## **Official Transcript of Proceedings**

## NUCLEAR REGULATORY COMMISSION

Title: Advisory Committee on the Medical Uses of Isotopes: Open Session

Docket Number: (n/a)

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Pages 1-318

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1	UNITED STATES OF AMERICA
2	NUCLEAR REGULATORY COMMISSION
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4	ADVISORY COMMITTEE ON THE MEDICAL USES OF ISOTOPES
5	MEETING
6	OPEN SESSION
7	+ + + +
8	Monday, October 27, 2008
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10	The meeting came to order at 9:00 a.m. in T2B3
11	of White Flint 2, Leon Malmud, MD, Chairman,
12	presiding.
13	ACMUI MEMBERS PRESENT:
14	LEON S. MALMUD, MD, CHAIRMAN
15	RICHARD J. VETTER, PHD, VICE CHAIRMAN
16	DOUGLAS F. EGGLI, MD, MEMBER
17	DARRELL R. FISHER, PHD, MEMBER
18	DEBBIE B. GILLEY, MEMBER
19	RALPH P. LIETO, MEMBER
20	STEVEN R. MATTMULLER, MEMBER
21	SUBIR NAG, MD, MEMBER
22	ORHAN H. SULEIMAN, PHD, MEMBER
23	BRUCE R. THOMADSEN, PHD, MEMBER
24	WILLIAM A. VAN DECKER, MD, MEMBER
25	JAMES S. WELSH, MD, MEMBER
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1	PRESENT: (cont.)
2	MICKEY GUIBERTEAU, MD, DIAGNOSTIC RADIOLOGIST
3	
4	NRC STAFF PRESENT:
5	STEVE BAGGETT
6	CHRIS EINBERG, DESIGNATED FEDERAL OFFICER
7	CINDY FLANNERY, ALT DESIGNATED FEDERAL OFFICIAL
8	OSSY FONT
9	DONNA-BETH HOWE, PHD
10	ROBERT LEWIS, DIRECTOR
11	JIM LUEHMAN, DEPUTY DIRECTOR
12	ANGELA MCINTOSH
13	GRETCHEN RIVERA-CAPELLA
14	TERRY REIS, DEPUTY DIRECTOR
15	ASHLEY TULL
16	DUANE WHITE
17	RONALD ZELAC, PHD
18	
19	MEMBERS OF THE PUBLIC PRESENT:
20	ROBERT ATCHER, SNM
21	ROY BROWN, CORAR
22	TOM BURNETT, MDS NORDION
23	HUGH CANNON, SNM
24	WILL DAVIDSON, UPENN
25	RICHARD EATON, MITA
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1	BRIAN ERASMUS, MDS NORDION	
2	LYNNE FAIROBENT, AAPM	
3	PRESENT (cont.):	
4	EMILY GARDNER, ASNC	
5	JIM HAGERMAN, MDS NORDION	
6	BONNIE HAMILTON, MDS NORDION	
7	MIKE PETERS, ACR	
8	DOUG PFEIFFER, AAPM	
9	SAM PUTNAM, SIRTEX	
10	RICHARD MARTIN, ASTRO	
11	JOHN REDDINGTON, SIRTEX	
12	WILLIAM RILLING, FROEDTERT MEDICAL CENTER	
13	GLORIA ROMANELLI, ACR	
14	JOE SALDARINI, SIRTEX	
15	REED SELWYN, UNIF SVCS UNIV OF HLTH SCI	
16	HARRY SKENE, GEISINGER	
17	MICHAEL SOULEN, HOSP OF THE UNIV OF PENN	
18	CINDY TOMLINSON, SNM	
19	ANN WARBICK-CERONE, MDS NORDION	
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1	<u>PROCEEDINGS</u>
2	9:02 a.m.
3	CHAIRMAN MALMUD: It's now 9:02 and if we
4	may we will resume the session, opening the public
5	session. I would invite Chris, are you going to
6	just give us a minute to sit down.
7	In addition, I would again remind us that
8	for the court stenographer, it is useful to introduce
9	your statement by giving your name and therefore it
10	will make this daunting task a little easier. Thank
11	you.
12	We are also welcoming today as a guest,
13	Mickey Guiberteau, welcome. Good to see you again.
14	It's been a number of years.
15	DR. GUIBERTEAU: Thank you, yes.
16	CHAIRMAN MALMUD: And with that, I will
17	ask Chris to begin the session.
18	MR. EINBERG: Thank you. As the
19	Designated Federal Officer for this meeting, I am
20	pleased to welcome you to Rockville for the public
21	meeting of the ACMUI.
22	My name is Chris Einberg. I am the Chief
23	of the Medical Safety and Events Assessment Branch.
24	And I have been designated as the Federal Officer for
25	this Advisory Committee in accordance with 10 CFR part
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Present today as the Alternate Designated Federal Officer is Cindy Flannery, the Team Leader for the Medical Radiation Safety Team. She was here.

