MITSUBISHI HEAVY INDUSTRIES, LTD.

16-5, KONAN 2-CHOME, MINATO-KU

TOKYO, JAPAN

March 3, 2009

Document Control Desk U.S. Nuclear Regulatory Commission Washington, DC 20555-0001

Attention: Mr. Jeffrey A. Ciocco

Docket No. 52-021 MHI Ref: UAP-HF-09066

Subject: MHI's Responses to US-APWR DCD RAI No. 175-1676 Revision 1

Reference: 1) "Request for Additional Information No. 175-1676 Revision 1, SRP Section: 17.04 - Reliability Assurance Program (RAP)," dated February 3, 2009

With this letter, Mitsubishi Heavy Industries, Ltd. ("MHI") transmits to the U.S. Nuclear Regulatory Commission ("NRC") a document as listed in Enclosure.

Enclosed is the responses to the RAIs contained within Reference 1. Of these RAIs, questions #17-04-37 and #17-04-38 will be answered by 3^{rd} of April 2009 as granted by NRC.

Please contact Dr. C. Keith Paulson, Senior Technical Manager, Mitsubishi Nuclear Energy Systems, Inc. if the NRC has questions concerning any aspect of the submittal. His contact information is below.

Sincerely,

M. Og " ta

Yoshiki Ogata, General Manager- APWR Promoting Department Mitsubishi Heavy Industries, LTD.

Enclosure:

1. "Responses to Request for Additional Information No. 175-1676 Revision 1"

CC: J. A. Ciocco C. K. Paulson

Contact Information

C. Keith Paulson, Senior Technical Manager Mitsubishi Nuclear Energy Systems, Inc. 300 Oxford Drive, Suite 301 Monroeville, PA 15146 E-mail: ck_paulson@mnes-us.com Telephone: (412) 373-6466

DOSI

Docket No. 52-021 MHI Ref: UAP-HF-09066

Enclosure 1

UAP-HF-09066 Docket No. 52-021

Responses to Request for Additional Information No. 175-1676 Revision 1

March 2009

RESPONSE TO REQUEST FOR ADDITIONAL INFORMATION

3/3/2009

US-APWR Design Certification

Mitsubishi Heavy Industries

Docket No.52-021

RAI NO.: NO.175-1676 REVISION 1

SRP SECTION: 17.04 – Reliability Assurance Program (RAP)

APPLICATION SECTION: 17.4 Reliability Assurance Program

DATE OF RAI ISSUE: 2/3/2009

QUESTION NO. : 17-04-36

In Section 17.4.9 ("Combined License Information") of the US-APWR DCD, Revision 1, the applicant provides combined license (COL) information items 17.4(1) and 17.4(2). COL information item 17.4(1) states "The COL Applicant shall be responsible for the development and implementation of the Phases II and III of the D-RAP. ...The QA requirements should be implemented during the procurement, fabrication, construction, and pre-operation testing of the SSCs within the scope of the RAP." COL information item 17.4(2) states "The COL Applicant shall be responsible for the development and implementation of the O-RAP..."

- a) COL information items 17.4(1) and 17.4(2) do not specify when the associated activities are to be performed. The use of the term "COL Applicant" in the COL information items could suggest that all of these activities are to be performed during the COL application phase. In accordance with SECY 95-132, Phase II in information item 17.4(1) is performed during the COL application phase and updated/maintained during the COL license holder phase. Phase III in COL information item 17.4(1) is performed during the COL license holder phase. Phase III in COL information item 17.4(1) is performed during the COL license holder phase and prior to initial fuel loading. A description of the proposed method for developing/integrating the operational RAP into operating plant programs (e.g., maintenance rule, quality assurance) under COL information item 17.4(2) is performed during the COL application phase. The development/integration of the operational RAP under COL information item 17.4(2) is performed during the COL application phase. The development/integration of the operational RAP under COL information item 17.4(2) is performed during the COL application phase. The development/integration of the operational RAP under COL information item 17.4(2) is performed during the COL application phase.
- b) It is not clear in COL information item 17.4(1) as to who will develop the quality assurance (QA) requirements. Also, it is not clear that these QA requirements will address nonsafety-related SSCs within the scope of D-RAP, as required under SECY 95-132 (i.e., SECY 95-132 states that "The COL applicant will propose a method by which it will incorporate the objectives of the reliability assurance program into other programs for design or operational errors that degrade nonsafety-related, risk-significant SSCs.").

The staff requests that the applicant clarify COL information items 17.4(1) and 17.4(2) in Section 17.4.9

17-04-36-1

of the US-APWR DCD, Revision 1, taking into consideration the comments provided herein (i.e., specify when the activities under the COL information items are to be performed, and clarify the QA requirements in COL information item 17.4(1)).

ANSWER:

Taking into consideration the NRC comments, MHI will clarify COL information items 17.4(1) and 17.4(2) in Section 17.4.9 of the US-APWR DCD, Revision 2, as follows:

17.4.9 Combined License Information

COL 17.4(1) The COL Applicant shall be responsible for the development and implementation of the Phases II and III of the D-RAP, including QA requirements. In the Phase II, the plant's site-specific information should be introduced to the D-RAP process and the sitespecific risk-significant SSCs should be combined with the US-APWR design risksignificant SSCs into a list for the specific plant. Phase II is performed during the COL application phase and updated/maintained during the COL license holder phase. In the Phase III, procurement, fabrication, construction, and test specifications for the SSCs within the scope of the RAP should ensure that significant assumptions, such as equipment reliability, are realistic and achievable. The QA requirements should be implemented during the procurement, fabrication, construction, and pre-operation testing of the SSCs within the scope of the RAP. Phase III is performed during the COL license holder phase and prior to initial fuel loading. The COL applicant will propose a method by which it will incorporate the objectives of the reliability assurance program into other programs for design or operational errors that degrade nonsafety-related, risksignificant SSCs.

COL 17.4(2)

4(2) The COL Applicant shall be responsible for the development and implementation of the O-RAP, in which the RAP activities should be integrated into the existing operational program (i.e., Maintenance Rule, surveillance testing, in-service inspection, in-service testing, and QA). The O-RAP should also include the process for providing corrective actions for design and operational errors that degrade non-safety-related SSCs within the scope of the RAP. <u>A description of the proposed method for developing/integrating the operational RAP into operating plant programs (e.g., maintenance rule, quality assurance) is performed during the COL application phase. The development / integration of the operational RAP is performed during the COL license holder phase and prior to initial fuel loading. All SSCs identified as risk-significant within the scope of the D-RAP should be categorized as high-safety-significant (HSS) within the scope of initial Maintenance Rule.</u>

Impact on DCD

DCD Tier 2, Section 17.4.9 will be revised in the next revision of the US-APWR DCD as noted above (See page 17.4-40 of the Attachment.)

Impact on COLA

There is no impact on COLA from this RAI.

Impact on PRA

There is no impact on PRA from this RAI.

RESPONSE TO REQUEST FOR ADDITIONAL INFORMATION

3/3/2009

US-APWR Design Certification

Mitsubishi Heavy Industries

Docket No.52-021

RAI NO.: NO.175-1676 REVISION 1

SRP SECTION: 17.04 -- Reliability Assurance Program (RAP)

APPLICATION SECTION: 17.4 Reliability Assurance Program

DATE OF RAI ISSUE: 2/3/2009

QUESTION NO. : 17-04-39

Section 17.4.4 (Quality Controls) of the US-APWR DCD, Revision 1 references the quality assurance program description (QAPD), which describes quality controls for both the safety-related and nonsafety-related systems, structures, and components (SSCs) within the scope of D-RAP. The QAPD should be listed as a reference in Section 17.4.10 of the US-APWR DCD.

The staff requests that the applicant list the QAPD as a reference in Section 17.4.10 of the US-APWR DCD.

ANSWER:

MHI will list the QAPD as a reference in Section 17.4.10 of the US-APWR DCD, Rev.2 and make appropriate modifications (ex. reflect latest QAPD revision number) as follows:

17.3 Quality Assurance Program

The General Manager of Nuclear Energy Systems Headquarters (NESH) is responsible for the Design Certification Activities of US-APWR. The major design activities are performed by the Nuclear Energy Systems Engineering Center engineers. QA Program controls governing the activities are specified in QAPD (PQD-HD-19005 Rev. 42) (Ref 17.4-2, Ref 17.5.5-4).

Subcontractors of the Nuclear Energy Systems Engineering Center performing design activities in support of the US-APWR are also required to follow QAPD (PQD-HD-19005 Rev. 42).

17-04-39-1

17.4.4 Quality Controls

d. Records

Records related to the D-RAP which are required to be maintained include the following:

- List of Risk-Significant SSCs
- EP meeting minutes/summaries
- Other quality assurance program records in accordance with the US-APWR QAPD (<u>Ref. 17.4-2</u>) for design certification.

17.4.5 Integration into Existing Operational Programs

The US-APWR D-RAP is a source to other administrative and operational programs. Certain risksignificant SSCs identified in the D-RAP are included in existing operational programs such as the technical specifications surveillance requirements and provide assurance that the reliability values assumed in the PRA will be maintained throughout the plant life. The O-RAP implements the measures that yield the significant improvements in the PRA through the plant's existing programs for maintenance or QA. Implementation of the Maintenance Rule requirements contained in 10CFR50.65 (Ref. 17.4-23) is an example of how the plant could address the enhanced treatment of certain SSCs in the O-RAP. Per SECY 95-132, the COL Applicant may meet most of the objectives of the O-RAP via existing programs such as maintenance rule, in-service testing, and QA. The COL Applicant must address nonsafety risk significant SSCs.

