

Generic Environmental Impact Statement for License Renewal of Nuclear Plants

Supplement 38

Regarding Indian Point Nuclear Generating Unit Nos. 2 and 3

Draft Report for Comment Appendices

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Generic Environmental Impact Statement for License Renewal of Nuclear Plants

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Regarding Indian Point Nuclear Generating Unit Nos. 2 and 3

Draft Report for Comment Appendices

Manuscript Completed: December 2008
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COMMENTS ON DRAFT REPORT

1

2 Any interested party may submit comments on this report for consideration by the NRC staff.
3 Comments may be accompanied by additional relevant information or supporting data. Please
4 specify the report number NUREG-1437, Supplement 38, draft, in your comments, and send
5 them by March 11, 2009, to the following address:

6 Chief, Rules Review and Directives Branch
7 U.S. Nuclear Regulatory Commission
8 Mail Stop TWB-05-B01
9 Washington, DC 20555-0001

10 Electronic comments may be submitted to the NRC by e-mail at
11 IndianPoint.EIS@nrc.gov.

12 For any questions about the material in this report, please contact:

13 Drew Stuyvenberg
14 Project Manager
15 U.S. Nuclear Regulatory Commission
16 Mail Stop O-11E19
17 Washington, DC 20555-0001
18 Phone: 301-415-4006
19 E-mail: andrew.stuyvenberg@nrc.gov

ABSTRACT

The U.S. Nuclear Regulatory Commission (NRC) considered the environmental impacts of renewing nuclear power plant operating licenses for a 20-year period in NUREG-1437, Volumes 1 and 2, “Generic Environmental Impact Statement for License Renewal of Nuclear Plants” (hereafter referred to as the GEIS),⁽¹⁾ and codified the results in Title 10, Part 51, “Environmental Protection Regulations for Domestic Licensing and Related Regulatory Functions,” of the *Code of Federal Regulations* (10 CFR Part 51). In the GEIS (and its Addendum 1), the NRC staff identified 92 environmental issues and reached generic conclusions related to environmental impacts for 69 of these issues that apply to all plants or to plants with specific design or site characteristics. Additional plant-specific review is required for the remaining 23 issues. These plant-specific reviews are to be included in a supplement to the GEIS.

This supplemental environmental impact statement (SEIS) has been prepared in response to an application submitted by Entergy Nuclear Operations, Inc. (Entergy), Entergy Nuclear Indian Point 2, LLC, and Entergy Nuclear Indian Point 3, LLC (all applicants will be jointly referred to as Entergy) to the NRC to renew the operating licenses for Indian Point Nuclear Generating Unit Nos. 2 and 3 (IP2 and IP3) for an additional 20 years under 10 CFR Part 54, “Requirements for Renewal of Operating Licenses for Nuclear Power Plants.” This draft SEIS includes the NRC staff’s analysis which considers and weighs the environmental impacts of the proposed action, the environmental impacts of alternatives to the proposed action, and mitigation measures available for reducing or avoiding adverse impacts. It also includes the NRC staff’s preliminary recommendation regarding the proposed action.

Regarding the 69 issues for which the GEIS reached generic conclusions, neither Entergy nor the NRC staff has identified information that is both new and significant for any issues that applies to IP2 and/or IP3. In addition, the NRC staff determined that information provided during the scoping process was not new and significant with respect to the conclusions in the GEIS. Therefore, the NRC staff concludes that the impacts of renewing the operating licenses for IP2 and IP3 will not be greater than the impacts identified for these issues in the GEIS. For each of these issues, the NRC staff’s conclusion in the GEIS is that the impact is of SMALL⁽²⁾ significance (except for the collective offsite radiological impacts from the fuel cycle and high-level waste and spent fuel, which were not assigned a single significance level).

Regarding the remaining 23 issues, those that apply to IP2 and IP3 are addressed in this draft SEIS. The NRC staff determined that several of these issues were not applicable because of the type of facility cooling system or other reasons detailed within this SEIS. For the remaining applicable issues, the NRC staff concludes that the significance of potential environmental impacts related to operating license renewal is SMALL, with four exceptions—entrainment,

(1) The GEIS was originally issued in 1996. Addendum 1 to the GEIS was issued in 1999. Hereafter, all references to the “GEIS” include the GEIS and its Addendum 1.

(2) Environmental effects are not detectable or are so minor that they will neither destabilize nor noticeably alter any important attribute of the resource.

Abstract

1 impingement, heat shock from the facility's heated discharge, and impacts to aquatic
2 endangered species. Overall effects from entrainment and impingement may be SMALL to
3 LARGE, depending on the species affected. Impacts from heat shock likely range from SMALL
4 to MODERATE depending on the conclusions of thermal studies proposed by the New York
5 State Department of Environmental Conservation (NYSDEC). NRC staff did not find data that
6 suggest the effect of heat shock is likely to rise to LARGE. Given the uncertainties in the data
7 NRC staff reviewed, impacts to the endangered shortnose sturgeon could range from SMALL to
8 LARGE.

9 The NRC staff's preliminary recommendation is that the Commission determine that the adverse
10 environmental impacts of license renewals for IP2 and IP3 are not so great that preserving the
11 option of license renewal for energy planning decisionmakers would be unreasonable. This
12 recommendation is based on (1) the analysis and findings in the GEIS, (2) the environmental
13 report submitted by Entergy, (3) consultation with other Federal, State, and local agencies; (4)
14 the NRC staff's own independent review, and (5) the NRC staff's consideration of public
15 comments received during the scoping process.

16 **Paperwork Reduction Act Statement**

17 This NUREG does not contain information collection requirements and, therefore, is not subject
18 to the requirements of the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*). These
19 information collections were approved by the Office of Management and Budget, approval
20 numbers 3150-0004, 3150-0155, 3150-0014, 3150-0011, 3150-0021, 3150-0132, and
21 3150-0151.

22 **Public Protection Notification**

23 The NRC may not conduct or sponsor, and a person is not required to respond to, a request for
24 information or an information collection requirement unless the requesting document displays a
25 currently valid OMB control number.

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19	Appendix	I-1

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Appendix A

Comments Received on the Environmental Review

Appendix A

Comments Received on the Environmental Review

Comments Received During Scoping and Scoping Summary Adoption

In this appendix, the NRC staff adopts the Scoping Summary Report for Indian Point Nuclear Generating Unit Nos. 2 and 3 as prepared by the NRC staff in response to comments received on the scope of the environmental review. The NRC staff issued the scoping summary report on December 12, 2008. The Scoping Summary Report is available for public inspection in the NRC Public Document Room (PDR), located at One White Flint North, 11555 Rockville Pike, Rockville, Maryland, 20852, or from the NRC's Agencywide Documents Access and Management System (ADAMS).

The ADAMS Public Electronic Reading Room is accessible at <http://www.nrc.gov/reading-rm/adams/web-based.html>. The scoping summary report is listed under Accession No. ML083360115.

Persons who do not have access to ADAMS or who encounter problems in accessing the documents located in ADAMS should contact the NRC's PDR reference staff by telephone at 1-800-397-4209, or 301-415-4737, or by e-mail at pdrc@nrc.gov.

On August 10, 2007, the NRC published a Notice of Intent in the Federal Register (72 FR 45075) to notify the public of the Staff's intent to prepare a plant-specific supplement to the GEIS (SEIS) regarding the renewal application for the IP2 and IP3 operating license. As outlined by NEPA, the NRC initiated the scoping process with the issuance of the Federal Register Notice. The NRC invited the applicant, federal, state, local, and tribal government agencies, local organizations, and individuals to participate in the scoping process by providing oral comments at scheduled public meetings and/or submitting written suggestions and comments no later than October 12, 2007.

The scoping process included two public scoping meetings, which were both held on September 19, 2007, at Colonial Terrace, 119 Oregon Road, Cortlandt Manor, New York. The NRC issued press releases and distributed flyers locally. Both sessions began with NRC staff members providing a brief overview of the license renewal process and the NEPA process. Following the NRC's prepared statements, the meetings were open for public comments. Approximately 50 attendees provided oral comments that were recorded and transcribed by a certified court reporter.

The meeting summary, which was issued on October 24, 2007, and the associated transcripts can be found in the NRC PDR or in ADAMS at Accession No. ML072851079. The transcripts of the meetings can be found in ADAMS at Accession Numbers ML072830682 and ML072890209.

Appendix A

- 1 The scoping summary contains all comments received on the review, as well as the NRC staff's
- 2 responses to those comments. Comments received on the draft SEIS will be included in this
- 3 Appendix of the final SEIS.

Appendix B

Contributors to the Supplement

Appendix B

Contributors to the Supplement

The Office of Nuclear Reactor Regulation, U.S. Nuclear Regulatory Commission, had overall responsibility for the preparation of this supplement, assisted by staff from other NRC organizations, AECOM, and Pacific Northwest National Laboratory.

Name	Function or Expertise
U.S. Nuclear Regulatory Commission	
Andrew Stuyvenberg	Environmental Project Manager/Alternatives
Rani Franovich	Branch Chief
David Wrona	Branch Chief
Bo Pham	Branch Chief
Dennis Beissel	Hydrology/Water Use
Elizabeth Wexler	Ecology
Dennis Logan	Ecology
Briana Balsam	Ecology
Jeffrey Rikhoff	Socioeconomics/Land Use/Env. Justice
Jennifer Davis	Historical/Archeological Resources
Steve Klementowicz	Radiation Protection/Human Health
Andrew Carrera	Radiation Protection/Human Health
Ekaterina Lenning	Air Quality
Robert Palla	Severe Accident Mitigation Alternatives
Earth Tech, Inc.	
Roberta Hurley	Project Manager
Kevin Taylor	Alternatives
Stephen Duda	Ecology
Stephen Dillard	Terrestrial Ecology
Ed Kaczmarczyk	Air Quality
Matthew Goodwin	Historical/Archeological Resources
Robert Dover	Alternatives/Nuclear Fuel Cycle
Katie Broom	Project Coordinator

Appendix B

Name	Function or Expertise
Nicole Spangler	Project Support
Bonnie Freeman	Administrative Support
Pacific Northwest National Laboratory	
Jeffrey A. Ward	Aquatic Ecology
Valerie Cullinan	Aquatic Ecology
Lance W. Vail	Hydrology/Water Use

1

Appendix C

**Chronology of NRC Staff Environmental Review
Correspondence Related to the Entergy Nuclear
Operations, Inc.**

**Application for License Renewal of Indian Point
Nuclear Generating Unit Nos. 2 and 3**

Appendix C

Chronology of NRC Staff Environmental Review Correspondence Related to the Entergy Nuclear Operations, Inc., Application for License Renewal of Indian Point Nuclear Generating Unit Nos. 2 and 3

This appendix contains a chronological listing of correspondence between the U.S. Nuclear Regulatory Commission (NRC) and Entergy Nuclear Operations, Inc., (Entergy) and other correspondence related to the NRC staff's environmental review, under Title 10, Part 51, "Environmental Protection Regulations for Domestic Licensing and Related Regulatory Functions," of the *Code of Federal Regulations* (10 CFR Part 51), of Entergy's application for renewal of the operating licenses for Indian Point Nuclear Generating Unit Nos. 2 and 3. All documents, with the exception of those containing proprietary information, have been placed in the NRC's Public Document Room, at One White Flint North, 11555 Rockville Pike (first floor), Rockville, Maryland, and are available electronically from the Public Electronic Reading Room found on the Internet at <http://www.nrc.gov/reading-rm.html>. From this site, the public can gain access to the NRC's Agencywide Documents Access and Management System (ADAMS), which provides text and image files of NRC's public documents in the Publicly Available Records component of ADAMS. The ADAMS accession numbers for each document are included below.

April 23, 2007	Letter to NRC from Entergy forwarding the application for renewal of operating licenses for Indian Point Nuclear Generating Units 2 and 3, requesting extension of operating licenses for an additional 20 years. (Accession No. ML071207512)
April 23, 2007	Letter to NRC from Entergy forwarding a copy of reference documents used in preparing the Environmental Report (Appendix E) for the Indian Point Nuclear Generating Units 2 and 3 license renewal application. (Accession No. ML071210108)
May 7, 2007	Letter to Entergy from NRC, "Receipt and Availability of the License Renewal Application for Indian Point Nuclear Generating Unit Nos. 2 and 3." (Accession No. ML071080133)
May 7, 2007	Letter to Ms. Patricia Thorsen, White Plains Public Library, from NRC, "Maintenance of Reference Materials at the White Plains Public Library Related to the Review of the Entergy Nuclear Operations, Inc., License Renewal Application." (Accession No. ML071070518)
May 7, 2007	Letter to Ms. Resa Getman, Hendrick Hudson Free Library, from NRC, "Maintenance of Reference Materials at the Hendrick Hudson

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- 1 Free Library Related to the Review of the Entergy Nuclear
2 Operations, Inc., License Renewal Application.” (Accession
3 No. ML071080080)
- 4 May 7, 2007 Letter to Ms. Susan Thaler, The Field Library, from NRC,
5 “Maintenance of Reference Materials at The Field Library Related to
6 the Review of the Entergy Nuclear Operations, Inc., License Renewal
7 Application.” (Accession No. ML071080122)
- 8 July 25, 2007 Letter to Entergy from NRC transmitting “Determination of
9 Acceptability and Sufficiency for Docketing, Proposed Review
10 Schedule, and Opportunity for a Hearing Regarding the Application
11 from Entergy Nuclear Operations, Inc. for Renewal of Operating
12 Licenses for Indian Point Nuclear Generating Unit Nos. 2 and 3.”
13 (Accession No. ML071900365)
- 14 August 6, 2007 Letter to Entergy from NRC, “Notice of Intent to Prepare an
15 Environmental Impact Statement and Conduct Scoping Process for
16 License Renewal for Indian Pont Nuclear Generating Unit Nos. 2 and
17 3,” and forwarding *Federal Register* notice. (Accession
18 No. ML071840939)
- 19 August 9, 2007 Memorandum on “Forthcoming Meeting to Discuss Environmental
20 Scoping Process for Indian Point Nuclear Generating Unit Nos. 2 and
21 3 License Renewal Application.” (Accession No. ML072180296)
- 22 August 9, 2007 Letter to New York State Office of Parks, Recreation, and Historic
23 Preservation from NRC, “Indian Point Nuclear Generating Unit Nos. 2
24 and 3 (Indian Point) License Renewal Application Review (SHPO
25 No. 06PR06720).” (Accession No. ML072130333)
- 26 August 9, 2007 Letter to Advisory Council on Historic Preservation from NRC, “Indian
27 Point Nuclear Generating Unit Nos. 2 and 3 License Renewal
28 Application Review.” (Accession No. ML072130367)
- 29 August 16, 2007 Letter to Mr. David Stillwell, U.S. Fish and Wildlife Service (USFWS),
30 “Request for List of Protected Species Within the Area Under
31 Evaluation for the Indian Point Nuclear Generating Unit Nos. 2 and 3
32 License Renewal Application Review.” (Accession
33 No. ML072130211)
- 34 August 16, 2007 Letter to Mr. Peter Colosi, National Marine Fisheries Service (NMFS),
35 “Request for List of Protected Species and Essential Fish Habitat
36 Within the Area Under Evaluation for the Indian Point Nuclear
37 Generating Unit Nos. 2 and 3 License Renewal Application Review.”
38 (Accession No. ML072130388)
- 39 August 24, 2007 Letter to Mr. Andy Warrior, Absentee Shawnee Tribe of Oklahoma,

1 "Request for Comments Concerning the Indian Point Nuclear
2 Generating Unit Nos. 2 and 3 License Renewal Application Review."
3 (Accession No. ML072250103)

4 August 24, 2007 Letter to The Honorable Maurice John, Cattaraugus Reservation,
5 Seneca Nation, "Request for Comments Concerning the Indian Point
6 Nuclear Generating Unit Nos. 2 and 3 License Renewal Application
7 Review." (Accession No. ML072250171)

8 August 24, 2007 Letter to Mr. Clint Halftown, Cayuga Nation, "Request for Comments
9 Concerning the Indian Point Nuclear Generating Unit Nos. 2 and 3
10 License Renewal Application Review." (Accession
11 No. ML072250394)

12 August 24, 2007 Letter to Ms. Nikki Owings-Crumm, Delaware Nation, "Request for
13 Comments Concerning the Indian Point Nuclear Generating Unit
14 Nos. 2 and 3 License Renewal Application Review." (Accession
15 No. ML072250459)

16 August 24, 2007 Letter to The Honorable Jerry Douglas, Delaware Tribe of Indians,
17 "Request for Comments Concerning the Indian Point Nuclear
18 Generating Unit Nos. 2 and 3 License Renewal Application Review."
19 (Accession No. ML072250488)

20 August 24, 2007 Letter to The Honorable C.W. Longlow, Echota Chickamauga
21 Cherokee Tribe of New Jersey, "Request for Comments Concerning
22 the Indian Point Nuclear Generating Unit Nos. 2 and 3 License
23 Renewal Application Review." (Accession No. ML072250534)

24 August 24, 2007 Letter to The Honorable Michael Thomas, Mashantucket Pequot
25 Tribe, "Request for Comments Concerning the Indian Point Nuclear
26 Generating Unit Nos. 2 and 3 License Renewal Application Review."
27 (Accession No. ML072260033)

28 August 24, 2007 Letter to Ms. Jeanne Schbotte, Mohegan Tribe, "Request for
29 Comments Concerning the Indian Point Nuclear Generating Unit
30 Nos. 2 and 3 License Renewal Application Review." (Accession
31 No. ML072260047)

32 August 24, 2007 Letter to Mr. Ray Halbritter, Oneida Indian Nation of New York,
33 "Request for Comments Concerning the Indian Point Nuclear
34 Generating Unit Nos. 2 and 3 License Renewal Application Review."
35 (Accession No. ML072260201)

36 August 24, 2007 Letter to Council of Chiefs, Onondaga Nation, "Request for Comments
37 Concerning the Indian Point Nuclear Generating Unit Nos. 2 and 3
38 License Renewal Application Review." (Accession
39 No. ML072260245)

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- 1 August 24, 2007 Letter to The Honorable Dwaine Perry, Ramapough Lenape, "Request
2 for Comments Concerning the Indian Point Nuclear Generating Unit
3 Nos. 2 and 3 License Renewal Application Review." (Accession
4 No. ML072260491)
- 5 August 24, 2007 Letter to Mr. Mike John, Seneca Nation of Indians, "Request for
6 Comments Concerning the Indian Point Nuclear Generating Unit
7 Nos. 2 and 3 License Renewal Application Review." (Accession
8 No. ML072260519)
- 9 August 24, 2007 Letter to Mr. Randy Kind, Shinnecock Tribe, "Request for Comments
10 Concerning the Indian Point Nuclear Generating Unit Nos. 2 and 3
11 License Renewal Application Review." (Accession
12 No. ML072270070)
- 13 August 24, 2007 Letter to The Honorable Harry B. Wallace, Unkechaug Nation,
14 "Request for Comments Concerning the Indian Point Nuclear
15 Generating Unit Nos. 2 and 3 License Renewal Application Review."
16 (Accession No. ML072270113)
- 17 August 24, 2007 Letter to The Honorable Leo Henry, Tuscarora Nation, "Request for
18 Comments Concerning the Indian Point Nuclear Generating Unit
19 Nos. 2 and 3 License Renewal Application Review." (Accession
20 No. ML072270548)
- 21 August 24, 2007 Letter to The Honorable Roger Hill, Tonawanda Band of Senecas,
22 "Request for Comments Concerning the Indian Point Nuclear
23 Generating Unit Nos. 2 and 3 License Renewal Application Review."
24 (Accession No. ML072270590)
- 25 August 24, 2007 Letter to Ms. Sherry White, Stockbridge-Munsee Community Band of
26 Mohican Indians, "Request for Comments Concerning the Indian Point
27 Nuclear Generating Unit Nos. 2 and 3 License Renewal Application
28 Review" (Accession No. ML072270615)
- 29 August 24, 2007 Letter to Mr. Ken Jock, St. Regis Mohawk Tribal Council, "Request for
30 Comments Concerning the Indian Point Nuclear Generating Unit
31 Nos. 2 and 3 License Renewal Application Review." (Accession
32 No. ML072280045)
- 33 August 29, 2007 Letter to NRC from USFWS, "Indian Point Nuclear Generating Unit
34 Nos. 2 and 3 Protected Species Response." (Accession
35 No. ML0732307840)
- 36 October 4, 2007 Letter to NRC from NMFS regarding endangered species near Indian
37 Point Nuclear Generating Unit Nos. 2 and 3. (Accession No.
38 ML073340068)

1 October 5, 2007 Letter to NRC from New York State Department of Environmental
2 Conservation (NYSDEC), "Indian Point Units 2 and 3 Relicensing
3 Extension Request for Scoping Comments on SEIS." (Accession
4 No. ML072820746)

5 October 10, 2007 Letter to NRC from NYSDEC, "Indian Point Units 2 and 3 Relicensing
6 Extension Request for Scoping Comments on SEIS." (Accession
7 No. ML072900470)

8 October 11, 2007 Letter to NYSDEC from NRC regarding extension request for scoping
9 comments. (Accession No. ML072840275)

10 October 24, 2007 "Meeting Summary of Public Environmental Scoping Meetings
11 Related to the Review of the Indian Point Nuclear Generating Unit
12 Nos. 2 and 3, License Renewal Application (TAC nos. MD5411 and
13 MD5412)." (Accession No. ML072851079)

14 November 8, 2007 Summary of Site Audit Related to the Review of the License Renewal
15 Application for Indian Point Nuclear Generating Unit Nos. 2 and 3.
16 (Accession No. ML073050267)

17 November 14, 2007 Letter to NRC from Entergy, "Supplement to License Renewal
18 Application (LRA) Environmental Report References." (Accession
19 No. ML073330590)

20 November 27, 2007 Letter to NYSDEC from NRC, "Request for List of State Protected
21 Species Within the Area Under Evaluation for the Indian Point Nuclear
22 Generating Unit Nos. 2 and 3 License Renewal Application Review."
23 (Accession No. ML073190161)

24 December 5, 2007 Letter to Entergy from NRC, "Request for Additional Information
25 Regarding Environmental Review for Indian Point Nuclear Generating
26 Unit Nos. 2 and 3 License Renewal (TAC nos. MD5411 and
27 MD5412)." (Accession No. ML073330931)

28 December 7, 2007 Letter to Entergy from NRC, "Request for Additional Information
29 Regarding Severe Accident Mitigation Alternatives for Indian Point
30 Nuclear Generating Unit Nos. 2 and 3 License Renewal (TAC
31 nos. MD5411 and MD5412)." (Accession No. ML073110447)

32 December 20, 2007 Letter to NRC from Entergy, "Supplement to License Renewal
33 Application (LRA)—Environmental Report References." (Accession
34 No. ML080080205)

35 December 28, 2007 Letter to NRC from NYSDEC regarding rare or State-listed animals
36 and plants, significant natural communities, and other habitats on or in
37 the vicinity of the Indian Point site. (Accession No. ML080070085,
38 withheld from public disclosure per request by NYSDEC)

Appendix C

1	January 4, 2008	Letter to NRC from Entergy, "Reply to Request for Additional Information Regarding Environmental Review for License Renewal Application." (Accession No. ML080110372)
2		
3		
4	January 10, 2008	Letter to NRC from Entergy, "Supplemental Response to Request for Additional Information Regarding Environmental Review for License Renewal Application." (Accession No. ML080220165)
5		
6		
7	January 30, 2008	Letter to NRC from Entergy, "Supplemental Response to Request for Additional Information Regarding Environmental Review for License Renewal Application." (Accession No. ML080380096)
8		
9		
10	February 20, 2008	Letter to NRC from Entergy, "Document Request for Additional Information Regarding Environmental Review for License Renewal Application—Electronic Copy of Impingement Data—Tables 4-1 and 4-2 of the 1990 Annual Report (EA 1991)." (Accession No. ML080580408)
11		
12		
13		
14		
15	February 28, 2008	Letter to NRC from NMFS, "Essential Fish Habitat Information Request for Docket Nos. 50-247 and 50-286; Indian Point Nuclear Generating Unit Nos. 2 and 3 License Renewal; at the Village of Buchanan, Town of Cortlandt, Westchester County, NY." (Accession No. ML080990403)
16		
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19		
20	March 7, 2008	Letter to NRC from Entergy, "Document Request for Additional Information Regarding Environmental Review for License Renewal Application—Hudson River Fisheries Program Data (Year Class Report)." (Accession No. ML080770457)
21		
22		
23		
24	April 9, 2008	Letter to Entergy from NRC, "Request for Additional Information Regarding the Review of the License Renewal Application for Indian Point Nuclear Generating Unit Nos. 2 and 3 (TAC nos. MD5411 and MD5412)." (Accession No. ML080880104)
25		
26		
27		
28	April 14, 2008	Letter to Entergy from NRC, "Request for Additional Information Regarding the Review of the License Renewal Application for Indian Point Nuclear Generating Unit Nos. 2 and 3 (TAC nos. MD5411 and MD5412)." (Accession No. ML080940408)
29		
30		
31		
32	April 23, 2008	Letter to Entergy from NRC, "Revision of Schedule for the Review of the Indian Point Nuclear Generating Unit Nos. 2 and 3 License Renewal Application (TAC nos. MD5411 and MD5412)." (Accession No. ML081000441)
33		
34		
35		
36	April 23, 2008	Letter to NRC from Entergy, "Reply to Document Request for Additional Information Regarding Site Audit Review of License Renewal Application for Indian Point Nuclear Generating Unit Nos. 2 and 3." (Accession No. ML081230243)
37		
38		
39		

- 1 May 14, 2008 Letter to NRC from Entergy, "Reply to Request for Additional
2 Information Regarding License Renewal Application—Refurbishment."
3 (Accession No. ML081440052)
- 4 May 22, 2008 Letter to NRC from Entergy, "Supplemental Reply to Request for
5 Additional Information Regarding License Renewal Application—
6 Severe Accident Mitigation Alternatives Analysis." (Accession
7 No. ML081490336)

Appendix D

Organizations Contacted

Appendix D

Organizations Contacted

- The U.S. Nuclear Regulatory Commission contacted the following Federal, State, regional, and local agencies, and Native American Tribes, during its independent review of the environmental impacts related to the application by Entergy Nuclear Operations, Inc., for renewal of the operating licenses for Indian Point Nuclear Generating Unit Nos. 2 and 3:
- Absentee Shawnee Tribe of Oklahoma
 - Cattaraugus Reservation, Seneca Nation
 - Cayuga Nation
 - Delaware Nation
 - Delaware Tribe of Indians
 - Echota Chickamauga Cherokee Tribe of New Jersey
 - National Marine Fisheries Service
 - New York State Department of Environmental Conservation
 - New York State Office of Parks, Recreation and Historic Preservation, Historic Preservation Field Services Bureau
 - Oneida Indian Nation of New York
 - Onondaga Nation
 - Ramapough Lenape, Ramapough Tribal Office
 - Seneca Nation of Indians
 - Seneca Nation Tribal Historic Preservation
 - Shinnecock Tribe
 - St. Regis Mohawk Tribal Council
 - Stockbridge-Munsee Community Band of Mohican Indians, Tribal Historic Preservation Office
 - The Mashantucket Pequot Tribe (CT)
 - The Mohegan Tribe (CT)
 - Tonawanda Band of Senecas
 - Tuscarora Nation
 - Unkechaug Nation
 - U.S. Environmental Protection Agency, Region 2
 - U.S. Fish and Wildlife Service

Appendix E

Indian Point Nuclear Generating Unit Numbers 2 and 3 Compliance Status and Consultation Correspondence

Appendix E

Indian Point Nuclear Generating Unit Nos. 2 and 3 Compliance Status and Consultation Correspondence

Consultation correspondence related to the evaluation of the application for renewal of the operating licenses for Indian Point Nuclear Generating Units 2 and 3 (IP2 and IP3, respectively) is identified in Table E-1. Copies of the correspondence are included in this appendix.

The licenses, permits, consultations, and other approvals obtained from Federal, State, regional, and local authorities for SSES are listed in Table E-2.

Table E-1. Consultation Correspondence

Source	Recipient	Date of Letter
U.S. Nuclear Regulatory Commission (R. Franovich)	State Historical Preservation Office (Office of Parks, Recreation, and Historic Preservation, R. L. Pierpont)	August 9, 2007
U.S. Nuclear Regulatory Commission (R. Franovich)	Advisory Council on Historic Preservation (D. Klima)	August 9, 2007
U.S. Nuclear Regulatory Commission (R. Franovich)	U.S. Fish and Wildlife Service (D. Stillwell)	August 16, 2007
U.S. Nuclear Regulatory Commission (R. Franovich)	National Marine Fisheries Commission (P. Colosi)	August 16, 2007
U.S. Nuclear Regulatory Commission (R. Franovich)	Absentee Shawnee Tribe of Oklahoma (A. Warrior)	August 24, 2007
U.S. Nuclear Regulatory Commission (R. Franovich)	Cattaraugus Reservation, Seneca Nation (The Hon. M. John)	August 24, 2007
U.S. Nuclear Regulatory Commission (R. Franovich)	Cayuga Nation (C. Halftown)	August 24, 2007
U.S. Nuclear Regulatory Commission (R. Franovich)	Delaware Nation (N. Owings-Crumm)	August 24, 2007
U.S. Nuclear Regulatory Commission (R. Franovich)	Delaware Tribe of Indian (The Hon. J. Douglas)	August 24, 2007

Appendix E

Source	Recipient	Date of Letter
U.S. Nuclear Regulatory Commission (R. Franovich)	Echota Chickamauga Cherokee Tribe of New Jersey (The Hon. C.W. Longlow)	August 24, 2007
U.S. Nuclear Regulatory Commission (R. Franovich)	Mashantucket Pequot Tribe (The Hon. M. Thomas)	August 24, 2007
U.S. Nuclear Regulatory Commission (R. Franovich)	Mohegan Tribe (J. Schbotte)	August 24, 2007
U.S. Nuclear Regulatory Commission (R. Franovich)	Oneida Indian Nation of New York (R. Halbritter)	August 24, 2007
U.S. Nuclear Regulatory Commission (R. Franovich)	Onondaga Nation (Council of Chiefs)	August 24, 2007
U.S. Nuclear Regulatory Commission (R. Franovich)	Ramapough Lenape (The Hon. D. Perry)	August 24, 2007
U.S. Nuclear Regulatory Commission (R. Franovich)	Seneca Nation of Indians (M. John)	August 24, 2007
U.S. Nuclear Regulatory Commission (R. Franovich)	Shinnecock Tribe (R. Kind)	August 24, 2007
U.S. Nuclear Regulatory Commission (R. Franovich)	Unkechaug Nation (The Hon. H. B. Wallace)	August 24, 2007
U.S. Nuclear Regulatory Commission (R. Franovich)	Tuscarora Nation (The Hon. L. Henry)	August 24, 2007
U.S. Nuclear Regulatory Commission (R. Franovich)	Tonawanda Band of Senecas (The Hon. R. Hill)	August 24, 2007
U.S. Nuclear Regulatory Commission (R. Franovich)	Stockbridge-Munsee Community of Mohican Indians (S. White)	August 24, 2007
U.S. Nuclear Regulatory Commission (R. Franovich)	St. Regis Mohawk (K. Jock)	August 24, 2007
U.S. Nuclear Regulatory Commission (R. Franovich)	New York State Dept. of Environmental Conservation (J. Pietrusiak)	November 11, 2007
U.S. Fish and Wildlife Service (M. VanDonsell and R. Niver)	U.S. Nuclear Regulatory Commission (R. Franovich)	August 29, 2007
Delaware Nation (D. Nieto)	U.S. Nuclear Regulatory Commission	September 5, 2007
National Marine Fisheries Service (M. A. Colligan)	U.S. Nuclear Regulatory Commission (R. Franovich)	October 4, 2007

Source	Recipient	Date of Letter
New York State Department of Environmental Conservation (T. Seoane)	U.S. Nuclear Regulatory Commission (R. Franovich)	December 28, 2007
National Marine Fisheries Service (P. Colosi)	U.S. Nuclear Regulatory Commission (R. Franovich)	February 28, 2008

1 **Table E-2.** Federal, State, Local, and Regional Licenses, Permits, Consultations, and Other
2 Approvals for the Indian Point site

Agency	Authority	Description	Number	Issue Date	Expiration Date	Remarks
NRC	10 CFR Part 50	Possession License, Indian Point Unit 1	DPR-5		09/28/13	Authorizes SAFSTOR for Unit 1
NRC	10 CFR Part 50	Operating license, IP2	DPR-26		09/28/13	Authorizes operation of IP2
NRC	10 CFR Part 50	Operating license, IP3	DPR-64		12/10/15	Authorizes operation of IP3
DOT	49 CFR 107	IP2 Hazardous Materials Certificate of Registration	062706552061 0Q		06/30/09	Radioactive and hazardous materials shipments
DOT	49 CFR 107	IP3 Hazardous Materials Certificate of Registration	062706552069 0Q		06/30/09	Radioactive and hazardous materials shipments
EPA	40 CFR Part 264	IP2 Hazardous Solid Waste Amendment Permit	NYD991304411		10/14/02	Accumulation and temporary onsite storage of mixed waste for >90 days
EPA	40 CFR Part 264	IP3 Hazardous Solid Waste Amendment Permit	NYD085503746		10/17/01	Accumulation and temporary onsite storage of mixed waste for >90 days

Appendix E

Agency	Authority	Description	Number	Issue Date	Expiration Date	Remarks
NYSDE C	6 NYCRR Part 325	IP2 Pesticide Application Business Registration	12696		04/30/09	Pesticide application
NYSDE C	6 NYCRR Part 325	IP3 Pesticide Application Business Registration	13163		04/30/09	Pesticide application
NYSDE C	6 NYCRR Parts 704 and 750	IP1, 2, and 3 SPDES Permit	NY 000 4472		10/01/92	Discharge of wastewaters and stormwaters to waters of the State
NYSDE C	6 NYCRR Part 704	Simulator Transformer Vault SPDES Permit	NY 025 0414		03/01/08	Discharge of wastewaters to waters of the State
NYSDE C	6 NYCRR Part 704	Tank Farm SPDES Permit	NY 025 1135		02/10/10	Discharge of wastewaters to waters of the State
NYSDE C	6 NYCRR Part 704	Buchanan Gas Turbine SPDES Permit	NY 022 4826		03/01/08	Discharge of wastewaters to waters of the State
NYSDE C	6 NYCRR Part 750	ISFSI Stormwater SPDES General Permit for Construction Activities	NYR 10H166		NA	Stormwater discharge during construction of dry cask spent fuel storage
NYSDE C	6 NYCRR Parts 200 and 201	IP2 Air Permit	3-5522- 00011/00026		NA	Operation of air emission sources (boilers, turbines and generators)
NYSDE C	6 NYCRR Parts 200 and 201	IP3 Air Permit	3-5522- 00105/00009		NA	Operation of air emission sources (boilers, turbines and generators)
NYSDE C	6 NYCRR Part 596	IP2 Hazardous Substance Bulk Storage Registration Certificate	3-000107		09/04/07	Onsite bulk storage of hazardous substances
NYSDE C	6 NYCRR Part 596	IP3 Hazardous Substance Bulk Storage Registration Certificate	3-000071		08/16/08	Onsite bulk storage of hazardous substances

Agency	Authority	Description	Number	Issue Date	Expiration Date	Remarks
NYSDE C	6 NYCRR Part 610	IP2 Major Oil Storage Facility	3-2140		--	Onsite bulk storage of >400,000 gallons of petroleum products
NYSDE C	6 NYCRR Part 372	IP2 Hazardous Waste Generator Identification	NYD000765073		NA	Hazardous waste generation
NYSDE C	6 NYCRR Part 372	IP3 Hazardous Waste Generator Identification	NYD000765073		NA	Hazardous waste generation
NYSDE C	6 NYCRR Part 373	IP2 Hazardous Waste Part 373 Permit	NYD991304411		02/28/07	Accumulation and temporary onsite storage of mixed waste for >90 days
WCDO H	Chapter 873, Article XIII, Section 873.1306.1 of the Laws of Westchester County	IP2 Gas Turbine 1 Air Permit	#00021	NA	12/31/06	Operation of an air contamination source
WCDO H	Chapter 873, Article XIII, Section 873.1306.1 of the Laws of Westchester County	IP2 Gas Turbine 2 Air Permit	#00022	NA	12/31/06	Operation of an air contamination source
WCDO H	Chapter 873, Article XIII, Section 873.1306.1 of the Laws of Westchester County	IP2 Gas Turbine 3 Air Permit	#00023	NA	12/31/06	Operation of an air contamination source
WCDO H	Chapter 873, Article XIII, Section 873.1306.1 of the Laws of Westchester County	IP2 Boiler Permit	52-4493		NA	Operation of an air contamination source
WCDO H	Chapter 873, Article XIII, Section 873.1306.1 of the Laws of Westchester County	IP2 Vapor Extractor Air Permit	52-5682		12/31/06	Operation of an air contamination source
WCDO H	Chapter 873, Article XIII, Section 873.1306.1 of the Laws of Westchester County	IP3 Boiler Permit	52-6497		NA	Operation of an air contamination source

Appendix E

Agency	Authority	Description	Number	Issue Date	Expiration Date	Remarks
WCDO H	Chapter 873, Article XIII, Section 873.1306.1 of the Laws of Westchester County	IP3 Training Center Boiler Permit	52-6498		NA	Operation of an air contamination source
WCDO H	Chapter 873, Article XIII, Section 873.1306.1 of the Laws of Westchester County	IP3 Vapor Extractor Air Permit	--		--	Operation of an air contamination source
WCDO H	Westchester County Sanitary Code, Article XXV	IP3 Petroleum Bulk Storage Registration Certificate	3-166367		09/10/07	Onsite Bulk Storage of Petroleum Products
SCDHE C	Act No. 429 of 1980, South Carolina Radioactive Waste Transportation and Disposal Act	IP2 South Carolina Radioactive Waste Transport Permit	0019-31-07		12/31/07	Transportation of radioactive waste into the State of South Carolina.
SCDHE C	Act No. 429 of 1980, South Carolina Radioactive Waste Transportation and Disposal Act	IP3 South Carolina Radioactive Waste Transport Permit	0072-31-07		12/31/07	Transportation of radioactive waste into the State of South Carolina.
TDEC	Tennessee Department of Environment and Conservation Regulations	IP2 Tennessee Radioactive Waste-License-for-Delivery	T-NY-010-L07		12/31/07	Shipment of radioactive material into Tennessee to a disposal/processing facility.
TDEC	Tennessee Department of Environment and Conservation Regulations	IP3 Tennessee Radioactive Waste-License-for-Delivery	T-NY-005-L07		12/31/07	Shipment of radioactive material into Tennessee to a disposal/processing facility.

Agency	Authority	Description	Number	Issue Date	Expiration Date	Remarks
^(a) Application pending.						
CFR	=	<i>Code of Federal Regulations</i>				
DOT	=	U.S. Department of Transportation				
NA	=	not applicable				
NRC	=	U.S. Nuclear Regulatory Commission				
NYCRR	=	New York Codes, Rules, and Regulations				
NYSDEC	=	New York State Department of Environmental Conservation				
SCDHEC	=	South Carolina Department of Health and Environmental Control				
SPDES	=	State Pollutant Discharge Elimination System				
TDEC	=	Tennessee Department of Environment and Conservation				
USC	=	<i>United States Code</i>				
WCDOH	=	Westchester County Department of Health				

August 9, 2007

Ms. Ruth L. Pierpont, Director
New York State Office of Parks, Recreation
and Historic Preservation
Historic Preservation Field Services Bureau
Peebles Island, P.O. Box 189
Waterford, NY 12188-0189

SUBJECT: INDIAN POINT NUCLEAR GENERATING UNIT NOS. 2 & 3 (INDIAN POINT)
LICENSE RENEWAL APPLICATION REVIEW (SHPO NO. 06PR06720)

Dear Ms. Pierpont:

The U.S. Nuclear Regulatory Commission (NRC) staff is reviewing an application to renew the operating license for Indian Point, which is located in Buchanan, NY, approximately 24 miles north of the New York City boundary line. Indian Point is operated by Entergy Nuclear Operations, Inc. (Entergy). The application for renewal was submitted by Entergy by letter dated April 23, 2007, and supplemented by letters dated May 3, and June 21, 2007, pursuant to Title 10 of the *Code of Federal Regulations* Part 54 (10 CFR Part 54).

The NRC has established that, as part of the staff's review of any nuclear power plant license renewal action, a site-specific Supplemental Environmental Impact Statement (SEIS) to its "Generic Environmental Impact Statement for License Renewal of Nuclear Plants," NUREG-1437, will be prepared under the provisions of 10 CFR Part 51, the NRC's regulation that implements the National Environmental Policy Act of 1969 (NEPA). In accordance with 36 CFR 800.8(c), the SEIS will include analyses of potential impacts to historic and cultural resources.

In the context of the National Historic Preservation Act of 1966, as amended, the NRC staff has determined that the area of potential effect (APE) for a license renewal action is the area at the power plant site and its immediate environs that may be impacted by post-license renewal land-disturbing operations or projected refurbishment activities associated with the proposed action. The APE may extend beyond the immediate environs in those instances where post-license renewal land-disturbing operations or projected refurbishment activities specifically related to license renewal may potentially have an effect on known or proposed historic sites. This determination is made irrespective of ownership or control of the lands of interest.

On September 19, 2007, the NRC will conduct two public NEPA scoping meetings at the Colonial Terrace, located at 119 Oregon Road in Cortlandt Manor, NY. You and your staff are invited to attend. Your office will receive a copy of the draft SEIS along with a request for comments. The staff expects to publish the draft SEIS in July 2008.

R. Pierpont

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If you have any questions or require additional information, please contact Ms. Jill Caverly, Environmental Project Manager, by phone at 301-415-6699 or by email at jsc1@nrc.gov.

Sincerely,

/RA/

Rani Franovich, Branch Chief
Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation

Docket Nos. 50-247 and 50-286

cc: See next page

Appendix E

August 9, 2007

Mr. Don L. Klima, Director
Advisory Council on Historic Preservation
Office of Federal Agency Programs
1100 Pennsylvania Ave, NW, Suite 803
Washington, DC 20004

SUBJECT: INDIAN POINT GENERATING UNIT NOS. 2 & 3 LICENSE RENEWAL
APPLICATION REVIEW

Dear Mr. Klima:

The U.S. Nuclear Regulatory Commission (NRC and the staff) is reviewing an application to renew the operating licenses for Indian Point Generating Unit Nos. 2 & 3 (Indian Point) which is located in Buchanan, New York, approximately 24 miles north of the New York City boundary line. Indian Point is operated by Entergy Nuclear Operations, Inc. (Entergy). The application for renewal was submitted by Entergy by letter dated April 23, 2007, and supplemented by letters dated May 3, and June 21, 2007, pursuant to Title 10 of the *Code of Federal Regulations* Part 54 (10 CFR Part 54).

The NRC has established that, as part of the staff's review of any nuclear power plant license renewal action, a site-specific Supplemental Environmental Impact Statement (SEIS) to its "Generic Environmental Impact Statement for License Renewal of Nuclear Plants," NUREG-1437, will be prepared under the provisions of 10 CFR Part 51, the NRC's regulation that implements the National Environmental Policy Act of 1969 (NEPA). In accordance with 36 CFR 800.8(c), the SEIS will include analyses of potential impacts to historic and cultural resources.

The NRC staff plans to hold two public NEPA scoping meetings on September 19, 2007, at Colonial Terrace, located at 119 Oregon Road in Cortlandt Manor, New York. The first meeting will convene at 1:30 p.m. and will continue until 4:30 p.m., as necessary. The second meeting will convene at 7:00 p.m., with a repeat of the overview portions of the first meeting, and will continue until 10:00 p.m., as necessary. In addition, staff will conduct a site audit September 10-14, 2007, at Indian Point. You and your staff are invited to attend both the public meetings and the site audit. Your office will receive a copy of the draft SEIS along with a request for comments. The anticipated publication date for the draft SEIS is late July 2008.

D. Klima

-2-

If you have any questions or require additional information, please contact the Environmental Project Manager, Ms. Jill Caverly at 301-415-6699 or via e-mail at jsc@nrc.gov.

Sincerely,

/RA/

Rani Franovich, Branch Chief
Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation

Docket Nos. 50-247 and 50-268

cc: See next page

August 16, 2007

Mr. David Stillwell
Field Supervisor
U.S. Fish and Wildlife Service
New York Field Office
3817 Luker Road
Cortland, NY 13045

SUBJECT: REQUEST FOR LIST OF PROTECTED SPECIES WITHIN THE AREA UNDER
EVALUATION FOR THE INDIAN POINT NUCLEAR GENERATING UNIT NOS.
2 & 3 LICENSE RENEWAL APPLICATION REVIEW

Dear Mr. David Stillwell:

The U.S. Nuclear Regulatory Commission (NRC) is reviewing an application submitted by Entergy Nuclear Operations, Inc., for the renewal of the operating licenses for Indian Point Nuclear Generating Unit Nos. 2 & 3 (Indian Point). Indian Point is located in Buchanan, New York, approximately 24 miles north of the New York City boundary line. As part of the review of the license renewal application (LRA), the NRC is preparing a Supplemental Environmental Impact Statement (SEIS) under the provisions of Title 10 of the *Code of Federal Regulations* Part 51 (10 CFR Part 51), the NRC's regulation that implements the National Environmental Policy Act (NEPA) of 1969. The SEIS includes an analysis of pertinent environmental issues, including endangered or threatened species and impacts to fish and wildlife. This letter is being submitted under the provisions of the Endangered Species Act of 1973, as amended, and the Fish and Wildlife Coordination Act of 1934, as amended.

The proposed action is to renew the facility operating licenses for Indian Point for an additional 20 years beyond the expiration of the current operating licenses. The proposed action would include the use and continued maintenance of existing plant facilities and transmission lines. The Indian Point site covers approximately 239 acres. Indian Point is bordered on the north, south and east by partially wooded privately owned land and on the west by the Hudson River. Enclosures 1 and 2 provide a general overview of the site location and site layout.

Indian Point is equipped with a once-through open-cycle cooling system that withdraws cooling water from and discharges back into the Hudson River. The intake system includes seven bays for each unit located at the shore. Six 96-inch pipes discharge water beneath the water's surface within a 40-foot wide discharge canal.

The transmission lines in the scope of NRC's environmental review for license renewal are those that were originally constructed for the specific purpose of connecting the plant to the transmission system. The transmission line corridor to the Buchanan Substation (approximately 2100 feet southeast from the reactors, just across Broadway from the facility's main entrance) is located in the industrial portion of the site, except for where the lines cross Broadway. This transmission line corridor is being evaluated as part of the environmental review process.

D. Stillwell

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The enclosed transmission line map shows the transmission system that is being evaluated in the SEIS. Two 345-kilovolt (kV) lines connect Indian Point to the Buchanan Substation. This corridor also includes 138-kV transmission lines that supply offsite power from the substation into Indian Point.

To support the SEIS preparation process and to ensure compliance with Section 7 of the Endangered Species Act, the NRC requests information on Federally-listed, proposed, and candidate species and critical habitat that may be in the vicinity of Indian Point and its associated transmission line rights-of-way. In addition, please provide any information you consider appropriate under the provisions of the Fish and Wildlife Coordination Act.

The NRC staff plans to hold two public NEPA scoping meetings on September 19, 2007, at Colonial Terrace, located at 119 Oregon Road in Cortlandt Manor, New York. The first meeting will convene at 1:30 p.m. and will continue until 4:30 p.m., as necessary. The second meeting will convene at 7:00 p.m., with a repeat of the overview portions of the first meeting, and will continue until 10:00 p.m., as necessary. In addition, the NRC staff plans to conduct a site audit at Indian Point during the week of September 10, 2007. You and your staff are invited to attend both the public meetings and the site audit. Your office will receive a copy of the draft SEIS along with a request for comments. The anticipated publication date for the draft SEIS is late July 2008.

If you have any questions concerning the NRC staff's review of this LRA, please contact Ms. Jill Caverly, Project Manager, at 301-415-8450 or via e-mail at jsc1@nrc.gov.

Sincerely,

/RA/

Rani Franovich, Branch Chief
Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation

Docket Nos. 50-247 and 50-286

Enclosures:

1. Site Location
2. Site Layout

cc w/encls: See next page

August 16, 2007

Mr. Peter Colosi
Habitat Conservation Coordinator
National Marine Fisheries Service
One Blackburn Drive
Glouster, MA 01930

SUBJECT: REQUEST FOR LIST OF PROTECTED SPECIES AND ESSENTIAL FISH
HABITAT WITHIN THE AREA UNDER EVALUATION FOR THE INDIAN POINT
NUCLEAR GENERATING UNIT NOS. 2 AND 3 LICENSE RENEWAL
APPLICATION REVIEW

Dear Mr. Colosi:

The U.S. Nuclear Regulatory Commission (NRC) is reviewing an application submitted by Entergy Nuclear Operations, Inc. for the renewal of the operating licenses for Indian Point Nuclear Generating Unit Nos. 2 and 3 (Indian Point). Indian Point is located in Buchanan, NY, approximately 24 miles north of the New York City boundary line. As part of the review of the license renewal application (LRA), the NRC is preparing a Supplemental Environmental Impact Statement (SEIS) under the provisions of Title 10 of the *Code of Federal Regulations* Part 51 (10 CFR Part 51), the NRC's regulation that implements the National Environmental Policy Act (NEPA) of 1969. The SEIS includes an analysis of pertinent environmental issues, including endangered or threatened species and impacts to marine resources and habitat. This letter is being submitted under the provisions of the Endangered Species Act of 1973, as amended; the Fish and Wildlife Coordination Act of 1934, as amended; and the Sustainable Fisheries Act of 1996.

The proposed action is to renew the facility operating licenses for Indian Point for an additional 20 years beyond the expiration of the current operating licenses. The proposed action would include the use and continued maintenance of existing plant facilities and transmission lines. The Indian Point site covers approximately 239 acres. Indian Point is bordered on the north, south and east by partially wooded privately owned land and on the west by the Hudson River. Enclosures 1 and 2 provide a general overview of the site location and site layout.

Indian Point is equipped with a once-through open-cycle cooling system that withdraws cooling water from and discharges back into the Hudson River. The intake system includes seven bays for each unit located at the shore. Six 96-inch pipes discharge water beneath the water's surface within a 40-foot wide discharge canal.

P. Colosi

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The transmission lines in the scope of NRC's environmental review for license renewal are those that were originally constructed for the specific purpose of connecting the plant to the transmission system. The transmission line corridor to the Buchanan Substation (approximately 2100 feet southeast from the reactors, just across Broadway from the facility's main entrance) is located in the industrial portion of the site, except for where the lines cross Broadway. This transmission line corridor is being evaluated as part of the SEIS process. The enclosed transmission line map shows the transmission system that is being evaluated in the SEIS. Two 345-kilovolt (kV) lines connect Indian Point to the Buchanan Substation. This corridor also includes 138-kV transmission lines that supply offsite power from the substation into Indian Point.

To support the SEIS preparation process and to ensure compliance with Section 7 of the Endangered Species Act, the NRC requests information on Federally listed, proposed, and candidate species and critical habitat that may be in the vicinity of the Indian Point site. In addition, please provide any information you consider appropriate under the provisions of the Fish and Wildlife Coordination Act. Also, in support of the SEIS preparation and to ensure compliance with Section 305 of the Magnuson-Stevens Fishery Conservation and Management Act, the NRC requests a list of essential fish habitats that have been designated in the vicinity of the Indian Point site.

On September 19, 2007, the NRC staff plans to hold two public NEPA scoping meetings at the Colonial Terrace, located at 119 Oregon Rd. in Cortlandt Manor, NY. The first session will convene at 1:30 p.m. and will continue until 4:30 p.m., as necessary. The second session will convene at 7:00 p.m., with a repeat of the overview portions of the meeting, and will continue until 10:00 p.m., as necessary. The NRC staff plans to conduct a site audit at the Indian Point site during the week of September 10, 2007. You and your staff are invited to attend both the public meetings and the site audit. In addition, your office will receive a copy of the draft SEIS along with a request for comments. The anticipated publication date for the draft SEIS is July 2008.

If you have any questions concerning the NRC staff review of this LRA, please contact Ms. Jill Caverly, Project Manager at 301-415-6699 or jsc1@nrc.gov.

Sincerely,

/RA/
Rani Franovich, Branch Chief
Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation

Docket Nos. 50-247 and 50-286

Enclosures:
As stated

cc w/encls: See next page

Appendix E

August 24, 2007

Mr. Andy Warrior
Director, Cultural Preservation
Absentee Shawnee Tribe of Oklahoma
2025 S. Gordon Cooper Drive
Shawnee, OK 74801

SUBJECT: REQUEST FOR COMMENTS CONCERNING THE INDIAN POINT NUCLEAR
GENERATING UNIT NOS. 2 AND 3 LICENSE RENEWAL APPLICATION
REVIEW

Dear Mr. Warrior:

The U.S. Nuclear Regulatory Commission (NRC) is seeking input for its environmental review of an application from Entergy Nuclear Operations (Entergy) for the renewal of the operating licenses for the Indian Point Nuclear Generating Unit Nos. 2 and 3 (Indian Point), located in Buchanan, NY, approximately 24 miles north of the New York City boundary line. Indian Point is in close proximity to lands that may be of interest to the Absentee Shawnee Tribe of Oklahoma. As described below, the NRC's process includes an opportunity for public and inter-governmental participation in the environmental review. We want to ensure that you are aware of our efforts and, pursuant to Title 10 of the *Code of Federal Regulations* (10 CFR) Part 51, Section 51.28(b), the NRC invites the Absentee Shawnee Tribe of Oklahoma to provide input to the scoping process relating to the NRC's environmental review of the application. In addition, as outlined in 36 CFR 800.8(c), the NRC plans to coordinate compliance with Section 106 of the National Historic Preservation Act of 1966 through the requirements of the National Environmental Policy Act of 1969.

Under NRC regulations, the original operating license for a nuclear power plant is issued for up to 40 years. The license may be renewed for up to an additional 20 years if NRC requirements are met. The current operating licenses for Indian Point will expire in September, 2013, and December, 2015. Entergy submitted its application for renewal of the Indian Point operating licenses in a letter dated April 23, 2007, as supplemented by letters dated May 3 and June 21, 2007.

The NRC is gathering information for an Indian Point site-specific supplement to its "Generic Environmental Impact Statement for License Renewal of Nuclear Plants" (GEIS), NUREG-1437. The supplement will contain the results of the review of the environmental impacts on the area surrounding the Indian Point site related to terrestrial ecology, aquatic ecology, hydrology, cultural resources, and socioeconomic issues (among others), and will contain a recommendation regarding the environmental acceptability of the license renewal action.

A. Warrior

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To accommodate interested members of the public, the NRC will hold two public scoping meetings for the Indian Point license renewal supplement to the GEIS on Wednesday, September 19, 2007, at The Colonial Terrace, located at 119 Oregon Rd. in Cortlandt Manor, NY. The first session will convene at 1:30 p.m. and will continue until 4:30 p.m., as necessary. The second session will convene at 7:00 p.m., with a repeat of the overview portions of the meeting, and will continue until 10:00 p.m., as necessary. Additionally, the NRC staff will host informal discussions one hour before the start of each session.

The license renewal application (LRA) is publicly available at the NRC Public Document Room (PDR), located at One White Flint North, 11555 Rockville Pike, Rockville, Maryland 20852, or from the NRC's Agencywide Documents Access and Management System (ADAMS). The ADAMS Public Electronic Reading Room is accessible at <http://adamswebsearch.nrc.gov/dologin.html>. The accession number for the LRA is ML071210507. Persons who do not have access to ADAMS, or who encounter problems in accessing the documents located in ADAMS, should contact the NRC's PDR Reference staff by telephone at 1-800-397-4209 or 301-415-4737, or by e-mail at pdrc@nrc.gov.

The Indian Point LRA is also available on the Internet at <http://www.nrc.gov/reactors/operating/licensing/renewal/applications/indian-point.html>. In addition, the Hendrick Hudson Free Library, located in Montrose, NY, the Field Library, located in Peekskill, NY, and the White Plains Public Library located in White Plains, NY, have agreed to make the LRA available for public inspection.

The GEIS, which documents the NRC's assessment of the scope and impact of environmental effects that would be associated with license renewal at any nuclear power plant site, can also be found on the NRC's website or at the NRC's PDR.

Please submit any comments that the Absentee Shawnee Tribe of Oklahoma may have to offer on the scope of the environmental review by October 12, 2007. Written comments should be submitted by mail to the Chief, Rules and Directives Branch, Division of Administrative Services, Mail Stop T-6D59, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001. Electronic comments may be submitted to the NRC by e-mail at IndianPointEIS@nrc.gov. At the conclusion of the scoping process, the NRC staff will prepare a summary of the significant issues identified and the conclusions reached, and mail a copy to you.

Appendix E

A. Warrior

-3-

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Sincerely,

/RA Christian Jacobs for/

Rani L. Franovich, Branch Chief
Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation

Docket Nos. 50-247 and 50-286

cc: See next page

August 24, 2007

The Honorable Maurice John, President
Cattaraugus Reservation, Seneca Nation
140 Rt. 438
Irving, NY 14081

SUBJECT: REQUEST FOR COMMENTS CONCERNING THE INDIAN POINT NUCLEAR
GENERATING UNIT NOS. 2 AND 3 LICENSE RENEWAL APPLICATION
REVIEW

Dear President John:

The U.S. Nuclear Regulatory Commission (NRC) is seeking input for its environmental review of an application from Entergy Nuclear Operations (Entergy) for the renewal of the operating licenses for the Indian Point Nuclear Generating Unit Nos. 2 and 3 (Indian Point), located in Buchanan, NY, approximately 24 miles north of the New York City boundary line. Indian Point is in close proximity to lands that may be of interest to the Cattaraugus Reservation, Seneca Nation. As described below, the NRC's process includes an opportunity for public and inter-governmental participation in the environmental review. We want to ensure that you are aware of our efforts and, pursuant to Title 10 of the *Code of Federal Regulations* (10 CFR) Part 51, Section 51.28(b), the NRC invites the Cattaraugus Reservation, Seneca Nation to provide input to the scoping process relating to the NRC's environmental review of the application. In addition, as outlined in 36 CFR 800.8(c), the NRC plans to coordinate compliance with Section 106 of the National Historic Preservation Act of 1966 through the requirements of the National Environmental Policy Act of 1969.

Under NRC regulations, the original operating license for a nuclear power plant is issued for up to 40 years. The license may be renewed for up to an additional 20 years if NRC requirements are met. The current operating licenses for Indian Point will expire in September, 2013, and December, 2015. Entergy submitted its application for renewal of the Indian Point operating licenses in a letter dated April 23, 2007, as supplemented by letters dated May 3 and June 21, 2007.

The NRC is gathering information for an Indian Point site-specific supplement to its "Generic Environmental Impact Statement for License Renewal of Nuclear Plants" (GEIS), NUREG-1437. The supplement will contain the results of the review of the environmental impacts on the area surrounding the Indian Point site related to terrestrial ecology, aquatic ecology, hydrology, cultural resources, and socioeconomic issues (among others), and will contain a recommendation regarding the environmental acceptability of the license renewal action.

Appendix E

M. John

-2-

To accommodate interested members of the public, the NRC will hold two public scoping meetings for the Indian Point license renewal supplement to the GEIS on Wednesday, September 19, 2007, at The Colonial Terrace, located at 119 Oregon Rd. in Cortlandt Manor, NY. The first session will convene at 1:30 p.m. and will continue until 4:30 p.m., as necessary. The second session will convene at 7:00 p.m., with a repeat of the overview portions of the meeting, and will continue until 10:00 p.m., as necessary. Additionally, the NRC staff will host informal discussions one hour before the start of each session.

The license renewal application (LRA) is publicly available at the NRC Public Document Room (PDR), located at One White Flint North, 11555 Rockville Pike, Rockville, Maryland 20852, or from the NRC's Agencywide Documents Access and Management System (ADAMS). The ADAMS Public Electronic Reading Room is accessible at <http://adamswebsearch.nrc.gov/dologin.html>. The accession number for the LRA is ML071210507. Persons who do not have access to ADAMS, or who encounter problems in accessing the documents located in ADAMS, should contact the NRC's PDR Reference staff by telephone at 1-800-397-4209 or 301-415-4737, or by e-mail at pdrc@nrc.gov.

The Indian Point LRA is also available on the Internet at <http://www.nrc.gov/reactors/operating/licensing/renewal/applications/indian-point.html>. In addition, the Hendrick Hudson Free Library, located in Montrose, NY, the Field Library, located in Peekskill, NY, and the White Plains Public Library located in White Plains, NY, have agreed to make the LRA available for public inspection.

The GEIS, which documents the NRC's assessment of the scope and impact of environmental effects that would be associated with license renewal at any nuclear power plant site, can also be found on the NRC's website or at the NRC's PDR.

Please submit any comments that the Cattaraugus Reservation, Seneca Nation may have to offer on the scope of the environmental review by October 12, 2007. Written comments should be submitted by mail to the Chief, Rules and Directives Branch, Division of Administrative Services, Mail Stop T-6D59, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001. Electronic comments may be submitted to the NRC by e-mail at IndianPointEIS@nrc.gov. At the conclusion of the scoping process, the NRC staff will prepare a summary of the significant issues identified and the conclusions reached, and mail a copy to you.

M. John

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Sincerely,

/RA Christian Jacobs for/

Rani L. Franovich, Branch Chief
Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation

Docket Nos. 50-247 and 50-286

cc: See next page

Appendix E

August 24, 2007

Mr. Clint Halftown
Representative
Cayuga Nation
P.O. Box 11
Versailles, NY 14168

SUBJECT: REQUEST FOR COMMENTS CONCERNING THE INDIAN POINT NUCLEAR
GENERATING UNIT NOS. 2 AND 3 LICENSE RENEWAL APPLICATION
REVIEW

Dear Mr. Halftown:

The U.S. Nuclear Regulatory Commission (NRC) is seeking input for its environmental review of an application from Entergy Nuclear Operations (Entergy) for the renewal of the operating licenses for the Indian Point Nuclear Generating Unit Nos. 2 and 3 (Indian Point), located in Buchanan, NY, approximately 24 miles north of the New York City boundary line. Indian Point is in close proximity to lands that may be of interest to the Cayuga Nation. As described below, the NRC's process includes an opportunity for public and inter-governmental participation in the environmental review. We want to ensure that you are aware of our efforts and, pursuant to Title 10 of the *Code of Federal Regulations* (10 CFR) Part 51, Section 51.28(b), the NRC invites the Cayuga Nation to provide input to the scoping process relating to the NRC's environmental review of the application. In addition, as outlined in 36 CFR 800.8(c), the NRC plans to coordinate compliance with Section 106 of the National Historic Preservation Act of 1966 through the requirements of the National Environmental Policy Act of 1969.

Under NRC regulations, the original operating license for a nuclear power plant is issued for up to 40 years. The license may be renewed for up to an additional 20 years if NRC requirements are met. The current operating licenses for Indian Point will expire in September, 2013, and December, 2015. Entergy submitted its application for renewal of the Indian Point operating licenses in a letter dated April 23, 2007, as supplemented by letters dated May 3 and June 21, 2007.

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C. Halftown

-2-

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The GEIS, which documents the NRC's assessment of the scope and impact of environmental effects that would be associated with license renewal at any nuclear power plant site, can also be found on the NRC's website or at the NRC's PDR.

Please submit any comments that the Cayuga Nation may have to offer on the scope of the environmental review by October 12, 2007. Written comments should be submitted by mail to the Chief, Rules and Directives Branch, Division of Administrative Services, Mail Stop T-6D59, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001. Electronic comments may be submitted to the NRC by e-mail at IndianPointEIS@nrc.gov. At the conclusion of the scoping process, the NRC staff will prepare a summary of the significant issues identified and the conclusions reached, and mail a copy to you.

Appendix E

C. Halftown

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Sincerely,

/RA Christian Jacobs for/

Rani L. Franovich, Branch Chief
Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation

Docket Nos. 50-247 and 50-286

cc: See next page

August 24, 2007

Ms. Nikki Owings-Crumm
Environmental Director
Delaware Nation
P.O. Box 825
Andarko, OK 73005

SUBJECT: REQUEST FOR COMMENTS CONCERNING THE INDIAN POINT NUCLEAR
GENERATING UNIT NOS. 2 AND 3 LICENSE RENEWAL APPLICATION
REVIEW

Dear Ms. Owings-Crumm:

The U.S. Nuclear Regulatory Commission (NRC) is seeking input for its environmental review of an application from Entergy Nuclear Operations (Entergy) for the renewal of the operating licenses for the Indian Point Nuclear Generating Unit Nos. 2 and 3 (Indian Point), located in Buchanan, NY, approximately 24 miles north of the New York City boundary line. Indian Point is in close proximity to lands that may be of interest to the Delaware Nation. As described below, the NRC's process includes an opportunity for public and inter-governmental participation in the environmental review. We want to ensure that you are aware of our efforts and, pursuant to Title 10 of the *Code of Federal Regulations* (10 CFR) Part 51, Section 51.28(b), the NRC invites the Delaware Nation to provide input to the scoping process relating to the NRC's environmental review of the application. In addition, as outlined in 36 CFR 800.8(c), the NRC plans to coordinate compliance with Section 106 of the National Historic Preservation Act of 1966 through the requirements of the National Environmental Policy Act of 1969.

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Appendix E

N. Owings-Crumm

-2-

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Sincerely,

/RA Christian Jacobs for/

Rani L. Franovich, Branch Chief
Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation

Docket Nos. 50-247 and 50-286

cc: See next page

Appendix E

August 24, 2007

The Honorable Jerry Douglas, Chief
Delaware Tribe of Indians
Delaware Tribal Headquarters
170 North East Barbara
Bartlesville, OK 74006

SUBJECT: REQUEST FOR COMMENTS CONCERNING THE INDIAN POINT NUCLEAR
GENERATING UNIT NOS. 2 AND 3 LICENSE RENEWAL APPLICATION
REVIEW

Dear Chief Douglas:

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J. Douglas

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Appendix E

J. Douglas

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Sincerely,

/RA Christian Jacobs for/

Rani L. Franovich, Branch Chief
Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation

Docket Nos. 50-247 and 50-286

cc: See next page

August 24, 2007

The Honorable C.W. Longlow, Chief
Echota Chickamauga Cherokee Tribe
of New Jersey
1164 Stuyvesant Avenue
Irvington, NJ 07111

SUBJECT: REQUEST FOR COMMENTS CONCERNING THE INDIAN POINT NUCLEAR
GENERATING UNIT NOS. 2 AND 3 LICENSE RENEWAL APPLICATION
REVIEW

Dear Chief Longlow:

The U.S. Nuclear Regulatory Commission (NRC) is seeking input for its environmental review of an application from Entergy Nuclear Operations (Entergy) for the renewal of the operating licenses for the Indian Point Nuclear Generating Unit Nos. 2 and 3 (Indian Point), located in Buchanan, NY, approximately 24 miles north of the New York City boundary line. Indian Point is in close proximity to lands that may be of interest to the Echota Chickamauga Cherokee Tribe of New Jersey. As described below, the NRC's process includes an opportunity for public and inter-governmental participation in the environmental review. We want to ensure that you are aware of our efforts and, pursuant to Title 10 of the *Code of Federal Regulations* (10 CFR) Part 51, Section 51.28(b), the NRC invites the Echota Chickamauga Cherokee Tribe of New Jersey to provide input to the scoping process relating to the NRC's environmental review of the application. In addition, as outlined in 36 CFR 800.8(c), the NRC plans to coordinate compliance with Section 106 of the National Historic Preservation Act of 1966 through the requirements of the National Environmental Policy Act of 1969.

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Appendix E

C.W. Longlow

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/RA Christian Jacobs for/

Rani L. Franovich, Branch Chief
Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation

Docket Nos. 50-247 and 50-286

cc: See next page

Appendix E

August 24, 2007

The Honorable Michael Thomas, Chairman
Mashantucket Pequot Tribe
110 Pequot Trail
P.O. Box 3180
Mashantucket, CT 06339

SUBJECT: REQUEST FOR COMMENTS CONCERNING THE INDIAN POINT NUCLEAR
GENERATING UNIT NOS. 2 AND 3 LICENSE RENEWAL APPLICATION
REVIEW

Dear Chairman Thomas:

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Please submit any comments that the Mashantucket Pequot Tribe may have to offer on the scope of the environmental review by October 12, 2007. Written comments should be submitted by mail to the Chief, Rules and Directives Branch, Division of Administrative Services, Mail Stop T-6D59, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001. Electronic comments may be submitted to the NRC by e-mail at IndianPointEIS@nrc.gov. At the conclusion of the scoping process, the NRC staff will prepare a summary of the significant issues identified and the conclusions reached, and mail a copy to you.

Appendix E

M. Thomas

-3-

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Sincerely,

/RA Christian Jacobs for/

Rani L. Franovich, Branch Chief
Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation

Docket Nos. 50-247 and 50-286

cc: See next page

August 24, 2007

Ms. Jeanne Schbotte
Mohegan Tribe
5 Crow Hill Road
Uncasville, CT 06382

SUBJECT: REQUEST FOR COMMENTS CONCERNING THE INDIAN POINT NUCLEAR
GENERATING UNIT NOS. 2 AND 3 LICENSE RENEWAL APPLICATION
REVIEW

Dear Ms. Schbotte:

The U.S. Nuclear Regulatory Commission (NRC) is seeking input for its environmental review of an application from Entergy Nuclear Operations (Entergy) for the renewal of the operating licenses for the Indian Point Nuclear Generating Unit Nos. 2 and 3 (Indian Point), located in Buchanan, NY, approximately 24 miles north of the New York City boundary line. Indian Point is in close proximity to lands that may be of interest to the Mohegan Tribe. As described below, the NRC's process includes an opportunity for public and inter-governmental participation in the environmental review. We want to ensure that you are aware of our efforts and, pursuant to Title 10 of the *Code of Federal Regulations* (10 CFR) Part 51, Section 51.28(b), the NRC invites the Mohegan Tribe to provide input to the scoping process relating to the NRC's environmental review of the application. In addition, as outlined in 36 CFR 800.8(c), the NRC plans to coordinate compliance with Section 106 of the National Historic Preservation Act of 1966 through the requirements of the National Environmental Policy Act of 1969.

Under NRC regulations, the original operating license for a nuclear power plant is issued for up to 40 years. The license may be renewed for up to an additional 20 years if NRC requirements are met. The current operating licenses for Indian Point will expire in September, 2013, and December, 2015. Entergy submitted its application for renewal of the Indian Point operating licenses in a letter dated April 23, 2007, as supplemented by letters dated May 3 and June 21, 2007.

The NRC is gathering information for an Indian Point site-specific supplement to its "Generic Environmental Impact Statement for License Renewal of Nuclear Plants" (GEIS), NUREG-1437. The supplement will contain the results of the review of the environmental impacts on the area surrounding the Indian Point site related to terrestrial ecology, aquatic ecology, hydrology, cultural resources, and socioeconomic issues (among others), and will contain a recommendation regarding the environmental acceptability of the license renewal action.

Appendix E

J. Schbotte

-2-

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The license renewal application (LRA) is publicly available at the NRC Public Document Room (PDR), located at One White Flint North, 11555 Rockville Pike, Rockville, Maryland 20852, or from the NRC's Agencywide Documents Access and Management System (ADAMS). The ADAMS Public Electronic Reading Room is accessible at <http://adamswebsearch.nrc.gov/dologin.html>. The accession number for the LRA is ML071210507. Persons who do not have access to ADAMS, or who encounter problems in accessing the documents located in ADAMS, should contact the NRC's PDR Reference staff by telephone at 1-800-397-4209 or 301-415-4737, or by e-mail at pdrc@nrc.gov.

The Indian Point LRA is also available on the Internet at <http://www.nrc.gov/reactors/operating/licensing/renewal/applications/indian-point.html>. In addition, the Hendrick Hudson Free Library, located in Montrose, NY, the Field Library, located in Peekskill, NY, and the White Plains Public Library located in White Plains, NY, have agreed to make the LRA available for public inspection.

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Please submit any comments that the Mohegan Tribe may have to offer on the scope of the environmental review by October 12, 2007. Written comments should be submitted by mail to the Chief, Rules and Directives Branch, Division of Administrative Services, Mail Stop T-6D59, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001. Electronic comments may be submitted to the NRC by e-mail at IndianPointEIS@nrc.gov. At the conclusion of the scoping process, the NRC staff will prepare a summary of the significant issues identified and the conclusions reached, and mail a copy to you.

J. Schbotte

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Sincerely,

/RA Christian Jacobs for/

Rani L. Franovich, Branch Chief
Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation

Docket Nos. 50-247 and 50-286

cc: See next page

Appendix E

August 24, 2007

Mr. Ray Halbritter, Nation Representative
Oneida Indian Nation of New York
Genessee Street, Ames Plaza
Oneida, NY 13421

SUBJECT: REQUEST FOR COMMENTS CONCERNING THE INDIAN POINT NUCLEAR
GENERATING UNIT NOS. 2 AND 3 LICENSE RENEWAL APPLICATION
REVIEW

Dear Mr. Halbritter:

The U.S. Nuclear Regulatory Commission (NRC) is seeking input for its environmental review of an application from Entergy Nuclear Operations (Entergy) for the renewal of the operating licenses for the Indian Point Nuclear Generating Unit Nos. 2 and 3 (Indian Point), located in Buchanan, NY, approximately 24 miles north of the New York City boundary line. Indian Point is in close proximity to lands that may be of interest to the Oneida Indian Nation of New York. As described below, the NRC's process includes an opportunity for public and inter-governmental participation in the environmental review. We want to ensure that you are aware of our efforts and, pursuant to Title 10 of the *Code of Federal Regulations* (10 CFR) Part 51, Section 51.28(b), the NRC invites the Oneida Indian Nation of New York to provide input to the scoping process relating to the NRC's environmental review of the application. In addition, as outlined in 36 CFR 800.8(c), the NRC plans to coordinate compliance with Section 106 of the National Historic Preservation Act of 1966 through the requirements of the National Environmental Policy Act of 1969.

Under NRC regulations, the original operating license for a nuclear power plant is issued for up to 40 years. The license may be renewed for up to an additional 20 years if NRC requirements are met. The current operating licenses for Indian Point will expire in September, 2013, and December, 2015. Entergy submitted its application for renewal of the Indian Point operating licenses in a letter dated April 23, 2007, as supplemented by letters dated May 3 and June 21, 2007.

The NRC is gathering information for an Indian Point site-specific supplement to its "Generic Environmental Impact Statement for License Renewal of Nuclear Plants" (GEIS), NUREG-1437. The supplement will contain the results of the review of the environmental impacts on the area surrounding the Indian Point site related to terrestrial ecology, aquatic ecology, hydrology, cultural resources, and socioeconomic issues (among others), and will contain a recommendation regarding the environmental acceptability of the license renewal action.

R. Halbritter

-2-

To accommodate interested members of the public, the NRC will hold two public scoping meetings for the Indian Point license renewal supplement to the GEIS on Wednesday, September 19, 2007, at The Colonial Terrace, located at 119 Oregon Rd. in Cortlandt Manor, NY. The first session will convene at 1:30 p.m. and will continue until 4:30 p.m., as necessary. The second session will convene at 7:00 p.m., with a repeat of the overview portions of the meeting, and will continue until 10:00 p.m., as necessary. Additionally, the NRC staff will host informal discussions one hour before the start of each session.

