

United States Environmental Protection Agency Washington, DC 20460

# **Interagency Agreement**

Amendment
Part 1 - General Information

1. EPA IAG Identification Number RW-31-92295001 - 0

2. Other Agency IAG ID Number NRC-DR-10-09-387

3. Type of Action

New

4. Funding Location by Region EPA HQ

5. Program Office
Abbreviation

Office of Environmental Information

6. Name and Address of EPA Organization

US Environmental Protection Agency

0ÉI

1200 Pennsylvania Avenue, NW (2822T)

Washington, DC 20460

**DUNS**: 029128894

BETC: COLL

7. Name and Address of Other Agency

Nuclear Regulatory Commission
Division of Contracts/CMB3, MS T-712

Washington, DC 20555

DUNS: 040535809

BETC: DISB

8. Project Title and Description

eRulemaking Program

To support the maintnance and operation of the government-wide eRulemaking electronic docket management system known as the Federal Docket Management System (FDMS)

9. EPA Project Officer (Name, Address, Phone Number)

Jeanne Shepitka

1200 Pennsylvania Ave, NW (2822T)

Washington, DC 20460

202-566-1440

10. Other Agency Project Officer (Name, Address, Phone Number)

James Leedom 11555 Rockville Pike Rockville, MD 20852 301-492-3615

11. Project Period

01/01/2009 to 12/31/2009

12. Budget Period 01/01/2009 to 12/31/2009

13. Scope of Work

See attached MOU

Treasury Symbol:

BR

689/00108

EPA Grants Specialist for this IAG is Linda Perry 202-564-5349

14. Statutory Authority for Both Transfer of Funds and Project Activities

Clinger-Cohen Act 40 U.S.C. 11318

15. Other Agency Type Federal Agency

Funds	Previous Amount	Amount This Action	Amended Total
16. EPA Amount			<sup>4.</sup> \$0
17. EPA In-Kind Amount			\$0
18. Other Agency Amount		\$63,986	\$63,986
19. Other Agency In-Kind Amt.			. \$0
20. Total Project Cost		\$63,986	\$63,986

21. Fiscal									
Site Name	DCN	FY	Approp.	Budget Org.	PRC	Object	Site/Project	Cost Org.	Obligation
		0910	BR	18CAXBB	ZZZHF1R				63,986
			,	•	* \$				63,986

EPA Form 1610-1 (Rev. 10-88). Previous editions are obsolete.

EPA IAG Identification No. RW-31-92295001 - 0 Page 2 EPA IAG Identification Number Part II - Approved Budget RW-31-92295001 - 0 22. Budget Categories Itemization of Itemization of Total Project This Action **Estimated Cost to Date** (a) Personnel \$0 (b) Fringe Benefits \$0 (c) Travel <sub>4</sub>\$0 (d) Equipment \$0 (e) Supplies \$0 (f) Procurement / Assistance \$63,986 \$63.986 (g) Construction SO. (h) Otner \$0 (i) Total Direct Charges \$63,986 \$63,986 (j) Indirect Costs? Rate % Base \$ \$0 \$0 (EPA Share 0.00 %) (k) Total (Other Agency Share 100.00 %) \$63,986 \$63,986 23. Is equipment authorized to be furnished by EPA or leased, purchased, or rented with EPA funds? Tyes No (Identify all equipment costing \$1,000 or more) 24. Are any of these funds being used on extramural agreements? (See Item 22f.) Yes No Type of Extramural Agreement Grant Cooperative Agreement Procurement Contractor/Recipient Name (if known) Percent Funded by EPA (if known) Total Extramural Amount Under This Project Booz Allen Hamilton 63986 Total \$ 63,986.00 Part III - Funding Methods and Billing Instructions (Note: EPA Agency Location Code (ALC) - 68010727) 25. Request for repayment of actual costs must be itemized on SF 1080 and submitted to the Financial Disbursement Agreement Management Office, Cincinnati, OH 45268-7002: Repayment Quarterly Upon Completion of Work Only available for use by Federal agencies on working capital fund or with appropriate justification of need Advance for this type of payment method. Unexpended funds at completion of work will be returned to EPA. Quarterly cost reports will be forwarded to the Financial Management Center, EPA, Cincinnati, OH 45268-7002. Used to transfer obligational authority or transfer of function between Federal agencies. Must receive prior Allocation Transfer-Out approval by the Office of Comptroller, Budget Division, Budget Formulation and Control Branch, EPA Hdqtrs. Forward appropriate reports to the Financial Reports and Analysis Branch, Financial Management Division, PM-226F, EPA, Washington, DC 20460. Reimbursement Agreement Repayment Advance Allocation Transfer-In Funding Agency's Treasury Symbol One-Year X Two-Year .... No-Year Other Agency's IAG Identification Number EPA Program Office Allowance Holder/Resp. Center No. NRC-DR-10-09-387 Other Agency's Billing Address (include ALC or Station Symbol Number) Other Agency's Billing Instructions and Frequency

