head-to-Flange Welds of a Reactor Vessel, Section XI, Division 1</i>	4/19/02
N-627	<i>VT-1 Visual Examination in Lieu of Surface Examination for RPV Closure Nuts, Section XI, Division 1</i>	5/7/02

<b>Code Case Number</b>	<b>Table 3 Annulled Unconditionally Approved Section XI Code Cases</b>	<b>Annulment Date; Supplement/ Edition</b>
N-640	<i>Alternative Reference Fracture Toughness for Development of P-T Limit Curves, Section XI, Division I</i>	4/19/02

#### 4. Annulled Conditionally Acceptable Section XI Code Cases

The Code Cases listed in Table 4 were conditionally approved by the NRC but were subsequently annulled by the ASME. The third column of the table lists the date that the ASME annulled the Code Case, and the Supplement and Edition if the Code Case was annulled in Supplement 2 to the 2004 Edition through Supplement 0 to the 2007 Edition (e.g., “3/04E means Supplement 3 to the 2004 Edition).

**Table 4. Annulled Conditionally Acceptable Section XI Code Cases**

Code Case Number	Table 4 Annulled Conditionally Acceptable Section XI Code Cases	Annulment Date; Supplement/ Edition
N-118 (1738)	<i>Examination—Acceptance Standards for Surface Indications in Cladding, Section XI</i>	12/3/90
	The last sentence of the “Reply” is to be replaced with the following: “The provisions of this Code Case may not be applied for the examination of clad surfaces of nozzles, including the inner surface of the nozzle-to-vessel insert welds.”	
N-210	<i>Exemption to Hydrostatic Tests After Repairs, Section XI, Division 1</i>	3/20/81
	Paragraph (3) of the “Reply” is to be replaced with the following: “Repairs to piping, pumps, and valves where the depth of the repaired cavity does not exceed 25 percent of the wall thickness.”	
N-252	<i>Low Energy Capacitive Discharge Welding Method for Temporary or Permanent Attachments to Components and Supports, Section III, Division 1, and Section XI</i>	7/16/82
	The applicant should indicate in the safety analysis report the application, the material, and the thickness of the material to which the strain gage or thermocouple will be attached by CD welding.	
N-278	<i>Alternative Ultrasonic Calibration Block Configuration I-3131 and T-434.3, Section XI, Division 1</i> (Code Case N-278 was inadvertently allowed to expire because of an ASME administrative error on 3/17/83. The Code Case was reinstated without technical change on May 25, 1993. Thus, the NRC considered the Code Case to be in effect during the period from March 17, 1983, through May 25, 1993.)	2/19/92
	When a universal calibration block is used and some or all of the reference holes are larger than the reflector holes at comparable depths recommended by Article IV, Section V, 1980 Edition of the ASME Code, a correction factor should be used to adjust the DAC level to compensate for the larger reflector holes. Also, if the reactor pressure vessel was previously examined using a conventional block, a ratio between the DAC curves obtained from the two blocks should be noted (for reference) with the significant indication data.	

Code Case Number	<b>Table 4</b> <b>Annulled Conditionally Acceptable Section XI Code Cases</b>	Annulment Date; Supplement/ Edition
N-509	<i>Alternative Rules for the Selection and Examination of Class 1, 2, and 3 Integrally Welded Attachments, Section XI, Division 1</i>	5/20/01
	A minimum 10% sample of integrally welded attachments for each item in each Code class per interval should be examined.	
N-512-1	<i>Assessment of Reactor Vessels With Low Upper Shelf Charpy Impact Energy Levels, Section XI, Division 1</i>	5/20/01
	The material properties and transient selection must follow the guidance in Regulatory Guide 1.161, "Evaluation of Reactor Pressure Vessels with Charpy Upper-Shelf Energy Less Than 50 ft-lb" (Ref. 9), or an equivalent method approved by the NRC staff.	
N-546	<i>Alternative Requirements for Qualification of VT-2 Examination Personnel, Section XI, Division 1</i>	9/18/04
	This Code Case is applicable only to the performance of VT-2 examinations and may not be applied to other VT-2 functions such as verifying the adequacy of procedures and training VT-2 personnel.	
N-568	<i>Alternative Examination Requirements for Welded Attachments, Section XI, Division 1</i>	2/14/03
	This Code Case may only be used for examination of the accessible portions of lugs on piping where riser clamps (i.e., clamps on vertical runs of pipe) obstruct access to welded surfaces.	
N-599	<i>Alternatives to Qualification of Nondestructive Examination Personnel for Inservice Inspection of Metal (Class MC) and Concrete (Class CC) Containments, Section XI, Division 1</i>	9/18/04
	This Code Case may not be used when a licensee updates to the 1992 or later Edition of Section XI that requires the use of ANSI/ASNT CP-189, "Standard for Qualification and Certification of Nondestructive Testing Personnel" (Ref. 10).	
N-630	<i>Alternatives to VT-1C and VT-3C Visual Examination for Inservice Inspection of Concrete and VT-1 Visual Examination for Inservice Inspection of Anchorage Hardware and Surrounding Concrete for Concrete Containments, Section XI, Division 1</i>	4/8/02
	The Responsible Engineer's written practice must define qualification requirements for concrete and tendon hardware examination personnel in accordance with IWA-2300 in lieu of the Owner defined qualification requirements specified in Paragraph (c) of the Code Case. However, limited certification in accordance with IWA-2350 is permitted.	