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Please submit any comments that the Oneida Indian Nation of New York may have to offer on the scope of the environmental review by October 12, 2007. Written comments should be submitted by mail to the Chief, Rules and Directives Branch, Division of Administrative Services, Mail Stop T-6D59, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001. Electronic comments may be submitted to the NRC by e-mail at IndianPointEIS@nrc.gov. At the conclusion of the scoping process, the NRC staff will prepare a summary of the significant issues identified and the conclusions reached, and mail a copy to you.

Appendix E

R. Halbritter

-3-

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Sincerely,

/RA Christian Jacobs for/

Rani L. Franovich, Branch Chief
Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation

Docket Nos. 50-247 and 50-286

cc: See next page

August 24, 2007

Council of Chiefs
Onondaga Nation
258 C Route 11a
Onondaga Nation
Nedrow, NY 13120

SUBJECT: REQUEST FOR COMMENTS CONCERNING THE INDIAN POINT NUCLEAR
GENERATING UNIT NOS. 2 AND 3 LICENSE RENEWAL APPLICATION
REVIEW

Dear Council Members:

The U.S. Nuclear Regulatory Commission (NRC) is seeking input for its environmental review of an application from Entergy Nuclear Operations (Entergy) for the renewal of the operating licenses for the Indian Point Nuclear Generating Unit Nos. 2 and 3 (Indian Point), located in Buchanan, NY, approximately 24 miles north of the New York City boundary line. Indian Point is in close proximity to lands that may be of interest to the Onondaga Nation. As described below, the NRC's process includes an opportunity for public and inter-governmental participation in the environmental review. We want to ensure that you are aware of our efforts and, pursuant to Title 10 of the *Code of Federal Regulations* (10 CFR) Part 51, Section 51.28(b), the NRC invites the Onondaga Nation to provide input to the scoping process relating to the NRC's environmental review of the application. In addition, as outlined in 36 CFR 800.8(c), the NRC plans to coordinate compliance with Section 106 of the National Historic Preservation Act of 1966 through the requirements of the National Environmental Policy Act of 1969.

Under NRC regulations, the original operating license for a nuclear power plant is issued for up to 40 years. The license may be renewed for up to an additional 20 years if NRC requirements are met. The current operating licenses for Indian Point will expire in September, 2013, and December, 2015. Entergy submitted its application for renewal of the Indian Point operating licenses in a letter dated April 23, 2007, as supplemented by letters dated May 3 and June 21, 2007.

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Appendix E

Council of Chiefs

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Please submit any comments that the Onondaga Nation may have to offer on the scope of the environmental review by October 12, 2007. Written comments should be submitted by mail to the Chief, Rules and Directives Branch, Division of Administrative Services, Mail Stop T-6D59, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001. Electronic comments may be submitted to the NRC by e-mail at IndianPointEIS@nrc.gov. At the conclusion of the scoping process, the NRC staff will prepare a summary of the significant issues identified and the conclusions reached, and mail a copy to you.

Council of Chiefs

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Sincerely,

/RA Christian Jacobs for/

Rani L. Franovich, Branch Chief
Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation

Docket Nos. 50-247 and 50-286

cc: See next page

Appendix E

August 24, 2007

The Honorable Dwaine Perry, Chief
Ramapough Lenape
Ramapough Tribal Office
189 Stag Hill Road
Mahwah, NJ 07430

SUBJECT: REQUEST FOR COMMENTS CONCERNING THE INDIAN POINT NUCLEAR
GENERATING UNIT NOS. 2 AND 3 LICENSE RENEWAL APPLICATION
REVIEW

Dear Chief Perry:

The U.S. Nuclear Regulatory Commission (NRC) is seeking input for its environmental review of an application from Entergy Nuclear Operations (Entergy) for the renewal of the operating licenses for the Indian Point Nuclear Generating Unit Nos. 2 and 3 (Indian Point), located in Buchanan, NY, approximately 24 miles north of the New York City boundary line. Indian Point is in close proximity to lands that may be of interest to the Ramapough Lenape. As described below, the NRC's process includes an opportunity for public and inter-governmental participation in the environmental review. We want to ensure that you are aware of our efforts and, pursuant to Title 10 of the *Code of Federal Regulations* (10 CFR) Part 51, Section 51.28(b), the NRC invites the Ramapough Lenape to provide input to the scoping process relating to the NRC's environmental review of the application. In addition, as outlined in 36 CFR 800.8(c), the NRC plans to coordinate compliance with Section 106 of the National Historic Preservation Act of 1966 through the requirements of the National Environmental Policy Act of 1969.

Under NRC regulations, the original operating license for a nuclear power plant is issued for up to 40 years. The license may be renewed for up to an additional 20 years if NRC requirements are met. The current operating licenses for Indian Point will expire in September, 2013, and December, 2015. Entergy submitted its application for renewal of the Indian Point operating licenses in a letter dated April 23, 2007, as supplemented by letters dated May 3 and June 21, 2007.

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D. Perry

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D. Perry

-3-

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Sincerely,

/RA Christian Jacobs for/

Rani L. Franovich, Branch Chief
Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation

Docket Nos. 50-247 and 50-286

cc: See next page

August 24, 2007

Mr. Mike John
Conservationist
Seneca Nation of Indians
P.O. Box 231
Salamanca, NY 14479

SUBJECT: REQUEST FOR COMMENTS CONCERNING THE INDIAN POINT NUCLEAR
GENERATING UNIT NOS. 2 AND 3 LICENSE RENEWAL APPLICATION
REVIEW

Dear Mr. John:

The U.S. Nuclear Regulatory Commission (NRC) is seeking input for its environmental review of an application from Entergy Nuclear Operations (Entergy) for the renewal of the operating licenses for the Indian Point Nuclear Generating Unit Nos. 2 and 3 (Indian Point), located in Buchanan, NY, approximately 24 miles north of the New York City boundary line. Indian Point is in close proximity to lands that may be of interest to the Seneca Nation of Indians. As described below, the NRC's process includes an opportunity for public and inter-governmental participation in the environmental review. We want to ensure that you are aware of our efforts and, pursuant to Title 10 of the *Code of Federal Regulations* (10 CFR) Part 51, Section 51.28(b), the NRC invites the Seneca Nation of Indians to provide input to the scoping process relating to the NRC's environmental review of the application. In addition, as outlined in 36 CFR 800.8(c), the NRC plans to coordinate compliance with Section 106 of the National Historic Preservation Act of 1966 through the requirements of the National Environmental Policy Act of 1969.

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Sincerely,

/RA Christian Jacobs for/

Rani L. Franovich, Branch Chief
Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation

Docket Nos. 50-247 and 50-286

cc: See next page

August 24, 2007

Mr. Randy Kind, Chairman
Shinnecock Tribe
Rte 27-A, Montauk Hwy
Southampton, NY 11968

SUBJECT: REQUEST FOR COMMENTS CONCERNING THE INDIAN POINT NUCLEAR
GENERATING UNIT NOS. 2 AND 3 LICENSE RENEWAL APPLICATION
REVIEW

Dear Chairman Kind:

The U.S. Nuclear Regulatory Commission (NRC) is seeking input for its environmental review of an application from Entergy Nuclear Operations (Entergy) for the renewal of the operating licenses for the Indian Point Nuclear Generating Unit Nos. 2 and 3 (Indian Point), located in Buchanan, NY, approximately 24 miles north of the New York City boundary line. Indian Point is in close proximity to lands that may be of interest to the Shinnecock Tribe. As described below, the NRC's process includes an opportunity for public and inter-governmental participation in the environmental review. We want to ensure that you are aware of our efforts and, pursuant to Title 10 of the *Code of Federal Regulations* (10 CFR) Part 51, Section 51.28(b), the NRC invites the Shinnecock Tribe to provide input to the scoping process relating to the NRC's environmental review of the application. In addition, as outlined in 36 CFR 800.8(c), the NRC plans to coordinate compliance with Section 106 of the National Historic Preservation Act of 1966 through the requirements of the National Environmental Policy Act of 1969.

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R. Kind

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The Indian Point LRA is also available on the Internet at <http://www.nrc.gov/reactors/operating/licensing/renewal/applications/indian-point.html>. In addition, the Hendrick Hudson Free Library, located in Montrose, NY, the Field Library, located in Peekskill, NY, and the White Plains Public Library located in White Plains, NY, have agreed to make the LRA available for public inspection.

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Please submit any comments that the Shinnecock Tribe may have to offer on the scope of the environmental review by October 12, 2007. Written comments should be submitted by mail to the Chief, Rules and Directives Branch, Division of Administrative Services, Mail Stop T-6D59, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001. Electronic comments may be submitted to the NRC by e-mail at IndianPointEIS@nrc.gov. At the conclusion of the scoping process, the NRC staff will prepare a summary of the significant issues identified and the conclusions reached, and mail a copy to you.

Appendix E

R. Kind

-3-

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Sincerely,

/RA Christian Jacobs for/

Rani L. Franovich, Branch Chief
Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation

Docket Nos. 50-247 and 50-286

cc: See next page

August 24, 2007

The Honorable Harry B. Wallace, Chief
Unkechaug Nation
P.O. Box 86
Mastic, New York 11950

SUBJECT: REQUEST FOR COMMENTS CONCERNING THE INDIAN POINT NUCLEAR
GENERATING UNIT NOS. 2 AND 3 LICENSE RENEWAL APPLICATION
REVIEW

Dear Chief Wallace:

The U.S. Nuclear Regulatory Commission (NRC) is seeking input for its environmental review of an application from Entergy Nuclear Operations (Entergy) for the renewal of the operating licenses for the Indian Point Nuclear Generating Unit Nos. 2 and 3 (Indian Point), located in Buchanan, NY, approximately 24 miles north of the New York City boundary line. Indian Point is in close proximity to lands that may be of interest to the Unkechaug Nation. As described below, the NRC's process includes an opportunity for public and inter-governmental participation in the environmental review. We want to ensure that you are aware of our efforts and, pursuant to Title 10 of the *Code of Federal Regulations* (10 CFR) Part 51, Section 51.28(b), the NRC invites the Unkechaug Nation to provide input to the scoping process relating to the NRC's environmental review of the application. In addition, as outlined in 36 CFR 800.8(c), the NRC plans to coordinate compliance with Section 106 of the National Historic Preservation Act of 1966 through the requirements of the National Environmental Policy Act of 1969.

Under NRC regulations, the original operating license for a nuclear power plant is issued for up to 40 years. The license may be renewed for up to an additional 20 years if NRC requirements are met. The current operating licenses for Indian Point will expire in September, 2013, and December, 2015. Entergy submitted its application for renewal of the Indian Point operating licenses in a letter dated April 23, 2007, as supplemented by letters dated May 3 and June 21, 2007.

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Appendix E

H. Wallace

-2-

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H. Wallace

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Sincerely,

/RA Christian Jacobs for/

Rani L. Franovich, Branch Chief
Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation

Docket Nos. 50-247 and 50-286

cc: See next page

Appendix E

August 24, 2007

The Honorable Leo Henry, Chief
Tuscarora Nation
5616 Walmore Road
Lewiston, New York 14092

SUBJECT: REQUEST FOR COMMENTS CONCERNING THE INDIAN POINT NUCLEAR
GENERATING UNIT NOS. 2 AND 3 LICENSE RENEWAL APPLICATION
REVIEW

Dear Chief Henry:

The U.S. Nuclear Regulatory Commission (NRC) is seeking input for its environmental review of an application from Entergy Nuclear Operations (Entergy) for the renewal of the operating licenses for the Indian Point Nuclear Generating Units No. 2 and 3 (Indian Point), located in Buchanan, NY, approximately 24 miles north of the New York City boundary line. Indian Point is in close proximity to lands that may be of interest to the Tuscarora Nation. As described below, the NRC's process includes an opportunity for public and inter-governmental participation in the environmental review. We want to ensure that you are aware of our efforts and, pursuant to Title 10 of the *Code of Federal Regulations* (10 CFR) Part 51, Section 51.28(b), the NRC invites the Tuscarora Nation to provide input to the scoping process relating to the NRC's environmental review of the application. In addition, as outlined in 36 CFR 800.8(c), the NRC plans to coordinate compliance with Section 106 of the National Historic Preservation Act of 1966 through the requirements of the National Environmental Policy Act of 1969.

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L. Henry

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Appendix E

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Sincerely,

/RA Christian Jacobs for/

Rani L. Franovich, Branch Chief
Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation

Docket Nos. 50-247 and 50-286

cc: See next page

DISTRIBUTION: See next page

August 24, 2007

The Honorable Roger Hill, Chief
Tonawanda Band of Senecas
7027 Meadville Road
Bason, New York 14013

SUBJECT: REQUEST FOR COMMENTS CONCERNING THE INDIAN POINT NUCLEAR
GENERATING UNIT NOS. 2 AND 3 LICENSE RENEWAL APPLICATION
REVIEW

Dear Chief Hill:

The U.S. Nuclear Regulatory Commission (NRC) is seeking input for its environmental review of an application from Entergy Nuclear Operations (Entergy) for the renewal of the operating licenses for the Indian Point Nuclear Generating Units No. 2 and 3 (Indian Point), located in Buchanan, NY, approximately 24 miles north of the New York City boundary line. Indian Point is in close proximity to lands that may be of interest to the Tonawanda Band of Senecas. As described below, the NRC's process includes an opportunity for public and inter-governmental participation in the environmental review. We want to ensure that you are aware of our efforts and, pursuant to Title 10 of the *Code of Federal Regulations* (10 CFR) Part 51, Section 51.28(b), the NRC invites the Tonawanda Band of Senecas to provide input to the scoping process relating to the NRC's environmental review of the application. In addition, as outlined in 36 CFR 800.8(c), the NRC plans to coordinate compliance with Section 106 of the National Historic Preservation Act of 1966 through the requirements of the National Environmental Policy Act of 1969.

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Sincerely,

/RA Christian Jacobs for/

Rani L. Franovich, Branch Chief
Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation

Docket Nos. 50-247 and 50-286

cc: See next page

Appendix E

August 24, 2007

Ms. Sherry White
Tribal Historic Preservation Officer
Stockbridge-Munsee Community Band of
Mohican Indians
W13447 Camp 14 Road
Bowler, WI 54416

SUBJECT: REQUEST FOR COMMENTS CONCERNING THE INDIAN POINT NUCLEAR
GENERATING UNIT NOS. 2 AND 3 LICENSE RENEWAL APPLICATION
REVIEW

Dear Ms. White:

The U.S. Nuclear Regulatory Commission (NRC) is seeking input for its environmental review of an application from Entergy Nuclear Operations (Entergy) for the renewal of the operating licenses for the Indian Point Nuclear Generating Unit Nos. 2 and 3 (Indian Point), located in Buchanan, NY, approximately 24 miles north of the New York City boundary line. Indian Point is in close proximity to lands that may be of interest to the Stockbridge-Munsee Community Band of Mohican Indians. As described below, the NRC's process includes an opportunity for public and inter-governmental participation in the environmental review. We want to ensure that you are aware of our efforts and, pursuant to Title 10 of the *Code of Federal Regulations* (10 CFR) Part 51, Section 51.28(b), the NRC invites the Stockbridge-Munsee Community Band of Mohican Indians to provide input to the scoping process relating to the NRC's environmental review of the application. In addition, as outlined in 36 CFR 800.8(c), the NRC plans to coordinate compliance with Section 106 of the National Historic Preservation Act of 1966 through the requirements of the National Environmental Policy Act of 1969.

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/RA Christian Jacobs for/

Rani L. Franovich, Branch Chief
Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation

Docket Nos. 50-247 and 50-286

cc: See next page

August 24, 2007

Mr. Ken Jock
Council Member
St. Regis Mohawk Tribal Council
412 State Route 37
Akwesasne, NY 13655

SUBJECT: REQUEST FOR COMMENTS CONCERNING THE INDIAN POINT NUCLEAR
GENERATING UNIT NOS. 2 AND 3 LICENSE RENEWAL APPLICATION
REVIEW

Dear Mr. Jock:

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/RA Christian Jacobs for/

Rani L. Franovich, Branch Chief
Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation

Docket Nos. 50-247 and 50-286

cc: See next page

**Delaware Nation
Environmental Programs**

P.O. Box 825
Anadarko, OK 73005
405 / 247-2448 x 137
Fax: 405 / 247-9393

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September 5, 2007

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U.S. Nuclear Regulatory Commission
Chief of Rules and Directives Branch
Division of Administrative Services
Mail Stop T-6D59
Washington, D.C. 20555-0001

Re: Indian Point Nuclear Generating Unit Nos. 2 and 3 License Renewal Application Review

Dear Sir:

I am writing in regard to your letter dated August 24, 2007 requesting comments concerning the Indian Point Nuclear Generating Unit Nos. 2 and 3 license renewal application review. As mentioned in the environmental report, the Delaware people were one of the aboriginal entities located in the Hudson-Mohawk Basin in the early 17th century and should have been one of the initial consulting parties. As one of the aboriginal entities, we are very interested in being a part of the review process not only for cultural preservation but for environmental protection as well.

In order for Delaware Nation personnel to be thoroughly informed about this project and to provide comments we would like to request status as a consulting party. With this status, we are confident that you would be able to forward a copy of all formal documents sent to all consulting parties prior to the August 24, 2007 letter we received. It is important to the Delaware Nation that all cultural sites are properly maintained and the environmental impacts be reviewed before further action is taken.

Thank you for contacting the Delaware Nation to be included in the review of this application renewal. We look forward to your quick response and receipt of the documents requested to continue a productive relationship with your organization. If you have any questions or require additional information, you may contact Mrs. Danieala Nieto, Acting Director of Environmental Programs and/or Ms. Tamara Francis, Cultural Preservation Director by telephone at (405) 247-2448 or by fax at (405) 247-9393.

Sincerely,

Danieala Nieto

Danieala Nieto, Air Program Coordinator and Acting Director
Delaware Nation of Oklahoma Environmental Programs

cc: Tamara Francis, Cultural Preservation Director
Orvel Gibson, Tribal Administrator

*SONSI Review Complete
Template = ADM-013*

*FRIDS = ADM-03
Cell = 100 Phum (bamb)*

Jill Caverly - Indian Point Nuclear Generating Unit Nos. 2 and 3 Protected Species Response

From: <MaryEllen_VanDonsel@fws.gov>
To: <jsc1@nrc.gov>
Date: 08/29/2007 11:06 AM
Subject: Indian Point Nuclear Generating Unit Nos. 2 and 3 Protected Species Response

Please see the attached file for our response from the U.S. Fish and Wildlife Service.

MaryEllen VanDonsel
U.S. Fish and Wildlife Service
3817 Luker Road
Cortland, NY 13045
Phone: 607-753-9334
Fax: 607-753-9699



United States Department of the Interior

FISH AND WILDLIFE SERVICE

New York Field Office

3817 Laker Road

Cortland, NY 13045

Phone: (607) 753-9334 Fax: (607) 753-9699

http://www.fws.gov/northeast/nyfo



Project Number: 70193

To: Rani Franovich Date: 8-29-07
Regarding: Indian Point Nuclear Generating Units 2 and 3
Town/County: Buchanan / Westchester

We have received your request for information regarding occurrences of Federally-listed threatened and endangered species within the vicinity of the above-referenced project/property. Due to increasing workload and reduction of staff, we are no longer able to reply to endangered species list requests in a timely manner. In an effort to streamline project reviews, we are shifting the majority of species list requests to our website at <http://www.fws.gov/northeast/nyfo/es/section7.htm>. Please go to our website and print the appropriate portions of our county list of endangered, threatened, proposed, and candidate species, and the official list request response. Step-by-step instructions are found on our website.

As a reminder, Section 9 of the Endangered Species Act (ESA) (87 Stat. 884, as amended; 16 U.S.C. 1531 *et seq.*) prohibits unauthorized taking* of listed species and applies to Federal and non-Federal activities. Additionally, endangered species and their habitats are protected by Section 7(a)(2) of the ESA, which requires Federal agencies, in consultation with the U.S. Fish and Wildlife Service (Service), to ensure that any action it authorizes, funds, or carries out is not likely to jeopardize the continued existence of listed species or result in the destruction or adverse modification of critical habitat. An assessment of the potential direct, indirect, and cumulative impacts is required for all Federal actions that may affect listed species. For projects not authorized, funded, or carried out by a Federal agency, consultation with the Service pursuant to Section 7(a)(2) of the ESA is not required. However, no person is authorized to "take"* any listed species without appropriate authorizations from the Service. Therefore, we provide technical assistance to individuals and agencies to assist with project planning to avoid the potential for "take," or when appropriate, to provide assistance with their application for an incidental take permit pursuant to Section 10(a)(1)(B) of the ESA.

Project construction or implementation should not commence until all requirements of the ESA have been fulfilled: If you have any questions or require further assistance regarding threatened or endangered species, please contact the Endangered Species Program at (607) 753-9334. Please refer to the above document control number in any future correspondence.

Endangered Species Biologist: Robyn A. Niver RAV

*Under the Act and regulations, it is illegal for any person subject to the jurisdiction of the United States to *take* (includes harass, harm, pursue, hunt, shoot, wound, kill, trap, capture, or collect, or to attempt any of these), import or export, ship in interstate or foreign commerce in the course of commercial activity, or sell or offer for sale in interstate or foreign commerce any endangered fish or wildlife species and most threatened fish and wildlife species. It is also illegal to possess, sell, deliver, carry, transport, or ship any such wildlife that has been taken illegally. "Harm" includes any act which actually kills or injures fish or wildlife, and case law has clarified that such acts may include significant habitat modification or degradation that significantly impairs essential behavioral patterns of fish or wildlife.

New York State Department of Environmental Conservation

Office of General Counsel, 14th Floor
625 Broadway, Albany, New York 12233-1500
FAX: (518) 402-9018 or (518) 402-9019
Website: www.dec.ny.gov



October 5, 2007

Via e-mail and Regular First Class Mail

Mr. Bo Pham
Senior Project Manager - Indian Point Relicensing Application
Division of License Renewal
Mail Stop 0-7B1
United States Nuclear Regulatory Commission
One White Flint North
11555 Rockville Pike
Rockville, MD 20852-2738

**Re: Indian Point Units 2 and 3 Relicensing
Extension Request for Scoping Comments on SEIS**

Dear Mr. Pham:

The State of New York respectfully requests an extension until October 31, 2007, in which to file written Scoping Comments on the draft Supplemental Environmental Impact Statement (SEIS) that the Nuclear Regulatory Commission (NRC) is preparing in conjunction with the relicensing application filed by Entergy Nuclear Operations, Inc., for the Indian Point nuclear power plants (Indian Point 2 and Indian Point 3) in Buchanan, New York.

The State has been working diligently to prepare its comments. As you know, the Department of Environmental Conservation has assumed the role of coordinating with other State Executive Agencies on the relicensing application. The Executive Agencies are also working closely with the State Attorney General's Office on the relicensing application. The additional time will allow for more efficient coordination on the scoping comments.

Moreover, the NRC has extended the deadline until November 30, 2007, in which to file a Request for a Hearing/Petition for Leave to Intervene on the relicensing application. The State is thus in the process of identifying environmental issues to raise as contentions. Without question, that process is related to the drafting of comments on the SEIS. Extending the deadline to file Scoping Comments will more closely coordinate with the State's efforts on the Request for a Hearing/Petition for Leave to Intervene.

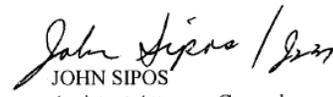
Appendix E

Finally, Joan Matthews, the lead counsel for the State Executive Agencies, has had a significant family medical emergency since Labor Day, which only this week appears to be resolving, allowing her to once again devote her full attention to this matter.

Please feel to contact either one of us if you have any questions about this request.

Respectfully submitted,


JOAN LEARY MATTHEWS
Senior Attorney for Special Projects
New York State Department of
Environmental Conservation
518-402-9190
jimatthe@gw.dec.state.ny.us


JOHN SIPOS
Assistant Attorney General
New York State Department of Law
Environmental Protection Bureau
The Capitol
Albany, NY 12224
518-402-2251
john.sipos@oag.state.ny.us

New York State Department of Environmental Conservation

Office of General Counsel, 14th Floor
625 Broadway, Albany, New York 12233-1500
FAX: (518) 402-9018 or (518) 402-9019
Website: www.dec.ny.gov



Alexander B. Grannis
Commissioner

October 10, 2007

Via e-mail and Regular First Class Mail

Mr. Bo Pham
Senior Project Manager - Indian Point Relicensing Application
Division of License Renewal
Mail Stop 0-7B1
United States Nuclear Regulatory Commission
One White Flint North
11555 Rockville Pike
Rockville, MD 20852-2738

**Re: Indian Point Units 2 and 3 Relicensing
Extension Request for Scoping Comments on SEIS**

Dear Mr. Pham:

Thank you for your telephone call yesterday in response to the State of New York's request to submit scoping comments by October 31, 2007, on the above matter. This letter is to confirm that the State will submit its scoping comments by October 31, 2007, and that the NRC will consider these comments. These written comments will be in addition to the oral comments that the New York Department of Environmental Conservation and the New York Department of Law provided at the scoping session on September 19, 2007. We very much appreciate this accommodation.

Respectfully submitted,

JOAN LEARY MATTHEWS
Senior Attorney for Special Projects
New York State Department of
Environmental Conservation
518-402-9190
jimatthe@gw.dec.state.ny.us

JOHN SIPOS
Assistant Attorney General
New York State Department of Law
Environmental Protection Bureau
The Capitol
Albany, NY 12224
518-402-2251
john.sipos@oag.state.ny.us

EDMS #280184

Appendix E

October 11, 2007

Joan Leary Matthews
Senior Attorney for Special Projects
New York State Department of Environmental Conservation
Office of General Counsel, 14th Floor
625 Broadway
Albany, NY 12233-1500

Dear Ms. Matthews:

I am responding to your letter of October 5, 2007, in which you requested an extension until October 31, 2007, to file written scoping comments for the environmental impact statement that the U.S. Nuclear Regulatory Commission (NRC) will be preparing as part of its review of the Indian Point Nuclear Generating, Unit Nos. 2 and 3, license renewal application.

The NRC staff has considered your request, but has determined that an extension of the comment period is not warranted. As you know, a Notice was published in the *Federal Register* on August 10, 2007, inviting members of the public to attend the environmental scoping meeting scheduled for September 19, 2007, and providing an opportunity for interested persons to submit written scoping comments during a two-month period following publication of the Notice (72 FR 45075). As stated in the *Federal Register*, written scoping comments should be submitted no later than October 12, 2007, to be considered in the scoping process. Numerous comments have been submitted to the NRC, during the scoping meeting and in writing, and we anticipate further written comments before the end of the comment period. Nonetheless, the NRC will consider comments received after such date, to the extent that it is practicable to do so. We encourage you to submit your written scoping comments at your earliest opportunity.

Thank you for your interest and participation in the license renewal process.

Sincerely,

/RA by Jill Caverly for/
Bo M. Pham, Senior Project Manager
Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation

cc: See next page



UNITED STATES DEPARTMENT OF COMMERCE
 National Oceanic and Atmospheric Administration
 NATIONAL MARINE FISHERIES SERVICE
 NORTHEAST REGION
 One Blackburn Drive
 Gloucester, MA 01930-2298

OCT -4 2007

Chief, Rules and Directives Branch
 Division of Administrative Services
 Office of Administration
 Mailstop T-6D59
 US Nuclear Regulatory Commission
 Washington, DC 20555-0001

Docket 50-247
 50-286

Re: 72 FR45075-6 (August 10, 2007)

To Whom It May Concern:

These comments are submitted by the Protected Resources Division (PRD) of NOAA's National Marine Fisheries Service (NMFS) regarding the application for renewal of Facility Operating Licenses DPR-26 and DPR-64 for an additional 20 years of operation at Indian Point Nuclear Generating Unit Nos. 2 and 3. A request for comments related to the Nuclear Regulatory Commission's (NRC) intent to prepare an Environmental Impact Statement (EIS) and conduct the scoping process pursuant to the National Environmental Policy Act (NEPA) was published in the Federal Register on August 10, 2007.

A population of federally endangered shortnose sturgeon (*Acipenser brevirostrum*) occurs in the Hudson River. Additionally, Atlantic sturgeon (*Acipenser oxyrinchus oxyrinchus*) are also present in the Hudson River. Atlantic sturgeon are considered a Candidate Species as NMFS has initiated a status review for this species to determine if listing as threatened or endangered under the ESA is warranted. A status review report was completed by the status review team in February 2007. NMFS is currently reviewing the report and other available information to determine if listing under the ESA is warranted. A listing determination, and, if listing is warranted, any accompanying proposed rule(s), is expected to be published by NMFS in 2008. If it is determined that listing is warranted, a listing determination and final rule listing the species could be published within a year from the date of publication of the listing determination or proposed rule. The Status Review report is available at:
http://www.nero.noaa.gov/prot_res/CandidateSpeciesProgram/AtlSturgeonStatusReviewReport.pdf.

NMFS has several concerns regarding the potential for the continued operation of the Indian Point facility to affect sturgeon. NMFS' primary concern is the likelihood of impingement of



Appendix E

sturgeon on screens or racks at plant intakes. Information provided in the application by Dynegey for an Endangered Species Act (ESA) Section 10(a)(1)(B) permit for their Roseton and Danskammer plants indicated that from 1972-1998, 37 shortnose sturgeon were impinged at Indian Point Unit 2 and from 1976-1998, 26 shortnose sturgeon were impinged at Indian Point Unit 3. It is NMFS understanding that no monitoring of the intakes has occurred since screening and a fish return system were installed in 1998. While the screening and fish return system were designed to minimize entrainment and reduce the levels of injury and mortality associated with impingement, no studies have been conducted to demonstrate the effectiveness of these systems for sturgeon. While NMFS has no information on likely impingement rates since 1998, we also have no information that suggests it no longer occurs. Shortnose sturgeon impinged on intake screens or racks experience high levels of injury and/or mortality.

Sturgeon yolk sac larvae (YSL) and post yolk sac larvae (PYSL) have been documented in the vicinity of Indian Point. Given that two distinct distributions of YSL and PYSL have been identified in the river (above RM 120 and RM 48 to 110), it is assumed that the larvae in the lower river grouping are Atlantic sturgeon. As such, entrainment is a significant concern for Atlantic sturgeon in this area of the river.

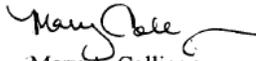
The best available information suggests that unauthorized take (as defined in Section 9 of the ESA) has occurred in the past at the Indian Point facility and may continue to occur. Additionally, Atlantic sturgeon eggs and/or larvae are likely to be present in this region of the river and may be subject to entrainment in the facility's intakes. Both shortnose and Atlantic sturgeon may also be affected by the discharge of heated effluent, chlorine, and other pollutants or antifouling agents.

Section 7(a)(2) of the ESA states that each Federal agency shall, in consultation with the Secretary, insure that any action they authorize, fund, or carry out is not likely to jeopardize the continued existence of a listed species or result in the destruction or adverse modification of designated critical habitat. Any discretionary federal action that may affect a listed species must undergo section 7 consultation. The relicensing of Indian Point by the NRC is a federal action that will require section 7 consultation. If it is determined through consultation between the NRC and NMFS that the action is likely to adversely affect any listed species (i.e., if any adverse effect to listed species may occur as a direct or indirect result of the proposed action or its interrelated or interdependent actions, and the effects are not: discountable, insignificant, or beneficial) then a formal consultation, resulting in the issuance of a Biological Opinion and accompanying Incidental Take Statement would be required.

Any NEPA documentation prepared by NRC relating to the relicensing of this facility should contain an assessment of the facility's impact on shortnose and Atlantic sturgeon. Additionally, NMFS expects the NRC to initiate section 7 consultation with NMFS on the effects of the proposed action on listed species. In order to conduct a consultation, NMFS will need a complete project description and a complete assessment of the facility's impacts on listed species. NMFS expects that this assessment will include an estimate of the number of shortnose sturgeon likely to be impinged and/or entrained at the facility's intakes over the life of the proposed 20 year license. This information should be submitted to NMFS along with a request for concurrence with NRC's determination of effects and justification for that determination.

My staff looks forward to working cooperatively with the NRC during the relicensing process. Should you have any questions regarding shortnose sturgeon or the section 7 process in general, please contact Pat Scida, Endangered Species Coordinator (978-281-9208 or Pasquale.Scida@noaa.gov). For questions specific to Atlantic sturgeon, please contact Kim Damon-Randall, Proactive Conservation Program Coordinator (978-281-9300 x6535).

Sincerely,



Mary A. Colligan
Assistant Regional Administrator
for Protected Resources

Cc: Nash, NRC
Crocker, Damon-Randall - F/NER4
Rusanowsky, Colosi - F/NER3
Lindow, F

File Code: Sec 7 NRC Indian Point Relicensing
PCTS: T/NER/2006/07100

Appendix E

November 27, 2007

Ms. Jean Pietrusiak
New York State Department of the Environment
NYDEC-DFWMR
NY Natural Heritage Program – Information Services
625 Broadway, 5th Floor
Albany, NY 12233-4757

SUBJECT: REQUEST FOR LIST OF STATE PROTECTED SPECIES WITHIN THE AREA
UNDER EVALUATION FOR THE INDIAN POINT NUCLEAR GENERATING
UNIT NOS. 2 AND 3 LICENSE RENEWAL APPLICATION REVIEW

Dear Ms. Pietrusiak:

The U.S. Nuclear Regulatory Commission (NRC) is reviewing an application submitted by Entergy Nuclear Operations, Inc. (Entergy), for the renewal of the operating licenses for Indian Point Nuclear Generating Unit Nos. 2 and 3 (Indian Point). Indian Point is located in Buchanan, New York, approximately 24 miles north of the New York City boundary line. As part of the review of the license renewal application (LRA), the NRC is preparing a Supplemental Environmental Impact Statement (SEIS) under the provisions of Title 10 of the *Code of Federal Regulations* Part 51 (10 CFR Part 51), the NRC's regulation that implements the National Environmental Policy Act (NEPA) of 1969. The SEIS includes an analysis of pertinent environmental issues, including endangered or threatened species and impacts to fish and wildlife. This letter is being submitted under the provisions of the Endangered Species Act of 1973, as amended, and the Fish and Wildlife Coordination Act of 1934, as amended.

The proposed action is to renew the facility operating licenses for Indian Point for an additional 20 years beyond the expiration of the current operating licenses. The proposed action would include the use and continued maintenance of existing plant facilities and transmission lines. The Indian Point site covers approximately 239 acres. Indian Point is bordered on the north, south and east by partially wooded, privately owned land and on the west by the Hudson River. Enclosures 1 and 2 provide a general overview of the site location and site layout.

Indian Point is equipped with a once-through open-cycle cooling system that withdraws cooling water from, and discharges water back into, the Hudson River. The intake system includes seven bays for each unit located at the shore. Six 96-inch pipes discharge water beneath the river's surface within a 40-foot wide discharge canal.

The transmission lines in the scope of NRC's environmental review for license renewal are those that were originally constructed for the specific purpose of connecting the plant to the transmission system. The transmission line corridor to the Buchanan Substation (approximately 2100 feet southeast from the reactors, just across Broadway from the facility's main entrance) is located in the industrial portion of the site, except for where the lines cross Broadway. This transmission line corridor is being evaluated as part of the SEIS process.

The enclosed transmission line map shows the transmission system that is being evaluated in the SEIS. Two 345-kilovolt (kV) lines connect Indian Point to the Buchanan Substation. This

J. Pietrusiak

- 2 -

corridor also includes 138-kV transmission lines that supply offsite power from the substation into Indian Point.

To support the SEIS preparation process, the NRC requests information on state-listed, proposed, and candidate species and critical habitat that may be in the vicinity of Indian Point. In addition, please provide any information you consider appropriate under the provisions of the Fish and Wildlife Coordination Act.

If you have any questions concerning the NRC staff's review of this license renewal application, please contact Ms. Jill Caverly, Environmental Project Manager, at 301-415-6699 or by e-mail at jsc1@nrc.gov.

Sincerely,

/RA Bo Pham for/

Rani Franovich, Branch Chief
Projects Branch 2
Division of License Renewal
Office of Nuclear Reactor Regulation

Docket Nos. 50-247 and 50-286

Enclosures:

1. Site location map
2. Site layout map

cc w/encls: See next page

New York State Department of Environmental Conservation
Division of Fish, Wildlife & Marine Resources
New York Natural Heritage Program
625 Broadway, Albany, New York 12233-4757
Phone: (518) 402-8935 • FAX: (518) 402-8925
Website: www.dec.state.ny.us



December 28, 2007

Rani Franovich
U. S. Nuclear Regulatory Commission
Projects Branch 2, Division License Renewal
Washington, DC 20555-0001

Dear Ms. Franovich:

In response to your recent request, we have reviewed the New York Natural Heritage Program databases with respect to an Environmental Assessment for the proposed License Renewal Application - Indian Point Nuclear Generating Units 2 and 3, area as indicated on the map you provided, located in Town of Buchanan.

Enclosed is a report of rare or state-listed animals and plants, significant natural communities, and other significant habitats, which our databases indicate occur, or may occur, on your site or in the immediate vicinity of your site. The information contained in this report is considered sensitive and should not be released to the public without permission from the New York Natural Heritage Program.

This project location is adjacent to a designated Significant Coastal Fish and Wildlife Habitat. This habitat is part of New York State's Coastal Management Program (CMP), which is administered by the NYS Department of State (DOS). Projects which may impact the habitat are reviewed by DOS for consistency with the CMP. For more information regarding this designated habitat and applicable consistency review requirements, please contact:

Jeff Zappieri or Vance Barr - (518) 474-6000
NYS Department of State
Division of Coastal Resources and Waterfront Revitalization
41 State Street, Albany, NY 12231

The presence of rare species may result in your project requiring additional permits, permit conditions, or review. For further guidance, and for information regarding other permits that may be required under state law for regulated areas or activities (e.g., regulated wetlands), please contact the appropriate NYS DEC Regional Office, Division of Environmental Permits, at the enclosed address.

For most sites, comprehensive field surveys have not been conducted; the enclosed report only includes records from our databases. We cannot provide a definitive statement on the presence or absence of all rare or state-listed species or significant natural communities. This information should NOT be substituted for on-site surveys that may be required for environmental impact assessment.

Our databases are continually growing as records are added and updated. If this proposed project is still under development one year from now, we recommend that you contact us again so that we may update this response with the most current information.

Sincerely,



Tara Seoane
Information Services
NY Natural Heritage Program

cc: Reg. 3, Fisheries Mgr.
Peter Nye, Endangered Species Unit, Albany
Shaun Keeler, Bureau of Fisheries, Albany
Chris Hogan, Environmental Permits, 4th floor, Albany

Enclosure (report containing a list of rare or State-listed plants and animals) withheld by NRC as sensitive information per New York Natural Heritage Program request.



UNITED STATES DEPARTMENT OF COMMERCE
National Oceanic and Atmospheric Administration
NATIONAL MARINE FISHERIES SERVICE
NORTHEAST REGION
One Blackburn Drive
Gloucester, MA 01830-2298

FEB 28 2008

Ms. Rani Franovich
Branch Chief, Environmental Branch B
Division of License Renewal
Office of Nuclear Reactor Regulation
United States Nuclear Regulatory Commission
Washington, D.C. 20555-0001

Re: Essential Fish Habitat Information Request for Docket Nos. 50-247 and 50-286; Indian Point Nuclear Generating Unit Nos. 2 and 3 License Renewal; at the Village of Buchanan, Town of Cortlandt, Westchester County, NY

Dear Ms. Franovich:

Reference is made to your information request regarding essential fish habitat (EFH) designated in the vicinity of the Indian Point Nuclear Generating Station (Indian Point). Your letter indicates that the Nuclear Regulatory Commission is in the process of preparing a supplemental environmental impact statement (SEIS) under the provisions of Title 10 of the Code of Federal Regulations Part 51 (10 CFR Part 51), the NRC's regulation that implements the National Environmental Policy Act (NEPA) of 1969. The SEIS is being prepared in conjunction with a request by Entergy Nuclear Operations, Inc. for the renewal of the operating licenses for the two operating units at Indian Point. This proposed renewal would extend the current operating licenses 20 years beyond their current expiration dates, and would cover the use and continued maintenance of Units Two and Three and appurtenant transmission lines that connect Indian Point to the nearby Buchanan Substation.

The facilities lie on the eastern shore of the Hudson River in Westchester County, approximately 24 miles north of the New York City limits. The industrial portions of the site occupy approximately 239 acres bounded to the north, east, and south by private property and by the Hudson River on the west. Entergy Nuclear Northeast owns all three units at the site. At this time, only Units Two and Three are operational, and Unit One is intact but has been decommissioned. The operating units feature Westinghouse pressurized water reactors that are cooled by water drawn from the Hudson River via a once-through, open-cycle cooling system. The intake system includes seven bays for each unit. Thermally-enriched water subsequently is returned back into the river through six, 96" pipes that empty into the plant's 40' wide discharge canal.

The Buchanan reach of the Hudson River is tidally-dominated and tends to exhibit mesohaline or oligohaline salinity ranges that vary seasonally. Salinity influences the distribution and function of aquatic communities, which comprise a wide variety of diadromous and resident fishes, a diverse forage species including a wide array of insects, crustaceans, and other invertebrates. While not intended to be an exhaustive list, it should be noted that the fish community includes American eel (*Anguilla rostrata*), striped bass (*Morone saxatilis*), white perch (*Morone americana*), blue crab (*Callinectes sapidus*), bay anchovy (*Anchoa mitchilli*), Atlantic silversides (*Menidia menidia*), hogchoker (*Trinectes maculatus*), American shad (*Alosa sapidissima*), tomcod (*Microgadus tomcod*), blueback herring (*Alosa aestivalis*), and alewife (*Alosa*



psuedoharengus) which use the general project reach for a variety of habitat functions, notably spawning and nursery habitat, resting and seasonal concentration areas.

Atlantic sturgeon (*Acipenser oxyrinchus*), a candidate species for listing under the Endangered Species Act (ESA) as announced in the Federal Register on October 16, 2006 (71 FRN 61002), also occur in the Hudson River. The term "candidate species" refers to (a) species that are the subject of a petition to list as threatened or endangered; (b) species for which NMFS has determined that listing pursuant to section 4 (b)(3)(A) of the ESA may be warranted; and (c) those species are not the subject of a petition but for which NMFS has announced the initiation of a status review in the Federal Register. The notice of availability of the status review for the Atlantic sturgeon was published in the Federal Register on April 3, 2007 (72 FRN 15865). A copy of the report can be downloaded from the following website:
www.nero.noaa.gov/prot_res/candidatespeciesprogram/csr.htm.

The Atlantic Sturgeon Status Review Team (SRT) has determined that the Hudson River and Delaware River Atlantic sturgeon stock constitute a distinct population segment (DPS) called the New York Bight DPS. The SRT has also concluded that the New York Bight DPS was likely (>50 % chance) to become endangered within the next 20 years. NMFS is currently considering the information in the status report to determine if action under the ESA is warranted. The SRT also identifies several different stressors that may impact the Atlantic sturgeon populations including dams for flood control and hydropower generation, water quality degradation, dredging, and blasting.

Federally endangered shortnose sturgeon (*Acipenser brevirostrum*) may be found in the Hudson River in the vicinity of Indian Point. Any federal action, such as the approval, funding, or implementation of a project by a federal agency that may affect a listed species must undergo consultation pursuant to Section 7 of the Endangered Species Act (ESA) of 1973, as amended. Once specific projects are identified and project plans are developed, the NRC should submit its determination of effects, along with justification for the determination and a request for concurrence, to the attention of the Endangered Species Coordinator, NMFS, Northeast Regional Office, Protected Resources Division, One Blackburn Drive, Gloucester, MA 01930.

In addition, EFH has been designated in the Hudson River mixing zone for a variety of federally managed fishery resources. These include certain life stages of the red hake (*Urophycis chuss*), winter flounder (*Pseudopleuronectes americanus*), windowpane (*Scopthalmus aquosus*), bluefish (*Pomatomus saltatrix*), Atlantic butter fish (*Peprilus triacanthus*), summer flounder (*Paralichthys dentatus*), Atlantic sea herring (*Clupea harengus*), and the black sea bass (*Centropristus striata*). Information regarding these designations may be found at our regional website (<http://www.nero.noaa.gov/hcd/index.html#efh>). This information is intended as a generic guide that lists the EFH species within an area and is not intended for use on its own. The actual EFH descriptions, the species habitat preferences, and life history parameters are provided in [Guide to EFH Descriptions](#). The Councils' Fishery Management Plans (FMPs) also should be referred to for more extensive information regarding EFH.

Section 305(b)(2) of the MSA requires all federal agencies to consult with NMFS on any action authorized, funded, or undertaken by that agency that may adversely affect EFH. Included in this consultation process is the preparation of an EFH assessment to provide necessary information on which to consult. Our EFH regulation at 50 CFR 600.905 mandates the preparation of EFH assessments and generally outlines each agency's obligations in this consultation procedure. The level of detail in the EFH assessment should be commensurate with the potential impacts of the

Appendix E

proposed project. It should also evaluate all of the direct, indirect, individual, and cumulative impacts on EFH.

The required contents of an EFH assessment include: 1) a description of the action; 2) an analysis of the potential adverse effects of the action on EFH and the managed species; 3) the NRC's conclusions regarding the effects of the action on EFH; 4) proposed mitigation, if applicable. Other information that should be contained in the EFH assessment, if appropriate, includes: 1) the results of on-site inspections to evaluate the habitat and site-specific effects; 2) the views of recognized experts on the habitat or the species that may be affected; 3) a review of pertinent literature and related information; and 5) an analysis of alternatives to the action that could avoid or minimize the adverse effects on EFH.

In order to allow us to evaluate fully the project's impacts on EFH and federally managed species, additional information on the impacts of continued plant operation, especially with regard to the once-through cooling water intake from the river and water release back to the river. This information will allow us to develop EFH conservation recommendations to further minimize impacts on EFH and federally managed species. Depending upon the expected impacts and the construction schedule, additional best management practices or seasonal work restrictions may be appropriate EFH conservation recommendations

Thank you for your inquiry regarding habitat uses by resources of concern in the Indian Point area. We appreciate the opportunity to provide you with this preliminary coordination information. Should you wish to discuss these comments further, please contact Diane Rusanowsky at (203) 882-6504.

Sincerely,



Peter D. Colosi, Jr.
Assistant Regional Administrator
for Habitat Conservation

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cc: F/NER4 – Milford
F/NER3 – Protected Resources
USACE – NAN
USFWS – Cortland

1 **Biological Assessment**

2
3 **Indian Point Nuclear Generating Plant Unit Nos. 2 and 3**
4 **License Renewal**

5
6 **December 2008**

7 **Docket Nos. 50-247 and 50-286**

8
9 **U.S. Nuclear Regulatory Commission**
10 **Rockville, Maryland**

1 **Biological Assessment of the Potential Effects on Federally Listed**
2 **Endangered or Threatened Species from the Proposed Renewal of**
3 **Indian Point Nuclear Generating Plant, Unit Nos. 2 and 3**

4 **1.1 Introduction and Purpose**

5 The U.S. Nuclear Regulatory Commission (NRC) prepared this biological assessment (BA) to
6 support the draft supplemental environmental impact statement (SEIS) for the renewal of the
7 operating licenses for Indian Point Nuclear Generating Unit Nos. 2 and 3 (IP2 and IP3), located
8 on the shore of the Hudson River in the Village of Buchanan, in upper Westchester County, NY.
9 The current 40-year licenses expire in 2013 (IP2) and 2015 (IP3). The proposed license
10 renewal for which this BA has been prepared would extend the operating licenses to 2033 and
11 2035 for IP2 and IP3, respectively.

12 The NRC is required to prepare the draft SEIS as part of its review of a license renewal
13 application. The draft SEIS supplements NUREG-1437, Volumes 1 and 2, "Generic
14 Environmental Impact Statement for License Renewal of Nuclear Plants (GEIS)," (NRC 1996,
15 1999)^a for the license renewal of commercial nuclear power plants. The draft SEIS covers
16 specific issues, such as the potential impact on endangered and threatened species, that are of
17 concern at IP2 and IP3 and that could not be addressed on a generic basis in the GEIS.

18 Pursuant to Section 7 of the Endangered Species Act of 1973 (ESA), as amended, the NRC
19 staff requested, in a letter dated August 16, 2007 (NRC 2007), that the National Marine
20 Fisheries Service (NMFS) provide information on federally listed endangered or threatened
21 species, as well as on proposed or candidate species, and on any designated critical habitats
22 that may occur in the vicinity of IP2 and IP3. In its response, dated October 4, 2007
23 (NMFS 2007), NMFS expressed concern that the continued operation of IP2 and IP3 could have
24 an impact on the shortnose sturgeon (*Acipenser brevirostrum*), an endangered species that
25 occurs in the Hudson River. NMFS also noted that a related species that also occurs in the
26 Hudson River, the Atlantic sturgeon (*Acipenser oxyrinchus*), is a candidate species for which
27 NMFS has initiated a status review to determine if it should be listed as threatened or
28 endangered.

29 Under Section 7, the NRC is responsible for providing information on the potential impact that
30 the continued operation of IP2 and IP3 could have on the federally listed species, the shortnose
31 sturgeon. In addition, the NRC has prepared information regarding the potential impact on
32 important species, including the Atlantic sturgeon; this information can be found in Chapters 2
33 and 4 of the draft SEIS.

a The GEIS was originally issued in 1996. Addendum 1 to the GEIS was issued in 1999. Hereafter, all references to the "GEIS" include the GEIS and its Addendum 1.

1 **2.0 Proposed Action**

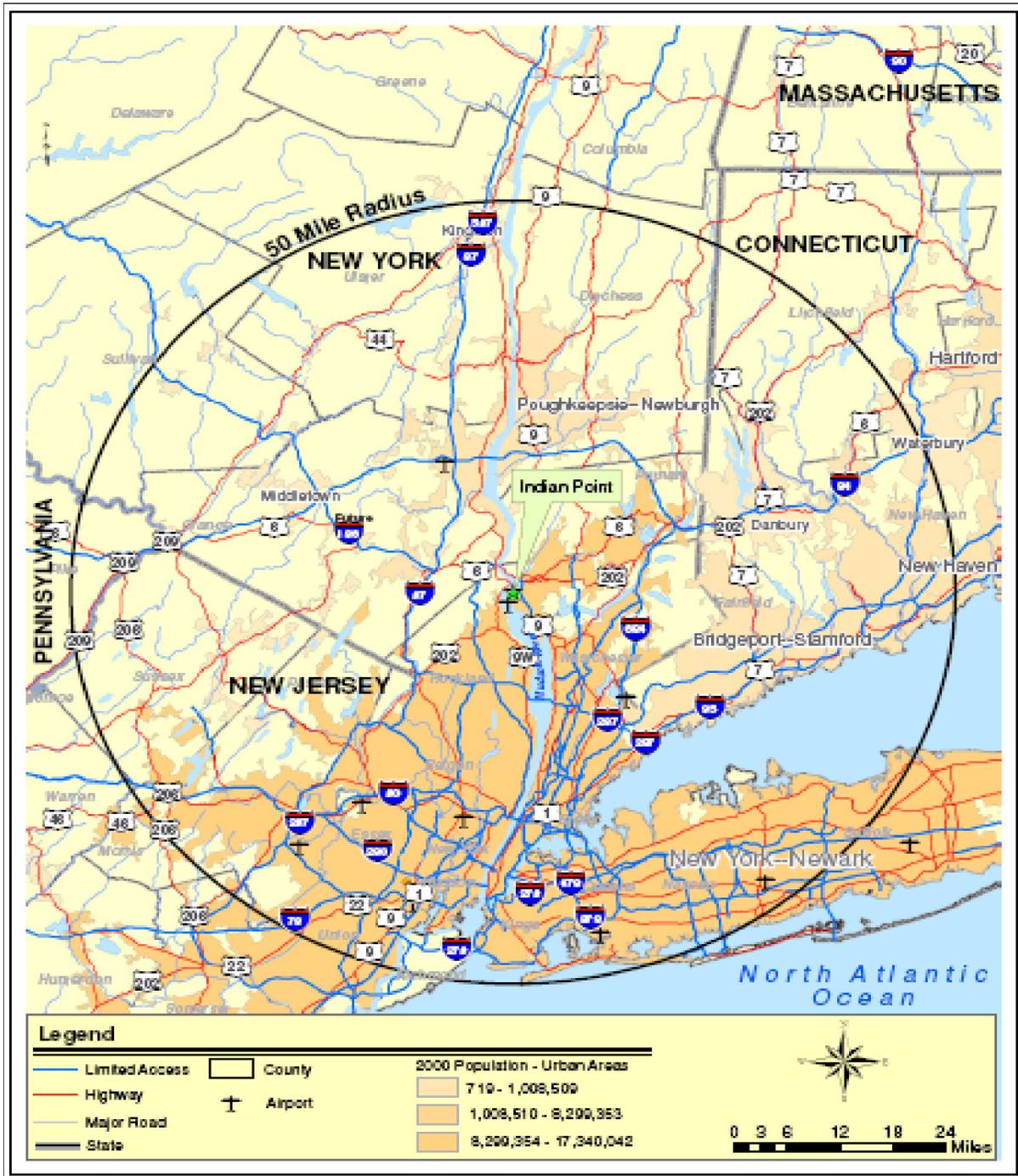
2 The current proposed action considered in the SEIS is the renewal of the operating licenses for
3 IP2 and IP3 for an additional 20-year term beyond the period of the existing licenses. The
4 applicant has indicated that it may replace reactor vessel heads and control rod drive
5 mechanisms during the period of extended operation. (For a description of these activities and
6 potential environmental effects, see Chapter 3 of the draft SEIS.) If the NRC grants the
7 operating license renewals, the applicant can operate and maintain the nuclear units, the
8 cooling systems, and the transmission lines and corridors as they are now until 2033 and 2035.

9 **3.0 Site Description**

10 IP2 and IP3 are located on a 239-acre (97-hectare) site on the eastern bank of the Hudson
11 River in the Village of Buchanan, Westchester County, NY, about 24 miles (mi) (39 kilometers
12 [km]) north of New York City, NY (Figures 1 and 2). Privately owned land bounds the north,
13 south, and east sides of the property (Figure 3). The area is generally described as an eastern
14 deciduous forest, dominated by oak (*Quercus*), maple (*Acer*), and beech (*Fagus*) species. The
15 lower Hudson River is a tidal estuary, flowing 152 miles (244 km) from the Federal Dam at Troy,
16 NY, to the Battery in New York City. IP2 and IP3 are located at River Mile (RM) 43 (RKM 69),
17 where the average depth is 40 feet (ft) (12 meters [m]), and the average width of the river is
18 4500 ft (1370 m). The Hudson River is tidal all the way to the Federal Dam, and the salinity
19 zone in the vicinity of the facility is described as oligohaline (low salinity, ranging from 0.5 to
20 5 parts per thousand (ppt)), with the salinity changing with the level of freshwater flow. Water
21 temperature ranges from a winter minimum of 34 degrees F (1 degree Celsius (C)) to a summer
22 maximum of 77 degrees F (25 degrees C) (Entergy 2007a).

23 The mid-Hudson River provides the cooling water for four other power plants: Roseton
24 Generating Station, Danskammer Point Generating Station, Bowline Point Generating Station,
25 and Lovett Generating Station; all four stations are fossil-fueled steam electric stations, located
26 on the western shore of the river, and all use once-through cooling. Roseton consists of two
27 units and is located at RM 66 (RKM 106), 23 mi (37 km) north of IP2 and IP3. Just 0.5 mi
28 (0.9 km) north of Roseton is Danskammer, with four units. Bowline lies about 5 mi (8 km) south
29 of IP2 and IP3 and consists of two units (Entergy 2007a; CHGEC 1999). Lovett, almost directly
30 across the river from IP2 and IP3, is no longer operating.

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1 Source: Entergy 2007a

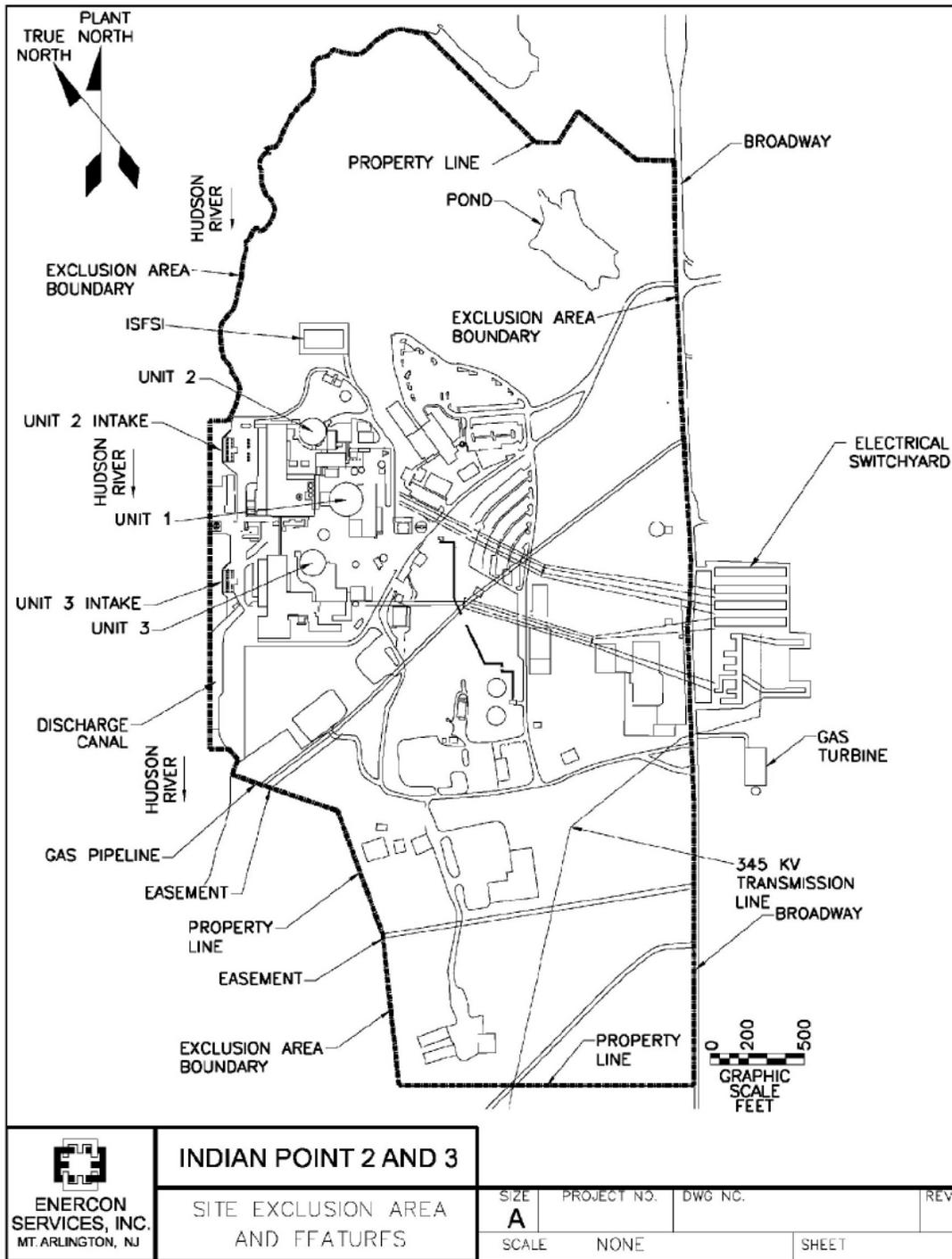
2 **Figure 1. Location of IP2 and IP3, 50-mile (80-km) radius**



1
2 Source: Entergy 2007a

3 **Figure 2. Location of IP2 and IP3, 6-mile (10-km) radius**

Appendix E

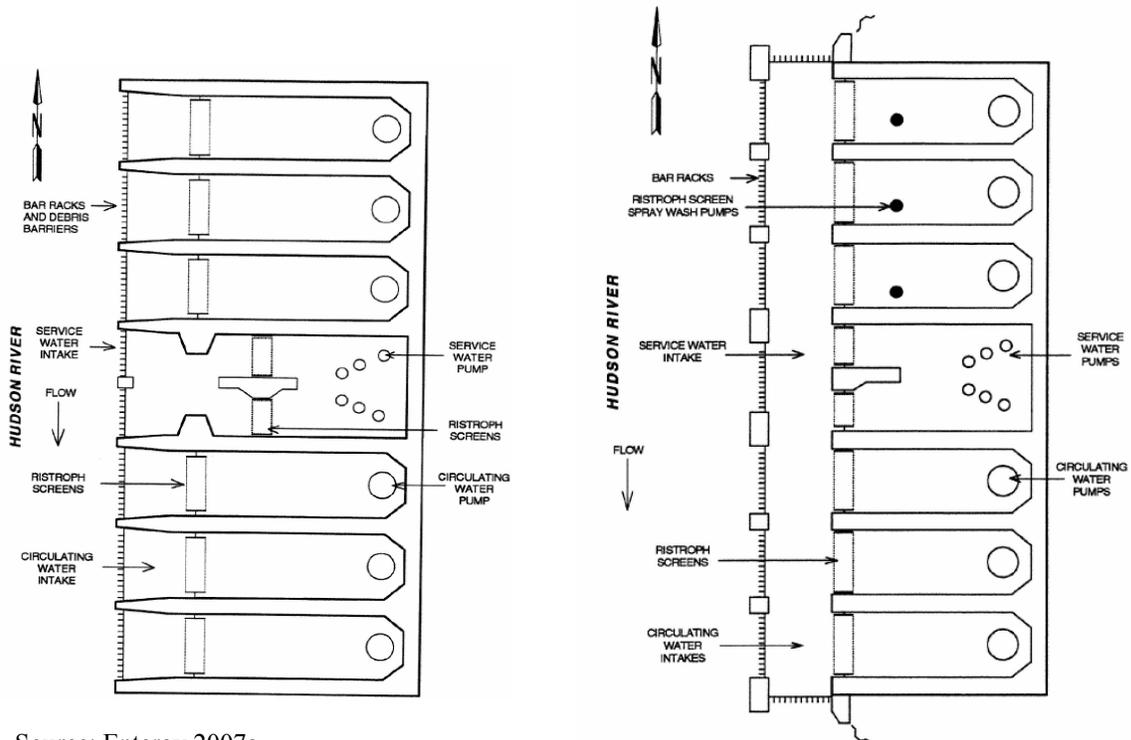


1 Source: Entergy 2007a

2 **Figure 3. IP2 and IP3 property boundaries and environs**

1 3.1.1 Description of Plants and Cooling Systems

2 IP2 and IP3 are pressurized-water reactors with turbine generators that produce a net output of
 3 6432 megawatts-thermal and approximately 2158 megawatts-electrical. Both IP2 and IP3 use
 4 water from the Hudson River for their once-through condensers and auxiliary cooling systems.
 5 Each unit has seven intake bays (Figure 4), into which the river water flows, passing under the
 6 floating debris skimmer wall and through Ristroph traveling screens (Figure 5). IP2 has six
 7 dual-speed circulating water pumps that can each pump 140,000 gallons per minute (gpm)
 8 (8.83 cubic meters per second [m^3/s]) at full speed and 84,000 gpm (5.30 m^3/s) at reduced
 9 speed; at full speed, the approach velocity is approximately 1 foot per second (fps) (0.30 meters
 10 per second [m/s]) and at reduced speed, the approach velocity is 0.6 fps (0.2 m/s). IP3 also has
 11 six dual-speed circulating water pumps. The full speed flow rate of each of these pumps is
 12 140,000 gpm (8.83 m^3/s), with a 1 fps (0.30 m/s) approach velocity; the reduced speed is
 13 64,000 gpm (4.04 m^3/s), with a 0.6 fps (0.2 m/s) approach velocity (Entergy 2007a).



14 Source: Entergy 2007a

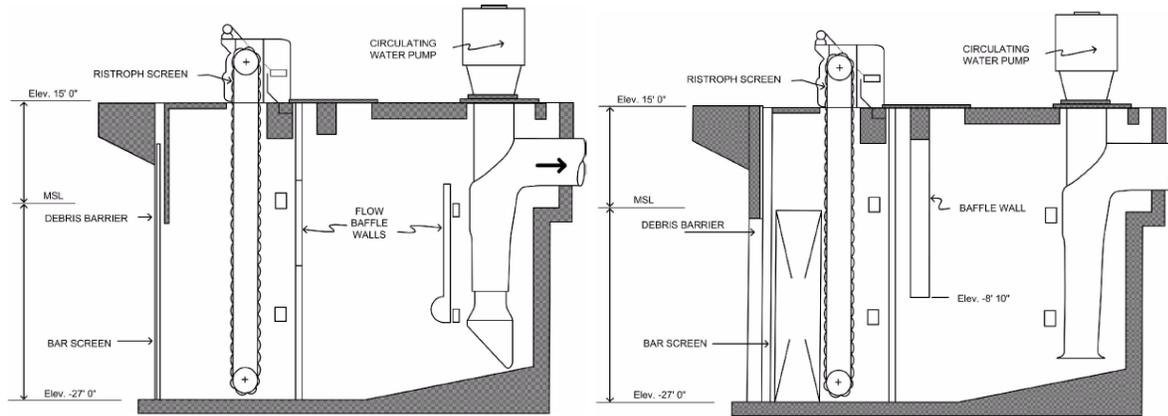
15 **Figure 4. IP2 intake structure (left) and IP3 intake structure (right)**

16 The traveling screens employed by IP2 and IP3 are modified vertical Ristroph-type traveling
 17 screens installed in 1990 and 1991 at IP3 and IP2, respectively. The screens were designed in
 18 concert with the Hudson River Fishermen's Association, with screen basket lip troughs to retain
 19 water and minimize vortex stress (CHGEC 1999). Studies indicated that, assuming the screens
 20 continued to operate as they had during laboratory and field testing, the screens were "the
 21 screening device most likely to impose the least mortalities in the rescue of entrapped fish by

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1 mechanical means” (Fletcher 1990). The same study concluded that refinements to the screens
2 would be unlikely to greatly reduce fish kills.

3



4

5

Source: Entergy 2007a

6

7

Figure 5. IP2 intake system (left) and IP3 intake system (right)

8 There are two spray-wash systems—the high-pressure spray wash removes debris from the
9 front of the traveling screen mechanism; the low-pressure spray washes fish from the rear of the
10 mechanism into a fish sluice system to return them to the river. A 0.25 x 0.5-inch (in.)
11 (0.635 x 1.27-centimeter [cm]) clear opening slot mesh on the screen basket panels was
12 included to minimize abrasion as the fish were washed into the collection sluice. The sluice
13 system is a 12-in.-diameter (30.5-cm-diameter) pipe that discharges fish into the river at a
14 depth of 35 ft (10.7 m), 200 ft (61 m) from shore (CHGEC 1999).

15 **4.0 Status Review of Shortnose Sturgeon**

16 **4.1 Life History**

17 The shortnose sturgeon (*Acipenser brevirostrum*, family Acipenseridae) is amphidromous, with
18 a range extending from the St. Johns River, FL, to the St. John River, Canada. Unlike
19 anadromous species, shortnose sturgeons spend the majority of their lives in freshwater and
20 move into salt water periodically without relation to spawning (Collette and Klein-
21 MacPhee, 2002). From colonial times, shortnose sturgeons have rarely been the target of
22 commercial fisheries but have frequently been taken as incidental bycatch in Atlantic sturgeon
23 and shad gillnet fisheries (NEFSC 2006; Dadswell et al. 1984). The shortnose sturgeon was
24 listed on March 11, 1967, as endangered under the ESA. In 1998, NMFS completed a recovery
25 plan for the shortnose sturgeon (NMFS 1998).

1 Shortnose sturgeons can grow up to 143 cm (56 in.) in total length and can weigh up to
2 23 kilograms (kg) (51 pounds [lb]). Females are known to live up to 67 years, while males
3 typically do not live beyond 30 years. As young adults, the sex ratio is 1:1; however, among fish
4 larger than 90 cm (35 in.), measured from nose to the fork of the tail, the ratio of females to
5 males increases to 4:1. Throughout the range of the shortnose sturgeon, males and females
6 mature at 45 to 55 cm (18 to 22 in.) fork length, but the age at which this length is achieved
7 varies by geography. At the southern extent of the sturgeon's range, in Florida, males reach
8 maturity at age 2, and females reach maturity at 6 years or younger; in Canada, males can
9 reach maturity as late as 11 years, and females, 13 years. In 1 to 2 years after reaching
10 maturity, males begin to spawn at 2-year intervals, while females may not spawn for the first
11 time until 5 years after maturing and, thereafter, spawn at 3- to 5-year intervals
12 (Dadswell et al. 1984).

13 Shortnose sturgeons migrate into freshwater to spawn during late winter or early summer. Eggs
14 sink and adhere to the hard surfaces on the river bottom, hatching after 4 to 6 days. Larvae
15 consume their yolk sac and begin feeding in 8 to 12 days, as they migrate downstream away
16 from the spawning site, remaining close to the river bottom (Kynard 1997; Collette and Klein-
17 MacPhee 2002). The juveniles, which feed on benthic insects and crustaceans, do not migrate
18 to the estuaries until the following winter, where they remain for 3 to 5 years. As adults, they
19 migrate to the near-shore marine environment, where their diet consists of mollusks and large
20 crustaceans (Dadswell 1984).

21 **4.2 Status of Shortnose Sturgeon in Hudson River**

22 Shortnose sturgeons inhabit the lower Hudson; the Federal Dam creates a physical barrier
23 preventing the species from swimming farther north. They are found dispersed throughout the
24 river-estuary from late spring to early fall and then congregate to winter near Sturgeon Point
25 (RM 86). Spawning occurs in the spring, just downstream of the Federal Dam at Troy, between
26 RM 118 and 148 (between Coxsackie and Troy) (Bain et al. 2007; NMFS 2000). According to
27 the NMFS environmental assessment (2000) for a permit for the incidental take of shortnose
28 sturgeons at the nearby power plants, Roseton and Danskammer, larvae are typically found
29 upstream of the intakes of all five power plants along the mid-Hudson.

30 The Hudson River population of the shortnose sturgeon was estimated to be approximately
31 13,000 adults in 1979–1980. Based on population studies done in the mid-1990s, the
32 population has apparently increased 400 percent since then, up to almost 57,000 adult fish.
33 Additional data suggest that the total population of the shortnose sturgeon in the Hudson River
34 is approximately 61,000, including juveniles and nonspawning adults (Bain et al. 2007). The
35 population growth has been ascribed to several strong year-classes, as well as 2 decades of
36 sustained annual recruitment (Woodland and Secor 2007). Bain et al. (2007) maintains that the
37 annual trawl surveys conducted by the electric utilities (CHGEC 1999) show an increase in
38 abundance between the mid-1980s and mid-1990s, supporting the finding that the Hudson
39 River population has increased. Staff assessed the population trend for yearling and older
40 shortnose sturgeons in the fall juvenile survey data provided by the applicant and found an
41 overall increase in the catch-per-unit-effort from 1975 to 2005.

1 **4.3 Impact Assessment of Indian Point on the Shortnose Sturgeon**
2 **Population**

3 **4.3.1 Entrainment**

4 The southern extent of the shortnose sturgeon spawning area in the Hudson River is
5 approximately RM 118 (RKM 190), about 75 RM (121 RKM) upstream of the intake of IP2 and
6 IP3 (NMFS 2000). The eggs of shortnose sturgeons are demersal, sinking and adhering to the
7 bottom of the river, and, upon hatching, the larvae in both yolk-sac and post-yolk-sac stages
8 remain on the bottom of the river, primarily upstream of RM 110 (RKM 177) (NMFS 2000).
9 Shortnose sturgeon larvae grow rapidly, and, after a few weeks, they are too large to be
10 entrained by the cooling intake (Dadswell 1979). Because the egg and larval life stages of the
11 shortnose sturgeon (the life stages susceptible to entrainment) are not found near the intake for
12 IP2 and IP3, the probability of their entrainment at IP2 and IP3 is low.

13 IP2 and IP3 monitored entrainment from 1972 through 1987. Entrainment monitoring became
14 more intensive at Indian Point from 1981 through 1987, and sampling was conducted for nearly
15 24 hours per day, 4 to 7 days per week, during the spawning season in the spring
16 (NMFS 2000). Entrainment monitoring reports list no shortnose sturgeon eggs or larvae at IP2
17 and IP3. NMFS (2000) lists only eight sturgeon larvae collected at any of the mid-Hudson
18 power plants (all eight were collected at Danskammer, and four of the eight may have been
19 Atlantic sturgeons). Entrainment sampling data supplied by the applicant (Entergy 2007b)
20 include large numbers of larvae for which the species could not be determined, and, therefore,
21 one cannot conclude that there was no entrainment of shortnose sturgeons at IP2 and IP3.
22 Entergy Nuclear Operations, Inc. (Entergy) currently conducts no monitoring program to record
23 entrainment at IP2 and IP3, and any entrainable life stages of the shortnose sturgeon taken in
24 recent years would go unrecorded.

25 Based on the life history of the shortnose sturgeon, the location of spawning grounds within the
26 Hudson River, and the patterns of movement for eggs and larvae, the number of shortnose
27 sturgeons in early life stages entrained at IP2 and IP3 is probably low or zero. The available
28 data from past entrainment monitoring do not indicate that entrainment was occurring.
29 Therefore, the staff concludes that the continued operation of Indian Point for an additional
30 20 years is not likely to adversely affect the population of shortnose sturgeons in the Hudson
31 River through entrainment.

32 **4.3.2 Impingement**

33 IP2 and IP3 monitored impingement daily until 1981, reduced collections to a randomly selected
34 schedule of 110 days per year until 1991, and then ceased monitoring in 1991 with the
35 installation of the modified Ristroph traveling screens. As described in Section 2.1, these
36 screens were designed in a collaborative effort with the Hudson River Fishermen's Association
37 to minimize the mortality of impinged fish.

38 In 2000, NMFS prepared an environmental assessment (EA) for the incidental take of shortnose
39 sturgeons at Roseton and Danskammer (NMFS 2000). The EA included the estimated total
40 number (Table 1) of shortnose sturgeons impinged at Roseton, Danskammer, Bowline Point,

1 Lovett, and IP2 and IP3, with adjustments to include the periods when sampling was not
2 conducted.

3 **Table 1. Estimated Total and Average Shortnose Sturgeon Impinged by Mid-Hudson**
4 **River Power Plants, Adjusted for Periods Without Sampling**

Power Plant	1972–1998		1989–1998	
	Total	Average No. Impinged/Year	Total	Average No. Impinged/Year
Bowline Point	23	0.9	0	0
Lovett	0	0	0	0
IP2	37	1.4	8	0.8
IP3	26	1.0	8	0.8
Roseton	49	1.8	15	1.5
Danskammer Point	140	5.2	44	4.4
Total	275	10.2	75	7.5

Source: Adapted from NMFS 2000.

5 Impingement data provided by Entergy (2007b), which are available through the NRC's online
6 Agencywide Documents Access and Management System (ADAMS), include the raw number of
7 shortnose sturgeons collected at IP2 and IP3 during impingement monitoring (Table 2). Some
8 blank entries in historical results do not differentiate between "no samples analyzed" and
9 "samples analyzed but no individuals found." Since it is unknown if there were any impinged
10 shortnose sturgeons for those time periods, counts must be considered minimal. The NRC staff
11 notes, however, that data submitted by Entergy indicate that a larger number of shortnose
12 sturgeons were impinged at IP2 and IP3 in the 7 years with reported data (1974–1979, 1984,
13 and 1987 for IP2; 1977–1980, 1984, 1987, and 1988 for IP3) than NMFS data indicate were
14 impinged by all mid-Hudson power plants from 1972 through 1998. The NRC staff finds that the
15 numbers provided by NMFS (2000) in its EA for IP2 and IP3 cannot be accurate. In this case,
16 the applicant-supplied data indicate a greater effect than the NMFS-supplied data.

