

CHAPTER 3

DESIGN OF STRUCTURES, COMPONENTS, EQUIPMENT AND SYSTEMS

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CHAPTER 3

DESIGN OF STRUCTURES, COMPONENTS, EQUIPMENT AND SYSTEMS

3.1 CONFORMANCE WITH NUCLEAR REGULATORY COMMISSION
GENERAL DESIGN CRITERIA

This **section** of the referenced DCD is incorporated by reference with no departures or supplements.

3.2 CLASSIFICATION OF STRUCTURES, COMPONENTS, AND SYSTEMS

This **section** of the referenced DCD is incorporated by reference with the following departures and/or supplements.

3.2.1 SEISMIC CLASSIFICATION

Add the following information to the end of DCD Subsection 3.2.1:

STD SUP 3.2-1 There are no safety-related structures, systems, or components outside the scope of the DCD. The nonsafety-related structures, systems and components outside the scope of the DCD are classified as non-seismic (NS).

3.2.2 AP1000 CLASSIFICATION SYSTEM

Add the following information to the end of DCD Subsection 3.2.2:

STD SUP 3.2-1 There are no safety-related structures, systems, or components outside the scope of the DCD.

3.3 WIND AND TORNADO LOADINGS

This **section** of the referenced DCD is incorporated by reference with the following departures and/or supplements.

3.3.1.1 Design Wind Velocity

Add the following information to the end of DCD Subsection 3.3.1.1:

WLS COL 3.3-1 The wind velocity characteristics for Lee Nuclear Station Units 1 and 2, are given in **Subsection 2.3.1.2.8**. These values are bounded by the design wind velocity values given in **DCD Subsection 3.3.1.1** for the AP1000 plant.

3.3.2.1 Applicable Design Parameters

Add the following information to the end of DCD Subsection 3.3.2.1:

WLS COL 3.3-1 The tornado characteristics for Lee Nuclear Station Units 1 and 2 are given in **Subsection 2.3.1.2.2**. These values are bounded by the tornado design parameters given in **DCD Subsection 3.3.2.1** for the AP1000 plant.

3.3.2.3 Effect of Failure of Structures or Components Not Designed for Tornado Loads

Add the following information to the end of DCD Subsection 3.3.2.3:

STD COL 3.3-1 Consideration of the effects of wind and tornado due to failures in an adjacent AP1000 plant are bounded by the evaluation of the buildings and structures in a single unit.

3.3.3 COMBINED LICENSE INFORMATION

Add the following information to the end of DCD Subsection 3.3.3:

WLS COL 3.3-1 The Lee Nuclear Station site satisfies the site interface criteria for wind and tornado (see **Subsections 3.3.1.1, 3.3.2.1, and 3.3.2.3**) and does not have a wind or tornado-initiated failure of structures and components within the applicant's

scope that compromises the safety of AP1000 safety-related structures and components (see also [Subsection 3.5.4](#)).

3.4 WATER LEVEL (FLOOD) DESIGN

This **section** of the referenced DCD is incorporated by reference with the following departures and/or supplements.

3.4.1.3 Permanent Dewatering System

Add the following information to the end of DCD Subsection 3.4.1.3:

WLS COL 3.4-1 No permanent dewatering system is required because site groundwater levels are two feet or more below site grade level as described in **Subsection 2.4.12.5**.

3.4.3 COMBINED LICENSE INFORMATION

Replace the first paragraph of DCD Subsection 3.4.3 with the following information:

WLS COL 3.4-1 The site-specific water levels given in **Section 2.4** satisfy the interface requirements identified in **DCD Section 2.4**.

3.5 MISSILE PROTECTION

This **section** of the referenced DCD is incorporated by reference with the following departures and/or supplements.

3.5.1.3 Turbine Missiles

Add the following information to the end of DCD Subsection 3.5.1.3:

STD SUP 3.5-1 The potential for a turbine missile from another AP1000 plant in close proximity has been considered. As noted in **DCD Subsection 10.2.2**, the probability of generation of a turbine missile (or P1 as identified in SRP 3.5.1.3) is less than 1×10^{-5} per year. This missile generation probability (P1) combined with an unfavorable orientation P2xP3 conservative product value of 10^{-2} (from SRP 3.5.1.3) results in a probability of unacceptable damage from turbine missiles (or P4 value) of less than 10^{-7} per year per plant which meets the SRP 3.5.1.3 acceptance criterion and the guidance of Regulatory Guide 1.115. Thus, neither the orientation of the side-by-side AP1000 turbines nor the separation distance is pertinent to meeting the turbine missile generation acceptance criterion. In addition, the reinforced concrete shield building and auxiliary building walls, roofs, and floors provide further conservative, inherent protection of the safety-related SSCs from a turbine missile.

STD SUP 3.5-2 The turbine system maintenance and inspection program is discussed in **Subsection 10.2.3.6**.

3.5.1.5 Missiles Generated by Events Near the Site

Add the following information to the end of DCD Subsection 3.5.1.5:

WLS COL 3.5-1 The gate house, administrative building, security control building, warehouse and shops, water service building, diesel-driven fire pump / enclosure, and miscellaneous structures are common structures that are at a nuclear power plant. They are of similar design and construction to those that are typical at nuclear power plants. Therefore, any missiles resulting from a tornado-initiated failure are not more energetic than the tornado missiles postulated for design of the AP1000.

The missiles generated by events near the site are discussed and evaluated in **Subsection 2.2.3**. The effects of external events on the safety-related components

of the plant are insignificant. The pressure effect of potential explosions in the vicinity of the plant site are estimated to result in less than 1 psi overpressure on plant structures. Therefore, these potential explosions do not represent design basis events per Regulatory Guide 1.91.

