



**Southwest Research Institute®
Corrective/Preventive Action Request**



IDENTIFICATION

Submitted By: Brient, Robert D.	Date: 13-OCT-08	Report Number: 2008-CAR-0263
Division: 20 – GEOSCIENCES & ENGINEERING 01.10: ENGR & SYSTEMS ASSESS.	Report Type: CAR	Project Number: 14002.01.021
Quality Program: GED (20) QAM		
Associated Report: N/A		
Discrepancy Requiring Corrective/Preventive Action: In 2006 and again in 2008, an SwRI Division 18 staff member working for CNWRA concurrently proposed and performed work for a potentially conflicted organization. In both cases, this individual did not notify and request approval for the work from CNWRA as required by AP-001. Action taken in 2006 was not adequate to prevent this individual from making the same error again.		
Issued To: Asadul Chowdhury		Response Due Date: 05-DEC-08
SwRI cc: Mackin, Patrick C. (20), Mohanty, Sitakanta (20), Patrick, Wesley C. (20), Sagar, Budhi (20), Cox, Phineas A. (18)		

RESPONSE(S)

Remedial Action to Correct Discrepancy: The SwRI staff needs further training on the requirements and implementation of the requirements.		
Root Cause Category: Training		
Root Cause of Discrepancy: Despite receiving training, the SwRI staff failed to implement the requirements. Previous work (not concurrent with CNWRA work) had been conducted by Mr. Cox and was acceptable from the COI perspective. Mr. Cox did not recognize the difference in the acceptance of past work and the unacceptability of concurrent work. Also, Mr. Cox was not the proposal author for the concurrent work and did not perform a thorough COI analysis to the same degree that he would for his own proposal.		
Proposed Corrective/Preventive Action: Provide further training on implementation of the requirements.		
Response By: Chowdhury, Asadul H.	Date: 19-OCT-08	Target Action Date: 26-OCT-08

APPROVALS

Management Approval: Sagar, Budhi	Date: 21-OCT-08
QA Approval: Brient, Robert D.	Date: 21-OCT-08

Comments: The training provided to the SwRI staff member must be documented and provided for closeout of this CAR. The training should include what is a potentially conflicted organization, that GED must be notified prior to any proposal for such work, and the SwRI staff member must convey an understanding of the requirements.
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VERIFICATION

Verification of Action Taken: R. Brient discussed the condition with Mr. Cox and explained the difference between possible acceptance of past work with a potentially conflicted organization and concurrent work, which is not acceptable. Mr. Cox's work for Sandia has been sporadic and his work for CNWRA is not continuous. Mr. Cox now understands the importance of informing CNWRA whenever an opportunity arises for work with a potentially conflicted organization so that an appropriate evaluation may be done.	
Verified By: Brient, Robert D.	Date: 10-DEC-08



SwRI® Quality Reporting System
CAR/PAR: 2008-CAR-0263

Robert D Brient

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Identification

Response: A. Chowdhury

Management Approval: B. Sagar QA Approval: R. Brient Verification Attachments (0) Report History

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 01.10: ENGR & SYSTEMS ASSESS. **Project Number:** 14002.01.021

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▼ **Description of Discrepancy Requiring Corrective/Preventive Action:**

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SwRI cc: Mackin, Patrick C. (20), Mohanty, Sitakanta (20), Patrick, Wesley C. (20), Sagar, Budhi (20), Cox, Phineas A. (18)

External cc:

Update