17 An increase in the population of shortnose sturgeons in the Hudson River would most likely
18 result in an increase in impinged shortnose sturgeons at IP2 and IP3. If the population data
19 presented by Bain et al. (2007) and Woodland and Secor (2007) are accurate, then a four-fold
20 increase in population between the mid-1980s and mid-1990s could result in a similar increase
21 in impingement rates. However, this population increase would also mean that the impact of
22 taking an individual shortnose sturgeon would decrease. Without current impingement data, the
23 NRC staff cannot determine how changes in the shortnose sturgeon population have affected
24 impingement rates.

25 When considering the effects of impingement, it is important to consider the affected species'
26 impingement mortality rate. For IP2 and IP3, however, there are few data regarding the survival
27 of the shortnose sturgeon after impingement. In 1979, NMFS issued a biological opinion (BO)
28 relating to the take of shortnose sturgeons at Indian Point (Dadswell 1979). At the time, there
29 was only 1 year in which records describing the status of impinged shortnose sturgeons were
30 kept. In that year, 60 percent of collected impinged shortnose sturgeons were dead when

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1 collected. The BO assumes both that all dead sturgeons died as a result of the impingement
 2 and that no impingement-related mortality occurred after the impinged sturgeons were released.

3 **Table 2. Numbers of Shortnose Sturgeons Collected During Impingement Monitoring at**
 4 **Indian Point Units 2 and 3**

Year	Unit 2	Unit 3
1975	3	-
1976	2	-
1977	11	2
1978	5	5
1979	4	3
1980	-	2
1981	-	-
1982	-	-
1983	-	-
1984	176	154
1985	-	-
1986	-	-
1987	116	55
1988	-	186
1989	-	-
1990	-	-
Total	317	407

Source: Enclosure 3 to NL-07-156

5 The BO estimated that, in a worst-case scenario, 35 shortnose sturgeons would be impinged at
 6 IP2 and IP3 per year, and that 60 percent (21 individuals) would die on the impingement
 7 screens. At the time, the population of adult shortnose sturgeons in the Hudson River was
 8 estimated to be 6,000, and this level of mortality would result in a 0.3 to 0.4 percent death rate
 9 caused by impingement at IP2 and IP3 (Dadswell 1979).

10 Because all monitoring of impingement ceased after the Ristroph screens were installed in
 11 1991, no updated mortality rate estimates for impinged shortnose sturgeons exist at IP2 and
 12 IP3. The NRC staff does not know the current level of impingement or the level of mortality.
 13 Although the laboratory and field tests (Fletcher 1990) performed on the modified Ristroph
 14 screens were not conducted using the shortnose sturgeon, the tests did show that injury and
 15 death were reduced for most species when compared to the first version of screens that were
 16 proposed (and rejected, based on their "unexceptional performance") (Fletcher 1990). If the
 17 NRC staff assumes that the modified Ristroph screens performed as well as the Fletcher's 1990
 18 results indicated, then mortality and injury from impingement would be lower than reported by
 19 the NMFS in its BO (Dadswell 1979), and the impact to the species would be less. Without
 20 current monitoring, however, the NRC staff cannot confirm this.

21 Based on the limited amount of data from the years before the installation of modified Ristroph
 22 screens at IP2 and IP3, and the lack of data from the years following screen installation,
 23 including any potential changes in rates of mortality caused by impingement, the NRC staff

1 concludes that the continued operation of IP2 and IP3 for an additional 20 years could adversely
2 affect the population of shortnose sturgeons in the Hudson River through impingement but
3 cannot assess the extent to which the installation of modified Ristroph screens might reduce the
4 impact.

5 **4.3.3 Thermal Impacts**

6 The discharge of heated water into the Hudson River can cause lethal or sublethal effects on
7 resident fish, influence food web characteristics and structure, and create barriers to migratory
8 fish moving from marine to freshwater environments.

9 State Pollution Discharge Elimination System (SPDES) permit NY-0004472 regulates thermal
10 discharges associated with the operation of IP2 and IP3. This permit imposes effluent
11 limitations, monitoring requirements, and other conditions to ensure that all discharges are in
12 compliance with Article 17 of the Environmental Conservation Law of New York State, Part 704
13 of the Official Compilation of the Rules and Regulations of the State of New York, and the Clean
14 Water Act. Specific conditions of the SPDES permit related to thermal discharges from IP2 and
15 IP3 are specified in NYSDEC (2003) and include the following:

- 16 • The maximum discharge temperature is not to exceed 110 degrees F (43 degrees C).
- 17 • The daily average discharge temperature between April 15 and June 30 is not to exceed
18 93.2 degrees F (34 degrees C) for an average of more than 10 days per year during the
19 term of the permit, beginning in 1981, provided that it not exceed 93.2 degrees F
20 (34 degrees C) on more than 15 days during that period in any year.

21 The final environmental impact statement (FEIS) associated with the SPDES permit for IP2 and
22 IP3 (NYSDEC 2003) concludes that "Thermal modeling indicates that the thermal discharge
23 from Indian Point causes water temperatures to rise more than allowed." The thermal modeling
24 referred to in the FEIS appears to represent a worst-case scenario. Available modeling
25 indicates the potential for the discharges from IP2 and IP3 to violate the conditions of the IP2
26 and IP3 SPDES permit, which could result in a negative impact on the shortnose sturgeon. IP2
27 and IP3 have not performed any triaxial thermal studies to completely assess the size and
28 nature of the thermal plume created by the discharge from IP2 and IP3 and the possible impact
29 on the sturgeon.

30 According to the NMFS Final Recovery Plan for the Shortnose Sturgeon (NMFS 1998), "During
31 summer months, especially in southern rivers, shortnose sturgeons must cope with the
32 physiological stress of water temperatures that often exceed 82 degrees F (28 degrees C)."
33 Although the area closest to the discharge from IP2 and IP3 can exceed these temperatures,
34 the summer maximum temperature of the Hudson River in the area of IP2 and IP3 is
35 77 degrees F (25 degrees C) (Entergy 2007a). The combined discharge from both Indian Point
36 units is about 1.75 million gpm (110 m³/s), including the service water (Entergy 2007a). Table 3
37 presents the net downstream flows caused by freshwater inflow. From these data, it can be
38 seen that 20 percent of the time, the discharge from IP2 and IP3 would be, at most, 15 percent
39 of the net flow; however, 98 percent of the time, the discharge would be, at most, 97 percent of
40 the net flow. This means that, at given times, the discharge from IP2 and IP3 would not
41 necessarily be well mixed into the Hudson River.

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1 **Table 3. Cumulative Frequency Distribution of Net Downstream Flows of Hudson River**

2

Million gallons per minute (gpm)	Cumulative percentile
11.7	20
6.8	40
4.71	60
3.1	80
1.8	98

Adapted from Entergy 2007a

3 The NRC staff cannot determine—based on available information—whether a shortnose
4 sturgeon in the Hudson River would experience any prolonged physiological stress from the
5 thermal plume caused by the discharge from IP2 and IP3. Shortnose sturgeons could be forced
6 to seek refuge from elevated water temperatures as they are forced to do in southern rivers, and
7 this could limit their available habitat. If studies reveal that the plume is buoyant, shortnose
8 sturgeons could pass underneath the plume on their passage past the facility, but there are no
9 data to indicate that this is the case.

10 As noted earlier, the NYSDEC thermal modeling of the Hudson River suggests that the
11 discharge from IP2 and IP3 could exceed the limits specified in the SPDES permit, but without a
12 triaxial thermal study, the exact size and nature of the thermal plume is unknown. Information
13 about the species, based on the NMFS recovery plan, suggests to the NRC staff that increased
14 temperatures can have a significant effect on the shortnose sturgeon. Therefore, the NRC staff
15 concludes that the continued operation of IP2 and IP3 for an additional 20 years could adversely
16 affect the population of shortnose sturgeons in the Hudson River through thermal discharge, but
17 the staff is unable to determine the extent to which the population would be affected.

18 **5.0 Conclusion**

19 Renewal of the operating licenses of IP2 and IP3 to include another 20 years of operation could
20 adversely affect the population of shortnose sturgeon in the Hudson River through impingement
21 and thermal impacts. At this time, the NRC staff cannot quantify the extent to which the
22 population could be affected.

23 **6.0 References**

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Appendix F

GEIS Environmental Issues Not Applicable to Indian Point Nuclear Generating Station Unit Nos. 2 and 3

Appendix F

GEIS Environmental Issues Not Applicable to Indian Point Nuclear Generating Unit Nos. 2 and 3

Table F-1 lists those environmental issues identified in NUREG-1437, Volumes 1 and 2, “Generic Environmental Impact Statement for License Renewal of Nuclear Plants” (hereafter referred to as the GEIS), issued 1996 and 1999,⁽⁴⁾ and in Table B-1 of Appendix B to Subpart A of Title 10, Part 51, “Environmental Protection Regulations for Domestic Licensing and Related Regulatory Functions,” of the *Code of Federal Regulations* (10 CFR Part 51), that are not applicable to Indian Point Nuclear Generating Unit Nos. 2 and 3 (IP2 and IP3) because of plant or site characteristics.

Table F-1. GEIS Environmental Issues Not Applicable to IP2 and IP3

ISSUE—10 CFR Part 51, Subpart A, Appendix B, Table B-1	Category	GEIS Sections	Comment
SURFACE WATER QUALITY, HYDROLOGY, AND USE (FOR ALL PLANTS)			
Altered thermal stratification of lakes	1	4.2.1.2.3, 4.4.2.2	IP2 and IP3 do not discharge into a lake.
Water use conflicts (plants with cooling pond or cooling towers using makeup water from a small river with low flow)	1	4.3.2.1, 4.4.2.1	IP2 and IP3 have a once-through cooling system.
Water use conflicts (plants with cooling towers and cooling ponds using make-up water from a small river with low flow)	2	4.3.2.1 4.4.2.1	This issue is related to heat-dissipation systems that are not installed at IP2 and IP3.
AQUATIC ECOLOGY (FOR ALL PLANTS)			
AQUATIC ECOLOGY (FOR PLANTS WITH COOLING TOWER-BASED HEAT DISSIPATION SYSTEMS)			
Entrainment of fish and shellfish in early life stages	1	4.2.2.1.2, 4.4.3	This issue is related to heat-dissipation systems that are not installed at IP2 and IP3.

(4) The GEIS was originally issued in 1996. Addendum 1 to the GEIS was issued in 1999. Hereafter, all references to the GEIS include both the GEIS and its Addendum 1.

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ISSUE—10 CFR Part 51, Subpart A, Appendix B, Table B-1	Category	GEIS Sections	Comment
Impingement of fish and shellfish	1	4.2.2.1.3, 4.4.3	This issue is related to heat-dissipation systems that are not installed at IP2 and IP3.
Heat shock	1	4.2.2.1.4, 4.4.4	This issue is related to heat-dissipation systems that are not installed at IP2 and IP3.
GROUND WATER USE AND QUALITY			
Ground water use conflicts (potable and service water, and dewatering; plants that use <100 gpm)	1	4.8.1.1, 4.8.1.2	IP2 and IP3 do not use ground water for any purpose.
Ground water use conflicts (potable and service water, and dewatering; plants that use >100 gpm)	2	4.8.1.1, 4.8.1.2	IP2 and IP3 do not use ground water for any purpose.
Ground water use conflicts (plants using cooling towers withdrawing makeup water from a small river)	2	4.8.1.3	This issue is related to heat-dissipation systems that are not installed at IP2 and IP3.
Ground water use conflicts (Ranney wells)	2	4.8.1.4	IP2 and IP3 do not have or use Ranney wells.
Ground water quality degradation (Ranney wells)	1	4.8.2.2	IP2 and IP3 do not have or use Ranney wells.
Ground water quality degradation (saltwater intrusion)	1	4.8.2.1	IP2 and IP3 do not use for any purpose.
Ground water quality degradation (cooling ponds in salt marshes)	1	4.8.3	IP2 and IP3 do not use cooling ponds.
Ground water quality degradation (cooling ponds at inland sites)	2	4.8.3	IP2 and IP3 do not use cooling ponds.

ISSUE—10 CFR Part 51, Subpart A, Appendix B, Table B-1	Category	GEIS Sections	Comment
HUMAN HEALTH			
Microbial organisms (occupational Health)	1	4.3.6	This issue is related to a heat-dissipation system that is not installed at IP2 and IP3.
Microbiological organisms (public health; plants lakes or canals, cooling towers, or cooling ponds that discharge to a small river)	2	4.3.6	This issue is related to a heat-dissipation system that is not installed at IP2 and IP3.
TERRESTRIAL RESOURCES			
Cooling tower impacts on crops and ornamental vegetation	1	4.3.4	This issue is related to a heat-dissipation system that is not installed at IP2 and IP3.
Cooling tower impacts on native plants	1	4.3.5.1	This issue is related to a heat-dissipation system that is not installed at IP2 and IP3.
Bird collisions with cooling towers	1	4.3.5.2	This issue is related to a heat-dissipation system that is not installed at IP2 and IP3.
Cooling pond impacts on terrestrial resources	1	4.4.4	This issue is related to a heat-dissipation system that is not installed at IP2 and IP3.

References

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Appendix G

U.S. Nuclear Regulatory Commission Staff Evaluation of Severe Accident Mitigation Alternatives for Indian Point Nuclear Generating Unit Nos. 2 and 3 in Support of License Renewal Application Review

1 **Appendix G**

2 **U.S. Nuclear Regulatory Commission Staff Evaluation of**
3 **Severe Accident Mitigation Alternatives for**
4 **Indian Point Nuclear Generating Unit Nos. 2 and 3 in**
5 **Support of License Renewal Application Review**

6 **G.1 Introduction**

7 Entergy Nuclear Operations, Inc. (Entergy) submitted an assessment of severe accident
8 mitigation alternatives (SAMAs) for Indian Point Nuclear Generating Unit Nos. 2 and 3 (IP2 and
9 IP3) as part of the environmental report (ER) (Entergy 2007). Entergy based its assessment on
10 the most recent probabilistic safety assessment (PSA) for IP2 and IP3 (a site-specific offsite
11 consequence analysis performed using the MELCOR Accident Consequence Code System 2
12 (MACCS2) computer code), and on insights from the Individual Plant Examination (IPE) (Con
13 Ed 1992 and NYPA 1994) and the Individual Plant Examination of External Events (IPEEE)
14 (Con Ed 1995 and NYPA 1997) for each unit. In identifying and evaluating potential SAMAs,
15 Entergy considered SAMAs that addressed the major contributors to core damage frequency
16 (CDF) and large early release frequency (LERF) at IP2 and IP3, as well as SAMA candidates
17 for other operating plants that have submitted license renewal applications. Entergy identified
18 231 candidate SAMAs for IP2 and 237 SAMAs for IP3. This list was reduced to 68 (IP2) and 62
19 (IP3) unique SAMAs by eliminating SAMAs that are not applicable at IP2 and IP3 because they
20 have design differences, they have already been implemented at IP2 and IP3, or they are
21 similar in nature and could be combined with another SAMA candidate. Entergy assessed the
22 costs and benefits associated with each of the potential SAMAs and concluded in the ER that
23 several of these were potentially cost beneficial.

24 Based on a review of the SAMA assessment, the U.S. Nuclear Regulatory Commission (NRC)
25 issued requests for additional information (RAIs) to Entergy by letters dated December 7, 2007
26 (NRC 2007), and April 2, 2008 (NRC 2008). Key questions concerned major changes to the
27 internal flood model in each of the PSA updates; PSA peer review comments and their
28 resolution; MACCS2 input data and assumptions (including core inventory, evacuation
29 modeling, and offsite economic costs); assumptions used to quantify the benefits for certain
30 SAMAs; reasons for unit-to-unit differences for certain risk contributors and estimated SAMA
31 benefits; and further information on several specific candidate SAMAs and low-cost alternatives,
32 including SAMAs related to steam generator tube rupture (SGTR) events. Entergy submitted
33 additional information by letters dated February 5, 2008 (Entergy 2008a), and May 22, 2008
34 (Entergy 2008b). In response to the RAIs, Entergy provided clarification of the internal flooding
35 analysis changes in each PSA model version; additional information regarding the peer review
36 process and comment resolution; details regarding the MACCS2 input data, including results of
37 a sensitivity analysis addressing loss of tourism and business; additional explanation and
38 justification for the assumptions in each analysis case; descriptions of plant-specific features

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1 that account for differences in risk and SAMA benefits between units; and additional information
2 regarding several specific SAMAs, including SGTR-related SAMAs. Entergy's responses
3 addressed the NRC staff's concerns and resulted in the identification of several additional
4 potentially cost-beneficial SAMAs and the elimination of one previously identified cost-beneficial
5 SAMA.

6 An assessment of SAMAs for IP2 and IP3 is presented below.

7 **G.2 Estimate of Risk for IP2 and IP3**

8 Entergy's estimates of offsite risk at IP2 and IP3 are summarized in Section G.2.1. The
9 summary is followed by the NRC staff's review of Entergy's risk estimates in Section G.2.2.

10 **G.2.1. Entergy's Risk Estimates**

11 The two distinct analyses that are combined to form the basis for the risk estimates used in the
12 SAMA analysis are (1) the IP2 and IP3 Level 1 and Level 2 PSA models, which are updated
13 versions of the IPE (Con Ed 1992 and NYPA 1994) and IPEEE (Con Ed 1995 and NYPA 1997)
14 for each unit, and (2) supplemental analyses of offsite consequences and economic impacts
15 (essentially a Level 3 PSA model) developed specifically for the SAMA analysis. The SAMA
16 analysis is based on the most recent IP2 and IP3 Level 1 and Level 2 PSA models available at
17 the time of the ER, referred to as the IP2 Revision 1 PSA model (April 2007) for IP2 and the IP3
18 Revision 2 PSA model (April 2007) for IP3. The scope of the PSA models does not include
19 external events.

20 The baseline CDF for the purpose of the SAMA evaluation is approximately 1.79×10^{-5} per year
21 for IP2 and 1.15×10^{-5} per year for IP3. The CDF is based on the risk assessment for internally
22 initiated events, including internal flooding. Entergy did not include the contributions from
23 external events within the IP2 and IP3 risk estimates; however, it did perform separate
24 assessments of the CDF from external events and did account for the potential risk reduction
25 benefits associated with external events by multiplying the estimated benefits for internal events
26 by a factor of approximately 3.8 for IP2 and 5.5 for IP3. This is discussed further in Sections
27 G.2.2 and G.6.2.

28 The breakdown of CDF by initiating event is provided in Table G-1 for IP2 and IP3. For IP2,
29 loss of offsite power sequences, including station blackout (SBO) events, and internal flooding
30 initiators are the dominant contributors to CDF. For IP3, internal flooding initiators, loss-of-
31 coolant accidents (LOCAs), SGTR events, and anticipated transient without scram (ATWS)
32 events are the dominant contributors to CDF.

33 There are several significant differences between the two Indian Point units that account for
34 differences in the risk contributions shown in Table G-1. These differences include:

35 The pressurizer PORV block valves are normally closed in Unit 2, and normally open in Unit 3.
36 Thus, the ability to use the PORVs for feed and bleed cooling in LOOP and partial power loss
37 events is greater at Unit 3, resulting in a lower CDF for LOOP events in Unit 3.

1 There are differences in the internal flooding sources and building configurations (e.g., ingress
2 and egress paths). These physical differences together with differences in the method for
3 calculating failure frequencies result in higher flood CDF frequencies in Unit 2.

4 In Unit 2, DC control power for EDGs and other loads on emergency 480 VAC busses is
5 supplied from either normal or emergency backup supplies, with automatic switching between
6 supplies. Unit 3 does not have this backup capability. This results in a lower CDF contribution
7 from loss of DC power events in Unit 2.

8 **Table G-1. IP2 and IP3 Core Damage Frequency**

Initiating Event	IP2		IP3	
	CDF (Per Year)	% Contribution to CDF	CDF (Per Year)	% Contribution to CDF
loss of offsite power ¹	6.7x10 ⁻⁶	38	1.2x10 ⁻⁷	1
internal flooding	4.7x10 ⁻⁶	26	2.2x10 ⁻⁶	20
LOCA	1.5x10 ⁻⁶	8	2.2x10 ⁻⁶	19
transients ¹	1.2x10 ⁻⁶	7	8.5x10 ⁻⁷	7
ATWS	9.9x10 ⁻⁷	6	1.5x10 ⁻⁶	13
SBO				
SGTR	8.5x10 ⁻⁷	5	7.2x10 ⁻⁷	6
loss of component cooling water (CCW)	7.2x10 ⁻⁷	4	1.6x10 ⁻⁶	14
loss of nonessential service water	5.8x10 ⁻⁷	3	1.1x10 ⁻⁷	<1
interfacing systems LOCA (ISLOCA)	3.0x10 ⁻⁷	2	2.8x10 ⁻⁷	2
reactor vessel rupture				
loss of 125 volts (V) direct current (dc) power	1.5x10 ⁻⁷	<1	1.5x10 ⁻⁷	1
total loss of service water system	1.0x10 ⁻⁷	<1	1.0x10 ⁻⁷	<1
loss of essential service water	5.8x10 ⁻⁸	<1	1.0x10 ⁻⁶	9
	4.4x10 ⁻⁸	<1	5.4x10 ⁻⁷	5
	1.9x10 ⁻¹⁰	<1	1.9x10 ⁻⁸	<1
Total CDF (internal events)	1.79x10⁻⁵	100	1.15x10⁻⁵	100

¹ Contributions from SBO and ATWS events are noted separately and are not included in the reported values for loss of offsite power or transients.

9 The current Level 2 PSA models are based on the IPE models, with updates to reflect changes
10 to the plant and modeling techniques, including a 3.3 percent and 4.8 percent power uprate for
11 IP2 and IP3, respectively; inclusion of additional plant damage states (PDSs) to improve the
12 Level 1–Level 2 PSA interface; and updated accident progression and source term analyses
13 using a later version of the Modular Accident Analysis Program (MAAP) computer code. The
14 Level 1 core damage sequences are placed into one of 57 PDS bins that provide the interface
15 between the Level 1 and Level 2 analyses. The Level 2 models use a single containment event
16 tree (CET) with functional nodes representing both systemic and phenomenological events.
17 CET nodes are evaluated using supporting fault trees and logic rules.

18 The result of the Level 2 PSA is a set of nine release categories with their respective frequency
19 and release characteristics. The results of this analysis for IP2 and IP3 are provided in Tables

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1 E.1-9 (IP2) and E.3-9 (IP3) of the ER. The frequency of each release category was obtained by
 2 summing the frequency of the individual accident progression CET endpoints binned into the
 3 release category. Source terms were developed for each of the nine release categories using
 4 the results of MAAP 4.04 computer code calculations. The release characteristics for each
 5 release category were obtained by frequency-weighting the release characteristics for each
 6 CET endpoint contributing to the release category (Entergy 2007).

7 The offsite consequences and economic impact analyses use the MACCS2 code to determine
 8 the offsite risk impacts on the surrounding environment and public. Inputs for these analyses
 9 include plant-specific and site-specific input values for core radionuclide inventory, source term
 10 and release characteristics, site meteorological data, projected population distribution (within an
 11 80-kilometer (50-mile) radius) for the year 2035, emergency response evacuation modeling, and
 12 economic data. The magnitude of the onsite impacts (in terms of cleanup and decontamination
 13 costs and occupational dose) is based on information provided in NUREG/BR-0184 (NRC
 14 1997a).

15 In the ER, Entergy estimated the dose to the population within 80 kilometers (50 miles) of the
 16 IP2 and IP3 site to be approximately 0.22 person-sievert (Sv; 22 person-rem) per year for IP2,
 17 and 0.24 Sv (24 person-rem) per year for IP3. The breakdown of the total population dose by
 18 containment failure mode is summarized in Table G-2, based on information provided in
 19 response to an RAI (Entergy 2008a). SGTR events and late containment failures caused by
 20 gradual overpressurization by steam and noncondensable gases dominate the population dose
 21 risk at both units.

22 **Table G-2. Breakdown of Population Dose by Containment Failure Mode**

Containment Failure Mode	IP2		IP3	
	Population Dose (Person-Rem ¹ Per Year)	Percent Contribution	Population Dose (Person-Rem ¹ Per Year)	Percent Contribution
intact containment	<0.1	<1	<0.1	<1
basemat meltthrough	1.1	5	0.6	3
gradual overpressure	7.4	34	4.4	18
late hydrogen burns	0.9	4	0.6	2
early hydrogen burns	2.1	10	0.8	3
in vessel steam explosion	0.1	1	0.1	0
reactor vessel rupture	1.0	5	0.4	2
ISLOCA	1.6	7	1.1	4
SGTR	7.7	35	16.6	68
Total	22.0	100	24.3	100

¹One person-rem = 0.01 Sv.

- 1
2 Review of Entergy's Risk Estimates
3 Entergy's determination of offsite risk at IP2 and IP3 is based on the following four major
4 elements of analysis:
- 5 (1) the Level 1 and Level 2 risk models that form the bases for the IPE submittals (Con Ed
6 1992 and NYPA 1994) and the IPEEE submittals (Con Ed 1995 and NYPA 1997)
 - 7 (2) the major modifications to the IPE models that have been incorporated in the IP2 and
8 IP3 2007 PSA updates
 - 9 (3) adjustments to the IPEEE seismic and fire risk results to represent recent plant changes,
10 updated failure probabilities, and more realistic assumptions
 - 11 (4) the MACCS2 analyses performed to translate fission product source terms and release
12 frequencies from the Level 2 PSA model into offsite consequence measures

13 Each of these analyses was reviewed to determine the acceptability of Entergy's risk estimates
14 for the SAMA analysis, as summarized below.

15 The NRC staff's reviews of the IP2 and IP3 IPE submittals are described in the NRC reports
16 dated August 14, 1996 (NRC 1996) and October 20, 1995 (NRC 1995), for IP2 and IP3,
17 respectively. Based on its review of the IPE submittals and responses to RAIs, the NRC staff
18 concluded that the IPE submittals met the intent of Generic Letter (GL) 88-20; that is, the
19 licensee's IPE process is capable of identifying the most likely severe accidents and severe
20 accident vulnerabilities. Although no vulnerabilities were identified in the IPE, several plant
21 improvements were identified. These improvements have either been implemented at the site
22 or addressed by a SAMA in the current evaluation (Entergy 2007). These improvements are
23 discussed in Section G.3.2.

24 There have been three revisions to the IP2 PSA model and two revisions to the IP3 PSA model
25 since the respective IPE submittals. A comparison of the internal events CDF between the IPE
26 submittals and the current PSA models indicates a decrease of approximately 45 and 75
27 percent for IP2 and IP3, respectively (from 3.13×10^{-5} per year to 1.79×10^{-5} per year for IP2 and
28 from 4.40×10^{-5} per year to 1.15×10^{-5} per year for IP3). A description of those changes that
29 resulted in the greatest impact on the internal-event CDF is provided in Sections E.1.4 and
30 E.3.4 of the ER (Entergy 2007) and in response to a staff RAI (Entergy 2008a) and is
31 summarized in Tables G-3a and G-3b for IP2 and IP3, respectively.

Table G-3a. IP2 PSA Historical Summary

PSA Version	Summary of Changes from Prior Model	CDF (per year)
1992	IPE submittal (excluding internal flooding) (RISKMAN)	3.13×10^{-5}
Update	5/2003 PSA Update (RISKMAN) <ul style="list-style-type: none"> - credited recovery of feedwater and condensate - added treatment of cross-header common-cause failure (CCF) for essential and nonessential service water headers - updated equipment performance and unavailability data - revised human error probabilities based on thermal-hydraulic calculations - updated reactor coolant pump (RCP) seal LOCA model - added treatment of internal flooding events 	2.19×10^{-5}
Rev. 0	3/2005 PSA update (Computer-Aided Fault-Tree Analysis code (CAFTA)) <ul style="list-style-type: none"> - updated initiating event, component failure, and unavailability databases - updated offsite power recovery data per EPRI 1009889 - revised internal flooding analysis, including pipe-break frequencies and human error probabilities - changed CCF model from multiple Greek letter to Alpha method - updated human reliability analysis (HRA) method to the EPRI HRA method - updated RCP seal LOCA model to WCAP-16141 (WOG2000) - updated ISLOCA model to address ISLOCAs inside containment, to credit mitigation only for small LOCAs outside containment, and to remove credit for makeup to the refueling water storage tank (RWST) 	1.71×10^{-5}
Rev. 1	2/2007 PSA update <ul style="list-style-type: none"> - updated selected initiating event frequencies - updated offsite power recovery model per NUREG/CR-6890 - included CCF for plugging service water pump strainers - revised model to reflect that normal offsite power feeds to the 480-V ac safeguards buses do not trip on a safety injection (SI) signal without a concurrent loss of offsite power - added credit for Indian Point Unit 1 (IP1) station air compressors for scenarios that do not involve loss of offsite power - revised auxiliary feedwater (AFW) success criterion to require flow to two (rather than one) steam generators for normal (non-ATWS) response 	1.79×10^{-5}

1

Table G-3b IP3 PSA Historical Summary

PSA Version	Summary of Changes from Prior Model	CDF (per year)
1994	IPE submittal (including internal flooding CDF of 6.5×10^{-6})	4.40×10^{-5}
Rev. 1	<p>6/2001 PSA Update</p> <ul style="list-style-type: none"> - updated initiating event, component failure, and unavailability databases - updated offsite power recovery model per NUREG/CR-5496 - revised and added CCF component groups consistent with the most recent probabilistic risk assessment (PRA) practices, and updated CCF data - revised HRA to reflect EOP changes - updated RCP seal LOCA model per Brookhaven model, including credit for qualified high-temperature RCP seals <hr/> <ul style="list-style-type: none"> - incorporated major plant design changes, including: <ul style="list-style-type: none"> • replacement of power-operated relief valves (PORVs) to eliminate leakage and allow operation with the block valve open • reassignment of power supplies to emergency diesel generator (EDG) room exhaust fans to eliminate dependencies • modification of backup battery charger 35 to be able to be powered from 480-V MCC 36C, 36D, or 36E • installation of a diesel-driven station air compressor. • installation of temperature detectors to provide control room alarm if high temperature on the 15 and 33 feet (ft) elevation of the control building • installation of a waterproof door to the deluge valve station 	1.35×10^{-5}
Rev. 2	<p>2/2007 PSA Update</p> <ul style="list-style-type: none"> - added a total loss of service water initiating event - updated offsite power recovery model per NUREG/CR-6890 - changed CCF model from modified Beta method to Alpha method - updated RCP seal LOCA model to WCAP-16141 (WOG2000) - revised AFW success criterion to require flow to two (rather than one) steam generators for normal (non-ATWS) response - modified success criteria for cooling of internal recirculation pumps to remove credit for cooling by redundant systems - removed the credit for an offsite gas turbine (which is no longer maintained) 	1.15×10^{-5}

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1 The CDF values from the IP2 and IP3 IPE submittals (3.13×10^{-5} per year and 4.40×10^{-5} per
2 year, respectively) are near the average of the CDF values reported in the IPEs for pressurized-
3 water reactors (PWRs) with dry containments. Figure 11.2 of NUREG-1560 shows that the IPE-
4 based total internal events for these plants range from 9×10^{-8} to 8×10^{-5} per year, with an
5 average CDF for the group of 2×10^{-5} per year (NRC 1997b). The NRC staff recognizes that
6 other plants have updated the values for CDF subsequent to the IPE submittals to reflect
7 modeling and hardware changes. The current internal event CDF results for IP2 and IP3
8 (1.79×10^{-5} per year and 1.15×10^{-5} per year, respectively) are comparable to those for other
9 plants of similar vintage and characteristics.

10 The NRC staff considered the peer reviews performed for the IP2 and IP3 PSAs and the
11 potential impact of the review findings on the SAMA evaluation in order to reach a conclusion
12 regarding adequacy of the PRA to support SAMA evaluation. In the ER, Entergy described the
13 peer review by the (former) Westinghouse Owner's Group (WOG) of the IP2 PSA model,
14 conducted in May 2002, and of the IP3 PSA model, conducted in January 2001. The IP2 model
15 reviewed was an updated version of the IPE that predated the May 2003 version described in
16 Table G-3a. Similarly, the IP3 model reviewed was an updated version of the IPE that predated
17 the June 2001 version described in Table G-3b.

18 For both IP2 and IP3, the ER states that all of the technical elements were graded as sufficient
19 to support applications requiring the capabilities defined for grade 2 (e.g., risk-ranking
20 applications). In addition, most of the elements were further graded as sufficient to support
21 applications requiring the capabilities defined for grade 3 (e.g., risk-informed applications
22 supported by deterministic insights).

23 For IP2, the ER states that there were no Level A findings (for which immediate model changes
24 would have been appropriate) from the peer review. Although a number of minor model
25 corrections were made following the peer review, no significant changes were made to the
26 model structure or underlying assumptions in the May 2003 PSA update. The IP2 model was
27 subsequently converted from the support-state RISKMAN model to a linked-fault-tree CAFTA
28 model. Entergy indicates that the conversion effort included a number of modeling changes for
29 consistency with other Entergy models and addressed the remaining findings and observations
30 (F&Os) from the IP2 Peer Review (i.e., Level B, C, and D F&Os), where appropriate. In
31 addition, the issues raised during the peer review of the IP3 model were also examined for
32 applicability to IP2; all applicable issues were addressed consistent with the treatment used for
33 IP3. For IP3, the ER states that all Level A and B F&Os from the IP3 peer review were
34 addressed in the final version of the Revision 1 PSA model for IP3, which was issued in
35 June 2001, and that less significant (Level C & D) F&Os were addressed, where appropriate.

36 Entergy indicates that the model changes incorporated in the IP2 Revision 1 and the IP3
37 Revision 2 PSA models also underwent an internal independent review by Entergy PSA staff
38 and plant personnel and were subjected to a focused self-assessment to demonstrate technical
39 quality in preparation for the NRC Mitigating Systems Performance Indicator (MSPI) program in
40 2006. In addition, the IP2 model was also subjected to a weeklong review by a team of industry
41 peers from outside the Entergy staff in July 2005. Finally, the ER indicates that the model
42 changes in the IP2 Revision 1 and the IP3 Revision 2 PSA models were peer reviewed for
43 accuracy and consistency by members of the Entergy Nuclear Systems Analysis Group not
44 directly involved in their implementation (Entergy 2007).

1 Given that the IP2 and IP3 internal events PSA models have been peer reviewed and the peer
2 review findings were either addressed or judged to have no adverse impact on the SAMA
3 evaluation, and that Entergy has satisfactorily addressed the NRC questions regarding the PSA
4 (NRC 2007, NRC 2008, Entergy 2008a, Entergy 2008b), the NRC staff concludes that the
5 internal events Level 1 PSA model for the plants is of sufficient quality to support the SAMA
6 evaluation.

7 Section E.1.4 of the ER states that, for IP2, internal flooding was examined as part of the
8 IPEEE, while Section E.3.4 indicates that internal flooding was included in the IP3 IPE. Internal
9 flooding was later incorporated into the IP2 May 2003 PSA update, resulting in the consistent
10 treatment of internal flooding for the two units.

11 The IP2 IPEEE analysis of internal flooding yielded a CDF of 6.6×10^{-6} per year while the IP3 IPE
12 internal flooding analysis yielded a CDF of 6.5×10^{-6} per year. For each plant, three scenarios
13 accounted for more than 80 percent of the flood CDF. All these scenarios result in a reactor trip
14 and the nonrecoverable loss of safety-related switchgear from flooding sources located in or
15 adjacent to the each unit's 480-V switchgear room.

16 The internal flooding analysis was included in the WOG peer review. In response to an RAI,
17 Entergy provided a detailed discussion on the incorporation of peer review comments for IP2
18 and IP3. For IP2, the licensee indicated that there were only two WOG peer review findings
19 associated with the internal flooding analysis.

20 The first finding related to use of a flooding event screening criterion of 1×10^{-6} per year in the
21 analysis. That criterion, however, was only applied to a scenario involving the potential for
22 intercompartmental flooding from the EDG building to the electrical tunnel and involved leakage
23 that could be accommodated by existing plant drains rather than catastrophic failure. Therefore,
24 it was determined that screening of this scenario was appropriate and a model change was not
25 needed.

26 The second finding was a general concern that the flooding study had not been updated since
27 1993. The IP2 internal flooding analysis was subsequently updated in 2005 (Entergy 2008a).
28 For IP3, the licensee indicated that the IP3 WOG peer review concluded that the internal
29 flooding analysis demonstrated a superior combination of industry data and models to obtain
30 plant-specific piping rupture frequencies. The peer review identified four F&Os related to the
31 internal flooding analysis. One F&O was a strength that warranted no change to the model.
32 The other findings related to incorporation of historical data, assembly of walkdown records, and
33 consideration of applicable draft American Society of Mechanical Engineers (ASME) standards
34 to enhance the flooding analysis. The findings related to the incorporation of historical data and
35 to the assembly of walkdown records were resolved during preparation of the final version of
36 Revision 1 of the IP3 PSA model. The draft ASME standards identified by the review team were
37 reviewed, and no modeling changes were warranted. Therefore, all internal flooding review
38 comments that affect the model were addressed in the model used for the SAMA analysis
39 (Entergy 2008a).

40 As indicated above, the current IP2 and IP3 PSA models do not include external events. In the
41 absence of such an analysis, Entergy used the IP2 and IP3 IPEEEs, in conjunction with minor
42 adjustments in fire and seismic scenarios, to identify the highest risk accident sequences and
43 the potential means of reducing the risk posed by those sequences, as discussed below.

Appendix G

1 The IP2 and IP3 IPEEEs were submitted in December 1995 (Con Ed 1995) and September
2 1997 (NYPA 1997), in response to Supplement 4 of GL 88-20 (NRC 1991). These submittals
3 included a seismic PRA analysis, a fire PRA, a high-wind risk model, and a screening analysis
4 for other external events. While no fundamental weaknesses or vulnerabilities to severe
5 accident risk in regard to the external events were identified, several opportunities for risk
6 reduction were identified and implemented, as discussed below. In letters dated August 13,
7 1999, and February 15, 2001, the NRC staff concluded that the submittals for IP2 and IP3
8 generally met the intent of Supplement 4 to GL 88-20, and that the licensee's IPEEE process is
9 capable of identifying the most likely severe accidents and severe accident vulnerabilities (NRC
10 1999 and 2001). For IP3, the NRC staff identified an issue related to misdirection of manual fire
11 suppression, which can fail equipment, but decided to resolve that issue separately from the
12 IPEEE.

13 The IPEEE seismic analyses employed a seismic PSA following the guidance of NUREG-1407.
14 The IPEEE estimated a seismic CDF of 1.46×10^{-5} and 4.4×10^{-5} per year for IP2 and IP3,
15 respectively. Components related to decay heat removal were modeled in the seismic PSA for
16 both units. No unique decay-heat removal vulnerabilities were found for either unit based on the
17 quantitative risk results. Seismic-induced flooding and fires were examined as part of the
18 IPEEE process for both units. Specific seismic-fire interactions were identified by Entergy, as
19 listed in Table 2.12 of NUREG-1742 (NRC 2002). However, upon further consideration, the
20 NRC staff concluded that the contribution to the CDF is small because the conditional
21 probability of a fire, given an earthquake, is small (NRC 2001). For IP2 and IP3, the IPEEEs
22 also addressed the issue of relay chattering through a detailed examination of the relays used in
23 IP2 against the low-capacity relay list found in Appendix D of Electric Power Research Institute
24 (EPRI) NP-7148-SL. A list of the dominant contributors to the seismic CDF for IP2 and IP3 is
25 provided in Tables G-4a and G-4b, based on the information provided in response to an RAI
26 (Entergy 2008a).

27 In Section 4.21.5.4 of the ER, Entergy noted that conservative assumptions were used in the
28 seismic analyses, including the use of a single, conservative surrogate element to model the
29 most seismically rugged components, the assumption that redundant components are
30 completely correlated in determining the probability of seismic-induced failure, and the
31 assumption that seismic-induced ATWS events are not recoverable. For purposes of the SAMA
32 evaluation, Entergy performed a reevaluation of the seismic CDF, as discussed below. For IP2,
33 as a result of an IPEEE recommendation, the CCW surge tank hold-down bolts were upgraded.
34 This effectively eliminated the contribution from the failure of the CCW surge tank, reducing the
35 seismic CDF for IP2 from 1.46×10^{-5} per year to approximately 1.06×10^{-5} per year. For IP3, no
36 seismic improvements were recommended. However, Entergy reevaluated the seismic PSA to
37 reflect updated random component failure probabilities and to model recovery of onsite power
38 and local operation of the turbine-driven AFW pump. This reduced the seismic CDF for IP3
39 from 4.4×10^{-5} per year to 2.65×10^{-5} per year. These reduced CDF values were used in
40 developing the external events multipliers in the SAMA benefit analysis, as discussed later.

1 **Table G-4a. IP2 Seismic Scenarios and Their Contribution to Seismic CDF**

Seismic Scenario Description	CDF (per year)	
	Frequency	Percent Contribution
failure of CCW, primarily caused by failure of surge tank hold-down bolts	4.2×10^{-6}	29
failure of the turbine building frame and consequential failure of control building	3.5×10^{-6}	24
collapse of IP1 super heater stack onto control building	3.0×10^{-6}	21
loss of 480 V emergency power	1.3×10^{-6}	9
loss of service water (seismic failure of service water pumps)	1.3×10^{-6}	9
seismic-induced loss of offsite power	4.4×10^{-7}	3
Other	7.4×10^{-7}	5
Total Seismic CDF from Dominant Scenarios	1.46×10^{-5}	100

2 **Table G-4b IP3 Seismic Scenarios and Their Contribution to Seismic CDF**

Seismic Scenario Description	CDF (per year)	
	Frequency	Percent Contribution
loss of 480-V ac electric power with consequential RCP seal LOCA	1.9×10^{-5}	43
loss of CCW with consequential RCP seal LOCA	1.0×10^{-5}	23
loss of offsite power with seismic failures of the RHR heat exchangers, the condensate stage tank, containment instrument racks, and AFW	9.2×10^{-6}	21
surrogate element (represents screened out, rugged components and structures, where failure leads to core damage)	3.5×10^{-6}	8
seismic-induced ATWS	2.2×10^{-6}	5
Total Seismic CDF from Dominant Scenarios	4.4×10^{-5}	100

Appendix G

1 The IPEEE fire analyses employed a combination of PRA with the EPRI's fire-induced
2 vulnerability evaluation methodology. The evaluation was performed in four phases:

- 3 (1) qualitative screening
- 4 (2) quantitative screening
- 5 (3) fire damage evaluation screening
- 6 (4) fire scenario evaluation and quantification

7 Each phase focused on those fire areas that did not screen out in the prior phases. The final
8 phase involved using the IPE model for internal events to quantify the CDF resulting from a fire-
9 initiating event. Each fire area that remained after screening was then treated as a separate
10 initiating event and was propagated through the model with the appropriate model modifications,
11 as necessary. The CDF for each area was obtained by accounting for the frequency of a fire in
12 a given fire area; the conditional core damage probability associated with that fire scenario in
13 the fire area, including, where appropriate, the impact of fire suppression; and fire propagation.
14 The potential impact on containment performance and isolation was evaluated following the
15 core damage evaluation. The total fire CDF from the IPEEE was estimated to be 1.8×10^{-5} per
16 year for IP2 (Con Ed 1995) and 5.6×10^{-5} per year for IP3 (NYPA 1997).

17 In Section 4.21.5.4 of the ER, Entergy noted that conservative assumptions were used in the
18 IPEEE fire analyses, including overestimation of the frequency and severity of fires;
19 conservative treatment of open, hot short, and short-to-ground circuits; and assumption of a
20 plant trip for all fires. For purposes of the SAMA evaluation, Entergy performed a reevaluation
21 of the fire CDF, as discussed below.

- 22 • For IP2, Section E.1.3.2 of the ER notes that the IP2 IPEEE fire model had the following
23 known conservatisms:
 - 24 • The main feedwater and condensate systems were assumed to be unavailable in all
25 scenarios, even when their power source was not affected by the fire scenario.
 - 26 • The pressurizer PORV block valves were assumed to be in the limiting position (open or
27 closed) to maximize the impact of the fire.
 - 28 • All sequences involving RCP seal LOCAs were assumed to lead to complete seal
29 failure.

30 For the purpose of the SAMA evaluation, Entergy reevaluated the dominant IPEEE fire
31 sequences (sequences with CDF contributions greater than 1×10^{-7} per year) to reduce the
32 conservatisms associated with main feedwater and condensate unavailability and PORV block
33 valve assumptions and to reflect updated modeling associated with RCP-seal LOCAs. In
34 response to a RAI, Entergy explained that other portions of the fire analysis methodology and
35 modeling were not revised as part of the SAMA update. Entergy also noted that preliminary fire
36 analysis results were inadvertently included in the ER and provided a corrected, revised IP2 fire
37 CDF value of 8.4×10^{-6} per reactor year (Entergy 2008a). These revised results are included in
38 Table G-5a and were used in developing the external events multiplier in the SAMA benefit
39 analysis.

40 Similarly, for IP3, Section E.3.3.2 of the ER notes that the IP3 IPEEE fire model had known
41 conservatisms in estimating the fire ignition frequency (e.g., an air compressor ignition

1 frequency did not take into account that the compressor would operate only for a total of about
 2 5 days per year). Also, at the time of IPEEE, the automatic suppression systems in some plant
 3 areas were placed in “manual” mode because of concerns with seismic interactions.
 4 Subsequently, some fire suppression systems were extensively modified so that the
 5 suppression mode could have been returned to “automatic.” As part of the update for the
 6 purpose of SAMA evaluations, Entergy performed a reanalysis of the fire CDF and provided a
 7 revised IP3 fire CDF value of 2.55×10^{-5} per year (Entergy 2007). These revised results are
 8 included in Table G-5b and were used to develop the external events multiplier in the SAMA
 9 benefit analysis.

10

Table G-5a. IP2 Fire Areas and Their Contribution to Fire CDF

Fire Area	Area Description	CDF (per year)	
		IPEEE	Fire Reanalysis
1A	electrical tunnel/pipe penetration area	9.2×10^{-7}	6.6×10^{-7}
2A	primary water makeup area	1.1×10^{-6}	5.1×10^{-7}
11	cable spreading room	4.3×10^{-6}	2.0×10^{-6}
14	switchgear room	3.8×10^{-6}	1.4×10^{-6}
15	Control room	7.1×10^{-6}	3.0×10^{-6}
74A	electrical penetration area	1.1×10^{-6}	7.3×10^{-7}
6A	Drumming and storage station	1.5×10^{-9}	1.5×10^{-9}
32A	cable tunnel	9.6×10^{-8}	9.6×10^{-8}
1	CCW pump room	2.2×10^{-9}	2.2×10^{-9}
22/63A	Service water intake	7.5×10^{-9}	7.5×10^{-9}
23	AFW pump room	6.2×10^{-9}	6.2×10^{-9}
Total Fire CDF from Major Fire Areas		1.8×10^{-5}	8.4×10^{-6}

11

Table G-5b. IP3 Fire Areas and Their Contribution to Fire CDF

Fire Area	Area Description	CDF (per year)	
		IPEEE	Fire Reanalysis
14	480-V switchgear room	3.5×10^{-5}	1.3×10^{-5}
11	cable spreading room	6.8×10^{-6}	5.3×10^{-6}
15	Control room	3.7×10^{-6}	3.7×10^{-6}
14/37A	480-V switchgear room/south turbine building	4.5×10^{-6}	1.8×10^{-7}
10	diesel generator 31	2.1×10^{-6}	2.0×10^{-6}
102A	diesel generator 33	1.9×10^{-6}	4.7×10^{-9}
60A	upper electrical tunnel	7.1×10^{-7}	7.1×10^{-7}
101A	diesel generator 32	3.4×10^{-7}	5.2×10^{-9}
7A	lower electrical tunnel	2.8×10^{-7}	2.8×10^{-7}

Appendix G

1

Table G-5b (continued)

Fire Area	Area Description	CDF (per year)	
		IPEEE	Fire Reanalysis
23	AFW pump room	2.3×10^{-7}	2.3×10^{-7}
37A	south turbine building elevation 15 ft	3.8×10^{-8}	3.8×10^{-8}
17A	primary auxiliary building (PAB) corridor	3.2×10^{-8}	3.2×10^{-8}
Total Fire CDF from Major Fire Areas		5.6×10^{-5}	2.6×10^{-5}

2 For high-wind and tornado events, the ER noted that IP2 structures and systems predate the
3 1975 Standard Review Plan (SRP) criteria. Therefore, a detailed PRA was developed as part of
4 the IPEEE analysis to address the impact of high-wind events at IP2. The equipment of
5 concern includes that located within sheet metal clad structures (e.g., the gas turbine and AFW
6 components) and equipment in the yard, including the condensate storage tank (CST) and
7 service water pumps. The CDF for high-wind events was estimated in the IPEEE to be
8 3.03×10^{-5} per year. In Section E.1.3.3.1 and E.1.4.3 of the ER, Entergy noted that its planned
9 removal of the gas turbines from service would reduce the probability of recovering power from
10 the offsite gas turbine location (as modeled in the PRA), but as shown by a sensitivity analysis
11 this impact would be offset by the increased reliability and ruggedness of the new IP2
12 SBO/Appendix R diesel generator relative to that of the gas turbines. Accordingly, Entergy used
13 the IPEEE high-wind CDF of 3.03×10^{-5} per year in determining the external event multiplier for
14 IP2, as discussed later.

15 The IP3 structures and systems also predate the SRP criteria, but the IPEEE found the
16 estimated CDF for high-wind events to be below the 10^{-6} per year screening criterion (from
17 NUREG-1407). This conclusion is based in part on the assumption that high water levels are
18 maintained in the condensate storage and city water storage tank, thus preventing significant
19 wind load and pressure differential damage to the tanks that provide water to the AFW system
20 (NYPA 1997). Because of the low CDF value, the IP3 external-event multiplier does not
21 explicitly account for risks associated with high-wind and tornado events.

22 The IP2 and IP3 IPEEE submittals examined a number of other external hazards, including
23 external flooding, ice formation, and accidents involving hazardous chemicals, transportation
24 (e.g., accidental aircraft impacts), or nearby industrial facilities. These evaluations followed the
25 screening and evaluation approaches specified in Supplement 4 to GL 88-20 (NRC 1991). No
26 risks to the plant from external floods, ice formation, or accidents involving hazardous
27 chemicals, transportation, or nearby facilities, were identified that might lead to core damage
28 with a predicted frequency in excess of 10^{-6} per year (Con Ed 1995 and NYPA 1997). For IP3,
29 scenarios involving hydrogen explosions within the turbine building, the pipe trench between the
30 PAB and containment, the hydrogen shed area in the containment access facility, and the pipe
31 chase on the 73-ft elevation of the northeast corner of the PAB were identified that, in total,
32 could result in core damage with an estimated frequency slightly above 10^{-6} per year. As a
33 result, Phase II SAMA 53 was identified to evaluate the change in plant risk from plant
34 modifications to install an excess flow valve to reduce the risk associated with hydrogen
35 explosions inside the turbine building or PAB. Entergy noted that the risks from deliberate
36 aircraft impacts were explicitly excluded, since this was being considered in other forums, along
37 with other sources of sabotage.

1 Based on the aforementioned results, Entergy estimated that the external event CDF is
2 approximately 2.8 and 4.52 times that of the internal-event CDF for IP2 and IP3, respectively.
3 For IP2, this factor was based on an internal event CDF of 1.79×10^{-5} per year, a seismic CDF of
4 1.06×10^{-5} per year, a fire CDF of 8.4×10^{-6} per year, and a high-wind CDF contribution of
5 3.03×10^{-5} per year. For IP3, this factor was based on an internal-event CDF of 1.15×10^{-5} per
6 year, a seismic CDF of 2.65×10^{-5} per year, and a fire CDF of 2.55×10^{-5} per year. Accordingly,
7 the total CDF from internal and external events would be approximately 3.8 times the internal-
8 event CDF for IP2 and 5.5 times the internal event CDF for IP3.

9 In the SAMA analysis submitted in the ER, Entergy increased the benefit that was derived from
10 the internal-event model by a factor 3.8 and 5.5 to account for the combined contribution from
11 internal and external events for IP2 and IP3, respectively. For SAMA candidates that address
12 only a specific external event and have no bearing on internal-event risk (e.g., IP2 SAMA 66—
13 Harden EDG Building Against High Winds), Entergy derived the benefit directly from the
14 external-event risk model and then increased the benefit by the multipliers identified earlier.
15 This resulted in a bounding benefit for the SAMA candidates addressing a specific external
16 event. The NRC staff agrees with the licensee's overall conclusion concerning the impact of
17 external events and concludes that the licensee's use of a multiplier of 3.8 and 5.5 for IP2 and
18 IP3, respectively, to account for external events is reasonable for the purposes of the SAMA
19 evaluation. This is discussed further in Section G.6.2.

20 The NRC staff reviewed both the general process used by Entergy to translate the results of the
21 Level 1 PSA into containment releases and the results of the Level 2 analysis, as described in
22 the ER and in response to the NRC staff RAIs (Entergy 2007 and 2008a). The containment
23 designs and the Level 2 analyses are similar for IP2 and IP3. The NRC staff notes that, after
24 reviewing information provided by Entergy, the current Level 2 PSA models are based on the
25 IPE models, with updates to reflect changes to the plant and modeling techniques, including a
26 3.3 percent and 4.8 percent power uprate for IP2 and IP3, respectively; inclusion of additional
27 PDSs to improve the Level 1–Level 2 PSA interface; and updated accident progression and
28 source term analyses using a later version of the MAAP computer code.

29 The Level 1 core damage sequences are placed into one of 57 PDS bins that provide the
30 interface between the Level 1 and Level 2 analyses. The PDSs are defined by a set of
31 functional characteristics for system operation that are important to accident progression,
32 containment failure, and source-term definition. The Level 2 models use a single CET with
33 functional nodes representing both systemic and phenomenological events. The CET is used to
34 determine the appropriate release category for each Level 2 sequence. CET nodes are
35 evaluated using supporting fault trees and logic rules.

36 Entergy characterized the releases for the spectrum of possible radionuclide release scenarios
37 using a set of nine release categories, defined based on the timing and magnitude of the
38 release and whether the containment remains intact, fails, or is bypassed. The frequency of
39 each release category was obtained by summing the frequency of the individual accident
40 progression CET endpoints binned into the release category. The release characteristics for
41 each category were obtained by frequency weighting the release characteristics for each CET
42 endstate contributing to the release category. The source-term release fractions for the CET
43 endstates were estimated based on the results of plant-specific analyses of the dominant CET
44 scenarios using the MAAP (Version 4.04) computer program. The release categories and their
45 frequencies and release characteristics are presented in Tables E.1-10 and E.3-10 of the ER.

Appendix G

1 During the review of the Level 2 analysis, the NRC staff could not determine the modeling
2 approach used to assess the likelihood of a thermally induced SGTR (TI-SGTR) following core
3 damage in the current IP2 and IP3 PSAs. Entergy explained that TI-SGTR events are
4 considered in the Level 2 analyses for two conditions:

- 5 (1) high reactor cooling system (RCS) pressure and steam generators dry (no secondary-
6 side cooling)
- 7 (2) high RCS pressure and steam generators initially dry, with recovery of secondary-side
8 cooling before challenging the steam generator tubes

9 The first condition applies to transient event sequences in which RCS pressure is at the
10 pressurizer PORV setpoint at the time of core damage. No credit is taken for recovery of
11 secondary-side cooling in these sequences. Entergy states that a TI-SGTR probability of 0.01
12 is used for this case, based on Table 2-1 of NUREG/CR-4551, Volume 2, Revision 1, Part 1,
13 which shows a distribution that ranges from 10^{-5} to 0.1208 and a mean value of 0.018. The
14 second condition applies to SBO sequences in which RCS pressure is at the pressurizer PORV
15 setpoint at the time of core damage. Entergy states that a TI-SGTR probability of 5×10^{-4} is used
16 for this SBO case, based on the expectation that the steam generators will not dry out until after
17 battery depletion and that secondary-side cooling and other mitigating system functions could
18 be recovered before that time. The value is stated as being derived from the transient case
19 value of 0.01 combined with the human error probability of 5.2×10^{-2} for failure to align AFW
20 following ac power recovery. Entergy explained that a stuck-open main steam safety valve or
21 other secondary-side depressurization event is required to create the large differential pressure
22 needed for the conditional TI-SGTR probabilities assumed above and that the Level 2 analyses
23 conservatively did not account for the probability that these additional failures do not occur
24 (Entergy 2008b). A sensitivity analysis that increases the probability of the TI-SGTR was
25 developed at the staff's request and is described in Section G.6.2.

26 The NRC staff's reviews of the Level 2 IPEs for IP2 and IP3 concluded that the analyses
27 addressed the most important severe accident phenomena normally associated with large dry
28 containments and identified no significant problems or errors (NRC 1995 and 1996). It should
29 be noted, however, that the current Level 2 models are revisions to those of the IPE. The Level
30 2 PSA models were included in the WOG peer reviews mentioned previously. The changes to
31 the Level 2 models to update the methodology and to address the peer review
32 recommendations are described in Sections E.1.4 and E.3.4 of the ER (Entergy 2007) and in
33 response to an RAI concerning peer review findings related to the Level 2 PSA model (Entergy
34 2008a).

35 In the RAI response, Entergy provided a detailed discussion of all the changes that resulted
36 from the incorporation of the WOG peer review of the Level 2 PRA. For IP2, the licensee
37 indicated that there were two Level C F&Os related to the Level 2 analysis. One issue dealt
38 with treatment of containment failure from energetic events (e.g., direct containment heating,
39 hydrogen combustion, in-vessel steam explosions, and ex-vessel steam explosions). The other
40 issue related to treatment of a stuck-open main steam safety valve following an SGTR core
41 damage event. Entergy indicated that all peer review recommendations associated with the
42 WOG review were incorporated in Revision 0 of the IP2 PSA (3/2005).

43 For IP3, Entergy indicated that there were six F&Os from the WOG peer review team related to
44 the Level 2 analysis:

- 1 • One F&O was related to the containment strength that was considered for a plant-
2 specific containment structural analysis.
- 3 • One Level A F&O recommended that the LERF definition include the release of iodine
4 as well as cesium and tellurium.
- 5 • Two Level B F&Os were related to justification for the value used for ex-vessel
6 explosions, and an overestimation of the "Alpha mode"-induced containment failure
7 probability.
- 8 • One Level C F&O recommended crediting repair and recovery of systems that affect
9 containment performance.
- 10 • One Level D F&O was related to documentation.

11 Entergy indicated that all Level A and B F&Os were resolved and that changes were
12 incorporated as necessary in Revision 1 of the IP3 PSA (6/2001). Entergy also stated that the
13 Level C and D F&Os were addressed, as appropriate, in the next revision of the model
14 (Revision 2, 2/2007).

15 Based on the NRC staff's review of the Level 2 methodology, the fact that the Level 2 model
16 was reviewed in more detail as part of the WOG peer review and updated to address peer
17 review findings, and Entergy's responses to the RAIs, the NRC staff concludes that the Level 2
18 PSAs for IP2 and IP3 are technically sound and provide an acceptable basis for evaluating the
19 benefits associated with various SAMAs.

20 As indicated in the ER, the estimated IP2 and IP3 reactor core radionuclide inventories used in
21 the MACCS2 input are based on the current core configuration and a power level of 3216
22 megawatt thermal (MWt). The information was derived from Westinghouse Electric Company,
23 Core Radiation Sources to Support IP2 and IP3 2 Power Uprate Project, and Westinghouse
24 Electric Company, Core Radiation Sources to Support IP2 and IP3 3 Stretch Power Uprate
25 (SPU) Project, CN-REA-03-40 (3/7/2005). In response to an RAI, Entergy confirmed that the
26 current core design and operational practice are consistent with this analysis and that there are
27 no planned future changes to reactor power level or fuel management strategies that would
28 affect the reactor core radionuclide inventory used in the MACCS2 analysis (Entergy 2008a).

29 The NRC staff reviewed the process used by Entergy to extend the containment performance
30 (Level 2) portion of the PSA to an assessment of offsite consequences (essentially a Level 3
31 PSA). This included consideration of the source terms used to characterize fission product
32 releases for the applicable containment release categories and the major input assumptions
33 used in the offsite consequence analyses. The MACCS2 code was used to estimate offsite
34 consequences. Plant-specific input to the code includes the source terms for each release
35 category and the reactor core radionuclide inventory (both discussed above), site-specific
36 meteorological data, projected population distribution within an 80-kilometer (50-mile) radius for
37 the year 2035, emergency evacuation modeling, and economic data. This information is
38 provided in Sections E.1.5 and E.3.5 of the ER for IP2 and IP3, respectively (Entergy 2007).

39 Entergy used site-specific meteorological data for the 5 years, 2000 through 2004, as input to
40 the MACCS2 code. Entergy averaged the data over this interval for this study. The 5-year data
41 included 43,848 consecutive hourly values of windspeed, wind direction, precipitation, and
42 temperature recorded at the IP2 and IP3 meteorological tower from January 2000 to

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1 December 2004. Missing data were estimated using data substitution methods. These
2 methods include substitution of missing data with valid data from the previous hour and
3 substitution of valid data collected from other elevations on the meteorological tower. The NRC
4 staff notes that previous SAMA analyses have shown little sensitivity to year-to-year differences
5 in meteorological data and concludes that the approach taken for collecting and applying the
6 meteorological data in the SAMA analysis is reasonable.

7 The population distribution the licensee used as input to the MACCS2 analysis was estimated
8 for the year 2035 based on information from the New York Statistical Information System from
9 2000 to 2030, the New Jersey Department of Labor and Workforce Development from 2000 to
10 2025, the Connecticut State Data Center from 2000 to 2020, and the Pennsylvania State Data
11 Center from 2000 to 2020. These data were used to project county-level resident populations to
12 the year 2035 using regression analysis. The 2035 transient population was assumed to be the
13 2004 transient-to-permanent population ratio multiplied by the extrapolated permanent
14 population. The 2004 transient data were obtained from State tourism agencies. The NRC staff
15 notes that Entergy's projected 2035 population within a 50-mile radius of IP2 and IP3 reported in
16 Tables E.1-12 and E.3-12 of the Entergy ER (19.2 million people) is approximately 15 percent
17 greater than the 50-mile population obtained from NRC SECPOP2000 code (16.8 million) for
18 the year 2003 (NRC 2003). This represents an average annual growth rate of 0.4 percent,
19 which comports with Entergy's estimated growth rates reported in section 2.6.1 of the Entergy
20 ER. The NRC staff considers the methods and assumptions for estimating population
21 reasonable and acceptable for the purposes of the SAMA evaluation.

22 Entergy did not credit evacuation either as part of the base-case analysis or for estimating the
23 benefit from SAMA cases. Entergy assumed a "no evacuation scenario" to conservatively
24 estimate the population dose. In response to a RAI, Entergy clarified that the "no evacuation
25 scenario" assumes that individuals within the 10-mile evacuation zone continue normal activity
26 following a postulated accident without taking emergency response actions such as evacuation
27 or sheltering. Relocation actions within a 50-mile radius of the plant are still modeled in the "no
28 evacuation scenario." As such, individuals within hot spots or high-radiation areas anywhere
29 within the 50-mile zone are assumed to be relocated outside the 50-mile zone until long-term
30 protective actions reduce radiation levels (Entergy 2008a). As used in the MACCS2 code,
31 "evacuation" refers to the prompt movement of the population out of an affected region (e.g.,
32 certain sectors of the EPZ) during the emergency-phase time period immediately following an
33 accident, in accordance with the emergency evacuation plan. "Relocation" refers to the
34 movement of the population out of an affected region (e.g., within hot spots or high radiation
35 areas) during the intermediate phase or long term phase based on longer-term dose
36 considerations. The NRC staff concludes that the evacuation and relocation assumptions and
37 analysis are generally conservative and acceptable for the purposes of the SAMA evaluation.

38 Much of the site-specific economic data was obtained from the 2002 Census of Agriculture
39 (USDA 2002). These include the value of farm and nonfarm wealth. Other data, such as
40 population relocation cost, daily cost for a person who is relocated, and cost of farm and
41 nonfarm decontamination were obtained from the Code Manual for MACCS2 (NRC 1997c).
42 The data from the MACCS2 Code Manual were inflation-adjusted using the consumer price
43 index corresponding to the year 2005. Information on regional crops was obtained from the
44 2002 Census of Agriculture. Crops for each county were mapped into the seven MACCS2 crop
45 categories.

1 MACCS2 requires an average value of nonfarm wealth (identified as VALWNF in MACCS2).
2 The county-level nonfarm property value was used as a basis for deriving VALWNF and
3 resulted in a value of \$163,631 per person. This does not explicitly account for the economic
4 value associated with tourism and business. In the ER, Entergy assessed the impact of
5 including tourism and business losses using a sensitivity case. This sensitivity case assumed a
6 loss of \$208,838 per person in the affected region, as opposed to \$163,631 per person in the
7 base case. The NRC staff questioned the basis for the modified VALWNF value (\$208,838 per
8 person) and the rationale for treating the loss of tourism and business in a sensitivity case rather
9 than in the baseline analysis (NRC 2007). In response, Entergy described the basis for the
10 modified VALWNF value and explained that the impact of lost tourism and business was not
11 modeled in the baseline analysis because the level of tourism and business activity can be
12 reestablished in time. Nevertheless, Entergy provided the results of a revised uncertainty
13 analysis using the modified VALWNF value (Entergy 2008a). As a result, three additional
14 potentially cost-beneficial SAMAs were identified (SAMAs 9 and 53 for IP2 and SAMA 53 for
15 IP3). In response to an RAI, Entergy indicated that these SAMAs have been submitted for
16 engineering project cost-benefit analysis to obtain a more detailed examination of their viability
17 and implementation costs (Entergy 2008b). As described in Section G.6.2, the NRC staff has
18 adopted the case incorporating lost tourism and business as its base case, given that it may
19 take years to re-establish the level of tourism and business activity following a severe accident.

20 The NRC staff concludes that the methodology used by Entergy to estimate the offsite
21 consequences for IP2 and IP3 provides an acceptable basis from which to proceed with an
22 assessment of the risk reduction potential for candidate SAMAs because the key elements of
23 the methodology are consistent with standard practice. Accordingly, the NRC staff based its
24 assessment of offsite risk on the CDF and offsite doses reported by Entergy.

25 **G.3 Potential Plant Improvements**

26 This section discusses the process for identifying potential plant improvements, an evaluation of
27 that process, and the improvements evaluated in detail by Entergy.

28 **G.3.1. Process for Identifying Potential Plant Improvements**

29 Entergy's process for identifying potential plant improvements (SAMAs) consisted of the
30 following elements:

- 31 • review of the most significant basic events from the current, plant-specific PSA
- 32 • review of potential plant improvements identified in the IP2 and IP3 IPE and IPEEE
- 33 • review of Phase II SAMAs from license renewal applications for nine other pressurized
34 water reactors
- 35 • review of dominant contributors to seismic and fire events in the current seismic and fire
36 analyses
- 37 • review of other NRC and industry documentation discussing potential plant
38 improvements

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1 Based on this process, an initial set of 231 candidate SAMAs for IP2 and 237 candidate SAMAs
2 for IP3, referred to as Phase I SAMAs, was identified. In Phase I of the evaluation, Entergy
3 performed a qualitative screening of the initial list of SAMAs and eliminated SAMAs from further
4 consideration using one of the following criteria:

- 5 • The SAMA is not applicable at IP2 and IP3 because of design differences.
- 6 • The SAMA has already been implemented at IP2 and IP3.
- 7 • The SAMA is similar in nature and could be combined with another SAMA candidate.

8 Based on this screening, 163 IP2 SAMAs and 175 IP3 SAMAs were eliminated, leaving 68
9 unique SAMAs for IP2 and 62 unique SAMAs for IP3. The remaining SAMAs, referred to as
10 Phase II SAMAs, are listed in Tables E.2-2 and E.4-2 of the ER (Entergy 2007). In Phase II, a
11 detailed evaluation was performed for each of the remaining SAMA candidates, as discussed in
12 Sections G.4 and G.6 below. To account for the potential impact of external events, the
13 estimated benefits based on internal events were multiplied by a factor of 3.8 for IP2 and 5.5 for
14 IP3, as previously discussed.

15 **G.3.2. Review of Entergy's Process**

16 Entergy's efforts to identify potential SAMAs focused primarily on areas associated with internal
17 initiating events but also included explicit consideration of potential SAMAs for seismic and fire.
18 The initial list of SAMAs generally addressed the accident sequences considered to be
19 important to CDF from functional, initiating event, and risk-reduction worth (RRW) perspectives
20 at IP2 and IP3 and included selected SAMAs from prior SAMA analyses for other plants.

21 Entergy provided a tabular listing of the PSA basic events, sorted according to their RRW for
22 CDF (Entergy 2007). SAMAs affecting these basic events would have the greatest potential for
23 reducing risk. Entergy used an RRW cutoff of 1.005, which corresponds to about a 0.5-percent
24 change in CDF, given the 100 -percent reliability of the SAMA. This equates to a benefit of
25 approximately \$7,000 for IP2 and IP3 (based on a total benefit of about \$1.3 million for each unit
26 for eliminating all severe accidents caused by internal events). Entergy also provided and
27 reviewed the LERF-based RRW events down to an RRW of 1.005. Entergy correlated the top
28 CDF and LERF events with the SAMAs evaluated in Phase I or Phase II and showed that, with
29 a few exceptions, all of the significant basic events are addressed by one or more SAMAs
30 (Entergy 2007). Of the basic events of high-risk importance that are not addressed by SAMAs,
31 each is closely tied to other basic events that had been addressed by one or more SAMAs.

32 Entergy considered the potential plant improvements described in the IPE and IPEEE in the
33 identification of plant-specific candidate SAMAs for internal and external events. As a result of
34 the IPE, four major procedural/hardware improvements were identified for each unit. The IP2
35 enhancements are to (1) upgrade IP2 gas turbine black-start capability, (2) install an additional
36 EDG building fan, (3) monitor changes in the operating position of PORV block valves, and (4)
37 implement periodic testing of all the EDG building fans. The IP3 enhancements are to (1) revise
38 emergency operating procedures (EOPs) to instruct operators to align the backup city water
39 supply to the AFW pumps, should the CST outlet valve fail as indicated by a low-suction-flow
40 alarm, (2) revise the alarm response procedure for a high AFW pump room temperature, to
41 direct operators to open the rollup door to the AFW pump room for ventilation, (3) install a
42 switchgear room high-temperature alarm and implement an associated procedure to direct

1 operators to block open doors to the 480-V ac switchgear room, and (4) revise EOPs to
2 emphasize the need to align the safe-shutdown equipment to MCC 312A during events
3 involving the loss of all 480-V ac safeguard buses while offsite power is available, as well as
4 during fire-related events. These improvements have all been implemented and therefore were
5 not considered further in the SAMA analysis.

6 As a result of the IPEEEs, several improvements were identified for external events. The IP2
7 enhancements are to (1) replace the hold-down bolts for the CCW surge tank with higher tensile
8 strength bolts, (2) add surveillance of the control building drain flapper valve flow, (3) add
9 weather stripping to doors between the transformer area and the switchgear room, and (4) add
10 screens on the 480-V switchgear room equipment. The IP3 enhancements are to (1) restore
11 the carbon dioxide (CO₂) suppression system to automatic mode within the switchgear room,
12 (2) reroute the EDG exhaust fans and the auxiliary cables so that a fire in a single EDG cell
13 would not affect multiple EDGs, and (3) install an excess flow valve to reduce the risk
14 associated with hydrogen explosions inside the turbine building or PAB. With the exception of
15 the last item, all of these improvements have been implemented and therefore were not
16 considered further in the SAMA analysis. As noted in Section E.3.3.3 of the ER, IP3 SAMA 53
17 (install an excess flow valve to reduce the risk associated with hydrogen explosions) was
18 proposed as a result of the IPEEE analysis and retained for the Phase II evaluation.

19 Several concerns were raised in the IPEEE regarding the seismic-induced failures of fire
20 protection equipment (primarily for IP3). As mentioned above, these seismic-fire interactions
21 were judged to be of little risk significance (NRC 2001). One plant improvement identified in
22 Table 2.4 of NUREG-1742 (NRC 2002) addressed the potential spurious operation of the EDG
23 room's CO₂ system and subsequent shutdown of the EDG ventilation system during a seismic
24 event. Entergy subsequently installed a quality assurance Category I, seismic class I actuation
25 permission auxiliary control panel for CO₂ discharge into the EDG building. Since shutdown of
26 EDG ventilation caused by spurious operation of the CO₂ system during a seismic event is not
27 considered in the seismic PSA model, the seismic CDF was not affected by this modification.

28 As noted in Section E.1.3.3.1 of the ER, the IP2 CDF for SBO events with gas turbines
29 unavailable could be reduced by (1) aligning the IP3 Appendix R diesel to IP2, (2) installing an
30 IP2 Appendix R diesel, (3) upgrading the EDG building for high winds, and (4) protecting the
31 alternate power source from tornadoes and high winds. However, with the exception of the third
32 item, these modifications were not evaluated as candidate SAMAs because a modification to
33 replace the existing gas turbines with an IP2 SBO/Appendix R diesel generator capable of being
34 used to recover power to the vital buses following an SBO is planned for the near future. The
35 planned modification includes provisions for aligning the IP3 Appendix R generator to IP2 and
36 for protecting the new alternate power source from tornadoes and high winds.

37 For a number of the Phase II SAMAs listed in the ER, the NRC staff found that information
38 provided did not sufficiently describe the proposed modifications or other considerations that
39 might have been taken into account in estimating the benefit and implementation cost.
40 Therefore, the NRC staff requested, and the licensee provided, more information on certain
41 proposed modifications listed for the Phase II SAMA candidates (NRC 2007, Entergy 2008a).

42 For several SAMA candidates, the staff questioned if lower cost alternatives could have been
43 considered, including:

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- 1 • the implementation of improved instrumentation and procedures to help cool down and
2 depressurize the RCS before RWST depletion
- 3 • the implementation of a procedure for recovery of steam dump to condenser from the
4 unaffected steam generator
- 5 • the implementation of a procedure for recovery of the main feedwater valve/condensate
6 post-SI actuation
- 7 • the purchase or manufacture of a “gagging device” that could be used to close a stuck-
8 open steam generator safety valve on an SGTR before core damage occurred
- 9 • The reactivation of the IP3 postaccident containment venting system (a system that is
10 still active on IP2 but was deactivated on IP3)

11 In response, Entergy indicated that most of the low-cost alternatives to aid in the mitigation of an
12 SGTR (4 out of the 5 alternatives dismissed above) have been already implemented and
13 provided specific reasons why the cost of these alternative SAMA candidates would be high
14 enough that the decision on the final SAMA selection would not have been affected. However,
15 the alternative associated with the gagging device was found to be potentially cost beneficial
16 (Entergy 2008a and 2008b). The evaluation of these SAMAs is discussed further in Section
17 G.6.2.

18 The NRC staff notes that the set of SAMAs submitted is not all inclusive, since additional,
19 possibly even less expensive, design alternatives can always be postulated. However, the NRC
20 staff concludes that the benefits of any additional modifications are unlikely to exceed the
21 benefits of the modifications evaluated and that the alternative improvements would not likely
22 cost less than the least expensive alternatives evaluated, when the subsidiary costs associated
23 with maintenance, procedures, and training are considered.