17.4.10 References

- 17.4-1 "Policy and Technical Issues Associated with the Regulatory Treatment of Non-Safety Systems (RTNSS) in Passive Plant Design," SECY 95-132, U.S. Nuclear Regulatory Commission, Washington, DC, May 1995.
- 17.4.2 "Quality Assurance Program (QAP) Description For Design Certification of the US-APWR (PQD-HD-19005 Rev.2)"
- 17.4-23 'Requirements for Monitoring the Effectiveness of Maintenance at Nuclear Power Plants,' "Domestic Licensing of Production and Utilization Facilities,"

17.5 Quality Assurance Program Description

For the Design Certification phase, the MHI-NESH US-APWR Project Quality Assurance Program (QAP) is the top-level policy document that establishes the quality assurance policy and assigns major functional responsibilities for plants designed by MHI-NESH. The QAP describes the methods and establishes QAP and administrative control requirements, described in "Quality Assurance Program

17-04-39-2

(QAP) Description For Design Certification of the US-APWR (PQD-HD-19005 Rev.42)" (Ref 17.5.5-4), that meet 10 CFR Part 50, Appendix B and 10 CFR Part 52. The QAP is based on the requirements of ASME NQA-1-1994, "Quality Assurance Requirements for Nuclear Facility Applications," Parts I and II, as specified in Ref.17.5.5-4.

17.5.2 References

17.5.5-1 "Draft Standard Review Plan (SRP) 17.5 dated September 22, 2006"

17.5.5-2 "Standard Review Plan (SRP) 17.5 March 2007"

17.5.5-3 "Quality Assurance Program Description (NEI 06-14A Rev.4 and earlier versions)"

17.5.5-4 "Quality Assurance Program (QAP) Description For Design Certification of the US-APWR (PQD-HD-19005 Rev. <u>42</u>)"

Impact on DCD

DCD Tier 2, Section 17.3, 17.4.4, 17.4.5, 17.4.10 and other related portions will be revised in the next revision of the US-APWR DCD as noted above (See pages 17.3-1, 17.4-3, 17.4-4, 17.4-41, and 17.5-10f the Attachment.)

Impact on COLA

There is no impact on COLA from this RAI.

Impact on PRA

There is no impact on PRA from this RAI.

US-APWR Design Control Document

CONTE	CONTENTS					
	Ţ		Page			
17.0	QUA	LITY ASSURANCE AND RELIABILITY ASSURANCE	17.1-1			
17.1	Qual	ity Assurance During the Design Phase	17.1-1			
17.2	Qual	ity Assurance During the Construction and Operations Phas	se 17.2-1			
17.3	Qual	ity Assurance Program	17.3-1			
17.4	Relia	bility Assurance Program	17.4-1			
. 17.4	l.1	New Section 17.4 in the Standard Review Plan	17.4-1			
17.4	.2	Introduction	17.4-1			
17.4	.3	Scope	17.4-2			
17.4	4.4	Quality Controls	17.4-2			
17.4	.5	Integration into Existing Operational Programs	17.4-3			
17.4	.6	Operating Experience	17.4-4			
17.4	1.7	D-RAP	17.4-4			
17	7.4.7.1	SSCs Identification	17.4-4			
. 17	7.4.7.2	Expert Panel	17.4-5			
17	7.4.7.3	Phase I D-RAP Implementation and SSCs included	17.4-5			
17.4	.8	ITAAC for the D-RAP	17.4-40			
17.4	.9	Combined License Information	17.4-40			
17.4	.10	References	17.4-41			
17.5	Qual	ity Assurance Program Description	17.5-1			
17.5	5.1	Combined License Information	17.5-1			
17.5	5.2	References	17.5-1			
17.6	Desc the N	cription of the Applicant's Program for Implementation of 10	CFR 50.65, 17.6-1			

Tier 2

US-APWR Design Control Document

17.6.1 Comb	ned License Information	17	′.e	<u>-</u>	1
-------------	-------------------------	----	-----	----------	---

US-APWR Design Control Document

TABLES	
	Page
Table 17.4-1 Risk significant SSCs.	

US-APWR Design Control Document

17. QUALITY ASSURANCE AND RELIABILITY ASSURANCE

ACRONYMS AND ABBREVIATIONS

AAC	alternative AC
ac	alternating current
CAP	corrective action program
CCF	common cause failure
CCW	component cooling water
CCWS	component cooling water system
CDF	core damage frequency
CFR	Code of Federal Regulations
COL	Combined License
COLA	Combined License Application
CS	containment spray
CSS	containment spray system
CVCS	chemical volume control system
DAS	diverse actuation system
dc	direct current
DCD	Design Control Document
D-RAP	design reliability assurance program
DVI	direct vessel injection
ECCS	emergency core cooling system
EFW	emergency feedwater
EFWP	emergency feedwater pit
EFWS	emergency feedwater system
EJ	engineering judge
EP	expert panel
EPS	emergency power source
ESF	engineered safety features
ESW	essential service water
ESWS	essential service water system
FIRE	FIRE event
FLOOD	FLOOD event
FSS	fire suppression systems
FV	Fussell Vesely
FVW	Fussell Vesely worth
HSIS	human-system interface system
HVAC	heating, ventilation, and air conditioning

US-APWR Design Control Document

17. QUALITY ASSURANCE AND RELIABILITY ASSURANCE

ACRONYMS AND ABBREVIATIONS

I&C	instrumentation and control
ITAAC	inspection, test, analyses, and acceptance criteria
kV	kilovolt
LOCA	loss-of-coolant accident
LOOP	loss of offsite power
LPSD	low power and shut down operation
M/D	motor driven
MCC	motor control center
MFWS	main feedwater system
MHI	Mitsubishi Heavy Industries, Ltd.
MOV	motor operated valve
MSS	main steam supply system
NESH	Nuclear Energy Systems Headquarters
NRC	U.S. Nuclear Regulatory Commission
O-RAP	operational reliability assurance program
PAM	postaccident monitoring
PCMS	plant control and monitoring system
PRA	probabilistic risk assessment
QA	quality assurance
QAP	quality assurance program
QAPD	quality assurance program description
RAP	reliability assurance program
RAW	risk achievement worth
RCP	reactor coolant pump
RCS	reactor coolant system
RG	Regulatory Guide
RHR	residual heat removal
RHRS	residual heat removal system
RPS	reactor protection system
RRW	risk reduction worth
RTNSS	regulatory treatment of non-safety-related systems
RWAT	refueling water auxiliary tank
RWS	refueling water storage
RWSP	refueling water storage pit
RWSS	refueling water storage system

ACRONYMS AND ABBREVIATIONS (Continued)

SBO	station blackout
SDV	safety depressurization valve
SFP	spent fuel pit
SFPCS	spent fuel pit cooling and purification system
SG	steam generator
SGTR	steam generator tube rupture
SIS	safety injection system
SRP	Standard Review Plan
SSC	structure, system, and component
T/D	turbine driven
VCT	volume control tank
VWS	chilled water system
WMS	waste management system

Tier 2

17.0 QUALITY ASSURANCE AND RELIABILITY ASSURANCE

Quality Assurance Program Description (QAPD) as described in Sections 17.1, 17.2, 17.3 and 17.5 of this chapter of DCD is applicable for Quality Assurance (QA) during design certification.

17.1 Quality Assurance During the Design Phase

For quality assurance during the design certification phase, see Section 17.5.

The Combined License (COL) Applicant is responsible for development a Quality Assurance Program applicable to its activities during design other than the Design Certification.

17.2 Quality Assurance During the Construction and Operations Phase

The COL Applicant is responsible for development of the construction and operational phase Quality Assurance Program.

17.3 Quality Assurance Program

The General Manager of Nuclear Energy Systems Headquarters (NESH) is responsible for the Design Certification Activities of US-APWR. The major design activities are performed by the Nuclear Energy Systems Engineering Center engineers. QA Program controls governing the activities are specified in QAPD (PQD-HD-19005 Rev.-+2) (Ref 17.4-2, Ref 17.5.5-4).

Subcontractors of the Nuclear Energy Systems Engineering Center performing design activities in support of the US-APWR are also required to follow QAPD (PQD-HD-19005 Rev.42).

For the quality assurance program description during the design certification phase, see Section 17.5.

The COL applicant is responsible for development a Quality Assurance Program Description during design other than the Design Certification, construction and operation phase.

17.4 Reliability Assurance Program

This section presents the US-APWR reliability assurance program (RAP).

17.4.1 New Section 17.4 in the Standard Review Plan

As noted in Item E of SECY 95-132 (Ref. 17.4-1), an applicant for design certification should establish the scope, purpose, objective, and essential elements of an effective D-RAP and would implement those portions of the D-RAP that apply to design certification. A COL Applicant is responsible for augmenting and completing the remainder of the D-RAP to include any site-specific design information and identify the risk-significant SSCs. Once the site-specific D-RAP is established and the risk-significant SSCs are identified, the procurement, fabrication, construction, and preoperational testing can be implemented in accordance with the COL holder's D-RAP or other programs and would be verified using the inspections, test, analyses and acceptance criteria (ITAAC) process.

17.4.2 Introduction

The purposes of the US-APWR RAP are to provide reasonable assurance that: 1) the US-APWR is designed, constructed, and operated in a manner that is consistent with the assumptions and risk insights for the <u>risk-significant</u> SSCs, 2) the <u>risk-significant</u> SSCs [SPLA 1474-012] do not degrade to an unacceptable level during plant operations, 3) the frequency of transients that challenge SSCs is minimized, and 4) the SSCs function reliably when challenged. An additional goal is to facilitate communication between the probabilistic risk assessment (PRA), the design, and the ultimate COL activity.

The PRA evaluates the US-APWR design response to a spectrum of initiating events to ensure that plant damage has a very low probability and that risk to the public is minimized. Risk significant SSCs for the US-APWR design control document (DCD) are identified and made available to the design organization.