See attached MOU

See attached MOU

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12/15/2008

Part IV - Acceptance Conditions			EPA Identification Number		
			RW-31-92295001 - 0		
27. General Conditions					
The other agency covenants and agrees tha have been awarded under this agreement.	t it will expeditiously initiate and	complete the project for which f	unds		
28. Special Conditions (Attach additional she	ets if needed)				
	·				
	_				
	EE ASTACHED				
	Part V - Offer and				
<b>Note:</b> 1) For Disbursement actions, the agree Grants Administration Division for Headquarters receipt or within any extension of time as may lacceptance signature.	ment/amendment must be signed agreements or to the appropriate	ed by the other agency official in ate EPA Regional IAG administ	tration office within 3 calendar weeks after		
change to the agreement/amendment by the oth	Receipt of a written refusal or failure to return the properly executed document within the prescribed time may result in the withdrawal of offer by EPA. Any change to the agreement/amendment by the other agency subsequent to the document being signed by the EPA Action Official, which the Action Official determines to materially alter the agreement/amendment, shall void the agreement/amendment.				
2) For Reimbursement actions, the other agency will initiate the action and forward two original agreements/amendments to the appropriate EPA program office for signature. The agreements/amendments will then be forwarded to the appropriate EPA IAG administration office for acceptance signature on behalf of the EPA. One original copy will be returned to the other agency after acceptance.					
EPA IAG Administration Office (for administra	tive assistance)	EPA Program Office (for tech	nnical assistance)		
29. Organization/Address		30. Organization/Address			
Environmental Protection Agend CD, FISB, GIAMD 1200 Pennsylvania Avenue, NW (35 Washington, DC 20460		US Environmental Protect Office of Environmental Ir 1200 Pennsylvania Ave, N Washington, DC 20460	nformation		
	Certifica	ntion			
All signers certify that the statements made on this form and all attachments thereto are true, accurate, and complete. Signers acknowledge that any knowingly false or misleading statements may be punishable by fine or imprisonment or both under applicable law.					
Decision Official on Behalf of the Environment Protection Agency Program Office					
31. Signature	Typed Name and Title		Date		
SEE ATTACHED	John Moses		12/15/2008		
	Action on Behalf of the Enviro	nment Protection Agency			
32) Signature Dulliam	l Fe	Sandra L. Waugh-Williams, ( Illowships, IAGs and SEEs E	Branch $\sim 10/69$		
)	Authorizing Official on Beha				
33. Signature	Typed Name and Title		Date		

EPA Form 1610-1 (Rev. 10-88) Previous editions are obsolete.

Jeffrey McDermott

# IAG Terms and Conditions

- 1 Should disagreement arise on the interpretation of the provisions of this agreement, the dispute shall be resolved pursuant to the Business Rules for Intragovernmental Transactions delineated in the Treasury Financial Manual, Vol. 1, Bulletin 2007-03, Section VII (Resolving Intragovernmental Disputes and Major Differences).
- 2 If the Nuclear Regulatory Commission cancels the order, the Environmental Protection Agency (EPA) is authorized to collect costs incurred prior to cancellation of the order plus termination costs, up to the total payment amount provided for under the agreement.