24 The NRC staff concludes that Entergy used a systematic and comprehensive process for
25 identifying potential plant improvements for IP2 and IP3 and that the set of SAMAs evaluated in
26 the ER, together with those identified in response to the NRC staff inquiries, is reasonably
27 comprehensive and therefore acceptable. The search included reviewing insights from the
28 plant-specific risk studies and reviewing plant improvements considered in previous SAMA
29 analyses. While explicit treatment of external events in the SAMA identification process was
30 limited, the NRC staff recognizes that the prior implementation of plant modifications for seismic
31 and fire events, and the absence of external-event vulnerabilities, reasonably justifies examining
32 primarily the internal-event risk results for this purpose.

33 **G.4 Risk-Reduction Potential of Plant Improvements**

34 Entergy evaluated the risk-reduction potential of the remaining 68 IP2 and 62 IP3 SAMAs. The
35 SAMA evaluations were performed using realistic assumptions with some conservatism. On
36 balance, such calculations overestimate the benefits and are conservative.

37 For all of the SAMAs, Entergy used model requantification to determine the potential benefits.
38 The CDF and population-dose reductions were estimated using the latest version of the IP2 and
39 IP3 PSA models. The changes made to the models to quantify the impact of the SAMAs are
40 detailed in Tables E.2-2 and E.4-2 of the ER (Entergy 2007). Table G-6 lists the assumptions

1 considered to estimate the risk reduction for each of the evaluated SAMAs, the estimated risk
2 reduction in terms of the percentage of reduction in CDF and population dose, and the
3 estimated total benefit (present value) of the averted risk. The estimated benefits reported in
4 Table G-6 reflect the combined benefit for both internal and external events. The determination
5 of the benefits for the various SAMAs is further discussed in Section G.6.

6 The NRC staff questioned the assumptions used in evaluating the benefits or risk-reduction
7 estimates of a number of SAMAs provided in the ER (NRC 2007). For example, the NRC staff
8 requested information regarding the plant features or modeling assumptions that result in the
9 CCW pumps having limited risk importance. In response, Entergy stated that both units are
10 unique in that the capability exists to initiate backup cooling to key components in the event the
11 primary CCW cooling function is lost. The use of backup city water cooling to the charging
12 pumps enables continued seal injection and therefore reduces the likelihood of an RCP seal
13 LOCA. In IP2, city water backup or primary water can be used to cool the safety injection and
14 residual heat removal (RHR) pumps. In IP3, city water backup is available to cool RHR
15 Pump 31. Also, CCW is not required in either plant during the injection phase of the response
16 to a LOCA. The NRC staff considers the explanation of the plant features, as clarified, to be
17 reasonable and therefore acceptable for the purposes of the SAMA evaluation.

18 For a number of the Phase II SAMAs listed in the ER, the description of the improvement and
19 the associated analyses appeared either inconsistent between the two units or were unclear.
20 Therefore, the NRC staff asked the applicant to provide more detailed descriptions of the
21 modifications for several of the Phase II SAMA candidates (NRC 2007). In response, Entergy
22 provided additional information on those SAMA candidates that further explained the SAMA
23 modifications and the differences between units that account for the different analysis
24 assumptions for each unit (Entergy 2008a). Entergy also provided further clarifications and
25 discussion regarding the analysis assumptions and their bases. As an example, the licensee
26 clarified a major difference in operation of a turbine-driven AFW pump between the two units
27 that affects the disposition of several SAMA candidates. In its response, Entergy indicated that
28 the units respond differently upon depletion of the station batteries. IP2 has pneumatic level
29 and pressure instruments that allow operators to monitor key parameters and effectively control
30 AFW flow after the batteries are depleted, whereas IP3 does not have this instrumentation.
31 Although it is still possible for the operators to manipulate AFW flow, the current IP3 model does
32 not credit this manual operation.

33 In the SAMA analysis submitted in the ER, Entergy increased the benefit that was derived from
34 the internal-event model by factors of 3.8 and 5.5 to account for the combined contribution from
35 internal and external events for IP2 and IP3, respectively. The NRC staff agrees with the
36 licensee's overall conclusion concerning the impact of external events and concludes that the
37 licensee's use of a multiplier of 3.8 and 5.5 for IP2 and IP3, respectively, to account for external
38 events is reasonable for the purposes of the SAMA evaluation. This is discussed further in
39 Section G.6.2.

40 For SAMA candidates that only address a specific external event and have no bearing on
41 internal-event risk (e.g., IP2 SAMA 66—Harden EDG Building Against High Winds), Entergy
42 derived the benefit directly from the external-event risk model and then increased the benefit by
43 the multipliers identified earlier. The NRC staff notes that the use of multipliers for these
44 SAMAs (conceptually, to account for additional benefits in internal events) is unnecessary, since

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1 these SAMAs have no bearing on internal events. However, use of the multipliers adds
2 conservatism to the benefit estimate for these SAMA candidates.

3 IP3 SAMA 53 (install an excess-flow valve to reduce the risk associated with hydrogen
4 explosions) was identified to reduce the risk associated with hydrogen explosions inside the
5 turbine building or PAB. The proposed plant modification involves the installation of a
6 nonelectric excess-flow valve. The benefit of this SAMA is also calculated in a bounding
7 manner. As discussed in Section G.6.2, this SAMA was found to be potentially cost beneficial,
8 based on revised analyses submitted in response to an NRC request.

9 The NRC staff has reviewed Entergy's bases for calculating the risk reduction for the various
10 plant improvements and concludes that the rationale and assumptions for estimating risk
11 reduction are reasonable and generally conservative (i.e., the estimated risk reduction is higher
12 than what would actually be realized). Accordingly, the NRC staff based its estimates of averted
13 risk for the various SAMAs on Entergy's risk reduction estimates.

14 **G.5 Cost Impacts of Candidate Plant Improvements**

15 Entergy estimated the costs of implementing the candidate SAMAs through the application of
16 engineering judgment and use of other licensees' estimates for similar improvements. The ER
17 stated that the cost estimates conservatively did not include the cost of replacement power
18 during extended outages required to implement the modifications, nor did they include
19 contingency costs associated with unforeseen implementation obstacles. The cost estimates
20 provided in the ER also did not account for inflation, which is considered another conservatism.

21 The NRC staff reviewed the bases for the licensee's cost estimates. For certain improvements,
22 the NRC staff also compared the cost estimates to estimates developed elsewhere for similar
23 improvements, including estimates developed as part of other licensees' analyses of SAMAs for
24 operating reactors and advanced light-water reactors. The NRC staff reviewed the costs and
25 found them to be reasonable and generally consistent with estimates provided in support of
26 other licensees' analyses.

Table G-6. Final Potentially Cost-Beneficial SAMAs for IP2 and IP3 ¹

SAMA	Assumptions	% Risk Reduction		Total Benefit (\$)		Cost (\$)
		CDF	Population Dose	Baseline ² (Int + Ext Events)	Baseline With Uncertainty	
IP2 SAMAs						
9 - Create a reactor cavity flooding system.	Eliminate containment failure caused by concrete-core interaction.	0	48	1.8M	3.8M	3.7M
28 - Provide a portable diesel-driven battery charger.	Eliminate failure of local operation of the turbine-driven AFW pump during SBO scenarios.	5	10	441K	928K	494K
44 - Use fire water system as backup for steam generator inventory.	Eliminate failure of the turbine-driven AFW pump and local operation of AFW during SBO.	33	15	1.0M	2.1M	1.7M
53 - Keep both pressurizer PORV block valves open.	Eliminate failure of PORV block valves to open.	18	4	386K	812K	800K
54 - Install flood alarm in the 480-V ac switchgear room	Reduce control folding initiator frequencies by a factor of 3.	20	40	1.8M	3.8M	200K
56 - Keep RHR heat exchanger discharge MOVs normally open.	Eliminate failure of RHR heat exchanger discharge MOVs to open.	2	18	45K	94K	82K
60 - Provide added protection against flood propagation from stairwell 4 into the 480-V ac switchgear room.	Eliminate flood initiated by a break in fire protection piping in stairwell 4.	5	9	408K	860K	216K
61 - Provide added protection against flood propagation from the deluge room into the 480-V ac switchgear room.	Eliminate flood initiated by a break in the 10" fire protection piping in the deluge room at elevation 15'.	10	20	898K	1.8M	192K
65 - Upgrade the ASSS to allow timely restoration of seal injection and cooling.	Eliminate control building flooding initiators.	20	20	1.8M	3.8M	560K

Table G-6 (continued)

SAMA	Assumptions	% Risk Reduction		Total Benefit (\$)		Cost (\$)
		CDF	Population Dose	Baseline ² (Int + Ext Events)	Baseline With Uncertainty	
IP3 SAMAS						
30 - Provide a portable diesel-driven battery charger.	Reduce internal switchgear room floods 5% and increase the time available to recover offsite power before local operation of AFW is required from 2 hours to 24 hours during SBO scenarios.	4 ³	1 ³	100K ³	145K ³	494K
52 - Open city water supply valve for alternative AFW pump suction.	Eliminate loss of the normal suction path to the AFW system.	1	1	71K	103K	50K
53 - Install an excess flow valve to reduce the risk associated with hydrogen explosions.	Eliminate hydrogen ruptures inside the turbine building.	2	2	160K	232K	228K
55 - Provide the capability of powering one SI pump or RHR pump using the Appendix R bus (MCC 312A).	Eliminate operator failure to align MCC 312A.	16	18	1.3M	2.0M	1.3M
61 - Upgrade the ASSS to allow timely restoration of seal injection and cooling.	Eliminate control building flooding initiators.	17	20	1.4M	2.1M	560K
62 - Install flood alarm in the 480-V ac switchgear room.	Eliminate control building flooding initiators.	17	20	1.4M	2.1M	197K

²The information reproduced by combining the information from ER Tables E.2-2 and E.4-2 and Entergy's response to RAI 4e (Entergy 2008a).

³Reported benefit values account for risk reduction in both internal and external events and include the economic impact of lost tourism and business following a severe accident. The values do not account for analysis uncertainties.

⁴SAMA 30 was identified as cost beneficial in the ER. However, an error in the original benefit calculation was discovered subsequent to the ER, as described in Entergy's response to RAI 5g (Entergy 2008a). Reported values in Table G-6 reflect correction of the calculational error. SAMA 30 is no longer cost beneficial after corrections.

⁵SAMA 30 was identified as cost beneficial in the ER. However, an error in the original benefit calculation was discovered subsequent to the ER, as described in Entergy's response to RAI 5g (Entergy 2008a). Reported values in Table G-6 reflect correction of the calculational error. SAMA 30 is no longer cost beneficial after corrections.

⁶SAMA 30 was identified as cost beneficial in the ER. However, an error in the original benefit calculation was discovered subsequent to the ER, as described in Entergy's response to RAI 5g (Entergy 2008a). Reported values in Table G-6 reflect correction of the calculational error. SAMA 30 is no longer cost beneficial after corrections.

⁷SAMA 30 was identified as cost beneficial in the ER. However, an error in the original benefit calculation was discovered subsequent to the ER, as described in Entergy's response to RAI 5g (Entergy 2008a). Reported values in Table G-6 reflect correction of the calculational error. SAMA 30 is no longer cost beneficial after corrections.

⁸SAMA 30 was identified as cost beneficial in the ER. However, an error in the original benefit calculation was discovered subsequent to the ER, as described in Entergy's response to RAI 5g (Entergy 2008a). Reported values in Table G-6 reflect correction of the calculational error. SAMA 30 is no longer cost beneficial after corrections.

1 The NRC staff questioned the high cost estimate (\$800,000) for changing the pressurizer PORV
 2 block valves from normally closed to normally open in conjunction with IP2 SAMA 53 (NRC
 3 2008a). In response, Entergy clarified that a modification had been previously implemented
 4 allowing closure of the block valves when operating pressure is less than 2235 pounds per
 5 square inch gauge (psig). If the reactor coolant pressure increases to 2300 psig, the current
 6 circuitry alarms and sends a signal to open the block valves. The SAMA would reverse this
 7 operating approach and may require adding or changing the auto-open feature to a lower value.
 8 Entergy provided a breakdown of the estimated cost, which included a \$236,000 contingency
 9 cost. As Section 4.21 of the ER states that contingency costs are excluded, the staff requested
 10 clarification of this apparent inconsistency. In response, Entergy stated that the site-specific
 11 implementation cost estimates include some contingency costs to account for the high degree of
 12 uncertainty associated with the preliminary cost estimates and that, given the bounding nature
 13 of the benefit analysis, it is reasonable to include contingency costs in these estimates. To
 14 eliminate the confusion between Section 4.21 of the ER and the stated practice above, Entergy
 15 revised Section 4.21, eliminating the contingency exclusion clause (Entergy 2008b).
 16 Considering that this SAMA has been added to the list of potentially cost-beneficial SAMAs (see
 17 Section G.6), the staff finds the cost estimate for SAMA 53 to be acceptable. In addition, no
 18 other improvement cost estimates were identified as outliers. Therefore, the impact of including
 19 contingency costs does not appear to be consequential.

20 The NRC staff concludes that the cost estimates provided by Entergy are sufficient and
 21 appropriate for use in the SAMA evaluation.

22 **G.6 Cost-Benefit Comparison**

23 Entergy's cost-benefit analysis and the NRC staff's review are described in the following
 24 sections.

25 **G.6.1. Entergy's Evaluation**

26 The methodology used by Entergy was based primarily on the NRC's guidance for performing a
 27 cost-benefit analysis (i.e., NUREG/BR-0184, "Regulatory Analysis Technical Evaluation
 28 Handbook" (NRC 1997a). The guidance involves determining the net value for each SAMA
 29 according to the following formula:

30
$$\text{Net Value} = (\text{APE} + \text{AOC} + \text{AOE} + \text{AOSC}) - \text{COE}, \text{ where}$$
 31
$$\text{APE} = \text{present value of averted public exposure (\$)}$$
 32
$$\text{AOC} = \text{present value of averted offsite property damage costs (\$)}$$
 33
$$\text{AOE} = \text{present value of averted occupational exposure costs (\$)}$$
 34
$$\text{AOSC} = \text{present value of averted onsite costs (\$)}$$
 35
$$\text{COE} = \text{cost of enhancement (\$)}$$

36 If the net value of a SAMA is negative, the cost of implementing the SAMA is larger than the
 37 benefit associated with the SAMA, and it is not considered cost beneficial. Entergy's derivation
 38 of each of the associated costs is summarized below.

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1 NUREG/BR-0058 has recently been revised to reflect the agency's policy on discount rates.
2 Revision 4 of NUREG/BR-0058 states that two sets of estimates should be developed—one at
3 3 percent and one at 7 percent (NRC 2004). Entergy performed the SAMA analysis using
4 7 percent and provided a sensitivity analysis using the 3 percent discount rate in order to
5 capture SAMAs that may be cost-effective using the lower discount rate, as well as the higher,
6 baseline rate (Entergy 2007). This analysis is sufficient to satisfy NRC policy in Revision 4 of
7 NUREG/BR-0058.

8 Averted Public Exposure (APE) Costs

9 The APE costs were calculated using the following formula:

$$\begin{aligned} 10 \quad & \text{APE} = \text{Annual reduction in public exposure } (\Delta \text{person-rem/year}) \\ 11 \quad & \quad \times \text{monetary equivalent of unit dose } (\$2000 \text{ per person-rem}) \\ 12 \quad & \quad \times \text{present value conversion factor } (10.76 \text{ based on a 20-year period with} \\ 13 \quad & \quad \text{a 7 percent discount rate}) \end{aligned}$$

14 As stated in NUREG/BR-0184 (NRC 1997a), the monetary value of the public health risk after
15 discounting does not represent the expected reduction in public health risk caused by a single
16 accident. Rather, it is the present value of a stream of potential losses extending over the
17 remaining lifetime (in this case, the renewal period) of the facility. Thus, it reflects the expected
18 annual loss caused by a single accident, the possibility that such an accident could occur at any
19 time over the renewal period, and the effect of discounting these potential future losses to
20 present value. For the purposes of initial screening, which assumes elimination of all severe
21 accidents caused by internal events, Entergy calculated an APE of approximately \$474,000 for
22 IP2 and \$527,000 for IP3 for the 20-year license renewal period.

23 Averted Offsite Property Damage Costs (AOC)

24 The AOCs were calculated using the following formula:

$$\begin{aligned} 25 \quad & \text{AOC} = \text{Annual CDF reduction} \\ 26 \quad & \quad \times \text{offsite economic costs associated with a severe accident (on a per-} \\ 27 \quad & \quad \text{event basis)} \\ 28 \quad & \quad \times \text{present value conversion factor} \end{aligned}$$

29 For the purposes of initial screening, which assumes all severe accidents caused by internal
30 events are eliminated, Entergy calculated an annual offsite economic cost of about \$45,000 for
31 IP2 and \$53,000 for IP3 based on the Level 3 risk analysis. This results in a discounted value
32 of approximately \$483,000 for IP2 and \$568,000 for IP3 for the 20-year license renewal period.

33 Averted Occupational Exposure (AOE) Costs

34 The AOE costs were calculated using the following formula:

$$\begin{aligned} 35 \quad & \text{AOE} = \text{Annual CDF reduction} \\ 36 \quad & \quad \times \text{occupational exposure per core damage event} \\ 37 \quad & \quad \times \text{monetary equivalent of unit dose} \\ 38 \quad & \quad \times \text{present value conversion factor} \end{aligned}$$

1 Entergy derived the values for AOE from information provided in Section 5.7.3 of the regulatory
 2 analysis handbook (NRC 1997a). Best estimate values that provided for immediate
 3 occupational dose (3300 person-rem) and long-term occupational dose (20,000 person-rem
 4 over a 10-year cleanup period) were used. The present value of these doses was calculated
 5 using the equations provided in the handbook, in conjunction with a monetary equivalent of unit
 6 dose of \$2000 per person-rem, a real discount rate of 7 percent, and a time period of 20 years
 7 to represent the license renewal period. For the purposes of initial screening, which assumes
 8 all severe accidents caused by internal events are eliminated, Entergy calculated an AOE of
 9 approximately \$7,000 for IP2 and \$4,000 for IP3 for the 20-year license renewal period.

10 Averted Onsite Costs

11 Averted onsite costs (AOSC) include averted cleanup and decontamination costs and averted
 12 power replacement costs. Repair and refurbishment costs are considered for recoverable
 13 accidents only and not for severe accidents. Entergy derived the values for AOSC based on
 14 information provided in Section 5.7.6 of NUREG/BR-0184, the regulatory analysis handbook
 15 (NRC 1997a).

16 Entergy divided this cost element into two parts—the onsite cleanup and decontamination cost,
 17 also commonly referred to as averted cleanup and decontamination costs (ACC), and the
 18 replacement power cost (RPC).

19 ACCs were calculated using the following formula:

$$20 \quad \text{ACC} = \text{Annual CDF reduction}$$

$$21 \quad \quad \quad \times \text{present value of cleanup costs per core damage event}$$

$$22 \quad \quad \quad \times \text{present value conversion factor}$$

23 The total cost of cleanup and decontamination subsequent to a severe accident is estimated in
 24 NUREG/BR-0184 to be $\$1.5 \times 10^9$ (undiscounted). This value was converted to present costs
 25 over a 10-year cleanup period and integrated over the term of the proposed license extension.
 26 For the purposes of initial screening, which assumes all severe accidents caused by internal
 27 events are eliminated, Entergy calculated an ACC of approximately \$208,000 for IP2 and
 28 \$133,000 for IP3 for the 20-year license renewal period.

29 Long-term RPCs were calculated using the following formula:

$$30 \quad \text{RPC} = \text{Annual CDF reduction}$$

$$31 \quad \quad \quad \times \text{present value of replacement power for a single event}$$

$$32 \quad \quad \quad \times \text{factor to account for remaining service years for which replacement}$$

$$33 \quad \quad \quad \text{power is required}$$

$$34 \quad \quad \quad \times \text{reactor power scaling factor}$$

35 Entergy based its calculations on the value of 1071 megawatt electric (MWe) and scaled up
 36 from the 910 MWe reference plant in NUREG/BR-0184 (NRC 1997b). Therefore, Entergy
 37 applied a power-scaling factor of 1071/910 to determine the RPCs. For the purposes of initial
 38 screening, which assumes all severe accidents caused by internal events are eliminated,
 39 Entergy calculated an RPC of approximately \$166,000 for IP2 and \$107,000 for IP3, and an

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1 AOSC of approximately \$374,000 for IP2 and \$240,000 for IP3 for the 20-year license renewal
2 period.

3 Using the above equations, Entergy estimated the total present dollar-value equivalent
4 associated with completely eliminating severe accidents caused by internal events at IP2 and
5 IP3 to be about \$1.3 million for each unit. Use of a multiplier of 3.8 for IP2 and 5.5 for IP3 to
6 account for external events increases the value to \$5.1 million for IP2 and \$7.4 million for IP3
7 and represents the dollar value associated with completely eliminating the risk of severe
8 accidents caused by all internal and external events at IP2 and IP3, respectively.

9 Entergy's Results

10 If the implementation costs for a candidate SAMA exceeded the calculated benefit, the SAMA
11 was considered by Entergy not to be cost beneficial. In the baseline analysis (using a 7 percent
12 discount rate) and the sensitivity analysis (using a 3 percent discount rate) contained in the ER,
13 Entergy identified 10 potentially cost-beneficial SAMAs (five for IP2 and five for IP3). Based on
14 consideration of analysis uncertainties, Entergy identified two additional potentially cost-
15 beneficial SAMAs for IP2 in the ER (IP2 SAMAs 44 and 56).

16 In response to an NRC staff request, Entergy provided the results of a revised uncertainty
17 analysis in which the impact of lost tourism and business was accounted for in the baseline
18 analysis (rather than as a separate sensitivity case). The revised uncertainty analysis resulted
19 in the identification of two additional potentially cost-beneficial SAMAs for IP2 (IP2 SAMAs 9
20 and 53) and one additional potentially cost-beneficial SAMA for IP3 (IP3 SAMA 53).

21 The potentially cost-beneficial SAMAs for IP2 are the following:

- 22 • SAMA 9—Create a reactor cavity flooding system to reduce the impact of core-concrete
23 interaction from molten core debris following core damage and vessel failure (cost
24 beneficial in revised analysis, with uncertainties).
- 25 • SAMA 28—Provide a portable diesel-driven battery charger to improve dc power
26 reliability. A safety-related disconnect would be used to charge a selected battery. This
27 modification would enhance the long-term operation of the turbine-driven AFW pump on
28 battery depletion.
- 29 • SAMA 44—Use fire water as a backup for steam generator inventory to increase the
30 availability of the steam generator water supply to ensure adequate inventory for the
31 operation of the turbine-driven AFW pump during SBO events (cost beneficial with
32 uncertainties).
- 33 • SAMA 53—Keep both pressurizer PORV block valves open. This modification would
34 reduce the CDF contribution from loss of secondary heat sink by improving the
35 availability of feed and bleed (cost beneficial in revised analysis, with uncertainties).
- 36 • SAMA 54—Install a flood alarm in the 480-V ac switchgear room to mitigate the
37 occurrence of internal floods inside the 480-V ac switchgear room.
- 38 • SAMA 56—Keep RHR heat exchanger discharge valves, motor-operated valves 746
39 and 747, normally open. This procedure change would reduce the CDF contribution from
40 transients and LOCAs (cost beneficial with uncertainties).

- 1 • SAMA 60—Provide added protection against flood propagation from stairwell 4 into the
2 480-V ac switchgear room to reduce the CDF contribution from flood sources within
3 stairwell 4 adjacent to the 480-V ac switchgear room.
- 4 • SAMA 61—Provide added protection against flood propagation from the deluge room
5 into the 480-V ac switchgear room to reduce the CDF contribution from flood sources
6 within the deluge room adjacent to the 480-V ac switchgear room.
- 7 • SAMA 65—Upgrade the alternate safe shutdown system (ASSS) to allow timely
8 restoration of RCP-seal injection and cooling from events that cause a loss of power
9 from the 480-V ac vital buses.

10 The potentially cost-beneficial SAMAs for IP3 are the following:

- 11 • SAMA 30—Provide a portable diesel-driven battery charger to improve dc power
12 reliability. A safety-related disconnect would be used to charge a selected battery. This
13 modification would enhance the long-term operation of the turbine-driven AFW pump on
14 battery depletion.
- 15 • SAMA 52—Institute a procedure for opening the city water supply valve for alternative
16 AFW system pump suction to enhance the availability of the AFW system.
- 17 • SAMA 53—Install an excess flow valve to reduce the risk associated with hydrogen
18 explosions inside the turbine building or PAB (cost beneficial in revised analysis, with
19 uncertainties).
- 20 • SAMA 55—Provide the capability of powering one safety injection pump or RHR pump
21 using the Appendix R diesel (MCC 312A) to enhance RCS injection capability during
22 events that cause a loss of power from the 480-V ac vital buses.
- 23 • SAMA 61—Upgrade the ASSS to allow timely restoration of RCP-seal injection and
24 cooling from events that cause a loss of power from the 480-V ac vital buses.
- 25 • SAMA 62—Install a flood alarm in the 480-V ac switchgear room to mitigate the
26 occurrence of internal floods inside the 480-V ac switchgear room.

27 In response to an NRC staff inquiry regarding estimated benefits for certain SAMAs and lower
28 cost alternatives, one additional potentially cost-beneficial SAMA was identified (applicable to
29 SGTR events in both units), and one SAMA that was previously identified as potentially cost
30 beneficial was found no longer cost beneficial based on correction of an error in the ER (IP3
31 SAMA 30). The potentially cost-beneficial SAMAs and Entergy's plans for further evaluation of
32 these SAMAs are discussed in more detail in Section G.6.2.

33 **6.1.1 Review of Entergy's Cost-Benefit Evaluation**

34 The cost-benefit analysis performed by Entergy was based primarily on NUREG/BR-0184 (NRC
35 1997a) and was implemented consistent with this guidance.

36 SAMAs identified primarily on the basis of the internal events analysis could provide benefits in
37 certain external events, in addition to their benefits in internal events. To account for the
38 additional benefits in external events, Entergy multiplied the internal event benefits for each
39 internal event SAMA by an amount equal to the ratio of the sum of the internal and external

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1 event CDF to the internal event CDF. This ratio is approximately 3.8 for IP2 and 5.5 for IP3.
2 Potential benefits in external events were estimated in this manner, since the external-event
3 models are generally less detailed than the internal-event models and do not lend themselves to
4 quantifying the benefits of the specific plant changes associated with internal-event SAMAs.
5 For example, the benefits of a procedural change associated with an important internal event
6 sequence cannot be readily assessed using the seismic-risk model if that operator action or
7 system is not represented in the seismic-risk model. The use of a multiplier on the benefits
8 obtained from the internal events PSA to incorporate the impact of external events implicitly
9 assumes that each SAMA would offer the same percentage reduction in external-event CDF
10 and population dose as it offers in internal events. While this provides only a rough
11 approximation of the potential benefits, such an adjustment was considered appropriate, given
12 the large risk contribution from external events relative to internal events and the lack of
13 information on which to base a more precise risk reduction estimate for external events. In view
14 of the remaining conservatism in the external events CDF, and the licensee's further evaluation
15 of the impacts of the use of a multiplier on the SAMA screening (as part of the uncertainty
16 assessment discussed below), the NRC staff agrees that the use of these multipliers for
17 external events is reasonable.

18 For SAMA candidates that only address a specific external event and have no bearing on
19 internal-event risk, Entergy derived the benefit directly from the external-event risk model and
20 then increased the benefit by the multipliers identified earlier. The NRC staff notes that the use
21 of multipliers for these SAMAs (conceptually, to account for additional benefits in internal
22 events) is unnecessary, since these SAMAs have no bearing on internal events. However, use
23 of the multipliers adds conservatism to the benefit estimate for these SAMA candidates.

24 Entergy considered the impact that possible increases in benefits from analysis uncertainties
25 would have on the results of the SAMA assessment. In the ER, Entergy presents the results of
26 an uncertainty analysis of the internal-event CDF for IP2 and IP3, which indicates that the 95th
27 percentile value is a factor of 2.1 times the mean CDF for IP2 and 1.4 times the mean CDF for
28 IP3. Entergy assessed the impact on the SAMA screening if the estimated benefits for each
29 SAMA were further increased by these uncertainty factors. For purposes of this assessment,
30 Entergy applied a multiplier of 8 to the internal-event benefits for each unit to account for both
31 internal and external events, with analysis uncertainty. The multiplier of 8 slightly exceeds the
32 product of the external-event multiplier and the uncertainty factor for each unit (i.e., $3.8 \times 2.1 = 8.0$
33 for IP2, and $5.5 \times 1.4 = 7.7$ for IP3) and adds a small amount of additional conservatism. Although
34 not cost beneficial in the baseline analysis, Entergy included any additional SAMAs identified as
35 potentially cost beneficial in the uncertainty analysis within the set of potentially cost-beneficial
36 SAMAs that it intends to examine further for implementation.

37 Entergy also provided the results of additional sensitivity analyses in the ER, including use of a
38 3 percent discount rate, use of a longer plant life, and the consideration of economic losses by
39 tourism and business (which were not included in the baseline analysis). These analyses did
40 not identify any additional potentially cost-beneficial SAMAs beyond those already identified
41 through the uncertainty analysis.

42 The NRC staff questioned the rationale for treating the loss of tourism and business in a
43 sensitivity case rather than in the baseline analysis (NRC 2007). Incorporation of tourism and
44 business losses within the baseline analysis could result in identification of additional cost-
45 beneficial SAMAs, particularly when the baseline benefits are multiplied to account for

1 uncertainties. In response, Entergy explained that the impact of lost tourism and business was
2 not modeled in the baseline analysis because the level of tourism and business activity can be
3 reestablished in time. Nevertheless, Entergy provided the results of an additional uncertainty
4 case showing the impact of lost tourism and business combined with analysis uncertainty. This
5 uncertainty case resulted in the identification of two additional potentially cost-beneficial SAMAs
6 for IP2 (IP2 SAMAs 9 and 53) and one additional potentially cost-beneficial SAMA for IP3 (IP3
7 SAMA 53). Given that it may take years to reestablish the level of tourism and business activity
8 following a severe accident, the NRC staff has conservatively adopted the case incorporating
9 lost tourism and business as its base case and has reflected the results of that case in
10 Table G-6.

11 In responding to an NRC RAI, Entergy identified and corrected an error in the benefit analysis
12 for IP3 SAMA 30 (provide a portable battery charger for monitoring instrumentation necessary to
13 allow manual operation of the turbine-driven AFW pump), which results in this SAMA no longer
14 being potentially cost beneficial. As indicated in ER Section E.4.3, the benefit of this SAMA was
15 estimated based on the assumption that the SAMA would increase the time available to recover
16 offsite power before local operation of AFW is required from 2 hours to 24 hours, and would also
17 reduce internal switchgear room floods by 5 percent (which bounds the benefit of using a
18 portable diesel-driven battery charger in switchgear flood events). According to Entergy, the
19 original analysis inadvertently reduced the contribution from internal switchgear room floods by
20 more than 5 percent (Entergy 2008a). Entergy's reevaluation of the benefits for this SAMA,
21 consistent with the intended bounding case, resulted in a reduction in the baseline benefit to
22 about \$146,000, including the impacts of lost tourism and business and analysis uncertainties.
23 As such, this SAMA is no longer cost beneficial. The revised benefit estimate is reflected in
24 Table G-6. The NRC staff notes that the benefit associated with several other SAMA
25 candidates that could increase the time available to recover offsite power before local operation
26 of AFW is required from 2 hours to 24 hours (e.g., IP3 SAMA 24 (provide additional dc battery
27 capacity) was estimated at about \$51,000, including the impacts of lost tourism and business
28 and analysis uncertainties. Therefore, a revised benefit estimate of \$145,000 for IP3 SAMA 30,
29 which also includes the additional benefit from reducing the contribution of internal switchgear
30 room floods by 5 percent, appears reasonable. Entergy indicates that the implementation cost
31 associated with IP3 SAMA 30 (i.e., \$494,000) was specifically estimated for IP3. The proposed
32 plant modification involves purchasing, installing, and maintaining a diesel-driven generator to
33 charge the 125-V dc batteries. Safety-related quick-disconnects would be used to charge the
34 selected battery. The diesel generator would be installed in a weather enclosure outside the
35 turbine or control building, requiring fire barrier penetration sealing. Calculation of cable size, as
36 well as procedure development and training, would be required (Entergy 2007). In view of the
37 scope of these modifications and the fact that the modifications involve a safety-related dc
38 system, the estimated costs appear reasonable. Accordingly, the staff agrees that this SAMA
39 would not be cost beneficial for IP3.

40 The NRC-sponsored severe accident analyses performed subsequent to the time of the IPE
41 suggest that the probability of a TI-SGTR, given a core-damage event with high primary-side
42 pressure and a depressurized, dry secondary side, may be higher than the value used in the
43 IP2 and IP3 PSAs. In response to an NRC request, Entergy provided the results of a sensitivity
44 study in which it increased the conditional TI-SGTR probability from 0.01 (used in the baseline
45 analysis) to 0.25, which is comparable to the values reported in NUREG-1570 (NRC 1998).
46 Entergy identified the candidate SAMAs potentially affected by the TI-SGTR assumption and

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1 reassessed the benefits for these SAMAs, subject to the increased conditional failure probability
2 and the impact of analysis uncertainties. Entergy identified no additional cost-beneficial SAMAs
3 as a result of this reassessment. Entergy also noted that the IP2 and IP3 steam generators
4 have only 0.19 percent and 0.12 percent of the tubes plugged for IP2 and IP3, respectively, and
5 would be classified as “pristine,” in accordance with the Westinghouse criteria for categorizing
6 steam generator tube integrity. With no observed corrosion, Entergy concludes—and the NRC
7 staff concurs—that this sensitivity study is conservative relative to the application of the
8 NUREG-1570 results for pristine generators (Entergy 2008b).

9 The NRC staff noted that for certain SAMAs considered in the ER, there may be alternatives
10 that could achieve much of the risk reduction at a lower cost. The NRC staff asked the licensee
11 to evaluate several lower cost alternatives to the SAMAs considered in the ER, including
12 SAMAs that had been found to be potentially cost beneficial at other PWR plants. These
13 alternatives were (1) implementation of improved instrumentation and/or procedures to aid in
14 the mitigation of a SGTR, (2) implementation of a procedure for recovery of steam dump to
15 condenser from the unaffected steam generator to aid the mitigation of a SGTR,
16 (3) implementation of a procedure for recovery of the main feedwater/condensate postsafety
17 injection actuation to aid in the mitigation of a SGTR, (4) reactivation of the IP3 postaccident
18 containment venting system, and (5) purchase or manufacture of a “gagging device” that could
19 be used to close a stuck-open steam generator safety valve on a faulted steam generator
20 before core damage occurs (NRC 2007a and NRC 2007b). Entergy provided a further
21 evaluation of these alternatives, as summarized below.

- 22 • Improve SGTR instrumentation and/or valve procedures. Operator actions to cool and
23 depressurize the RCS to cold shutdown conditions following and SGTR before depleting
24 RWST inventory are already contained in EOPs. EOPs also direct plant personnel to
25 initiate RWST makeup, given a low RWST level without a corresponding increase in the
26 containment recirculation sump water level, or if the ruptured steam generator narrow-
27 range level indication is high.
- 28 • Institute a procedure for recovery of steam dump to condenser. Procedures for recovery
29 of steam dump to condenser from the unaffected steam generator are currently available
30 at both units.
- 31 • Recover main feedwater/condensate. For IP2, the operators are currently directed to
32 attempt to establish a secondary heat sink with AFW, main feedwater, or condensate,
33 should the AFW system initially not function or subsequently fail during implementation
34 of the EOPs. For IP3, procedural guidance currently exists for reestablishing
35 condensate flow, but there is no guidance to use main feedwater following a loss of the
36 secondary heat sink. Thus, the development of guidance on aligning main feedwater for
37 secondary heat removal was evaluated as a potential SAMA for IP3.
- 38 • Reactivate the IP3 containment venting system. IP3 has three alternate methods of
39 containment depressurization and combustible gas control. These methods are
40 backflow to the steam ejector line, containment pressure relief line, and the containment
41 purge system. All of the venting functions require similar operator actions. Given these
42 various alternatives, failure to vent would be dominated by human error and would not
43 be substantially reduced by providing an additional means of venting.

1 With regard to the steam generator safety gagging device, which was found to be potentially
2 cost beneficial at another pressurized-water reactor seeking license renewal, Entergy provided
3 a separate assessment of the benefits and implementation costs. Entergy estimated the benefit
4 associated with successfully gagging a stuck-open main steam safety valve following an SGTR
5 by assuming all early steam generator isolation failures and all TI-SGTRs would be eliminated.
6 The total benefits were estimated to be about \$2.9 million for IP2 and \$4.4 million for IP3. The
7 implementation cost, including purchasing and storing a dedicated gagging device, revising
8 procedures, and providing training, was estimated to be about \$50,000 for each unit. As such,
9 the results indicate that this SAMA is potentially cost beneficial for both units. Entergy indicates
10 that this additional SAMA has been submitted for an engineering project cost-benefit analysis
11 for a more detailed examination of its viability and implementation cost (Entergy 2008b). The
12 NRC staff concurs with Entergy's findings regarding these alternative SAMAs because the NRC
13 staff finds the additional information provided by Entergy for the aforementioned alternative
14 SAMAs to be technically sound.

15 The NRC staff notes that all nine potentially cost-beneficial SAMAs for IP2 (IP2 SAMAs 9, 28,
16 44, 53, 54, 56, 60, 61, and 65) and five potentially cost-beneficial SAMAs for IP3 (IP3 SAMAs
17 52, 53, 55, 61, and 62), identified in either Entergy's baseline analysis or supplemental analyses
18 provided in response to the NRC requests, as well as the additional SAMA regarding a
19 dedicated gagging device for SGTR events (applicable to both units), are included within the set
20 of SAMAs that Entergy will consider further for implementation. The NRC staff concludes that,
21 with the exception of the potentially cost-beneficial SAMAs discussed above, the costs of the
22 other SAMAs would be higher than the associated benefits (i.e., no additional SAMAs appear to
23 be cost-beneficial).

24 **G.7 Conclusions**

25 Entergy compiled a list of 231 candidate SAMAs for IP2 and 237 SAMAs for IP3, based on a
26 review of the most significant basic events from the current plant-specific PSA, insights from the
27 plant-specific IPE and IPEEE, and a review of other industry documentation. An initial
28 screening removed SAMA candidates that (1) were not applicable at IP2 and IP3, (2) were
29 already implemented or their intent had been met, or (3) were similar in nature and could be
30 combined with another SAMA candidate. Based on this screening, 163 IP2 and 175 IP3
31 SAMAs were eliminated, leaving 68 IP2 and 62 IP3 candidate SAMAs for evaluation.

32 For the remaining SAMA candidates, more detailed evaluation was performed as shown in
33 Table G-6. The cost-benefit analyses in the ER showed that five IP2 and five IP3 SAMA
34 candidates were potentially cost beneficial in either the baseline analysis or sensitivity analysis
35 using a 3 percent discount rate. Entergy performed additional analyses to evaluate the impact
36 of parameter choices and uncertainties on the results of the SAMA assessment. As a result,
37 four additional IP2 SAMAs and one additional IP3 SAMA were identified as potentially cost
38 beneficial. In addition, a SAMA regarding a dedicated gagging device for SGTR events was
39 identified as potentially cost beneficial for both units. Correction of an error in the benefit
40 analysis for IP2 SAMA 30 resulted in it no longer being considered cost beneficial. Entergy has
41 indicated that all nine potentially cost-beneficial SAMAs for IP2 (IP2 SAMAs 9, 28, 44, 53, 54,
42 56, 60, 61, and 65) and five potentially cost-beneficial SAMAs for IP3 (IP3 SAMAs 52, 53, 55,

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1 61, and 62), as well as the additional SAMA regarding a dedicated gagging device for SGTR
2 events, will be considered further for implementation at IP2 and IP3.

3 The NRC staff reviewed the Entergy analysis and concludes that the methods used and the
4 implementation of those methods were sound. The treatment of SAMA benefits and costs
5 support the general conclusion that the SAMA evaluations performed by Entergy are reasonable
6 and sufficient for the license renewal submittal. Although the treatment of SAMAs for external
7 events was somewhat limited, the likelihood of there being cost-beneficial enhancements in this
8 area was minimized by improvements that have been realized as a result of the IPEEE process
9 and inclusion of a multiplier to account for external events.

10 The NRC staff concurs with Entergy's identification of areas in which risk can be further reduced
11 in a cost-beneficial manner through the implementation of the identified, potentially cost-
12 beneficial SAMAs. Given the potential for cost-beneficial risk reduction, the NRC staff agrees
13 that further evaluation of these SAMAs by Entergy is warranted. However, these SAMAs do not
14 relate to adequately managing the effects of aging during the period of extended operation.
15 Therefore, they need not be implemented as part of license renewal pursuant to Title 10 of the
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Appendix G

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Appendix H

U.S. Nuclear Regulatory Commission Staff Evaluation of Environmental Impacts of Cooling System

Appendix H

U.S. Nuclear Regulatory Commission Staff Evaluation of Environmental Impacts of Cooling System

H.1 Environmental Impacts of Cooling System

Environmental issues associated with the operation of a nuclear power plant during the renewal term are discussed in the U.S. Nuclear Regulatory Commission (NRC) document, NUREG-1437, Volumes 1 and 2, "Generic Environmental Impact Statement for License Renewal of Nuclear Plants" (hereafter referred to as the GEIS) (NRC 1996, 1999).^(a) The GEIS includes a determination of whether the analysis of the environmental issues could be applied to all plants and whether additional mitigation measures would be warranted. Issues are then assigned a generic (Category 1) or site-specific (Category 2) designation. As set forth in the GEIS, generic issues are those that have the following characteristics:

- (1) The environmental impacts associated with the issue have been determined to apply either to all plants or, for some issues, to plants having a specific type of cooling system or other specified plant or site characteristics.
- (2) A single significance level (i.e., SMALL, MODERATE, OR LARGE) has been assigned to the impacts (except for collective offsite radiological impacts from the fuel cycle and from high-level waste and spent fuel disposal).
- (3) Mitigation of adverse impacts associated with the issue has been considered in the analysis, and it has been determined that additional plant-specific mitigation measures are likely not to be sufficiently beneficial to warrant implementation.

No additional plant-specific analysis is required for generic issues unless new and significant information is identified. Site-specific issues do not have all the above characteristics, and a plant-specific review is required.

This appendix addresses the issues that are listed in Table B-1, Appendix B, Subpart A, of Title 10 of the *Code of Federal Regulations* (CFR), Part 51, "Environmental Protection Regulations for Domestic Licensing and Related Regulatory Functions," and that are related to the operation of the cooling systems of Indian Point Nuclear Generating Unit Nos. 2 and 3 (IP2 and IP3) during their renewal term. Section H.1 addresses the impingement of fish and shellfish applicable to the IP2 and IP3 cooling systems. Section H.2 addresses the entrainment of fish and shellfish applicable to the IP2 and IP3 cooling systems. Section H.3 addresses the combined effects of impingement and entrainment, and Section H.4 discusses cumulative impacts. Finally, Section H.5 lists the references for Appendix H. Category 1 and Category 2

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1 issues that are not applicable to IP2 and IP3, because they are related to plant design features
2 or site characteristics not found at IP2 and IP3, are listed in Appendix F.

3 **H.1.1. Impingement of Fish and Shellfish**

4 Impingement occurs when organisms are trapped against cooling water intake screens or racks
5 by the force of moving water. Impingement can kill organisms immediately or gradually, by
6 exhaustion, suffocation, injury, or exposure to air when screens are rotated for cleaning. The
7 potential for injury or death is generally related to the amount of time an organism is impinged,
8 its susceptibility to injury, and the physical characteristics of the screenwash and fish return
9 system that is employed. Studies of impingement losses associated with the operation of IP2
10 and IP3 cooling systems were conducted annually from 1975 to 1990. Before the installation of
11 modified Ristroph screen systems in 1991, impingement mortality was assumed to be
12 100 percent. Beginning in 1985, studies were conducted to evaluate whether the addition of
13 Ristroph screens would decrease impingement mortality for representative species. The final
14 design (Version 2), as reported in Fletcher (1990), appeared to reduce impingement mortality,
15 based on a pilot study, in comparison to the existing (original) system in place at IP2 and IP3
16 (Table H-1). The impingement survival estimates reported in Fletcher (1990) were not
17 validated, however, after the new Ristroph screens were installed at IP2 and IP3 in 1991.

18 **Table H-1 Assumed Cumulative Mortality and Injury of Selected Fish Species after**
19 **Impingement on Ristroph Screens**

Species	Percent Dead and Injured
Alewife	62
American Shad	35
Atlantic Tomcod	17
Bay Anchovy	23
Blueback Herring	26
Hogchoker	13
Striped Bass	9
Weakfish	12
White Catfish	40
White Perch	14

Source: Fletcher 1990

20 **H.1.1.1. Summary of Impingement Monitoring Studies**

21 The former owners of IP2 and IP3 conducted impingement monitoring between 1975 and 1990
22 using a variety of techniques. Between January 1975 and June 1981, fish were collected and
23 sorted during a daily intake screen washing between 0800 and 1200 hours (hr). In July 1981

1 and continuing through October 1990, fish were collected during intake screen washings
2 between 0800 and 1200 hr on selected days determined from a stratified random design
3 intended to reduce the overall sampling effort without affecting data use and utility. Between
4 October and December 1990, IP2 was sampled every Tuesday, and IP3 was not sampled
5 because of a plant outage. During all collections, the wash water was circulated to draw a
6 portion of the fish and debris into the forebay, where it was drained through a sluice containing a
7 1-millimeter (mm) (0.375-inch (in.)) square mesh screen. Collection efficiency was estimated in
8 1974, 1975, and 1977 at IP2. The results of these studies suggested that the collection
9 efficiency was highly variable (ranging from 2 percent to 45 percent based on the recovery of
10 dyed fish) and averaged 29 percent (Con Edison 1976; Con Edison 1979). Collection efficiency
11 at IP3 in 1976 and 1977 ranged from 58 percent to 86 percent recovery of dyed fish with an
12 average of 71 percent (Con Edison 1977, 1979). The difference in the collection efficiency at
13 the two units was associated with the differences in the type of screens (fixed versus traveling
14 screens) and the method used for screen washing. To estimate the total number of fish
15 impinged, the total number of fish collected was multiplied by an adjustment factor representing
16 the inverse of the collection efficiency. From 1975 to 1978, adjustment factors of 3.5 and 1.4
17 were used for IP2 and IP3, respectively (Con Edison 1980).

18 Analysis of variance and the correlation of environmental and IP2 and IP3 operation variables
19 were employed to explain the variation in collection efficiency. Early studies suggested that
20 collection efficiency increased during periods of low water temperature. In 1979, the adjustment
21 factor became a function of the time of year, based on the increase in collection efficiency when
22 water temperatures were less than 15 degrees Celsius (C) (59 degrees Fahrenheit (F)). Thus,
23 cool water adjustment factors of 2.1 and 1.2 were adopted to estimate the number of fish
24 impinged at IP2 and IP3, respectively, during January through April, November, and December.
25 For May to October, the adjustment factor was 3.8 for IP2 and 1.5 for IP3. In 1981, the
26 collection efficiency was estimated with a regression relationship with temperature:

27 IP2 efficiency= $E_2 = -0.00945$ (Temperature degrees C) + 0.54708; and

28 IP3 efficiency= $E_3 = -0.00792$ (Temperature degrees C) + 0.71640 (Con Edison
29 1984).

30 These regression relationships were updated in 1982, and screen-specific adjustments were
31 devised from studies conducted in 1985 and 1986 (Table H-2).

32 Impingement monitoring designs changed through time (Con Edison 1980, 1984; Con Edison
33 and NYPA 1986, 1987, 1988, and 1991) as follows. In 1979, the daily variation in impingement
34 counts was analyzed to determine its effect on the precision and accuracy of reduced sampling
35 plans. Starting in July 1981, a sampling plan employing a seasonally stratified random sample
36 developed from these results was used for all further impingement studies except the last
37 quarter of 1990. Instead of sampling daily, IP2 and IP3 were sampled a total of 110 days per
38 year (a 30-percent sampling fraction with approximately 92-percent accuracy) (Con Edison
39 1984). Days were selected at random within four calendar strata defined by similar water
40 temperatures and variance in the number of fish impinged (January–March, April–June, July–
41 September, and October–December). The number of days sampled per stratum was
42 proportional to the number of days available and the variance in impingement for all taxa
43 combined (Table H-3) (Con Edison 1984). The number of days allocated to strata was updated
44 in 1985 to take advantage of current data trends and again in 1990 because of known plant

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1 outages. Even though IP2 and IP3 had different numbers of samples allocated to each stratum,
 2 sampling was conducted on the same day at both units to the extent possible.

3 During 1981, the New York State Department of Environmental Conservation (NYSDEC)
 4 required daily sampling when total impingement counts were greater than 10,000 fish. Daily
 5 sampling was required to continue until the total was below 10,000 fish. Because these
 6 sampling dates were not part of the stratified design, they were used in place of random dates
 7 that were associated with unplanned unit outages. Outages were defined as circulating pump
 8 outages and were not necessarily associated with cessation of power generation. In 1981,
 9 randomly selected days that fell on planned outages were not replaced. From 1982 to
 10 October 1990, to minimize the effect of planned and unplanned outages on the selected days
 11 for collection, a randomly selected replacement day within the given stratum was sampled. In
 12 October 1990, a systematic sampling design was employed that required sampling at IP2 each
 13 Tuesday. No sampling was conducted at IP3 from October 1990 to December 1990 because of
 14 an extended outage.

15 Sampling for blue crabs began in April 1983 and continued through December 1990. Sampling
 16 was conducted on all days of plant operation. The total number of impinged crab and their total
 17 weight were obtained for each sampling. In addition, the carapace width, total weight, and
 18 observed condition were recorded for each collected individual.

19 **Table H-2 Estimates of Collection Efficiency Based on Temporal Averages, Regressions**
 20 **as a Function of Temperature, and Specific Screens**

Year	IP2 Conventional Screen	IP3 Conventional Screen	Ristroph Screen Version ¹
1975–1978	29 percent	71 to 73 percent	None installed
1979–1980	Jan.–April and Nov.–Dec. = 48 percent	Jan.–April and Nov.–Dec. = 83 percent	None installed
	May–Oct. = 26 percent	May–Oct. = 66 percent	
1981	$E_2 = -0.00945 T + 0.54708$	$E_3 = -0.00792 T + 0.71640$	None installed
1982–1985	$E_2 = -0.00871 T + 0.51858$	$E_3 = -0.00792 T + 0.71640$	None installed

1

Table H-2 (continued)

Year	IP2 Conventional Screen	IP3 Conventional Screen	Ristroph Screen Version ¹
1986	$E_2 = -0.00871 T + 0.51858$	$E_3 = -0.00792 T + 0.71640$	Jan.–Mar. = 70.8 percent Apr.–June = E_2 or E_3 July–Aug. = 18.7 percent Sept. = 29.6 percent Oct.–Dec. = E_2 or E_3 Jan.–Mar. = 74.4 percent
1987–1990	$E_2 = -0.00871 T + 0.51858$	$E_3 = -0.00792 T + 0.71640$	Apr.–June = E_2 or E_3 July–Aug. = 18.7 percent Sept. = 29.6 percent Oct.–Dec. = E_2 or E_3

¹ Number of Ristroph Screens at IP2.
 E_2 – Collection Efficiency at IP2
 E_3 = Collection Efficiency at IP3
T = Temperature in degrees C

In 1986, a Ristroph Screen was installed on Intake Bay 26.

Sources: Con Edison 1980, 1984; Con Edison and NYPA 1986, 1987, 1988, and 1991

2 **Table H-3 Number of Days Allocated to Each Quarter Based on the Stratified Random**
3 **Sampling Design**

Stratum	Dates	Total Days	Allocation to IP2 in 1981; 1982–84; 1985–89; and 1990	Allocation to IP3 in 1981; 1982–84; 1985–89; and 1990
Winter	Jan. 1–Mar. 31	90	N/A ^a ; 30; 23; 23	N/A; 27; 35; 35
Spring	Apr. 1–June 30	91	N/A; 10; 8; 8	N/A; 18; 20; 20
Summer	July 1–Sept. 30	92	11; 11; 11; 11	31; 31; 31; 31
Fall	Oct. 1–Dec. 31	92	59; 59; 68; 13	34; 34; 24; 0

4 ^a N/A = Not Applicable, the reduced sampling began July 1, 1981 (Con Edison 1984)

5 Sources: Con Edison 1984; Con Edison and NYPA 1986, 1987, 1988, and 1991

6 For all impingement studies, fish were sorted and counted completely if either the identified
7 species was white perch, striped bass, or tomcod, or the total number collected for a given
8 species was less than 100 individuals (with heads). All other sorted samples were enumerated
9 by subsampling and weighing to four general length classes. This information was used to
10 determine the total sample size. To estimate the number of fish impinged, the estimated daily
11 counts (taken before July 1981) were multiplied by the collection efficiency adjustment factor
12 (Con Edison 1984). During the period of stratified random sampling (July 1981–1990), the

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1 mean of the estimated number of fish counted within a stratum was multiplied by the collection
2 efficiency adjustment factor and the number of days of plant operation (Con Edison 1984).

3 **H.1.1.2. Historic Assessment of Impingement Impacts**

4 As discussed in the previous section, numerous studies have been conducted to evaluate the
5 effects of impingement associated with the Indian Point cooling systems. Studies have also
6 been conducted to evaluate the trends of fish populations in the Hudson River. Entergy Nuclear
7 Operations, Inc. (Entergy, or the applicant) and NYSDEC have used the results of these studies
8 to evaluate the potential for adverse effects associated with the operation of the Indian Point
9 cooling systems., The results of these assessments are described below. Nongovernmental
10 groups and members of the public have also evaluated publicly available information and data
11 associated with the Hudson River and have expressed the opinion that many species of fish in
12 the river are in decline and that the entrainment of juvenile and adult fish at Indian Point is
13 contributing to the decline, destabilization, and ultimate loss of these important aquatic
14 resources.

15 Applicant Assessment

16 In the draft environmental impact statement (DEIS) (CHGEC 1999) and environmental report
17 (ER) (Entergy 2007), the applicant acknowledged that some impinged fish survive and others
18 die. Mortality can be immediate or occur at a later time (latent or long-term mortality), and
19 mortality rates depend on the species, the size of the fish, the water's temperature and salinity,
20 the design of the screens, the water velocity through the screen, the length of time the fish was
21 impinged, and the design and operation of the fish return system. Impingement effects were
22 examined by evaluating conditional mortality rates (CMRs) and trends associated with
23 population abundance for eight selected taxa representing 90 percent of those fish species
24 collected from screens at IP2 and IP3, including striped bass, white perch, Atlantic tomcod,
25 American shad, bay anchovy, alewife, blueback herring, and spottail shiner. Estimates of the
26 CMR, defined as the fractional reduction in the river population abundance of the vulnerable age
27 group caused by one source of mortality only, were assumed to be the same as or lower than
28 that which occurred in past years, caused by the installation of Ristroph screens and fish return
29 systems at IP2 and IP3. For species exhibiting low impingement mortality (e.g., striped bass,
30 white perch, and Atlantic tomcod), future impingement effects were expected to be substantially
31 lower than they were before the installation and use of the present protective measures.

32 Central Hudson Gas and Electric Corporation (CHGEC) (1999) concluded that the maximum
33 expected total impingement CMR was 0.004 for white perch and less for all other taxa. The ER
34 (Entergy 2007) stated that the results of in-river population studies performed from 1974 to 1997
35 have not shown any negative trend in overall aquatic river species populations attributable to
36 plant operations:

1 More than 30 years of extensive fisheries studies of the Hudson River in the
2 vicinity of IP2 and IP3 support current operations. The results of the studies
3 performed from 1974 to 1997, the period of time covered in the DEIS, are
4 referenced and summarized in the DEIS, and have not shown any negative
5 trend in overall aquatic river species populations attributable to plant
6 operations...

7 The ER also stated that ongoing studies continue to support these conclusions. Thus, the
8 applicant determined impingement impacts to be small, suggesting that the withdrawal of water
9 from the Hudson River for the purposes of once-through cooling for IP2 and IP3 did not have
10 any demonstrable negative effect on representative Hudson River fish populations, nor did it
11 warrant further mitigation measures.

12 To support this assessment, the applicant provided two reviews, Barnthouse et al. (2002) and
13 Barnthouse et al. (2008). These reviews addressed the status and trends of fish populations
14 and communities of the Hudson River estuary in relation to the operation of Bowline Point, IP2
15 and IP3, and Roseton generating stations, which currently share a State Pollutant Discharge
16 Elimination System (SPDES) permit. Barnthouse et al. (2002) was based on a review of the
17 DEIS, comments on the DEIS abundance indices through 2000 (CHGEC 1999), and the annual
18 Year Class Report (ASA 2000). Barnthouse et al. (2008) was based on abundance indices
19 through 2005, the spawning stock biomass-per-recruit model (SSBR), and CMR estimates.
20 Although both reviews recognized that the long-term population trends reflected the combined
21 effects of entrainment and impingement, the 2008 report focused on entrainment and suggested
22 that the existing retrofits (Ristroph screens and fish returns) have resolved the concerns
23 regarding impingement. Additional discussions concerning the results of the Barnthouse et al.
24 (2008) analyses are provided in Section H.2.

25 NYSDEC Assessment

26 With respect to the operation of the IP2 and IP3 cooling systems, the NYSDEC regulatory role
27 includes protecting aquatic resources from impacts associated with impingement, entrainment,
28 and thermal and chemical discharges. Based on activities conducted under the Hudson River
29 Settlement Agreement (HRSA), subsequent Consent Orders, and existing agreements with the
30 operators of IP2 and IP3, Roseton, and Bowline Point power generation stations, NYSDEC has
31 concluded that IP2 and IP3 have achieved some reductions in intake volumes through the use
32 of dual-speed and variable-flow pumps and have improved impingement survival through the
33 installation of modified Ristroph traveling screens (NYSDEC 2003a). However, NYSDEC states
34 that “while these represent some level of improvement compared to operations with no
35 mitigation or protection, there are still significant unmitigated mortalities from entrainment and
36 impingement at all three of the HRSA facilities.” In a petition submitted to the NRC, dated
37 November 30, 2007, the NYSDEC stated the following:

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1 The plants' outdated design and operation have caused significant adverse
2 environmental impacts to the Hudson River. These impacts include
3 impingement, entrainment, and heat shock to numerous fish species in the
4 Hudson, including the endangered sturgeon... In the alternative, even if the
5 NRC were to grant the license renewal application, it could only do that by
6 conditioning the renewal on the construction and use of closed-cycle cooling
7 water intake systems at IP2 and IP3. As was stated in the above contention on
8 impingement and entrainment, the perpetuation of once-through cooling here,
9 with its long history of massive injury and destruction of tens of millions of
10 Hudson River fish, is simply no longer tenable, either in fact or in law.

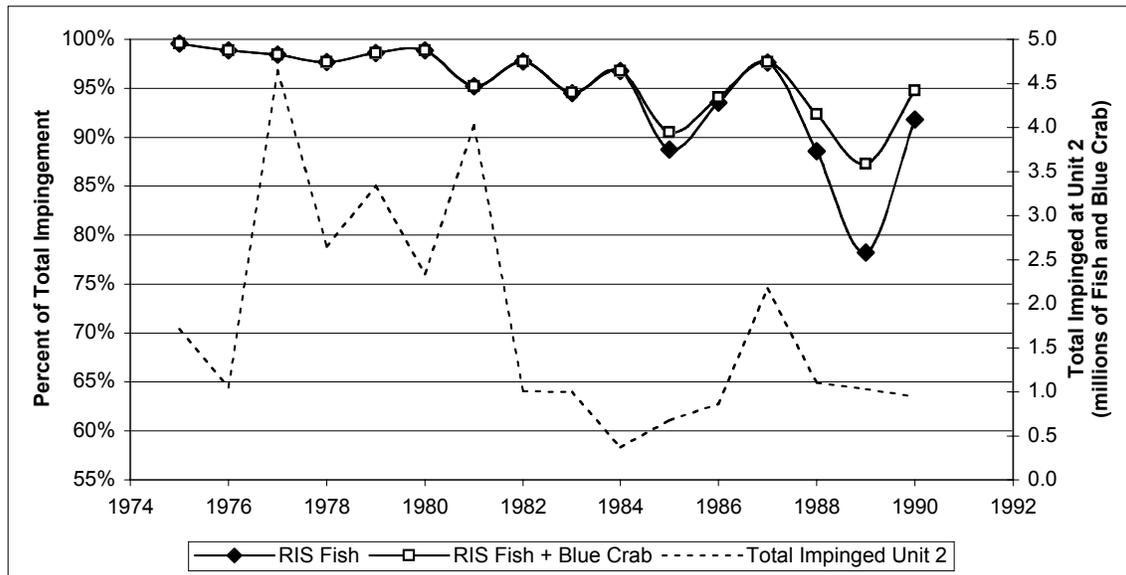
11 NYSDEC stated further that the applicant would need a Clean Water Act Section 316(b)
12 determination, a demonstration that the current cooling water intake structure reflects the best
13 technology available for minimizing adverse environmental impacts (NYSDEC 2007). However,
14 the NYSDEC states the following:

15 Entergy has not and could not demonstrate that its once-through cooling water
16 intake structures at IP2 and IP3 reflects the best technology available for
17 minimizing adverse environmental impacts. Indeed, the New York State
18 Department of Environmental Conservation has determined in the pending
19 SPDES permit renewal proceeding that closed-cycle cooling, and not once-
20 through cooling, represents the best technology available for minimizing adverse
21 environmental impacts.

22 **H.1.1.3. NRC Staff Assessment of Impingement Impacts**

23 To assess impingement impacts, the NRC staff evaluated weekly estimated impingement
24 numbers at IP2 and IP3 from January 1975 to November 1980, and seasonally estimated
25 impingement numbers from January 1981 and December 1990. The combined numbers of
26 young of year (YOY), yearling, and older fish were used for analysis since these data were
27 available for all years of sampling.

28 A total of 127 identified fish taxa and blue crab were collected at IP2 and IP3 during this 15-year
29 period. At IP2, the estimated number of representative important species (RIS) fish (as defined
30 in Table 2-4 in the main text) impinged made up greater than 85 percent of all impinged taxa
31 (Figure H-1, solid lines). Until 1984, the RIS fish made up greater than or equal to 95 percent of
32 all impinged taxa. This percentage has significantly decreased at a rate of 0.8 percent per year
33 (linear regression; $n = 16$; $p = 0.002$) from 1985 to 1990. When blue crab are included with the
34 RIS fish, the estimated number impinged made up greater than 90 percent of all impinged taxa
35 for all but one year. Total impingement trends for all fish and blue crab are presented in
36 Figure H-1 (dashed line) and show impingement approached or exceeded 4 million in 1977 and
37 1981. Impingement of all fish and blue crab was lowest in 1984 (about 0.5 million) and 1990
38 (about 1 million (Figure H-1, dashed line).



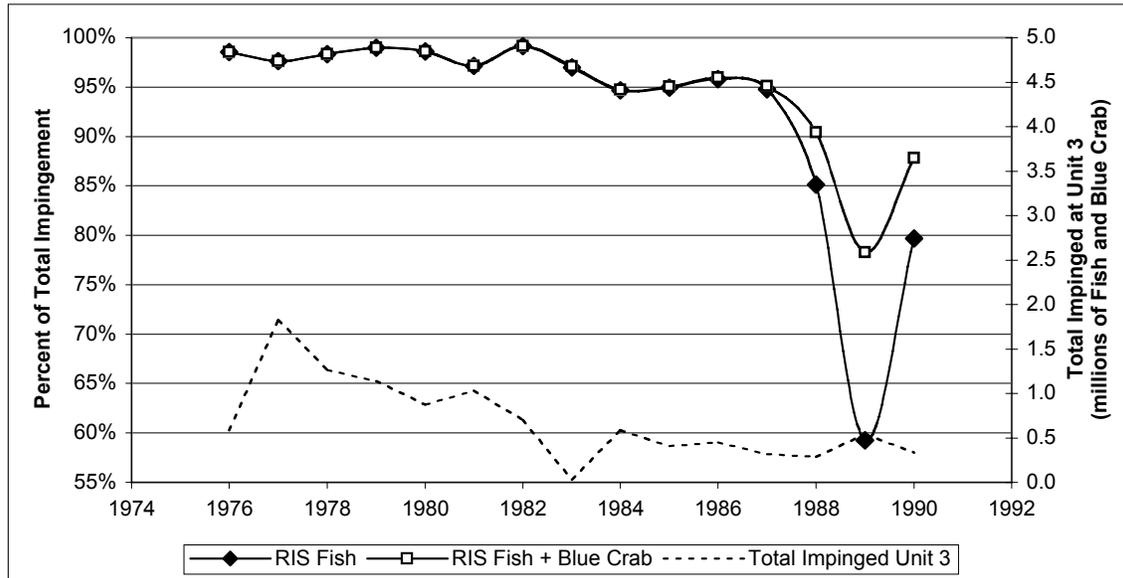
1 **Figure H-1 Percentage of impingement comprised of RIS fish and RIS fish plus blue crab**
 2 **in relation to the total estimated impingement at IP2 (data from Entergy 2007b)**

3 At IP3, the estimated number of RIS fish impinged made up greater than or equal to 95 percent
 4 of all impinged taxa except for the last 3 years (Figure H-2, solid lines). A significant decrease
 5 in this percentage was observed during that time at a rate of 1.7 percent per year (linear
 6 regression; $n = 15$; $p = 0.005$). When blue crabs are included with the RIS fish, the estimated
 7 number impinged was greater than 85 percent for all but one year. Except for 1983, which had
 8 extensive outages, IP2 had, on average, 2.6 times greater numbers of fish and crab impinged
 9 annually than IP3. The highest total impingement occurred in 1976 at just over 1.8 million fish
 10 and blue crab; the lowest occurred in 1983 at less than 0.1 million (Figure H-2, dashed line).

11 Total impingement trends at IP2 and IP3 suggest that the total number of fish and blue crab
 12 impinged tended to decrease between 1977 and 1982, then leveled off between 1982 and 1990.
 13 From 1975 to 1990, the number of days of operation at IP2 and IP3 has shown a general
 14 increase of 8 days per year for IP2 and 5 days per year for IP3 (linear regression, $p = 0.004$ and
 15 $p = 0.286$ for IP2 and IP3, respectively). The total volume circulated at IP2 and IP3 combined
 16 has also shown a general increase of 26.2×10^6 cubic meters (m^3) (linear regression, $p = 0.164$).
 17 If the IP2 and IP3 cooling systems are considered a relatively constant sampler of Hudson River
 18 aquatic biota (recognizing the slight increase in frequency and volume of water circulated), then
 19 the decrease in the percent of RIS impinged and total impingement would suggest that RIS and
 20 all other taxa within the vicinity of IP2 and IP3 have decreased from a high in 1977 to a relatively
 21 constant lower level of impingement between 1984 and 1990. This will be explored further in
 22 Section H.3.

23 To determine trends in RIS impingement, NRC Staff examined quarterly data from IP2 and IP3
 24 from 1975 to 1990 (Table H-4). The two major time periods (1975–1980) and (1981–1990)

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1 **Figure H-2 Percentage of impingement comprised of RIS fish and RIS fish plus blue crab**
 2 **in relation to the total estimated impingement at IP3 (data from Entergy 2007b)**

3 were analyzed separately to account for the differences in impingement sampling strategies
 4 discussed above. Summed over all years, six RIS fish species accounted for 93 percent (IP2)
 5 and 89 percent (IP3) of the total number of RIS impinged, including contributions from blue crab.
 6 During January to March sampling events for both units and all years, white perch were the
 7 most commonly impinged species, accounting for 89 to 96 percent of the RIS impinged.
 8 Impingement of RIS was more variable during other sampling periods but was generally
 9 dominated by four species (white perch, Atlantic tomcod, bay anchovy, blueback herring). The
 10 notable exception to this pattern occurs between 1981 and 1990, when the percentage of
 11 hogchoker and weakfish increased near both units during spring and summer sampling periods
 12 compared to estimates obtained from 1975 to 1980 (Table H-4). Greenwood (2008) suggested
 13 that cooling systems associated with IP2 and IP3 are considered an efficient environmental
 14 sampler. Impingement data suggest that a change in the species composition in the vicinity of
 15 IP2 and IP3 may have occurred in the 1980s.

16 As a result of the HRSA, operational measures were implemented to reduce the loss of aquatic
 17 resources to impingement. These measures included the installation of dual-speed intake
 18 pumps at IP2 in 1984, installation of variable-speed pumps at IP3 in 1985, and the installation of
 19 modified Ristroph screens and fish return systems in 1991. The plant operators also developed
 20 programs to employ flow-reduction measures and scheduled outages to reduce impingement
 21 and entrainment impacts. Flow rates are dependent on intake water temperature, with
 22 increased flow required when water temperatures rise above 15 degrees C. For example, the
 23 average monthly water temperatures taken near Poughkeepsie, New York from 1992 to 2006
 24 (Figure H-3) suggest to NRC Staff that greater flow would be required during the months of May
 25 through October. This roughly corresponds to the second and third quarters of impingement
 26 sampling (April–September timeframes in Table H-4). Although the seasonal percentage of
 27 annual impingement of RIS fish was not significantly different between seasons (analysis of
 28 variance (ANOVA), $p = 0.095$ with a coefficient of variation (CV) = 68 percent and $p = 0.27$ with

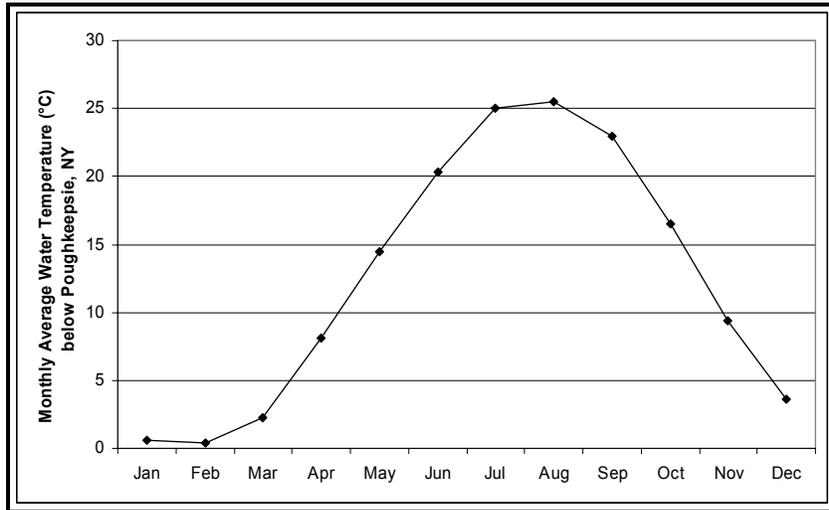
1 a CV = 84 percent for IP2 and IP3, respectively), they were generally lower between April and
 2 June and similar across the remaining three quarters (Figure H-4). Thus, even though there is a
 3 greater volume of water used between May and October (analysis of variance (ANOVA), $p =$
 4 0.02 with a CV = 41 percent and $p = 0.53$ with a CV = 61 percent for IP2 and IP3, respectively),
 5 impingement does not increase during these periods. Instead, the seasonal pattern of
 6 impingement may be a reflection of when susceptible fish are present near the facility.

7 **Table H-4 Average Percentage Impingement of RIS Compared to Total Impingement per**
 8 **Season for 1975–1980 and 1981–1990 for Selected Taxa (data from Entergy 2007b)**

IP2 COOLING SYSTEM									
RIS Species	1975–1980				1981–1990				Percent of RIS Taxa ¹
	Jan–Mar	Apr–Jun	Jul–Sep	Oct–Dec	Jan–Mar	Apr–Jun	Jul–Sep	Oct–Dec	
White Perch	96	35	17	39	92	38	13	55	48
Atlantic Tomcod	1	55	27	1	1	38	27	4	16
Bay Anchovy	0	2	32	7	0	7	21	10	11
Blueback Herring	0	0	10	46	0	1	2	13	14
Hogchoker	0	3	4	3	0	9	13	4	2
Weakfish	0	0	3	0	0	0	12	4	2
Percent of RIS Fish	96	95	94	95	93	92	89	88	93
IP3 COOLING SYSTEM									
RIS Species	1975–1980				1981–1990				Percent of RIS Taxa ¹
	Jan–Mar	Apr–Jun	Jul–Sep	Oct–Dec	Jan–Mar	Apr–Jun	Jul–Sep	Oct–Dec	
White Perch	95	55	10	43	89	54	19	52	50
Atlantic Tomcod	0	23	40	2	0	17	19	3	17
Bay Anchovy	0	3	23	2	0	7	18	5	8
Blueback Herring	0	3	6	38	0	4	3	27	10
Hogchoker	0	0	8	1	1	7	16	3	3
Weakfish	0	0	3	0	0	0	10	2	1
Percent of RIS Fish	96	84	89	86	91	89	86	91	89

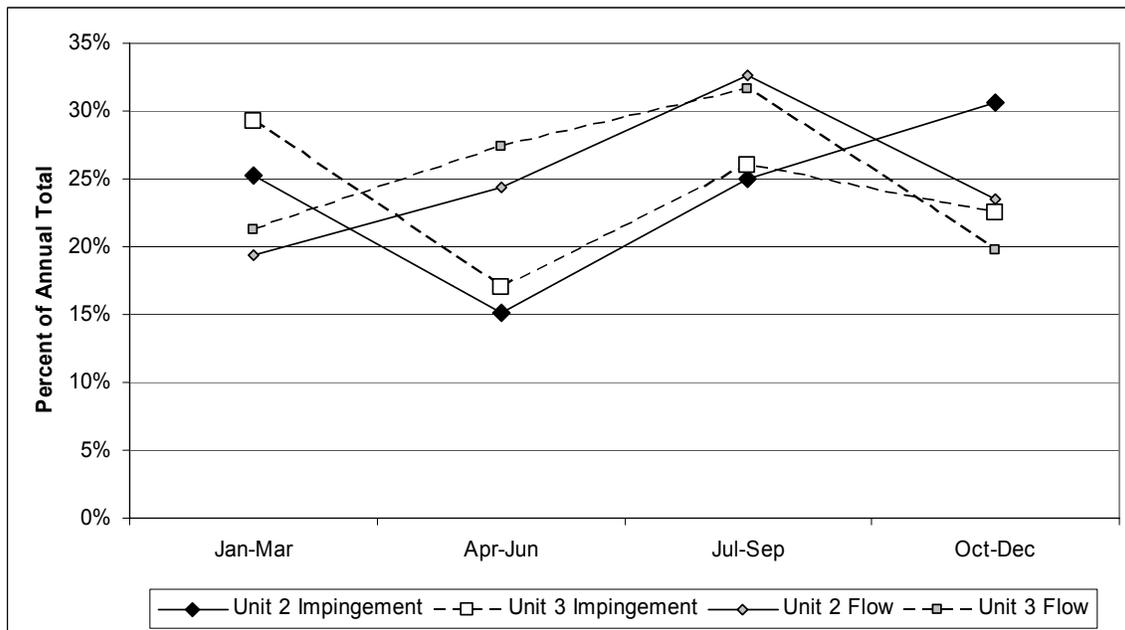
¹ RIS Taxa include Blue Crab

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1
2 Source: U.S. Geological Survey Surface Water Data, http://waterdata.usgs.gov/usa/nwis/uv?site_no=01372058

3 **Figure H-3 Average monthly water temperature taken from below Poughkeepsie, NY,**
4 **from 1992 to 2006**



5
6 **Figure H-4 Seasonal percentage of RIS fish impinged out of the annual total taxa**
7 **impinged and the seasonal percentage of the volume circulated out of the annual total**
8 **volume circulated from 1975-1990 (data from Entergy 2007b)**

9 Based on the above NRC Staff analyses, the species with the highest percentage of
10 impingement at IP2 and IP3 from 1975 to 1990 were white perch, Atlantic tomcod, blueback
11 herring, bay anchovy, and hogchoker. Impingement trends for both units show that each of

1 these species was impinged during at least one sampling season in quantities representing at
2 least 10 percent of the total impingement counts for that period. During some sampling
3 seasons, a single species represented over 90 percent of the total impingement (e.g., white
4 perch during January to March). Impingement magnitude does not appear to be directly related
5 to flow; rather, the available information suggests that the frequency of impingement is
6 associated with seasonal patterns of fish and their proximity to IP2 and IP3. The environmental
7 significance of impingement is explored further in Section H-3.