The US-APWR D-RAP process is implemented in several phases. Phase I, the Design Certification phase, collects system information and develops a system model. This system information and model is used as input to the design phase PRA, an operating experience review, and a review for external events. The goal of the RAP during this stage is to ensure that the reactor design meets the purposes above, through the design, procurement, fabrication, construction and preoperational testing activities and programs. The results of each of these activities are provided to an expert panel (EP) which identifies risk significant items using probabilistic, deterministic, and other methods for inclusion in the program. Phase II, the site-specific phase, introduces the plant's sitespecific information to the D-RAP process. During Phase II, the site-specific SSCs are combined with the US-APWR design SSCs into a list for the specific plant. Phase III, the last phase of the D-RAP, implements the procurement, fabrication, construction, and preoperational testing. The site-specific list of SSCs is also provided as an input to the operational phase of RAP (O-RAP) which addresses the specific plant operation and maintenance activities. The designer, MHI, is responsible for Phase I of the D-RAP. The objective during this stage is to ensure that the reliability for the SSCs within the scope of the RAP is maintained during plant operations. Phases II and III of the D-RAP and the O-RAP are the responsibility of the COL Applicant. The COL Applicant will specify the

Tier 2

policy and implement procedures to address the specific plant operation and maintenance activities associated with the risk-significant SSCs identified by the D-RAP.

17.4.3 Scope

The US-APWR D-RAP identifies risk-significant SSCs and provides risk insights and reliability assumptions for aspects of plant operation, maintenance, and performance monitoring to be addressed to ensure safe, reliable plant operation or mitigate plant transients or other events that could present a risk to the public. The risk-significant SSCs are identified using PRA, deterministic, or other methods of analysis, including industry experience, and EPs.

17.4.4 Quality Controls

a. Organization

The MHI is responsible for Phase I of the D-RAP.

General Manager, US-APWR project: The General Manager, US-APWR project is overall responsible for the establishment of and implementation of the US-APWR D-RAP. In this regard, the General Manager or his designated representative is responsible to assure all affected organizations are aware of the D-RAP, its purpose, and the requirements herein.

General Manager, Reactor and Plant Safety: The General Manager, Reactor and Plant Safety, is responsible for the use of the PRA results and risk insights for the EP, and for the conduct and coordination of the EP. The Reactor and Plant Safety organization includes the risk and reliability organization.

General Manager, QA: The General Manager, QA is responsible to assure proper implementation of QA program elements. This includes design control, procedures and instructions, records, corrective actions and audits pertaining to the D-RAP.

General Managers, Design Engineering: The General Managers, Design Engineering, are responsible to implement this D-RAP and specifically to assure that the US-APWR is designed consistent with the reliability assumptions and insights of the PRA for risk-significant SSCs.

The risk and reliability organization is responsible to ask the related design engineering sections to review key assumptions and to feed back their comments to ensure key assumptions are realistic and achievable.

The risk and reliability organization is responsible to provide the RAP related inputs in the design process by participating in the design change process.

The risk and reliability organization is also responsible to involve in the design review.

b. Design Control

Tier 2

The list of risk-significant SSCs for the D-RAP and its key assumptions shall be maintained by the risk and reliability organization. The list and changes thereof shall be approved by the EP and be provided to design engineering and QA staff working on the US-APWR project.

The risk and reliability organization shall ensure that the design engineers are provided the list of risk-significant SSCs for the D-RAP and its key assumption. The design engineers shall take into account the list of the risk-significant SSCs for the D-RAP and its key assumptions in their design activities and give some feedback to the risk and reliability organization in order to ensure that the key assumptions are realistic and achievable, if necessary.

c. Procedures and Instructions

General Manager, US-APWR project or his designated representative has prepared the procedures and instructions used in implementation of the D-RAP. General Manager, US-APWR project is responsible for development and verification of implementation of the D-RAP, and for assuring all affected MHI organizations are aware of the D-RAP.

d. Records

Records related to the D-RAP which are required to be maintained include the following:

- List of Risk-Significant SSCs
- EP meeting minutes/summaries
- Other quality assurance program records in accordance with the US-APWR QAPD (<u>Ref. 17.4-2</u>) for design certification.

e. Corrective action

Deficiencies identified where design documents address SSC reliability assumptions which are not compatible with the reliability assumptions of the PRA, or are not achievable or are unrealistic shall be entered into the corrective action program (CAP) system and addressed appropriately. The CAP utilized to support the QAPD can be used to implement the corrective actions related to the RAP.

f. Audit

Audit plans shall include for consideration, sampling the effectiveness of implementation of RAP implementation procedure. Audits shall consider several key aspects of the RAP including the identification of risk-significant SSCs, whether design and procurement information is consistent with the risk insights from the PRA, and whether assumed equipment reliability is determined to be practicable or achievable.

17.4.5 Integration into Existing Operational Programs

The US-APWR D-RAP is a source to other administrative and operational programs. Certain risk-significant SSCs identified in the D-RAP are included in existing operational programs such as the technical specifications surveillance requirements and provide assurance that the reliability values assumed in the PRA will be maintained throughout The O-RAP implements the measures that yield the significant the plant life. improvements in the PRA through the plant's existing programs for maintenance or QA. Implementation of the Maintenance Rule requirements contained in 10CFR50.65 (Ref., 17.4-23) is an example of how the plant could address the enhanced treatment of certain SPLA 1676-039 SSCs in the O-RAP. Per SECY 95-132, the COL Applicant may meet most of the objectives of the O-RAP via existing programs such as maintenance rule, in-service testing, and QA. The COL Applicant must address non-safety risk significant SSCs.

17.4.6 Operating Experience

Consideration and use of operating experience is vital to the overall objective of the D-RAP. Operating experience is considered along with various PRA analytical and importance measures when developing a comprehensive risk analysis. The EP considers component operating history and industry operating experience when it can be applied to assessing risk significance. For example, operating experience indicates that motor driven and turbine driven pumps may have different reliability.

The review of operating experience investigates situations where previous failures of components in similar design applications have led to functional failures of SSCs. The review of operating experiences is not limited to hardware failure but also extends to situations where human performance led to functional failures of SSCs of a similar system design. As an example, the US-APWR design improves reliability and eliminates required operator actions to switch over from injection to recirculation typical in conventional PWRs.

17.4.7 D-RAP

As discussed in Section 17.4.2, Phase I of the D-RAP includes the initial identification of SSCs to be included in the program, implementation of the aspects applicable to design efforts, and definition of the scope, requirements, and implementation options to be included in the later phases.

SSCs Identification 17.4.7.1

During the US-APWR design phase, risk significant SSCs are identified for inclusion in the scope of the D-RAP. A list of risk significant SSCs is developed and controlled as a design input for consideration during the design phase. The list of risk significant SSCs is initially based on the results of the PRA and the EP. For further discussion on PRA, refer to Chapter 19, Section 19.1, of this DCD. The PRA is used to identify risk significant SSCs based on risk achievement worth (RAW) and Fussell-Vesely Worth (FVW). For further information, see Chapter 19, Section 19.1.7.4 of this DCD. The list of risk significant SSCs identified during the design phase is updated when the plantspecific PRA is developed. In addition to the PRA input, information from operating experience of Japanese design plants, as well as US industry experience is considered for identification of risk significant SSCs. A third source in the D-RAP process for identifying risk significant SSCs is the use of an EP consisting of representatives from Design Engineering, PRA, as well as other highly qualified individuals with operations, and maintenance experience who are independent of the PRA Section. The EP also SPLA 1474-006

Tier 2

reviews the categorization of SSCs determined to be not risk significant (NRS) from guantified PRA results (e.g., technical adequacy of the basis used in the categorization, review of defense-in-depth implications, review of safety margin implications). As part of the D-RAP process, the PRA analytical results, operating experience, and an EP process are combined to develop a comprehensive list of risk significant SSCs.

17.4.7.2 Expert Panel

An EP, consisting of highly qualified representatives of Reliability and PRA Engineering, SPLA 1474-007 as well as representatives independent of the PRA process from Design and Plant Engineering at least one person with design engineering experience, at least one person with PRA experience, at least one person with operations and maintenance experience, and at least one person with quality assurance experience, is responsible for the final selection of the SSCs included in the D RAP. Industry operating experience when it can be applied to assessing risk significance, and engineering judgment are employed in SPLA 1474-008 considering the addition of SSCs to the D-RAP. Industry operating experience and use of the Expert Panel are used as the part of deterministic approach and other processes, and engineering judgment are employed in considering the addition of SSCs to the D-RAP. Each voting member of the RAP EP should have the level of education and SPLA 1474-014 experience defined by the RAP. The level of education and experience of voting member of the RAP EP is defined in the Expert Panel Implementing Procedure for US-APWR Reliability Assurance Program as follows:

 A person who has graduated science and technology university or who has identical educational background, and who has more than 10 years of experience in the specific area of Nuclear Power Plant, such as design, or has identical experience.

or

 A person who has graduated high school or who has identical educational background, and who has more than 15 years of experience in the specific area of Nuclear Power Plant, such as design, or has identical experience.

17.4.7.3 Phase I D-RAP Implementation and SSCs included

The implementation of the Phase I D-RAP is the responsibility of MHI as it applies to the reactor design process. The SSCs included in this phase are listed in Table 17.4-1.