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# **RECOMMENDATION**

The federal E-Government eRulemaking Program developed the Federal Docket Management System (FDMS) to provide citizens with a central place to learn about proposed regulations and to have their comments shape the rulemaking process of all federal agencies. The funding transferred will be used to operate and maintain the Federal Docket Management System.

	·	
Project Officer Signature  Lance M. Shepilla	Typed Name and Title Jeanne M. Shepitka, Program Analyst	Date 12/15/2008
Recommending Signature	Typed Name and Title Constance Downs, Acting Chief eRulemaking Program Branch	Date . 2/15/200 Y
Approval Signature Masses	Typed Name and Title John Moses, Director, eRulemaking Program	Date 12/15/2008

				PAGE OF
INTE	RAGENCY AGREEMEN	IT		1 1
I. INTERAGENCY AGREEMENT NO. NRC-DR-10-09-387	2. EFFECTIVE DATE		ROJECT/REQUISITION NO. 0-09-387	
ISSUED BY	1		PHONE NUMBER OF EACH G	OVERNMENT
U.S. Nuclear Regulatory Commission			CER FOR BOTH AGENCIES	
Div. of Contracts			,	
Attn: James Leedom Mail Stop: TWB-01-B10M				
Washington, DC 20555		CAROL GALLAC	HER - 301-415-5905	
			(Project Officer)	
			•	
AGENCY PERFORMING SERVICE				
ENVIRONMENTAL PROTECTION AGENCY		Jeanne Shenit	ka - 202-566-1440	
ENVIRONMENTAL TROTLETION RESIDEN		ocame onepre	(Other Agency's Project M	onitor)
26 MARTIN LUTHER KING DR			(Other rigories of respective	` .
CINCINNATI OH 452680001				
CINCINNATI OH 452660001	·.			
PROJECT TITLE		<u> </u>		
Federal Docket Management System (E-Ru	ulemaking)			
PROJECT OBJECTIVE				
e purpose of this Inter-Agency Agreemen	t is to enter into a Mo	morandum of Agr	eement (MOA) with the E	nvironmental
otection Agency (EPA). The EPA shall p	rovide the NRC access t	o the Federal D	ocket Management System	(FDMS), which
nsolidates the dockets of various depar				
fering services such as one-stop access okmarking and electronic records manage	, search capabilities, ment: The EPA shall ac	public comment : t as the Managi:	submission, email notifing Partner and the NRC.	shall act as the
rtner Agency in this agreement.	,			
tachment: Memorandum of Agreement betwe	en the Nuclear Regulato	rv Commission a	nd Environmental Protec	tion Agency.
•				
l invoices shall be sent to the address	shown in block #12.			· · · · · · · · · · · · · · · · · · ·
PERFORMANCE PERIOD OF AGREEMENT January 1, 2009 through December 31, 3	2009			
. ACCOUNTING AND APPROPRIATION DATA			11. DOLLAR VA	LUE OF AGREEMENT
94015-5B1306 D2418 253A x0200 Obligations# 029128894 FFS# ADM-09-387	te \$63,986.00			\$63,986.00
2. FUNDING (The Issuing Agency agrees to advance) andard Form 1080 or 1081. The appropriate form me	reimburse funds up to the dolla ust be executed in original and	r amount of this agre 2 copies, be i	ement, upon receipt of a prope dentified with agreement numb	erry executed er and
counting data, and transmitted to the following office	for funds action.)		and the agreement hame	*****
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Department of Interior	r / NBC			
NRCPayments@nbc.gov		No.		
7301 W. Mansfield Aver		• *	•	- <b>8</b> 28
Denver, CO 80235-2230 Attn: Fiscal Services			•	
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ny funds not utilized for the performance of the work	described in this agreement me	ist be returned to the	Issuing Agency )	
. PURSUANT TO THE AUTHORITY OF	accommon in the agreement lift	2	.seamy rigator./	
Economy Act, Clinger Cohen Act & E-Gov	vernment Act			
ne Issuing Agency may enter into this agreement. The half of the agency.)	ne person executing this agreer	nent has a written de	legation of authority to do so o	n ·
SIGNATURE OF PERSON AUTHORIZED TO SIGI	N DATE		RE OF PERSON AUTHORIZED	D TO DATE
Janden Twaceda- William	ms 2/4/0	19 STGN		= 12/15/c
TYPE NAME & TITLE OF PERSON AUTHORIZED	, , , , , , , , , , , , , , , , , , , ,	17 TYDE NAM	1E & TITLE OF PERSON AUTI	
THE DIG TOOM ACTIONIZED	, o dion		. McDermott	TORIZED TO SIGN
Sandra L. Waugh-Williams Chief			ng Officer	*
Vertid L. Wallen-Williams Chief		1		