8 **H.1.2. Entrainment of Fish and Shellfish in Early Life Stages**

9 Entrainment occurs when small aquatic life forms are carried into and through the cooling
10 system as water is withdrawn for use in the plant's cooling system. Entrainment can affect
11 organisms smaller than the screen mesh (0.25 to 0.5 in.) that are carried into the plant with the
12 pumped water mass and have limited swimming ability to escape. This includes phytoplankton,
13 microzooplankton, and macrozooplankton. Entrained organisms also include the young life
14 stages of fish (eggs, larvae, post-yolk-sac larvae (YSL), and juveniles) and shellfish.

15 Entrained organisms pass through the circulating pumps and are carried with the flow through
16 the intake conduits toward the condenser units. They are then drawn through one of the many
17 condenser tubes used to cool the turbine exhaust steam and enter the discharge canal for
18 return to the water. As entrained organisms pass through the intake, they may be injured from
19 abrasion or compression. Within the cooling system, they encounter physical impacts in the
20 pumps and condenser tubing, pressure changes, sheer stress, thermal shock, and chemical
21 exposure to chlorine and residual industrial chemicals discharged at the diffuser ports (Mayhew
22 et al. 2000). Death can occur immediately (direct effect) or after being discharged (indirect
23 effect) from an inability to escape predators, a reduced ability to forage, or other factors.

24 The former owners of IP2 and IP3 conducted studies of entrainment loss associated with IP2
25 and IP3 in 1981 and then annually from 1983 to 1987. Entrainment survival is a particularly
26 controversial subject. The U.S. Environmental Protection Agency (EPA) assumes that the
27 mortality associated with entrainment is 100 percent (NYSDEC 2003a). Consolidated Edison
28 Company of New York (Con Edison) and New York Power Authority (NYPA 1984) assume that,
29 for the more delicate species (bay anchovy, American shad, clupeids), mortality was 100
30 percent. However, for other species, mortality could be separated into thermal and mechanical
31 components and overall was less than 100 percent. By 1987, Con Edison estimated the
32 survival of entrained bay anchovy up to 52 percent (EA 1989). This assessment recognizes that
33 96-hr survival of fish following entrainment is not a measure of the potential reduction in ability
34 to forage and avoid predation within hours or days of being discharged at the diffuser ports.
35 Thus, indirect losses for a given species from entrainment for the purpose of this assessment
36 are unknown.

37 **H.1.2.1. Summary of Entrainment Survival Monitoring Studies**

38 Entrainment studies to evaluate the survival of entrainable aquatic organisms (eggs, larvae,
39 YSL, small juveniles) have been conducted at IP2 and IP3 since the early 1970s. A variety of
40 sampling gear has been employed. Study endpoints included estimates of immediate and latent
41 mortality by monitoring collected organisms for up to 96 hr. Initial monitoring efforts were based

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1 on the assumption that survival of organisms collected by nets was the same from intake canal
2 samples as it was from discharge canal samples. It was discovered, however, that differences
3 in water velocity at intake and discharge sampling stations may have affected ichthyoplankton
4 survival, and subsequent studies demonstrated that the survival of striped bass eggs and larvae
5 collected using fixed nets were velocity dependent. Based on these results, entrainment
6 survival sampling at IP2 and IP3 in 1977 and 1978 was expanded to include new sampling gear
7 designed to reduce or eliminate the effects of intake and discharge water velocity on apparent
8 postcollection survival. The primary change involved the use of centrifugal pumps to transport
9 water into a flume and larval collection table, where water quality conditions could be optimized
10 and samples concentrated for survival and latent mortality analyses. In spite of these
11 refinements, entrainment survival estimates derived from the pump/larval table collection
12 system were again compromised by poor ichthyoplankton survival in control samples collected
13 in front of intakes representing initial larval conditions before passage through the IP2 and IP3
14 cooling systems.

15 Subsequent revisions to sampling gear have been employed in 1979, 1980, and 1989, and are
16 discussed below. Because the survival estimates conducted before 1979 were significantly
17 compromised by sampling gear design and choice, NRC staff focused on the later studies to
18 evaluate entrainment mortality at IP2 and IP3. Sampling was also conducted in 1985 to
19 determine the effects of entrainment mortality resulting from an upgrade to the pumping system
20 associated with IP2. The results of this study are not directly comparable to the 1979 and 1980
21 studies, because a different sampling design was employed.

22 Details of the 1979 entrainment survival and related studies are presented in EA (1981a).
23 Entrainment survival studies were conducted during two separate sampling periods, the late
24 winter season from March 12–22, 1979, to evaluate the larvae of Atlantic tomcod (*M. tomcod*),
25 and in the spring–summer season from April 30 to August 14, 1979, to evaluate early life-stages
26 of striped bass (*M. saxatilis*), white perch (*M. americana*), herring (Clupeidae), and anchovies
27 (Engraulidae). During the winter season, sampling with a pump/larval table collection system
28 was conducted at the intakes associated with IP2 and IP3, in the IP3 effluent before it enters the
29 discharge canal, and in portions of the discharge canal containing effluent water from both units.
30 The shutdown of IP3 from March 20–22 provided an opportunity to evaluate Atlantic tomcod
31 larval survival under one- and two-unit operation. During the spring–summer season, a raft-
32 mounted flume collection was used for the first time at IP2 and IP3. This system was designed
33 to reduce sampling stress on target organisms by taking advantage of head pressure created
34 caused by a difference between water levels on either side of the flume apparatus. The
35 shutdown of IP2 after June 16, 1979, provided an opportunity to assess the survival of other
36 species during both one- and two-unit operation.

37 For the Atlantic tomcod study during the winter of 1979, sampling was initiated upon notification
38 of the first occurrence of tomcod larvae and conducted on 4 consecutive nights per week over
39 the 2-week sampling period from March 12–22, for a total of 8 sampling days. Sampling
40 occurred between 1700 and 0200 hr to coincide with the diel period of peak larval abundance.
41 At the beginning of the study, both IP2 and IP3 units were operating, but an unscheduled
42 shutdown of IP3 occurred on March 20 and continued through the remainder of the study.
43 Although the unit did not generate power, two circulating water pumps continued to operate.
44 Thus, for the tomcod study, a total of 11 circulating pumps were operating from March 12–19 (6
45 at IP2, 5 at IP3), and a total of 8 pumps were operating from March 20–22 (6 at IP2, 2 at IP3).

1 The pump/larval table collection system used for the tomcod study consisted of a modular two-
2 screen collection flume that allowed collection of larval samples with minimal sampling stress
3 associated with turbulent flow or temperature changes. Sample water was delivered to the table
4 by two centrifugal pumps equipped with flowmeters. Collected entrainment samples were
5 transferred to an onsite laboratory for sorting, where ichthyoplankton were sorted and classified
6 as live (fish, eggs), stunned (fish only), or dead (fish and eggs). Dead eggs and larvae were
7 preserved; live or stunned fish or eggs were transferred to holding facilities to determine latent
8 effects on survival at 3, 6, 12, 24, 48, 72, and 96 hr. Specific sampling procedures are
9 discussed in EA (1981a).

10 The spring–summer sampling to evaluate entrainment survival of striped bass, white perch,
11 herrings, and anchovies was conducted from April 30 to August 14, 1979, coincident with the
12 primary spawning and nursery seasons of these species. Samples were collected on
13 2 consecutive nights each week for a total of 32 sampling days from 1800 to 0200 hr that
14 coincided with maximum abundance. As described above, a pumpless, rear-draw plankton
15 sampling flume mounted on rafts was employed during this study to minimize stress associated
16 with the use of centrifugal pumps. The volume of water samples collected from all samplers
17 was measured with integrated flowmeters, and vertical 505-micron (μm) mesh screens were
18 employed to divert entrained organisms into collection boxes, where they were concentrated
19 and processed to determine latent survival as described for the tomcod study.

20 Details of the 1980 entrainment survival and related studies are presented in EA (1982). In
21 1980, entrainment survival sampling at IP2 and IP3 was conducted from April 30 to July 10.
22 Sampling was focused on entrainable life stages of striped bass (*M. saxatilis*), white perch (*M.*
23 *americana*), herrings (Clupeidae), and anchovies (Engraulidae). Juvenile Atlantic tomcod (*M.*
24 *tomcod*) were also collected. To correct possible sources of gear-related effects on study
25 results, the rear-draw and pumpless plankton flumes used in 1979 were modified with flow
26 diffusion panels and slotted standpipes installed behind the angled diversion screens. These
27 refinements were intended to more evenly distribute the water across the surface of the screens
28 and eliminate localized areas of high-velocity flow that may have caused impingement. This,
29 along with other improvements to the sampling system, was expected to decrease the gear-
30 related mortality observed in control samples from the intakes at IP2 and IP3.

31 Entrainment survival sampling for striped bass, white perch, herring and anchovies was
32 conducted from April 30 to July 10, 1980, coinciding with the primary spawning and nursery
33 seasons of these taxa. Samples were collected on 4 consecutive nights each week for a total of
34 44 sampling days between the hours of 1600 and 0200. Sampling was conducted at discharge
35 canal station DP and at the IP3 intake using the modified rear-draw plankton sampling flumes.
36 Live and dead ichthyoplankton collected during the study were sorted at the onsite laboratory
37 immediately after sample collection and classified as live (fish and eggs), stunned (fish only), or
38 dead (fish and eggs). Dead eggs and larvae were preserved; live or stunned fish or eggs were
39 transferred to holding facilities to determine latent effects with checks at 3, 6, 12, 24, 48, 72, and
40 96 hr.

41 During the summer and early fall of 1984, dual-speed cooling water pumps were installed at
42 IP2. In 1985, variable-speed pumps were installed at IP3. The specific objectives of the 1988
43 entrainment studies were to (1) estimate the initial and extended survival of ichthyoplankton
44 entrained at IP2 and IP3 and compare the results to those from previous years, (2) determine
45 whether live and dead ichthyoplankton are randomly dispersed in the IP2 and IP3 discharge

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1 canal at sampling station D2, and (3) assess whether the thermal and mechanical components
2 of entrainment stress are independent. The study description that follows was obtained from EA
3 (1989).

4 The 1988 study EA (1989) was designed to sample 180 m³ per day with each flume system.
5 One flume was deployed at intake Station I3; two flumes were deployed at discharge station D2.
6 The original design required that flumes be operated 3 days per week from May 23 to June 30,
7 1989, resulting in 18 total sampling days. Specific daily volume requirements and numbers of
8 sampling days were developed to ensure sufficient numbers of organics were collected.
9 Because of a number of logistical challenges, the actual number of sampling days was 13, from
10 June 8–30. The flume design and collection procedures employed in 1988 were consistent with
11 previous studies described above. Average daily sample volumes collected at the intake were
12 143.3 m³, and the daily combined volume sampled by both flumes in the discharge canal was
13 271.2 m³. The sampling program was conducted during afternoon and evening hours (1300–
14 2300). Live and dead ichthyoplankton collected during the study were sorted at the onsite
15 laboratory immediately after sample collection and classified as described above. Other studies
16 conducted in 1988 included sampling stress evaluations to provide a better understanding of
17 mortality caused by sampling stress at intake versus discharge sampling locations, direct
18 release studies to augment entrainment studies based on wild animal captures, and net studies
19 in the discharge canal to provide additional information on ichthyoplankton distribution.

20 The results of entrainment survival from the 1977–80, 1985, and 1988 studies are presented in
21 EA (1989) for initial intake survival (EA 1989, Figure 4-8), initial discharge survival (EA 1989,
22 Figure 4-9), and overall entrainment survival (EA 1989, Figure 4-10). Summary information for
23 the 1979, 1980, and 1988 study years are summarized in Table H-5 below:

24 **Table H-5 Entrainment Survival Estimates for Study Years 1979, 1980, and 1988**

Species	Initial Intake Proportion Survival	Initial Discharge Proportion Survival	Estimated Entrainment Proportion Survival
Bay Anchovy PYSL	~0.09–0.32	~0.01–0.05	~0.12–0.52
Striped Bass YSL	~0.52–0.95	~0.61	~0.62–0.72
Striped Bass PYSL	~0.50–0.95	~0.70–0.78	~0.68–0.80
White Perch PYSL	~0.15–0.95	~0.19–0.85	~0.30–0.92
<i>Alosa</i> spp. PYSL	~0.25–0.90	~0.30–0.60	~0.30–0.65

Adapted from Figures 4-8–4-10 in EA (1989)

25 **H.1.2.2. Summary of Entrainment Abundance Monitoring Studies**

26 During 1981, EA employed an Automated Abundance Sampler (AUTOSAM) to
27 collect ichthyoplankton samples from IP2 and IP3. Middepth water samples were
28 collected twice a week during May–August from discharge station D2. Each
29 sampling effort consisted of collecting 90-minute (min) composite samples within
30 eight 3-hr sampling intervals extending over a 24-hr period. Ichthyoplankton
31 samples were sorted, identified to species and life stage, and counted (EA

1 1981b). In 1983, entrainment abundance samples were again collected at
2 discharge canal station D2 from May 3 to August 13, 1983, using the AUTOSAM
3 collector. From May 3–18, each sample consisted of a 90-min composite
4 sample within eight 3-hr sampling periods. From May 19 to August 13, the 90-
5 min composites reflect a shorter collection time to reduce clogging caused by
6 the presence of detritus. Ichthyoplankton samples were sorted, identified to
7 species and life stage, and counted (EA 1984). In 1984, ichthyoplankton samples
8 were collected from discharge canal station D2 from May 3 to August 11, 1984.
9 Sampling equipment, collection procedures, and sample processing were
10 consistent with past sampling efforts described above (EA 1985).

11 In 1985, ichthyoplankton samples were taken continuously (24 hr/day) from May 1 to August 11.
12 Each sample consisted of one 3-hr period, resulting in eight samples per day. Total sample
13 volumes were 150 m³. Replicate sampling to determine variance estimates was conducted on
14 Wednesdays and Thursdays of each week. Samples were collected by pumping water through
15 a 10-centimeter (cm) (4-in.) diameter pipe submerged to a depth of 3 m at discharge canal
16 Station D2 and passing the collected water into a plankton net with a codend cup. The collected
17 sample was transferred to a sample jar, preserved, and transferred to a laboratory for sorting,
18 identification to species and life stage, and enumeration (Normandeau 1987a). Pump samples to
19 quantify ichthyoplankton entrained at IP2 and IP3 were collected from May 1 to August 10,
20 1986, at discharge canal station D2. Sampling duration was 3 hr without replication from May 1
21 to May 14, and 2 hr from May 15 to August 10 to increase the number of collected samples.
22 Replicate sampling to provide variance estimates were collected 5 days per week from May 16
23 through August 10. Sampling equipment and processing were consistent with the 1985
24 sampling study (Normandeau 1987b). In 1987, pump samples to determine ichthyoplankton
25 entrainment abundance were collected 24 hr per day from May 6 to August 10 from discharge
26 canal station D2. Sample duration was 2 hr, which allowed a large number of samples to be
27 collected. Replicate sampling to provide variance estimates was collected 5 days per week
28 from May 6 to August 7 (Normandeau 1988).

29 **H.1.2.3. Historic Assessment of Entrainment Impacts**

30 As discussed in Sections 4.1.2.1 and 4.1.2.2, numerous studies have been conducted to
31 estimate the quantity of RIS that are entrained by the Indian Point cooling systems and evaluate
32 the survival of these species after entrainment occurs. Studies have also been conducted to
33 evaluate the trends of fish populations in the Hudson River. The applicant and NYSDEC have
34 used the results of these studies to evaluate the potential for adverse effects associated with the
35 operation of the Indian Point cooling systems. The results of these assessments are described
36 below. As described in Section 4.1.1.2, nongovernmental groups and members of the public
37 have also evaluated publicly available information and data associated with the Hudson River
38 and have expressed the opinion that many species of fish in the river are in decline and that
39 entrainment of eggs, larval, and juvenile fish at Indian Point is contributing to the decline,
40 destabilization, and ultimate loss of these important aquatic resources.

41 Applicant Assessment

42 In the environmental report for IP2 and IP3 (Entergy 2007), the applicant presents estimates of
43 CMR for American shad, Atlantic tomcod, bay anchovy, river herring, striped bass, and white

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1 perch and discusses the results of the assessment conducted by Barnthouse et al. (2002). The
2 conclusions of the ER are as follows:

3 More than 30 years of extensive fisheries studies of the Hudson River in the
4 vicinity of IP2 and IP3 support current operations. The results of the studies
5 performed from 1974 to 1997, the period of time covered in the DEIS, are
6 referenced and summarized in the DEIS, and have not shown any negative
7 trend in overall aquatic river species populations attributable to plant operations.
8 Ongoing studies continue to support these conclusions [ASA]. In addition,
9 current mitigation measures implemented through the HRSA and retained in the
10 four Consent Orders, the current agreements with NYSDEC, and the outcome of
11 the draft SPDES Permit proceeding, will ensure that entrainment impacts remain
12 SMALL during the license renewal term. Therefore, withdrawal of water from
13 the Hudson River for the proposes of once-through cooling at the site does not
14 have any demonstrable negative effect on representative Hudson River fish
15 populations, nor does it warrant further mitigation measures.

16 Additional impact assessment information was also provided to the NRC staff in Barnthouse
17 et al. (2008) that used environmental risk-assessment techniques to evaluate the potential for
18 adverse impacts to Hudson River RIS from a variety of natural and anthropogenic stressors,
19 including the operation of the IP2 and IP3 cooling water intake system (CWIS), fish pressure,
20 the presence of zebra mussels, predation by striped bass, and water temperature. Summary
21 results available in Barnthouse et al. (2008) are presented in Table H-6. Using this information,
22 the authors concluded the following:

23 Considered together, the evidence evaluated in this report shows that the
24 operation of IP2 and IP3 has not caused effects on early life stages of fish that
25 reasonably would be considered "adverse" by fisheries scientists and/or
26 managers. The operation of IP2 and IP3 has not destabilized or noticeably
27 altered any important attribute of the resource.

1

Table H-6 Summary of Impact Assessment for IP2 and IP3

Species	Suspected Cause of Apparent Hudson River Decline
American Shad	CWIS and zebra mussel hypothesis rejected. Most likely cause: fishing, with striped bass predation a potential contributing factor. (Barnthouse et al. 2008, Table 5)
Atlantic Tomcod	CWIS hypothesis rejected. Temperature a significant influence, but cannot explain post-1990 decline. Most likely cause of decline: striped bass predation. (Barnthouse et al. 2008, Table 6)
Bay Anchovy	CWIS hypothesis rejected. Striped bass predation most likely cause of change. (Barnthouse et al. 2008, Table 8).
River Herring	CWIS and zebra mussel hypothesis rejected. Most likely cause: striped bass predation. (Barnthouse et al. 2008, Table 7).
Striped Bass	CWIS and zebra mussel hypothesis rejected. Most likely cause: fishing. (Barnthouse et al. 2008, Table 3)
White Perch	CWIS hypothesis rejected. Zebra mussel and striped bass predation may have contributed to declines occurring in later years, but other unknown causes were responsible for declines occurring between 1975 and 1985. (Barnthouse et al. 2008, Table 4)

Source: Entergy 2008, adapted from Barnthouse et al. 2008

2 NYSDEC Assessment

3 In 2003, NYSDEC developed a Final Environmental Impact Statement (FEIS) (NYSDEC 2003a)
4 in response to the DEIS submitted by the operators of IP2 and IP3, Roseton, and Bowline Point
5 (CHGEC 1999). In the FEIS, NYSDEC noted that “while the DEIS was acceptable as an initial
6 evaluation and assessment, it was not sufficient to stand as the final document, and additional
7 information as to alternatives and evaluation of impacts must be considered.” The Public
8 Comment Summary portion of the FEIS presents a summary of comments received on the 1999
9 DEIS (CHGEC 1999); a subsequent section, Responses to Comments, provides the NYSDEC
10 reply. In response to comments associated with the “cropping of fish populations by power
11 plants,” NYSDEC provided a detailed response. The following excerpt is from pages 53 and 54
12 of the document:

13 Rather than “selective cropping”, the impacts associated with power plants are
14 more comparable to habitat degradation; the entire natural community is
15 impacted. These “once-through cooling” power plants do not selectively harvest

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1 individual species. Rather, impingement and entrainment and warming of the
2 water impact the entire community of organisms that inhabit the water column.
3 For example, these impacts diminish a portion of the forage base for each
4 species that consumes plankton (drifting organisms in the water column) or
5 nekton (mobile organisms swimming through the water column) so there is less
6 food available for the survivors. In an intact ecosystem, these organisms serve
7 as compact packets of nutrients and energy, with each trophic (food chain) level
8 serving to capture a diffuse resource and make it more concentrated.
9 Ichthyoplankton (fish eggs, larvae and very small fish which drift in the water
10 column) and small fish feed on a base of zooplankton (drifting animal life) and
11 phytoplankton (drifting plant life). The loss of these small organisms in the
12 natural community may be a factor that leads to harmful algal blooms. The
13 small fish themselves serve as forage for the young of larger species, which
14 serve as forage for larger individuals, and so on up the food chain, more
15 correctly understood as a “trophic pyramid.” Once-through cooling mortality
16 “short-circuits” the trophic pyramid and compromises the health of the natural
17 community. For example, while an individual bay anchovy might ordinarily serve
18 as food for a juvenile striped bass or even for a common tern, entrainment and
19 passage through a power plant’s cooling system would render it useful only as
20 food to lower trophic level organisms. It could no longer provide its other
21 ecosystem functions of consuming phytoplankton, digesting and concentrating it
22 into its tissues, and ranging over a wide area, distributing other nutrients as
23 manure. This is just a single example from a very complex natural system,
24 where the same basic impact is multiplied millions of times over more than one
25 hundred fish species.

26 NYSDEC also expressed concern about entrainment in the 2003 “Fact Sheet” pertaining to
27 SPDES license renewal at IP2 and IP3 (NYSDEC 2003b, Attachment B, 1. Biological Effects):

28 1. Biological Effects

29 Each year Indian Point Units 2 and 3 (collectively “Indian Point”) cause the
30 mortality of more than a billion fish from entrainment of various life stages of
31 fishes through the plant and impingement of fishes on intake screens.
32 Entrainment occurs when small fish larvae and eggs (with other aquatic
33 organisms) are carried into and through the plant with cooling water, causing
34 mortality from physical contact with structures and thermal stresses.
35 Impingement occurs when larger fish are caught against racks and screens at
36 the cooling water intakes, where these organisms may be trapped by the force
37 of the water, suffocate, or otherwise be injured. Losses at Indian Point are
38 distributed primarily among 7 species of fish, including bay anchovy, striped
39 bass, white perch, blueback herring, Atlantic tomcod, alewife, and American
40 shad. Of these, Atlantic tomcod, American shad, and white perch numbers are
41 known to be declining in the Hudson River (ASA Analysis and Communications
42 2002). Thus, current losses of various life stages of fishes are substantial.

43 Finally, in the petition submitted to the NRC on November 30, 2007, regarding the relicensing of
44 IP2 and IP3 (NYSDEC 2007), the agency comments on impingement and entrainment impacts:

1 Impingement and Entrainment Contention

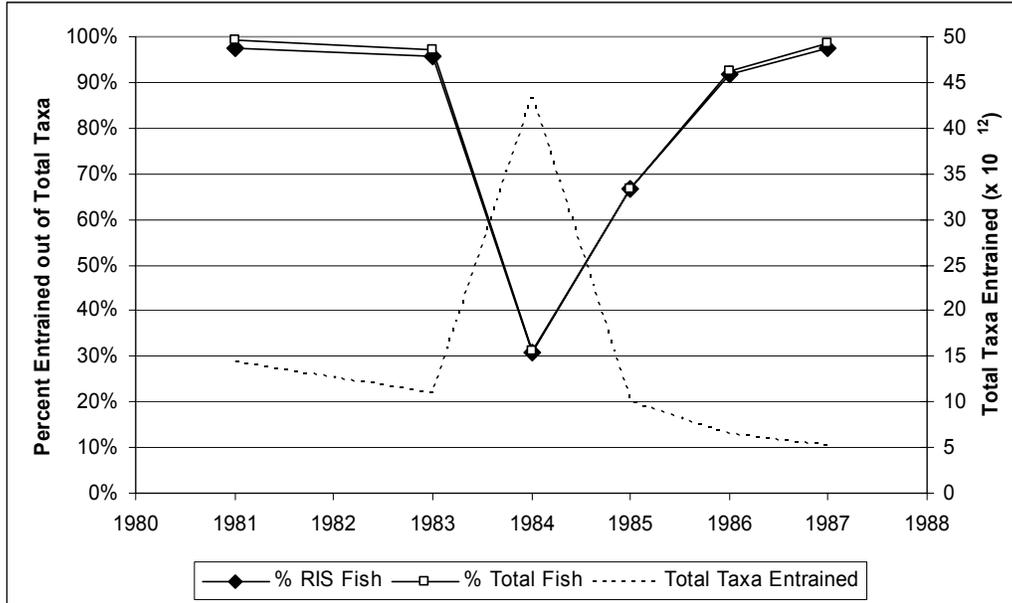
2 The operation of Indian Point consumes and returns approximately 2.5 billion
3 gallons of Hudson River water each day. The River is an important estuarine
4 ecosystem, and this operation has significant adverse impacts to the fish that
5 call the Hudson home. Large fish are “impinged” on screens at the water intake
6 where they are severely stressed and then suffocated. Smaller fish are
7 “entrained” in the water intake, pulled through the operating plant and killed. This
8 relentless process has continued relatively unabated for almost 40 years, and
9 the applicant now seeks 20 more years. This must not continue because the
10 environmental costs are too high. The NRC must fully consider the alternative of
11 closed cycle cooling to mitigate these significant adverse impacts in this license
12 renewal proceeding.

13 H.1.2.4. NRC Staff Assessment of Entrainment Impacts

14 Entergy (2007b) provided to NRC weekly average densities of entrained taxa for a given life
15 stage for IP2 and IP3 for analysis. The data were collected from May to August in 1981 and
16 1983 through 1985, from January to August in 1986, and from May to August in 1987. The sum
17 of the mean densities of all life stages for a given taxon and season (January–March, April–
18 June, July–September, and October–December) times the volume of circulated water was used
19 to estimate the mean number entrained per taxon and season.

20 NRC found a total of 66 taxa identified during entrainment monitoring in the data supplied.
21 There were no blue crabs, shortnose or Atlantic sturgeon, or gizzard shad identified in the
22 1981–1987 entrainment data. Because of the difficulty in identification of early life stages, RIS
23 included those taxa identified only to family or genera (herring family, *Alosa* spp., anchovy
24 family, and *Morone* spp.). The percent RIS fish entrained and total fish entrained were
25 compared to the total estimated mean number (Figure H-5). Except for 2 weeks in 1984 and
26 1985 (1 week in May and June) for which amphipods (*Gammarus* sp.) were recorded, the
27 percentage RIS fish entrained was greater than 90 percent of entrained taxa. The number of
28 amphipods collected in 2 weeks in 1984 was two times greater than identified fish collected over
29 15 weeks within the same year. Linear regression ($n = 6$; $p = 0.02$) indicated that the number of
30 identified fish entrained decreased at a rate of 1.6 billion fish per year, a result consistent with
31 the decrease observed in the number of fish impinged.

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1
2 **Figure H-5 Percentage of entrainment comprised of RIS fish and total fish in relation to**
3 **the total estimated entrainment at IP2 and IP3 combined (data from Entergy 2007b)**

4 A seasonal pattern in the percentage entrainment of each RIS out of the total RIS fish entrained
5 was evaluated (Table H-7). Entrainment of herring, American shad, *Alosa* spp., white perch,
6 and striped bass was mainly observed in the second quarter (April–June). Entrainment of
7 weakfish and hogchoker was mainly observed in the third quarter (July–September). Rainbow
8 smelt and Atlantic tomcod were observed in entrainment samples only in the first quarter
9 (January–March) of 1986. Based on the available information, species representing 10 percent
10 or greater of total RIS entrained for at least one sampling period were alewife, bay anchovy,
11 American shad, rainbow smelt, striped bass, Atlantic tomcod, and white perch (Table H-7).
12 Entrainment losses may affect populations directly by reducing the number of individuals
13 available for recruitment and indirectly through the removal of potential food for predators. The
14 environmental significance of entrainment is explored further in Section H.3.

15 **H.1.3. Combined Effects of Impingement and Entrainment**

16 The combined effects of impingement and entrainment were evaluated by the applicant in the
17 DEIS (CHGEC 1999) by estimating CMR, which is intended to represent the fractional reduction
18 in abundance of the vulnerable age groups (primarily those fish hatched during the current year)
19 from a single source. The CMR is model-dependent and has been a source of controversy
20 since it was developed. The NRC Staff analysis presented here will instead rely on the
21 extensive fishery datasets collected under the direction and oversight of the NYSDEC.

Table H-7 Percentage Entrainment of RIS by Year and Season (data from Entergy 2007b)

Year/ Season	1981			1983			1984			1985			1986			1987		
	2	3	5	2	3	5	2	3	5	2	3	5	2	3	5	2	3	5
Herring Family	2.2	<0.05	40	<0.05	40	<0.05	24	<0.05	0.3	- ^a	-	-	31	<0.05	1.2	-	-	-
Blueback Herring	-	<0.05	<0.05	0.1	<0.05	<0.05	<0.05	<0.05	-	<0.05	-	<0.05	<0.05	<0.05	-	-	-	-
Alewife	-	-	-	<0.05	<0.05	<0.05	<0.05	<0.05	-	-	-	<0.05	<0.05	<0.05	<0.05	-	-	<0.05
American Shad	0.1	<0.05	0.1	<0.05	<0.05	3.9	<0.05	<0.05	<0.05	<0.05	-	-	0.1	-	<0.05	<0.05	<0.05	<0.05
Alosa Species	7.4	<0.05	30	<0.05	<0.05	36	<0.05	0.6	0.6	-	0.4	<0.05	<0.05	<0.05	<0.05	-	-	<0.05
Atlantic Menhaden	-	-	-	-	-	-	-	0.1	0.1	-	-	0.3	-	-	-	-	-	-
Anchovy Family	3.1	8.2	<0.05	43	8.4	1.1	8.4	-	-	-	-	-	-	-	-	-	-	-
Bay Anchovy	46	91	0.1	53	86	16	86	73	4.0	99	-	4.0	99	47	99	-	-	-
Rainbow Smelt	-	-	<0.05	-	<0.05	0.2	<0.05	<0.05	<0.05	<0.05	64	2.0	0.2	0.8	0.1	-	-	-
White Catfish	-	-	-	-	<0.05	-	<0.05	-	-	0.1	<0.05	<0.05	-	-	-	-	-	-
Atlantic Tomcod	0.9	-	0.1	<0.05	1.2	0.1	6.8	6.8	1.8	<0.05	34	1.8	-	1.4	<0.05	-	-	<0.05
White Perch	15	0.1	14	0.6	6.0	6.0	5.8	5.8	27	0.1	2.3	27	0.4	8.6	0.3	-	-	-
Striped Bass	25	<0.05	8.0	0.8	9.4	9.4	11	11	31	<0.05	-	31	0.2	38	0.3	-	-	-
Morone Species	-	-	6.6	0.2	1.2	1.2	2.7	2.7	2.9	<0.05	-	2.9	<0.05	2.9	<0.05	-	-	<0.05
Bluefish	-	-	-	-	<0.05	-	<0.05	-	-	<0.05	-	-	-	-	-	-	-	-
Weakfish	-	0.3	-	1.2	-	-	2.2	0.1	0.7	0.7	-	-	0.4	<0.05	<0.05	-	-	<0.05
Hogchoker	-	-	-	<0.05	<0.05	-	<0.05	-	-	-	-	-	-	-	-	-	-	-
Spottail Shiner	<0.05	0.3	<0.05	0.6	<0.05	0.2	<0.05	<0.05	<0.05	0.3	-	<0.05	0.3	<0.05	<0.05	0.1	-	0.1

2 (a) Season 1 is January–March, 2 is April–June, 3 is July–September.

3 (b) – indicates no identified observation.

4 Units = percent

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1 The purpose of this analysis is to determine the potential for adverse impacts to the aquatic
2 resources of the Hudson River estuary associated with the operation of IP2 and IP3 once-
3 through cooling systems during the relicensing period. The National Environmental Policy Act,
4 as amended (NEPA), requires an ecologically relevant analysis of potential impacts that is more
5 holistic than a general fisheries biology approach. Fisheries biology tends to focus on single
6 species issues, such as sustaining a harvest rate, no matter what the effect may be on other
7 species within the system. Thus, although still simplistic, this analysis considers potential
8 impacts across trophic levels.

9 The operation of the IP2 and IP3 cooling systems can directly affect the aquatic communities of
10 the Hudson River through impingement, entrainment, or thermal releases. Loss of YOY,
11 yearling and older fish, blue crabs (*Callinectes sapidus*), and other aquatic species can occur
12 from impingement against intake screens. Eggs, YSL, post-yolk-sac larvae (PYSL), and
13 juvenile fish and invertebrates small enough to pass through the intake screens (9.5-mm or
14 0.375-in. square mesh) may become entrained within the intake units of the once-through
15 cooling system and experience adverse effects associated with mechanical, chemical, and
16 thermal stressors. Releases of heated noncontact cooling water through subsurface diffuser
17 ports into the Hudson River can result in heat- or cold-shock effects. Cooling system operation
18 can also result in indirect effects to aquatic resources. Impingement may injure, stun, or
19 debilitate an organism, reducing its ability to avoid predation, capture prey, or grow and
20 reproduce in a normal manner. Entrainment of larval or small juvenile forms not resulting in
21 death may reduce viability or survival success. Entrainment can also create an indirect adverse
22 impact to estuarine food webs by removing potential prey items from predators, or altering and
23 redistributing the aquatic organic carbon represented by entrained organisms. In addition, the
24 release of heated water can result in sublethal effects, including changes in reproduction or
25 development, increased susceptibility to other environmental stressors, or behavioral changes
26 associated with avoiding thermal plumes.

27 Evaluating the potential for adverse impacts of the IP2 and IP3 cooling systems to the aquatic
28 resources of the Hudson River estuary presents a significant challenge for a variety of reasons.
29 First, the potential stressor of interest (the IP2 and IP3 cooling systems) occupies a fixed
30 position on the Hudson River, while RIS associated with the Hudson River generally have large
31 spatial and temporal distributions that can change for each life stage. Thus, evaluation of
32 causal relationships between potential stressors and receptors is difficult and requires a
33 systems-level understanding that may not be possible with existing environmental information.
34 Second, the Hudson River estuary represents a dynamic, open-ended system containing a
35 complex food web that is hydrologically connected from freshwater locations near the Troy Dam
36 to the Atlantic Ocean. Detectable trends at population levels that suggest adverse effects may
37 be attributable to a variety of anthropogenic and natural stressors, including the activities at IP2
38 and IP3. Finally, because the Hudson River estuary represents a complex system with
39 hundreds of aquatic species, it is necessary to focus primarily on a subset of RIS. While this
40 simplifies the assessment of impact, it also introduces additional uncertainties that must be
41 acknowledged and addressed.

42 The GEIS defines impingement, entrainment, and heat shock from cooling system operation as
43 Category 2 issues requiring site-specific review. Levels of impact associated with these issues
44 are defined as potentially SMALL, MODERATE, or LARGE, consistent with the criteria that the
45 NRC established in Footnote 3 to Table B-1, Appendix B, 10 CFR Part 51, as follows:

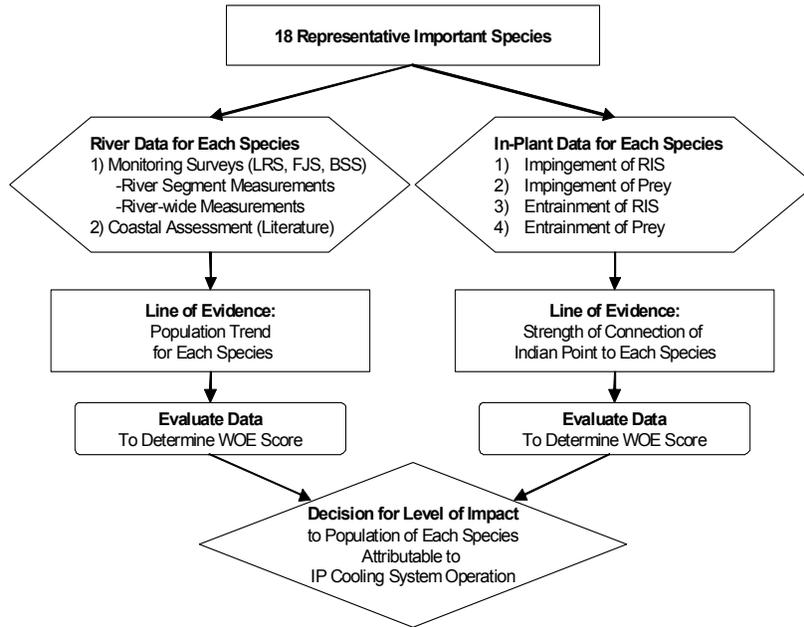
- 1 • SMALL—Environmental effects are not detectable or are so minor that they will neither
2 destabilize nor noticeably alter any important attribute of the resource.
- 3 • MODERATE—Environmental effects are sufficient to alter noticeably, but not to
4 destabilize, any important attributes of the resource.
- 5 • LARGE—Environmental effects are clearly noticeable and are sufficient to destabilize
6 any important attributes of the resource.

7 To evaluate whether the operation of the IP2 and IP3 cooling systems adversely affects RIS,
8 NRC Staff employed a modified weight-of-evidence (WOE) approach as represented in Figure
9 H-6. The approach used impingement and entrainment monitoring data obtained from the IP2
10 and IP3 facilities, data from the lower Hudson River collected during the Long River Survey
11 (LRS), Fall Juvenile/Fall Shoals Survey (FJS/FSS), and Beach Seine Survey (BSS), as
12 described in Table 2-3 in the main text, and coastal fishery trend data, when available. Lines of
13 evidence (LOE) associated with the population trends and strength of connection were
14 developed. The WOE is a technique used to integrate multiple LOE, or types of variables, to
15 make a single decision concerning the magnitude of impact and its association with a potential
16 stressor (IP2 and IP3 cooling systems). The WOE approach employed was based on Menzie et
17 al. (1996) and consisted of the following steps depicted in Figure H-7:

- 18 (1) Identify the environmental component or value to be protected.
- 19 (2) Develop LOE and quantifiable measurements to assess the potential for adverse
20 environmental effects and evaluate whether the IP2 and IP3 cooling systems are
21 contributing to the effect.
- 22 (3) Quantify the use and utility of each measurement for supporting the impact assessment.
- 23 (4) Develop quantifiable “decision rules” for interpreting the results of each measurement.
- 24 (5) Use the WOE to integrate the results, assign a level of potential impact, and determine if
25 adverse effects in RIS populations, if present, are related to the operation of the IP2 and
26 IP3 cooling systems.

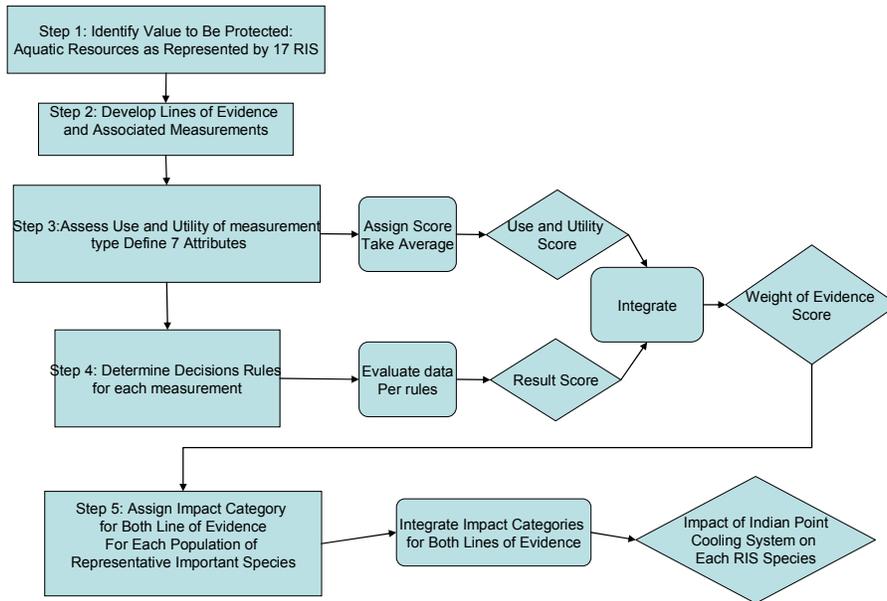
27

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1
2 **Figure H-6 General weight-of-evidence approach employed to assess the level of impact**
3 **to population trends attributable to IP cooling system operation**

4 These steps are discussed below in more detail. Supporting information for the statistical
5 analyses used in this determination is presented in Appendix I. A WOE approach was not used
6 to evaluate thermal effects, because recent monitoring or modeling data were not available.



7
8 **Figure H-7 Steps used to conduct the weight-of-evidence assessment**

1 Step 1: Identify the Environmental Component or Value To Be Protected

2 For this assessment, the environmental component to be protected is the Hudson River aquatic
3 resources as represented by the 18 RIS identified in Table 2-4 in the main text. These species
4 represent a variety of feeding strategies and food web classifications and are considered
5 ecologically, commercially, or recreationally important. The WOE approach focuses primarily on
6 the potential impacts to YOY and yearling fish and their food sources. Although eggs, larvae,
7 and PYSL are important components to the food web, the natural mortality to these life stages is
8 high, as noted by Barnthouse et al. (2008) and Secor and Houde (1995). In contrast, fish
9 surviving to YOY and older are more likely to add to the adult breeding population and are at
10 greater risk from the cooling system operation. Any factor that increases (or decreases) the
11 survival of those fish during juvenile and yearling stages can affect the sustainability of the
12 population.

13 The conceptual model considers that the dynamics of the system are subject to large changes
14 based on a wide variety of controlling factors. Phytoplankton and zooplankton communities
15 form the basis of the food web and are used by a variety of fish and invertebrates during their
16 development from larvae to adults. Plankton abundances generally increase during the spring
17 and summer, coinciding with the emergence of larval and juvenile forms of fish and
18 invertebrates after spawning. For some species, such as striped bass, PYSL and juvenile forms
19 initially eat small, planktonic prey, then switch to larger prey as they grow. For other species,
20 such as herring and alosids, adults remain planktivores. Predator-prey relationships within the
21 estuary are complex and are influenced by a variety of physical, chemical, spatial, and temporal
22 factors. Within this system, predation may be inter- or intraspecific, and operate at a variety of
23 levels simultaneously. There are also a variety of controlling factors that may exert influence on
24 the estuarine food web and inhabitants of the estuary. Physical and chemical fluctuations can
25 serve as cues for reproduction and promote or inhibit growth, the nature and extent of predation
26 can result in shifts in food web dynamics, and the influence of invasive or exotic species and
27 anthropogenic activities can affect year-classes or result in long-term changes to populations.

28 After reviewing available information, the NRC staff could not determine if the operation of the
29 IP2 and IP3 cooling systems is adversely affecting the RIS through the phytoplankton and
30 zooplankton populations present near the facilities. It is possible, however, that the entrainment
31 of these food web constituents can alter or influence the food web by removing potential prey
32 items from the water column and reintroducing and redistributing them in the river in an altered
33 state. As a result, the form and distribution of organic carbon can be fundamentally changed,
34 even though the overall mass-balance remains the same. A similar effect may exist for larval
35 forms that experience entrainment and are thus unavailable in their natural state for predation.
36 Impingement losses may also alter the food web by removing potential predator or prey items
37 from the system or by changing the dynamics of the relationships at critical periods. At the
38 higher levels of the food web, large predators such as bluefish, weakfish, and striped bass may
39 be affected by alterations to the food web in ways that are not always obvious. For instance,
40 work by Baird and Ulanowicz (1989) suggested that, even though striped bass and bluefish in
41 the Chesapeake Bay ecosystem were both piscivorous predators, 63 percent of the bluefish
42 intake depended indirectly on benthic organisms, whereas striped bass depended mainly on
43 planktonic organisms.

44 Within this food web context, the IP2 and IP3 cooling systems can be viewed as hybrid
45 predators. Although the operation of the cooling water systems exerts a predatory effect at

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1 multiple levels within the estuarine food web, the fixed position of the plants in the environment,
2 their relatively continuous operation, and their lack of sensitivity to traditional environmental
3 stressors that affect predators place them in a unique position within the estuarine system. The
4 cooling system also functions as an environmental sampling device through impingement and
5 entrainment. To fully explore the potential adverse impacts of cooling system operation to the
6 aquatic resources of the Hudson River estuary, it is necessary to examine both the direct
7 impacts associated with losses caused by impingement, entrainment, and heat, and the indirect
8 impacts of these potential stressors that may work through the food web and contribute to
9 detectible long-term changes to RIS populations.

10 Step 2: Identify Lines of Evidence and Quantifiable Measurements

11 The LOE and measurements used by NRC Staff to assess the impacts of the IP2 and IP3
12 cooling systems on RIS in the Hudson River estuary are presented in Table H-8. The first LOE
13 (LOE-1) was a population-trend analysis using data from the three surveys conducted for the
14 Hudson River utilities and from recent coastal fisheries information, when available. Population
15 trends over time are often used to assess long-term changes in population abundance or
16 species composition and to provide information on sustainability.

17 For Measure 1-1, the river-segment trends were based on the fish caught within River
18 Segment 4 (IP2 and IP3) or, if this sampling area had a consistently low catch, an adjoining
19 segment (River Segments 2 through 6), whichever had a greater catch (Figure 2-6 in the main
20 text). The river-segment data were the weekly catch-per-unit-effort (CPUE) and catch density
21 from the FJS, BSS, and LRS. The annual estimate of the population response was the 75th
22 percentile of the weekly data for a given year, because it was not as sensitive as the mean to
23 the few large observations collected each year.

24 For Measure 1-2, riverwide population trends were based on the annual CPUE and the annual
25 abundance index derived by the applicant. Commercial harvest data were used to represent
26 coastal population trends. Population trends also formed the basis of the WOE analysis used
27 by the NRC staff to assess the cumulative impacts of IP2 and IP3 activities, as well as other
28 anthropogenic and natural environmental stressors, including the potential effects of zebra
29 mussels in the freshwater portion of the Hudson River.

30 **Table H-8 Lines of Evidence and Measurements Used To Assess Cooling System**
31 **Impacts**

LOE-1: ASSESSMENT OF POPULATION TRENDS OF RIS	
Measurement 1-1	River-segment RIS population trends from FSS and BSS (and LRS for tomcod)
Measurement 1-2	Riverwide RIS population trends from FSS and BSS (and LRS for tomcod)
Measurement 1-3	Coastal population trends from State or Federal regulatory agency databases

1

Table H-8 (continued)

LOE-2: ASSESSMENT OF STRENGTH OF CONNECTION	
Measurement 2-1	Impingement of RIS
Measurement 2-2	Entrainment of RIS
Measurement 2-3	Impingement of RIS prey
Measurement 2-4	Entrainment of RIS prey

2 The second LOE (LOE-2) measures the strength of the connection between the operation of the
 3 IP2 and IP3 cooling systems and the aquatic resources in the Hudson River. NRC Staff derived
 4 measurements of connection strength from monitoring data at IP2 and IP3 from 1975–1990 that
 5 provide information on impingement and entrainment rates for RIS and prey of RIS. As
 6 discussed above, the operation of the cooling system can result in direct mortality of RIS or may
 7 debilitate or damage organisms in a manner that causes latent mortality.

8 Impingement and/or entrainment can also remove and reintroduce RIS prey into the aquatic
 9 system in a manner that alters food web dynamics and produces indirect effects that may result
 10 in decreased recruitment, changes in predator-prey relationships, changes in population feeding
 11 strategies, or movements of populations closer to or farther away from the cooling system
 12 intakes or discharges. Staff based the analysis of impingement on the concordance of two
 13 ranked proportions. The first proportion was the ratio of the number of YOY and yearling fish of
 14 each species impinged in relation to the sum of all fish impinged. The second proportion was
 15 the ratio of each species abundance in the river near IP2 and IP3 relative to the total abundance
 16 of all 18 RIS. A large rank for both proportions would mean that the proportion impinged for the
 17 given RIS and the proportion abundance in the river were both large. The ratio of these two
 18 ranks would then be close to 1, suggesting that the stationary sampler was sampling
 19 proportionately to the abundance in the river (a medium strength of connection).

20 Likewise, NRC Staff based the effects of entrainment on the concordance of two ranked
 21 proportions. The first proportion was the estimated number entrained for all life stages for a
 22 given species in relation to the abundance of all fish entrained. The second proportion was the
 23 ratio of each species abundance in the river near IP2 and IP3 relative to the total abundance of
 24 all RIS. The estimated number entrained was the sum of the mean density for each life stage
 25 and sampling date within a given quarter of the year multiplied by the volume of circulated water
 26 (flow). Staff also considered potential food web impacts to RIS associated with the loss of prey
 27 caused by impingement or entrainment, based on the relationship presented in the conceptual
 28 model.

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1 Step 3: Quantify the Use and Utility of Each Measurement

2 The following attributes of each measurement within each LOE were adapted from Menzie et al.
3 (1996) and were assigned an ordinal score corresponding to a ranking of its use and utility as
4 low (1), medium (2), or high (3).

- 5 (1) Strength of Association Between the Measured Parameter and the Aquatic
6 Community—the extent to which the measurement parameter is representative of,
7 correlated with, or applicable to the assessment of the target fish community
- 8 (2) Stressor-specificity—the extent to which the measurement parameter is associated with
9 the specific stressor (e.g., impingement mortality)
- 10 (3) Site-specificity—the extent to which data, media, species, environmental conditions, and
11 other factors relate to the site of interest
- 12 (4) Sensitivity of the Measurement Parameter for Detecting Changes—the ability to detect a
13 response in the measurement parameter
- 14 (5) Spatial Representativeness—the degree of compatibility between the study area,
15 location of measurements or samples, locations of stressors, and locations of biological
16 receptors and their points of exposure
- 17 (6) Temporal Representativeness—the temporal compatibility between the measurement
18 parameter and the period during which effects of concern would occur
- 19 (7) Correlation of Stressor to Response—the degree to which a correlation is observed
20 between levels of response, and the strength of that correlation

21 Staff then calculated overall use and utility scores for each measurement within each LOE as
22 the average of the individual attribute scores. For a given LOE, the average score for all
23 attributes was used to characterize the overall use and utility of the measurement as low,
24 medium, or high, using the following definitions:

- 25 • low use and utility—overall score of <1.5 (questionable for decision-making)
- 26 • medium use and utility—overall score of ≥ 1.5 and ≤ 2 (adequate for decision-making)
- 27 • high use and utility—overall score of >2 (very useful for decision-making)

28 The results of these evaluations are presented for each LOE and supporting measurements in
29 Tables 4-2 and 4-3. For LOE-1, RIS population trends, measurements with the highest use and
30 utility are those that provide information on long-term trends in RIS populations at river-segment
31 and riverwide scales (Table H-9). Comprehensive data sets extending over 30 years yield high
32 use and utility for assessing impacts. As measurements of populations become more spatially
33 distributed, the ability to use the measurement to assess impacts associated with IP2 and IP3
34 decreases.

35 When assessing the strength of the connection between the IP2 and IP3 cooling systems and
36 the aquatic environment (i.e., the ability of the IP2 and IP3 cooling systems to affect RIS
37 populations in the Hudson River estuary), measurements associated with loss of prey caused
38 by entrainment have the highest use and utility values (Table H-10) because stressor-specificity
39 is higher than for the other measures. Even though the sensitivity of the measure is lower
40 because of food web complexities, the loss of a food base for YOY predators has a greater

1 impact on more individuals than the direct loss of single individuals. While the evaluation of
 2 food-web impacts associated with the impingement and entrainment of RIS prey is complex,
 3 other investigators have found that alterations to lower levels of complex food web relationships
 4 result in measurable impacts at higher trophic levels. For instance, work by Ulanowicz (1995)
 5 suggests that when ecosystems are disturbed or stressed, the resulting changes in carbon flow
 6 can result in the disappearance of higher trophic-level predators or a reallocation of trophic
 7 positioning at the higher levels. Frank et al. (2007) report the potential for a “top-down”
 8 response that can affect lower trophic level prey items, though the existence of this
 9 phenomenon is debatable.

10 **Table H-9 Use and Utility of Each Measurement Type To Evaluate RIS Population Trends**
 11 **Potentially Associated with IP2 and IP3 Cooling System Operation**

Use and Utility Attribute	River-Segment RIS Community Trends	Riverwide RIS Community Trends	Coastal RIS Community Trends
Strength of Association between Measurement and Community Response	3	2	1
Stressor-specificity	2	1	1
Site-Specificity of Measurement in Relation to the Stressor	2	1	1
Sensitivity (Variability) of Measurement	2	2	1
Spatial Representativeness	3	2	1
Temporal Representativeness	3	3	3
Correlation of Stressor to Response	2	1	1
Overall Utility Score	2.4	1.7	1.3
Overall Assessment ^(a)	High	Medium	Low

(a) Overall Assessment: scores <1.5: low utility (questionable use for decision-making); 1.5≤ scores ≤2.0: medium utility (adequate for decision-making); scores >2.0: high utility (very useful for decision-making)

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1 **Table H-10 Use and Utility of Each Measurement Type To Evaluate the Strength of**
 2 **Connection between the IP2 and IP3 Cooling Systems and Hudson River RIS Populations**

Use and Utility Attribute	RIS Impinged	RIS Entrained	RIS Prey Impinged	RIS Prey Entrained
Strength of Association between Measurement and Community Response	1	1	1	3
Stressor-Specificity	2	2	2	3
Site-Specificity of Measurement in Relation to the Stressor	2	2	2	2
Sensitivity (Variability) of Measurement	2	1	2	1
Spatial Representativeness	3	3	3	3
Temporal Representativeness	2	1	2	1
Correlation of Stressor to Response	1	1	2	2
Overall Utility Score	1.9	1.6	2.0	2.1
Overall Assessment ^(a)	Medium	Medium	Medium	High

(a) Overall Assessment: scores <1.5: low utility (questionable use for decision-making); 1.5 ≤ scores ≤2.0: medium utility (adequate for decision-making); scores >2.0: high utility (very useful for decision-making)

3 Step 4: Develop Quantifiable Decision Rules for Interpreting the Results of Each Measurement

4 For all population trend assessments in the first LOE, NRC Staff used a two-step process to
 5 assign the level of potential for an adverse impact suggested by a given measurement. The first
 6 step was to evaluate the shape of the resulting best-fit model and the second step was to
 7 evaluate the annual variability in the data to determine whether or not the abundance data could
 8 support a claim of potential adverse impact. The shape of the trend data was evaluated using
 9 simple linear regression and segmented regression as a function of time with a single join point
 10 (see the statistical approach below and Appendix I for specific details). The segmented
 11 regression analysis allowed a delayed response and two time periods to evaluate trends. The
 12 model with the smallest error mean square was chosen as the better fit and used to assess the
 13 level of potential adverse impact. In the second step, staff used the proportion of data outside a
 14 defined level of noise to assess whether the potential adverse impact could be supported.

15 Based on four possible outcomes, the following decision rules were used to evaluate RIS
 16 population trend data. A population trend result score of either 1, 2, or 4 is assigned as follows:

- 17 • A SMALL potential for an adverse impact to an RIS population was determined if
 18 population trends had slopes that were not significantly different from zero (i.e., no
 19 detectable slope) and had ≤40 percent annual abundances falling outside a
 20 predetermined level of noise (defined here as +/-1 standard deviation from the mean of
 21 the first 5 years of data). This suggested that the RIS population had not changed
 22 detectably over time, and adverse environmental impacts were unlikely. Measurements
 23 satisfying this description were assigned a result score of 1.

- 1 • A MODERATE potential for an adverse impact to an RIS population was determined if
2 population trends had slopes that were not significantly different from zero (i.e., no
3 detectable slope) but had greater than 40 percent of abundance observations outside
4 the defined level of noise. If this response was observed, an adverse environmental
5 impact was probable. Measurements satisfying this description were assigned a result
6 score of 2.
- 7 • A MODERATE potential for an adverse impact to an RIS population was determined if
8 population trends with slopes that were significantly different from zero (i.e., detectable
9 slope) but had ≤ 40 percent annual abundances falling outside a predetermined level of
10 noise. If this response was observed, an adverse environmental impact was probable
11 but estimated below the detection limit set by the annual variability. Measurements
12 satisfying this description were assigned a result score of 2.
- 13 • A LARGE potential for an adverse impact to an RIS population was determined if
14 population trends had slopes that were significantly different from zero (i.e., detectable
15 slope) and had greater than 40 percent of annual abundance outside the defined level of
16 noise (i.e., support for potential impact). This response was considered clearly
17 noticeable, and an adverse environmental impact was likely. Measurements satisfying
18 this description were assigned a result score of 4.

19 This “1224” ranking is sometimes called “standard competition ranking.”

20 To evaluate the strength of connection between the operation of the IP2 and IP3 cooling
21 systems and the observed RIS population declines, decision rules were developed for
22 assessing the influence of impingement and entrainment directly on RIS and the potential
23 effects on RIS food web dependencies caused by loss of prey to impingement and entrainment.
24 Details of the development of the ratio of ranked proportions are discussed in the statistical
25 approach below and in Appendix I. A strength-of-connection result score of 1, 2, or 4 is
26 assigned as follows:

- 27 • Low Strength of Connection: The ratio of ranked proportions of impinged or entrained
28 RIS or RIS prey relative to total impingement or entrainment and the ranked proportion
29 of the population size in the river relative to the total RIS abundance is less than 0.5.
30 The species is considered underrepresented in the cooling system impingement or
31 entrainment samples, and thus, there is minimal evidence to suggest the IP2 and IP3
32 cooling systems are affecting the RIS. Measurements satisfying this description were
33 assigned a result score of 1.
- 34 • Medium Strength of Connection: The ratio of ranked proportions of impinged or
35 entrained RIS or RIS prey relative to total impingement or entrainment and the ranked
36 proportion of the population size in the river relative to the total RIS abundance is greater
37 than or equal to 0.5 and less than 1.5. The species is considered proportionally
38 represented in the cooling system impingement or entrainment samples, and thus, there
39 is some evidence to suggest the IP2 and IP3 cooling systems are affecting aquatic
40 resources. Measurements satisfying this description were assigned a result score of 2.
- 41 • High Strength of Connection: The ratio of ranked proportions of impinged or entrained
42 RIS or RIS prey relative to total impingement or entrainment and the ranked proportion
43 of the population size in the river relative to the total RIS abundance is greater than or

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1 equal to 1.5. The species is considered overrepresented in the cooling system
2 impingement or entrainment samples, and thus, there is strong evidence to suggest the
3 IP2 and IP3 cooling systems are affecting the RIS. Measurements satisfying this
4 description were assigned a result score of 4.

5 Step 5: Integrate the Results and Assess Impact

6 NRC Staff derived separate WOE scores for the population trend LOE and the strength of
7 connection LOE. The above decision rules enabled the NRC to assign levels of impact to RIS
8 populations and strength of connection between the IP2 and IP3 cooling systems and the
9 observed RIS population declines with the weighted mean equation:

$$10 \text{ WOE Score} = \frac{\sum_i (\text{overall utility score}_i)(\text{decision rule result score}_i)}{\sum_i \text{overall utility score}_i},$$

11 where $i = 1$ to the number of measurements; the overall utility score i is defined in Tables H-9
12 and H-10; and the result score i equals 1, 2, or 4, based on the above decision rules.

13 For population trend analyses, impact categories were defined as follows:

- 14 • small impact: WOE score <1.5
- 15 • small–moderate impact: WOE score = 1.5
- 16 • moderate impact: WOE score >1.5 but <2.0
- 17 • moderate–large: WOE score = 2.0
- 18 • large: WOE score >2

19 Staff used a similar scaling system to evaluate the strength of connection between the operation
20 of the IP2 and IP3 cooling systems and the observed RIS population decline, using the primary
21 scaling terms low, medium, and high.

22 The resulting impact categories for the population trend and strength of connection LOE were
23 then integrated by applying the logic developed by EPA for evaluating the ecological effects of
24 environmental stressors (EPA 1998). Ecological risk assessment (EPA 1998) requires a
25 connection between the stressor and the response to assign any level of impact. For the
26 purpose of this assessment, the stressor is the IP2 and IP3 cooling systems, while the receptor
27 is the aquatic community, as represented by the RIS populations, and the degree of exposure is
28 quantified by the strength of connection.

29 Statistical Approach for Each Line of Evidence

30 The decision rules developed above to determine the level of adverse impact to the aquatic
31 resources of the Hudson River estuary associated with the operation of the IP2 and IP3 once-
32 through cooling systems use (1) population trend data to provide a measure of potential impacts
33 to the aquatic resources, and (2) impingement and entrainment data to provide a measure of
34 the strength of connection between IP2 and IP3 operations and the aquatic environment. The
35 statistical approach used to evaluate each measurement is described below. Results were

1 compared to the decision rules to assign a result score that was then integrated using the
 2 weighted mean presented above. WOE was then used to integrate the measures of potential
 3 impact with the measures of strength of connection to assign a level of impact attributable to the
 4 operation of the IP2 and 3 cooling systems.

5 Statistical Approach to Assessing Long-Term RIS Population Trends: Simple linear regression
 6 and segmented regression with a single join point were statistically fit to an annual measure of
 7 abundance (y) for each RIS using Prism Version x, 2005. The form of the segmented
 8 regression model was:

$$9 \quad y = \begin{cases} a + S_1x & \text{for } x < J_p \\ a + J_p(S_1 - S_2) + S_2x & \text{for } x \geq J_p \end{cases}$$

10 where x was the year, a was the intercept, S_1 and S_2 were early (associated with years $< J_p$) and
 11 recent slopes of the line, and J_p was the estimated point in time when the slope changed
 12 (i.e., the join point). The model with the smallest mean squared error (MSE) was chosen as the
 13 better fit to the data. If the best-fit model was the simple linear regression and the slope was
 14 statistically significant (negative or positive, $\alpha = 0.05$), a population trend was detected. If the
 15 slope was not significantly different from zero, then a population trend was not detected. If the
 16 best-fit model was the segmented regression and either slope, S_1 or S_2 , was statistically
 17 significant ($\alpha = 0.05$), then a population trend was considered detected. If both slopes S_1 and
 18 S_2 were not significantly different from zero ($\alpha = 0.05$), then the trend was not considered
 19 detected. Note that an NRC impact level of small (value = 1) was defined as the lowest level of
 20 potential adverse impact.

21 To evaluate whether abundance data were indicative of potential aquatic impacts, staff
 22 standardized all data by subtracting the mean of the first 5 years of data and then dividing by
 23 the standard deviation based on all years of data. The first 5 years (1979–1983) were chosen
 24 as the standard because the CV of abundance either leveled out at $n = 5$, or it was preceded by
 25 a rapid change in direction (Figure H-8). For density and CPUE data, staff compared population
 26 trends between the BSS and FJS to determine if the shift from the epibenthic sled to the beam
 27 trawl in 1985 was influencing the shape of the response. If the FJS data had standardized
 28 observations consistently less than the standardized BSS data after 1985, then the FJS data
 29 were split into pre- and post-1985 for analysis.

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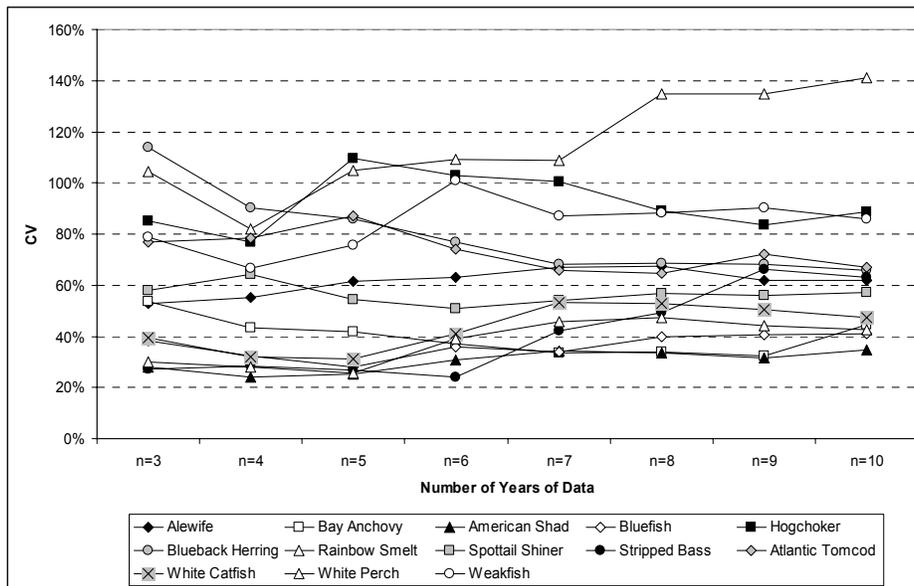


Figure H-8 Coefficient of variation of the abundance index for an increasing number of data points (data from Entergy 2007b)

An assessment of adverse impact was only supported if greater than 40 percent of the standardized observations were outside the bounds of ± 1 . For a normal bell-shaped distribution with a mean of zero and a standard deviation of one, 32 percent of the observations are outside the bounds of ± 1 standard deviation (Snedecor and Cochran 1980). Thus, observations outside the boundaries of ± 1 standard deviation from the mean of the first 5 years were considered outside of the natural variability (noise). If greater than 40 percent of the standardized observations were outside this defined level of noise, then a potential for adverse impact was considered supported. Table H-11 provides an overview of the eight possible outcomes for the assessment.

Table H-11 Comparison of Possible Outcomes When Assessing Population Trends of RIS in the Hudson River Studies

Best-fit Model	Statistical Outcome		Potential for Impact and Result Score
	Significant Slope(s)	Noise ¹	
Simple Linear Regression	No	No	Small—1
	No	Yes	Moderate—2
	Yes	No	Moderate—2
	Yes	Yes	Large—3
Segmented Regression	Neither	No	Small—1
	Neither	Yes	Moderate—2
	Either or Both	No	Moderate—2
	Either or Both	Yes	Large—3

¹Noise: Absolute values for 40 percent of standardized observations greater than 1.

1 Statistical Approach to Assessing Strength of Connection: To determine the strength of
 2 connection between the operation of the IP2 and IP3 cooling systems and the RIS that exist in
 3 the Hudson River near the facility, NRC Staff evaluated the two types of environmental
 4 samplers: (1) impingement and entrainment data obtained from the operators of IP2 and IP3 (a
 5 stationary environmental sampler along the shore of the Hudson) and (2) long-term aquatic
 6 resource studies conducted in the river by power plant operators under the supervision of State
 7 agencies (e.g. LRS, FJS, BSS). The null hypothesis was that the proportional representation of
 8 RIS obtained from the fishery studies should be equal to the proportional representation evident
 9 from the impingement and entrainment samples. The nature of this relationship was explored
 10 for each RIS, and the overall strength of connection was evaluated by comparing concordance
 11 of ranks as described below.

12 When evaluating the proportional representation, the focus is on comparing the results obtained
 13 from impingement and entrainment samples at the IP2 and IP3 facilities with the representation
 14 observed in the aquatic community near the facility. Using entrainment as an example, Table
 15 H-12 provides an overview of the three possible outcomes for the comparison.

16 **Table H-12 Comparison of Possible Outcomes When Assessing Proportional**
 17 **Representation of RIS in Cooling System and Fishery Studies**

Outcome	Result
$\frac{E_i}{E_{RIS}} = \frac{S_i}{S_{RIS}}$	<p>The proportional representation of a given RIS in the cooling system entrainment samples ($\frac{E_i}{E_{RIS}}$) is equal to the proportional representation obtained from the fishery studies ($\frac{S_i}{S_{RIS}}$), suggesting the RIS is equally represented in both the cooling system samples and fishery studies.</p>
$\frac{E_i}{E_{RIS}} < \frac{S_i}{S_{RIS}}$	<p>The proportional representation in the cooling system entrainment samples is less than the representation observed in the fishery studies, suggesting the cooling system sampler is underrepresenting the Hudson River population near IP2 and IP3.</p>
$\frac{E_i}{E_{RIS}} > \frac{S_i}{S_{RIS}}$	<p>The proportional representation in the cooling system entrainment samples is greater than the representation observed in the fishery studies, suggesting the cooling system sampler is overrepresenting the Hudson River population near IP2 and IP3.</p>

18 An estimate of the population abundance of a given species (S_i) in the vicinity of IP2 and IP3
 19 was the maximum of the annual density of a given species caught (sum of FJS and BSS 75th
 20 percentile of weekly densities) in the river segment near IP2 and IP3 over all years (1975–
 21 1990). The estimate of the total RIS community abundance (S_{RIS}) caught in the vicinity of IP2
 22 and IP3 was the sum of the maximum densities of each species. The estimated density of each
 23 species impinged or entrained was the 75th percentile of the annual density impinged or
 24 entrained over all years and the estimated density of all RIS impinged or entrained was the sum
 25 over all species. An estimate of $\frac{E_i}{E_{RIS}}$ was the ratio of the density of an individual species

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1 collected by the plant to the IP2 and IP3 river-segment CPUE plus the density entrained of that
2 individual species. Because of the error and bias in estimating each of these parameters, only
3 the ranks of each ratio were considered a reliable measure of connection. Thus, to estimate the
4 overall strength of connections between the IP2 and IP3 cooling systems and the RIS in the
5 Hudson River near the facility, the estimates of E_i/E_{RIS} and S_i/S_{RIS} for each species were ranked
6 from 1 to 18, and then the ratio of the ranks was compared to the decision rules.

7 **H.1.3.1. Assessment of Population Trends**

8 Studies Used To Evaluate Population Trends

9 The Hudson River utilities conducted the LRS from 1974 to 2005 and targeted fish eggs, YSL,
10 and PYSL from the George Washington Bridge (river mile (RM) 12) to the Federal Dam at Troy
11 (RM 152), a total of 140 miles (CHGEC et al. 1999). Sampling was conducted during the
12 spring, summer, and early fall, using a stratified random design based on 13 regions and three
13 strata within each region (channel, shoal, and bottom). A 1-m² Tucker trawl was used to sample
14 the channel strata; an epibenthic sled-mounted 1-m² net similar in design to the Tucker trawl
15 was used to sample the bottom strata, and both gear types were used to sample the shoal
16 strata. Because this survey targeted younger life stages, staff did not use the LRS in this
17 analysis except for YOY Atlantic tomcod data.

18 The utilities' FJS, also known as the FSS, was conducted from 1974 to 2005 and targeted
19 juveniles, yearlings, and older fish (CHGEC et al. 1999). Samples were collected on alternate
20 weeks from the BSS between Manhattan (RM 0) and the Troy Dam (RM 152) using a stratified
21 random design. Data were used to estimate the abundance of YOY and older fish in offshore
22 habitats. Approximately 200 samples were collected each week from July to December.
23 Between 1974 and 1984, a 1- m² Tucker trawl with a 3-mm mesh was used to sample the
24 channel and a 1-m² epibenthic sled with a 3-mm mesh was used to sample the bottom and
25 shoal strata. From 1985 to 2005, a 3-m beam trawl with a 38-mm mesh on all but the cod-end
26 replaced the epibenthic sled. Bay anchovy, American shad, and weakfish were sampled with
27 less efficiency with the beam trawl (NYPA 1986). Further, the number and volume of samples
28 in the bottom and shoal strata were generally greater than 2.5 times those in the channel. Thus,
29 all data were evaluated to determine if a shift in the gear type was affecting the observed trend.
30 When the standardized FJS data were consistently less than the standardized BSS data after
31 1985, staff analyzed the pre- and post-1985 data separately.

32 The utilities' BSS was conducted from 1974 to 2005 and targeted YOY and older fish in the
33 shore-zone (extending from the shore to a depth of 10 ft) (CHGEC et al. 1999). Samples were
34 collected from April to December but generally every other week from mid-June through early
35 October between the George Washington Bridge (RM 12) and the Troy Dam (RM 152). A
36 100-ft bag beach seine was used to collect 100 samples during each sampling period from
37 beaches selected according to a stratified random design. A completed tow covers an area of
38 approximately 450 m².

39 NRC Staff obtained coastal population trends for striped bass, American shad, Atlantic
40 sturgeon, river herring, bluefish, Atlantic menhaden, and weakfish from commercial and
41 recreational harvest statistics gathered by the Atlantic States Marine Fisheries Commission
42 (ASMFC). Currently, the ASMFC Interstate Fisheries Management Program coordinates the

1 conservation and management of 22 Atlantic coastal fish species or species groups. For
 2 species that have significant fisheries in both State and Federal waters, the Commission works
 3 cooperatively with the relevant East Coast Regional Fishery Management Councils to develop
 4 fishery management plans. The Commission also works with the National Marine Fisheries
 5 Service to develop compatible regulations for Federal waters. For each of the managed
 6 species, the Commission conducts periodic stock assessments. Information on each of the
 7 managed species can be found at <http://www.asmfc.org/>.

8 Data from all three field surveys from the Hudson River Estuary Monitoring Program (LRS, FJS,
 9 and BSS) were provided for this analysis. The three data sets included the annual abundance
 10 index per taxon and life stage from 1974 through 2005, the annual total catch and volume
 11 sampled per taxon from 1974 through 2005, and the weekly total volume sampled, catch
 12 density, and total catch for each river segment and life stage for the 17 RIS fish from 1979
 13 through 2005. The weekly volume, total catch, and catch density were the combined results of
 14 each gear type. Analysis of the river-segment and riverwide trends provided a measure of
 15 potential injury. Assessment of coastal harvest data obtained through the literature was
 16 conducted visually, using the same decision rules derived for the Hudson River data.

17 Metrics Used by NRC Staff To Evaluate Population Trends

18 *Abundance Index*

19 The abundance index for YOY for each species was based on the catch from a selected
 20 sampling program and used by the applicant and its contractors to estimate riverwide mean RIS
 21 abundances. The selection process considered the expected location of each species in the
 22 river, based on life-history characteristics and the observed catch rates from previous sampling.
 23 The abundance index was constructed to account for the stratified random sampling design
 24 used by each of the surveys. For the LRS and the FSS, sampling within a river segment was
 25 further stratified by river depth and sampled with a separate gear type. For blueback herring,
 26 alewife, bay anchovy, hogchoker, weakfish, and rainbow smelt, the YOY abundance index was
 27 based on the catch from a single gear type.

28 The LRS (L_A) and the FJS abundance index (F_A) were similarly constructed and provided
 29 unbiased estimates of the total and mean riverwide population abundance for selected species,
 30 respectively (Cochran 1997). For Atlantic tomcod, weeks 19 through 22 of the LRS samples
 31 were used to calculate the abundance index. The L_A is strictly a sum of the weighted average
 32 species densities over sampling weeks (w) instead of an average over weeks as for the F_A .