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
1		Accumulator in	jection system
1	Discharge line secondary isolation check valves train A through D [VLV-102A (B,C,D)]	RAW/CCF	The accumulator provides safety injection function for refill and re-flooding of the reactor vessel following a loss of coolant accident (LOCA). Also provides negative
2	Boundary check valves train A through D (Discharge line) [VLV-103A (B,C,D)]	RAW/CCF	reactivity to shutdown the reactor. Single failure of any SSCs listed here has potential to
3	Discharge line isolation motor operated valves train A through D [VLV-101A (B,C,D)]	RAW	cause failure of its dedicated train to inject coolant to RCS.
4	Discharge line orifices train A through D [R006A (B,C,D)]	RAW	
5	Piping of discharge lines train A through D [TBD]	RAW	
6	A~D-Accumulators [SIS-CTK-001A (B,C,D)]	EJ	

Table 17.4-1 Risk significant SSCs (sheet 1 of 34)

1

17. QUALITY ASSURANCE AND RELIABILITY ASSURANCE

US-APWR Design Control Document

17.4-6

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions		
2		Charging inje	ction system		
1	Charging line air operated valves [AOV-146] [FCV-138] [AOV-159]	RAW/LPSD	The chemical volume control system (CVCS) maintains appropriate volume and quality of reactor coolant for the primary reactor coolant system, adjusts boron concentration for the chemical shim control, and supplies		
2	RCP seal cooling injection line air operated valves [FCV-140] [AOV-165]	RAW	seal water to the reactor coolant pump seals, and disposes borated water discharged from the primary reactor coolant system.		
3	Auxiliary spray injection line air operated valve [AOV-155]	RAW/LPSD	RCP seal water injection provided by the CVCS is an essential function to prevent RCP seal LOCA under loss of CCW conditions. When loss of CCW occurs, either the		
4	A,B-Charging pumps [CVS-RPP-001A (B)]	RAW/CCF/LPSD	fire suppression system or the non-essential chilled water system is connected to the charging pump cooling		
5	Volume control tank discharge line check valve [VLV-125]	RAW/LPSD	line. Thus, the RCP seal water injection is maintained under loss of CCW conditions.		
6	Volume control tank discharge line motor operated valves [LCV-121B] [LCV-121C]	RAW/LPSD	Since CVCS is not completely separated in trains, large external leak from SSCs that result in loss of inventory is assumed to result in degradation or failure of the system. Accordingly, SSCs that has the potential of large leak are		
7	RWS refueling water auxiliary tank discharge line change valves [LCV-121D(E)]	<u>RAW(L2)</u>	risk significant. SSCs that have potential to cause common cause		
7 <u>8</u>	RWS refueling water auxiliary tank discharge line check valve [VLV-595]	RAW/LPSD	failures among multiple trains are also important. Su common cause failure results in loss of redundant SSC		
8 <u>9</u>	RWS refueling water auxiliary tank discharge line manual valve [VLV-591]	RAW/LPSD			

Table 17.4-1 Risk significant SSCs (sheet 2 of 34)

17. QUALITY ASSURANCE AND RELIABILITY ASSURANCE

US-APWR Design Control Document

17.4-7

Tier 2

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
<u>910</u>	Charging pump minimum flow line check valves [VLV-129A (B)]	RAW/LPSD	During low power and shutdown operation, CVCS provides RCS make up function. On low VCT level,
1 <u>011</u>	Charging pump discharge line check valves [VLV-131A (B)]	RAW/LPSD	suction is switched from the VCT to the refueling water auxiliary tank, which is supplied by the refueling water storage pit.
11 <u>12</u>	Charging line containment isolation check valve [VLV-153]	RAW/LPSD	Low-pressure letdown line isolation valves are automatically closed and the CVCS is isolated from the
12<u>13</u>	Charging line isolation check valve [VLV-160]	RAW/LPSD	RHRS with receiving the RCS loop low-level signal to prevent loss of RCS inventory at mid-loop operation.
<u>1314</u>	Charging line boundary isolation check valve [VLV-161]	RAW/LPSD	When these valves are not closed, loss of a Re inventory is prevented by manually closing the operated valve at the downstream of these valves.
14 <u>15</u>	RCP seal water injection line boundary isolation check valves [VLV-182A (B.C.D)]	RAW	
<u>1516</u>	RCP seal water injection line secondary isolation check valves [VLV-181A (B,C,D)]	RAW	
16 <u>17</u>	RCP seal water injection line third isolation check valves [VLV-179A (B,C,D)]	RAW	
<u>1718</u>	Charging line containment isolation motor operated valve [MOV-152]	RAW/LPSD	
(This will be rev incorporating the discussion of e	- RAI 17.04-32		

Table 17.4-1 Risk significant SSCs (sheet 3 of 34)

17. QUALITY ASSURANCE AND RELIABILITY ASSURANCE

US-APWR Design Control Document

Tier 2

17.4-8

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
18	Charging line containment isolation motor operated valve [MOV-151]	RAW/LPSD	The "Insights and Assumptions" for these SSCs are described on the previous page.
19	RCP seal water injection line containment isolation motor operated valves [MOV-178A (B,C,D)]	RAW	
20	Charging line orifice [FE-138]	RAW/LPSD	
21	Charging flow control orifice [TBD]	RAW/LPSD	
22	RCP seal water injection line orifices [FE-160A (B,C,D)]	RAW	· · · ·
23	Regenerative heat exchanger [CHX-001]	RAW/LPSD	
24	Charging pump minimum flow line manual valves [VLV-130A (B)]	RAW/LPSD	
25	Charging pump discharge line manual valves [VLV-132A (B)]	RAW/LPSD	
26	Charging pump discharge line cross tie-line manual valve [VLV-133]	RAW/LPSD	
27	Charging pump suction line manual valves	RAW/LPSD	

Table 17.4-1 Risk significant SSCs (sheet 4 of 34)

Tier 2

17.4-9

Revision 1

US-APWR Design Control Document

н

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
28	Charging line manual valves [VLV-145] [VLV-147]	RAW/LPSD	The "Insights and Assumptions" for these SSCs are described on the previous page.
29	Charging line by-pass line manual valve [VLV-144]	RAW/LPSD	
30	RCP seal water injection line manual valves [VLV-164] [VLV-166] [VLV-168] [VLV-170B] [VLV-171B] [VLV-173]	RAW	
31	RCP seal water injection by-pass line manual valve [VLV-163]	RAW	
32	RCP seal water injection line manual valves [VLV-180A (B,C,D)]	RAW	
33	RCP seal water injection line needle valves [VLV-177A (B,C,D)]	RAW	
34	Low-pressure letdown line air operated valve [HCV-102]	LPSD	

Table 17.4-1 Risk significant SSCs (sheet 5 of 34)

Tier 2

17.4-10

Revision 1

US-APWR Design Control Document

.

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
3		Component cooling wa	iter system (CCWS)
1	CCW pump discharge line check valves [VLV-016A (B,C,D))	RAW/CCF/LPSD	The component cooling water system (CCWS) transfer heat from plant safety-related components to the essential service water system (ESWS). This system
2	A~D-Component cooling water pumps [NCS-RPP-001A (B,C,D)]	FV/RAW/CCF /LPSD	supports validus salety and non-salety mitigation systems. Accordingly, reliability of CCWS emergency feedwater system (EFWS) has significant impact on risk.
3	A~D-Component cooling water heat exchangers [NCS-RHX-001A (B,C,D)]	RAW/CCF/LPSD	CCWS has four trains, each having a component cooling water pump and a component cooling water heat exchanger. Two trains compose a subsystem, which shares a supply / return header and a surge tank
4	CCW pump discharge cross tie-line motor operated valves [MOV-020A (B,C,D)]	RAW/CCF/LPSD	SSCs that have either of the following characteristics are risk significant.
5	CCW pump suction line cross tie-line motor operated valves [MOV-007A (B,C,D)]	RAW/CCF/LPSD	 SSCs that have potential to cause common cause failures among multiple trains. Common cause failure of such system will result in loss of multiple trains.
6	SSCs that compose CCW boundary	RAW/EJ/LPSD	- SSCs that have potential to cause large external leak are risk significant. Since the two trains that compose a subsystem are not physically isolated,
7	CS/RHR heat exchanger discharge line motor operated valves [MOV-145A (B,C,D)]	FV/RAW/CCF /LPSD	inventory is assumed to result in degradation or failure of two trains.

Table 17.4-1 Risk significant SSCs (sheet 6 of 34)

Tier 2

17.4-11

Revision 1

US-APWR Design Control Document

i,

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
8	Charging injection Pump Cooling Line Check Valves [TBD]	RAW/CCF/LPSD	These valves are used (opened) to provide alternative CCW from the fire suppression system or the non-
9	Charging injection pump cooling discharge line motor operated valves [TBD]	RAW/CCF/LPSD	essential chilled water system to the charging pump cooling line under loss of CCW events. These are important SSCs at loss of CCW events to prevent RCP
10	CCWS - fire suppression system boundary motor operated valves [TBD]	RAW/CCF/LPSD	seal LOCA.
11	CCWS - RWSP line boundary check valves [VLV-065A (B)]	RAW/LPSD	
12	CCWS - RWSP line boundary manual valves [VLV-066A (B)]	RAW/LPSD	

US-APWR Design Control Document

Table 17.4-1 Risk significant SSCs (sheet 7 of 34)

Tier 2

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
4		Containm	ient system
1	Containment vessel [TBD]	EJ	The containment vessel is designed to completely enclose the reactor and reactor coolant system and to ensure that essentially no leakage of radioactive materials to the environment would result even if a major
2	Hydrogen ignition system [TBD]	EJ	Hydrogen ignition system are provided for protection against possible detonation following a core damage accident to meet the requirement of 10CFR50.34(f) and 10CFR50.44(c).
5		Containment i	solation system
1	Instrument air system check valve [VLV-003]	RAW(L2)	In the case of core damage accident, the containment isolation valve is important to prevent radionuclide releases to the environment.