## 5. Code Cases That Have Been Superseded

Table 5 lists Code Cases that have been superseded by revision. The third column of the table indicates the date on which each Code Case was superseded. Note: Some of these Code Cases were not approved for use by the NRC in previous versions of this guide.

**Table 5. Section XI Code Cases That Have Been Superseded**

<b>Code Case Number</b>	<b>Table 5 Section XI Code Cases That Have Been Superseded</b>	<b>Date</b>
N-113 (1731)	<i>Basic Calibration Block for Ultrasonic Examination of Weld 10 in. To 14 in. Thick, Section XI, Division 1</i>	N-113-1 Published on 12/31/82
N-236	<p><i>Repair and Replacement of Class MC Vessels, Section XI, Division 1</i></p> <p>(a) In paragraph 1.0(a), second sentence, the phrase, “while the plant is not in service,” refers to a refueling outage.</p> <p>(b) In paragraph 1.0(a), third sentence, the phrase, “the next scheduled plant outage,” refers to the next scheduled refueling outage.</p> <p>For clarification, “Repair and Replacement of Class MC Vessels” means Repair and Replacement of Class MC Vessels and Components (systems). Acceptance of this Code Case in no way provides/constitutes NRC approval to violate the technical specification or any NRC requirements with regard to breach of containment during repair and replacement procedures while the plant is in operation.</p> <p>Where a numbered Code paragraph is not identified by a particular edition of the Code, the Code in effect at the time of the ASME meeting (November 3, 1978) that approved the Code Case should be governing.</p>	N-236-1 Published on 9/5/85
N-307 N-307-1 N-307-2	<i>Revised Ultrasonic Examination Volume for Class 1 Bolting, Table IWB-2500-1, Examination Category B-G-1, When the Examinations Are Conducted from the Center-Drilled Hole, Section XI, Division 1</i>	<p>N-307-1 Published on 12/5/84</p> <p>N-307-2 Published on 9/24/99</p> <p>N-307-3 Published on 3/28/01</p>
N-335	<i>Rules for Ultrasonic Examination of Similar and Dissimilar Metal Piping Welds, Section XI, Division 1</i>	N-335-1 Published on 6/20/85
N-375 N-375-1	<i>Rules for Ultrasonic Examination of Bolts and Studs, Section XI, Division 1</i>	<p>N-375-1 Published on 4/14/83;</p> <p>N-375-2 Published on 4/5/84</p>

Code Case Number	<b>Table 5</b> <b>Section XI Code Cases That Have Been Superseded</b>	Date
N-389	<i>Alternative Rules for Repairs, Replacements, or Modifications, Section XI, Division 1</i>	N-389-1 Published on 12/9/93
	The applicant should submit for approval the appropriate edition and addenda of the Code that is to be used for the repair, replacement, or modification before the start of the work.	
N-401	<i>Eddy Current Examination, Section XI, Division 1</i>	N-401-1 Published on 5/4/88
N-402	<i>Eddy Current Calibration Standards, Section XI, Division 1</i>	N-402-1 Published on 3/14/91
N-408	<i>Alternative Rules for Examination of Class 2 Piping, Section XI, Division 1</i>	N-408-1 Published on 3/8/89
N-408-1 N-408-2	<i>Alternative Rules for Examination of Class 2 Piping, Section XI, Division 1</i>	N-408-2 Published on 7/24/89
	The applicant for an operating license should define the Class 2 piping subject to volumetric and surface examination in the Preservice Inspection for determination of acceptability by the NRC staff.	N-408-3 Published on 8/9/93
N-409	<i>Procedure and Personnel Qualification Requirements for Ultrasonic Detection and Sizing of Flaws in Piping Welds, Section XI, Division 1</i>	N-409-1 Published on 12/7/87
N-409-1 N-409-2	<i>Procedure and Personnel Qualification Requirements for Ultrasonic Detection and Sizing of Flaws in Piping Welds, Section XI, Division 1</i>	N-409-2 Published on 7/27/88;
	The applicant should give prior notification to the NRC of the intention to use the Code Case.	N-409-3 Published on 4/30/93
N-416 N-416-1 N-416-2 N-416-3	<i>Alternative Pressure Test Requirements for Welded Repairs or Installation of Replacement Items by Welding, Class 1, 2, and 3, Section XI, Division 1</i>	N-416-1 Published on 2/15/94 N-416-2 Published on 5/5/00 N-416-3 Published on 7/7/01 N-416-3 Published on 1/12/05 N-416-4 Published on 1/12/05
N-429 N-429-1	<i>Alternative Rules for Ultrasonic Instrument Calibration, Section XI, Division 1</i>	N-429-1 Published on 2/23/87; N-429-2 Published on 7/27/92
N-432	<i>Repair Welding Using Automatic or Machine Gas Tungsten-Arc Welding (GTAW) Temper Bead Technique, Section XI, Division 1</i>	N-432-1 Published on 3/28/01