3.5.1.6 Aircraft Hazards

Add the following information to the end of DCD Subsection 3.5.1.6:

WLS COL 3.5-1 The approach and methodology outlined in NUREG-0800 Standard Review Plan (SRP) 3.5.1.6, "Aircraft Hazards," have been used in the calculation of the probability of an aircraft crash into the effective plant areas of the safety related structures on the site. In accordance with SRP 3.5.1.6, if the plant-to-airport distance (D) is between five and ten statute miles, and the projected annual number of operations is less than $500D^2$, or the plant-to-airport distance is greater than ten statute miles, and the projected annual number of operations is less than $1000D^2$, the aircraft hazard probability does not need to be calculated because it is considered to be less than an order of magnitude of 10^{-7} per year. If the plant is at least two statute miles beyond the nearest edge of a Federal airway, holding pattern, or approach pattern, the order of magnitude is considered 10^{-7} per year according to SRP 3.5.1.6, and the aircraft hazard probability does not need to be calculated. The aircraft handling facilities and air routes are described in [Subsection 2.2.2.7](#). The aircraft hazard probability developed from the total probability of an aircraft crash into the effective areas of the plant does not constitute a design basis event. The probability of aircraft accidents resulting in radiological consequences greater than the 10 CFR Part 100 exposure guidelines is based on the following:

- Charlotte/Douglas International Airport is located about 34.4 miles from Lee Nuclear Station. The average number of operations is approximately 502,152 operations per year, which is less than the acceptable projected annual number of operations of 1,183,360. Based on forecast for terminal area by Federal Aviation Administration (FAA), the number of Charlotte/Douglas International Airport operations for year 2025 is 767,691 operations per year. Assuming annual compound growth rate of two percent after year 2025, the acceptable projected annual number of operations of 1,183,360 will be reached at year 2046. This increases the number of annual operations over 236 percent from year 2007. Significant expansion of the existing airport facility or construction of a new airport will be required to accommodate this large an increase of air traffic. Thus, the aircraft hazard from this airport to the site is acceptable based on the maximum aircraft activity expected without significant changes to the airport facility.

- One federal airway passes within four miles of the plant site. Low altitude Airway V54 runs between Spartanburg Downtown Memorial Airport, South Carolina (SPA) located 26.1 miles from Lee Nuclear Station and Charlotte/Douglas International Airport, North Carolina (CLT) located 34.4 miles from Lee Nuclear Station. The average annual number of flights using Airway V54 is approximately 15 to 25 percent of the total airport operation. The FAA forecast number of Spartanburg Downtown Memorial Airport operation for year 2025 is approximately 73,000 operations per year. Based on annual compound growth rate of one percent from year 2025 to year 2060 for Spartanburg Downtown Memorial airport, the projected annual number of operations at year 2060 is approximately 103,412. The average annual number of flights for Airway V54 is assumed to be 25 percent of the total airport operation. Therefore, the annual number of flights for Airway V54 is assumed to be 25,853.
- No airports having more than 500D² movements per year are located within 10 miles of the site and no airports having more than 1000D² movements per year are located beyond 10 miles of the site.
- There are no military training routes within 10 miles of the site.

There is one private-use heliport, one private-use ultra-light aircraft airport, and one private-use single-engine airport within a twenty mile range from the site. Because these privately-owned heliport and airports are used for small aircraft, which are low weight, low airspeeds, and low penetration capability, these helicopters and light aircraft are not considered a significant hazard to the nuclear plant.

The analysis conservatively shows that the total probability of aircraft accidents that hit safety-related structures is less than 1.8×10^{-7} per year. This result meets the NRC staff objective of an order of magnitude of 10^{-7} per year, as stated in SRP 3.5.1.6 for meeting the requirements of 10 CFR Part 100 exposure guidelines. In addition, if the expected rate of exposure is an order of magnitude of 10^{-6} per year, and it can be shown with rigorous analysis, using realistic assumptions and reasonable arguments that the estimated probability could be lower, then, in accordance with SRP 2.2.3, it is acceptable.

The following conservatisms used in the analysis are summarized below:

- The only safety-related structures of the AP1000 design are the containment and the auxiliary building. The effective area of these structures is determined using a conservative model for each structure; these areas are added together. The containment was modeled as a rectangle with length and width equal to the diameter of the containment. This assumption will result in diagonal length of the containment greater than the actual diameter of the containment. The area and the diagonal length of the auxiliary building assume that the building is rectangular and

does not take credit that some of the area is containment. Credit is not taken for the overlap in these structures.

- The above total aircraft hazard probability at the site is obtained from aircraft crashing from the low altitude federal Airway V54 into the site. This low altitude route is primarily flown by small, light general aviation aircraft. Light general aviation aircraft are not considered a significant hazard to nuclear power stations because of their low airspeeds, short distance landing capability, high maneuverability and low penetration capability. In addition, the nuclear plant site is not an attractive emergency landing area. Plant protective features against tornado missiles, the inherent strength of the safety-related systems and structures such as containment and auxiliary building, as well as the diversity and redundancy of plant systems reduce the potential hazards to the facility from light aircraft operations to acceptably low levels.
- The heading of the crashing aircraft with respect to the facility is assumed to be the worst case perpendicular to the diagonal of the bounding rectangle regardless of direction of actual flights.
- Credit is not taken for nearby cooling towers, building structures, transmission lines, natural terrain features, etc. that would reduce the effective area of the safety related structures and prevent many disabled aircraft from reaching the critical structures.

As a result of the above conservatisms in the analysis, the aircraft crash hazard probability calculated for Lee Nuclear Station can qualitatively shown to be much lower than the calculated value. Therefore, the aircraft hazards at Lee Nuclear Station pose no undue risk to the health and safety of the public.

3.5.4 COMBINED LICENSE INFORMATION

WLS COL 3.5-1 This COL Item is addressed in [Subsections 3.5.1.5](#) and [3.5.1.6](#).

3.6 PROTECTION AGAINST THE DYNAMIC EFFECTS ASSOCIATED WITH THE POSTULATED RUPTURE OF PIPING

This **section** of the referenced DCD is incorporated by reference with the following departures and/or supplements.

3.6.4.1 Pipe Break Hazard Analysis

Replace the last paragraph in DCD Subsection 3.6.4.1 with the following information:

STD COL 3.6-1 A pipe rupture hazard analysis is part of the piping design. It is used to identify postulated break locations and layout changes, support design, whip restraint design, and jet shield design. The final design for these activities will be completed prior to fabrication and installation of the piping and connected components. The as-built reconciliation of the pipe break hazards analysis in accordance with the criteria outlined in **DCD Subsection 3.6.1.3.2** and **3.6.2.5** will be completed prior to fuel load.

3.6.4.4 Primary System Inspection Program for Leak-before-Break Piping

Replace the first paragraph of DCD Subsection 3.6.4.4 with the following information:

STD COL 3.6-4 Alloy 690 is not used in leak-before-break piping. No additional or augmented inspections are required beyond the inservice inspection program for leak-before-break piping. An as-built verification of the leak-before-break piping is required to verify that no change was introduced that would invalidate the conclusion reached in this subsection.