Table 17.4-1 Risk significant SSCs (sheet 8 of 34)

Tier 2

17.4-13

Revision 1

US-APWR Design Control Document

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions			
6		Emergency feedwate	er system (EFWS)			
1	EFW pit discharge line check valves [VLV-008A (B)]	RAW/CCF/LPSD	The emergency feedwater system (EFWS) supplies feedwater to the steam generators in order to remove			
2	A(D)-emergency feedwater pump actuation valves [EFS-MOV-103A(D)]	RAW/LPSD	reactor decay heat and RCS residual. This system is required after all initiating events exceeding large and medium LOCA. Accordingly, reliability of EFW system			
3	B,C-Emergency feedwater pumps [EFS-RPP-001B (C)]	RAW/CCF/LPSD	has significant impact on risk. Two trains share one emergency feedwater pit, which			
4	A,D-Emergency feedwater pumps [EFS-RPP-001A (D)]	FV/RAW/CCF/LPSD	has 50% capacity to perform cold shutdown. Large leak from SSCs or failure that result in degradation of water			
5	Feedwater line check valves [VLV-018A (B,C,D)]	RAW/CCF/LPSD	supply from EFW pit will lead to lack of EFW. In this case manual action to supply feedwater from Secondary			
6	EFW pump discharge line check valves [VLV-012A (B,C,D)]	RAW/CCF/LPSD	Demineralizer Water Tank is required. SSCs that have either of the following characteristics are risk significant.			
7	Minimum/Full flow line check valves [VLV-020A (B,C,D)] [VLV-022A (B,C,D)]	RAW/LPSD	- SSCs that have potential to cause common cause failures among multiple trains. Common cause failure of such system will result in loss of multiple			
8	Minimum/Full flow line manual valves [VLV-021A (B,C,D)] [VLV-023A (B,C,D)]	RAW/LPSD	 trains. SSCs that have potential to cause large leak or failure that result in degradation of water supply from 			
9	A~D-emergency feedwater control valves [EFS-MOV-017A (B,C,D))	RAW/LPSD	ccurs, manual action to supply feedwater from secondary demineralizer water tank will be required.			
10	A~D-emergency feedwater isolation valves [EFS-MOV-019A (B,C,D)]	RAW				

Table 17.4-1 Risk significant SSCs (sheet 9 of 34)

Tier 2

17.4-14

Revision 1

US-APWR Design Control Document

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
11	A~D-emergency feedwater line orifices [FE3716,3726,3736,3746]	RAW (FLOOD)	The "Insights and Assumptions" for these SSCs are described on the previous page.
12	A~D-emergency feedwater line tie- line valves [EFS-MOV-014A (B,C,D)]	RAW/CCF(FLOOD)	
13	EFW pit discharge line piping [TBD]	RAW/LPSD	
.14	EFW pit discharge line tie-line piping [TBD]	RAW(FLOOD)	
15	A~D-emergency feedwater line A(B,C,D) piping [TBD]	RAW(FLOOD)	
16	T/D pump steam supply line piping [TBD]	RAW/LPSD	
17	Minimum/Full flow line piping [TBD]	RAW/LPSD	
18	A,B-Emergency feedwater pits [EFS-RPT-001A(B)]	RAW/LPSD	
19	Minimum/Full flow line manual valves [VLV-026A (B)]	RAW/LPSD	
20	EFW pump suction line manual valves [VLV-009A (B,C,D)]	RAW/LPSD	
21	EFW pump discharge line manual valves [VLV-013A (B,C,D)]	RAW/LPSD	

Table 17.4-1 Risk significant SSCs (sheet 10 of 34)

٢

US-APWR Design Control Document

		1.4-1 NISK SIGHINGAN	(3008 (Sheet 11 01 34)
#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
22	EFW pit discharge line manual valves [VLV-007A (B)]	RAW/LPSD	The "Insights and Assumptions" for these SSCs are described on the previous page.
23	Secondary demineralizer water tank discharge line manual valves [VLV-006A (B)]	RAW/LPSD	
24	Secondary demineralizer water tank discharge line check valve [VLV-005]	RAW(FLOOD)	
25	EFW pit water level transmitter 1(2, 3, 4) [EFS-LT-3760, 3761, 3770, 3771]		

Table 17.4-1 Risk significant SSCs (sheet 11 of 34)

17.4-16

Revision 1

RAI 17.04-21 (This will be revised incorporating the results of the revised PRA model and the discussion of expert panel.) US-APWR Design Control Document

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions			
7		Emergency powe	r source (EPS)			
1	480V AC motor control center (MCC) buses [TBD]	RAW/LPSD	The EPS consists of four separate trains. Each safety train consists of one 6.9kV AC medium voltage bus and 480V AC low voltage buses (Load Centers, Motor			
2	480V AC load center buses [TBD]		to class 1E gas turbine generator. This system supports			
3	6.9kV buses [TBD]	RAW/EJ/LPSD	various safety mitigation systems and therefore, reliability of the EPS system has significant impact on risk.			
4	125V DC buses train A and D [TBD]	RAW/LPSD	Since the EPS consists of four separate trains, single			
5	125V DC buses train B and C [TBD]	RAW(L2)	failure in trains not significantly impact risk. However, failure of multiple trains is have significant impact on risk.			
6	120V buses train A-D [TBD]	RAW(L2/ FIRE)	Accordingly, SSCs that have potential to cause common cause failures among multiple trains are risk significant			
7	Swing MCC incomer circuit breakers [TBD]	RAW/CCF/LPSD				
8	Batteries [TBD]	RAW/CCF/LPSD				
9	6.9kV AC bus incomer circuit breakers [TBD]	FV/RAW/CCF/LPSD				
10	Gas turbine discharge circuit breakers [TBD]	RAW/CCF/LPSD FV/CCF(FIRE)				

US-APWR Design Control Document

17.4-17

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
11	Circuit breakers between 6.9kV bus and 6.9kV/480V safety power transformers [TBD]	RAW/CCF/LPSD	The "Insights and Assumptions" for these SSCs are described on the previous page.
12	MCC bus incomer circuit breakers [TBD]	RAW/CCF/LPSD	
13	Circuit breakers between 125V DC bus and Inverter [TBD]	RAW/CCF/LPSD	
14	Class 1E gas turbine generators [TBD]	FV/RAW/CCF /LPSD)	
15	Gas turbines generator sequencers [TBD]	RAW/CCF/LPSD FV(FIRE)	· · · · · · · · · · · · · · · · · · ·
16	Inverters [TBD]	RAW/CCF/LPSD	
17	Main transformers [TBD]	RAW(L2)	
18	6.9kV/480V safety power transformers [TBD]	RAW/LPSD	

US-APWR Design Control Document

Table 17.4-1 Risk significant SSCs (sheet 13 of 34)

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
8	All	ernative AC power sou	urces (Permanent bus)
1	Non-class 1E gas turbine generators [TBD]	FV/RAW/CCF /LPSD	Two non-safety buses called "Permanent bus", which is connected to Alternative AC (AAC), which consists of
2	480V permanent buses [TBD]	RAW(L2)	non-class 1E gas turbine generators respectively. Each non-class 1E gas turbine generators is manually
3	6.9kV permanent buses [TBD]	RAW(L2)	connected to two safety medium voltage buses via selector circuit under the occurrence of loss of safety AC
4	Circuit breakers between 6.9kV bus and 6.9kV/480V power transformer [TBD]	RAW(L2)	power. The AAC is a countermeasure against station blackout events.
5	Batteries [TBD]	RAW/CFF/LPSD	SSCs that have potential to cause failures that degrade the availability to supply AAC power to safety medium
6	Gas turbine generator discharge circuit breakers [TBD]	RAW/CCF/LPSD	voltage are risk significant. Systems for the mitigation of core damage accident are
7	AAC selector circuit breakers [TBD]	RAW/CCF/LPSD	connected to permanent bus.
8	Circuit breakers between 125V DC bus and Inverter [TBD]	RAW/CCF/LPSD	
9	Inverters [TBD]	RAW/CCF/LPSD	
10	Gas turbine generator sequencers	RAW/CCF/LPSD]
11	6.9kV/480V power transformers [TBD]	RAW/LPSD	

Table 17.4-1 Risk significant SSCs (sheet 14 of 34)

Tier 2

17.4-19

Revision 1

US-APWR Design Control Document

		.4-1 Risk significa	int 3505 (Sheet 15 01 54)
#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
9		Non-essential ch	illed water system
1	Non-essential chilled water system - CCWS boundary motor operated valves [TBD]	RAW/LPSD	In the case of loss of component cooling water events, non-essential chilled water system or fire suppression system provides alternative component cooling water to charging pumps in order maintain RCP seal water injection.
			These SSCs are risk significant because large external leak from these valves result in loss of alternative component cooling water from both non-essential chilled water system and fire suppression system. On the other hand, failure of other SSCs of this system affects only the non-essential chilled water system itself.

Table 17.4-1 Risk significant SSCs (sheet 15 of 34)

Tier 2

17.4-20

J,

Revision 1

US-APWR Design Control Document

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
10		Fire suppression	systems (FSS)
1	FSS pump discharge motor operated valve [TBD]	FV(L2)/RAW(L2)	In the case of core damage accident, Fire Suppression Systems (FSS) injects water from Raw Water Tank into the reactor cavity via the direct injection line by the fire
2	FSS pump discharge flow meter [TBD]	RAW(L2)	water pumps. The containment spray system and/or safety injection
3	Reactor cavity injection line orifice [TBD]	RAW(L2)	system perform the reactor cavity flooding through the drain line at loop compartment to prevent core-concrete interaction when the reactor vessel is failed. The Fire
4	FSS piping (from tank to tie line piping) [TBD]	RAW(L2)	suppression system performs as alternative function for the reactor cavity flooding.
5	Raw water tank [TBD]	RAW(L2)	In the case of loss of component cooling water events, fire suppression system or non-essential chilled water system provides alternative component cooling water to
6	FSS pump discharge manual valve [TBD]	RAW(L2)	charging pumps in order maintain RCP seal water injection.
7	FSS - CCWS Boundary motor operated valves [TBD]	RAW/LPSD	Large external leak from these valves result in loss of alternative component cooling water from both non- essential chilled water system and fire suppression system. On the other hand, external leak from other SSCs degrade the fire suppression system but the non- essential chilled water system is still available for alternative component cooling. Therefore these valves are risk significant SSCs in preventing core damage.