33 For the FJS and each gear type, F_A is constructed as a weighted mean of the average species
 34 density ($\bar{d}_{rs w}$) for a given river segment ($r = 0$ to 12), sampling stratum ($s = 1$ to 3), and week

35 ($w = 33$ to 40), i.e., $F_A = \frac{1}{n} \sum_w \left(\frac{\sum_r \sum_s v_{rs} \bar{d}_{rs w}}{\sum_r \sum_s v_{rs}} \right) I(0,1)$ for n equal to the number of weeks

36 sampled, v_{rs} equal to the volume of the given river segment and strata sampled, and the
 37 indicator function $I(0,1)$ equaling 1 if a given week was sampled and 0 otherwise (CHGEC
 38 1999). For the FJS, strata sampled were the channel, bottom, and shoal for a given river
 39 segment. Poughkeepsie and West Point river segments had the greatest channel volume,
 40 Poughkeepsie and Tappan Zee had the greatest bottom volume, and Tappan Zee had the

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1 greatest shoal volume. Because the river segment associated with IP2 and IP3 did not have
2 large bottom or shoal volumes, the abundance index would not be sensitive to changes in
3 population trends within the vicinity of IP2 and IP3.

4 The construction of the BSS abundance index (B_A) provided an unbiased estimate of the mean
5 riverwide population abundance for striped bass, white perch, American shad, bluefish, spottail
6 shiner, and white catfish. A single gear type was used for all years; thus, B_A was constructed as
7 a weighted average density or catch per haul (\bar{c}_{rw}) for a given river segment ($r = 0$ to 12) and

8 week ($w = 33$ to 40), i.e., $B_A = \frac{1}{n} \sum_w \left(\frac{\sum_r W_r \bar{c}_{rw}}{\sum_r W_r} \right) I(0,1)$ for n equal to the number of weeks

9 sampled, W_r equaled the number of beach segments in the sampling design for a given river
10 segment, and the indicator function $I(0,1)$ equaled 1 if a given week was sampled and 0
11 otherwise (CHGEC 1999).

12 *Catch-Per-Unit-Effort*

13 NRC Staff used the CPUE to evaluate riverwide and river-segment population trends and was
14 defined for a given species as the sum of the fish caught within a given year divided by the total
15 volume sampled. The CPUE for a given region is a biased (by the ratio of v_s/V) estimate of the
16 population abundance, i.e.,

17
$$E(\text{CPUE}) = E \left(\frac{\sum_s y_s}{\sum_s v_s} \right) = \sum_s \frac{v_s}{V} \mu_s$$

18 where y_s is the number of fish caught in a given stratum ($s = 1$ to 3),

19 μ_s is the mean density of fish in a given stratum,

20 v_s is the volume sampled in the given stratum, and

21 V is the total volume sampled).

22 For the LRS and FJS, a greater fraction of the volume sampled was from the bottom and shoal
23 strata; therefore, the CPUE from each river segment is not sensitive to changes in abundance
24 associated with fish sampled in the channel. For the BSS, there was only one gear type (beach
25 seine); thus, the CPUE from each river segment was equivalent to the density (\bar{d}_{rsw}) from the
26 BSS. The river-segment CPUE from the BSS was not used in the analysis.

27 Staff assumed that the river-segment densities for each of the surveys provided by the applicant
28 were the same average species densities, \bar{d}_{rsw} and \bar{c}_{rw} , used to derive the abundance indices.

29 Because multiple gear types were used in the LRS and FJS, the NRC staff assumes that the
30 densities for each gear type probably represented a weighted average.

31 Analysis of Population Impacts

1 To assess potential impacts to RIS populations near the IP2 and IP3 facility and within the lower
2 Hudson River, the NRC staff evaluated environmental data from FSS, BSS, and LRS studies,
3 and coastal trends, when available. Detailed information is presented in Appendix I.

4 *River Segment 4*

5 To assess potential impacts to RIS populations near the IP2 and IP3 facilities, the NRC staff
6 evaluated environmental data from FSS, BSS, and LRS studies for River Segment 4, which is
7 located at river kilometers (RKM) 63–76 (RM 39–46) (Figure 2-6 in the main text). The two
8 measurement metrics evaluated using the environmental data were density (estimated number
9 of RIS per given volume of water provided by the applicant) and CPUE (number of RIS captured
10 by the sampler for a given volume of water, derived by the NRC staff). Using these two metrics,
11 the staff determined that potential moderate-to-large adverse population impacts were possible
12 for many RIS, including alewife, bay anchovy, American shad, bluefish, hogchoker, blueback
13 herring, rainbow smelt, spottail shiner, Atlantic tomcod, and white perch (Table H-13). A small
14 potential for adverse population impacts was predicted for striped bass, white catfish, and
15 weakfish. An impact determination for populations of Atlantic menhaden, Atlantic and shortnose
16 sturgeon, gizzard shad, and blue crab could not be made, because these species were not
17 routinely caught in the studies. As described above, the NRC staff defined a large population
18 impact for this river segment and a given RIS as a statistically significant negative slope in
19 population abundance, using regression analyses and an observation of greater than 40 percent
20 of the abundance outside of the defined level of environmental noise, defined as +/- 1 standard
21 deviation from the mean of the first 5 years of data. The decision rules for this analysis are
22 found at the beginning of Section H-3; the complete analysis is presented in Appendix I.

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1 **Table H-13 Assessment of Population Impacts for River Segment 4**

2 *Lower Hudson River*

Species	Density			Catch-per-Unit Effort		River Segment Assessment
	FJS	BSS	LRS	FJS	LRS	
Alewife	Large	Large	N/A ^a	Large	N/A	Large
Bay Anchovy	Large	Small	N/A	Small	N/A	Moderate to Large
American Shad	Large	Large	N/A	Large	N/A	Large
Bluefish	Small	Large	N/A	Large	N/A	Large
Hogchoker	Moderate	Large	N/A	Moderate	N/A	Large
Atlantic Menhaden	N/A	N/A	N/A	N/A	N/A	Unknown
Blueback Herring	Moderate	Moderate	N/A	Moderate	N/A	Moderate to Large
Rainbow Smelt	Moderate	N/A	N/A	Large	N/A	Large
Shortnose Sturgeon	N/A	N/A	N/A	N/A	N/A	Unknown
Spottail Shiner	N/A	Large	N/A	N/A	N/A	Large
Atlantic Sturgeon	N/A	N/A	N/A	N/A	N/A	Unknown
Striped Bass	Small	Small	N/A	Small	N/A	Small
Atlantic Tomcod	Moderate	N/A	Moderate	Small	Moderate	Moderate
White Catfish	Small	N/A	N/A	N/A	N/A	Small
White Perch	Small	Large	N/A	Large	N/A	Large
Weakfish	Small	N/A	N/A	Small	N/A	Small
Gizzard Shad	N/A	N/A	N/A	N/A	N/A	Unknown
Blue Crab	N/A	N/A	N/A	N/A	N/A	Unknown

(a) N/A: not applicable; YOY not present in samples

3 To assess potential population-level impacts to RIS for the lower Hudson River (RKM 0–245,
 4 RM 0–152) (Figure 2-6 in the main text), the NRC staff evaluated abundance index data
 5 provided by the applicant and CPUE data obtained from FJS, BSS, and LRS studies. Analysis
 6 of abundance index data suggested a large potential for adverse population impacts for three
 7 RIS (American shad, white catfish, white perch) and a moderate potential for adverse impacts
 8 for bay anchovy, blueback herring, Atlantic tomcod, and weakfish. A small potential for adverse
 9 population impacts was predicted for alewife, bluefish, hogchoker, rainbow smelt, spottail
 10 shiner, and striped bass (Table H-14). An assessment of impacts could not be made for Atlantic
 11 menhaden, Atlantic and shortnose sturgeon, gizzard shad, and blue crab, because few were
 12 caught during the monitoring studies. Assessment of population-level impacts using CPUE
 13 predicted a potential for moderate-to-large impacts for most RIS. The exceptions were small
 14 impacts for spottail shiner, striped bass, and weakfish (Table H-14). As described above, staff
 15 could not determine population-level impacts for five RIS.

1 **Table H-14 Assessment of Population Impacts for the Lower Hudson River**

Species	Abundance Index	CPUE			Riverwide Assessment
		FJS	BSS	LRS	
Alewife	Small	Moderate	Moderate	N/A ^a	Moderate
Bay Anchovy	Moderate	Small	Moderate	N/A	Moderate
American Shad	Large	Large	Small	N/A	Large
Bluefish	Small	Large	Moderate	N/A	Large
Hogchoker	Small	Moderate	Moderate	N/A	Moderate
Atlantic Menhaden	N/A	N/A	N/A	N/A	Unknown
Blueback Herring	Moderate	Large	Large	N/A	Large
Rainbow Smelt	Small	N/A	Large	N/A	Large
Shortnose Sturgeon	N/A	N/A	N/A	N/A	Unknown
Spottail Shiner	Small	Small	Small	N/A	Small
Atlantic Sturgeon	N/A	N/A	N/A	N/A	Unknown
Striped Bass	Small	Small	Small	N/A	Small
Atlantic Tomcod	Moderate	Moderate	Large	Moderate	Large
White Catfish	Large	N/A	Large	N/A	Large
White Perch	Large	Large	Large	N/A	Large
Weakfish	Moderate	N/A	Small	N/A	Small to Moderate
Gizzard Shad	N/A	N/A	N/A	N/A	Unknown
Blue Crab	N/A	N/A	N/A	N/A	Unknown

(a) N/A: not applicable; YOY not present in samples

2 WOE Summary of Population Impacts

3 To integrate all of the available RIS population data for IP2 and IP3 and the lower Hudson River,
4 the NRC staff used a WOE analysis. An overview of this analysis is presented at the beginning
5 of Section H-3; detailed information is presented in Appendix I. The results for this analysis are
6 presented in Table H-15 and predict a moderate-to-large potential for adverse impacts for 13 of
7 the 18 RIS. For two of these (Atlantic menhaden and Atlantic sturgeon), the moderate-to-large
8 potential impact determination was based on only one LOE (coastal trends). A small potential
9 for adverse population-level impacts is predicted for blue crab, based on only one LOE (coastal
10 trends). An impact conclusion regarding the population impacts could not be reached for
11 shortnose sturgeon because of a lack of available data. As described above, the conclusion of
12 a large population impact is based on the detection of a significant negative slope using
13 regression analyses and the observation that greater than 40 percent of the abundance
14 observations were outside the defined level of noise. The decision rules for these analyses are
15 found at the beginning of Section H-3; the complete analysis is presented in Appendix I.

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1 **Table H-15 Weight of Evidence Results for the Population Trend Line of Evidence**

Measurement	River Segment Assessment Score	Riverwide Assessment Score	Coastal Assessment Score	WOE Score ^(b)	Impact Conclusion
Utility Score^(a)	2.4	1.7	1.3		
Alewife	4.0	1.7	2	2.8	Large
Bay Anchovy	2.0	1.7	N/A ^(c)	1.9	Moderate
American Shad	4.0	3.0	4	3.7	Large
Bluefish	3.0	2.3	2	2.5	Large
Hogchoker	2.7	1.7	N/A	2.3	Large
Atlantic Menhaden	Unknown	Unknown	2	2 ^(d)	Moderate to Large
Blueback Herring	2.0	3.3	2	2.4	Large
Rainbow Smelt	3.0	2.5	N/A	2.8	Large
Shortnose Sturgeon	Unknown	Unknown	N/A	Unknown	Unknown
Spottail Shiner	4.0	1.0	N/A	2.8	Large
Atlantic Sturgeon	Unknown	Unknown	4	4 ^(d)	Large
Striped Bass	1.0	1.0	1	1	Small
Atlantic Tomcod	1.8	2.5	N/A	2.1	Large
White Catfish	1.0	4.0	N/A	2.2	Large
White Perch	3.0	4.0	1	2.8	Large
Weakfish	1.0	1.5	2	1.4	Small
Gizzard Shad	Unknown	Unknown	N/A	Unknown	Unknown
Blue Crab	Unknown	Unknown	1	1 ^(d)	Small

(a) Overall Use and Utility Score: Low = < 1.5, Medium = ≥1.5 but ≤ 2.0, High = >2.0

(b) WOE Score: Small = <1.5; Small–Moderate = 1.5; Moderate = >1.5 but <2.0; Moderate–Large = 2.0; Large = >2.0

(c) N/A: Not applicable

(d) Impact assessment based only on coastal trends

1 H.1.3.2. Analysis of Strength of Connection

2 To determine whether the operation of the IP2 and IP3 cooling systems had the potential to
 3 influence RIS populations near the facility or within the lower Hudson River, the NRC staff
 4 conducted a strength-of-connection analysis. A summary of this analysis can be found at the
 5 beginning of Section H-3; detailed information on the analysis is presented in Appendix I. The
 6 strength-of-connection analysis assumes the IP2 and IP3 cooling systems can affect aquatic
 7 resources directly through impingement or entrainment and indirectly by impinging and
 8 entraining potential food (prey). By comparing the rank order of RIS caught in the river to the
 9 order observed in impingement and entrainment samples, it is possible to evaluate how efficient
 10 the IP2 and IP3 cooling systems are at removing RIS from the river (e.g., how strongly it is
 11 connected to the RIS of interest). The results of this analysis are presented in Table H-16 and
 12 show that a high strength of connection was observed for only two species (bluefish and striped
 13 bass). For those species, the IP2 and IP3 cooling systems were removing either the species or
 14 its prey at levels that were proportionally higher than those observed in the river studies. This
 15 suggests that there is strong evidence that the operation of the cooling systems is affecting
 16 these species. For the remaining RIS, the strength of connection ranged from low (minimal
 17 evidence of connection) to medium (some evidence of connection). The strength of connection
 18 was unknown for five species (Atlantic menhaden, Atlantic and shortnose sturgeon, gizzard
 19 shad, and blue crab, because of a lack of available data (Table H-16).

20 **Table H-16 Weight of Evidence for the Strength-of-Connection Line of Evidence**

Measurement	Impingement		Entrainment		WOE Score ^b	Strength of Connection
	RIS	Prey	RIS	Prey		
Use and Utility^a	1.9	2.0	1.6	2.1		
Alewife	2 ^c	1	2	1	1.5	Low to Medium
Bay Anchovy	2	1	2	1	1.5	Low to Medium
American Shad	2	1	2	1	1.5	Low to Medium
Bluefish	4	2	2	2	2.5	High
Hogchoker	4	1	2	1	2.0	Medium to High
Atlantic Menhaden	Unknown	1	Unknown	1	Unknown	Unknown
Blueback Herring	2	1	2	1	1.5	Low to Medium
Rainbow Smelt	2	1	4	1	1.9	Medium
Shortnose Sturgeon	Unknown	1	Unknown	1	Unknown	Unknown
Spottail Shiner	1	2	1	2	1.5	Low to Medium
Atlantic Sturgeon	Unknown	1	Unknown	1	Unknown	Unknown
Striped Bass	2	4	2	2	2.5	High

1

Table H-16 (continued)

Measurement	Impingement		Entrainment		WOE Score ^b	Strength of Connection
	RIS	Prey	RIS	Prey		
Atlantic Tomcod	2	1	2	1	1.5	Low to Medium
White Catfish	2	1	2	1	1.5	Low to Medium
White Perch	2	2	2	2	2.0	Medium to High
Weakfish	2	2	2	2	2.0	Medium to High
Gizzard Shad	Unknown	1	Unknown	1	Unknown	Unknown
Blue Crab	Unknown	1	Unknown	1	Unknown	Unknown

(a) Overall Use and Utility Score: Low = <1.5, Medium = ≥1.5 but ≤2.0, High = >2.0

(b) WOE Score: Low = <1.5; Low–Medium = 1.5; Medium = >1.5 but <2.0; Medium–High = 2.0; High = >2.0

(c) 1 indicates a low strength of connection, 2 indicates a medium potential, and 4 indicates a high potential

2

H.1.3.3. Impingement and Entrainment Impact Summary

3 The final integration of population-level and strength-of-connection LOE is presented in
4 Table H-17. This table shows the final conclusions for both LOE—population trends and
5 strength of connection. Assignment of an NRC level of impact (small, moderate, or large)
6 requires information on both a measurable response in the RIS population and clear evidence
7 that the RIS is influenced by the operation of the IP2 and IP3 cooling systems. Thus, when the
8 strength of connection is low, it is not possible to assign an impact level greater than small,
9 because of little evidence that a relationship between the cooling system and RIS exists.
10 Conversely, for an RIS with a high strength of connection to the IP2 and IP3 cooling system
11 operation but evidence of no population decline, the final determination must be small.

12 Based on the final WOE assessment, a small potential for adverse impacts was predicted for
13 two species (striped bass and weakfish), because there was no evidence of a population
14 decline, even though the strength of connection was medium or high. A small-to-moderate
15 impact was predicted for seven species (alewife, bay anchovy, American shad, blueback
16 herring, spottail shiner, Atlantic tomcod, and white catfish). A moderate impact was predicted
17 for rainbow smelt, and a moderate-to-large impact level was predicted for the hogchoker and
18 white perch. A large impact level was predicted for only one species, the bluefish, based on
19 observed population declines and an apparent high strength of connection to the IP2 and IP3
20 cooling systems. The level of impact could not be restricted to less than the full range of from
21 small to large for Atlantic menhaden, Atlantic and shortnose sturgeon, gizzard shad, and blue
22 crab, because of a lack of data.

1 **Table H-17 Impingement and Entrainment Impact Summary for Hudson River RIS**

Species	Population Line of Evidence	Strength of Connection Line of Evidence	Impacts of IP2 and 3 Cooling Systems on Aquatic Resources
Alewife	Large	Low to Medium	Small to Moderate
Bay Anchovy	Moderate	Low to Medium	Small to Moderate
American Shad	Large	Low to Medium	Small to Moderate
Bluefish	Large	High	Large
Hogchoker	Large	Medium to High	Moderate to Large
Atlantic Menhaden	Moderate to Large	Unknown ^(b)	Unknown ^(c)
Blueback Herring	Large	Low to Medium	Small to Moderate
Rainbow Smelt	Large	Medium	Moderate
Shortnose Sturgeon	Unknown ^(a)	Unknown ^(b)	Unknown ^(c)
Spottail Shiner	Large	Low to Medium	Small to Moderate
Atlantic Sturgeon	Large	Unknown ^(b)	Unknown ^(c)
Striped Bass	Small	High	Small
Atlantic Tomcod	Large	Low to Medium	Small to Moderate
White Catfish	Large	Low to Medium	Small to Moderate
White Perch	Large	Medium to High	Moderate to Large
Weakfish	Small	Medium to High	Small
Gizzard Shad	Unknown ^(a)	Unknown ^(b)	Unknown ^(c)
Blue Crab	Small	Unknown ^(b)	Unknown ^(c)

(a) Population LOE could not be established using WOE; therefore, population LOE could range from small to large.

(b) Strength of connection could not be established using WOE; therefore, strength of connection could range from low to high.

(c) Conclusion of impact could not be established using WOE, therefore, impacts could range from small to large.

2 As described above, an impact determination of moderate, moderate to large, or large was
3 attributed to four species—bluefish, hogchoker, rainbow smelt, and white perch, which are
4 discussed below. What follows is a discussion of the analysis that supports this determination
5 and the potential implications of the small determination of impact for the striped bass, a species
6 believed to be in recovery, caused by fishing restrictions imposed in the mid-1980s.

7 Bluefish: Large Potential for Adverse Impact

8 The analysis of YOY bluefish population trends at IP2 and IP3 and the lower Hudson River,
9 using data from FJS and BSS studies and a recent assessment by the National Oceanic and
10 Atmospheric Administration (NOAA) for coastal trends, resulted in a determination of large
11 impact (Table H-15). For the IP2 and IP3 population assessment (Table H-13), the BSS density
12 metric and the FJS CPUE metric suggested a population decline that has persisted through
13 time. For these metrics, a significant negative slope was observed, based on segmented

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1 regression, and more than 40 percent of the observations were outside the defined level of
2 environmental noise (± 1 standard deviation from the mean of the first 5 years of data). Based
3 on the decision rules developed for population data, this was considered a large impact. The
4 only LOE inconsistent with this finding was the small impact associated with FJS density. This
5 LOE predicted a small population impact because there was not a significant negative slope and
6 only a small number of observations (7 percent) were outside the defined level of environmental
7 noise. The population assessment for the lower Hudson River (Table H-14) again showed
8 moderate and large impacts based on BSS and FJS CPUE evaluations and a small potential for
9 impact using the abundance index provided by the applicant. The latter conclusion was based
10 on nonsignificant slopes from the segmented regression and a small number of observations
11 outside the range of environmental noise. Coastal trend data provided by NOAA (Shepherd
12 2006) suggest that recreational catches have declined precipitously since the late 1980s. This
13 appears to be consistent with the population-level impact assessment for the Hudson River
14 conducted by the NRC staff.

15 Based on a comparison of FJS and BSS data with impingement and entrainment samples from
16 IP2 and IP3, the rank-order analyses suggest the cooling system is removing a disproportionate
17 number of bluefish from the Hudson River. Thus, the strength of connection for entrainment
18 and impingement was medium and high, respectively (Table H-16). Juvenile bluefish feed on a
19 variety of other fish, including bay anchovy, Atlantic silverside, striped bass, blueback herring,
20 Atlantic tomcod, and American shad. To evaluate the strength-of-connection LOE, bay anchovy
21 and Atlantic tomcod were assumed to be the primary prey. The rank order of these species in
22 impingement and entrainment samples suggested the cooling system was removing an equally
23 proportional number from the river relative to the proportion observed in the river near IP2 and
24 IP3 that could affect YOY bluefish.

25 Combining the two LOE, the NRC staff arrived at a large potential for adverse impact for
26 Hudson River bluefish from the operation of the IP2 and IP3 cooling systems. This assessment
27 is based, in part, on the losses of bluefish from impingement. Based on the work conducted by
28 Fletcher (1990) on field testing of the Ristroph screen system that was eventually installed at
29 IP2 and IP3 in the early 1990s, impingement survival of bluefish is probably similar to that
30 observed for striped bass (about 9 percent). Because studies to estimate impingement
31 mortality were not conducted after Ristroph screen installation, it is not possible to confirm the
32 assessments of Fletcher (1990). Thus, the staff's conclusion of impact for this species should
33 be considered a conservative assessment.

34 White Perch: Moderate-to-Large Potential for Adverse Impact

35 To assess population-level impacts to the white perch near IP2 and IP3 and for the lower
36 Hudson River, the NRC staff evaluated data from FJS and BSS river studies and coastal trends.
37 For the assessment of the Hudson River population near IP2 and IP3, an analysis of BSS
38 density and FJS CPUE data indicated a large potential for adverse impact (Table H-13). Both
39 metrics produced a significant negative slope using segmented regression analysis. The
40 percentage of observations outside the environmental noise was 70 percent for BSS density
41 and 56 percent for FJS CPUE (Appendix I). The population assessment for the lower Hudson
42 River (Table H-14) showed large impacts based on BSS and FJS CPUE evaluations and the
43 abundance index provided by the applicant. The strength of connection assessment
44 (Table H-16) for white perch indicated a medium-to-high degree of connection for all LOE
45 (impingement and entrainment of YOY, impingement and entrainment of perch prey). This

1 suggests that the IP2 and IP3 cooling systems are removing both YOY and perch prey items
2 (primarily bay anchovy) at levels that are equally proportional relative to their rank order in FJS
3 and BSS environmental samples near IP2 and IP3. Because there was a large potential for
4 adverse effects at the population level and a medium-to-high level of connection between the
5 resource and the IP2 and IP3 cooling systems, the NRC staff concluded that the overall impact
6 of the IP2 and IP3 cooling systems was moderate to large.

7 As described above, this assessment is based, in part, on the losses of white perch caused by
8 impingement and entrainment. Based on the work conducted by Fletcher (1990), impingement
9 survival of white perch was estimated to be 14 percent based on field-testing of the Ristroph
10 screen system that was eventually installed at IP2 and IP3 in the early 1990s. Work by EA
11 (1989) suggested entrainment mortality of white perch PYSL ranged from 30–92 percent
12 (Table H-5). Because studies to estimate impingement mortality were not conducted after the
13 Ristroph screen installation, it is not possible to confirm the assessments of Fletcher (1990).
14 Thus, the staff's conclusion of impact for this species should be considered a conservative
15 assessment.

16 Hogchoker: Moderate-to-Large Potential for Adverse Impact

17 Analysis of population data for YOY hogchoker near IP2 and IP3 (Table H-13) indicated a large
18 potential for adverse impact. River-segment BSS density data had a significant negative slope,
19 based on segmented regression and 78 percent of observations outside the defined level of
20 environmental noise (Appendix I). River-segment FJS density and CPUE data suggested a
21 moderate potential for adverse impact, based on the presence of a significant negative slope
22 from the segmented regression and less than 40 percent of the observations outside the defined
23 level of environmental noise (15 percent for both metrics). As described above, the
24 environmental noise was defined as (+/- 1 standard deviation from the mean of the first 5 years
25 of data). Trend analyses for the lower Hudson River produced a less pronounced effect in YOY
26 populations, resulting in a moderate potential for impact based on Hudson River studies
27 (Table H-14). Coastal trend data were not available.

28 The strength-of-connection analysis for hogchoker, using the rank order technique, indicated the
29 proportion impinged by the IP2 and IP3 cooling systems was higher than would be expected,
30 based on the densities observed in FJS and BSS studies (Table H-16). The proportion
31 entrained was estimated to be equally proportional to the rank order in FJS and BSS
32 environmental samples near IP2 and IP3. This resulted in an assessment of a medium-to-high
33 strength of connection to the IP2 and IP3 cooling systems. Because hogchokers feed primarily
34 on benthic invertebrates for which no sampling data are available, there was minimal evidence
35 to suggest a connection between hogchoker prey species and the IP2 and IP3 cooling systems.

36 In the final analyses, the NRC staff concluded that there was a moderate-to-large potential for
37 adverse impacts to the hogchoker from the operation of the IP2 and IP3 cooling systems. This
38 assessment is due, in part, to the losses of this species from impingement. Work by Fletcher
39 (1990) has suggested that impingement mortality for this species is approximately 13 percent
40 for the Ristroph screen system installed at IP2 and IP3 in the early 1990s. Because studies to
41 estimate impingement mortality were not conducted after Ristroph screen installation, it is not
42 possible to confirm the assessments of Fletcher (1990). Thus, the conclusion of impact for this
43 species should be considered a conservative assessment.

44 Rainbow Smelt: Moderate Potential for Adverse Impact

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1 Population data for areas near IP2 and IP3 (River Segment 4) and the lower Hudson River were
2 obtained from the FJS and BSS studies, using density and CPUE metrics and the abundance
3 index provided by the applicant. For the area of the river near the IP2 and IP3 facilities, NRC
4 analysis of FJS YOY data indicated a moderate (FJS density) and large (FJS CPUE) potential
5 for adverse impacts (Table H-13). The moderate impact was determined from a significant
6 negative slope from the segmented regression; however, less than 40 percent of the density
7 observations were outside the defined environmental noise. The large impact observed with the
8 FJS CPUE data was based on both a significant negative slope from the segmented regression
9 and 78 percent of the observations outside the defined level of environmental noise
10 (Appendix I). These findings are consistent with the disappearance of this species from the
11 lower Hudson River beginning in 1995 (Daniels et al. 2005) and the listing of rainbow smelt as a
12 Species of Concern by NOAA (2007). Evaluation of population trends for this species for the
13 lower Hudson River (Table H-14) suggests a large impact based on BSS CPUE and a small
14 impact based on the abundance index. Because the abundance index (derived for this species
15 from the FJS channel data) may be more heavily influenced by population trends from the river
16 segment near Poughkeepsie, because of a 1-to-2 times greater channel volume than other river
17 segments with relatively greater populations of smelt (IP2 and IP3 to Cornwall), the NRC staff
18 considers the CPUE metric to reflect the more biologically relevant result.

19 The staff finds the strength of connection between rainbow smelt and the IP2 and IP3 cooling
20 systems is moderate for impingement and high for entrainment (Table H-16). Based on a rank-
21 order comparison of catch statistics from FSS and BSS studies with entrainment sampling
22 results, the proportion of rainbow smelt early life stages entrained at IP2 and IP3 is higher than
23 would be expected from the catch statistics. YOY rainbow smelt feed on smaller fish but
24 primarily on copepods, small crustaceans, and benthic invertebrates; thus, a low connection
25 was determined for the impingement and entrainment of prey species. Because there is a large
26 potential for adverse population impacts, coupled with an overall medium strength of
27 connection, the NRC staff concluded that the impacts of the IP2 and IP3 cooling systems on this
28 species are moderate. As described above, this assessment is caused, in part, by losses
29 associated with impingement and entrainment. Fletcher (1990) does not report impingement
30 mortality (Table H-5). Entrainment survival estimates are not available for this species.
31 Because true impingement and entrainment mortality cannot be determined, the conclusion of
32 impact for this species should be considered a conservative assessment.

33 Striped Bass: Small Potential for Adverse Impact

34 As described in Section 2 of the main text, striped bass appear to spend extended periods in the
35 Hudson River. Based on concerns related to polychlorinated biphenyls (PCB) body burdens,
36 the Hudson River commercial fishery was closed in 1976 (CHGEC 1999). As a result of
37 commercial restrictions on harvesting supported by the Atlantic Striped Bass Conservation Act
38 (1984), the fishery was declared to be in full recovery by 1995 (ASMFC 2006), and abundance
39 levels have continued to increase in the Atlantic population. Although restrictions on both
40 commercial and recreational fisheries have been relaxed because of the recovery of the
41 population, the fisheries continue to be limited to State waters (within 3 nm of land), and the
42 New York State's commercial fishery remains completely closed. While commercial landings
43 have remained lower than the levels seen in the early 1970s, recreational landings have
44 increased and, in 2004, made up 72 percent of the total weight harvested from the Atlantic stock
45 (Shepherd 2006b).

1 Based on the above, one would expect that the population of YOY striped bass in the Hudson
2 River would have increased from 1995 to the present. Riverwide analysis of YOY population
3 trend data from FJS and BSS surveys (Table H-14 and Appendix I) indicate that YOY
4 populations have increased only slightly above the environmental noise within the last few years
5 of the studies and resulted in a small level of impact based on a WOE analysis. This trend is
6 not evident elsewhere along the Atlantic seaboard, where YOY striped bass populations have
7 increased since fishing restrictions were established (ASMFC 2006). Although the YOY
8 population trends in the Hudson River do not represent a moderate or large adverse impact, the
9 high strength of connection observed, caused by the impingement and entrainment of this
10 species, and the loss of its prey, suggests that the IP2 and IP3 cooling systems may be
11 inhibiting or limiting the abundance of YOY bass in the Hudson River, despite the apparent
12 increase in adults elsewhere in the region.

13 **H.2 Cumulative Impacts on Aquatic Resources**

14 In addition to the potential impacts associated with the IP2 and IP3 CWIS described in
15 Section H.3, it is possible that other natural or anthropogenic factors unrelated to the relicensing
16 of Indian Point could influence the aquatic resources of the lower Hudson River. In this section,
17 the NRC staff discusses and evaluates potential stressors that could contribute to the total
18 impacts to the aquatic resources during the license renewal period. Potential stressors include
19 other Hudson River facilities that withdraw water, the presence of zebra mussels in the
20 freshwater portions of the river, fishing pressure associated with commercially and recreationally
21 important species, habitat loss, interactions with other invasive species, and impacts associated
22 with changes to water and sediment quality caused by short-term anthropogenic activities or
23 long-term influences associated with global climate change.

24 Population trends should, in theory, reflect cumulative effects of all impacts on the population.
25 Impacts attributable to the Indian Point cooling systems have already been analyzed. This
26 section of the appendix concentrates on effects associated with the invasion of zebra mussels,
27 using a WOE approach, as discussed in Section H.3. A qualitative assessment of effects
28 associated with fishing pressure was also explored.

29 The NRC staff evaluated potential population-level impacts to RIS for the lower Hudson River
30 (RKM 0–245, RM 0–152) (Figure 2-6 in the main text) in Section H.3.1. Riverwide data used in
31 the analysis included the abundance index provided by the applicant and CPUE data obtained
32 from FJS, BSS, and LRS studies. The results of this analysis were presented in Table H-14 and
33 showed a large potential for adverse impacts for 7 of the 18 RIS caused by the CWIS.

34 An analysis conducted on behalf of Entergy (Barnthouse et al. 2008) used environmental risk-
35 assessment techniques to evaluate the potential for adverse impacts to Hudson River RIS from
36 a variety of natural and anthropogenic stressors, including the operation of the IP2 and IP3
37 CWIS, fishing pressure, the presence of zebra mussels, predation by striped bass, and water
38 temperature. Barnthouse et al. (2008) concluded that the Indian Point CWIS had no effect on
39 all seven of the RIS included in their study. Instead, the authors hypothesized that observed
40 population declines in selected RIS were influenced by striped bass predation, mortality
41 imposed by fishing, water temperature, and zebra mussel invasion.

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1 Strayer et al. (2004) concluded that the abundance of juvenile American shad and white perch
2 declined following the zebra mussel invasion. Further, the authors found that juvenile alewife
3 abundance increased following the zebra mussel invasion. The NRC Staff's analysis follows.

4 Zebra Mussels

5 To evaluate the effects of zebra mussels, the NRC staff applied a WOE approach. It is
6 important to note, however, that the Hudson River monitoring surveys used in these analyses
7 were designed to evaluate the population abundance of selected species. They were not
8 designed to evaluate competing and confounded factors affecting population abundance.
9 Coincident measures of zebra mussel abundance through time, water quality, changes to
10 thermal discharges, changes in fishing pressure, and predator-prey interactions would be a
11 minimal requirement to begin to rank stressor effects on each population. These measures are
12 not available, and so the remaining analyses should be viewed as the development of
13 hypotheses of potential impacts associated with zebra mussels.

14 The NRC staff analyzed the impact of zebra mussels on RIS populations that were caught in
15 River Segment 12 (Albany). The NRC staff analyzed the 75th percentile of the weekly FJS and
16 BSS density and CPUE data from this river segment and used this information to evaluate the
17 population trend LOE for these species. Data for white perch, blueback herring, alewife,
18 American shad, white catfish, spottail shiner, and striped bass were used in the analysis
19 because all have high densities of YOY within this region. Only weeks 27 to 43 were used in
20 the analysis for the FJS and weeks 22 to 43 for the BSS survey so that most years contained
21 observations from the months July through October and June through October for each survey,
22 respectively. Effects associated with changes in gear type for the FJS (1985) were also
23 considered. Details of the analysis are presented in Appendix I.

24 Simple linear regression and segmented regression with a single join point were fit to the annual
25 measure of abundance for each RIS, as described in Section H.3. If the estimated slope from
26 the linear regression or either slope from the segmented regression, whichever was determined
27 to be the better fitting model, was significantly less than zero, then an adverse population impact
28 was considered detected. An assessment of adverse impact was only supported if more than
29 40 percent of the standardized observations were outside the bounds of ± 1 standard deviation.

30 The strength of connection to a potential impact associated with a zebra mussel invasion was
31 determined by the temporality of the observed change in population trends and the year
32 associated with invasion of the zebra mussels in the Hudson River (1991) based on work by
33 Strayer et al. (2004). For any stressor to be considered a potential cause of an impact, the
34 stress must occur before the response (Adams 2003). For the assessment of the observed
35 response, the year associated with a change in population trend was estimated by the join point
36 from the segmented regression or was considered pre-1991, if the linear model was the better
37 fit to the density and CPUE data collected from Region 12 (Albany area). If the join point was
38 before 1991, then the strength of connection was defined as low. If the segmented regression
39 did not converge or was not the better fitting model, the linear regression was used to suggest
40 that there was no change in slope following invasion; thus, the strength of connection was low.
41 If the join point from the segmented regression was after 1991, then the strength of connection
42 was defined as high.

43 Based on the WOE analysis (see Appendix I for details) and the decision rules presented in
44 Section H.3, the NRC staff determined potential moderate-to-large population impacts within

1 River Segment 12 (Albany) were possible for many RIS, including American shad, blueback
 2 herring, spottail shiner, white catfish, and white perch (Table H-18). A small potential for
 3 adverse population impacts was predicted for alewife and striped bass. The data tables for
 4 which the results of the strength of connection between adverse population impacts and the
 5 zebra mussel invasion are drawn are presented in Appendix I. None of the RIS evaluated had a
 6 statistically significant increase in population abundance in River Segment 12. The strength-of-
 7 connection analysis assumes that zebra mussels can affect aquatic resources indirectly by
 8 reducing potential food resources (prey) or by altering habitat (e.g. shelter). The results of the
 9 strength-of-connection analysis are presented in Table H-19 and show that a medium-to-high
 10 strength of connection was observed for all fish except white catfish.

11 **Table H-18 Population Trends Postinvasion of Zebra Mussels in 1991 for Density and**
 12 **CPUE of YOY Collected from River Segment 12 (Albany)**

Species	FJS Density	BSS Density	FJS CPUE	WOE	Hypothesized Level of Impact to Population Trend
Alewife	1	2	1	1.3	Small
American Shad	2	4	2	2.7	Large
Blueback Herring	2	2	2	2.0	Moderate to Large
Spottail Shiner	2	1	2	1.7	Moderate
Striped Bass	1	1	1	1.0	Small
White Catfish	1	N/A	4	2.5	Large
White Perch	2	2	2	2.0	Moderate to Large

N/A is not applicable; YOY are not present in samples.

13 **Table H-19 Strength of Connection between Population Trends and Zebra Mussel**
 14 **Invasion**

Species	FJS Density	BSS Density	FJS CPUE	WOE	Hypothesized Strength of Connection
Alewife	1	1	4	2.0	Medium to High
American Shad	4	1	1	2.0	Medium to High
Blueback Herring	1	4	1	2.0	Medium to High
Spottail Shiner	4	1	1	2.0	Medium to High
Striped Bass	1	1	4	2.0	Medium to High
White Catfish	1	N/A	1	1.0	Low
White Perch	1	4	1	2.0	Medium to High

N/A is not applicable; YOY are not present in samples.

15 The final integration of population-level and strength-of-connection LOE is presented in
 16 Table H-20. This table shows the final conclusions for both LOE—population trends and
 17 strength of connection. For an adverse impact to occur, there needs to be a measurable
 18 response in the RIS population and clear evidence that the RIS is influenced by the zebra
 19 mussel invasion. When the strength of connection is low, it is not possible to arrive at an impact
 20 level greater than small, because there is little evidence that a relationship between the mussel
 21 invasion and population trends exists. Conversely, for an RIS with a high strength of connection

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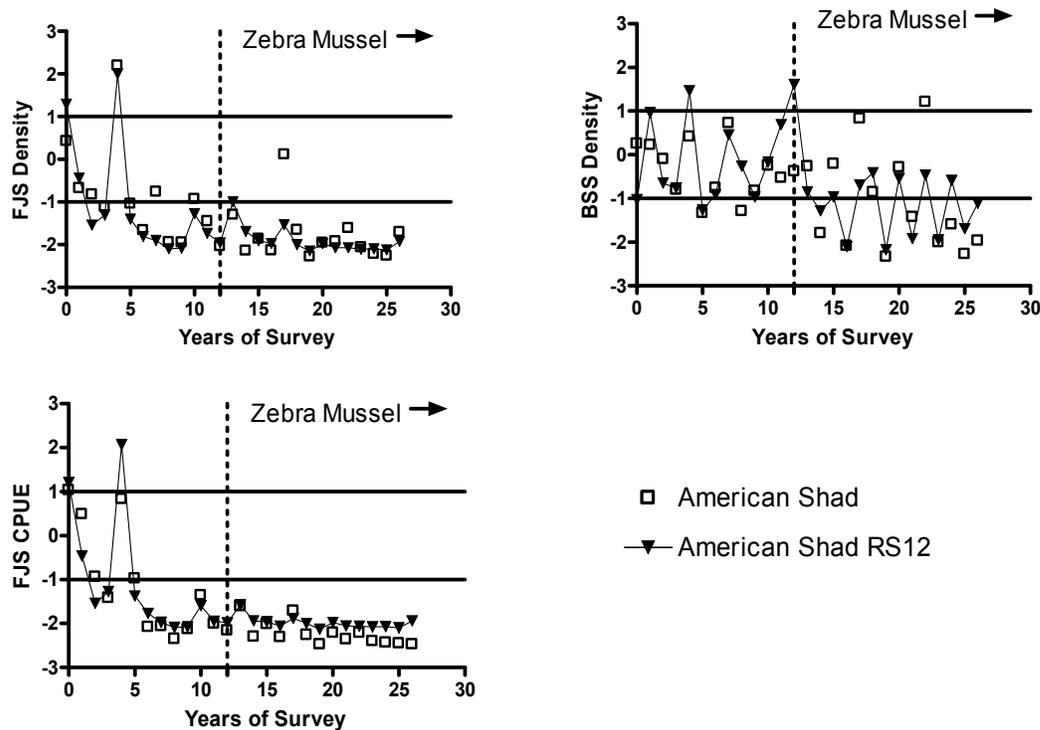
1 to the zebra mussel invasion but evidence of no population decline, the final determination must
2 be small.

3 Based on the final WOE assessment, a small potential for adverse impacts from the zebra
4 mussel invasion was predicted for three species (alewife, striped bass, and white catfish).
5 Alewife and striped bass had no evidence of a population decline, even though the strength of
6 connection was medium to high, while white catfish displayed a population decline but had a low
7 strength of connection. A moderate or moderate-to-large impact was predicted for the
8 remaining species (American shad, blueback herring, spottail shiner, and white perch).

9 **Table H-20 Weight of Evidence Associated with Potential Negative Impacts on**
10 **Population Trends from Zebra Mussel Invasion**

Species	Hypothesized Level of Impact to Population Trends	Hypothesized Strength of Connection	Hypothesized Impact to Population Trends from Zebra Mussel
Alewife	Small	Medium to High	Small
American Shad	Large	Medium to High	Moderate to Large
Blueback Herring	Moderate to Large	Medium to High	Moderate to Large
Spottail Shiner	Moderate	Medium to High	Moderate
Striped Bass	Small	Medium to High	Small
White Catfish	Large	Low	Small
White Perch	Moderate to Large	Medium to High	Moderate to Large

11 The NRC staff analysis predicted a moderate-to-large potential adverse impact on the decline in
12 American shad associated with the zebra mussel invasion. The NRC staff WOE analysis was
13 based on the post-1985 FJS data, since the catch efficiency of the beam trawl for YOY
14 American shad was less than the epibenthic sled. Based on the riverwide abundance index,
15 Strayer et al. (2004) also concluded that the abundance of American shad was affected by
16 zebra mussels. Much of the decline in population abundance, however, was observed before
17 the mussel invasion (Figure H-9). Unlike both the NRC staff and Strayer et al. (2004),
18 Barnthouse et al. (2008) rejected the hypothesis that zebra mussels were a potential cause of
19 the decline.

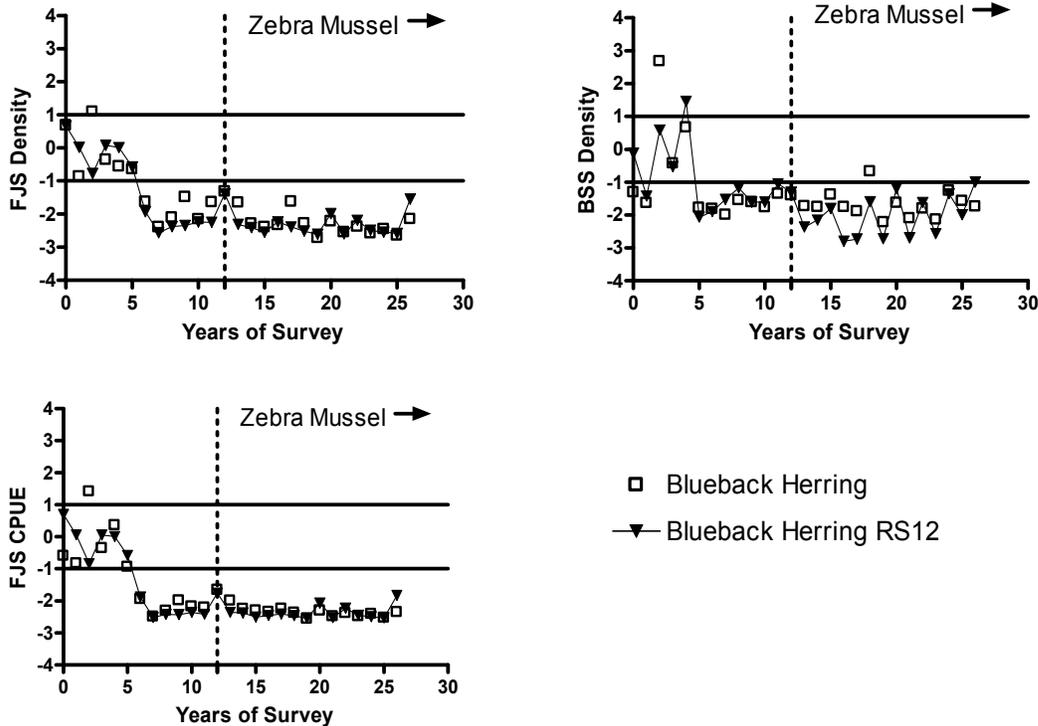


1 Source: Normandeau 2008

2 **Figure H-9 American shad standardized population trend data for the Riverwide and**
 3 **River Segment 12 (RS12), Fall Juvenile, and Beach Seine Surveys (Normandeau 2008)**

4 The NRC staff analysis predicted a moderate-to-large potential adverse impact to juvenile
 5 blueback herring abundance associated with the zebra mussel invasion. Again, unlike both the
 6 NRC staff and Strayer et al. (2004), Barnthouse et al. (2008) rejected the hypothesis that zebra
 7 mussels were a potential cause in the decline of blueback herring. The relative population
 8 response between the effect of the zebra mussel invasion and the combined riverwide impacts
 9 are presented in Figure H-10. Population trend data for River Segment 12 tend to be slightly
 10 below the riverwide observations and, for the BSS density, suggest a further decrease following
 11 the mussel invasion. This suggests to NRC Staff that the relative effects of the zebra mussel
 12 invasion may be slightly greater than the riverwide effects.

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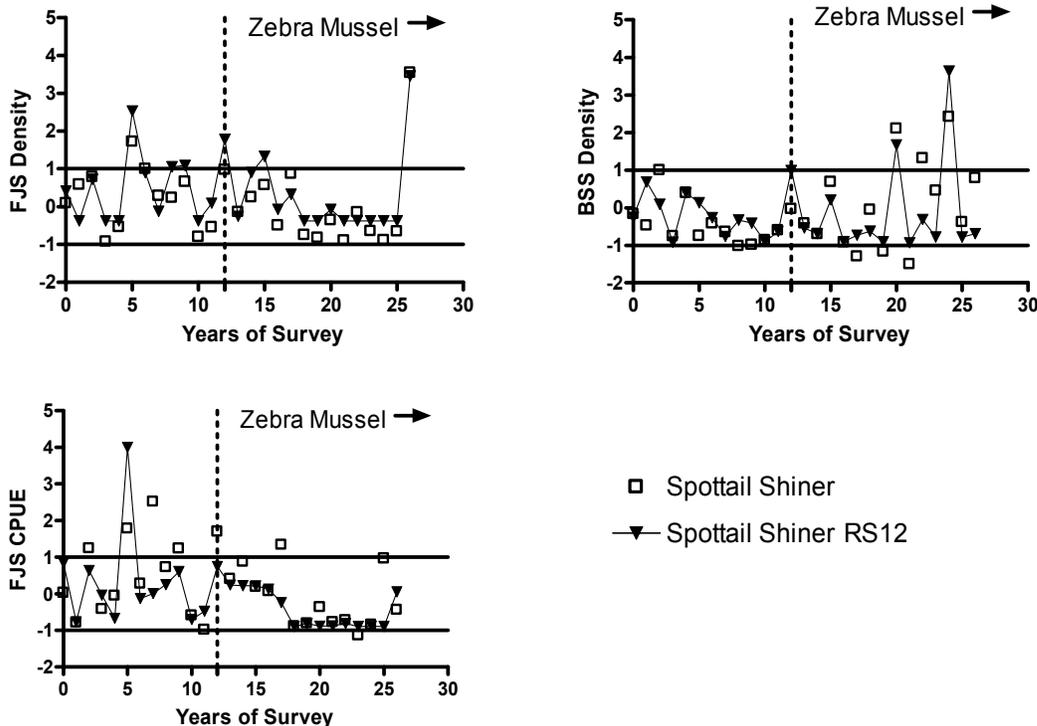


1 Source: Normandeau 2008

2 **Figure H-10 Blueback herring standardized population trend data for the Riverwide and**
 3 **River Segment 12 (RS12), Fall Juvenile, and Beach Seine Surveys**

4 The NRC staff analysis predicted a moderate potential adverse impact to juvenile spottail shiner
 5 abundance associated with the zebra mussel invasion. Strayer et al. (2004) concluded that
 6 there was no change in spottail shiner abundance, and Barnthouse et al. (2008) did not
 7 evaluate spottail shiner population trends. The relative population response between the effect
 8 of the zebra mussel invasion and the combined riverwide impacts is presented in Figure H-11.

9 The impact on white perch population trends from zebra mussels was estimated to be moderate
 10 to large. Figure H-12 presents white perch riverwide density and CPUE for River Segment 12.
 11 White perch population trends obtained from the FJS were not affected by gear changes (year 6
 12 of the survey) and yet, an early decline in fish density and CPUE in River Segment 12 can be
 13 observed from both the FJS and the BSS. For the BSS density, riverwide and each river-
 14 segment population trend overlap. Overall, the riverwide and River Segment 12 data overlap
 15 often and show a decline from the early population abundance. This suggests to NRC Staff that
 16 a combination of stressors acting on the riverwide population is associated with a relatively
 17 greater adverse impact than the impact from the zebra mussel invasion.



1 Source: Normandeau 2008

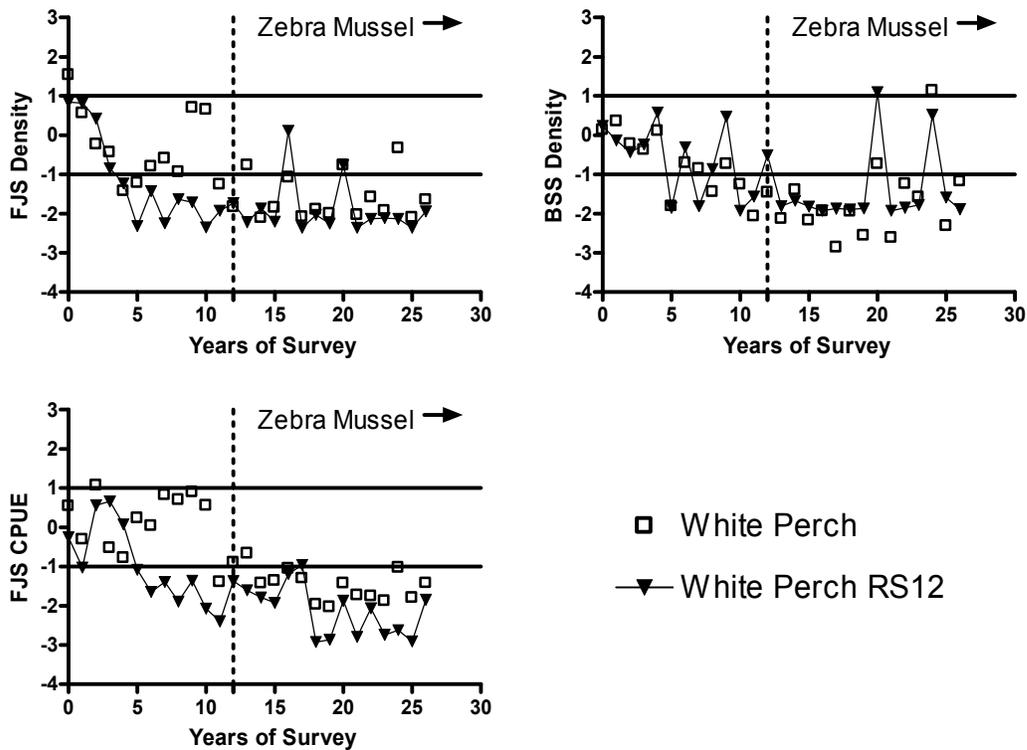
2 **Figure H-11 Spottail Shiner standardized population trend data for the Riverwide and**
 3 **River Segment 12 (RS12), Fall Juvenile, and Beach Seine Surveys**

4 Water Quality and Climate Change

5 *Sewage Treatment System Upgrades*

As discussed in Section 2.2.5, the increasing populations along the river and within the watershed resulted in an increased discharge of sewage into the Hudson River and an overall degradation of water quality. Beginning in 1906 with the creation of the Metropolitan Sewerage Commission of New York, a series of studies were conducted to formulate plans to improve water quality within the region (Brosnan and O'Shea 1996). In the freshwater portion of the lower Hudson River, the most dramatic improvements in wastewater treatment were made between 1974 and 1985, resulting in a decrease in the discharge of suspended solids by 56 percent. Improvements in the brackish portion of the river were even greater. In the New York City area, the construction and upgrading of water treatment plants reduced the discharge of untreated wastewater from 450 million gallons per day (mgd) in 1970 to less than 5 mgd in 1988 (CHGEC 1999). The discharge of raw sewage was further reduced between 1989 and 1993, caused by the implementation of additional treatment programs (Brosnan and O'Shea 1996).

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1 Source: Normandeau 2008

2 **Figure H-12 White perch standardized population trend data for the Riverwide and River**
 3 **Segment 12 (RS12), Fall Juvenile, and Beach Seine Surveys**

4 During the 1990s, three municipal treatment plants located in the lower Hudson River converted
 5 to full secondary treatment—North River (1991), North Bergen MUA-Woodcliff (1991), and
 6 North Hudson Sewerage Authority West New York (1992). In addition, the North Hudson
 7 Sewerage Authority-Hoboken plant, located on the western bank of the Hudson River opposite
 8 Manhattan Island, went to full secondary treatment in 1994 (CHGEC 1999). Upgrades to the
 9 Yonkers Joint Treatment Plant in 1988 and the Rockland County Sewer District #1 in 1989 also
 10 resulted in improvements in water quality in the brackish portion of the Hudson River. In the
 11 mid-1990s, the Rockland County Sewer District #1 and Orangetown Sewer District plants were
 12 also upgraded. (CHGEC 1999)

13 *Trends in Dissolved Oxygen*

14 A review of long-term trends in dissolved oxygen (DO) and total coliform bacteria concentrations
 15 by Brosnan and O'Shea (1996) has shown that improvements to water treatment facilities have
 16 improved water quality. The authors noted that, between the 1970s and 1990s, DO
 17 concentrations in the Hudson River generally increased. The increases coincided with the

1 upgrading of the 170 million mgd North River plant to secondary treatment in the spring of 1991.
2 DO, expressed as the average percent saturation, exceeded 80 percent in surface waters and
3 60 percent in bottom waters during summer in the early 1990s. DO minimums also increased
4 from less than 1.5 milligrams per liter (mg/L) in the early 1970s to more than 3.0 mg/L in the
5 1990s, and the duration of low DO (hypoxia) events was also reduced (Brosnan and O'Shea
6 1996). Similar trends showing improvements in DO were noted by Abood et al. (2006) from an
7 examination of two long-term data sets collected by NYCDEP in the lower reaches of the river.
8 Brosnan and O'Shea (1996) also noted a strong decline in total coliform bacteria concentrations
9 that began in the 1970s and continued into the 1990s, coinciding with sewage treatment plant
10 upgrades.

11 *Chemical Contaminants*

12 As discussed in Section 2.2.5, the lower Hudson River currently appears on the EPA 303-d list
13 as an impaired waterway, because of the presence of PCBs and the need for fishing restrictions
14 (EPA 2004). Contamination of the sediment, water, and biota of the Hudson River estuary
15 resulted from the manufacture of capacitors and other electronic equipment in the towns of Fort
16 Edward and Hudson Falls, New York, from the 1940s to the 1970s. Investigations conducted by
17 the EPA and others over the past 25 years have delineated the extent and magnitude of
18 contamination, and numerous cleanup plans have been devised and implemented. Recently,
19 EPA Region 2 released a "Fact Sheet" describing a remedial dredging program designed to
20 remove over 1.5 million cubic yards of contaminated sediment covering 400 acres, extending
21 from the Fort Edwards Dam to the Federal Dam at Troy (EPA 2008). Concentrations of PCBs in
22 river sediments below the Troy Dam are much lower. Work summarized by Steinberg et al.
23 (2004) suggests the sediment-bound concentrations of PCBs and dioxins have generally
24 declined in the lower Hudson River since the 1970s and are now at or below ER-M limits.

25 Chemical contaminants present in the tissues of fish in the Hudson River estuary have been
26 extensively studied for many years and resulted in the posting of consumption advisories by the
27 States of New York and New Jersey. Current information summarized in Steinberg et al. (2004)
28 suggests that many recreationally and important fish and shellfish still contain levels of metals,
29 pesticides, PCBs, and dioxins above the Food and Drug Administration (FDA) guidance values
30 for commercial sales. Tissue concentrations of mercury were of concern only for striped bass;
31 other fish, and shellfish, including flounder, perch, eels, blue crab, and lobster, contained
32 concentrations of mercury in their tissues well below the FDA limit of 2 parts per million (ppm)
33 for commercial sale. Concentrations of chlordane in white perch, American eels, and the
34 hepatopancreas (green gland) of blue crabs were also above FDA guidelines. DDT
35 concentrations in the tissues of most recreationally and commercially valuable fish and shellfish
36 in the estuary were below the 2 ppm FDA limit with the exception of American eel.
37 Unfortunately, the concentrations of 2,3,7,8-TCDD (a dioxin compound) and total PCBs in fish
38 and shellfish tissues were often above FDA guidance limits, suggesting fish and shellfish
39 obtained from some locations within the estuary should be eaten in moderation or not at all.

40 The results described above suggest that, although a wide variety of contaminants still exist in
41 sediment, water, and biota in the lower Hudson River, the overall levels appear to be decreasing
42 because of the imposition of strict discharge controls by Federal and State regulatory agencies
43 and improvements in wastewater treatment. These trends appear to be confirmed, based on
44 the results of a NOAA-sponsored toxicological evaluation of the estuary in 1991, as described in
45 Wolfe et al. (1996). There is continuing concern, however, that legacy PCB waste may still

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1 pose a threat to invertebrate, fish, and human populations. A study by Achman et al. (1996)
2 suggested that PCB concentrations in sediment measured at several locations in the lower
3 Hudson River from the mouth to Haverstraw Bay are above equilibrium with overlying water and
4 may be available for transfer within the food web. The implications of this study are that, in
5 some locations within the lower river, the sediments could act as a source of PCBs and pose a
6 long-term chronic threat. The authors concluded, however, that fate and transport modeling
7 would be required to fully understand the implications of this potential contaminant source.

8 Based on the above information, it appears that the overall water quality in the lower Hudson
9 River is generally improving, although the presence of legacy contaminants still presents a
10 concern to regulatory agencies. Based on the information reviewed, the NRC staff concludes
11 that the cumulative impact of water quality on RIS should decline if efforts continue to address
12 point- and non-point pollution and legacy waste removal and treatment.

13 *Climate Change*

14 The potential cumulative effects of climate change on Hudson River RIS could result in a variety
15 of fundamental changes to watersheds that would affect aquatic resources. The environmental
16 factors of significance identified by Kennedy (1990) that would affect estuarine systems included
17 sea level rise, temperature increase, salinity changes, and wind and water circulation changes.
18 Changes in sea level could result in dramatic effects on nearshore communities, including the
19 reduction or redistribution of submerged aquatic vegetation, changes to marsh communities,
20 and influences to wetland areas adjacent to nearshore systems. Water temperature increases
21 could affect spawning patterns or success, or influence the distribution of key RIS when cold-
22 water species move poleward while warm-water species become established in new habitats.
23 Changes to river salinity and the presence of the salt front could influence the spawning and
24 distribution of RIS, and the range of exotic or nuisance species. Fundamental changes in
25 precipitation could profoundly influence water circulation and change the nature of
26 allochthonous and autochthonous inputs to the system. This could result in fundamental
27 changes to primary production and influence the estuarine food web on many levels. Kennedy
28 (1990) also concluded that some fisheries and aquaculture enterprises and communities might
29 benefit from the results of climate change, while others would suffer extensive economic losses
30 that could lead to population shifts.

31 The extent and magnitude of climate change impacts to the aquatic resources of the lower
32 Hudson River are an important component of the cumulative assessment analyses. This
33 assessment is beyond the scope of this review and will need to be explored and evaluated by
34 others. A minimal evaluation of shifts in the distribution of RIS standardized mean density for
35 1979 to 1983 and for 2001 to 2005 was explored in Appendix H. Several RIS (striped bass,
36 alewife, spottail shiner, hogchoker, and white perch) may be shifting their distribution slightly
37 upriver while bay anchovies may be shifting their distribution seaward. This analysis attempts
38 only to explore hypotheses about potential redistribution of fish; definitive statements cannot be
39 made because of data limitations. Thus, the NRC staff has concluded that the cumulative
40 effects of climate change cannot be determined.

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Appendix I

Statistical Analyses Conducted for Chapter 4 Aquatic Resources and Appendix H

Appendix I

Statistical Analyses Conducted for Chapter 4 Aquatic Resources and Appendix H

Supporting analyses and data tables are presented by section as referenced in the Aquatic Resources sections of Appendix H. Major section headings are maintained to allow mapping between appendices. This appendix includes supporting information for the U.S. Nuclear Regulatory Commission (NRC) staff assessment of impingement impacts (Appendix H, Section 1.3), the assessment of population trends (Appendix H, Section 3.1), the analysis of strength of connection (Appendix H, Section 3.2), and the cumulative impacts on aquatic resources (Appendix H, Section 4).

I.1 Impingement of Fish and Shellfish

I.1.1. NRC Staff Assessment of Impingement Impacts

Staff conducted simple linear regression over years on the number of days of operation and the combined volume of water discharged for Indian Point Nuclear Generating Station Unit Nos. 2 and 3 (IP2 and IP3) between 1975 and 1990 (Table I-1). Days of operation from 1975 to 1981 were obtained from impingement data provided by Entergy Nuclear Operations, Inc. (the applicant) (Entergy 2007b). Days of operation for the remaining years and the combined volume discharged were compiled from the annual reports for the Hudson River Ecological Study in the area of IP2 and IP3 (Con Edison 1980; Con Edison 1984, 1986–1991). The number of days of operation at IP2 and IP3 had a general increase of 8 days per year for IP2 and 5 days per year for IP3 (linear regression, $p = 0.004$ and $p = 0.286$ for IP2 and IP3, respectively). The total volume circulated at IP2 and IP3 combined also had a general increase of 26.2×10^6 cubic meters (m^3 ; linear regression, $p = 0.164$).

1 **Table I-1 Number of Days of Operation at IP2 and IP3 and Combined Discharge**

Year	Days of Operation		Combined Volume (millions m ³)
	IP2	IP3	
1975	307		1119
1976	176	239	1329
1977	265	259	2159
1978	234	270	2030
1979	246	227	1935
1980	263	261	1822
1981	276	297	1617
1982	304	135	1273
1983	340	48	1286
1984	238	306	1710
1985	365	266	1977
1986	285	357	1892
1987	346	265	1815
1988	357	352	2322
1989	302	301	1748
1990	365	272	1902

2 Source: Days of Operation: Entergy 2007b; Con Edison 1984, 1986–1991
3 Volume Discharged: Con Edison 1980, 1991

4 **I.2 Combine Effects of Impingement and Entrainment**

5 **I.2.1. Assessment of Population Trends**

6 Studies Used To Evaluate Population Trends

7 The Hudson River utilities conducted the Fall Juvenile Shoals Survey (FSS) from 1974 to 2005
8 and targeted juveniles, yearlings, and older fish. Between 1974 and 1984, a 1-square meter
9 (m²) Tucker trawl with a 3-millimeter (mm) mesh was used to sample the channel and a 1-m²
10 epibenthic sled with a 3-mm mesh was used to sample the bottom and shoal strata. From 1985
11 to 2005, a 3-meter (m) beam trawl with a 38-mm mesh on all but the cod-end replaced the
12 epibenthic sled. Size selectivity and relative catch efficiency between gear types was tested
13 during nocturnal samplings between August and September 1984. Bay anchovy, American
14 shad, and weakfish were sampled with less efficiency with the beam trawl (Table I-2) (NYPA
15 1986). Further, the number and volume of samples in the bottom and shoal strata were
16 generally greater than 2.5 times those in the channel (Table I-3).

17 The Beach Seine Survey (BSS) was conducted from 1974 to 2005 and targeted young of the
18 year (YOY) and older fish in the shore-zone (extending from the shore to a depth of 10 feet (ft)).
19 Samples were collected from April to December but generally every other week from mid-June
20 through early October (Table I-4). For all years, a 100-ft bag beach seine was used to collect
21 100 samples during each sampling period from beaches selected according to a stratified

1 random design. Even though the catch-per-unit-effort (CPUE) for representative important
 2 species (RIS) differed in magnitude between the BSS and FSS (Table I-5), standardizing the
 3 data (observed CPUE minus the mean CPUE and divided by the standard deviation across
 4 years) allowed a comparison of the shape of the data over time. Thus, NRC staff conducted a
 5 visual comparison of the standardized BSS and FSS data determine if a shift in gear types was
 6 affecting the observed FSS trend. When the standardized FSS data were consistently less than
 7 the standardized BSS data after 1985, the pre- and post-1985 data were evaluated separately.

8 **Table I-2 Catch by Gear or Gear Efficiency (catch per 1000 m²)**
 9 **from August to September 1984**

Species	Young of the Year				Yearling and Older			
	3-m Beam Trawl (n = 257)		1-m ² Epibenthic Sled (n = 322)		3-m Beam Trawl (n = 257)		1-m ² Epibenthic Sled (n = 322)	
	Mean Density	Standard Error	Mean Density	Standard Error	Mean Density	Standard Error	Mean Density	Standard Error
Bay								
Anchovy	29.0	3.0	1261	61.9	0.6	0.1	11.2	1.2
American								
Shad	0.4	0.1	4.4	3.0	0.0	0.0	0.0	0.0
Bluefish	0.1	<0.1	0.3	0.1	0.0	0.0	0.0	0.0
Hogchoker	0.1	<0.1	0.1	<0.1	5.4	0.4	1.5	0.2
Striped								
Bass	13.3	0.8	3.4	0.4	0.2	<0.1	0.1	<0.1
White								
Catfish	0.0	0.0	0.0	0.0	1.6	0.2	1.0	0.1
White								
Perch	1.3	0.2	0.1	<0.1	22.1	1.6	6.4	1.3
Weakfish	0.7	0.1	1.9	0.3	0.0	0.0	0.0	0.0

10 Source: NYPA 1986

Appendix I

1 **Table I-3 Changes to the Design and Gear Used During the Fall Juvenile Survey**

Year	Volume (m ³)	Number of Samples	Samples per Gear			Sample Collection Dates
			Epibenthic Sled	Tucker Trawl	Beam Trawl	
1974	728083	1690	100/wk			Weekly, Aug–Dec
1975	317749	901	100/wk			Biweekly, Aug–Dec
1976	365903	881	100/wk			Biweekly, Aug–Dec
1977	368134	826	100/wk			Biweekly, Aug–Dec
1978	352420	900	100/wk			Biweekly, Aug–Dec
1979	1,006,411	2387	150/wk	50/wk		Biweekly, July–Dec
1980	771291	2103	150/wk	50/wk		Biweekly, July–Dec
1981	479591	1199	150/wk	50/wk		Biweekly, Aug–Oct
1982	400969	1000	150/wk	50/wk		Biweekly, Aug–Oct
1983	477057	1199	150/wk	50/wk		Biweekly, Aug–Oct
1984	601459	1601	150/wk	50/wk		Biweekly, July–Oct
1985	1886754	1802		~500	~1,500	Biweekly, July–Nov
1986	2,298,395	2098		549	1,549	Biweekly, July–Dec
1987	2035472	1891		495	1,396	Biweekly, July–Nov
1988	1826692	1680		440	1,240	Biweekly, July–Oct
1989	1590118	1679		439	1,240	Biweekly, July–Oct
1990	1252994	1680		439	1,241	Biweekly, July–Oct
1991	1707319	1678		440	1,238	Biweekly, July–Oct
1992	1865451	1680		440	1,240	Biweekly, July–Oct
1993	2010222	1680		440	1,240	Biweekly, July–Oct
1994	2018494	1681		440	1,241	Biweekly, July–Oct
1995	1782199	1680		440	1,240	Biweekly, July–Oct
1996	1824802	1669		484	1,185	Biweekly, July–Oct
1997	1995519	2015		826	1,189	Biweekly, July–Nov
1998	2214707	2130		825	1,305	Biweekly, July–Dec
1999	2160009	2085		823	1,262	Biweekly, July–Dec
2000	2174896	2113		816	1,297	Biweekly, July–Nov
2001	2097877	2084		818	1,266	Biweekly, July–Oct
2002	2105272	2128		821	1,307	Biweekly, July–Dec
2003	1891135	2131		825	1,306	Biweekly, July–Dec
2004	2106874	2128		823	1,305	Biweekly, July–Dec
2005	2063654	2128		824	1,304	Biweekly, July–Dec

2 Note: Compiled from the annual Year Class Reports for the Hudson River Estuary Monitoring Program; ASA 1999,
3 2001a, 2001b, 2003, 2004a, 2004b, 2005–2007; Battelle 1983; ConEd undated a, undated b, 1996; EA 1990, 1995,
4 1991; LMS 1989, 1991, 1996; MMES 1983; Versar 1987; TI 1977–1981; NAI 1985a, 1985b, 2007.

1 There were four basic combinations of sampling intensities, duration, and gear types used
 2 during the FSS (Table I-3). Likewise, there were roughly three levels of sampling intensity used
 3 during the BSS (Table I-4). Thus, for data provided on a weekly basis, only weeks 27 to 43
 4 were used in the analysis for the FSS and weeks 22 to 43 for the BSS survey, so that most
 5 years contained observations from the months of July through October and June through
 6 October for each survey, respectively.

7 **Table I-4 Number of Weeks Sampled Each Month During the BSS**

Year	April	May	June	July	August	September	October	November	December
1974	4	4	4	5	4	5	4	4	3
1975	5	4	4	5	4	5	4	4	3
1976	5	4	4	5	4	5	4	4	2
1977	4	4	4	5	4	5	4	4	3
1978	4	4	4	5	4	5	4	4	4
1979	5	4	4	5	4	5	4	4	2
1980	5	4	4	5	4	2	2	2	1
1981	0	0	0	0	2	3	2	0	0
1982	0	0	0	0	1	3	1	0	0
1983	0	0	0	0	2	3	1	0	0
1984	0	0	0	1	2	2	2	1	0
1985	0	0	0	2	2	2	2	2	0
1986	0	0	0	2	2	2	2	2	0
1987	0	0	1	2	2	3	2	1	0
1988	0	0	1	3	2	2	2	1	0
1989	0	0	1	3	2	2	2	1	0
1990	0	0	1	3	2	2	2	0	0
1991	0	0	1	2	2	3	2	0	0
1992	0	0	1	2	2	3	2	0	0
1993	0	0	0	3	2	2	2	1	0
1994	0	0	0	3	2	2	2	1	0
1995	0	0	1	2	2	3	2	0	0
1996	0	0	1	3	2	2	2	0	0
1997	0	0	1	3	2	2	2	0	0
1998	0	0	1	3	2	2	2	0	0
1999	0	0	1	3	2	2	2	0	0
2000	0	0	1	3	2	2	2	0	0
2001	0	0	1	3	2	2	2	0	0
2002	0	0	1	3	2	2	2	0	0
2003	0	0	1	3	2	2	2	0	0
2004	0	0	1	3	2	2	2	0	0
2005	0	0	1	3	2	2	2	0	0

8 Source: NRC Request for Sampling Effort and Abundance Data from Three Hudson River Sampling Programs for 16
 9 Selected Fish Species from 1974 through 2005, Normandeau Associates Inc., February 25, 2008

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1 Metrics Used To Evaluate Population Trends

2 *Abundance Index*

3 The abundance index for YOY for each species was based on the catch from a selected
 4 sampling program and used by the applicant and its contractors to estimate riverwide mean RIS
 5 abundances. The selection process considered the expected location of each species in the
 6 river, based on life-history characteristics and the observed catch rates from previous sampling.
 7 The abundance index was constructed to account for the stratified random sampling design
 8 used by each of the surveys. For the Long River Survey (LRS) and the FSS, sampling within a
 9 river segment was further stratified by river depth and sampled with separate gear types. For
 10 blueback herring, alewife, bay anchovy, hogchoker, weakfish, and rainbow smelt, the YOY
 11 abundance index was based on the catch from a single gear type (Table I-5).

12 The construction of the LRS (L_A) and the FSS abundance index (F_A) were similar and provided
 13 an unbiased estimate of the total and mean riverwide population abundance for selected
 14 species, respectively (Cochran 1997). For the FSS and each gear type, F_A was constructed as
 15 a weighted mean of the average species density with weight given by the volume of each
 16 stratum for a given river segment. For the FSS, strata sampled were the channel, bottom, and
 17 shoal for a given river segment. Poughkeepsie and West Point river segments had the greatest
 18 channel volume, Poughkeepsie and Tappan Zee had the greatest bottom volume, and Tappan
 19 Zee had the greatest shoal volume (Table I-6). Because the river segment associated with IP2
 20 and IP3 did not have large bottom or shoal volumes, the abundance index was not sensitive to
 21 changes in population trends within the vicinity of IP2 and IP3.

22 **Table I-5 Sampling Program Used To Calculate the Abundance Index for YOY and**
 23 **Yearling Fish and the Median Catch-per-Unit-Effort Over Time**

Species	Sampling Program	Riverwide FSS Median YOY Catch-per- Unit-Effort	Riverwide BSS Median YOY Catch- per-Unit-Effort
Alewife	FSS-Channel	4.35E-04	1.05
Bay Anchovy	FSS-Channel	2.61E-02	6.70
American Shad	BSS	8.12E-04	9.17
Bluefish	BSS	3.18E-05	3.36E-01
Hogchoker	FSS-Bottom	1.03E-02	2.30E-01
Blueback Herring	FSS-Channel	1.12E-02	2.86E+01
Rainbow Smelt	FSS-Channel	N/A ^a	< 0.0001
Spottail Shiner	FSS-Channel	1.10E-04	7.25
Stripped Bass	BSS	2.47E-03	6.47
Atlantic Tomcod	LRS	2.69E-03	6.70E-02
White Catfish	BSS	N/A	2.50E-02
White Perch	BSS	5.89E-03	10.4
Weakfish	FSS-Channel	N/A	5.00E-03

24 ^a N/A = not applicable; YOY not present in samples
 25 Source: CHGE 1999

Table I-6 Volume of Sampling Strata by River Segment

Region	River Segment	Volume (m ³)			Region	Area (m ²)
		Channel	Bottom	Shoal		Shore Zone
Battery	0	141,809,822	48,455,129	18,747,833	209,012,784	N/A
Yonkers	1	143,452,543	59,312,978	26,654,767	229,420,288	3,389,000
Tappan Zee	2	138,000,768	62,125,705	121,684,992	321,811,465	20,446,000
Croton-Haverstraw	3	61,309,016	32,517,633	53,910,105	147,736,754	12,101,000
Indian Point	4	162,269,471	33,418,632	12,648,163	208,336,266	4,147,000
West Point	5	178,830,022	25,977,862	2,647,885	207,455,769	1,186,000
Cornwall	6	94,882,267	36,768,629	8,140,123	139,791,019	4,793,000
Poughkeepsie	7	228,975,052	63,168,132	5,990,260	298,133,444	3,193,000
Hyde Park	8	131,165,041	32,012,000	2,307,625	165,484,666	558,000
Kingston	9	93,657,021	35,479,990	12,332,868	141,469,879	3,874,000
Saugerties	10	113,143,296	42,845,077	20,307,338	176,295,711	7,900,000
Catskill	11	83,924,081	42,281,206	34,526,456	160,731,743	8,854,000
Albany	12	32,025,080	13,517,183	25,606,842	71,149,105	6,114,000

N/A – not applicable. Data from Entergy 2007b.