3.7 SEISMIC DESIGN

This [section](#) of the referenced DCD is incorporated by reference with the following departures and/or supplements.

Add Subsection 3.7.1.1.1 as follows:

3.7.1.1.1 Design Ground Motion Response Spectra

WLS SUP 3.7-3 Design ground motion response spectra for Lee Nuclear Station Unit 1 and Unit 2 nuclear islands are presented in this subsection. The foundation conditions at Lee Nuclear Station are unique in that the Unit 1 nuclear island foundation is supported on new and previously placed concrete materials placed directly over continuous rock. In contrast, the Unit 2 nuclear island foundation is configured more conventionally with the nuclear island founded directly over continuous rock. Based on these foundation conditions, individual design ground motion response spectra are provided for the certified design portion of the plant at Units 1 and 2.

Measured shear wave velocities for continuous rock underlying the Units 1 and 2 nuclear islands range from between 9000 to 10,000 fps, as described in [Subsection 2.5.4.7](#). The stability of subsurface materials including foundation conditions are described in [Subsection 2.5.4](#).

[Figures 3.7-201](#) and [3.7-202](#) compare the Units 1 and 2 horizontal and vertical site-specific design ground motion response spectra to the certified seismic design response spectrum (CSDRS) and the AP1000 generic hard rock spectrum (WEC). For Unit 1, the Foundation Input Response Spectrum (FIRS) defines the site response foundation input motion for the nuclear island foundation placed on concrete over continuous rock. Unit 1 FIRS represents the nuclear island centerline foundation input motion and is based on the GMRS developed at the top of a hypothetical outcrop (e.g. continuous rock) fixed at 530 feet (NAVD) transferred up through previously placed and new concrete materials to the basemat foundation level at 550.5 feet (NAVD). For Unit 2, the GMRS defines the site response foundation input motion developed at the top of a hypothetical outcrop of competent material (e.g. continuous rock) fixed at the basemat foundation level at 550.5 feet (NAVD).

Detailed discussions of the methods used to calculate the horizontal and vertical GMRS and FIRS are described in [Subsections 2.5.2.6](#), Ground Motion Response Spectra, and [2.5.2.7](#), Development of Foundation Response Spectra (FIRS). Variations in the Unit 1 FIRS and GMRS horizontal and vertical spectrum shown on [Figures 3.7-201](#) and [3.7-202](#) are attributed to the independent calculation methodologies used to estimate the site-specific design ground motion response spectra.

As shown on [Figure 3.7-201](#), the horizontal GMRS and Unit 1 FIRS exceed the horizontal CSDRS at frequencies of about 20 to 75 hertz and 20 to 85 hertz, respectively. PGA at 100 hertz of the GMRS and Unit 1 FIRS is 0.21 g and 0.24 g, respectively. As shown on [Figure 3.7-202](#), the vertical GMRS and Unit 1 FIRS exceed the vertical CSDRS at frequencies between about 25 to 70 hertz.

Similar high-frequency exceedances were evaluated by Westinghouse in **DCD Appendix 3I** using a standard hard rock spectrum (shown as WEC generic hard rock spectrum in **Figures 3.7-201** and **3.7-202**). In **Figures 3.7-201** and **3.7-202**, it can be seen that the horizontal and vertical GMRS and Unit 1 FIRS are below the corresponding horizontal and vertical WEC generic hard rock spectrum for all frequencies. As described in **DCD Appendix 3I**, generic hard rock spectrum high frequency exceedances are within the seismic design margin of the AP1000 and will not adversely affect the systems, structures, or components of the plant.

3.7.2.12 Methods for Seismic Analysis of Dams

Add the following information to the end of DCD Subsection 3.7.2.12:

- WLS COL 3.7-1 The evaluation of existing and new dams whose failure could affect the site interface flood level specified in **DCD Subsection 2.4.1.2** is included in **Subsection 2.4.4**.
-

3.7.4.1 Comparison with Regulatory Guide 1.12

Add the following information to the end of DCD Subsection 3.7.4.1:

- STD SUP 3.7-1 Administrative procedures define the maintenance and repair of the seismic instrumentation to keep the maximum number of instruments in-service during plant operation and shutdown in accordance with Regulatory Guide 1.12.
-

3.7.4.2.1 Triaxial Acceleration Sensors

Add the following information to the end of DCD Subsection 3.7.4.2.1:

- STD COL 3.7-5 A free-field sensor will be located and installed to record the ground surface motion representative of the site. It will be located such that the effects associated with surface features, buildings, and components on the recorded ground motion will be insignificant.
-

3.7.4.4 Comparison of Measured and Predicted Responses

Add the following information to the end of DCD Subsection 3.7.4.4:

- STD COL 3.7-2 Post-earthquake operating procedures utilize the guidance of EPRI Reports NP-5930, TR-100082, and NP-6695, as modified and endorsed by the NRC in Regulatory Guides 1.166 and 1.167. A response spectrum check up to 10Hz will be based on the foundation instrument. The cumulative absolute velocity will be calculated based on the recorded motions at the free field instrument. If the operating basis earthquake ground motion is exceeded or significant plant damage occurs, the plant must be shutdown in an orderly manner.
-

3.7.4.5 Tests and Inspections

Add the following information to the end of DCD Subsection 3.7.4.5:

- STD SUP 3.7-2 Installation and acceptance testing of the triaxial acceleration sensors described in [DCD Subsection 3.7.4.2.1](#) is completed prior to initial startup. Installation and acceptance testing of the time-history analyzer described in [DCD Subsection 3.7.4.2.2](#) is completed prior to initial startup.
-

3.7.5 COMBINED LICENSE INFORMATION

3.7.5.1 Seismic Analysis of Dams

- WLS COL 3.7-1 This COL Item is addressed in [Subsection 3.7.2.12](#).
-

3.7.5.2 Post-Earthquake Procedures

- STD COL 3.7-2 This COL Item is addressed in [Subsection 3.7.4.4](#).
-

3.7.5.3 Seismic Interaction Review

Replace DCD Subsection 3.7.5.3 with the following information:

STD COL 3.7-3 The seismic interaction review will be updated for as-built information. This review is performed in parallel with the seismic margin evaluation. The review is based on as-procured data, as well as the as-constructed condition. The as-built seismic interaction review is completed prior to fuel load.