<b>Code Case Number</b>	<b>Table 5 Section XI Code Cases That Have Been Superseded</b>	<b>Date</b>
N-435	<i>Alternative Examination Requirements for Vessels With Wall Thickness 2 in. Or Less, Section XI, Division 1</i>	N-435-1 Published on 7/30/86
N-436	<i>Alternative Methods for Evaluation of Flaws in Austenitic Piping, Section XI, Division 1</i>	N-436-1 Published on 12/7/87
N-458	<i>Magnetic Particle Examination of Coated Materials, Section XI, Division 1</i>	N-458-1 Published on 3/14/95
N-461	<i>Alternative Rules for Piping Calibration Block Thickness, Section XI, Division 1</i>	N-461-1 Published on 3/14/95
N-463	<i>Evaluation Procedures and Acceptance Criteria for Flaws in Class 1 Ferritic Piping That Exceed the Acceptance Standards of IWB-3514.2, Section XI, Division 1</i>	N-463-1 Published on 3/5/90
N-479	<i>Boiling Water Reactor (BWR) Main Steam Hydrostatic Test, Section XI, Division 1</i>	N-479-1 Published on 12/3/90
N-485	<i>Eddy Current Examination of Coated Ferritic Surfaces as an Alternative to Surface Examination, Section XI, Division 1</i>	N-485 Published on 8/14/91
N-490	<i>Alternative Vision Test Requirements for Nondestructive Examiners, Section XI, Divisions 1, 2, and 3</i>	N-490-1 Published on 5/13/91
N-491 N-491-1	<i>Alternative Rules for Examination of Class 1, 2, 3, and MC Component Supports of Light-Water Cooled Power Plants, Section XI, Division 1</i>	N-491-1 Published on 4/30/93; N-491-2 Published on 3/12/97
N-494 N-494-1 N-494-2 N-494-3	<i>Pipe Specific Evaluation Procedures and Acceptance Criteria for Flaws in Class 1 Ferritic Piping that Exceed the Acceptance Standards of IWB-3514.2, Section XI, Division 1</i>	N-494-1 Published on 7/27/92; N-494-2 Published on 12/9/93 N-494-3 Published on 8/9/96 N-494-4 Published on 10/11/05
N-496 N-496-1	<i>Helical-Coil Threaded Inserts, Section XI, Division 1</i>	N-496-1 Published on 5/11/94; N-496-1 Annulled on 5/11/97 N-496-2 Published on 9/18/01
N-498 N-498-1 N-498-2 N-498-3	<i>Alternative Rules for 10-Year System Hydrostatic Testing for Class 1, 2, and 3 Systems, Section XI, Division 1</i>	N-498-1 Published on 5/11/94 N-498-2 Published on 6/9/95 N-498-3 Published on 5/20/98 N-498-4 Published on 2/15/99

Code Case Number	<b>Table 5</b> <b>Section XI Code Cases That Have Been Superseded</b>	Date
N-504 N-504-1 N-504-2 N-504-3	<i>Alternative Rules for Repair of Class 1, 2, and 3 Austenitic Stainless Steel Piping, Section XI, Division 1</i>	N-504-1 Published on 8/9/93 N-504-2 Published on 3/12/97 N-504-3 Published on 8/4/04 N-504-4 Published on 7/14/06
N-508 N-508-1 N-508-2	<i>Rotation of Serviced Snubbers and Pressure Relief Valves for the Purpose of Testing, Section XI, Division 1</i>	N-508-1 Published on 5/11/94 N-508-2 Published on 3/28/01 N-508-3 Published on 11/18/03
N-512	<i>Assessment of Reactor Vessels With Low Upper Shelf Charpy Impact Energy Levels, Section XI, Division 1</i>	N-512-1 Published on 8/24/95
N-513	<i>Evaluation Criteria for Temporary Acceptance of Flaws in Class 3 Piping, Section XI, Division 1</i>	N-513-1 Published on 3/28/01
N-513-1	<i>Evaluation Criteria for Temporary Acceptance of Flaws in Class 3 Piping, Section XI, Division 1</i>	N-513-2 Published on 2/20/04
	(1) Specific safety factors in paragraph 4.0 must be satisfied. (2) Code Case N-513 may not be applied to: (a) Components other than pipe and tube. (b) Leakage through a gasket. (c) Threaded connections employing nonstructural seal welds for leakage prevention (through seal weld leakage is not a structural flaw; thread integrity must be maintained). (d) Degraded socket welds	
N-516 N-516-1	<i>Underwater Welding, Section XI, Division 1</i>	N-516-1 Published on 12/31/96; N-516-2 Published on 1/17/00
	When welding is to be performed on high neutron fluence Class 1 material, then a mockup, using material with similar fluence levels, should be welded to verify that adequate crack prevention measures were used.	
N-516-2	<i>Underwater Welding, Section XI, Division 1</i>	N-516-3 Published on 4/8/02
	Licensees must obtain NRC approval in accordance with 10 CFR 50.55a(a)(3) regarding the method to be used in the weld repair or replacement of irradiated material underwater.	
N-517	<i>Quality Assurance Program Requirements for Owners, Section XI, Division 1</i>	N-517-1 Published on 7/30/98