Analysis of Population Impacts

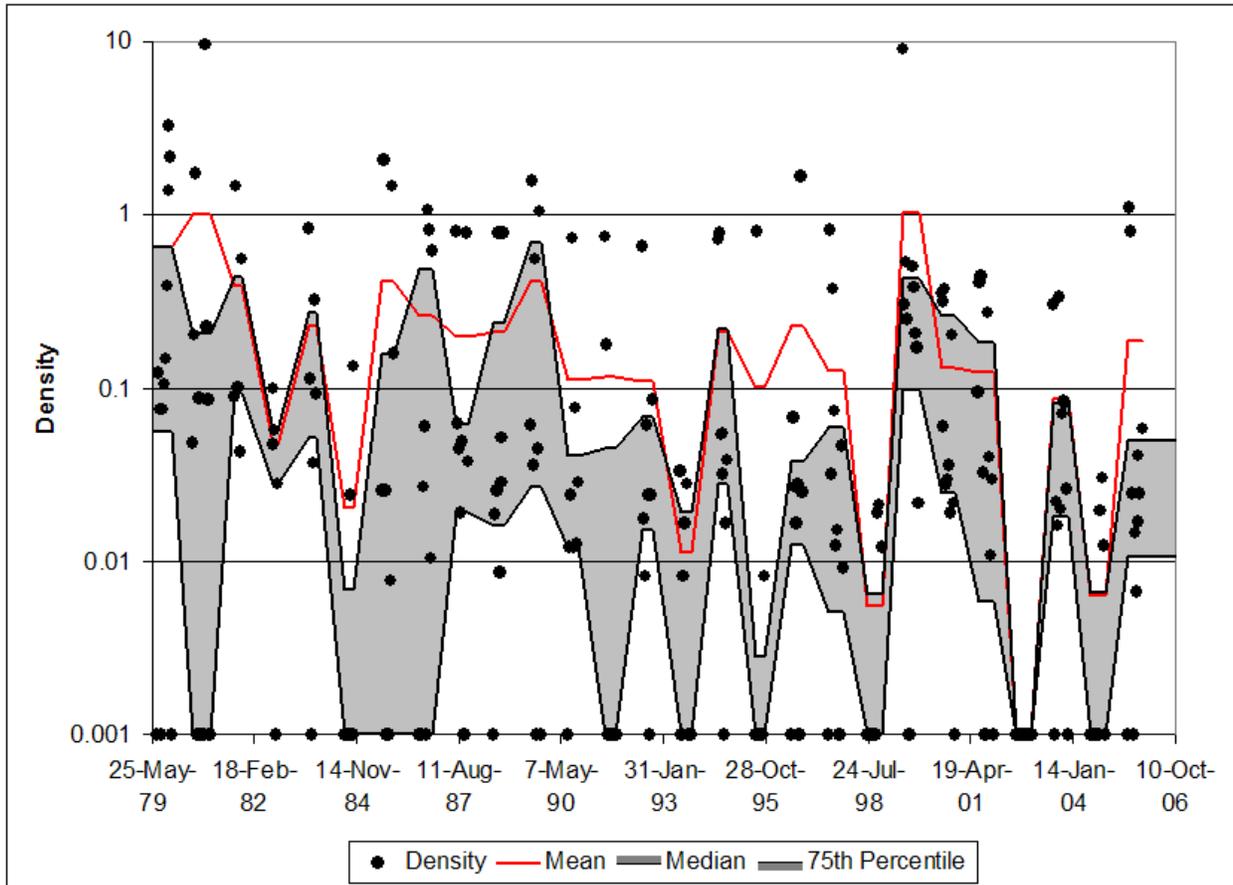
As discussed in Section H.3, the analysis was based on YOY fish to assess the population trends. For the river-segment analysis, the median and the 75th percentile of the densities of YOY caught within a given year in the vicinity of IP2 and IP3 (River Segment 4) were used to bound population trends for a visual representation. The median and 75th percentile are less sensitive to extreme values than the mean. Fish population sizes and the chance of catching fish were highly variable, and a few large catches can influence the mean and potentially distort a trend analysis. For example, the mean density for alewives caught during the FSS in the vicinity of IP2 and IP3 tended to be equal to or greater than the 75th percentile of the density for most years because of the relatively fewer large observations (Figure I-1). Further, seasonal and interannual differences in the salt front position may influence the pattern of trends in total or mean abundance between river segments. Evaluating the 75th percentile of the weekly data removed the influence from any given week associated with potentially extreme environmental characteristics.

River-segment data collected from 1979–2005 (n = 27 for each RIS) was standardized by subtracting the first 5-year mean and dividing by the standard deviation based on all years. Because of the large variability between years (coefficients of variation (CVs) ranging from 67 to 247 percent), a 3-year moving average was used to smooth the river-segment data before the trend analysis. Two competing models, simple linear regression and segmented regression with a single join point, were statistically fit to the smoothed and standardized 75th percentile of the annual observed densities for each taxon. The model with the smallest mean square error (MSE) was chosen as the better fitting model and used to determine the level of potential injury. Extreme outliers (values greater than 2 standard deviations from the mean) were removed from the analysis if the segmented regression was unable to converge; results with and without outliers were recorded. All data (1979–2005) from the FSS were compared to the BSS to determine if changes in the gear type affected the observed trend. When the standardized FSS

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1 data were consistently less than the standardized BSS data after 1985, the pre- and post-1985
2 data were evaluated separately.

3 **Figure I-1 Relationship among the mean, the median, and the 75th percentile of the fish**
4 **density for alewives caught during the FSS in River Segment 4**



5
6

Note: The value 0.001 was added to all numbers so that the log scale could be used for plotting.

7 For the riverwide data collected from 1979–2005 (n = 27 for each RIS), the FSS CPUE, the BSS
8 CPUE, and the abundance index for the YOY were used to assess the population trends.
9 Riverwide data consisted of a single number per year for a given taxon and life stage. CVs
10 ranged from 60 percent to 154 percent for the FSS, 41 percent to 302 percent for the BSS, and
11 49 percent to 319 percent for the abundance index. Simple linear regression and segmented
12 regression with a single join point were fit to the standardized data (using the first 5-year mean
13 and the standard deviation based on all years). Extreme outliers were removed from the
14 analysis if the segmented regression was unable to converge; results with and without outliers
15 were recorded. The model with the smallest MSE was chosen as the best-fit model and used to
16 determine the level of potential injury. All data (1979–2005) from the FSS were compared to the
17 BSS to determine if changes in the gear type affected the observed trend. When the

1 standardized FSS data were consistently less than the standardized BSS data after 1985, NRC
2 staff evaluated the pre- and post-1985 data separately.

3 The FSS density and CPUE for a given RIS can be highly correlated when nearly all of the fish
4 are caught from a single habitat (channel, shoal, or bottom) for the majority of sampling events.
5 For these RIS, the weight-of-evidence (WOE) analysis was conducted both with and without the
6 FSS CPUE results. Because of the slight variation in response between the two measures of
7 population trend, different result scores can occur. However, for all RIS, the final determination
8 of the level of impact associated with the IP2 and IP3 cooling systems was the same by either
9 method. Thus, the correlation between measures was ignored.

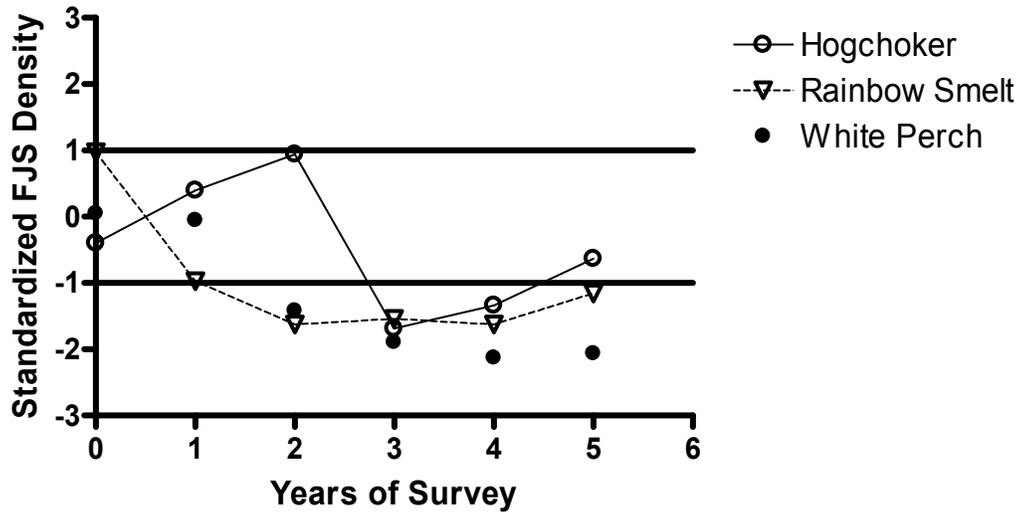
10 For each data set, the results of the linear and segmented regression were presented in a
11 series of two tables and a figure if a conclusion of potential large impact to any RIS population
12 was made. The statistics displayed in the first table included the MSE for each model; the
13 estimate of the linear slope and associated 95 percent confidence interval; the p-value
14 associated with the significance test of the null hypothesis that the slope (S) associated with the
15 simple linear model equals zero; the 95 percent confidence interval (CI) of the two slopes from
16 the segmented regression (Slope 1=S1 and Slope 2=S2); and the estimated join point. For the
17 segmented regression, slopes were defined as significant if the CI did not include zero.

18 The best-fit model (defined as the model with the smaller MSE) was then characterized in a
19 second table, based on the general trend depicted by the direction of the estimated slopes. If
20 the slope was significantly different from 0, the trend was represented by either the statement
21 $S > 0$ for a positive slope or $S < 0$ for a negative slope. If the slope was not significant, the
22 statement depicting the lack of a trend was $S = 0$. This table also included the assessment of
23 the percentage of observations outside the defined level of environmental noise, defined as
24 ± 1 standard deviation from the mean. A percentage greater than 40 percent outside this
25 defined level of noise was assumed to provide support for a potential impact, based on the
26 assumption that the proportion of extreme observations was a measure of stability. A level of
27 potential negative impact was then determined, based on the decision rules presented in
28 Section 4.1 of the draft Supplemental Environmental Impact Statement (SEIS). If a large
29 potential for a negative impact was concluded for any RIS, a figure of the data and the best-fit
30 model was presented.

31 *IP2 and IP3 River Segment 4*

32 As stated above, there were two different gear types used during the FSS to sample the bottom
33 and shoal habitats. From 1979 to 1984, an epibenthic sled was used, and from 1985 to 2005, a
34 beam trawl was used. Because there were not enough annual observations from the 1979–
35 1984 time period to conduct a segmented regression, a simple linear regression was conducted
36 to assess the slope of the density of fish near IP2 and IP3. These data were standardized to
37 the average of the first 2 years and divided by the standard deviation of all six observations.
38 Only white perch had a significant negative slope ($n = 6$, $p = 0.01$; Figure I-2). Hogchoker and
39 rainbow smelt appeared to have negative trends, but they were not significant ($p = 0.15$ and 0.33
40 respectively). Rainbow smelt and white perch had 67 percent of their observations less than -1 .

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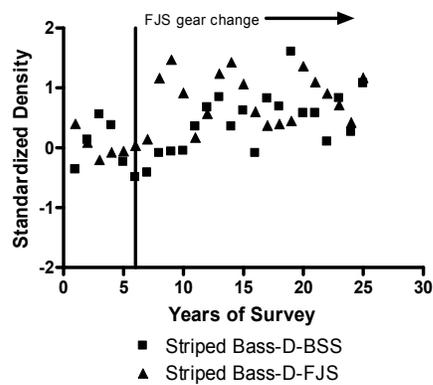
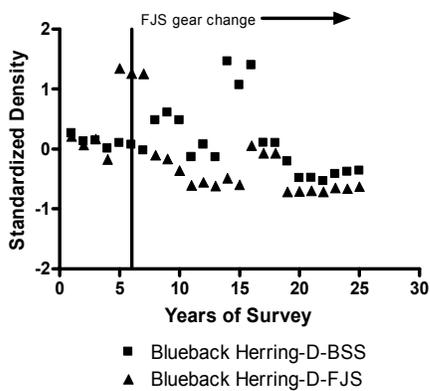
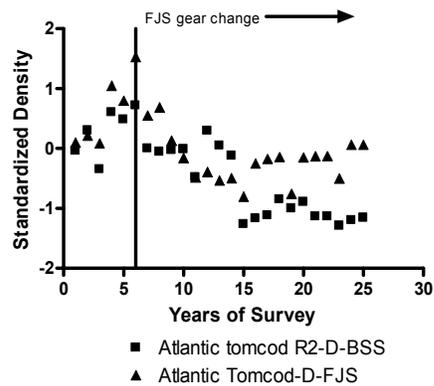
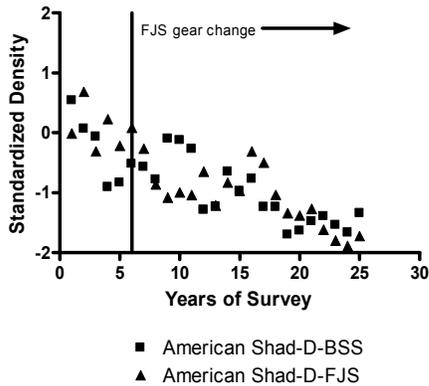


1

2 **Figure I-2 River Segment 4 population trends based on the first 6 years (1979–1984) of**
3 **FSS standardized density data for selected RIS**

4 Data collected between 1985 and 2005 were temporally disconnected from the mid-1970s,
5 when operation began at IP2 and IP3. There was a potential that fish populations responded
6 earlier and stabilized to a lower abundance level. For this analysis, data were standardized with
7 the average of 1985 to 1989 and the standard deviation of all data between 1985 and 2005.
8 This analysis was used only when the observed response from all data was biologically different
9 from the BSS population density trend and had a decline associated with the gear change.

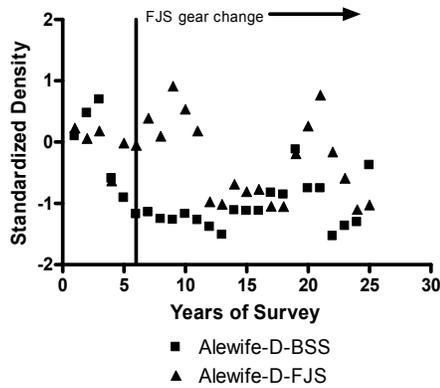
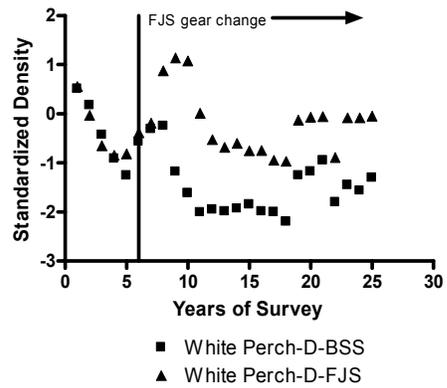
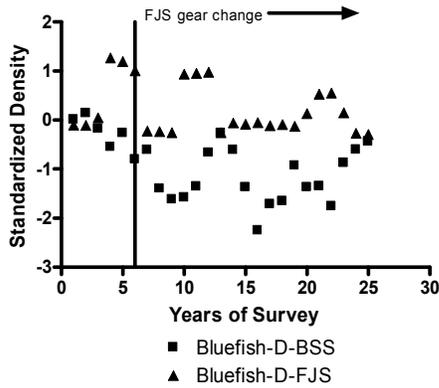
10 A visual comparison of the river-segment FSS standardized density with the BSS standardized
11 density suggested that the trends were not biologically different for American shad, Atlantic
12 tomcod, blueback herring, and striped bass (Figure I-3). Observations from the two surveys
13 overlap and cross over each other. The post-1985 FSS observations for bluefish, white perch,
14 and alewife were greater than the BSS observations and did not show a decline associated with
15 the gear change (Figure I-4). Thus, for these RIS, all of the FSS data (1979–2005) were used
16 in the regression analysis. The FSS density data for bay anchovy and weakfish, however, did
17 show a potential gear effect (Figure I-5), and a pre- and post-1985 analysis was conducted.



1 Note: All data were used in WOE analysis; R2 = River Segment 2, Yonkers

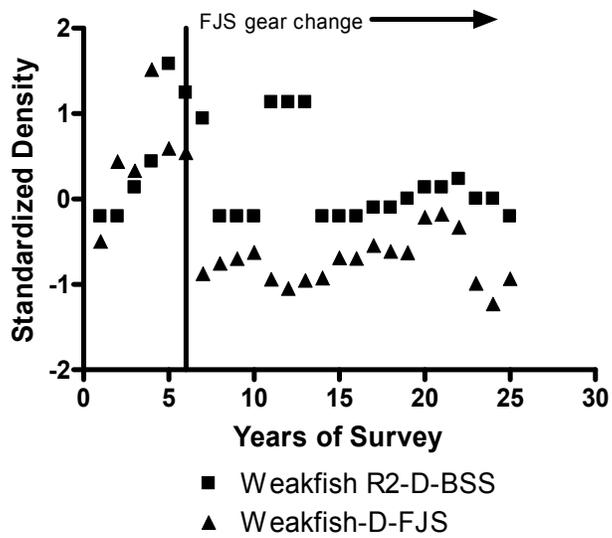
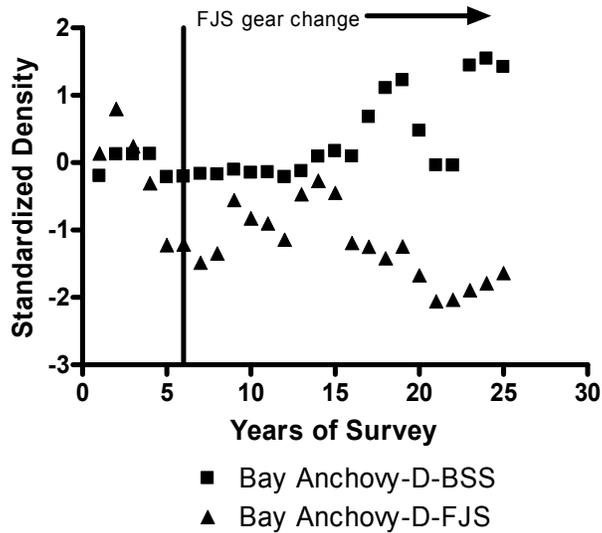
2 **Figure I-3 River Segment 4 population trends based on the BSS and FSS standardized**
 3 **density (D) not considered biologically different**

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1 Note: All data were used in WOE analysis.

2 **Figure I-4 River Segment 4 population trends based on the BSS and FSS standardized**
 3 **density (D) for which the FSS density is greater**



1 Note: All years were analyzed separately for WOE analysis; R2 = River Segment 2, Yonkers

2 **Figure I-5 River Segment 4 population trends based on the BSS and FSS standardized**
 3 **density (D) for which the FSS may indicate a gear difference**

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1 The following tables are the intermediate analyses for the assessment of population trends
2 associated with fish density sampled from River Segment 4. Results of these river-segment
3 trend analyses are compiled in Table H-13 in Section H.3 of the draft SEIS. The data used in
4 this analysis, in order of appearance, were the standardized 75th percentile of the weekly fish
5 density for a given year collected from the FSS (Table I-7, Table I-8, and Figure I-6), BSS
6 (Table I-9, Table I-10, and Figure I-7), and LRS for Atlantic tomcod only (Table I-11 and Table I-
7 12).

8 Two FSS alewife density observations, not extreme outliers, were removed from the regression
9 analysis to allow the segmented regression to converge (Tables I-7 and I-8). These
10 observations corresponded to the peaks in two sporadic increases. Three FSS white catfish
11 density observations, also not extreme outliers, were removed from the regression analysis to
12 allow the segmented regression to converge. The results of both regression models with the
13 observations removed were considered more conservative and were used for the trend
14 analysis.

1 **Table I-7 Competing Models Used To Characterize the Standardized River Segment 4**
 2 **FSS Population Trends of YOY Fish Density Using a 3-Year Moving Average**

Species	Linear Regression			Segmented Regression			
	MSE	Slope	p-value	MSE	95 percent CI Slope 1	Join Point	95 percent CI Slope 2
Alewife (All data)	0.58	-0.035 ± 0.016	0.040	Did Not Converge			
Alewife (2 values removed)	0.47	-0.041 ± 0.014	0.007	0.50	-0.070 to -0.007	2004	-3.93e+008 to 3.93e+008
Bay Anchovy 1979-1984	1.10	-0.102 ± 0.262	0.716	Not Fit			
Bay Anchovy 1985-2005	0.96	-0.058 ± 0.035	0.113	0.91	-0.174 to 0.473	1986	-0.285 to -0.002
American Shad (All data)	0.35	-0.079 ± 0.010	< 0.001	0.36	-0.106 to -0.031	1997	-0.226 to 0.008
Bluefish (All data)	0.52	-0.019 ± 0.014	0.194	0.54	-0.081 to 0.039	1996	-0.178 to 0.153
Hogchoker (All data)	0.58	-0.034 ± 0.016	0.047	0.43	0.038 to 0.268	1988	-0.150 to -0.053
Blueback Herring (All data)	0.49	-0.055 ± 0.014	0.001	0.51	-0.154 to 0.002	1992	-0.120 to 0.056
Rainbow Smelt (All data)	0.52	0.036 ± 0.028	0.220	0.35	0.041 to 0.167	1993	-0.793 to -0.119
Striped Bass (All data)	0.46	0.034 ± 0.013	0.013	0.44	-0.014 to 0.241	1988	-0.045 to 0.053
Atlantic Tomcod (All data)	0.49	-0.040 ± 0.014	0.007	0.49	-0.510 to 0.691	1983	-0.085 to -0.012
White Catfish (All data)	0.57	0.014 ± 0.016	0.37	Did Not Converge			
White Catfish (3 values removed)	0.10	0.007 ± 0.003	0.030	0.10	-0.025 to 0.070	1986	-0.006 to 0.013
White Perch (All data)	0.62	-0.014 ± 0.017	0.413	0.63	-2.43 to 1.27	1981	-0.047 to 0.035
Weakfish 1979-1984	0.88	0.328 ± 0.211	0.195	Not Fit			
Weakfish 1985-2005	1.02	0.013 ± 0.037	0.732	1.07	-11.6 to 10.1	1980	-0.071 to 0.117

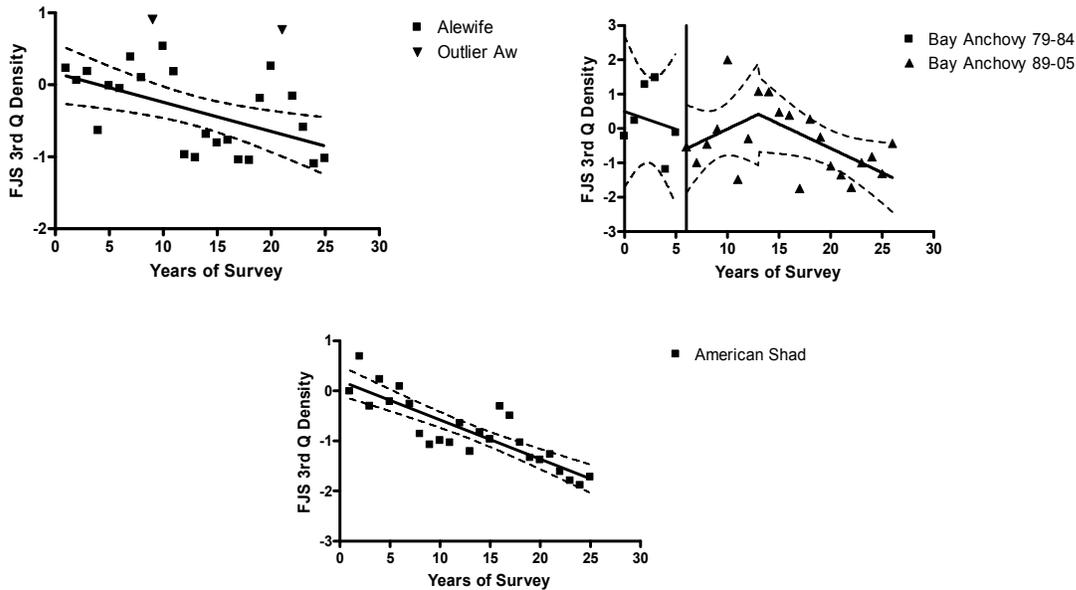
3 CI = confidence interval

Appendix I

1 **Table I-8 River Segment Assessment of the Level of Potential Negative Impact Based on**
 2 **the Standardized FSS Density Using a 3-Year Moving Average**

Species	Best Fit	General Trend	Percent Outside Defined Level of Noise (percent)	Support for Possible Negative Impact	Level of Potential Negative Impact
Alewife (All data)	LR	S < 0	48	Yes	4
Alewife (2 values removed)	LR	S < 0	48	Yes	4
Bay Anchovy 1979–1984	LR	S = 0	50	Yes	4
Bay Anchovy 1985–2005	SR	S1 = 0 S2 < 0	43		
American Shad	LR	S < 0	56	Yes	4
Bluefish	LR	S = 0	7	No	1
Hogchoker (All data)	SR	S1 > 0 S2 < 0	15	No	2
Blueback Herring	LR	S < 0	11	No	2
Rainbow Smelt (All data)	SR	S1 > 0 S2 < 0	7	No	2
Striped Bass	SR	S1 = 0 S2 = 0	26	No	1
Atlantic Tomcod	LR	S < 0	15	No	2
White Catfish (All data)	LR	S = 0	4	No	1
White Catfish (3 values removed)	LR	S > 0	4	No	1
White Perch	LR	S = 0	19	No	1
Weakfish 1979–1984	LR	S = 0	33	No	1
Weakfish 1985–2005	LR	S = 0	29		

3 LR = Linear Regression; SR = Segmented Regression



1 **Figure I-6 River Segment 4 population trends based on the FSS standardized density**
 2 **assigned a large level of potential negative impact**

3 **Table I-9 Competing Models Used To Characterize the Standardized River Segment 4**
 4 **BSS Population Trends of YOY Fish Density Using a 3-Year Moving Average**

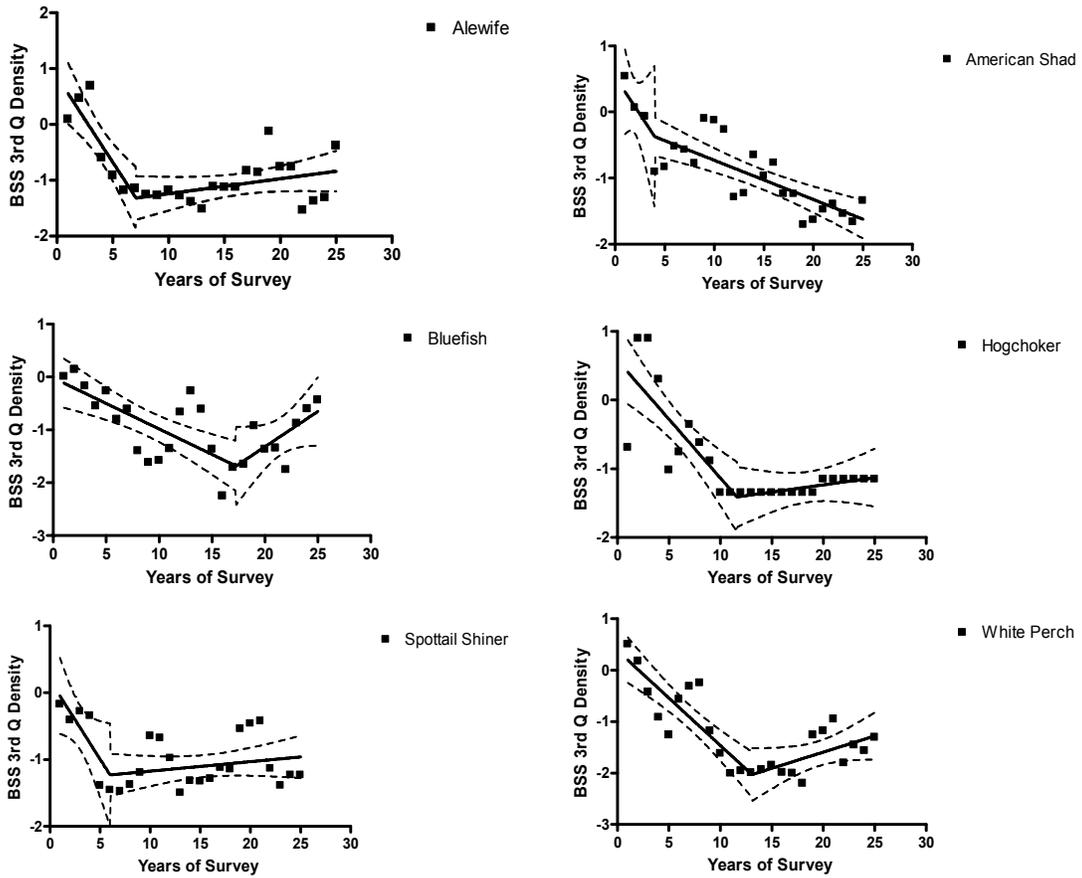
Species	Linear Regression			Segmented Regression			
	MSE	Slope	p-value	MSE	95 percent CI Slope 1	Join Point	95 percent CI Slope 2
Alewife	0.57	-0.030 ± 0.016	0.065	0.39	-0.459 to -0.156	1986	-0.010 to 0.063
Bay Anchovy	0.44	0.056 ± 0.012	0.000	0.39	-0.095 to 0.058	1991	0.055 to 0.161
American Shad	0.35	-0.069 ± 0.010	< 0.001	0.34	-0.724 to 0.270	1983	-0.083 to -0.036
Bluefish	0.58	-0.038 ± 0.016	0.027	0.48	-0.146 to -0.047	1996	-0.021 to 0.287
Hogchoker	0.52	-0.059 ± 0.014	< 0.001	0.40	-0.250 to -0.092	1991	-0.034 to 0.076
Blueback Herring	0.53	-0.024 ± 0.015	0.120	0.42	-0.005 to 0.100	1994	-0.235 to -0.042
Spottail Shiner	0.43	-0.017 ± 0.012	0.176	0.35	-0.469 to -0.004	1985	-0.014 to 0.043
Striped Bass	0.42	0.040 ± 0.012	0.002	0.43	-0.287 to 0.221	1985	0.013 to 0.087
White Perch	0.61	-0.062 ± 0.017	0.001	0.40	-0.247 to -0.122	1992	-0.007 to 0.133

Appendix I

1 **Table I-10 River Segment 4 Assessment of the Level of Potential Negative Impact Based**
 2 **on the Standardized BSS Density Using a 3-Year Moving Average**

Species	Best Fit	General Trend	Percent Outside Defined Level of Noise (percent)	Support for Possible Negative Impact	Final Decision
Alewife	SR	S1 < 0 S2 = 0	74	Yes	4
Bay Anchovy	SR	S1 = 0 S2 > 0	11	No	1
American Shad	SR	S1 = 0 S2 < 0	63	Yes	4
Bluefish	SR	S1 < 0 S2 = 0	52	Yes	4
Hogchoker	SR	S1 < 0 S2 = 0	78	Yes	4
Blueback Herring	SR	S1 = 0 S2 < 0	11	No	2
Spottail Shiner	SR	S1 < 0 S2 = 0	74	Yes	4
Striped Bass	LR	S > 0	30	No	1
White Perch	SR	S1 < 0 S2 = 0	70	Yes	4

3 LR = Linear Regression; SR = Segmented Regression



1 **Figure I-7 River Segment 4 population trends based on the BSS standardized density**
 2 **assigned a large level of potential negative impact**

Appendix I

1 **Table I-11 Competing Models Used To Characterize the Standardized River Segment 4**
 2 **LRS Population Trends of YOY Atlantic Tomcod Density Using a 3-Year Moving Average**

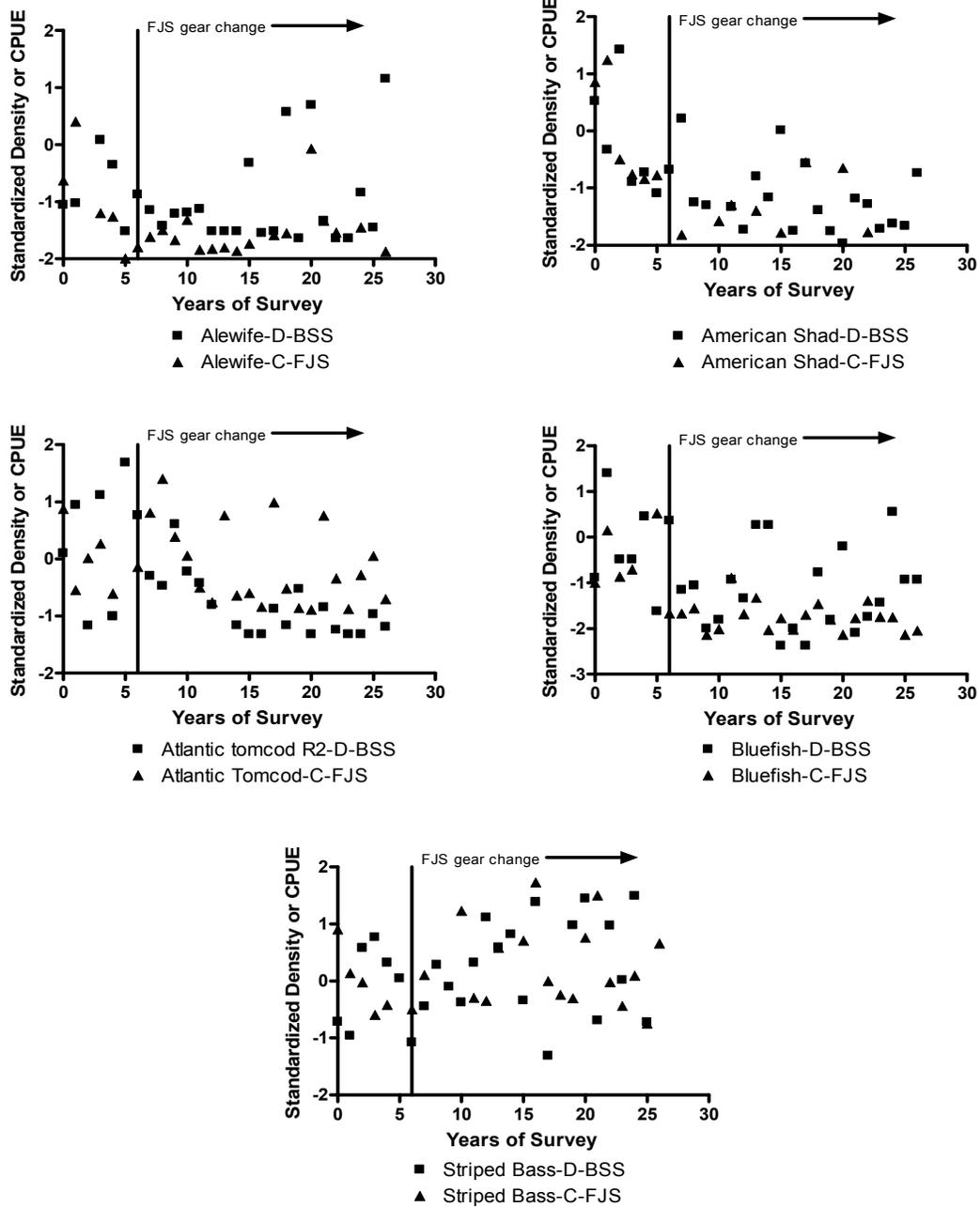
Species	Linear Regression			Segmented Regression			
	MSE	Slope	p-value	MSE	95 percent CI Slope 1	Join Point	95 percent CI Slope 2
Atlantic Tomcod	0.53	-0.074 ± 0.015	< 0.001	0.49	-0.187 to -0.067	1982	-0.098 to 0.124

3 **Table I-12 River Segment 4 Assessment of the Level of Potential Negative Impact Based**
 4 **on the Standardized LRS Atlantic Tomcod YOY Density Using a 3-Year Moving Average**

Species	Best Fit	General Trend	Percent Outside Defined Level of Noise (percent)	Support for Possible Negative Impact	Level of Potential Negative Impact
Atlantic Tomcod	SR	S1 < 0 S2 = 0	33	No	2

5 SR = Segmented Regression

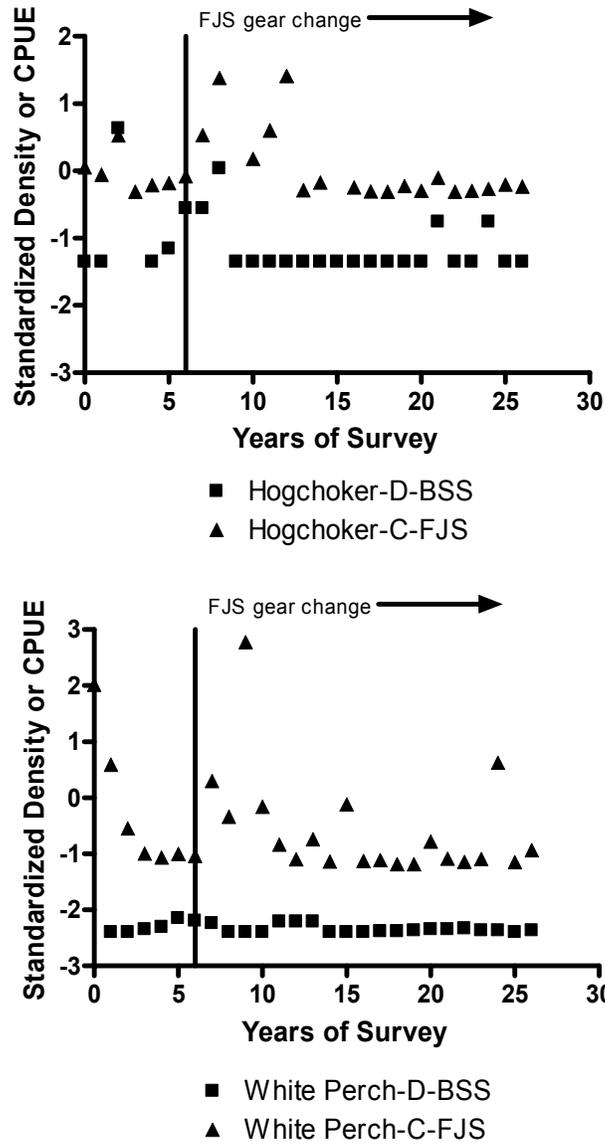
6 A visual comparison of the river-segment FSS standardized CPUE with the BSS standardized
 7 density suggested that the trends for alewife, American shad, Atlantic tomcod, bluefish, and
 8 striped bass were not biologically different (Figure I-8). Observations from both surveys overlap
 9 and cross over each other. The post-1985 FSS CPUE observations for hogchoker and white
 10 perch were greater than the BSS observations and did not show a decline associated with the
 11 gear change (Figure I-9). Thus, for these RIS, all of the FSS CPUE data (1979–2005) were
 12 used in the regression analysis. The FSS density data for bay anchovy, blueback herring, and
 13 weakfish, however, did show a potential gear effect (Figure I-10), and a pre- and post-1985
 14 analysis was conducted.



1 Note: All data were used in WOE analysis; R2 = River Segment 2, Yonkers.

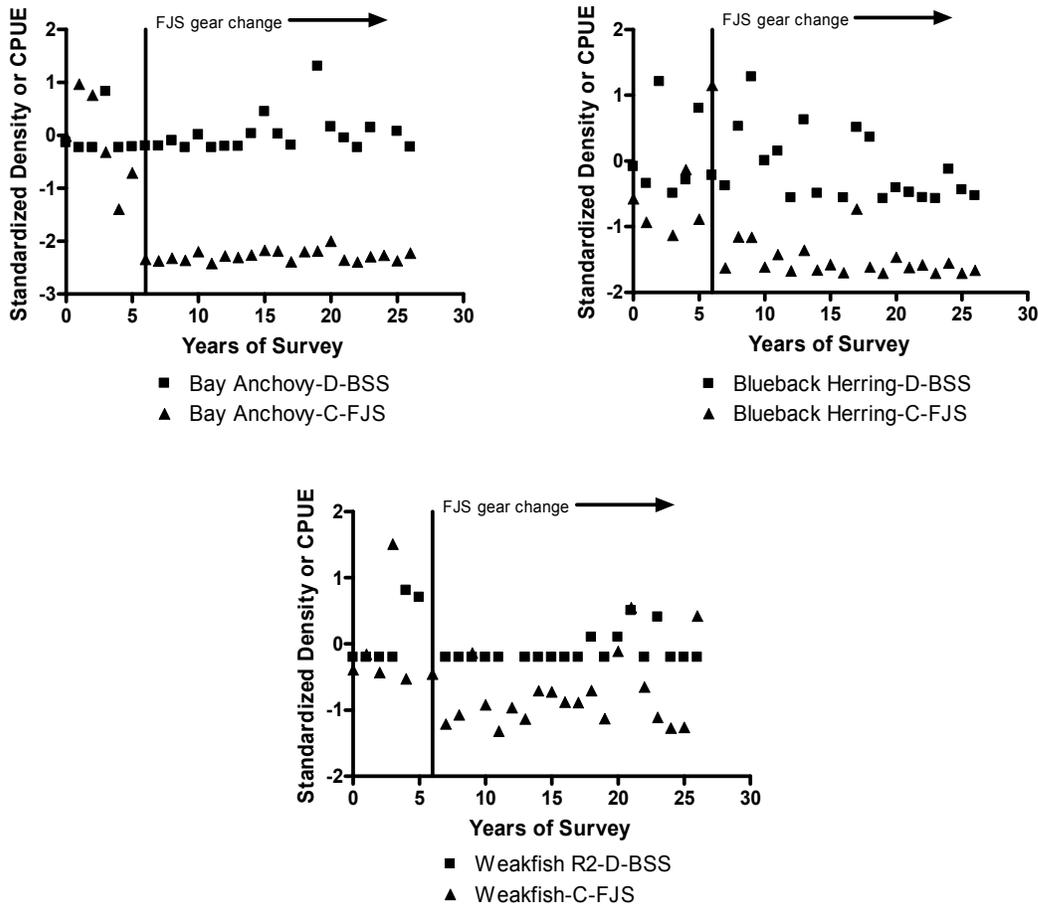
2 **Figure I-8 River Segment 4 population trends based on the FSS standardized CPUE (C)**
 3 **and BSS density (D) not considered biologically different**

Appendix I



1 Note: All data were used in WOE analysis.

2 **Figure I-9 River Segment 4 population trends based on the FSS standardized CPUE (C)**
 3 **and BSS density (D) for which the FSS density is greater**



1 Note: Years were analyzed separately for WOE analysis; R2 = River Segment 2, Yonkers.

2 **Figure I-10 River Segment 4 population trends based on the FSS standardized CPUE (C)**
 3 **and BSS density (D) for which the FSS may indicate a gear difference**

4 The following tables were the intermediate analyses for the assessment of population trends
 5 associated with fish CPUE sampled from River Segment 4 (IP2 and IP3). Results of these river-
 6 segment trend analyses were compiled in Table H-13 in Section H.3 of the draft SEIS (Entergy
 7 2007). The data used in this analysis, in order of appearance, were the standardized
 8 75th percentile of the weekly fish CPUE for a given year collected from the FSS (Table I-13,
 9 Table I-14, and Figure I-11) and LRS for Atlantic tomcod only (Table I-15 and Table I-16).

Appendix I

1 **Table I-13 Competing Models Used To Characterize the Standardized River Segment 4,**
 2 **FSS Population Trends of YOY Fish CPUE**

Species	Linear Regression			Segmented Regression			
	MSE	Slope	p-value	MSE	95 percent CI Slope 1	Join Point	95 percent CI Slope 2
Alewife	0.92	-0.055 ± 0.023	0.022	0.79	-0.839 to -0.058	1984	-0.058 to 0.060
Bay Anchovy 1979–1984	0.80	-0.373 ± 0.191	0.123	Not Fit			
Bay Anchovy 1985–2005	1.00	0.034 ± 0.036	0.360	0.96	-0.022 to 0.248	1999	-0.596 to 0.172
American Shad	0.76	-0.085 ± 0.019	< 0.001	0.57	-0.717 to -0.159	1985	-0.067 to 0.018
Bluefish	0.84	-0.072 ± 0.021	0.002	0.82	-0.374 to -0.002	1988	-0.106 to 0.061
Hogchoker (All data)	1.00	-0.025 ± 0.025	0.332	0.92	-0.101 to 0.368	1988	-0.184 to 0.000
Hogchoker (2 outliers removed)	0.47	-0.021 ± 0.012	0.087	0.44	-0.049 to 0.211	1987	-0.097 to -0.008
Blueback Herring 1979–1984	1.11	-0.059 ± 0.266	0.835	Not Fit			
Blueback Herring 1985–2005	0.38	-0.022 ± 0.015	0.152	Did Not Converge			
Rainbow Smelt (All data)	0.89	-0.062 ± 0.022	0.009	0.45	-4.95 to -2.33	1980	-0.049 to 0.002
Striped Bass	1.01	-0.013 ± 0.025	0.599	1.00	-0.089 to 0.178	1993	-0.259 to 0.076
Atlantic Tomcod (All data)	0.95	-0.046 ± 0.024	0.063	0.99	-6.78 to 6.63	1980	-0.102 to 0.012
Atlantic Tomcod (1 outlier removed)	0.66	-0.028 ± 0.017	0.106	Did Not Converge			
White Perch (All data)	0.95	-0.047 ± 0.023	0.055	0.87	-3.97 to 1.12	1981	-0.071 to 0.029
White Perch (1 outlier removed)	0.72	-0.047 ± 0.024	0.038	0.51	-2.02 to -0.538	1981	-0.037 to 0.026
Weakfish 1979–1984	0.83	0.357 ± 0.199	0.148	Not Fit			
Weakfish 1985–2005 (All data)	1.00	0.035 ± 0.036	0.349	1.03	-4.66 e+007 to 4.66e+007	1986	-0.036 to 0.133
Weakfish 1985–2005 (3 values removed)	0.62	-0.003 ± 0.025	0.892	Did Not Converge			

3 Two extreme outliers (both values greater than 3 standard deviations from the mean) were
 4 removed from the FSS hogchoker CPUE regression analysis because of their influence on the
 5 regression (Tables I-13 and I-14). One extreme outlier (value greater than 3 standard
 6 deviations from the mean) was removed from the FSS Atlantic tomcod CPUE regression
 7 analysis, and one extreme outlier (value greater than 2 standard deviations from the mean) was

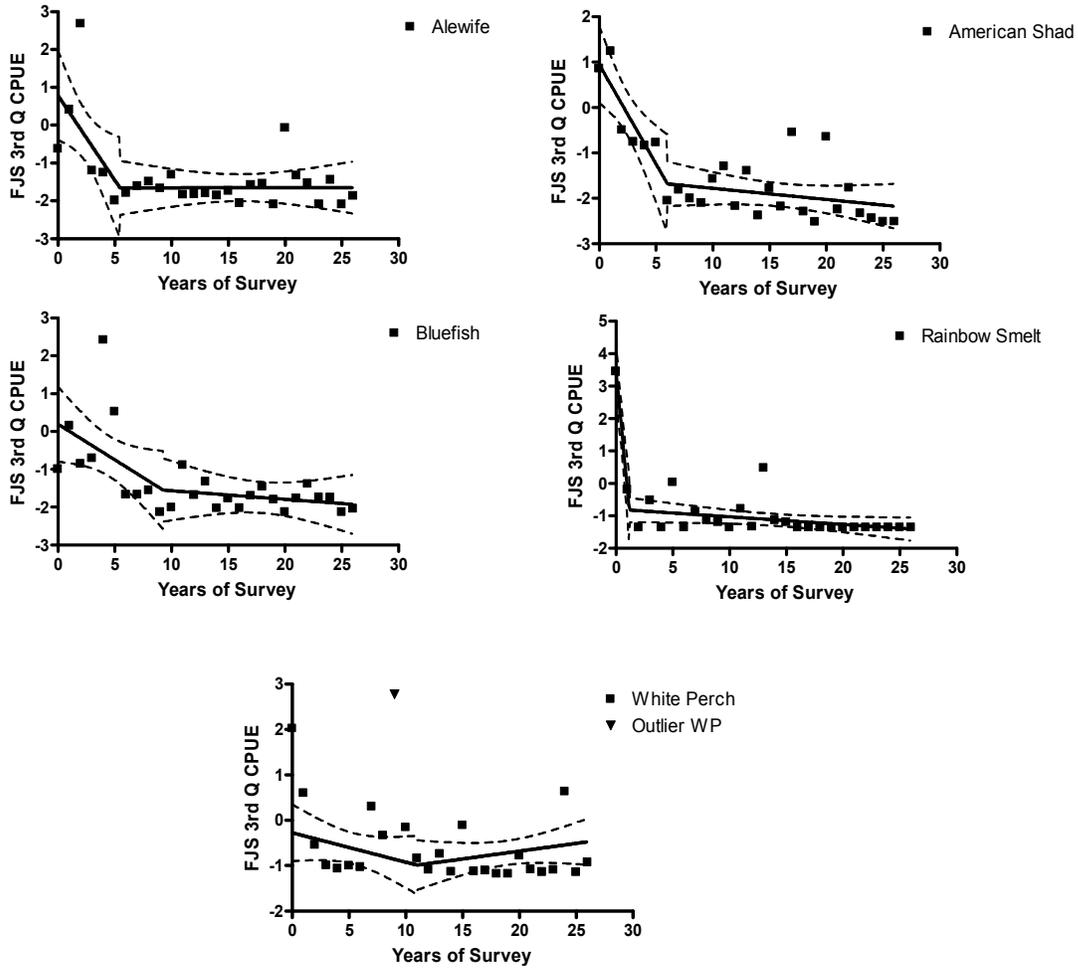
1 removed from the FSS white perch CPUE regression analysis. These extreme outliers had a
 2 great influence on the regression results. One value (not an extreme outlier) and two extreme
 3 outliers (both greater than 2 standard deviations from the mean) were removed from the FSS
 4 weakfish CPUE regression analysis because of the influence these data had on the regression
 5 results. The results of the regression models with the observations removed were more
 6 conservative and were used for the trend analysis.

7 **Table I-14 River Segment 4 Assessment of the Level of Potential Negative Impact**
 8 **Based on the Standardized FSS CPUE**

Species	Best Fit	General Trend	Percent Outside Defined Level of Noise (percent)	Support for Possible Negative Impact	Level of Potential Negative Impact
Alewife	SR	S1 < 0 S2 = 0	89	Yes	4
Bay Anchovy 1979–1984	LR	S = 0	33	No	1
Bay Anchovy 1985–2005	SR	S1 = 0 S2 = 0	33		
American Shad	SR	S1 < 0 S2 = 0	74	Yes	4
Bluefish	SR	S1 < 0 S2 = 0	78	Yes	4
Hogchoker (All data)	SR	S1 = 0 S2 = 0	15	No	1
Hogchoker (2 outliers removed)	SR	S1 = 0 S2 < 0	15	No	2
Blueback Herring 1979–1984	LR	S = 0	17	Yes	2
Blueback Herring 1985–2005	LR	S = 0	71		
Rainbow Smelt (All data)	SR	S1 < 0 S2 = 0	78	Yes	4
Striped Bass	SR	S1 = 0 S2 = 0	26	No	1
Atlantic Tomcod (All data)	LR	S = 0	7	No	1
Atlantic Tomcod (1 outlier removed)	LR	S = 0	7	No	1
White Perch (All data)	SR	S1 = 0 S2 = 0	56	Yes	2
White Perch (1 outlier removed)	SR	S1 < 0 S2 = 0	56	Yes	4
Weakfish 1979–1984	LR	S = 0	33	No	1
Weakfish 1985–2005 (All data)	LR	S = 0	24		
Weakfish 1985–2005 (3 values removed)	LR	S = 0	24		

9 LR = Linear Regression; SR = Segmented Regression

Appendix I



1 **Figure I-11 River Segment 4 population trends based on the FSS standardized CPUE**
 2 **assigned a large level of potential negative impact**

3 **Table I-15 Competing Models Used To Characterize the Standardized River Segment 4**
 4 **LRS Population Trends of YOY Atlantic Tomcod CPUE Using a 3-Year Moving Average**

Species	Linear Regression			Segmented Regression			
	MSE	Slope	p-value	MSE	95 percent CI Slope 1	Join Point	95 percent CI Slope 2
Atlantic Tomcod	0.57	-0.069 ± 0.022	0.006	0.28	-0.873 to -0.338	1989	-0.031 to 0.034

1 **Table I-16 River Segment 4 Assessment of the Level of Potential Negative Impact Based**
 2 **on the Standardized LRS Atlantic Tomcod YOY CPUE Using a 3-Year Moving Average**

Species	Best Fit	General Trend	Percent Outside Defined Level of Noise (percent)	Support for Possible Negative Impact	Level of Potential Negative Impact
Atlantic Tomcod	SR	S1 < 0 S2 = 0	22	No	2

3 SR = Segmented Regression

4 The results of the two measurement metrics—density (estimated number of RIS per given
 5 volume of water provided by the applicant) and CPUE (number of RIS captured by the sampler
 6 for a given volume of water derived by the NRC staff) were combined for the assessment of
 7 population impacts potentially associated with the IP2 and IP3 cooling systems. Table I-17
 8 presents the numeric results compiled from Tables I-8, I-10, I-12, I-14, and I-16 above and used
 9 to derive Table H-13 in Section H.3 in the draft SEIS.

10 **Table I-17 Assessment of Population Impacts for IP2 and IP3 River Segment 4**

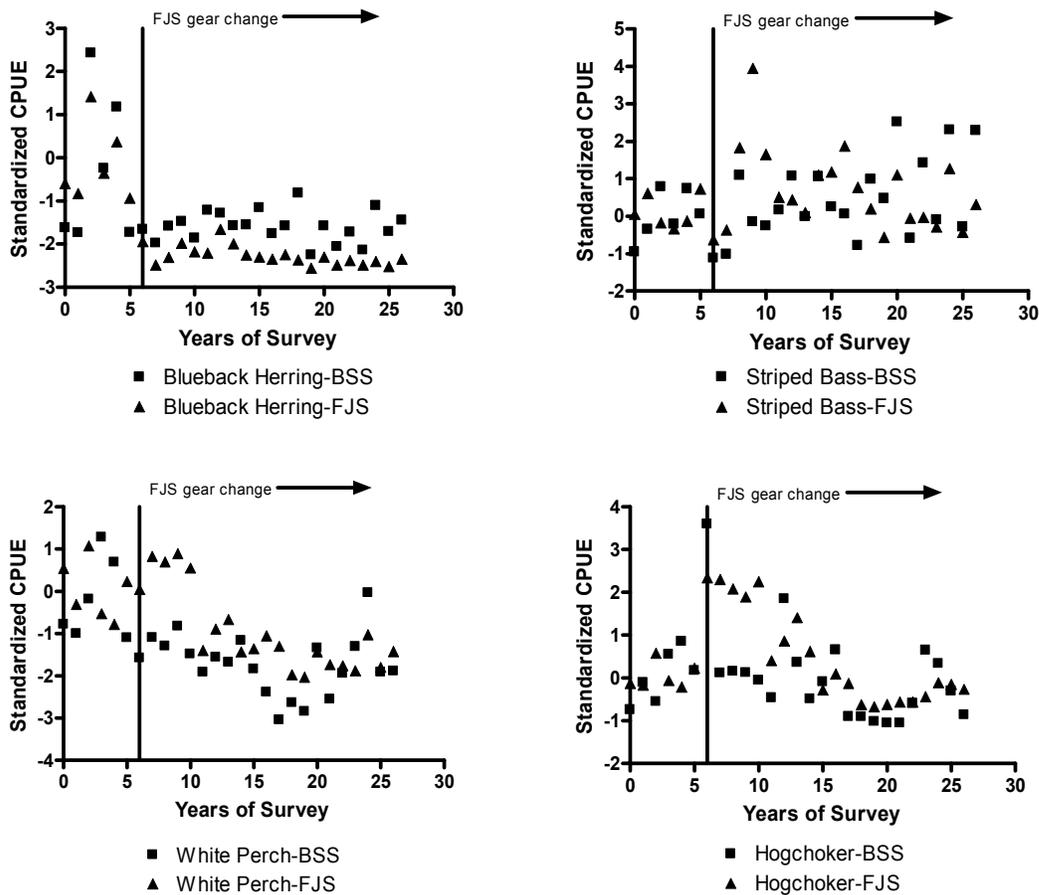
Species	Density			CPUE		River-Segment Assessment
	FSS	BSS	LRS	FSS	LRS	
Alewife	4	4	N/A ^a	4	N/A	4.0
Bay Anchovy	4	1	N/A	1	N/A	2.0
American Shad	4	4	N/A	4	N/A	4.0
Bluefish	1	4	N/A	4	N/A	3.0
Hogchoker	2	4	N/A	2	N/A	2.7
Atlantic Menhaden	N/A	N/A	N/A	N/A	N/A	Unknown
Blueback Herring	2	2	N/A	2	N/A	2.0
Rainbow Smelt	2	N/A	N/A	4	N/A	3.0
Shortnose Sturgeon	N/A	N/A	N/A	N/A	N/A	Unknown
Spottail Shiner	N/A	4	N/A	N/A	N/A	4.0
Atlantic Sturgeon	N/A	N/A	N/A	N/A	N/A	Unknown
Striped Bass	1	1	N/A	1	N/A	1.0
Atlantic Tomcod	2	N/A	2	1	2	1.8
White Catfish	1	N/A	N/A	N/A	N/A	1.0
White Perch	1	4	N/A	4	N/A	3.0
Weakfish	1	N/A	N/A	1	N/A	1.0
Gizzard Shad	N/A	N/A	N/A	N/A	N/A	Unknown
Blue Crab	N/A	N/A	N/A	N/A	N/A	Unknown

11 (a) N/A: not applicable; YOY not present in samples

Appendix I

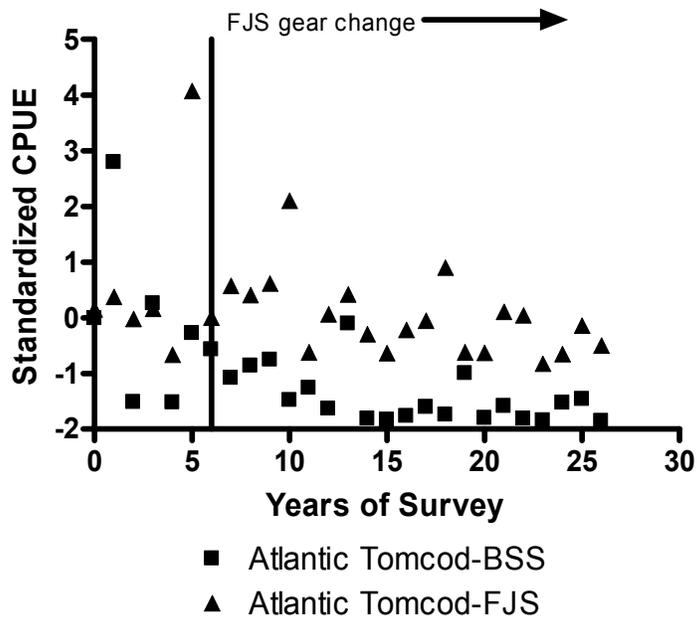
1 Lower Hudson River

2 A visual comparison of the riverwide FSS standardized CPUE with the BSS standardized CPUE
3 suggested that the trends were not biologically different for blueback herring, striped bass, white
4 perch, and hogchoker (Figure I-12). Observations from both surveys overlap and cross over
5 each other. The post-1985 FSS observations for Atlantic tomcod were greater than the BSS
6 observations and did not show a decline associated with the gear change (Figure I-13). For
7 these RIS, all of the FSS data (1979–2005) were used in the regression analysis. The FSS
8 density data for alewife, American shad, bay anchovy, and bluefish, however, did show a
9 potential gear effect (Figure I-14), and a pre- and post-1985 analysis was conducted.



10 Note: All data were used in WOE analysis.

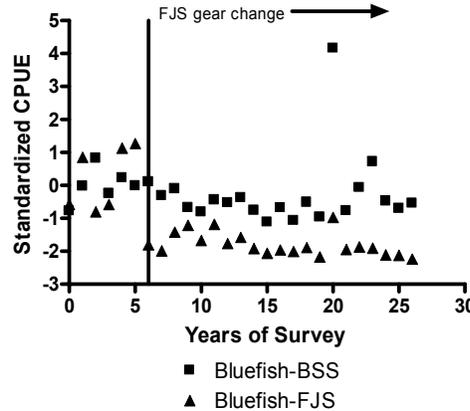
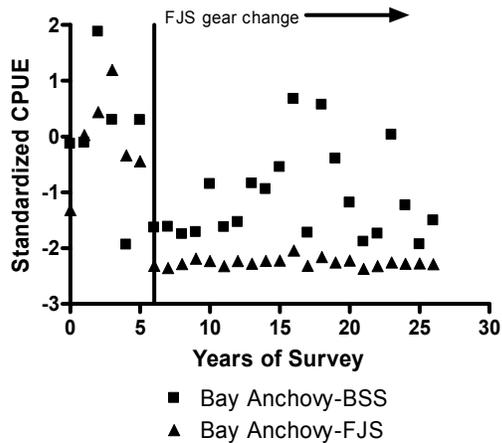
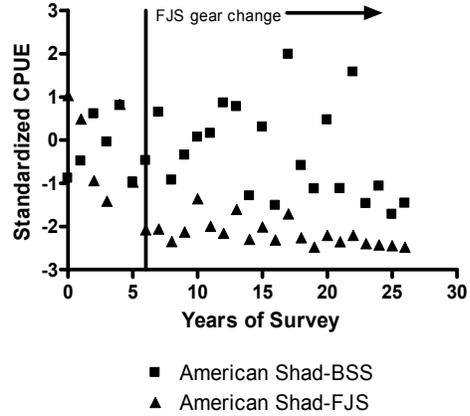
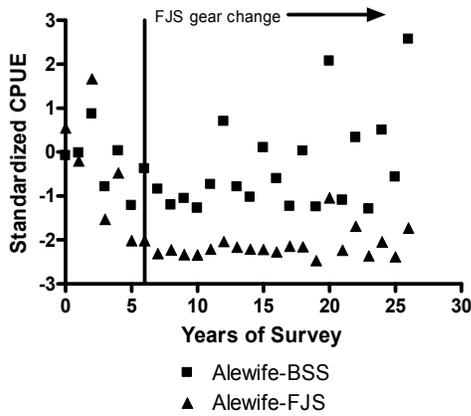
11 **Figure I-12 Riverwide population trends based on the FSS and BSS standardized CPUE**
12 **not considered biologically different**



1 Note: All data were used in WOE analysis.

2 **Figure I-13 Riverwide population trends based on the FSS and BSS standardized CPUE**
 3 **for which the FSS density is greater**

Appendix I



1 Note: Years were analyzed separately for WOE analysis.

2 **Figure I-14 Riverwide population trends based on the FSS and BSS standardized CPUE**
 3 **for which the FSS may indicate a gear difference**

4 The following tables are the intermediate analyses for the riverwide assessment of population
 5 trends associated with annual fish CPUE and the abundance index. Results of these riverwide
 6 trend analyses are compiled in Table H-14 in Section H.3 of the draft SEIS. The data used in
 7 this analysis, in order of appearance, were the standardized annual fish CPUE for a given year
 8 collected from the FSS (Table I-18, Table I-19, and Figure I-15), BSS (Table I-20, Table I-21,
 9 and Figure I-16), LRS for Atlantic tomcod only (Table I-22 and Table I-23), and the annual fish
 10 abundance index (Table I-24, Table I-25, and Figure H-17).

11 One extreme outlier (value greater than 4 standard deviations away from the mean) was
 12 removed from the abundance index for the bluefish regression analysis (Tables I-24 and I-25).

1 One extreme outlier was also removed from the abundance index for both the rainbow smelt
 2 (value greater than 5 standard deviations away from the mean) regression analysis and the
 3 white catfish (value greater than 2 standard deviations away from the mean) regression
 4 analysis, because of the influence these data had on the regression results. The results of the
 5 regression models with the observations removed were more conservative and were used for
 6 the trend analysis.

7 **Table I-18 Competing Models Used To Characterize the Standardized Riverwide FSS**
 8 **Population Trends of YOY Fish CPUE**

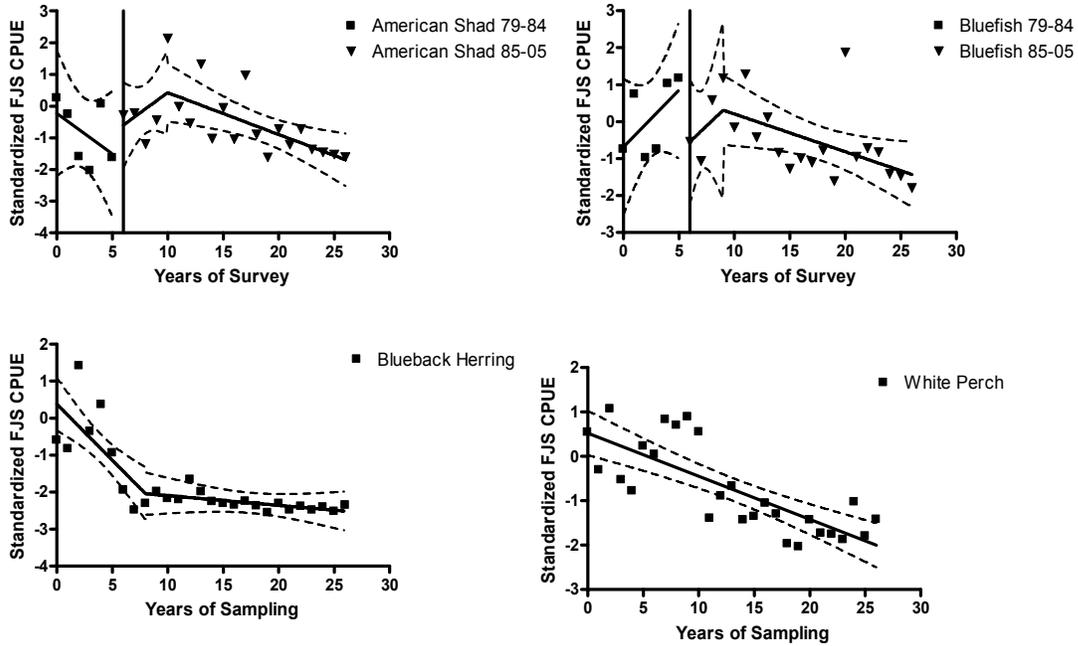
Species	Linear Regression			Segmented Regression			
	MSE	Slope	p-value	MSE	95 percent CI Slope 1	Join Point	95 percent CI Slope 2
Alewife 1979–1984	0.833	-0.357 ± 0.199	0.148	Not Fit			
Alewife 1985–2005	0.628	0.025 ± 0.023	0.286	0.633	-1.90e+007 to 1.90e+007	1986	-0.015 to 0.090
Bay Anchovy 1979–1984	1.08	0.135 ± 0.259	0.629	Not Fit			
Bay Anchovy 1985–2005	0.764	-0.002 ± 0.028	0.949	0.749	-0.082 to 0.328	1993	-0.216 to 0.073
American Shad 1979–1984	0.983	-0.254 ± 0.235	0.340	Not Fit			
American Shad 1985–2005	0.873	-0.085 ± 0.031	0.015	0.831	-0.362 to 0.746	1989	-0.222 to -0.031
Bluefish 1979–1984	0.918	0.305 ± 0.219	0.236	Not Fit			
Bluefish 1985–2005	0.915	-0.073 ± 0.033	0.039	0.899	-0.778 to 1.90	1987	-0.193 to -0.021
Hogchoker	0.916	-0.055 ± 0.023	0.022	0.645	0.114 to 0.526	1986	-0.198 to -0.086
Blueback Herring	0.704	-0.091 ± 0.017	< 0.001	0.563	-0.454 to -0.153	1987	-0.079 to 0.027
Spottail Shiner (All data)	0.875	-0.035 ± 0.022	0.125	0.859	-0.295 to 0.675	1984	-0.132 to 0.003
Striped Bass	1.019	-0.003 ± 0.025	0.902	0.931	-0.085 to 0.389	1988	-0.162 to 0.025
Atlantic Tomcod	0.607	-0.028 ± 0.015	0.083	0.595	-0.089 to 0.183	1989	-0.124 to -0.002
White Perch	0.647	-0.097 ± 0.016	< 0.001	Did Not Converge			

Appendix I

1 **Table I-19 Riverwide Assessment of the Level of Potential Negative Impact Based on the**
 2 **Standardized FSS CPUE**

Species	Best Fit	General Trend	Percent Outside Defined Level of Noise (percent)	Support for Possible Negative Impact	Final Decision
Alewife 1979–1984	LR	S = 0	50	Yes	2
Alewife 1985–2005	LR	S = 0	14		
Bay Anchovy 1979–1984	LR	S = 0	33	No	1
Bay Anchovy 1985–2005	SR	S1 = 0 S2 = 0	24		
American Shad 1979–1984	LR	S = 0	50	Yes	4
American Shad 1985–2005	SR	S1 = 0 S2 < 0	52		
Bluefish 1979–1984	LR	S = 0	33	Yes	4
Bluefish 1985–2005	SR	S1 = 0 S2 < 0	48		
Hogchoker	SR	S1 > 0 S2 < 0	22	No	2
Blueback Herring	SR	S1 < 0 S2 = 0	81	Yes	4
Spottail Shiner	SR	S1 = 0 S2 = 0	26	No	1
Striped Bass	SR	S1 = 0 S2 = 0	30	No	1
Atlantic Tomcod	SR	S1 = 0 S2 < 0	7	No	2
White Perch	LR	S < 0	56	Yes	4

3 LR = Linear Regression; SR = Segmented Regression



1

2 **Figure I-15 Riverwide population trend based on the FSS standardized CPUE assigned a**
 3 **large level of potential negative impact**

Appendix I

1
2

Table I-20 Competing Models Used To Characterize the Standardized Riverwide BSS Population Trends of YOY Fish CPUE

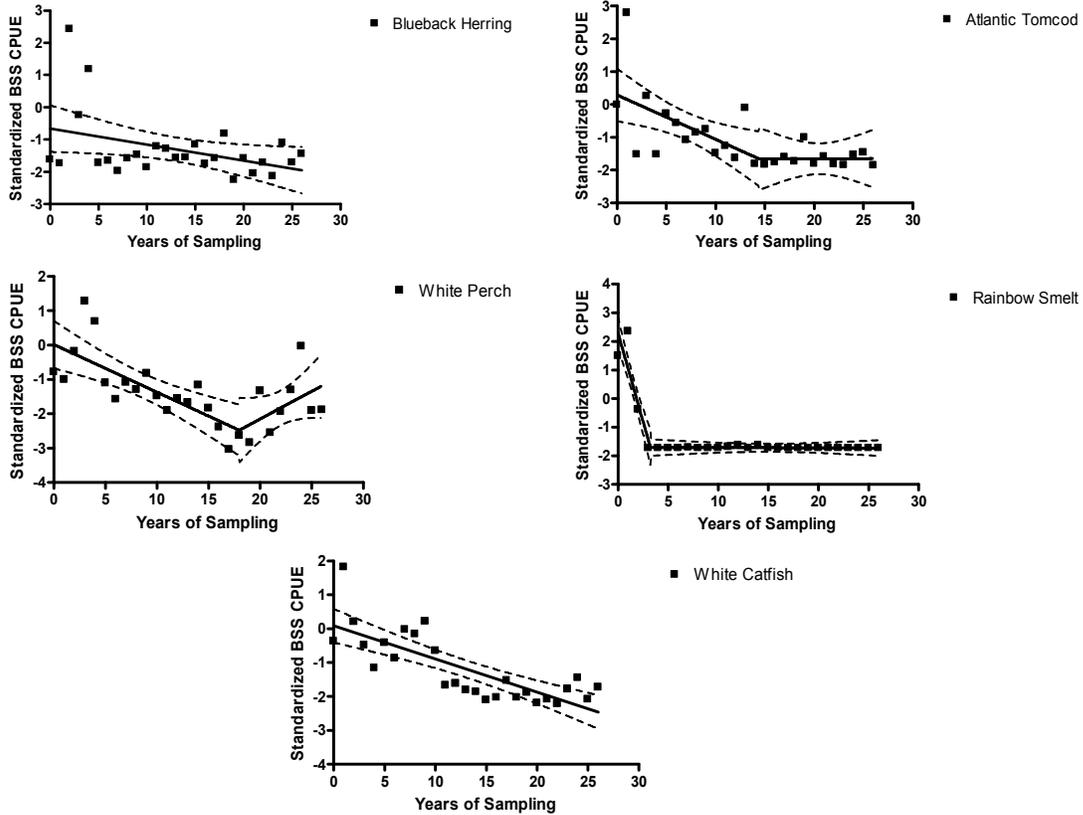
Species	Linear Regression			Segmented Regression			
	MSE	Slope	p-value	MSE	95 percent CI Slope 1	Join Point	95 percent CI Slope 2
Alewife	0.996	0.027 ± 0.025	0.281	0.944	-0.417 to 0.087	1987	-0.001 to 0.177
Bay Anchovy	0.971	-0.038 ± 0.024	0.123	0.927	-0.631 to 0.094	1986	-0.063 to 0.085
American Shad	0.991	-0.030 ± 0.025	0.235	0.981	-0.103 to 0.198	1992	-0.240 to 0.029
Bluefish	0.478	-0.019 ± 0.012	0.121	0.439	-0.103 to -0.013	1995	-0.038 to 0.165
Hogchoker	0.969	-0.039 ± 0.024	0.113	0.913	-0.212 to 0.983	1983	-0.141 to -0.014
Blueback Herring	0.937	-0.050 ± 0.023	0.042	0.940	-0.429 to 0.091	1987	-0.101 to 0.075
Spottail Shiner	0.965	0.041 ± 0.024	0.101	0.928	-0.448 to 0.145	1987	0.012 to 0.172
Striped Bass	0.908	0.057 ± 0.022	0.017	0.941	-0.347 to 0.373	1986	-0.010 to 0.147
Atlantic Tomcod	0.802	-0.078 ± 0.020	0.001	0.787	-0.232 to -0.038	1993	-0.135 to 0.137
White Perch	0.859	-0.068 ± 0.021	0.004	0.737	-0.208 to -0.070	1997	-0.036 to 0.358
Rainbow Smelt	0.875	-0.065 ± 0.022	0.006	0.327	-1.54 to -0.939	1982	-0.022 to 0.021
White Catfish	0.642	-0.098 ± 0.016	< 0.001	0.668	-2.02 to 1.89	1980	-0.138 to -0.061
Weakfish	1.01	-0.021 ± 0.025	0.407	0.996	-0.514 to 1.33	1982	-0.111 to 0.018

1
2**Table I-21 Riverwide Assessment of the Level of Potential Negative Impact Based on the BSS CPUE**

Species	Best Fit	General Trend	Percent Outside Defined Level of Noise (percent)	Support for Possible Negative Impact	Final Decision
Alewife	SR	S1 = 0 S2 = 0	41	Yes	2
Bay Anchovy	SR	S1 = 0 S2 = 0	56	Yes	2
American Shad	SR	S1 = 0 S2 = 0	37	No	1
Bluefish	SR	S1 < 0 S2 = 0	11	No	2
Hogchoker	SR	S1 = 0 S2 < 0	19	No	2
Blueback Herring	LR	S < 0	93	Yes	4
Spottail Shiner	SR	S1 = 0 S2 > 0	26	No	1
Striped Bass	LR	S > 0	33	No	1
Atlantic Tomcod	SR	S1 < 0 S2 = 0	74	Yes	4
White Perch	SR	S1 < 0 S2 = 0	81	Yes	4
Rainbow Smelt	SR	S1 < 0 S2 = 0	96	Yes	4
White Catfish	LR	S < 0	67	Yes	4
Weakfish	SR	S1 = 0 S2 = 0	11	No	1

3 LR = Linear Regression; SR = Segmented Regression

Appendix I



1 **Figure I-16 Riverwide population trends based on the BSS standardized CPUE assigned**
 2 **a large level of potential negative impact**

3 **Table I-22 Competing Models Used To Characterize the Standardized Riverwide LRS**
 4 **Population Trend of YOY Atlantic Tomcod CPUE**

Species	Linear Regression			Segmented Regression			
	MSE	Slope	p-value	MSE	95 percent CI Slope 1	Join Point	95 percent CI Slope 2
Atlantic Tomcod	1.02	-0.006 ± 0.025	0.826	0.96	-2.38 to 0.439	1980	-0.037 to 0.081

1 **Table I-23 Riverwide Assessment of the Level of Potential Negative Impact Based on the**
 2 **Standardized LRS CPUE of Atlantic Tomcod**

Species	Best Fit	General Trend	Percent Outside Defined Level of Noise	Support for Possible Negative Impact	Final Decision
Atlantic Tomcod	SR	S1 = 0 S2 = 0	44	Yes	2

3 SR = Segmented Regression

4 **Table I-24 Competing Models Used To Characterize the Standardized Riverwide YOY**
 5 **Abundance Index Trends**

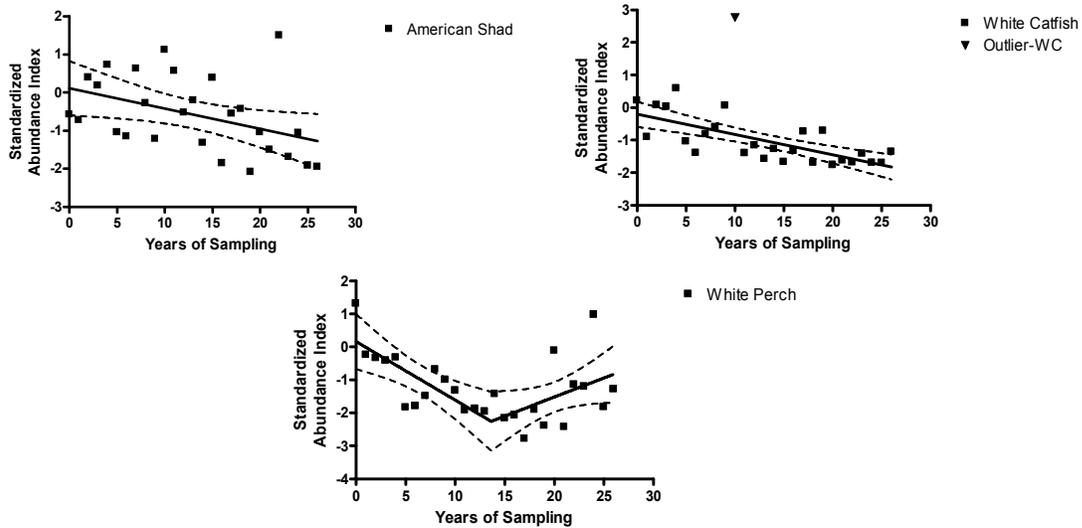
Species	Linear Regression			Segmented Regression			
	MSE	Slope	p-value	MSE	95 percent CI Slope 1	Join Point	95 percent CI Slope 2
Alewife	1.00	-0.024 ± 0.025	0.334	1.03	-0.200 to 0.075	1993	-0.149 to 0.195
Bay Anchovy	0.952	-0.045 ± 0.024	0.067	0.890	-0.137 to 0.317	1988	-0.192 to -0.014
American Shad	0.924	-0.053 ± 0.023	0.028	0.934	-0.163 to 0.221	1989	-0.199 to 0.010
Bluefish (All data)	1.00	0.023 ± 0.025	0.355	1.03	-0.274 to 0.195	1989	-0.053 to 0.158
Bluefish (1 outlier removed)	0.378	0.003 ± 0.009	0.775	0.359	-0.074 to 0.015	1994	-0.014 to 0.111
Hogchoker	0.992	-0.029 ± 0.025	0.244	0.964	-0.143 to 0.349	1988	-0.179 to 0.015
Blueback Herring	0.978	-0.036 ± 0.024	0.152	0.896	-0.077 to 0.380	1988	-0.200 to -0.020
Rainbow Smelt (All data)	1.02	-0.008 ± 0.025	0.759	Did Not Converge			
Rainbow Smelt (1 outlier removed)	0.269	-0.008 ± 0.007	0.253	0.265	-0.038 to 0.104	1987	-0.047 to 0.004
Spottail Shiner	0.972	0.038 ± 0.024	0.125	0.960	-0.164 to 0.100	1993	-0.025 to 0.270
Striped Bass	0.952	0.045 ± 0.024	0.067	0.970	-0.081 to 0.114	1996	-0.126 to 0.369
Atlantic Tomcod	0.969	-0.039 ± 0.024	0.112	0.852	-0.051 to 0.323	1989	-0.223 to -0.036
White Catfish (All data)	0.854	-0.069 ± 0.021	0.003	Did Not Converge			
White Catfish (1 outlier removed)	0.495	-0.062 ± 0.012	< 0.001	Did Not Converge			
White Perch	0.964	-0.041 ± 0.024	0.096	0.795	-0.286 to -0.068	1993	-0.007 to 0.237
Weakfish	0.900	-0.059 ± 0.022	0.013	0.854	-0.329 to 0.689	1984	-0.153 to -0.028

Appendix I

1 **Table I-25 Riverwide Assessment of the Level of Potential Negative Impact**
 2 **Based on the Abundance Index**

Species	Best Fit	General Trend	Percent Outside Defined Level of Noise (percent)	Support for Possible Negative Impact	Final Decision
Alewife	LR	S = 0	33	No	1
Bay Anchovy	SR	S1 = 0 S2 < 0	30	No	2
American Shad	LR	S < 0	52	Yes	4
Bluefish (All data)	LR	S = 0	7	No	1
Bluefish (1 outlier removed)	SR	S1 = 0 S2 = 0	7	No	1
Hogchoker	SR	S1 = 0 S2 = 0	15	No	1
Blueback Herring	SR	S1 = 0 S2 < 0	19	No	2
Rainbow Smelt (All data)	LR	S = 0	4	No	1
Rainbow Smelt (1 outlier removed)	SR	S1 = 0 S2 = 0	4	No	1
Spottail Shiner	SR	S1 = 0 S2 = 0	26	No	1
Striped Bass	LR	S = 0	30	No	1
Atlantic Tomcod	SR	S1 = 0 S2 < 0	19	No	2
White Catfish (All data)	LR	S < 0	63	Yes	4
White Catfish (1 outlier removed)	LR	S < 0	63	Yes	4
White Perch	SR	S1 < 0 S2 = 0	70	Yes	4
Weakfish	SR	S1 = 0 S2 < 0	15	No	2

3 LR = Linear Regression; SR = Segmented Regression



1 **Figure I-17 Riverwide population trends based on the abundance index assigned a large**
 2 **level of potential negative impact**

3 The results of the two measurement metrics—CPUE (number of RIS captured by the sampler
 4 for a given volume of water derived by the NRC staff) and the abundance index provided by the
 5 applicant—were combined for the assessment of riverwide population impacts. Table I-26
 6 presents the numeric results compiled from Tables I-19, I-21, I-23, and I-25 above and used to
 7 derive Table H-14 in Section H.3 in the draft SEIS.