3.7.5.4 Reconciliation of Seismic Analyses of Nuclear Island Structures

Replace DCD Subsection 3.7.5.4 with the following information:

STD COL 3.7-4 The seismic analyses described in **DCD Subsection 3.7.2** will be reconciled for detailed design changes, such as those due to as-procured or as-built changes in component mass, center of gravity, and support configuration based on as-procured equipment information. Deviations are acceptable based on an evaluation consistent with the methods and procedure of **DCD Section 3.7** provided the amplitude of the seismic floor response spectra, including the effect due to these deviations, does not exceed the design basis floor response spectra by more than 10 percent. This reconciliation will be completed prior to fuel load.

3.7.5.5 Free Field Acceleration Sensor

STD COL 3.7-5 This COL Item is addressed in **Subsection 3.7.4.2.1**.

3.8 DESIGN OF CATEGORY I STRUCTURES

This **section** of the referenced DCD is incorporated by reference with the following departures and/or supplements.

3.8.5.1 Description of the Foundations

Add the following information after paragraph one of DCD Subsection 3.8.5.1:

STD SUP 3.8-1 The depth of overburden and depth of embedment are given in **Subsection 2.5.4**.

3.9 MECHANICAL SYSTEMS AND COMPONENTS

This **section** of the referenced DCD is incorporated by reference with the following departures and/or supplements.

3.9.3.1.2 Loads for Class 1 Components, Core Support, and Component Supports

STD COL 3.9-5 Add the following after the last paragraph under DCD subheading Request 3) and prior to DCD subheading Other Applications.

PRESSURIZER SURGE LINE MONITORING

General

The pressurizer surge line is monitored at the first AP1000 plant to record temperature distributions and thermal displacements of the surge line piping, as well as pertinent plant parameters. This monitoring occurs during the hot functional testing and first fuel cycle. The resulting monitoring data is evaluated to verify that the pressurizer surge line is within the bounds of the analytical temperature distributions and displacements. The pressurizer surge line monitoring activities include the following methodology and requirements:

Monitoring Method

The pressurizer surge line pipe wall is instrumented with outside mounted temperature and displacement sensors. The data from this instrumentation is supplemented by plant computer data from related process and control parameters.

Locations to be Monitored

In addition to the existing permanent plant temperature instrumentation, temperature and displacement monitoring will be included at critical locations on the surge line.

Data Evaluation

Data evaluation is performed at the completion of the monitoring period (one fuel cycle). The evaluation includes a comparison of the data evaluation results with the thermal profiles and transient loadings defined for the pressurizer surge line, accounting for expected pipe outside wall temperatures. Interim evaluations of the data are performed during the hot functional testing period, up to the start of normal power operation, and again once three months worth of normal operating

data has been collected, to identify any unexpected conditions in the pressurizer surge line.

3.9.3.4.4 Inspection, Testing, Repair, and/or Replacement of Snubbers

Add the following text after the last paragraph of DCD Subsection 3.9.3.4.4:

- STD SUP 3.9-3 a. Snubber Design and Testing
1. A list of snubbers on systems which experience sufficient thermal movement to measure cold to hot position is included as part of the testing program after the piping analysis has been completed.
 2. The snubbers are tested to verify they can perform as required during the seismic events, and under anticipated operational transient loads or other mechanical loads associated with the design requirements for the plant. Production and qualification test programs for both hydraulic and mechanical snubbers are carried out by the snubber vendors in accordance with the snubber installation instruction manual required to be furnished by the snubber supplier. Acceptance criteria for compliance with ASME Section III Subsection NF, and other applicable codes, standards and requirements, are as follows:
 - Snubber production and qualification test programs are carried out by strict adherence to the manufacturer's snubber installation and instruction manual. This manual is prepared by the snubber manufacturer and subjected to review for compliance with the applicable provisions of the ASME Pressure Vessel and Piping Code of record. The test program is periodically audited during implementation for compliance.
 - Snubbers are inspected and tested for compliance with the design drawings and functional requirements of the procurement specifications.
 - Snubbers are inspected and qualification tested. No sampling methods are used in the qualification tests.
 - Snubbers are load rated by testing in accordance with the snubber manufacturer's testing program and in compliance with the applicable sections of ASME QME-1-2007, Subsection QDR and the ASME Code for Operation and Maintenance of Nuclear Power Plants (OM Code), Subsection ISTD.

- Design compliance of the snubbers per ASME Section III Paragraph NF-3128, and Subparagraphs NF-3411.3 and NF-3412.4.
 - The snubbers are tested for various abnormal environmental conditions. Upon completion of the abnormal environmental transient test, the snubber is tested dynamically at a frequency within a specified frequency range. The snubber must operate normally during the dynamic test. The functional parameters cited in Subparagraph NF-3412.4 are included in the snubber qualification and testing program. Other parameters in accordance with applicable ASME QME-1-2007 and the ASME OM Code will be incorporated.
 - The codes and standards used for snubber qualification and production testing are as follows:
 - ASME B&PV Code Section III (Code of Record date) and Subsection NF.
 - ASME QME-1-2007, Subsection QDR and ASME OM Code, Subsection ISTD.
 - Large bore hydraulic snubbers are full Service Level D load tested, including verifying bleed rates, control valve closure within the specified velocity ranges and drag forces/ breakaway forces are acceptable in accordance with ASME, QME-1-2007 and ASME OM Codes.
3. Safety-related components which utilize snubbers in their support systems will be identified in a future revision to the FSAR in table format that will include the following:
- identification of systems and components
 - number of snubbers utilized in each system and on that component
 - snubber type(s) – (hydraulic or mechanical) – and name of supplier
 - constructed to ASME Code Section III, Subsection NF or other
 - snubber use such as shock, vibration, or dual purpose
 - those snubbers identified as dual purpose or vibration arrestor type, will include an indication if both snubber and component were evaluated

b. Snubber Installation Requirements

Installation instructions contain instructions for storage, handling, erection, and adjustments (if necessary) of snubbers. Each snubber has an installation location drawing that contains the installation location of the snubber on the pipe and structure, the hot and cold settings, and additional information needed to install the particular snubber.