Table 17.4-1 Risk significant SSCs (sheet 16 of 34)

Tier 2

17.4-21

Revision 1

US-APWR Design Control Document

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
11		High head safety in	njection system
1	Safety injection pump discharge check valves [VLV-004A (B,C,D)]	FV/RAW/CCF/LPSD	In the case of LOCA, high head safety injection system injects coolant from refueling water storage pit (RWSP) into the reactor vessel via the Direct Vessel Injection
2	Safety injection pump outlet orifices 1A(B,C,D) [FE962(963,964,965)]	RAW(FLOOD)	(DVI) line by the safety injection pumps. This system is also essential for bleed and feed operation.
3	Minimum flow line orifices 3 <u>A(B,C,</u> (D) [FE972(973,974,975)]	RAW(FLOOD)	Since this system consists of four independent trains, failure of one train does not have significant impact on risk. However, failures of SSCs that impact multiple
4	Containment isolation check valves [VLV-010A (B,C,D)]	RAW/CCF/LPSD	trains are risk significant.
5	Containment isolation motor operated valves [MOV-011 A(B,C,D)]	RAW(FLOOD) FV(FLOOD)	SSCs that have either of the following characteristics are risk significant. - SSCs that have potential to cause common cause
6	RV injection line orifices (between VLV-012 A(B,C,D) and MOV-0011 A(B,C,D))	RAW(FLOOD)	failures among multiple trains. Common cause failure of such system will result in loss of multiple trains.
7	Injection line secondary isolation check valves [VLV-012A (B.C.D)]	RAW/CCF/LPSD	 SSCs that have potential to cause loss of RWSP inventory out side the containment due to large external leaks. Loss of RWSP inventory impacts not
8	Injection line boundary check valves [VLV-013A (B,C,D)]	RAW/CCF/LPSD	only all four trains of high head safety injection system but also other systems that use RWSP as
9	A~D-Safety injection pumps [SIS-RPP-001A (B,C,D)]	FV/RAW/CCF/LPSD	water source.
10	Containment isolation motor operated valves [MOV-009A (B,C,D)]	RAW FV(FLOOD)	

Table 17.4-1 Risk significant SSCs (sheet 17 of 34)

Tier 2

17.4-22

Revision 1

_

RAI 17.04.33 (This will be revised incorporating the discusion of the expert panel.)

~

US-APWR Design Control Document

# Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions	
I1 Containment isolation motor operated valves Safety injection pump suction Isolation valves [MOV-001A(B,C,D)] Image: Safety Safety <td< th=""><th>RAW/LPSD FV(FLOOD)</th><th>The "Insights and Assumptions" for these SSCs described on the previous page.</th><th>are</th></td<>	RAW/LPSD FV(FLOOD)	The "Insights and Assumptions" for these SSCs described on the previous page.	are
12 Piping	RAW/LPSD	1	
13 Minimum flow line orifices (next to VLV-L023 A(B,C,D))	RAW(FLOOD)		
I4 Minimum flow line manual valves [VLV-024 A(B,C,D)]	RAW(FLOOD)		
15 Minimum flow line manual valves [VLV-023 A(B,C,D)]	RAW(FLOOD)		
16 A(B,C,D)-Hot leg recirculation line isolation valves [MOV-014 A(B,C,D)]	RAW(FLOOD)		
· · ·			
· ·			

Table 17.4-1 Risk significant SSCs (sheet 18 of 34)

17.4-23

Revision 1

.

For Item 11 RAI 17.04-34 (This will be revised incorporating the discussion of the expert panel.)

For Item 16 RAI 17.04-22 (This will be revised incorporating the discussion of the expert panel.)

US-APWR Design Control Document

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
12	Heating	g, ventilation, and air o	conditioning (HVAC) system
1	B,C-Emergency feedwater pump room fans [VRS-RFN-401B,C]	RAW/CCF/LPSD FV(FLOOD)	 EFW M/D pump room fans maintain room temperature when pumps are running. EFW M/D pumps are assume to be unavailable within the mission time without room cooling due to high room temperature. HVAC systems of other rooms are considered not to be risk significant for the following reasons. HVAC of emergency gas turbine room Gas turbine units itself has function to intake oute air to remove heat out to atmosphere. Accordingly HVAC is considered not essential to maintain gaturbine function. HVAC of ESF room (RHR/CSS pump, SI pump) According to room temperature analysis, room temperature will not exceeds limit of the system during the mission time regardless of availability of HVAC. HVAC of class1E electric power room (Class 1 I&C, switch gear, battery, battery charger) This system is running during normal operation and continues to run after initiating events. Reliability of normally operating HVAC systems are considered to be high and failure of this system is unlikely to occur during the mission time. HVAC of EFW T/D pump room Since T/D driven EFW pump room can operation under high room temperature conditions, they are assumed to be available regardless of room cooling to provide the system is assumed to be available regardless of room cooling to provide the system is assumed to be available regardless of room cooling to provide the system is assumed to be available regardless of room cooling to provide the system is assumed to be available regardless of room cooling to provide the provide to be available regardless of room cooling to provide the provide the provide the system is assumed to be available regardless of room cooling to provide the provide to be available regardless of room cooling to be available regardless of room cooling to provide the provide the provide to be available regardless of room cooling to provide the provide to be available regardless of room cooling to provide the provide to be available regardless of room cooling to provide the pro

Table 17.4-1 Risk significant SSCs (sheet 19 of 34)

Tier 2

17.4-24

Revision 1

US-APWR Design Control Document

.

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
13		Containment far	i cooler system
1	Containment fan cooler [VCS-CAH-001A (B,C,D)]	EP	Temperature control of Containment Vessel atmosphere is judged important by experts from a point of view of keeping function of safety components in Containment Vessel.
14		Main control roor	n HVAC system
1	Main control room air handling unit [VRS-RAH-101A (B,C,D)]	EP	Temperature control of main control room atmosphere is judged important by experts from the viewpoint of operator habitability during an accident.

Table 17.4-1 Risk significant SSCs (sheet 20 of 34)

US-APWR Design Control Document

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
15		Instrumentation and c	ontrol (I&C) system
1	Permanent bus low voltage signal software	RAW/CCF	This software provides start signal to non-class 1E gas turbine generator. Under SBO, This software must operate in order to backup of the safety bus by AAC power source.
2	Component cooling water system train isolation signal software	RAW/CCF	SSCs that have potential to cause common cause failure of signals are risk significant since such failure may
3	SG isolation signal software	RAW/CCF	result in loss of total system function.
4	Engineered safety features actuation	RAW/CCF	FFW T/D pump start signals are risk significant since
5	SG(EEW) isolation signals	RAW/CCF	such failure results in loss of one of two available EFW
6	Main steam line isolation signal software	RAW/CCF	pumps under, SBO and loss of EFW room cooling conditions.
7	Black out signal software	RAW/CCF	1
8	CCW start signals	RAW(L2,FLOOD)	Reliability of signals other than "S signal" is assumed to
9	Containment pressure sensors [TBD]	RAW(L2)/CCF(L2)	have same reliability with "P signal".
10	A~D-Emergency feed water pump start signals	RAW	
11	EFW pump start signal software	RAW/CCF	
12	Diverse actuation system	EJ	The unreliability of this system is assumed to be 0.01.

Table 17.4-1 Risk significant SSCs (sheet 21 of 34)

17.4-26

Revision 1

US-APWR Design Control Document

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
16		Waste managemer	nt system (WMS)
1	Refueling water storage (RWS) system – WMS line boundary check valve [VLV-037]	RAW	Large External leak of the boundary check valve results in loss of inventory from the RWS system. Systems that relies on the RWS as water source is affected by this failure mode.
17	Main feedwater system (MFWS)		
1	Main feedwater system	RAW	The Main feedwater system is credited as a function to secondary side cooling during general transients, which does not involve loss of main feedwater.

Table 17.4-1 Risk significant SSCs (sheet 22 of 34)

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
18		Main steam suppl	y system (MSS)
1	A~D-Main steam isolation valves [NMS-AOV-515A (B,C,D)]	RAW/CCF FV/CCF(FIRE)	Main steam isolation valve isolates the ruptured Steam Generator (SG) at the Steam Generator Tube Rupture
2	A~D-Main steam bypass isolation valves [NMS-HCV-3615,3625,3635,3645]	RAW(L2)	(SGTR). In case of secondary line break, main steam isolation is required to prevent unlimited steam release. Main steam line piping is required to be intact to isolate
3	Main steam line piping	RAW	the ruptured SG at SGTR events.
4	Main steam line isolation check valve s A(B,C and D) [VLV-516A(B,C and D)]	RAW(FIRE)	
5	A1~A2-Main steam safety valves B1~B2-Main steam safety valves C1~C2-Main steam safety valves D1~D2-Main steam safety valves [NMS-VLV-509A (B,C,D)] [NMS-VLV-510A (B,C,D)]	RAW(L2)	
6	A,B,C,D,E,F,G,H,J,K,L,M,N,P,Q- Turbine bypass valves [NMS-TCV- 500A(B,C,D,E,F,G,H,J,K,L,M,N, P,Q)]	RAW(L2)	

 Table 17.4-1
 Risk significant SSCs (sheet 23 of 34)

17.4-28

Revision 1

US-APWR Design Control Document

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
19	Pressurizer pressu	re control system part of e	emergency core cooling system (ECCS)
1	A(B)Safety depressurization valves [RCS-MOV-117A(B)]	RAW/CCF FV/CCF(FLOOD,FIRE)	Safety Depressurization Valves (SDVs) are required to open during bleed and feed operation.
2	A(B) -Safety depressurization valves [RCS-MOV-116 A(B)]	RAW(FLOOD,FIRE)	Pressurizer safety valves releases RCS pressure in case of high RCS pressure. Failure of safety valves to
3	A~D-Pressurizer safety valves [RCS-VLV-120] [RCS-VLV-121] [RCS-VLV-122] [RCS-VLV-123]	RAW	re-close results in loss of primary coolant.
20		Depressurization system	for severe accident
1	Depressurization valves [RCS-MOV-118] [RCS-MOV-119]	FV(L2)	In the case of core damage accident, depressurization of the reactor coolant system is required to prevent high pressure melt ejection and direct containment heating.