Fellowships, IAGs and SEEs Branch Grants and IAG Management Division

# **Memorandum of Agreement**

Between
U.S. Environmental Protection Agency (EPA)
Managing Partner
eRulemaking Program
And
U.S. Nuclear Regulatory Commission (NRC)

# I. Purpose

This Memorandum of Agreement (MOA) between the U.S. NRC (the "Partner Agency") and the U.S. Environmental Protection Agency (the "Managing Partner") is to support the maintenance and operation of the government-wide electronic docket management system known as the Federal Docket Management System (FDMS), (publicly accessible at www.regulations.gov). The eRulemaking Program is one of the 35 Presidential E-Government programs and lines of business. It fulfills the requirements under the E-Government Act of 2002 (Section 206), the Clinger-Cohen Act, and the Government Paperwork Elimination Act, as well as furthering the effectiveness and efficiency of government. This MOA establishes Managing Partner and Partner Agency responsibilities and funding requirements in support of the Program Management Office (PMO) operations related to the eRulemaking Program and will be incorporated by reference into EPA's funding document, the interagency agreement (IA).

The U.S. NRC will transfer funds to EPA that reflect NRC's service fee for the implementation, use, and the operation and management of FDMS. Access to and use of FDMS will be granted to NRC, along with all other Federal agencies and the general public.

#### II. Authorities

This agreement is authorized in accordance with the authority provided under:

The Economy Act (31 U.S.C. §1535, 1536) The Clinger-Cohen Act (40 U.S.C. §11318) The E-Government Act of 2002

## III. Background

The eRulemaking Program is a collaborative, inter-agency effort, whose purpose is to establish a common, automated, and integrated repository for managing Federal rulemakings and non-rulemaking actions that follow a structured notice and comment process. The project consolidates the dockets of various departments and agencies and centrally manages them through a web-based environment offering services such as one-stop access, search capabilities, public comment submission, email notification, bookmarking, and electronic records management meeting the DOD 5015.2 standard recognized by the National Archives and Records Administration.

The E-Gov Programs strive to provide an order of magnitude of improvement in services to the constituents they serve. A Managing Partner was assigned to each program or lines of business.

The EPA is the designated Program Management Office for the eRulemaking Program. The eRulemaking Program Office was established by EPA to implement this effort. The NRC is a partner agency under this agreement.

## IV. Vision and Goals for the eRulemaking Program

The overall vision and goals for the eRulemaking Program are:

#### Vision

Citizens can easily access and participate in a high quality, efficient and open rulemaking process across all Federal agencies.

#### Goals

Through the use of information technology, the eRulemaking Program seeks to:

Expand public understanding of the rulemaking process;

Improve the quality of Federal rulemaking decisions and streamline and improve the efficiency of the rulemaking process; and

Increase the amount, breadth, and ease of citizen access and participation in rulemaking.

The eRulemaking Program will achieve these goals by implementing a range of performance measures related to technology, processes and activities, customer results, and mission and business results:

Technology – The PMO will ensure FDMS/Regulations.gov is available 99.9% of the time to the public and the Federal agencies. Availability = (Hours FDMS accessible to public and Federal entities)/(total hours in measurement period less scheduled maintenance + plus Network downtime)

Processes and Activities - The PMO will deploy four FDMS version updates per year.