STD COL 3.9-3 The description of the snubber preservice and inservice testing programs in this section is based on the ASME OM Code 2001 Edition through 2003 Addenda. The initial inservice testing program incorporates the latest edition and addenda of the ASME OM Code approved in 10 CFR 50.55a(f) on the date 12 months before initial fuel load. Limitations and modifications set forth in 10 CFR 50.55a are incorporated.

c. Snubber Preservice Examination and Testing

The preservice examination plan for applicable snubbers is prepared in accordance with the requirements of the ASME Code for Operation and Maintenance of Nuclear Power Plants (OM Code), Subsection ISTD, and the additional requirements of this Section. This examination is made after snubber installation but not more than 6 months prior to initial system preoperational testing. The preservice examination verifies the following:

1. There are no visible signs of damage or impaired operational readiness as a result of storage, handling, or installation.
2. The snubber load rating, location, orientation, position setting, and configuration (attachments, extensions, etc.) are according to design drawings and specifications.
3. Snubbers are not seized, frozen or jammed.
4. Adequate swing clearance is provided to allow snubber movements.
5. If applicable, fluid is to the recommended level and is not to be leaking from the snubber system.
6. Structural connections such as pins, fasteners and other connecting hardware such as lock nuts, tabs, wire, cotter pins are installed correctly.

If the period between the initial preservice examination and initial system preoperational tests exceeds 6 months, reexamination of Items 1, 4, and 5 is performed. Snubbers, which are installed incorrectly or otherwise fail to meet the above requirements, are repaired or replaced and re-examined in accordance with the above criteria.

A preservice thermal movement examination is also performed, during initial system heatup and cooldown. For systems whose design operating temperature exceeds 250°F (121°C), snubber thermal movement is verified.

Additionally, preservice operational readiness testing is performed on snubbers. The operational readiness test is performed to verify the parameters of ISTD-5120. Snubbers that fail the preservice operational readiness test are evaluated to determine the cause of failure, and are retested following completion of corrective action(s).

Snubbers that are installed incorrectly or otherwise fail preservice testing requirements are re-installed correctly, adjusted, modified, repaired or replaced, as required. Preservice examination and testing is re-performed on installation-corrected, adjusted, modified, repaired or replaced snubbers as required.

d. Snubber Inservice Examination and Testing

Inservice examination and testing of safety-related snubbers is conducted in accordance with the requirements of the ASME OM Code, Subsection ISTD. Inservice examination is initially performed not less than two months after attaining 5% reactor power operation and is completed within 12 calendar months after attaining 5% reactor power. Subsequent examinations are performed at intervals defined by ISTD-4252 and Table ISTD-4252-1. Examination intervals, subsequent to the third interval, are adjusted based on the number of unacceptable snubbers identified in the current interval.

An inservice visual examination is performed on the snubbers to identify physical damage, leakage, corrosion, degradation, indication of binding, misalignment or deformation and potential defects generic to a particular design. Snubbers that do not meet visual examination requirements are evaluated to determine the root cause of the unacceptability, and appropriate corrective actions (e.g., snubber is adjusted, repaired, modified or replaced) are taken. Snubbers evaluated as unacceptable during visual examination may be accepted for continued service by successful completion of an operational readiness test.

Snubbers are tested inservice to determine operational readiness during each fuel cycle, beginning no sooner than 60 days before the start of the refueling outage. Snubber operational readiness tests are conducted with the snubber in the as-found condition, to the extent practicable, either in place or on a test bench, to verify the test parameters of ISTD-5210. When an in-place test or bench test cannot be performed, snubber subcomponents that control the parameters to be verified are examined and tested. Preservice examinations are performed on snubbers after reinstallation when bench testing is used (ISTD-5224), or on snubbers where individual subcomponents are reinstalled after examination (ISTD-5225).

Defined test plan groups (DTPG) are established and the snubbers of each DTPG are tested each fuel cycle according to an established sampling plan. Sample plan size and composition is determined as required for the selected sample plan, with additional sampling as may be required for that sample plan based on test failures and failure modes identified. Snubbers that do not meet test requirements are evaluated to determine root cause of the failure, and are assigned to failure mode groups (FMG) based on the evaluation, unless the failure is considered unexplained or isolated. The number of unexplained snubber failures, not assigned to a FMG, determines the additional testing sample. Isolated failures do not require additional testing. For unacceptable snubbers, additional testing is conducted for the DTPG or FMG until the appropriate sample plan completion criteria are satisfied.

Unacceptable snubbers are adjusted, repaired, modified or replaced. Replacement snubbers meet the requirements of ISTD-1600. Post-maintenance examination and testing, and examination and testing of repaired snubbers, is done to verify as acceptable the test parameters that may have been affected by the repair or maintenance activity.

Service life for snubbers is established, monitored and adjusted as required by ISTD-6000 and the guidance of ASME OM Code Nonmandatory Appendix F.

3.9.6 INSERVICE TESTING OF PUMPS AND VALVES

Revise the third sentence of the third paragraph of DCD Subsection 3.9.6, and add information between the third and fourth sentences as follows:

STD COL 3.9-4 The edition and addenda to be used for the inservice testing program are administratively controlled; the description of the inservice testing program in this section is based on the ASME OM Code 2001 Edition through 2003 Addenda. The initial inservice testing program incorporates the latest edition and addenda of the ASME OM Code approved in 10 CFR 50.55a(f) on the date 12 months before initial fuel load. Limitations and modifications set forth in 10 CFR 50.55a are incorporated.

Revise the fifth sentence of the sixth paragraph of DCD Subsection 3.9.6 as follows:

- STD COL 3.9-4 Alternate means of performing these tests and inspections that provide equivalent demonstration may be developed in the inservice test program ~~as described in subsection 3.9.8.~~

Revise the first two sentences of the final paragraph of DCD Subsection 3.9.6 to read as follows:

- STD COL 3.9-4 A preservice test program, which identifies the required functional testing, is to be submitted to the NRC prior to performing the tests and following the start of construction. The inservice test program, which identifies requirements for functional testing, is to be submitted to the NRC prior to the anticipated date of commercial operation as described above.

Add the following text after the last paragraph of DCD Subsection 3.9.6:

Table 13.4-201 provides milestones for preservice and inservice test program implementation.

3.9.6.2.2 Valve Testing

Add the following prior the initial paragraph of DCD Subsection 3.9.6.2.2:

- STD COL 3.9-4 Valve testing uses reference values determined from the results of preservice testing (PST) or inservice testing. These tests that establish reference and IST values are performed under conditions as near as practicable to those expected during the IST. Reference values are established only when a valve is known to be operating acceptably.