Table 17.4-1 Risk significant SSCs (sheet 24 of 34)

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
21	Containm	nent spray / residual he	eat removal (CS/RHR) system
1	Heat exchanger bypass valves [FCV-604] [FCV-636]	RAW/LPSD	The Containment Spray / Residual Heat Removal (CS/RHR) System consists of four independent trains. The CS/RHR System has the following four functions.
2	RHR line heat exchanger discharge air operated valves [FCV-603] [FCV-633]	RAW/LPSD	 a. Containment Spray b. Alternative Core Cooling c. RHR Operation during operating modes 4 , 5 and 6
3	Pump suction line check valves [VLV-004A (B,C,D)]	RAW/CCF/LPSD	Since CS/RHR system consists of four independent trains, failure of one train does not have significant
4	RHR line containment isolation check valves [VLV-022A (B,C,D)]	RAW/CCF/LPSD	impact on risk. However, failures of SSCs that impact multiple trains are risk significant.
5	RHR line containment isolation motor operated valves [MOV-021A (B.C.D)]	RAW/CCF/LPSD	SSCs that have either of the following characteristics are risk significant. - SSCs that have potential to cause common cause
6	A~D-Containment spray/residual heat removal pumps [RHS-RPP-001A (B,C,D)]	RAW/CCF/LPSD FV(FLOOD)	failures among multiple trains. Common cause failure of such system will result in loss of multiple trains.
7	A~D-Containment spray/residual heat removal heat exchangers [RHS-RHX-001A (B,C,D)]	RAW/CCF/LPSD	 SSCs that have potential to cause loss of RWSP inventory out side the containment due to large external leaks. Loss of RWSP inventory impacts not
8	RHR line boundary check valves [VLV-028A (B,C,D)]	RAW/LPSD	systems that use RWSP as water source.
9	RWSP discharge line isolation valves [TBD]	RAW	

Table 17.4-1 Risk significant SSCs (sheet 25 of 34)

US-APWR Design Control Document

	Table 17.4-1 Kisk significant 55Cs (sheet 20 01 54)				
#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions		
10	CS line containment isolation motor operated valves [MOV-004A (B,C,D)]	RAW FV(FLOOD)	The "Insights and Assumptions" for these SSCs are described on the previous page.		
11	A~D-CS line check valves [VLV-005A(B,C,D)]	RAW/CCF(FLOOD)			
12	Piping [TBD]	RAW			
13	CS line heat exchanger discharge manual valves [VLV-002A (B,C,D)]	RAW	1		
14	Minimum flow line manual valves [VLV-13A (B,C,D)]	RAW			
15	CS/RHR - spent fuel pit boundary manual valves (discharge line) [VLV-031A (D))	RAW			
16	From FSS to CSS tie line check valve [VLV-012]	RAW(L2)	These valves are required to open to perform firewater injection from FSS to the spray header.		
17	From FSS to CSS tie line motor operated valve [CSS-MOV-011]	FV(L2)/RAW(L2)			
18	CS/RHR - spent fuel pit boundary manual valves (suction line) [VLV-034A (D)]	RAW/LPSD	These valves are required to open to perform gravitational injection from the spent fuel pit to the RCS when RCS is atmospheric pressure at LPSD operation.		
19	CS/RHR - spent fuel pit boundary manual valves (suction line) [VLV-33A(D)]	LPSD			

Table 17.4-1 Risk significant SSCs (sheet 26 of 34)

Revision 1

US-APWR Design Control Document

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
20	CS/RHR pump hot leg suction isolation valves [MOV-001A(B,C,D)] [MOV-002A(B,C,D)]	LPSD	Failure of these valves result in loss of RHR during LPSD
21	RCS cold leg injection line motor operated valves [MOV-026A(B,C,D)]	LPSD	
22	RCS cold leg injection line check valves [VLV-027A(B,C,D)] [VLV-028A(B,C,D)]	LPSD	

Table 17.4-1 Risk significant SSCs (sheet 27 of 34)

US-APWR Design Control Document

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions		
22		Refueling water sto	rage system (RWS)		
1	Refueling water storage pit (RWSP) sump strainers [TBD]	FV/RAW/CCF	The RWSP is the source of borated water for containment spray and safety injection. During LPSD operation, RWSS has the following functions.		
2	Refueling water storage pit [RWS-CPT-001]	RAW	a. Refill refueling water auxiliary tank (RWAT) for RCS injection via charging pumps.		
3	Refueling water recirculation pump suction line manual valves [VLV-006A (B)]	RAW/LPSD	b. Refill SFP for gravitational injection to RCS. SSCs that have either of the following characteristics are		
4	Refueling water recirculation pump discharge line check valves [VLV-012A (B)]	RAW/LPSD	risk significant. SSCs that have potential to cause common cause failures among multiple trains. Sump strainers have 		
5	Refueling water recirculation pump discharge line manual valves [VLV-013A (B)]	RAW/LPSD	 potential of sump screen, which may occur in multiple trains. SSCs that have potential to cause resulting loss of 		
6	RWSP discharge line containment isolation motor operated valves [MOV-002] [MOV-004]	RAW/LPSD	RWSP inventory out side the containment due to large external leaks are risk significant, since such failure impacts all systems that use RWSP as water source.		
7	A,B-Refueling water recirculation pumps [RWS-RPP-001A (B)]	RAW/LPSD	SSCs that have potential to cause failure to supply RWSP water to RWAT or SFP during LPSD operation		
8	RWSP discharge line manual valve [VLV-001]	RAW/LPSD	are also considered risk significant.		
9	Refueling water recirculation pump suction cross tie line manual valve [VLV-005]	RAW/LPSD			

Table 17.4-1 Risk significant SSCs (sheet 28 of 34)

Tier 2

17.4-33

Revision 1

US-APWR Design Control Document

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
10	Refueling water recirculation pump discharge cross tie line manual valve [VLV-014]	RAW/LPSD	The "Insights and Assumptions" for these SSCs are described on the previous page.
<u>11</u>	Refueling water storage auxiliary tank [RWS-OTK-002]	LPSD	
11 <u>12</u>	Refueling water auxiliary tank inlet line manual valve [VLV-052]	RAW/LPSD	
<u>1213</u>	Refueling water auxiliary tank discharge line manual valve [VLV-101]	RAW/LPSD	
13 <u>14</u>	Refueling water auxiliary tank suction line manual valves [VLV-021] [VLV-051]	LPSD	
<u>15</u>	RWSAT line orifice [TBD : downstream side of VLV-021]	LPSD	
14 <u>16</u>	RWSP suction line containment isolation air operated valve [AOV-022]	LPSD	
	RAI 17.04-2 (This will be revised incorporati the discuss of the expe panel.)		·

Table 17.4-1 Risk significant SSCs (sheet 29 of 34)

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions
23		Reactor protection	n system (RPS)
1	Reactor trip breakers [TBD]	RAW/CCF	These systems are necessary to provide negative reactivity for plan t trip.
2	Control rod (rod injection) [TBD]	FV/RAW/CCF	
24		Chilled water sy	vstem (VWS)
1	Chiller units train B and C [TBD]	FV/RAW/CCF/LPSD	The safety related water system supplies chilled water to safety related HVAC systems. SSCs that have potential to cause common cause failures among trains B and C are risk significant since
2	Pumps train B and C [TBD]	RAW/CCF/LPSD	such failures results in loss room cooling in M/D EVVF pump area. SSCs that compose train A and D are not risk significant because the PRA assumes only the M/D EFW pumps to be dependent on room cooling during the mission time.

Table 17.4-1 Risk significant SSCs (sheet 30 of 34)

17.4-35

:

-

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions			
25		Essential service wate	er system (ESWS)			
1	Pump discharge line check valves [VLV-502A (B.C.D)]	RAW/CCF/LPSD	The essential service water system (ESWS) transfers heat from the CCW system as Ultimate Heat Sink (UHS).			
2	Essential service water pump motor cooling line check valves [VLV-602A (B,C,D)]	RAW/CCF/LPSD	This system supports the CCW system, which supports various safety and non-safety mitigation systems. Accordingly, reliability of CCWS EFW system has			
3	A~D-Essential service water pump [EWS-OPP-001A (B,C,D)]	FV/RAW/CCF/LPSD	significant impact on risk.			
4	A1,B1-Essential service water pump outlet strainers [EWS-OSR-001A (B)] A (B,C,D) -CCW heat exchanger inlet strainers[TBD]	RAW/LPSD	Since ESWS consists of four independent trains, failure of one train does not have significant impact on risk. However, failures of SSCs that impact multiple trains have risk significant impact on risk. Accordingly, SSCs that have potential to cause common cause failures			
5	A1~D1-Essential service water pump outlet strainers A2~D2-Essential service water pump outlet strainers [EWS-OSR-001A (B,C,D)] [EWS-OSR-002A (B,C,D)]	RAW/LPSD	among multiple trains are risk significant.			
6	Valves located in essential service water pump motor cooling line of train B & C [VLV-601B (C)]	RAW/LPSD				
7	ESW pump motor cooling line valves of train A & D [VLV-601A (D)]	RAW(L2)				

Table 17.4-1 Risk significant SSCs (sheet 31 of 34)

Tier 2

17.4-36

Revision 1

RAI 17.04-26 (This will be revised incorporating the discussion of the expert panel.)