<b>Code Case Number</b>	<b>Table 5 Section XI Code Cases That Have Been Superseded</b>	<b>Date</b>
N-523 N-523-1	<i>Mechanical Clamping Devices for Class 2 and 3 Piping, Section XI, Division 1</i>	N-523-1 Published on 8/24/95 N-523-2 Published on 10/2/00
N-528	<i>Purchase, Exchange, or Transfer of Material Between Nuclear Plant Sites, Section XI, Division 1</i>	N-528-1 Published on 5/7/99
N-532 N-532-1 N-532-2 N-532-3	<i>Alternative Requirements to Repair and Replacement Documentation Requirements and Inservice Summary Report Preparation and Submission as Required by IWA-4000 and IWA-6000, Section XI, Division 1</i>	N-532-1 Published on 3/28/01 N-532-2 Published on 7/23/02 N-532-3 Published on 2/20/04 N-532-4 Published on 4/19/06
N-533	<i>Alternative Requirements for VT-2 Visual Examination of Class 1 Insulated Pressure-Retaining Bolted Connections, Section XI, Division 1</i>	N-533-1 Published 2/26/99
N-553	<i>Inservice Eddy Current Surface Examination of Pressure Retaining Pipe Welds and Nozzle-to-Safe End Welds, Section XI, Division 1</i>	N-553-1 Published on 3/28/01
N-554 N-554-1 N-554-2	<i>Alternative Requirements for Reconciliation of Replacement Items, Section XI, Division 1</i>	N-554-1 Published on 7/98E; N-554-2 Published on 2/25/00 N-554-3 Published on 2/14/03
N-557	<i>In-Place Dry Annealing of a PWR Nuclear Reactor Vessel, Section XI, Division 1</i>	N-557-1 Published on 12/31/96
N-560 N-560-1	<i>Alternative Examination Requirements for Class 1, Category B-J Piping Welds, Section XI, Division 1</i>	N-560-1 Published on 8/9/96; N-560-2 Published on 3/28/00
N-561	<i>Alternative Requirements for Wall Thickness Restoration of Class 2 and High Energy Class 3 Carbon Steel Piping, Section XI, Division 1</i>	N-561-1 Published on 7/30/98
N-562	<i>Alternative Requirements for Wall Thickness Restoration of Class 3 Moderate Energy Carbon Steel Piping, Section XI, Division 1</i>	N-562-1 Published on 7/30/98
N-566 N-566-1	<i>Corrective Action for Leakage Identified at Bolted Connections, Section XI, Division 1</i>	N-566-1 Published on 2/15/99 N-566-2 Published on 3/28/01
N-567	<i>Alternative Requirements for Class 1, 2, and 3 Replacement Components, Section XI, Division 1</i>	N-567-1 Published on 2/26/99

<b>Code Case Number</b>	<b>Table 5 Section XI Code Cases That Have Been Superseded</b>	<b>Date</b>
N-569	<i>Alternative Rules for Repair by Electrochemical Deposition of Class 1 and 2 Steam Generator Tubing, Section XI, Division 1</i>	N-569-1 Published on 5/7/99
N-576	<i>Repair of Class 1 and 2 SB-163, UNS N06600 Steam Generator Tubing, Section XI, Division 1</i>	N-576-1 Published on 5/7/99
N-577	<i>Risk-Informed Requirements for Class 1, 2, and 3 Piping, Method A, Section XI, Division 1</i>	N-577-1 Published on 3/28/00
N-578	<i>Risk-Informed Requirements for Class 1, 2, and 3 Piping, Method B, Section XI, Division 1</i>	N-578-1 Published on 3/28/00
N-586	<i>Alternative Additional Examination Requirements for Class 1, 2, and 3 Piping, Components, and Supports, Section XI, Division 1</i>	N-586-1 Published on 5/4/04
	The engineering evaluations addressed under Item (a) and the additional examinations addressed under Item (b) shall be performed during this outage. If the additional examinations performed under Item (b) reveal indications exceeding the applicable acceptance criteria of Section XI, the engineering evaluations and the examinations shall be further extended to include additional evaluations and examinations at this outage.	
N-589	<i>Class 3 Nonmetallic Cured-in-Place Piping, Section XI, Division 1</i>	N-589-1 Published on 7/23/02
N-597 N-597-1	<i>Requirements for Analytical Evaluation of Pipe Wall Thinning, Section XI, Division 1</i>	N-597-1 Published on 7/7/01 N-597-2 Published on 11/18/03
N-606	<i>Similar and Dissimilar Metal Welding Using Ambient Temperature Machine GTAW Temper Bead Technique, Section XI, Division 1</i>	N-606-1 Published on 9/24/99
N-638 N-638-1 N-638-2 N-638-3	<i>Similar and Dissimilar Metal Welding Using Ambient Temperature Machine GTAW Temper Bead Technique, Section XI, Division 1</i>  The Construction Code of Record acceptance criteria must used for volumetric examinations.	N-638-1 Published on 5/9/03 N-638-2 Published on N-638-3 Published on 4/19/06 N-638-4 Published on 10/12/06
N-643 N-643-1	<i>Fatigue Crack Growth Rate Curves for Ferritic Steels in PWR Water Environment, Section XI, Division 1</i>	N-643-1 Published on 2/3/03 N-643-2 Published on 5/4/04
N-648	<i>Alternative Requirements for Inner Radius Examination of Class 1 Reactor Vessel Nozzles, Section XI, Division 1</i>	N-648-1 Published on 7/7/01
N-652	<i>Alternative Requirements to Categorize B-G-1, B-G-2, and C-D Bolting Examination Methods and Selection Criteria, Section XI, Division 1</i>	N-652-1 Published on 2/20/04