5 This is an announced meeting of the Committee. It is being held in accordance with the 6 regulations 7 of the Federal rules and Advisory 8 Committee Act and the Nuclear Regulatory Commission. The meeting was announced in the September 22nd, 2008 9 10 edition of the Federal Register, Volume 73, page 11 54635.

The function of the Committee is to advise the staff on issues and questions that arise on the medical use of byproduct material. The Committee products counsel to the staff, but does not determine or direct the actual decisions of the staff or the Commission.

18 The NRC solicits the views of the 19 Committee and values their opinions.

I request that whenever possible, we try to reach consensus on the various issues that we will discuss today, but I also recognize that there may be minority or dissenting opinions. If you have such opinions, please allow them to be read into the record.

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Part of the preparation for this meeting, I have reviewed the agenda for members and employment interests and based upon the very general nature of the discussion that we are going to have today. I have not identified any items that would pose a conflict.

Therefore, I see no need for an individual member of the Committee to recuse themselves from the Committee's decisionmaking activities. However, if during the course of our business, you determine that you have a conflict, please state it for the record, and recuse yourself from the particular aspect of that discussion.

14 At this point, I would like to introduce the individuals seated at the table today. Dr. Leon 15 the Chairman. He's 16 Malmud is а healthcare administrator. Dr. Richard Vetter, Vice Chairman of 17 this Committee, Radiation Safety Officer. Dr. Subir 18 Nag, Radiation Oncologist. Mr. Ralph Lieto, Nuclear 19 20 Medicine Physicist. Dr. Douglas Eggli, Nuclear 21 Medicine Physician. Orhan Suleiman, Dr. FDA 22 representative. Dr. William Van Decker, Nuclear Cardiologist. Dr. Jim Welsh, Radiation Oncologist. 23 24 Dr. Darrell Fisher, Patient Advocate. Dr. Bruce 25 Thomadsen, Medical Physicist Therapy. Mr. Steve

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1 Mattmuller, Nuclear Pharmacist. Ms. Debbie Gilley, 2 State Government Representative. I would like to mention that Dr. Mickey 3 4 Guiberteau is representing the Diagnostic Radiologist. 5 Dr. Guiberteau does not have voting privileges, but he will listen and speak on behalf of the Diagnostic 6 7 Radiologists. I would like thank Dr. Guiberteau for 8 acting in this capacity. Dr. Leon Malmud, ACMUI Chairperson, will 9 conduct today's meeting. Following a discussion of 10 11 each agenda item, the chair at his option may entertain comments or questions for members of the 12 public who are participating with us today. 13 14 That concludes my opening statement. CHAIRMAN MALMUD: Thank you, Chris. Rob? 15 Well, good morning, everyone. 16 MR. LEWIS: I think Chris covered it very well. I'm Robert 17 Lewis. I'm NRC's Director of the Division of Material 18 19 Safety and State Agreements. Let me extend NRC's welcome as well to the Members of the Committee and 20 21 also to Dr. Guiberteau. Thank you for coming and 22 providing your expertise. 23 The work of the Committee is absolutely 24 essential towards our mission regarding safety and 25 security and effectiveness and efficiency of our **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1 regulatory process. And your advice is invaluable in 2 that regard. And I do want to note that since our several 3 last meeting we've had significant 4 accomplishments that are on the agenda for the next 5 two days. Looking forward to on-going discussions on those issues. For example, we had recently a workshop 6 phaseout of cesium chloride 7 on potential as а 8 radioactive material used in a lot of relationship applications as well as a lot of radiation. 9 The 10 Committee supported that workshop in a very superb 11 way. And we thank the Committee for that and we look forward for the Committee's report on their view of 12 the efficacy of cesium chloride versus alternative 13 14technologies.