US-APWR Design Control Document

8 <u>9</u>	Orifices located in essential service water <u>ESW</u> pump motor cooling line					
<u>9</u>	transmitters of train A, B & C and D [FT-2060,2061,2062 and 2063]		The "Insights and Assumptions" described on the previous page.	for these	SSCs	are
	ESW pump motor cooling line orifices of train A,B,C and D [TBD]	RAW/LPSD				
9<u>10</u>	Main piping orifices of train B and <u>DC[</u> FE2025 , FE2026]	RAW/LPSD				
10 <u>11</u>	Main piping orifices of train A and D [FE2024, FE2027]	RAW(L2)				
H <u>12</u>	Main piping valves of train B and C [MOV-503B (C)] [VLV-506B (C)] [VLV-507B (C)] [VLV508B (C)] [VLV-509B (C)] [VLV-511B (C)] [VLV-514B (C)] [VLV-517B (C)] [VLV-520B (C)]	RAW/LPSD				
12 <u>13</u>	Main piping valves of train A and D [MOV-503A (D)] [VLV506A (D)] [VLV-507A (D)] [VLV-509A (D)] [VLV-509A (D)] [VLV-511A (D)] [VLV-514A (D)] [VLV-517A (D)] [VLV-520A (D)] Piping of train B and C [TBD]	RAW(L2)				
	Piping of train B and C [IBD]	RAW/LPSD				

Table 17.4-1 Risk significant SSCs (sheet 32 of 34)

Tier 2

17.4-37

Revision 1

em10 7.04-28

trona terms and the second sec

#	Systems, Structures and Components (SSCs)	Rationale ⁽¹⁾	Insights and Assumptions		
26	Spent fuel pit cooling and purification system (SFPCS)				
1	RWS – SFP inlet line boundary check valves [VLV-027]	RAW/LPSD	Large External leak of valves that form boundary between RWS result in loss of inventory of the RWS system. Accordingly, systems that relies on the RWS as		
2	RWS – SFP inlet line manual valve [VLV-028]	RAW/LPSD	water source is affected by failure of these valves.		
3	RWS – SFP demineralizer line boundary manual valves [VLV-103A (B)]	RAW	During RCS is atmospheric pressure at LPSD operation, the spent fuel pit is used as water source of gravitational injection in case loss of decay heat removal function		
4	RWS – SFP inlet line manual valves [VLV-029] [VLV-015] [VLV-017]	LPSD	occurs. SSCs associated with gravitational injection line are considered to be risk significant.		
5	Spent fuel pit [RPT-001]	LPSD			
6	A~D-Spent fuel pit strainers [SFS-RSR-001A (B,C,D)]	LPSD			
7	Spent fuel pit discharge line manual valves [VLV-021A(D)]	LPSD			
8	Spent fuel pit discharge cross tie-line manual valve [VLV-022]	LPSD			

Table 17.4-1 Risk significant SSCs (sheet 33 of 34)

Tier 2

17.4-38

Revision 1

US-APWR Design Control Document

Table 17.4-1 Risk significant SSCs (sheet 34 of 34)

N	otes
1.	

Definition of Rationale Terms:	CCF(L2) = Common Cause Failure for L2
CCF = Common Cause Failure	LPSD =Low Power and Shut Down Operation
FV = Fussell-Vesely	EJ = Engineering Judge
RAW = Risk Achievement Worth	FLOOD = FLOOD Event
FV(L2) = Fussell-Vesely for L2	FIRE = FIRE Event
RAW(L2) = Risk Achievement Worth for L2	EP = Expert Panel

US-APWR Design Control Document

17.4.8 ITAAC for the D-RAP

Tier 1 ITAAC are proposed to verify that the D-RAP provides reasonable assurance that the design of SSCs within the scope of the RAP is consistent with their assumed design reliability. The list of risk-significant SSCs for ITAAC will be prepared by introducing the plant's site-specific information to the list shown in Table 17.4-1 in the Phase II of the D-RAP. The ITAAC acceptance criteria are established to ensure that the estimated reliability of each as-built SSC is at least equal to the assumed design reliability and that industry experience including operations, maintenance, and monitoring activities were assessed in estimating the reliability of these SSCs.

17.4.9 Combined License Information

COL 17.4(1)	The COL Applicant shall be responsible for the development and	
	implementation of the Phases II and III of the D-RAP, including QA	SPLA 1676-036
	requirements. In the Phase II, the plant's site-specific information	
	should be introduced to the D-RAP process and the site-specific risk-	SPLA 1474-011
	significant SSCs should be combined with the US-APWR design risk-	
	significant SSCs into a list for the specific plant. Phase II is performed	SPLA 1676-036
	during the COL application phase and updated/maintained during the	
	COL license holder phase. In the Phase III, procurement, fabrication,	
	construction, and test specifications for the SSCs within the scope of	
	the RAP should ensure that significant assumptions, such as	
	equipment reliability, are realistic and achievable. The QA	
	requirements should be implemented during the procurement,	
	fabrication, construction, and pre-operation testing of the SSCs within	
	the scope of the RAP. Phase III is performed during the COL license	SPLA 1676-036
	holder phase and prior to initial fuel loading. The COL applicant will	
	propose a method by which it will incorporate the objectives of the	
	reliability assurance program into other programs for design or	
	operational errors that degrade nonsafety-related, risk-significant	
	<u>SSCs.</u>	
COI 17.4(2)	The COL Applicant shall be responsible for the development and	
002 //./(2)	implementation of the O-RAP in which the RAP activities should be	
	integrated into the existing operational program (i.e. Maintenance	
	Rule surveillance testing in-service inspection in-service testing and	
	QA). The O-RAP should also include the process for providing	
	corrective actions for design and operational errors that degrade non-	
	safety-related SSCs within the scope of the RAP. A description of the	SPI & 1676-036
	proposed method for developing/integrating the operational RAP into	01 LA 1070-000
	operating plant programs (e.g., maintenance rule, quality assurance)	
	is performed during the COL application phase. The	
	development/integration of the operational RAP is performed during	
	the COL license holder phase and prior to initial fuel loading. All SSCs	SPLA 1474-010
	identified as risk-significant within the scope of the D-RAP should be	
	categorized as high-safety-significant (HSS) within the scope of initial	
	Maintenance Rule.	

17.4.10 References

- 17.4-1 "Policy and Technical Issues Associated with the Regulatory Treatment of Non-Safety Systems (RTNSS) in Passive Plant Design," SECY 95-132, U.S. Nuclear Regulatory Commission, Washington, DC, May 1995.
- 17.4.2 "Quality Assurance Program (QAP) Description For Design Certification of SPLA 1676-039 the US-APWR (PQD-HD-19005 Rev.2)"
- 17.4-<u>23</u> 'Requirements for Monitoring the Effectiveness of Maintenance at Nuclear SPLA 1676-039 Power Plants,' "Domestic Licensing of Production and Utilization Facilities," <u>Energy</u>. Title 10, Code of Federal Regulations, Part 50.65, U.S. Nuclear Regulatory Commission, Washington, DC.

Quality Assurance Program Description 17.5

For the Design Certification phase, the MHI-NESH US-APWR Project Quality Assurance Program (QAP) is the top-level policy document that establishes the quality assurance policy and assigns major functional responsibilities for plants designed by MHI-NESH. The QAP describes the methods and establishes QAP and administrative control requirements, described in "Quality Assurance Program (QAP) Description For Design Certification of the US-APWR (PQD-HD-19005 Rev. <u>42</u>)" (Ref 17.5.5-4), that meet 10 SPLA 1676-039 CFR Part 50, Appendix B and 10 CFR Part 52. The QAP is based on the requirements of ASME NQA-1-1994, "Quality Assurance Requirements for Nuclear Facility Applications," Parts I and II, as specified in Ref.17.5.5-4.

The MHI QAPD for the Design Certification Phase has been prepared on the basis of the NRC approved QAP template (NEI, 06-14A Rev.4 and earlier revisions) (Ref 17.5.5-3) prepared by the Nuclear Energy Institute and has been evaluated against the SRP. The MHI QAPD provides the QAP controls implemented. MHI performed the comparison of SRP (Mar. 2007) (Ref 17.5.5-2) and draft SRP (Sept. 2006) (Ref 17.5.5-1) which was used as a reference for the MHI QAPD and determined that there is no impact to the MHI QAPD.

Business policies of MHI-NESH establish high level responsibilities and authority for carrying out administrative functions which are outside the scope of the QAP.

Procedures establish practices for certain activities which are common to all MHI-NESH organizations performing those activities such that the activity is controlled and carried out in a manner that meets QAP requirements. Organization specific procedures establish detailed implementation requirements and methods, and may be used to implement the business policies of MHI-NESH or be unique to particular functions or work activities.

The COL applicant is responsible for development a Quality Assurance Program Description during design other than the Design Certification, construction and operation.

17.5.1 Combined License Information

The COL applicant shall develop and implement the design other than COL 17.5(1) the Design Certification, construction and operational QAP that also covers the activities described in Section 17.5.

17.5.2 References

- "Draft Standard Review Plan (SRP) 17.5 dated September 22, 2006" 17.5.5-1
- 17.5.5-2 "Standard Review Plan (SRP) 17.5 March 2007"
- 17.5.5-3 "Quality Assurance Program Description (NEI 06-14A Rev.4 and earlier versions)"
- "Quality Assurance Program (QAP) Description For Design Certification of 17.5.5-4 the US-APWR (PQD-HD-19005 Rev. 42)"

SPLA 1676-039

Tier 2

17.6 Description of the Applicant's Program for Implementation of 10 CFR 50.65, the Maintenance Rule

The COL Applicant is responsible for development of the program for implementation of 10 CFR 50.65, the Maintenance Rule.

17.6.1 Combined License Information

COL 17.6(1) The COL applicant develops and implements the program for implementation of 10 CFR 50.65, the Maintenance Rule.