Customer Results – The PMO will complete the implementation of Federal entities that request and pay for migration to FDMS.

Mission and Business Results - 90% of total Federal rules promulgated by Federal entities are implemented in FDMS and have associated dockets available and posted for public access via <a href="www.regulations.gov">www.regulations.gov</a>

#### V. Roles and Responsibilities

This MOA addresses participation in and the funding of the eRulemaking Program as mandated by the 2002 eGovernment Act, Section 206 according to OMB directive M-03-18 "Implementation Guidance for the E-Government Act of 2002. The FDMS will be the central electronic docket management system for all Federal agencies.

# The Managing Partner will:

- 1. Staff an eRulemaking Program Management Office in order to manage the Program, convene and participate on an inter-agency advisory board comprised of Federal representatives of partner agencies, and establish work groups to address projects and tasks essential to the successful execution of the Program.
- 2. Conduct meetings for the purpose of collaborating with other Federal agencies to enhance the Program.
- 3. Facilitate an effort under the Advisory Board to convene a budget workgroup to develop an annual budget for the eRulemaking Program, metrics for a fee for service model, and a fee for service model.
- 4. Manage development, migration, and operation of the FDMS/Regulations.gov. Costs borne by EPA and reimbursed by NRC will reflect NRC's fee for service in CY09 to support, operate, and maintain the eRulemaking Program, FDMS, and www.regulations.gov.
- 5. Coordinate and ensure participating and partner members have an opportunity to review project management documentation, including the draft annual OMB Exhibit 300 (also known as the Business Case or CPIC).
- 6. Execute any interagency agreements (IA) to affect NRC's transfer of funds and coordinate the IA prior to start of work, monitor progress, establish performance measures, and ensure reporting requirements are met.
- 7. Evaluate project progress, interoperability policies, practices and procedure documents and testing reports, as well as provide programmatic and technical assistance as required, and attend all meetings, reviews and conferences.
- 8. Convene meetings of an interagency Executive Committee on an as-needed basis and annually, at a minimum.

#### Each Participating or Partner Agency signing this MOA will:

- 1. Transfer the funding amount identified in Section IX of this MOA. If the partner agency is under a permanent continuing resolution (PCR), it will be expected to pay in full within 45 days of the signed PCR. If the partner agency is under a temporary CR, it shall contribute the full amount of its FY09 allocation, or, an amount proportionate to the period covered by the CR in order provide necessary funding to keep the Initiative operational until the appropriations bill is signed.
- 2. Identify a manager or senior executive to coordinate activities and serve as the main point of contact to address any issues that may arise. The manager or senior executive

and/or his/her designee will also participate in the governance structure of the eRulemaking program.

- 3. Identify a qualified staff person(s) to work with PMO staff on the agency's use of FDMS/Regulations.gov.
- 4. In using the FDMS, the agency will be required to do the following:
  - a. Make its public regulatory dockets electronically accessible and searchable using <a href="http://www.regulations.gov">http://www.regulations.gov</a>. This includes posting Federal Register proposed rules and rule documents; supporting scientific, legal economic and technical analyses; public comments; as well as other Federal Register documents the agency chooses to post;
  - b. Accept electronic submissions (a.k.a. comments) to the online dockets;
  - c. Include in the preamble to any regulatory action requesting public comment specific reference directing the public to <a href="www.regulations.gov">www.regulations.gov</a>;
  - d. Include in an appropriate place on its agency website homepage a link to www.regulations.gov; and
  - e. Use <u>www.regulations.gov</u> to process public comments for economically significant guidance documents according to OMR Directive M-07-07 "Issuance of OMB's Final Bulletin for Agency Good Guidance Practices".
- 5. Include an acknowledgement of its participation in the eRulemaking Program in relevant budget program documents generated by the agency.
- 6. Actively assist in developing and using the common government eRulemaking components as they become available and meet established government-wide criteria, as may be appropriate.

#### VI. Duration of Agreement

This MOA is valid through calendar year 2009.