Pre-conditioning of valves or their associated actuators or controls prior to IST testing undermines the purpose of IST testing and is not allowed. Pre-conditioning includes manipulation, pre-testing, maintenance, lubrication, cleaning, exercising, stroking, operating, or disturbing the valve to be tested in any way, except as may occur in an unscheduled, unplanned, and unanticipated manner during normal operation.

Add the following sentence to the end of the fourth paragraph under the heading "Manual/Power-Operated Valve Tests":

- STD COL 3.9-4 Stroke time is measured and compared to the reference value, except for valves classified as fast-acting (e.g., solenoid-operated valves with stroke time less than 2 seconds), for which a stroke time limit of 2 seconds is assigned.

Add the following as a new last paragraph under the heading "Manual/Power-Operated Valve Tests":

- STD COL 3.9-4 During valve exercise tests, the necessary valve obturator movement is determined while observing an appropriate direct indicator, such as indicating lights that signal the required changes of obturator position, or by observing other evidence or positive means, such as changes in system pressure, flow, level, or temperature that reflects change of obturator position.

Add the following at the end of the last sentence of the paragraph containing the subheading "Power-Operated Valve Operability Tests" in DCD Subsection 3.9.6.2.2:

- STD COL 3.9-4 , and for motor-operated valves the JOG MOV PV study and ASME Code Case OMN-1 Revision 1

Table 13.4-201 provides milestones for the MOV program implementation.

Revise the first sentence of the second paragraph under the paragraph with subheading "Power-Operated Valve Operability Tests" in DCD Subsection 3.9.6.2.2 to read as follows:

- STD COL 3.9-4 Static and dynamic testing with diagnostic measurements will be performed on these valves as described below.

Insert the following as the last sentence in the paragraph under the bulleted item titled "Risk Ranking" in DCD Subsection 3.9.6.2.2:

- STD COL 3.9-4 Guidance for this process is outlined in the JOG MOV PV Study, MPR-2524-A (**Reference 201**).

Insert the following text after the last paragraph under the sub-heading of "Power-Operated Valve Operability Tests" and before the sub-heading "Check Valve Tests" in DCD Subsection 3.9.6.2.2:

STD COL 3.9-4 **Active MOV Test Frequency Determination** - The ability of a valve to meet its design basis functional requirements (i.e. required capability) is verified during valve qualification testing as required by procurement specifications. Requirements for qualification testing of power-operated active valves are included in procurement specifications. Valve qualification testing measures valve actuator output capability. Actuator output capability is compared to the valve's required capability defined in procurement specifications, establishing functional margin; that is, that increment by which the MOV's actual output capability exceeds the capability required to operate the MOV under design basis conditions. DCD Subsection 5.4.8 discusses valve functional design and qualification requirements. The inservice test frequency is determined as required by the ASME OM Code, Code Case OMN-1. Valve functional margin is evaluated to account for anticipated time-related changes in performance, accounting for applicable uncertainties in the analysis. If the evaluation shows that the functional margin will be reduced to less than established acceptance criteria within the established test interval, the test interval is decreased to less than the time for the functional margin to decrease below acceptance criteria. If there is not sufficient data to determine test frequency as described above, the test frequency is limited to not exceed two (2) refueling cycles or three (3) years, whichever is longer, until sufficient data exist to extend the test frequency. Maximum test frequency shall not exceed 10 years, and appropriate justification is provided for any increased test interval. This is to ensure that each MOV in the IST program will have adequate margin (including consideration for aging-related degradation) to remain operable until the next scheduled test, regardless of its risk categorization or safety significance. Uncertainties associated with performance of these periodic verification tests and use of the test results (including those associated with measurement equipment and potential degradation mechanisms) are addressed appropriately. Uncertainties may be considered in the specification of acceptable valve setup parameters or in the interpretation of the test results (or a combination of both). Uncertainties affecting both valve function and structural limits are addressed.

Solenoid-operated valves (SOVs) are tested to confirm the valve moves to its energized position and is maintained in that position, and to confirm that the valve moves to the appropriate failure mode position when de-energized.

Other Power-Operated Valve Operability Tests - Power-Operated valves other than active MOVs are exercised quarterly in accordance with ASME OM ISTC, unless justification is provided in the inservice testing program for testing these valves at other than Code mandated frequencies. Active and passive power-operated valves upon which operability testing may be performed are identified in [DCD Table 3.9-16](#).

Although the design basis capability of power-operated valves is verified as part of the design and qualification process, power-operated valves that perform an active safety function are tested again after installation in the plant, as required, to

ensure valve setup is acceptable to perform their required functions, consistent with valve qualification. These tests, which are typically performed under static (no flow or pressure) conditions, also document the "baseline" performance of the valves to support maintenance and trending programs. During the testing, critical parameters needed to ensure proper valve setup are measured. Depending on the valve and actuator type, these parameters may include seat load, running torque or thrust, valve travel, actuator spring rate, bench set and regulator supply pressure. Uncertainties associated with performance of these tests and use of the test results (including those associated with measurement equipment and potential degradation mechanisms) are addressed appropriately. Uncertainties may be considered in the specification of acceptable valve setup parameters or in the interpretation of the test results (or a combination of both). Uncertainties affecting both valve function and structural limits are addressed.

Additional testing is performed as part of the air-operated valve (AOV) program, which includes the key elements for an AOV Program as identified in the JOG AOV program document, Joint Owners Group Air Operated Valve Program Document, Revision 1, December 13, 2000 ([References 203](#) and [204](#)). The AOV program incorporates the attributes for a successful power-operated valve long-term periodic verification program, as discussed in Regulatory Issue Summary 2000-03, Resolution of Generic Safety Issue 158: Performance of Safety-Related Power-Operated Valves Under Design Basis Conditions, by incorporating lessons learned from previous nuclear power plant operations and research programs as they apply to the periodic testing of air- and other power-operated valves included in the IST program. For example:

- Valves are categorized according to their safety significance and risk ranking.
- Setpoints for AOVs are defined based on current vendor information or valve qualification diagnostic testing, such that the valve is capable of performing its design-basis function(s).
- Periodic static testing is performed, at a minimum on high risk (high safety significance) valves, to identify potential degradation, unless those valves are periodically cycled during normal plant operation, under conditions that meet or exceed the worst case operating conditions within the licensing basis of the plant for the valve, which would provide adequate periodic demonstration of AOV capability. If the margin between component capability and design-basis requirements has not been previously determined, dynamic testing will be performed to establish a baseline and to determine these margins.
- Sufficient diagnostics are used to collect relevant data (e.g., valve stem thrust and torque, fluid pressure and temperature, stroke time, operating and/or control air pressure, etc.) to verify the valve meets the functional requirements of the qualification specification.
- Test frequency is specified, and is evaluated each refueling outage based on data trends as a result of testing. Frequency for periodic testing is in

accordance with **References 203 and 204**, with a minimum of 5 years (or 3 refueling cycles) of data collected and evaluated before extending test intervals.