1

Table I-26 Assessment of Riverwide Population Impacts

Species	CPUE			Abundance Index	Riverwide Assessment
	FSS	BSS	LRS		
Alewife	2	2	N/A ^a	1	1.7
Bay Anchovy	1	2	N/A	2	1.7
American Shad	4	1	N/A	4	3.0
Bluefish	4	2	N/A	1	2.3
Hogchoker	2	2	N/A	1	1.7
Atlantic Menhaden	N/A	N/A	N/A	N/A	Unknown
Blueback Herring	4	4	N/A	2	3.3
Rainbow Smelt	N/A	4	N/A	1	2.5
Shortnose Sturgeon	N/A	N/A	N/A	N/A	Unknown
Spottail Shiner	1	1	N/A	1	1.0
Atlantic Sturgeon	N/A	N/A	N/A	N/A	Unknown
Striped Bass	1	1	N/A	1	1.0
Atlantic Tomcod	2	4	2	2	2.5
White Catfish	N/A	4	N/A	4	4.0
White Perch	4	4	N/A	4	4.0
Weakfish	N/A	1	N/A	2	1.5
Gizzard Shad	N/A	N/A	N/A	N/A	Unknown
Blue Crab	N/A	N/A	N/A	N/A	Unknown

2 I.2.2. Analysis of Strength of Connection

3 To determine whether the operation of the IP2 and IP3 cooling systems has the potential to
 4 influence RIS populations near the facilities or within the lower Hudson River, the NRC staff
 5 conducted a strength-of-connection analysis. Measurements used for this analysis include
 6 monitoring data at IP2 and IP3 from 1975–1990 that provide information on impingement and
 7 entrainment rates for RIS and prey of RIS, as well as River Segment 4 (IP2 and IP3) population-
 8 density data from the FSS and BSS.

9 The analysis of effects of impingement was based on the concordance of ranked proportions of
 10 the number of YOY and yearling fish of each species impinged in relation to the sum of all fish
 11 impinged and the ranked proportions of each species abundance in the river near IP2 and IP3
 12 relative to the total abundance of the 18 RIS. Likewise, the effects of entrainment were based
 13 on the concordance of ranked proportions of the estimated number entrained for all life stages
 14 for a given species in relation to the abundance of all fish entrained and the ranked proportion of
 15 each species abundance in the river near IP2 and IP3 relative to the total abundance of the RIS.

16 An estimate of the population abundance (S_i) for a given species in the vicinity of IP2 and IP3
 17 was estimated as the maximum over all years (1979–1990) of the annual 75th percentile of
 18 weekly density measures from all habitats. Thus, S_i for each species was the maximum annual
 19 sum of the FSS and BSS 75th percentile of weekly densities from the river segment near IP2

1 and IP3 (Table I-27). The estimate of the total RIS community abundance (S_{RIS}) caught in the
2 vicinity of IP2 and IP3 was the sum of the maximum densities of each species.

3 The density of each species impinged (Imp_i) was estimated by the 75th percentile of the annual
4 (1975–1990) density impinged at IP2. IP2 typically had 2.8 times more fish impinged than IP3.
5 The annual density impinged was the sum of the seasonal (January–March, April–June, July–
6 September, October–December) densities calculated as the estimated number impinged
7 divided by the number of samples taken (Table I-28). The estimate of the total density of RIS
8 impinged (Imp_{RIS}) was the 75th percentile of the annual sum of all RIS densities impinged at IP2.

9 The estimate of $\frac{Imp_i}{Imp_{RIS}}$ was the ratio of the density of an individual species impinged to the
10 total RIS density.

11 The density of each species entrained for a given season and year (1981–1987) was calculated
12 as the mean number entrained divided by the number of samples taken (Table I-29). Density
13 estimates were based on the combined entrainment from IP2 and IP3. The estimate of $\frac{E_i}{E_{RIS}}$

14 was the maximum over years of the ratio of the density of an individual species entrained to the
15 total RIS density.

16 Because of the error and bias in estimation of each of these parameters, only the ranks of each
17 ratio were considered a reliable measure of connection. Thus, to estimate the overall strengths
18 of connection between the IP2 and IP3 cooling systems and the RIS in the Hudson River near
19 the facilities, the estimates of $\frac{Imp_i}{Imp_{RIS}}$, $\frac{E_i}{E_{RIS}}$, and $\frac{S_i}{S_{RIS}}$ for each species were ranked from

20 1 (low proportion) to 18 (high proportion), and then the ratio of the ranks were compared as a
21 measure of the strength of connection for impingement (Table I-30) and entrainment
22 (Table I-31).

23 Potential food web impacts on RIS associated with the loss of prey caused by impingement or
24 entrainment, based on the relationship presented in the conceptual model (Section 4.1.3 in the
25 main text), were also considered. Indirect impacts on predator fish (bluefish, spottail shiner,
26 striped bass, white perch, and weakfish) were based on the largest observed strength of
27 connection associated with their prey. Thus, for YOY bluefish, which preys on juvenile bay
28 anchovy and Atlantic tomcod, a loss of prey associated with impingement was estimated as
29 1.33 (the maximum of 0.88 for anchovy and 1.33 for tomcod) (Table I-31). The remaining YOY
30 predator-prey relationships were YOY spottail shiner prey on YOY striped bass; YOY striped
31 bass prey on YOY bay anchovy, hogchoker, Atlantic tomcod, and weakfish; YOY white perch
32 prey on YOY bay anchovy; and YOY weakfish prey on YOY bay anchovy. All remaining YOY
33 RIS eat plankton, zooplankton, benthic invertebrates, and amphipods. These prey were
34 assumed to be unaffected by the cooling systems, and a low strength of connection was
35 concluded. The results of this analysis are presented in Table H-16 in Section H.3 of the draft
36 SEIS and in Table I-32.

Appendix I

1 **Table I-27 Sum of the FSS and BSS 75th Percentiles of the Weekly Density Caught at**
 2 **River Segment 4**

Year	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	Maximum = S _i
Alewife	1.01	0.55	6.94	2.86	2.36	0.21	1.31	1.28	0.36	0.93	1.42	0.87	6.94
Bay Anchovy	96.33	198.05	342.15	391.41	82.03	194.88	106.25	77.11	73.54	153.21	303.60	48.77	391.41
American Shad	5.49	4.90	19.04	8.42	7.77	7.00	6.59	13.68	5.33	4.62	23.27	5.33	23.27
Bluefish	0.52	1.23	1.03	1.06	1.66	1.30	1.41	0.52	0.63	0.20	0.30	1.64	1.66
Hogchoker	0.56	1.31	1.69	1.20	0.16	0.53	0.83	1.94	3.09	4.27	0.44	1.14	4.27
Atlantic Menhaden	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Blueback Herring	10.39	3.31	38.43	3.56	8.94	24.15	24.46	5.25	17.82	29.09	8.52	10.71	38.43
Rainbow Smelt	3.12	0.63	0.00	0.12	0.00	0.65	0.00	0.97	0.58	0.39	0.00	1.36	3.12
Shortnose Sturgeon	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Spottail Shiner	3.20	0.20	0.60	5.80	1.19	0.25	0.20	0.75	0.20	0.73	1.80	3.10	5.80
Atlantic Sturgeon	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Stripped Bass	4.28	4.60	15.24	15.15	12.47	12.17	3.09	6.83	13.42	12.64	9.15	12.58	15.24
Atlantic Tomcod	2.34	1.12	4.09	3.85	0.67	11.94	1.65	5.68	2.20	2.76	2.04	1.60	11.94
White Catfish	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.03	0.00	0.00	0.00	0.03
White Perch	16.51	14.90	18.74	15.69	7.36	8.19	10.82	22.56	13.16	10.83	2.94	4.38	22.56
Weakfish	0.90	1.72	2.21	9.21	1.36	11.11	1.76	0.76	0.45	3.17	1.42	1.00	11.11
Gizzard Shad	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Table I-28 Annual Density of RIS Impinged at IP2

Year	1975	1976	1977	1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990
Alewife	97.1	23.4	231.5	305.9	40.6	79.2	2300.1	269.4	750.0	148.3	181.1	224.8	441.7	169.7	92.5	221.6
Bay Anchovy	2394.4	304.6	1838.3	2871.8	2308.8	2677.7	47136.1	11429.7	11474.6	242.9	441.8	9285.6	2740.3	2343.0	166.1	1363.5
American Shad	26.2	38.5	52.4	421.7	129.2	331.7	8859.3	191.9	909.3	15.1	36.9	629.5	440.2	122.7	202.8	165.5
Bluefish	37.1	0.8	185.5	32.5	3.0	85.6	399.2	638.2	2599.2	7.9	47.3	762.0	1883.8	501.8	114.4	1031.6
Hogchoker	441.7	149.2	216.0	564.3	469.7	372.4	513.3	6088.4	2200.4	345.2	388.1	4253.9	3835.6	6687.7	4051.7	3071.9
Atlantic Menhaden	1.2	1.6	3.4	4.0	0.6	2.0	244.4	34.2	77.5	4.4	37.3	769.7	352.4	144.0	144.4	166.3
Blueback Herring	2902.9	4213.6	4930.9	5214.0	2157.9	290.2	5193.5	191.3	4361.3	176.9	157.8	395.3	3129.3	689.2	505.1	2424.5
Rainbow Smelt	111.9	59.8	290.7	519.7	390.2	180.4	25.2	274.0	413.3	48.9	82.5	1189.4	832.4	1868.3	50.2	140.7
Shorthead Sturgeon	0.0	0.0	0.1	0.0	0.0	0.0	0.0	0.0	0.0	17.6	0.0	0.0	5.0	0.0	0.0	0.0
Spottail Shiner	45.4	93.4	67.4	31.2	79.4	45.4	35.6	30.2	93.9	60.3	33.7	23.7	128.4	89.6	218.4	290.6
Atlantic Sturgeon	2.2	0.2	1.2	0.4	1.0	0.2	3.5	21.7	2.5	9.4	5.3	44.0	0.0	0.0	0.0	0.0
Striped Bass	111.3	110.7	268.3	469.5	828.5	252.5	1439.9	341.7	1048.5	304.3	457.2	827.8	2116.3	3226.0	1021.1	1766.7
Atlantic Tomcod	1808.4	657.1	11399.8	4920.4	1294.0	5458.4	7694.2	14207.7	13612.1	294.6	1723.5	13925.4	162126.7	1414.7	400.4	14222.0
White Catfish	175.9	202.1	148.4	41.0	39.0	14.7	36.1	101.1	139.1	118.2	73.0	159.7	171.8	580.7	255.8	488.7
White Perch	4598.9	6594.8	14043.3	6720.4	25784.8	10473.8	25537.3	25264.5	12479.6	13704.1	6513.9	13729.8	31258.0	33257.3	19242.0	54654.3
Weakfish	225.6	16.5	135.5	369.4	194.0	352.8	2325.6	3487.6	19315.7	47.9	175.4	769.6	290.9	2388.5	997.6	297.9
Gizzard Shad	15.0	30.5	33.1	11.1	12.7	1.2	19.1	25.7	86.8	126.0	34.2	131.9	71.6	206.2	355.9	427.5
RIS Total	12995	12497	33846	22497	33734	20618	101762	62597	69564	15672	10389	47122	209824	53689	27818	80733

Table I-29 Annual Density of RIS Entrained at IP2 and IP3 Combined

Year	1981		1983		1984		1985		1986		1987		
	Apr-June	July-Sep	Apr-June	July-Sep	Apr-June	July-Sep	Apr-June	July-Sep	Jan-Mar	Apr-June	July-Sep	Apr-June	July-Sep
Herring Family	5.27E+08	3.80E+06	4.01E+09	1.02E+07	2.67E+09	2.03E+05	6.70E+06	--	--	7.78E+08	1.02E+05	1.25E+07	--
Blueback Herring	--	1.40E+07	3.58E+05	2.99E+07	2.49E+05	8.25E+05	--	6.22E+05	--	4.95E+04	9.93E+04	--	--
Alewife	--	--	--	5.46E+06	1.08E+05	--	--	--	--	6.61E+05	--	4.40E+04	--
American Shad	2.68E+07	4.47E+06	9.50E+06	2.36E+05	4.26E+08	2.18E+05	4.87E+05	--	--	2.12E+06	--	6.53E+04	9.60E+04
Alosa Species	1.78E+09	1.55E+07	2.99E+09	2.06E+06	4.01E+09	6.57E+05	1.54E+07	--	2.14E+06	9.90E+05	--	2.19E+04	--
Atlantic Menhaden	--	--	--	--	--	--	2.48E+06	--	--	7.99E+06	--	--	--
Anchovy Family	7.47E+08	7.50E+09	1.95E+06	9.73E+09	1.24E+08	2.11E+09	--	--	--	--	--	--	--
Bay Anchovy	1.12E+10	8.30E+10	6.51E+06	1.20E+10	1.79E+09	2.15E+10	1.79E+09	1.37E+10	--	1.01E+08	3.81E+09	4.80E+08	5.20E+09
Rainbow Smelt	--	--	2.88E+04	--	1.98E+07	3.59E+06	7.58E+05	1.54E+05	3.49E+08	5.06E+07	7.77E+06	8.59E+06	3.25E+06
Spottail Shiner	--	--	--	5.00E+05	--	2.18E+05	--	--	--	--	--	--	--
White Catfish	--	--	--	--	--	1.96E+05	--	--	5.33E+05	2.37E+04	--	--	--
Atlantic Tomcod	2.15E+08	--	5.18E+06	9.13E+05	1.32E+08	1.34E+07	1.66E+08	5.48E+05	1.84E+08	4.48E+07	--	1.48E+07	1.18E+06
White Perch	3.72E+09	7.31E+07	1.43E+09	1.33E+08	6.60E+08	1.10E+08	1.42E+08	9.95E+06	1.27E+07	6.77E+08	1.42E+07	8.81E+07	1.80E+07
Striped Bass	5.92E+09	1.22E+07	8.00E+08	1.82E+08	1.04E+09	7.58E+08	2.65E+08	7.15E+05	--	7.64E+08	7.54E+06	3.88E+08	1.67E+07
Morone Species	--	--	6.53E+08	4.27E+07	1.33E+08	3.31E+07	6.71E+07	3.29E+05	--	7.34E+07	1.02E+06	2.96E+07	9.27E+05
Perch Family	--	--	4.28E+07	--	1.29E+07	--	4.36E+04	--	--	5.34E+05	4.96E+04	4.20E+04	--
Bluefish	--	--	--	--	--	2.15E+05	--	1.07E+06	--	--	--	--	--
Weakfish	--	2.62E+08	--	2.64E+08	--	5.53E+08	1.96E+06	1.03E+08	--	--	1.44E+07	1.52E+05	2.35E+06
Hogchoker	3.32E+06	2.70E+08	5.84E+05	1.37E+08	2.82E+06	4.81E+07	3.45E+04	3.90E+07	--	2.35E+04	1.33E+07	1.30E+05	4.61E+06
Total RIS	2.42E+10	9.11E+10	9.95E+09	2.26E+10	1.10E+10	2.51E+10	2.46E+09	1.39E+10	5.48E+08	2.50E+09	3.86E+09	1.02E+09	5.25E+09

-- = Not identified in sample

Table I-30 Assessment of Impingement

Species	75th Percentile of Impingement	$\text{Imp}_i / \text{Imp}_{\text{RIS}}$	Rank of Impingement Ratio	Max Density Caught in River	S_i / S_{RIS} (percent)	Rank of Fish Density in River Segment 4	Rank of Impingement : Rank of Fish Density
Alewife	279	0.43 percent	7	6.94	1.30	10	0.70
Bay Anchovy	4475	6.96 percent	15	391.41	73.05	17	0.88
American Shad	426	0.66 percent	8	23.27	4.34	15	0.53
Bluefish	669	1.04 percent	10	1.66	0.31	6	1.67
Hogchoker	3890	6.05 percent	13	4.27	0.80	8	1.63
Atlantic Menhaden	150	0.23 percent	5	0.00	0.00	1	Not Calculable
Blueback Herring	4251	6.61 percent	14	38.43	7.17	16	0.88
Rainbow Smelt	440	0.68 percent	9	3.12	0.58	7	1.29
Shortnose Sturgeon	0	0.00 percent	1	0.00	0.00	1	Not Calculable
Spottail Shiner	94	0.15 percent	3	5.80	1.08	9	0.33
Atlantic Sturgeon	4	0.01 percent	2	0.00	0.00	1	Not Calculable
Striped Bass	1146	1.78 percent	11	15.24	2.84	13	0.85
Atlantic Tomcod	13690	21.28 percent	16	11.94	2.23	12	1.33
White Catfish	182	0.28 percent	6	0.03	0.01	5	1.20
White Perch	25599	39.79 percent	17	22.56	4.21	14	1.21
Weakfish	1330	2.07 percent	12	11.11	2.07	11	1.09
Gizzard Shad	127	0.20 percent	4	0.00	0.00	1	Not Calculable
Total RIS	64339			535.77			

1

Table I-31 Assessment of Entrainment

Species	E_i / E_{RIS}	Rank of Entrainment Proportion	S_i / S_{RIS} (percent)	Rank of Fish Density in River Segment 4	Rank of Entrainment: Rank of Fish Density
Alewife	40.28 percent	13	1.30	10	1.3
Bay Anchovy	99.10 percent	17	73.05	17	1.0
American Shad	40.28 percent	13	4.34	15	0.9
Bluefish	0.01 percent	5	0.31	6	0.8
Hogchoker	0.61 percent	8	0.80	8	1.0
Atlantic Menhaden	0.32 percent	7	0.00	1	Not Calculable
Blueback Herring	40.28 percent	13	7.17	16	0.8
Rainbow Smelt	63.72 percent	16	0.58	7	2.3
Shortnose Sturgeon	0.00 percent	1	0.00	1	Not Calculable
Spottail Shiner	0.00 percent	4	1.08	9	0.4
Atlantic Sturgeon	0.00 percent	1	0.00	1	Not Calculable
Striped Bass	37.94 percent	11	2.84	13	0.8
Atlantic Tomcod	33.47 percent	10	2.23	12	0.8
White Catfish	0.10 percent	6	0.01	5	1.2
White Perch	37.94 percent	11	4.21	14	0.8
Weakfish	2.20 percent	9	2.07	11	0.8
Gizzard Shad	0.00 percent	1	0.00	1	Not Calculable

1 **Table I-32 Weight of Evidence for the Strength-of-Connection Line of Evidence Based on**
 2 **the Result Scores of Low = 1, Medium = 2, and High = 3**

Measurement	Impingement Result Score		Entrainment Result Score		WOE Score ^b	Strength of Connection
	RIS	Prey	RIS	Prey		
Use and Utility^a	1.9	2.0	1.6	2.1		
Alewife	2	1	2	1	1.5	Low to Medium
Bay Anchovy	2	1	2	1	1.5	Low to Medium
American Shad	2	1	2	1	1.5	Low to Medium
Bluefish	4	2	2	2	2.5	High
Hogchoker	4	1	2	1	2.0	Medium to High
Atlantic Menhaden	Unknown	1	Unknown	1	Unknown	Unknown
Blueback Herring	2	1	2	1	1.5	Low to Medium
Rainbow Smelt	2	1	4	1	1.9	Medium
Shortnose Sturgeon	Unknown	1	Unknown	1	Unknown	Unknown
Spottail Shiner	1	2	1	2	1.5	Low to Medium
Atlantic Sturgeon	Unknown	1	Unknown	1	Unknown	Unknown
Striped Bass	2	4	2	2	2.5	High
Atlantic Tomcod	2	1	2	1	1.5	Low to Medium
White Catfish	2	1	2	1	1.5	Low to Medium
White Perch	2	2	2	2	2.0	Medium to High
Weakfish	2	2	2	2	2.0	Medium to High
Gizzard Shad	Unknown	1	Unknown	1	Unknown	Unknown
Blue Crab	Unknown	1	Unknown	1	Unknown	Unknown
(a) Use and Utility: Low = <1.5, Medium = ≥1.5 but ≤2.0, High = >2.0						
(b) WOE Score: Small = <1.5; Small-Moderate = 1.5; Moderate = >1.5 but <2.0; Moderate-Large = 2.0; Large = >2.0						

3 I.3 Cumulative Impacts on Aquatic Resources

4 Zebra Mussels

5 For this analysis, the 75th percentile of the weekly FSS and BSS density and CPUE data from
 6 Region 12 (Albany) were used to evaluate the population trend LOE for impacts associated with
 7 a zebra mussel invasion. Data for white perch, blueback herring, alewife, American shad, white
 8 catfish, spottail shiner, and striped bass were used in the analysis because all have high
 9 densities of YOY within this region. The data were standardized based on the first 5-year mean
 10 and the standard deviation of all annual results (1979 to 2005). Only weeks 27 to 43 were used
 11 in the analysis for the FSS and weeks 22 to 43 for the BSS survey, so that most years
 12 contained observations from the months of July through October and June through October for
 13 each survey, respectively. Effects associated with changes in gear types for the FSS (1985)
 14 were also considered.

15 Simple linear regression and segmented regression with a single join point were fit to the annual
 16 measure of abundance for each RIS, as described in Section H.3. The model with the smallest
 17 MSE was chosen as the better fit to the data. If the best-fit model was the simple linear
 18 regression and the slope was statistically significantly less than 0 ($\alpha = 0.05$), a negative
 19 population trend was considered detected. If the slope was not significantly different from 0,

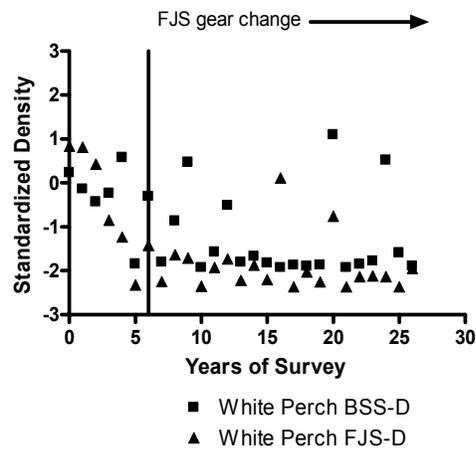
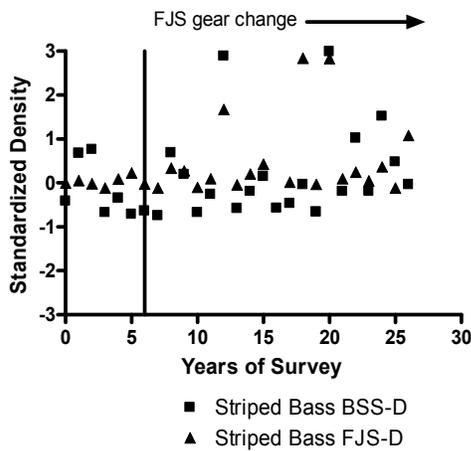
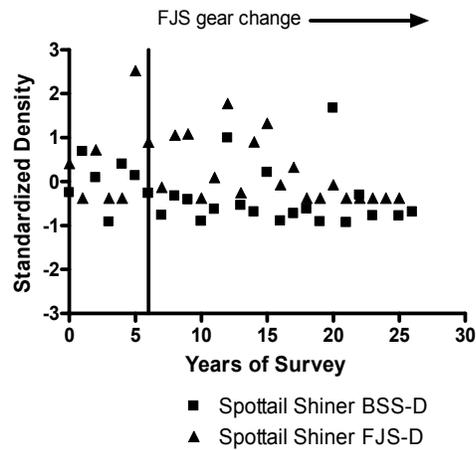
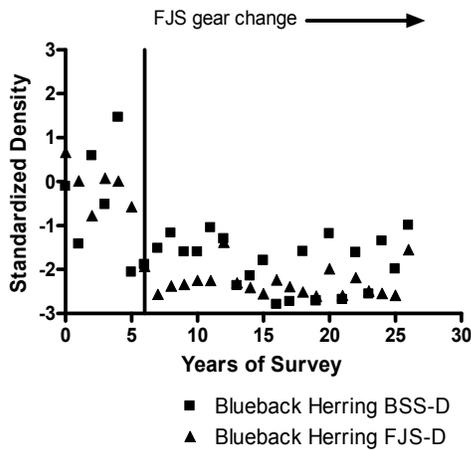
Appendix I

1 then a population trend was not considered detected. If the best-fit model was the segmented
2 regression and either slope, S_1 or S_2 , was statistically significantly less than 0 ($\alpha = 0.05$), then a
3 negative population trend was considered detected. If both slopes S_1 and S_2 were not
4 significantly different from 0 ($\alpha = 0.05$), then the trend was not considered detected.

5 An assessment of adverse impact was only supported if more than 40 percent of the
6 standardized observations were outside the bounds of ± 1 . For a normal bell-shaped
7 distribution with a mean of 0 and a standard deviation of 1, 32 percent of the observations are
8 outside the bounds of ± 1 standard deviation. Thus, observations outside the boundaries of ± 1
9 standard deviation from the mean of the first 5 years were considered outside the natural
10 variability (noise). If more than 40 percent of the standardized observations were outside this
11 defined level of noise, then a potential for adverse impact was considered supported.

12 Data collected between 1985 and 2005 are not temporally disconnected from the 1991 invasion
13 of zebra mussels. However, because of earlier impacts, there is a potential that fish populations
14 stabilized pre-1985 to a lower abundance level. If changes in gear types have affected the
15 observed population response, only data post-1985 were used. For this analysis, data were
16 standardized with the average of 1985 to 1989 and the standard deviation of all data between
17 1985 and 2005. This analysis was used only when the observed response from all data was
18 biologically different from the BSS population density trend and had a decline associated with
19 the gear change.

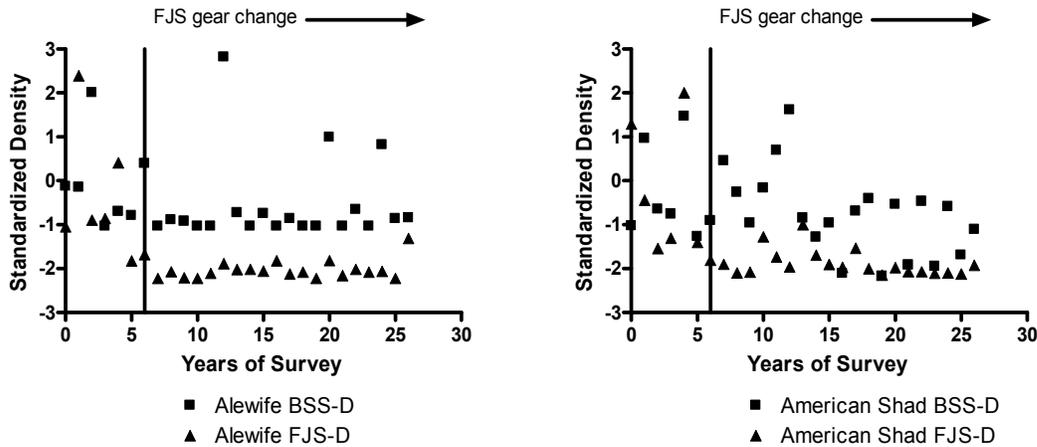
20 A visual comparison of the river-segment FSS standardized density with the BSS standardized
21 density suggested that the trends for blueback herring, spottail shiner, striped bass, and white
22 perch were not biologically different (Figure I-18). Observations from both surveys overlap and
23 cross over each other. Thus, for these RIS, all of the FSS data (1979–2005) were used in the
24 regression analysis. The FSS density data for alewife and American shad, however, did show a
25 potential gear effect (Figure I-19), and a post-1985 analysis was conducted.



1 Note: All data were used in WOE analysis.

2 **Figure I-18 River Segment 12 population trends based on the BSS and FSS standardized**
 3 **density (D) not considered biologically different**

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1 Note: Post-1985 data were analyzed for WOE analysis.

2 **Figure I-19 River Segment 12 population trends based on the BSS and FSS standardized**
 3 **density (D) for which the FSS may indicate a gear difference**

4 The following tables are the intermediate analyses for the assessment of population trends
 5 associated with fish density sampled from River Segment 12 (Albany). Results of these river-
 6 segment trend analyses are compiled in Table H-18 in Section H.4 of the draft SEIS. The data
 7 used in this analysis, in order of appearance, were the standardized 75th percentile of the
 8 weekly fish density for a given year collected from the FSS (Table I-33, Table I-34, and
 9 Figure I-20) and BSS (Table I-35, Table I-36, and Figure I-21).

10 Two extreme outliers (values greater than 2 standard deviations away from the mean) were
 11 removed from the FSS spottail shiner density regression analysis (Tables I-33 and I-34). Three
 12 extreme outliers were also removed from the FSS striped bass density (values greater than
 13 2 standard deviations away from the mean) regression analysis and one extreme outlier from
 14 the FSS white catfish density (value greater than 2 standard deviations away from the mean)
 15 regression analysis because of the influence these data had on the regression results. The
 16 results of the regression models with the observations removed were more conservative and
 17 were used for the trend analysis.

18 One extreme outlier (value greater than 2 standard deviations away from the mean) was
 19 removed from the BSS alewife density regression analysis (Tables I-35 and I-36). One value
 20 was also removed from the BSS American shad density (value greater than 1.6 standard
 21 deviations away from the mean) regression analysis, one extreme outlier from the BSS spottail
 22 shiner density (value greater than 3 standard deviations away from the mean) regression
 23 analysis, and two extreme outliers from the BSS striped bass density (values greater than
 24 2 standard deviations away from the mean) regression analysis because of the influence these
 25 data had on the regression results. The results of the regression models with the observations
 26 removed were more conservative and were used for the trend analysis.

1 **Table I-33 Competing Models Used To Characterize the Standardized River Segment 12**
 2 **(Albany) Fall Juvenile Survey Population Trends of YOY Fish Density**

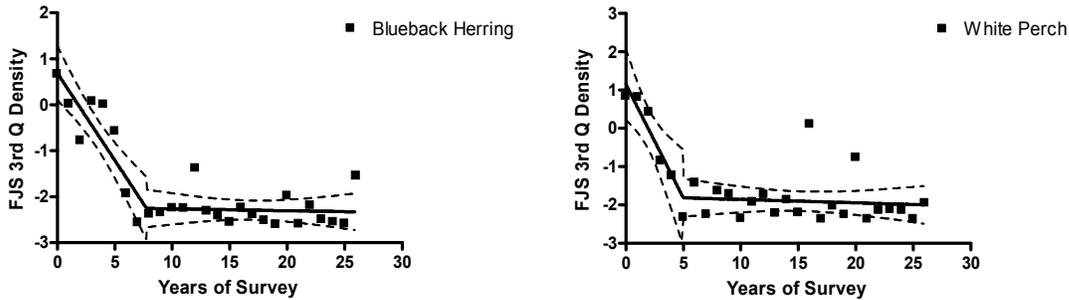
Species	Linear Regression			Segmented Regression			
	MSE	Slope	p-value	MSE	95 percent CI Slope 1	Join Point	95 percent CI Slope 2
Alewife (1985–2005)	1.01	0.031 ± 0.036	0.409	0.95	-5.66 to 2.00	1986	-0.028 to 0.139
American Shad (1985–2005)	0.95	-0.059 ± 0.034	0.102	0.90	-0.216 to 0.475	1992	-0.271 to -0.0001
Blueback Herring	0.73	-0.088 ± 0.018	< 0.001	0.44	-0.520 to -0.238	1987	-0.042 to 0.034
Spottail Shiner (All data)	1.02	-0.007 ± 0.025	0.777	1.05	-0.553 to 0.695	1984	-0.095 to 0.059
Spottail Shiner (2 outliers removed)	0.65	-0.025 ± 0.017	0.158	0.59	-0.041 to 0.160	1991	-0.188 to -0.010
Striped Bass (All data)	0.975	0.037 ± 0.024	0.139	0.94	0.004 to 0.155	1999	-0.568 to 0.171
Striped Bass (3 outliers removed)	0.40	0.012 ± 0.010	0.253	0.42	-1.20 to 1.30	1980	-0.014 to 0.037
White Catfish (All data)	0.982	-0.034 ± 0.024	0.171	1.00	-0.118 to 0.123	1994	-0.283 to 0.096
White Catfish (1 outlier removed)	0.88	-0.022 ± 0.022	0.327	0.92	-1.15e+006 to 1.15e+006	1979	-0.070 to 0.026
White Perch	0.84	-0.071 ± 0.021	0.002	0.58	-0.972 to -0.212	1984	-0.049 to 0.031

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1 **Table I-34 River Segment 12 (Albany) Assessment of the Level of Potential Negative**
 2 **Impact Based on the Standardized FSS Density**

Species	Best Fit	General Trend	Percent Outside Defined Level of Noise	Support for Possible Negative Impact	Level of Potential Negative Impact
Alewife	SR	S1 = 0 S2 = 0	19 percent	No	1
American Shad	SR	S1 = 0 S2 < 0	14 percent	No	2
Blueback Herring	SR	S1 < 0 S2 = 0	78 percent	Yes	4
Spottail Shiner (All data)	LR	S = 0	22 percent	No	1
Spottail Shiner (2 outliers removed)	SR	S1 = 0 S2 < 0	22 percent	No	2
Striped Bass (All data)	SR	S1 > 0 S2 = 0	19 percent	No	1
Striped Bass (3 outliers removed)	LR	S = 0	19 percent	No	1
White Catfish (All data)	LR	S = 0	33 percent	No	1
White Catfish (1 outlier removed)	LR	S = 0	33 percent	No	1
White Perch	SR	S1 < 0 S2 = 0	78 percent	Yes	4

3 LR = Linear Regression; SR = Segmented Regression



4
 5 Note: Design Restricted

6 **Figure I-20 River Segment 12 (Albany) population trends based on the FSS standardized**
 7 **density assigned a large level of potential negative impact**

8

1 **Table I-35 Competing Models Used To Characterize the Standardized River Segment 12**
 2 **(Albany) Beach Seine Survey Population Trends of YOY Fish Density**

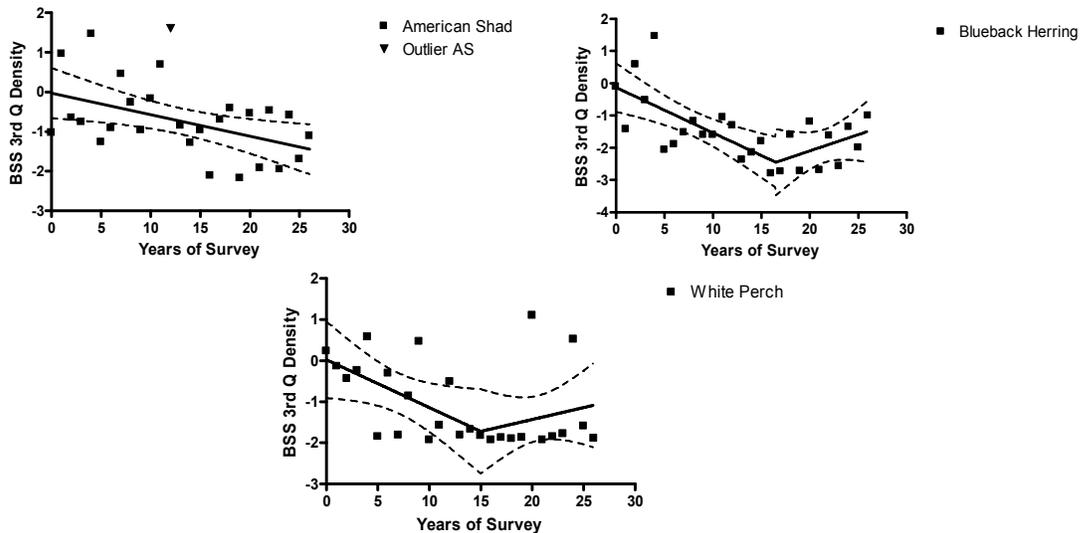
Species	Linear Regression			Segmented Regression			
	MSE	Slope	p-value	MSE	95 percent CI Slope 1	Join Point	95 percent CI Slope 2
Alewife (All data)	1.01	-0.020 ± 0.025	0.440	1.03	-0.877 to 0.472	1984	-0.073 to 0.071
Alewife (1 outlier removed)	0.78	-0.018 ± 0.019	0.373	0.74	-0.310 to 0.027	1989	-0.039 to 0.120
American Shad (All data)	0.91	-0.056 ± 0.023	0.020	Did Not Converge			
American Shad (1 value removed)	0.81	-0.055 ± 0.020	0.012	Did Not Converge			
Blueback Herring	0.87	-0.066 ± 0.022	0.005	0.78	-0.221 to -0.060	1996	-0.078 to 0.279
Spottail Shiner (All data)	1.02	0.007 ± 0.025	0.769	1.05	-1.23 to 0.765	1982	-0.050 to 0.087
Spottail Shiner (1 outlier removed)	0.66	-0.021 ± 0.017	0.232	0.68	-1.06 to 0.704	1982	-0.059 to 0.032
Striped Bass (All data)	0.99	0.030 ± 0.025	0.226	1.02	-0.787 to 0.544	1984	-0.024 to 0.117
Striped Bass (2 outliers removed)	0.61	0.020 ± 0.015	0.211	0.59	-0.483 to 0.148	1984	-0.003 to 0.088
White Perch	0.94	-0.048 ± 0.023	0.048	0.92	-0.229 to -0.003	1994	-0.100 to 0.216

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1 **Table I-36 River Segment 12 (Albany) Assessment of the Level of Potential Negative**
 2 **Impact Based on the Standardized BSS Density**

Species	Best Fit	General Trend	Percent Outside Defined Level of Noise (percent)	Support for Possible Negative Impact	Level of Potential Negative Impact
Alewife (All data)	LR	S = 0	44	Yes	2
Alewife (1 outlier removed)	SR	S1 = 0 S2 = 0	44	Yes	2
American Shad (All data)	LR	S < 0	41	Yes	4
American Shad (1 value removed)	LR	S < 0	41	Yes	4
Blueback Herring	SR	S1 < 0 S2 = 0	85	Yes	4
Spottail Shiner (All data)	LR	S = 0	7	No	1
Spottail Shiner (1 outlier removed)	LR	S = 0	7	No	1
Striped Bass (All data)	LR	S = 0	15	No	1
Striped Bass (2 outliers removed)	SR	S1 = 0 S2 = 0	15	No	1
White Perch	SR	S1 < 0 S2 = 0	63	Yes	4

3 LR = Linear Regression; SR = Segmented Regression

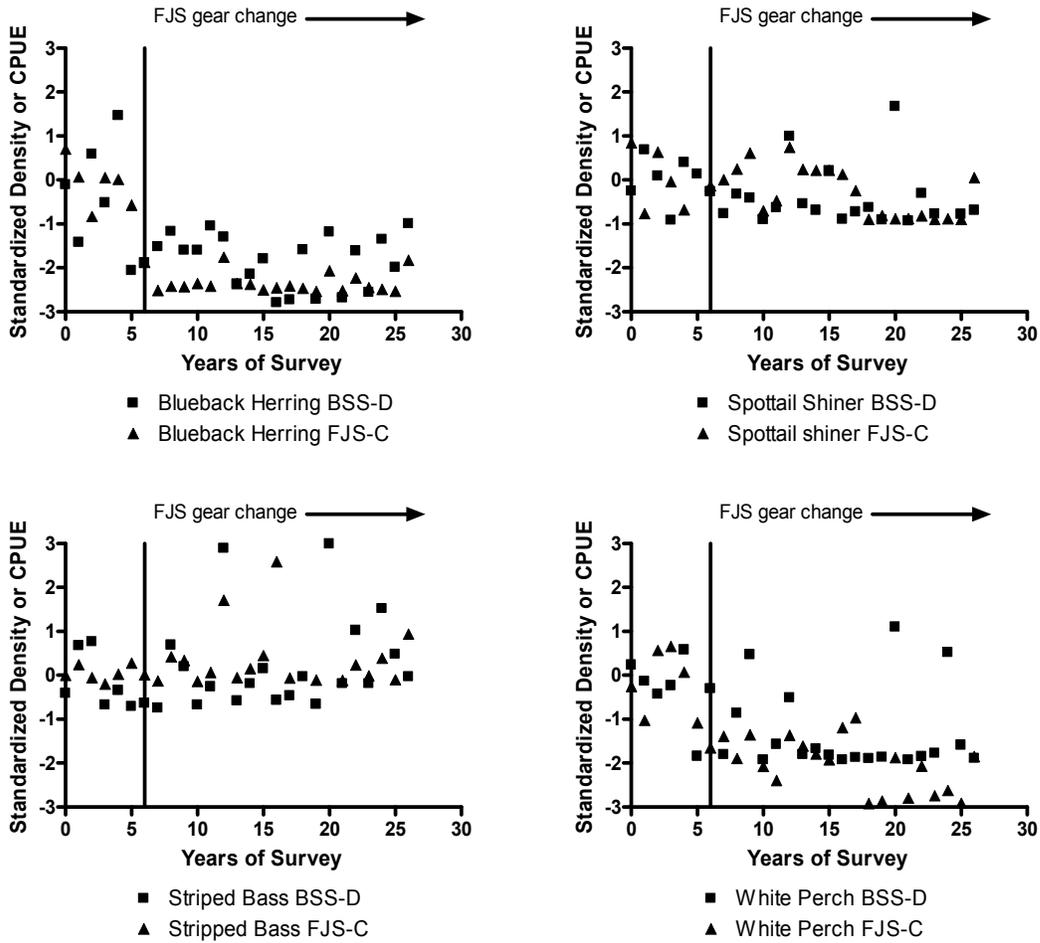


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 2 Note: Design Restricted

3 **Figure I-21 River Segment 12 (Albany) population trends based on the BSS standardized**
 4 **density assigned a large level of potential negative impact**

5 A visual comparison of the river-segment FSS standardized CPUE with the BSS standardized
 6 density suggested that the trends were not biologically different for blueback herring, spottail
 7 shiner, striped bass, and white perch (Figure I-22). Observations from both surveys overlap and
 8 cross over each other. Thus, for these RIS, all of the FSS data (1979–2005) were used in the
 9 regression analysis. The FSS density data for alewife and American shad, however, did show a
 10 potential gear effect (Figure I-23), and a post-1985 analysis was conducted.

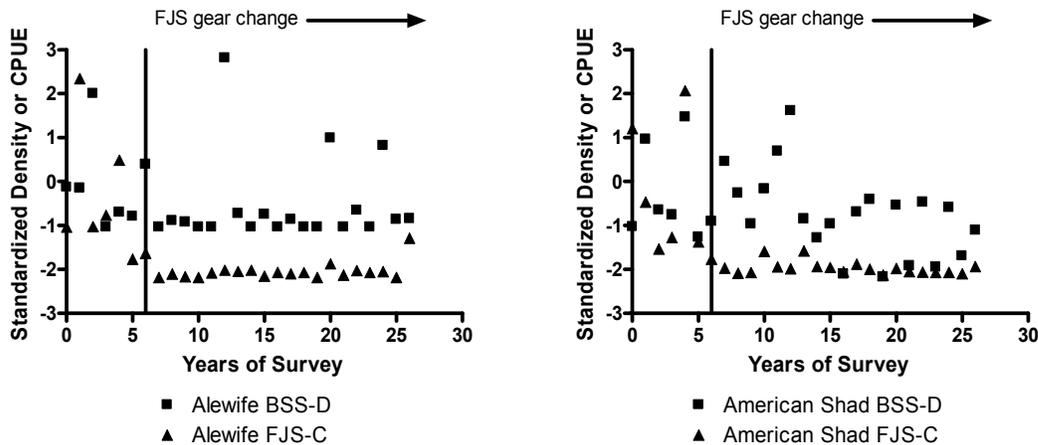
Appendix I



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2 Note: All data were used in WOE analysis.

3 **Figure I-22 River Segment 12 population trends based on the FSS standardized CPUE**
 4 **(C) and BSS density (D) not considered biologically different**



1
 2 Note: Post-1985 data were analyzed for WOE analysis.

3 **Figure I-23 River Segment 12 population trends based on the FSS standardized CPUE**
 4 **(C) and BSS density (D) for which the FSS may indicate a gear difference**

5 The following tables are the intermediate analyses for the assessment of population trends
 6 associated with fish CPUE sampled from River Segment 12 (Albany). Results of these river-
 7 segment trend analyses are compiled in Table H-18 in Section H.4 of the draft SEIS. The data
 8 used in this analysis were the standardized 75th percentile of the weekly fish CPUE for a given
 9 year collected from the FSS (Table I-37, Table I-38, and Figure I-23).

10 One extreme outlier (value greater than 3 standard deviations away from the mean) was
 11 removed from the FSS spottail shiner CPUE regression analysis (Tables I-37 and I-38), and one
 12 extreme outlier was removed from the FSS white catfish CPUE (value greater than 2 standard
 13 deviations away from the mean) regression analysis because of the influence these data had on
 14 the regression results. The results of the regression models with the observations removed
 15 were more conservative and were used for the trend analysis.

Appendix I

1 **Table I-37 Competing Models Used To Characterize the Standardized River Segment 12**
 2 **(Albany) Fall Juvenile Survey Population Trends of YOY Fish CPUE**

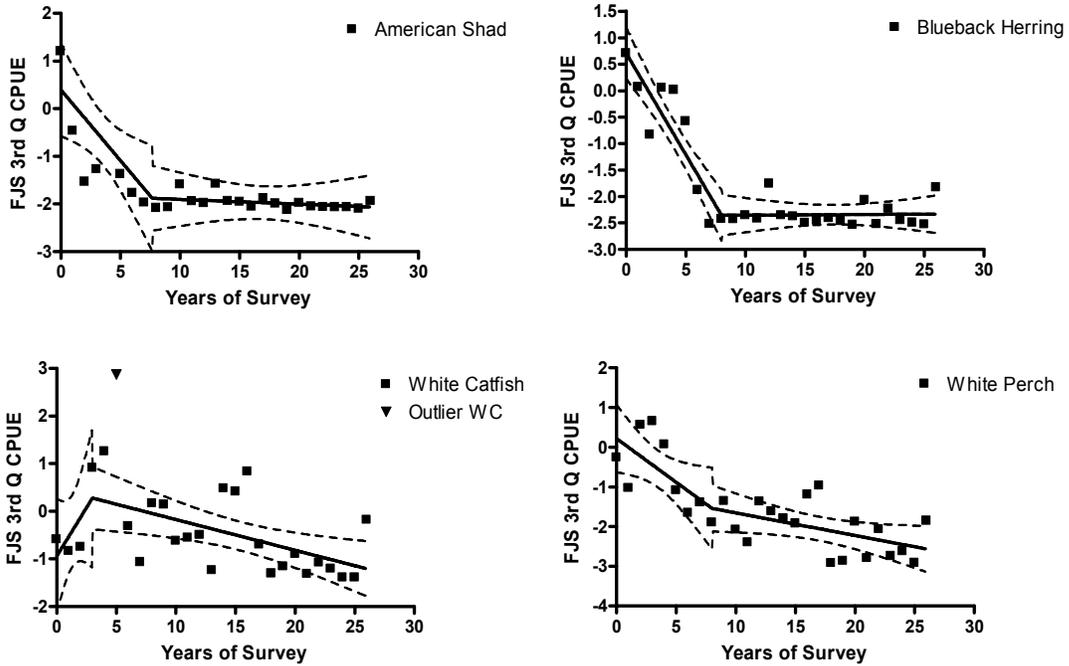
Species	Linear Regression			Segmented Regression			
	MSE	Slope	p-value	MSE	95 percent CI Slope 1	Join Point	95 percent CI Slope 2
Alewife (1985–2005)	1.00	0.033 ± 0.036	0.371	0.96	-0.185 to 0.083	1999	-0.108 to 0.656
American Shad (1985–2005)	0.94	-0.066 ± 0.034	0.064	0.96	-0.342 to 0.385	1992	-0.247 to 0.046
Blueback Herring	0.72	-0.089 ± 0.018	< 0.001	0.38	-0.484 to -0.282	1987	-0.035 to 0.037
Spottail Shiner (All data)	0.91	-0.057 ± 0.023	0.018	Did Not Converge			
Spottail Shiner (1 outlier removed)	0.52	-0.038 ± 0.013	0.008	0.53	-2.89 to 2.14	1980	-0.066 to -0.002
Striped Bass	0.98	0.034 ± 0.024	0.168	0.95	-0.010 to 0.162	1997	-0.415 to 0.180
White Catfish (All data)	0.91	-0.056 ± 0.023	0.020	Did Not Converge			
White Catfish (1 outlier removed)	0.72	-0.042 ± 0.018	0.031	0.68	-0.325 to 1.14	1982	-0.111 to -0.018
White Perch	0.67	-0.095 ± 0.017	< 0.001	0.64	-0.391 to -0.052	1987	-0.116 to 0.003

1 **Table I-38 River Segment 12 (Albany) Assessment of the Level of Potential Negative**
 2 **Impact Based on the Standardized FSS CPUE**

Species	Best Fit	General Trend	Percent Outside Defined Level of Noise (percent)	Support for Possible Negative Impact	Level of Potential Negative Impact
Alewife	SR	S1 = 0 S2 = 0	10	No	1
American Shad	LR	S = 0	52	Yes	2
Blueback Herring	SR	S1 < 0 S2 = 0	78	Yes	4
Spottail Shiner (All data)	LR	S < 0	4	No	2
Spottail Shiner (1 outlier removed)	LR	S < 0	4	No	2
Striped Bass	SR	S1 = 0 S2 = 0	15	No	1
White Catfish (All data)	LR	S < 0	41	Yes	4
White Catfish (1 outlier removed)	SR	S1 = 0 S2 < 0	41	Yes	4
White Perch	SR	S1 < 0 S2 = 0	81	Yes	4

3 LR = Linear Regression; SR = Segmented Regression

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1

2 Note: Design Restricted

3 **Figure I-24 River Segment 12 (Albany) population trends based on the FSS standardized**
4 **CPUE assigned a large level of potential negative impact**

5 The WOE analysis for River Segment 12, Albany, for all population trend data post-1991 is
6 presented in Table I-39. This table is a compilation of Tables I-34, I-36, and I-38 and was used
7 to derive Table H-18 in Section H.3 in the draft SEIS.

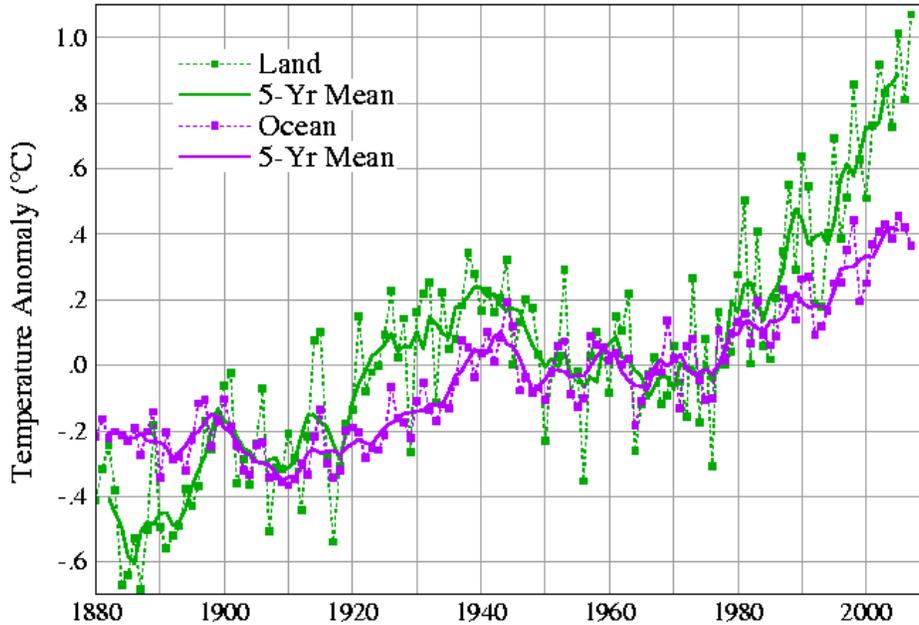
1 **Table I-39 River Segment 12 (Albany) Assessment of the Level of Potential Negative**
 2 **Impact Following Zebra Mussel Invasion in 1991 Based on the Standardized FSS and**
 3 **BSS Density and FSS CPUE**

Species	Trend Post-1991	Percent Outside Defined Level of Noise (percent)	Support for Possible Negative Impact	Level of Potential Negative Impact Post- 1991
FSS Density				
Alewife	S2 = 0	20	No	1
American Shad	S2 < 0	13	No	2
Blueback Herring	S2 = 0	100	Yes	2
Spottail Shiner	S2 < 0	20	No	2
Stripped Bass	S = 0	33	No	1
White Catfish	S = 0	40 p	No	1
White Perch	S2 = 0	87	Yes	2
BSS Density				
Alewife	S2 = 0	47	Yes	2
American Shad	S < 0	53	Yes	4
Blueback Herring	S2 = 0	93	Yes	2
Spottail Shiner	S = 0	13	No	1
Stripped Bass	S2 = 0	27	No	1
White Perch	S2 = 0	87	Yes	2
FSS CPUE				
Alewife	S2 = 0	7	No	1
American Shad	S = 0	53	Yes	2
Blueback Herring	S2 = 0	100	Yes	2
Spottail Shiner	S < 0	0	No	2
Stripped Bass	S2 = 0	27	No	1
White Catfish	S2 < 0	53	Yes	4
White Perch	S2 = 0	93	Yes	2

4 Water Quality and Temperature

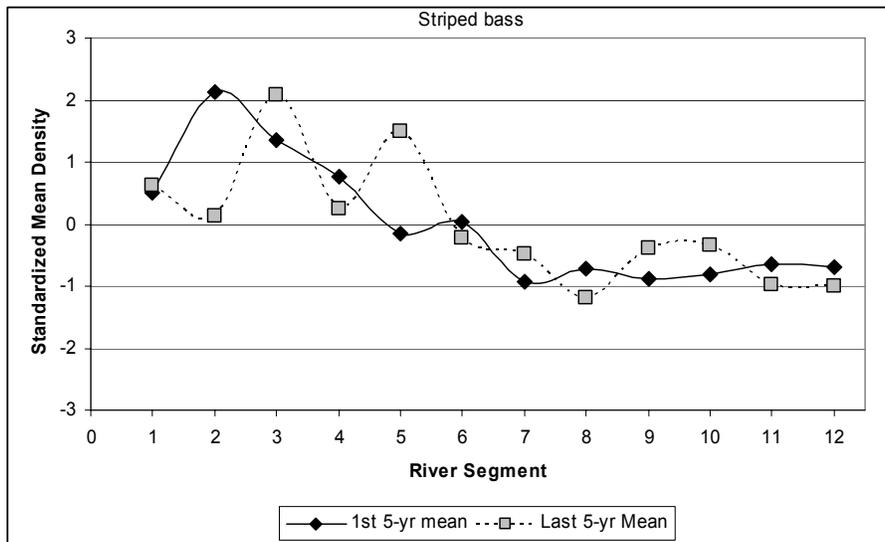
5 Both water quality and water temperature can act to shift RIS densities into adjacent river
 6 segments based on specific life stage needs. Water quality changes have been occurring over
 7 the past decade (Section 2.2.5 of the draft SEIS), and water temperatures have been increasing
 8 over the last 100 years (Figure I-36). An analysis of RIS distributional change within the
 9 Hudson River was conducted by comparing the first and last 5-year mean densities from the
 10 survey that was most efficient at catching a given RIS. Striped bass (Figure I-37), alewife
 11 (Figure I-38), spottail shiner (Figure I-39), hogchoker (Figure I-40), and white perch (Figure I-41)
 12 all appear to have shifted slightly upriver, while the bay anchovy has shifted slightly downriver
 13 (Figure I-42). All other RIS that could be evaluated (American shad, Atlantic tomcod, blueback
 14 herring, bluefish, and weakfish) did not show a change in their distributions. It is not possible
 15 from these data to determine what might have influenced these shifts.

Land and Ocean Temperature Changes

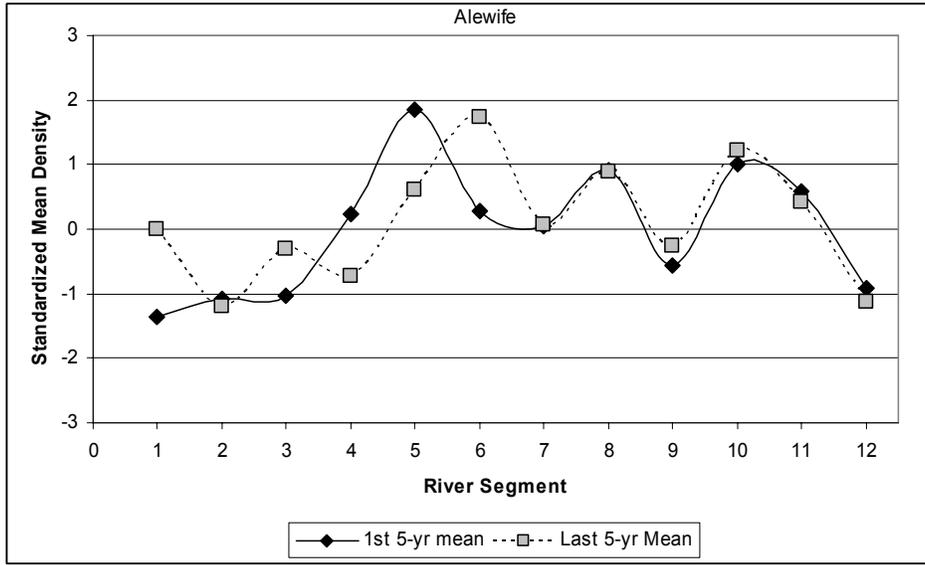


1
2 Source: Hansen et al. 2006

3 **Figure I-36 Historical trend in global land and ocean temperature**

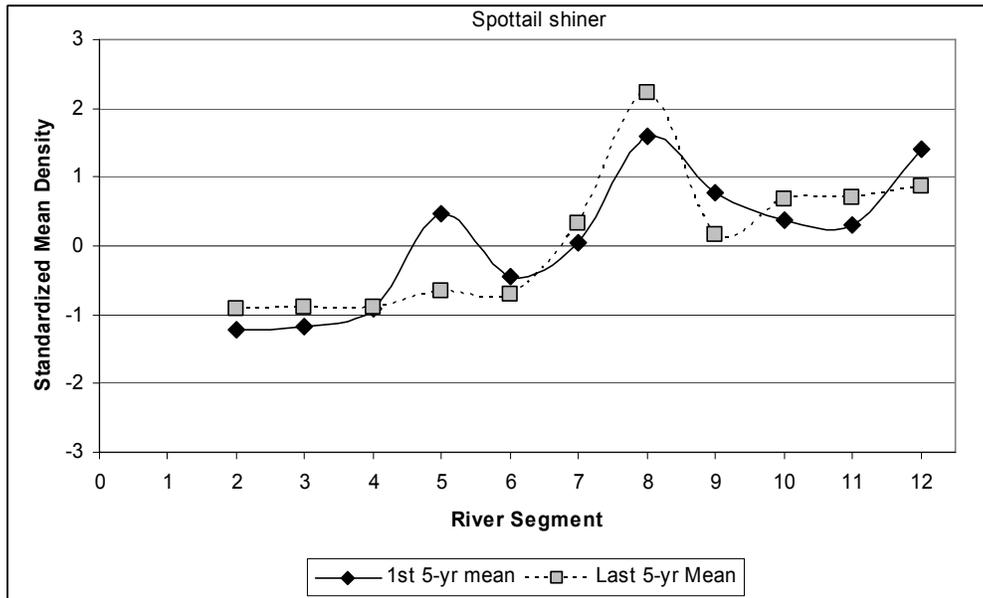


4
5 **Figure I-37 Relative density of YOY striped bass from the BSS 1979–1983 and 2001–2005.**
6 **data within each river segment of the Hudson River**



1
2
3

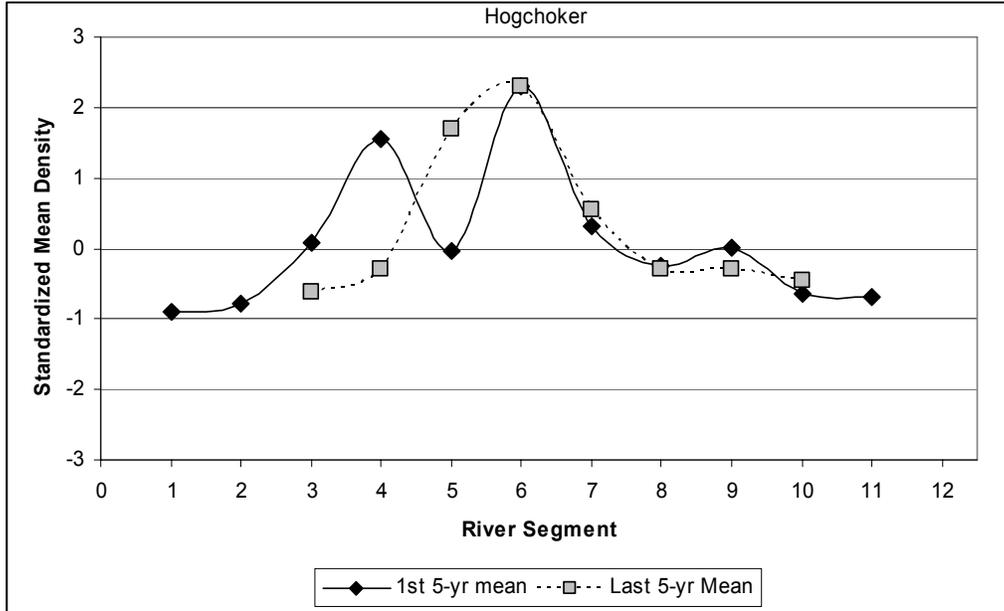
Figure I-38 Relative density of YOY alewife from the BSS 1979–1983 and 2001–2005; data within each river segment of the Hudson River



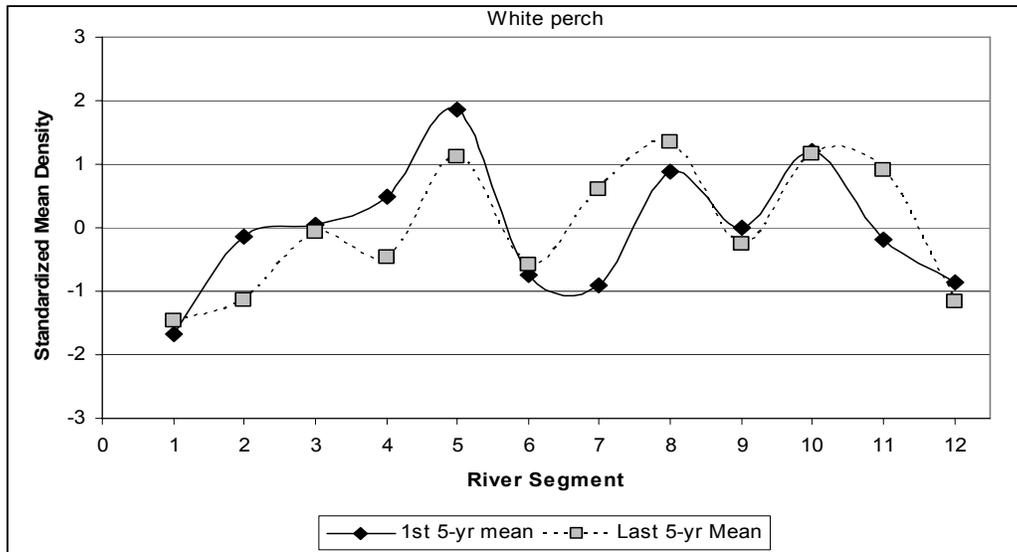
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6

Figure I-39 Relative density of YOY spottail shiner from the BSS 1979–1983 and 2001–2005; data within each river segment of the Hudson River

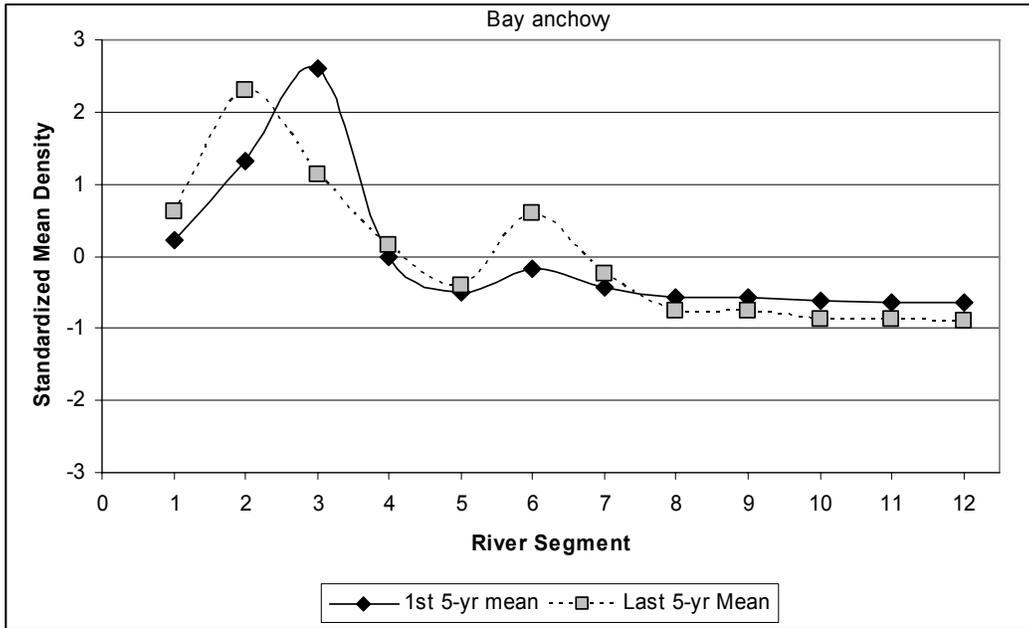
Appendix I



1
2 **Figure I-40 Relative density of YOY hogchoker from the FSS 1979–1983 and 2001–2005;**
3 **data within each river segment of the Hudson River**



4
5 **Figure I-41 Relative density of YOY white perch from the BSS 1979–1983 and 2001–2005;**
6 **data within each river segment of the Hudson River**



1
2
3

Figure I-42 Relative density of YOY bay anchovy from the FSS 1979–1983 and 2001–2005; data within each river segment of the Hudson River

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(See instructions on the reverse)

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10. SUPPLEMENTARY NOTES

Docket Nos. 05000247 and 05000286

11. ABSTRACT (200 words or less)

This supplemental environmental impact statement (SEIS) has been prepared in response to an application submitted by Entergy Nuclear Operations, Inc. (Entergy), Entergy Nuclear Indian Point 2, LLC, and Entergy Nuclear Indian Point 3, LLC (all applicants will be jointly referred to as Entergy) to the NRC to renew the operating licenses for Indian Point Nuclear Generating Unit Nos. 2 and 3 (IP2 and IP3) for an additional 20 years under 10 CFR Part 54, "Requirements for Renewal of Operating Licenses for Nuclear Power Plants." This draft SEIS contains the NRC staff's analysis that considers and weighs the environmental impacts of the proposed action, the environmental impacts of alternatives to the proposed action, and mitigation measures available for reducing or avoiding adverse impacts. It also includes the NRC staff's preliminary recommendation regarding the proposed action.

The NRC staff's preliminary recommendation is that the Commission determine that the adverse environmental impacts of license renewal for IP2 and IP3 are not so great that preserving the option of license renewal for energy planning decisionmakers would be unreasonable. This recommendation is based on (1) the analysis and findings in the GEIS, (2) the environmental report submitted by Entergy, (3) consultation with other Federal, State, and Local agencies; (4) the NRC staff's own independent review, and (5) the NRC staff's consideration of public comments received during the scoping process.

12. KEY WORDS/DESCRIPTORS (List words or phrases that will assist researchers in locating the report.)

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