Code Case Number	<b>Table 5</b> <b>Section XI Code Cases That Have Been Superseded</b>	Date
N-661	<i>Alternative Requirements for Wall Thickness Restoration of Classes 2 and 3 Carbon Steel Piping for Raw Water Service, Section XI, Division 1</i>	N-661-1 Published on 10/11/05
	(a) If the root cause of the degradation has not been determined, the repair is only acceptable for one cycle. (b) Weld overlay repair of an area can only be performed once in the same location. (c) When through-wall repairs are made by welding on surfaces that are wet or exposed to water, the weld overlay repair is only acceptable until the next refueling outage.	
N-686	<i>Alternative Requirements for Visual Examinations, VT-1, VT-2, and VT-3, Section XI, Division 1</i>	N-686-1 Published on 1/10/07
N-694	<i>Evaluation Procedure and Acceptance Criteria for PWR Reactor Vessel Upper Head Penetration, Section XI, Division 1</i>	N-694-1 Published on 2/20/04
	(a) The maximum instantaneous through-thickness stress distribution along the crack front and in the crack-length path must be used to calculate the crack driving force. (b) The stress intensity factor expression Raju and Newman is only applicable to cylindrical products having a ratio of wall thickness to inside radius between 0.1 and 0.25.	
N-706	<i>Alternative Examination Requirements of Table IWB-2500-1 and Table IWC-2500-1 for PWR Stainless Steel Residual and Regenerative Heat Exchangers, Section XI, Division 1</i>	N-706-1 Published on 1/10/07

## **D. IMPLEMENTATION**

The purpose of this section is to provide information to applicants and licensees regarding the NRC staff's plans for using this regulatory guide. The requirements addressing implementation of Section XI Code Cases are contained in 10 CFR 50.55a(b)(4). No backfitting is intended or approved in connection with the issuance of this guide.

## **REGULATORY ANALYSIS**

A separate regulatory analysis was not prepared for this regulatory guide. The regulatory basis for this guide is the regulatory analysis prepared for the amendment to 10 CFR 50.55a, "Codes and Standards" (Ref. 12), which incorporates this regulatory guide by reference.

## REFERENCES

1. *Code of Federal Regulations*, Title 10, *Energy*, Part 50, “Domestic Licensing of Production and Utilization Facilities” (10 CFR Part 50), U.S. Nuclear Regulatory Commission, Washington, DC.<sup>1</sup>
2. ASME Boiler and Pressure Vessel Code, Section XI, “Rules for Inservice Inspection of Nuclear Power Plant Components,” American Society of Mechanical Engineers, New York, NY.<sup>2</sup>
3. Paperwork Reduction Act of 1995 (Public Law 104-13), *United States Code*, Title 44, “Public Printing and Documents,” Chapter 35, “Coordination of Federal Information Policy” (44 U.S.C. 3501 *et seq.*), 104<sup>th</sup> Congress of the United States of America, Washington, DC.<sup>3</sup>
4. Regulatory Guide 1.193, “ASME Code Cases Not Approved for Use,” U.S. Nuclear Regulatory Commission, Washington, DC.<sup>4</sup>
5. *Code of Federal Regulations*, Title 10, *Energy*, Part 21, “Reporting of Defects and Noncompliance” (10 CFR Part 21), U.S. Nuclear Regulatory Commission, Washington, DC.<sup>1</sup>
6. EPRI Nuclear Safety Analysis Center Report 202L-R2, “Recommendations for an Effective Flow Accelerated Corrosion Program,” Electric Power Research Institute, Palo Alto, California, April 1999.<sup>5</sup>
7. ASME Boiler and Pressure Vessel Code, Section III, “Rules for Construction of Nuclear Power Plant Components,” American Society of Mechanical Engineers, New York, NY.<sup>2</sup>
8. Draft Regulatory Guide DG-1070, “Sampling Plans Used for Dedicating Simple Metallic Commercial Grade Items for Use in Nuclear Power Plants,” U.S. Nuclear Regulatory Commission, Washington, DC. [DG-1070 was issued in October 1997.]<sup>4</sup>

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<sup>1</sup>All NRC regulations listed herein are available electronically through the Public Electronic Reading Room on the NRC’s public Web site, at <http://www.nrc.gov/reading-rm/doc-collections/cfr/>. Copies are also available for inspection or copying for a fee from the NRC’s Public Document Room at 11555 Rockville Pike, Rockville, MD; the PDR’s mailing address is USNRC PDR, Washington, DC 20555; telephone (301) 415-4737 or (800) 397-4209; fax (301) 415-3548; email [PDR@nrc.gov](mailto:PDR@nrc.gov).