# VII. Dispute Resolution Mechanism

In the event the participating or partner agency determines that its ability to fulfill its financial obligations under this MOA/IA as identified in Section IX of this MOA is at risk, it shall promisely inform the eRulemaking Program Manager in writing. If the Program Manager becomes aware of like circumstances, the Program Manager shall apprise participating and partner agencies in writing.

In the event of any disagreement arising under this agreement, the parties shall, in good faith, attempt to negotiate a resolution to the disagreement. If the parties cannot negotiate a resolution, the OMB E-Government portfolio manager is authorized to resolve the dispute.

#### VIII. Points of Contact

NRC and EPA will assign the following senior manager as the key point of contact (POC) with the eRulemaking Program. The senior manager is an official representative and is authorized to act on the Agency's behalf.

NRC Representative

Name:

Michael T. Lesar

Title:

Chief, Rulemaking, Directives and Editing Branch

Telephone:

301/415-7163 301/415-5144

Fax: Email:

Michael.Lesar@nrc.gov

NRC Alternate

Name:

Cindy K. Bladey

Title:

Rulemaking Team Leader

Telephone:

301/415-6978

Fax:

301/415-5144

Email:

Cindy.Bladey@nrc.gov

EPA's eRulemaking Program Manager

Name:

John Moses

Title:

Chief, eRulemaking Program Branch

1

Telephone:

202/566-1352

Fax:

202/566-1611

Email:

moses.john@epa.gov

EPA's Project Officer

Name:

Jeanne Shepitka

Telephone:

202/566-1440

Fax.

202/566-1611

Email

shepitka.jeanne@epa.gov

The budget/financial contacts for this agreement are:

**NRC** 

Name:

Patti Humphreys

Telephone:

301/415-6150

Fax:

Email:

Patti.Humphreys@nrc.gov

**EPA** 

Name:

Jeanne Shepitka

Telephone:

202/566-1440 202/566-1611

Fax: Email

shepitka.jeanne@epa.gov

### IX. Transfer of Funds

Each partner agency is responsible for providing funding and/or in-kind contributions. The government-wide total estimated cost for development and support in calendar year 2009 is \$8.1 million. Funding and resources from participating agencies shall be made available within 45 days of the enactment of an Agency's fiscal year 2009 appropriations bill.

Nothing in this agreement is intended to be a direct transfer of funds from NRC to EPA. All transfers are to be effected through the Interagency Payment and Collection System (IPAC).

The NRC will transfer funds to EPA in accordance with the following table:

Contributing/Sending Agency:	U.S. Nuclear Regulatory Commission		
Treasury Account Symbol:	31X0200		
Agency Location Code:	31000001		
DUNS Number:	04-053-5809		
Type of Fund	☐One-Year ☐ Two-Year ☐ No Year		
Total Dollar Amount:	\$ 63,986		
Obligating Document Number:	See attached funding document		

Receiving Agency:	U.S. Environmental Protection Agency		
Treasury Account Symbol:	689 00108		
Agency Location Code:	68010727		
Taxpayer Identification Number:	52-08-52695		
DUNS Number:	029128894		

#### X. Commencement/Amendment/Termination

This MOA will be expressly incorporated by reference into an IA (EPA's funding document). This MOA shall remain in effect for one fiscal year from the date of signing with a new IA to be signed annually. Amendments to the MOA will be effected by the mutual consent of the parties in writing and will be incorporated by reference into the IA.

# XI. Approvals

The following officials are authorized to bind their	respective Department/Agency to this
agreement: // ///	<i></i>
Loh Mass	Illustral Di Leur
John Moses, Director	Michael T. Lesar, Chief
eRulemaking Program	Rulemaking, Directives and Editing Branch
Collection Strategies Division, U.S. EPA	Division of Administrative Services, NRC
Date: 12/15/08	Date: 10v. 6 2008
Dandea Lwaugh-Williams	
Sandra Waugh-Williams, Chief	
Fellowship, IA & SEE Branch	
Grants and IA Administration Management Divis	sion
U.S. EPA	
Date:2/4/09	