- Post-maintenance procedures include appropriate instructions and criteria to ensure baseline testing is re-performed as necessary when maintenance on the valve, repair or replacement, have the potential to affect high risk valve functional performance.
- Guidance is included to address lessons learned from other valve programs specific to the AOV program.
- Documentation from AOV testing, including maintenance records and records from the corrective action program are retained and periodically evaluated as a part of the AOV program.

Add the following new paragraph under the heading "Check Valve Tests" in DCD Subsection 3.9.6.2.2:

STD COL 3.9-4 Preoperational testing is performed during the initial test program (refer to DCD Section 14.2) to verify that valves are installed in a configuration that allows correct operation, testing, and maintenance. Preoperational testing verifies that piping design features accommodate check valve testing requirements. Tests also verify disk movement to and from the seat and determine, without disassembly, that the valve disk positions correctly, fully opens or fully closes as expected, and remains stable in the open position under the full spectrum of system design-basis fluid flow conditions.

Add the following new last paragraphs under the subheading "Check Valve Exercise Tests" in DCD Subsection 3.9.6.2.2:

STD COL 3.9-4 Acceptance criteria for this testing consider the specific system design and valve application. For example, a valve's safety function may require obturator movement in both open and closed directions. A mechanical exerciser may be used to operate a check valve for testing. Where a mechanical exerciser is used, acceptance criteria are provided for the force or torque required to move the check valve's obturator. Exercise tests also detect missing, sticking, or binding obturators.

When operating conditions, valve design, valve location, or other considerations prevent direct observation or measurements by use of conventional methods to determine adequate check valve function, diagnostic equipment and nonintrusive techniques are used to monitor internal conditions. Nonintrusive tests used are dependent on system and valve configuration, valve design and materials, and include methods such as ultrasonic (acoustic), magnetic, radiography, and use of accelerometers to measure system and valve operating parameters (e.g., fluid

flow, disk position, disk movement, disk impact, and the presence or absence of cavitation and back-tapping). Nonintrusive techniques also detect valve degradation. Diagnostic equipment and techniques used for valve operability determinations are verified as effective and accurate under the PST program.

Testing is performed, to the extent practicable, under normal operation, cold shutdown, or refueling conditions applicable to each check valve. Testing includes effects created by sudden starting and stopping of pumps, if applicable, or other conditions, such as flow reversal. When maintenance that could affect valve performance is performed on a valve in the IST program, post-maintenance testing is conducted prior to returning the valve to service.

3.9.6.2.3 Valve Disassembly and Inspection

Add the following paragraph as the new second paragraph of DCD Subsection 3.9.6.2.3:

- STD COL 3.9-4 During the disassembly process, the full-stroke motion of the obturator is verified. Nondestructive examination is performed on the hinge pin to assess wear, and seat contact surfaces are examined to verify adequate contact. Full-stroke motion of the obturator is re-verified immediately prior to completing reassembly. At least one valve from each group is disassembled and examined at each refueling outage, and all the valves in each group are disassembled and examined at least once every eight years. Before being returned to service, valves disassembled for examination or valves that received maintenance that could affect their performance are exercised with a full- or part-stroke. Details and bases of the sampling program are documented and recorded in the test plan.

Add Subsections 3.9.6.2.4 and 3.9.6.2.5 following the last paragraph of DCD Subsection 3.9.6.2.3:

3.9.6.2.4 Valve Preservice Tests

- STD COL 3.9-4 Each valve subject to inservice testing is also tested during the preservice test period. Preservice tests are conducted under conditions as near as practicable to those expected during subsequent inservice testing. Valves (or the control system) that have undergone maintenance that could affect performance, and valves that have been repaired or replaced, are re-tested to verify performance parameters that could have been affected are within acceptable limits. Safety and relief valves and nonreclosing pressure relief devices are preservice tested in accordance with the requirements of the ASME OM Code, Mandatory Appendix I.

Preservice tests for valves are performed in accordance with ASME OM, ISTC-3100.

3.9.6.2.5 Valve Replacement, Repair, and Maintenance

STD COL 3.9-4 Testing in accordance with ASME OM, ISTC-3310 is performed after a valve is replaced, repaired, or undergoes maintenance. When a valve or its control system has been replaced, repaired, or has undergone maintenance that could affect valve performance, a new reference value is determined, or the previous value is reconfirmed by an inservice test. This test is performed before the valve is returned to service, or immediately if the valve is not removed from service. Deviations between the previous and new reference values are identified and analyzed. Verification that the new values represent acceptable operation is documented.

3.9.6.3 Relief Requests

Insert the following text after the first paragraph in DCD Subsection 3.9.6.3:

STD COL 3.9-4 The IST Program described herein utilizes Code Case OMN-1, Revision 1, "Alternative Rules for the Preservice and Inservice Testing of Certain Electric Motor-Operated Valve Assemblies in Light Water Reactor Power Plants" ([Reference 202](#)). Code Case OMN-1 establishes alternate rules and requirements for preservice and inservice testing to assess the operational readiness of certain motor operated valves, in lieu of the requirements set forth in ASME OM Code Subsection ISTC. Implementation of the program described in Code Case OMN-1 will require request for relief, unless Code Case OMN-1, Revision 1, is approved by the NRC in Regulatory Guide 1.192, or the case has been incorporated into the ASME OM Code on which the IST program is based, and that Code is approved in 10 CFR 50.55a(b).

3.9.8 COMBINED LICENSE INFORMATION

3.9.8.2 Design Specifications and Reports

Add the following text after the second paragraph in DCD Subsection 3.9.8.2.