<sup>2</sup>Copies may be purchased from the American Society of Mechanical Engineers, Three Park Avenue, New York, NY 10016-5990; phone (212) 591-8500; fax (212) 591-8501; [www.asme.org](http://www.asme.org).

<sup>3</sup>The Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*) is available electronically through the *Federal Register* Web site administered by the U.S. National Archives and Records Administration, at <http://www.archives.gov/federal-register/laws/paperwork-reduction/>.

<sup>4</sup>All regulatory guides listed herein are available electronically through the Electronic Reading Room on the NRC’s public Web site at <http://www.nrc.gov/reading-rm/doc-collections/reg-guides/>. Single copies may also be obtained free of charge by writing the Reproduction and Distribution Services Section, ADM, USNRC, Washington, DC 20555 0001, by fax to (301) 415-2289, or by email to [DISTRIBUTION@nrc.gov](mailto:DISTRIBUTION@nrc.gov). Active guides may also be purchased from the National Technical Information Service (NTIS). Details may be obtained by contacting NTIS at 5285 Port Royal Road, Springfield, Virginia 22161, online at <http://www.ntis.gov>, by telephone at (800) 553-NTIS (6847) or (703) 605-6000, or by fax to (703) 605-6900. Copies are also available for inspection or copying for a fee from the NRC’s Public Document Room (PDR), which is located at 11555 Rockville Pike, Rockville, Maryland; the PDR’s mailing address is USNRC PDR, Washington, DC 20555-0001. The PDR can also be reached by telephone at (301) 415-4737 or (800) 397-4209, by fax at (301) 415-3548, and by email to [PDR@nrc.gov](mailto:PDR@nrc.gov).

<sup>5</sup>Copies of the listed EPRI standards and reports may be purchased from the Electric Power Research Institute (EPRI), 3420 Hillview Ave., Palo Alto, California 94304; telephone (800) 313-3774; fax (925) 609-1310.

9. Regulatory Guide 1.161, "Evaluation of Reactor Pressure Vessels with Charpy Upper-Shelf Energy Less Than 50 ft-lb," U.S. Nuclear Regulatory Commission, Washington, DC.<sup>4</sup>
10. ANSI/ASNT CP-189, "Standard for Qualification and Certification of Nondestructive Testing Personnel," American Society for Nondestructive Testing, Columbus, OH.<sup>6</sup>
11. Raju, I. S. and J. C. Newman. Jr., *Stress Intensity Factor Influence Coefficients for Internal and External Surface Cracks in Cylindrical Vessels*, ASME PVP 58, Aspects of Fracture Mechanics in Pressure Vessel and Piping, pp, 35-41, 1982
12. Regulatory Analysis for the Amendment to 10 CFR 50.55a, "Codes and Standards," U.S. Nuclear Regulatory Commission, Washington, DC, July 2008.<sup>7</sup>
13. ASME RA-S-2005, "Standard for Probabilistic Risk Assessment for Nuclear Power Plant Applications," American Society of Mechanical Engineers, New York, NY.<sup>8</sup>

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<sup>6</sup>Copies may be obtained from the American Society for Nondestructive Testing, 1711 Arlingdale Lane, Columbus, OH, 43228-0518; telephone (800) 222-2768 or (614) 274-6003; fax (614) 274-6899. Purchase information is available through the ASNT Web-based store at <http://www.asnt.org/publications/standards/cp-189/index.htm>.

<sup>7</sup>Copies of this regulatory analysis are available for inspection and copying for a fee at the NRC's Public Document Room (PDR), which is located at 11555 Rockville Pike, Rockville, Maryland. The PDR's mailing address is USNRC PDR, Washington, DC 20555-0001. The PDR can also be reached by telephone at (301) 415-4737 or (800) 397-4209, by fax at (301) 415-3548, and by email to [PDR@nrc.gov](mailto:PDR@nrc.gov). In addition, the regulatory analysis is available electronically through the NRC's Agencywide Documents Access and Management System (ADAMS) at <http://www.nrc.gov/reading-rm/adams.html>, under Accession No. ML082540559.

<sup>8</sup> Copies may be purchased from the American Society of Mechanical Engineers, Three Park Avenue, New York, NY 10016-5990; phone (212) 591-8500; fax (212) 591-8501; [www.asme.org](http://www.asme.org)



## APPENDIX A

### SUPPLEMENTS ADDRESSED IN PROPOSED REVISION 16 OF REGULATORY GUIDE 1.147

Edition	Supplement Number	BNCS <sup>1</sup> Approval Date of Code Cases in Supplement <sup>2</sup>
2004	2	August 4, 2004
2004	3	November 2, 2004
2004	4	January 12, 2005
2004	5	February 22, 2005
2004	6	July 5, 2005
2004	7	October 11, 2005
2004	8	March 28, 2006
2004	9	April 19, 2006
2004	10	July 14, 2006
2004	11	October 12, 2006
2007	0	January 10, 2007
<sup>1</sup> BNCS = ASME Board on Nuclear Codes and Standards		
<sup>2</sup> Publication of supplements approximately 6 months after BNCS approval.		

## **APPENDIX B**

### **NUMERICAL LISTING OF SECTION XI CODE CASES IN SUPPLEMENT 2 THROUGH SUPPLEMENT 11 TO THE 2004 EDITION, AND SUPPLEMENT 0 TO THE 2007 EDITION**

N-334	N-574 <sup>1</sup>	N-666	N-729-1 <sup>3</sup>
N-416-4	N-593-1 <sup>1</sup>	N-686-1	N-730
N-471	N-617	N-705	N-731
N-494-4	N-622 <sup>1</sup>	N-706 <sup>2</sup>	N-733
N-496-2	N-623	N-706-1	N-735
N-504-3 <sup>2</sup>	N-638-2	N-711 <sup>1</sup>	N-739
N-504-4	N-638-3	N-712	N-740 <sup>1</sup>
N-522	N-638-4	N-716 <sup>1</sup>	N-747 <sup>1</sup>
N-532-4 <sup>2</sup>	N-640	N-722 <sup>3</sup>	N-751
N-545	N-661-1	N-729 <sup>1</sup>	N-753

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Footnote 1 is “Code Case in unacceptable for use. See Draft Regulatory Guide DG-1193, proposed Revision 3 of Regulatory Guide 1.193.

Footnote 2 is “Code Case was listed in Revision 15 of Regulatory Guide 1.147.”

Footnote 3 is “See 10 CFR 50.55a —Code Case is conditionally approved.”

## APPENDIX C

### NUMERICAL LISTING OF SECTION XI CODE CASES AND TABLE WHERE EACH CODE CASE IS LISTED

N-34 [T3]	N-401-1 [T3]	N-461 [T5]	N-504-3 [T5] <sup>2</sup>
N-72 [T3]	N-402 [T5]	N-461-1 [T3]	N-504-4 [T2]
N-73 [T3]	N-402-1 [T3]	N-463 [T5]	N-508 [T5]
N-98 [T3]	N-406 [T3]	N-463-1 [T3]	N-508-1 [T5]
N-112 [T3]	N-408 [T5]	N-465 <sup>1</sup>	N-508-2 [T5]
N-113 [T5]	N-408-1 [T5]	N-465-1 <sup>1</sup>	N-508-3 [T1]
N-113-1 [T3]	N-408-2 [T5]	N-471 [T3]	N-509 [T4]
N-118 [T4]	N-408-3 [T3]	N-472 [T3]	N-512 [T5]
N-167 [T3]	N-409 [T5]	N-473 <sup>1</sup>	N-512-1 [T4]
N-198-1 [T3]	N-409-1 [T5]	N-473-1 <sup>1</sup>	N-513 [T5]
N-210 [T4]	N-409-2 [T5]	N-478 [T3]	N-513-1 [T5]
N-211 [T3]	N-409-3 [T3]	N-479 [T5]	N-513-2 [T1]
N-216 [T3]	N-415 [T3]	N-479-1 [T3]	N-514 [T3]
N-234 [T3]	N-416 [T5]	N-480 <sup>1</sup>	N-515 [T3]
N-235 [T3]	N-416-1 [T5]	N-481 [T3]	N-516 [T5]
N-236 [T5]	N-416-2 [T5]	N-485 [T5]	N-516-1 [T5]
N-236-1 [T3]	N-416-3 [T5]	N-485-1 [T3]	N-516-2 [T5]
N-252 [T4]	N-416-4 [T2]	N-489 [T3]	N-516-3 [T2]
N-278 [T4]	N-419 [T3]	N-490 [T5]	N-517 [T5]
N-288 [T3]	N-424 [T3]	N-490-1 [T3]	N-517-1 [T1]
N-306 [T3]	N-426 [T3]	N-491 [T5]	N-521 [T3]
N-307 [T5]	N-427 [T3]	N-491-1 [T5]	N-522 [T3]
N-307-1 [T5]	N-429 [T5]	N-491-2 [T1]	N-523 [T5]
N-307-2 [T5]	N-429-1 [T5]	N-494 [T5]	N-523-1 [T5]
N-307-3 [T1]	N-429-2 [T3]	N-494-1 [T5]	N-523-2 [T3]
N-308 [T3]	N-432 [T5]	N-494-2 [T5]	N-524 [T3]
N-311 [T3]	N-432-1 [T1]	N-494-3 [T5]	N-526 [T1]
N-322 [T3]	N-435 [T5]	N-494-4 [T1]	N-528 [T5]
N-323-1 [T1]	N-435-1 [T3]	N-495 [T3]	N-528-1 [T2]
N-334 [T3]	N-436 [T5]	N-496 [T5]	N-532 [T5]
N-335 [T5]	N-436-1 [T3]	N-496-1 [T3] [T5]	N-532-1 [T5]
N-335-1 [T3]	N-437 [T3]	N-496-2 [T1]	N-532-2 [T5] <sup>1</sup>
N-343 [T3]	N-444 [T3]	N-498 [T5]	N-532-3 [T5]
N-355 [T3]	N-445 [T3]	N-498-1 <sup>1</sup> [T5]	N-532-4 [T1] <sup>2</sup>
N-356 [T3]	N-446 [T3]	N-498-2 <sup>1</sup> [T5]	N-533 [T5]
N-375 [T5]	N-448 [T3]	N-498-3 <sup>1</sup> [T5]	N-533-1 [T2]
N-375-1 [T5]	N-449 [T3]	N-498-4 [T2]	N-534 [T1]
N-375-2 [T3]	N-457 [T3]	N-503 [T3]	N-535 [T3]
N-389 [T5]	N-458 [T5]	N-504 [T5]	N-537 [T1]
N-389-1 [T3]	N-458-1 [T3]	N-504-1 [T5]	N-538 [T3]
N-401 [T5]	N-460 [T1]	N-504-2 [T5]	N-541 [T3]