STD COL 3.9-2 Reconciliation of the as-built piping (verification of the thermal cycling and stratification loading considered in the stress analysis discussed in [DCD Subsection 3.9.3.1.2](#)) is completed after the construction of the piping systems and prior to fuel load.

3.9.8.3 Snubber Operability Testing

STD COL 3.9-3 This COL Item is addressed in [Subsection 3.9.3.4.4](#).

3.9.8.4 Valve Inservice Testing

STD COL 3.9-4 This COL Item is addressed in [Subsections 3.9.6, 3.9.6.2.2, 3.9.6.2.3, 3.9.6.2.4, 3.9.6.2.5, and 3.9.6.3](#).

3.9.8.5 Surge Line Thermal Monitoring

STD COL 3.9-5 This COL item is addressed in [Subsection 3.9.3.1.2](#).

3.9.9 REFERENCES

201. Joint Owners Group (JOG) Motor Operated Valve Periodic Verification Study, MPR 2524-A, ADAMS ML063490199, November 2006.
202. ASME Code Case OMN-1, Revision 1, "Alternative Rules for the Preservice and Inservice Testing of Certain Electric Motor-Operated Valve Assemblies in Light Water Reactor Power Plants."
203. Joint Owners Group Air Operated Valve Program Document, Revision 1, December 13, 2000.
204. USNRC, Eugene V. Imbro, letter to Mr. David J. Modeen, Nuclear Energy Institute, Comments On Joint Owners' Group Air Operated Valve Program Document, dated October 8, 1999.

3.10 SEISMIC AND DYNAMIC QUALIFICATION OF SEISMIC CATEGORY I
MECHANICAL AND ELECTRICAL EQUIPMENT

This **section** of the referenced DCD is incorporated by reference with no departures or supplements.

3.11 ENVIRONMENTAL QUALIFICATION OF MECHANICAL AND ELECTRICAL EQUIPMENT

This **section** of the referenced DCD is incorporated by reference with the following departures and/or supplements.

3.11.5 COMBINED LICENSE INFORMATION ITEM FOR EQUIPMENT QUALIFICATION FILE

Add the following information to the end of DCD Subsection 3.11.5:

STD COL 3.11-1 The COL holder is responsible for the maintenance of the equipment qualification file upon receipt from the reactor vendor. The documentation necessary to support the continued qualification of the equipment installed in the plant that is within the environmental qualification (EQ) program scope is available in accordance with 10 CFR Part 50 Appendix A, General Design Criterion 1.

EQ files developed by the reactor vendor are maintained as applicable for equipment and certain post-accident monitoring devices that are subject to a harsh environment. The contents of the qualification files are discussed in **DCD Section 3D.7**. The files are maintained for the operational life of the plant.

For equipment not located in a harsh environment, design specifications received from the reactor vendor are retained. Any plant modifications that impact the equipment use the original specifications for modification or procurement. This process is governed by applicable plant design control or configuration control procedures.

Central to the EQ program is the EQ master equipment list (EQMEL). This EQMEL identifies the electrical and mechanical equipment or components that must be environmentally qualified for use in a harsh environment. The EQMEL consists of equipment that is essential to emergency reactor shutdown, containment isolation, reactor core cooling, or containment and reactor heat removal, or that is otherwise essential in preventing significant release of radioactive material to the environment. This list is developed from the equipment list provided in AP1000 **DCD Table 3.11-1**. The EQMEL and a summary of equipment qualification results are maintained as part of the equipment qualification file for the operational life of the plant.

Administrative programs are in place to control revision to the EQ files and the EQMEL. When adding or modifying components in the EQ Program, EQ files are generated or revised to support qualification. The EQMEL is revised to reflect these new components. To delete a component from the EQ Program, a deletion justification is prepared that demonstrates why the component can be deleted. This justification consists of an analysis of the component, an associated circuit review if appropriate, and a safety evaluation. The justification is released and/or referenced on an appropriate change document. For changes to the EQMEL, supporting documentation is completed and approved prior to issuing the

changes. This documentation includes safety reviews and new or revised EQ files. Plant modifications and design basis changes are subject to change process reviews, e.g. reviews in accordance with 10 CFR 50.59 or Section VIII of Appendix D to 10 CFR Part 52, in accordance with appropriate plant procedures. These reviews address EQ issues associated with the activity. Any changes to the EQMEL that are not the result of a modification or design basis change are subject to a separate review that is accomplished and documented in accordance with plant procedures.

Engineering change documents or maintenance documents generated to document work performed on an EQ component, which may not have an impact on the EQ file, are reviewed against the current revision of the EQ files for potential impact. Changes to EQ documentation may be due to, but not limited to, plant modifications, calculations, corrective maintenance, or other EQ concerns.

Table 13.4-201 provides milestones for EQ implementation.

APPENDIX 3A
HVAC DUCTS AND DUCT SUPPORTS

This **section** of the referenced DCD is incorporated by reference with no departures or supplements.

APPENDIX 3B
LEAK-BEFORE-BREAK EVALUATION OF THE AP1000 PIPING

This **section** of the referenced DCD is incorporated by reference with no departures or supplements.

APPENDIX 3C
REACTOR COOLANT LOOP ANALYSIS METHODS

This **section** of the referenced DCD is incorporated by reference with no departures or supplements.

APPENDIX 3D
METHODOLOGY FOR QUALIFYING AP1000 SAFETY-RELATED ELECTRICAL
AND MECHANICAL EQUIPMENT

This **section** of the referenced DCD is incorporated by reference with no departures or supplements.

APPENDIX 3E
HIGH-ENERGY PIPING IN THE NUCLEAR ISLAND

This **section** of the referenced DCD is incorporated by reference with no departures or supplements.

APPENDIX 3F
CABLE TRAYS AND CABLE TRAY SUPPORTS

This **section** of the referenced DCD is incorporated by reference with no departures or supplements.

APPENDIX 3G
NUCLEAR ISLAND SEISMIC ANALYSES

This **section** of the referenced DCD is incorporated by reference with no departures or supplements.

APPENDIX 3H
AUXILIARY AND SHIELD BUILDING CRITICAL SECTIONS

This **section** of the referenced DCD is incorporated by reference with no departures or supplements.

APPENDIX 3I
EVALUATION FOR HIGH FREQUENCY SEISMIC INPUT

This **section** of the referenced DCD is incorporated by reference with no departures or supplements.