N-542 <sup>1</sup>	N-575 <sup>1</sup>	N-616 [T2]	N-663 [T1]
N-543 [T3]	N-576 [T5]	N-617 [T3]	N-664 [T1]
N-544 [T3]	N-576-1 [T2]	N-618 <sup>1</sup>	N-665 [T1]
N-545 [T3]	N-577 <sup>1</sup> [T5]	N-618-1 <sup>1</sup>	N-683 [T1]
N-546 [T4]	N-577-1 <sup>1</sup>	N-619 [T2]	N-685 [T1]
N-547 <sup>1</sup>	N-578 <sup>1</sup> [T5]	N-622 <sup>1</sup>	N-666 {T1]
N-552 [T2]	N-578-1 <sup>1</sup>	N-623 [T3]	N-686 [T5]
N-553 [T5]	N-583 [T2]	N-624 [T1]	N-686-1 [T1]
N-553-1 [T1]	N-586 [T5]	N-627 [T3]	N-691 <sup>1</sup>
N-554 [T5]	N-586-1 [T1]	N-629 [T1]	N-694-1 [T5]
N-554-1 [T5]	N-587 <sup>1</sup>	N-630 [T4]	N-694-2 [T5]
N-554-2 [T5]	N-588 [T3]	N-638 [T5]	N-694-2 [T2]
N-554-3 [T1]	N-589 <sup>1</sup> [T5]	N-638-1 [T5]	N-695 [T1]
N-555 [T3]	N-589-1 <sup>1</sup>	N-638-3 [T3]	N-696 [T1]
N-556 [T3]	N-590 <sup>1</sup>	N-638-4 [T2]	N-697 [T1]
N-557 [T5]	N-591 <sup>1</sup>	N-639 [T2]	N-700 [T1]
N-557-1 [T2]	N-592 [T3]	N-640 [T3]	N-702 <sup>1</sup>
N-560 [T5]	N-593 [T2]	N-641 [T1]	N-705 [T1]
N-560-1 <sup>1</sup> [T5]	N-593-1 <sup>1</sup>	N-643 [T5]	N-706 [T5] <sup>2</sup>
N-560-2 <sup>1</sup>	N-597 [T5]	N-643-1 [T5]	N-706-1 [T1]
N-561 <sup>1</sup> [T5]	N-597-1 [T5]	N-643-2 [T1]	N-711 <sup>1</sup>
N-561-1 <sup>1</sup>	N-597-2 [T2]	N-647 [T2]	N-712 [T1]
N-562 <sup>1</sup> [T5]	N-598 [T3]	N-648 [T5]	N-716 <sup>1</sup>
N-562-1 <sup>1</sup>	N-599 [T4]	N-648-1 [T2]	N-722 <sup>3</sup>
N-563 [T3]	N-600 [T1]	N-649 [T1]	N-729 <sup>1</sup>
N-566 [T5]	N-601 [T3]	N-651 [T5]	N-729-1 <sup>3</sup>
N-566-1 [T5]	N-603 [T3]	N-652 [T5]	N-730 [T1]
N-566-2 [T1]	N-604 [T3]	N-652-1 [T1]	N-731 [T1]
N-567 [T5]	N-605 [T3]	N-653 <sup>1</sup>	N-733 [T1]
N-567-1 [T1]	N-606 [T5]	N-654 <sup>1</sup>	N-735 [T1]
N-568 [T4]	N-606-1 [T2]	N-658 [T1]	N-739 [T1]
N-569 [T5]	N-609 [T1]	N-660 [T2]	N-740 <sup>1</sup>
N-569-1 [T2]	N-613 <sup>1</sup>	N-661 [T5]	N-747 <sup>1</sup>
N-573 [T1]	N-613-1 [T1]	N-661-1 [T1]	N-751 [T2]
N-574 <sup>1</sup>	N-615 <sup>1</sup>	N-662 [T2]	N-753 [T1]

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Footnote 1 is “Code Case in unacceptable for use. See Draft Regulatory Guide DG-1193, proposed Revision 3 of Regulatory Guide 1.193.

Footnote 2 is “Code Case was listed in Revision 15 of Regulatory Guide 1.147.”

Footnote 3 is “See 10 CFR 50.55a —Code Case is conditionally approved.”