We also had made several -- we made a lot 15 implant brachytherapy 16 of progress on permanent The rule is currently out for public 17 rulemaking. comment. Public comments are due on that rulemaking, 18 I think next week and we look forward to continuing to 19 engage the Committee and the members of the public on 20 21 that rulemaking. We will, as I understand, the 22 Committee intends to comment on the rule. For the public comment process, we will take those comments 23 24 and respond to them, share the responses with the 25 Committee as we move forward.

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1	Also, fingerprinting. We have issued, as
2	of June of last year, of this year, fingerprinting
3	requirements for all of our increased controls,
4	licensees, and we have thanked the Committee for their
5	input at the Commission meeting on that issue and we
6	have made substantial progress. If there are ongoing
7	issues with fingerprinting that you're experiencing,
8	please let us know. We still have time to work
9	through those before the effective date of December.
10	So thank you very much. We have as I
11	said, on the agenda, we have all of those topics, as
12	well as many more and the Committee is certainly very
13	busy and I think I should be quiet and let's get to
14	the agenda.
15	CHAIRMAN MALMUD: Thank you, Rob. We'll
16	move on to the next item on the agenda which is item
17	four, old business, and Ashley Tull will make the
18	presentation.
19	Ashley?
20	MS. TULL: Good morning. If you'll turn
21	to, I believe it's Tab 4, there should be a big list.
22	I have all of the 2007 and 2008 recommendations. I'm
23	going to start with the 2007 ones. I tried to
24	highlight several of them for anything that's changed
25	or has been updated or we've made progress or it's
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1 been closed, things like that. So I'm not going to go 2 through everything, but you have them all. If you 3 have a question on one of them, you can ask me. But 4 I'm going to go through the bolded ones which starts 5 with number two. It should say 2007 at the top. NRC staff should remove the attestation 6 7 requirement. We found the right page yet? 8 CHAIRMAN MALMUD: It's the second page of 9 Tab 4. MS. TULL: It's the second page behind the 10 11 tab. Sorry. Okay, NRC staff should remove the attestation requirement for Board-certified 12 individuals and rewrite the attestation requirement 13 14for individuals seeking authorization under the alternate pathway. The rewritten attestation should 15 not include the word "competency" but should instead 16 "has met the training and 17 read, experience requirements." 18 Ron Zelac is currently working on a SECY 19 20 paper for this, and it's agenda item 14, so we will be 21 discussing this later. But this is still an open 22 item. Number three, NRC staff should revise the 23 regulations so that Board-certified individuals who 24 25 are certified prior to the effective date of NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

recognition or were certified by previously recognized Board listed in subpart J of the previous editions of part 35 are grandfathered. And again, this is something Ron Zelac is working on and we are currently drafting a letter to the Boards and Ron will talk more about that. It's agenda item 10 today.

7 We're going to jump down item 10. NRC 8 staff should allow more than one RSO on a license with a designation of one RSO as the individual in charge. 9 10 should create a regulatory issue summary to NRC 11 inform the regulated community of NRC's interpretation and the RIS should be sent to ACMUI and the agreement 12 states for review and comment. The draft RIS was sent 13 14 to you. Ralph has provided comments and on behalf of the Committee, so we will discuss that. It's agenda 15 item nine. 16

As I'm kind of going through each one of these, these are just to let you know they're still open items. There was an overall recommendation to keep following up on these things, so this is just to let you know that these are still on the front page and still issues that we are dealing with.

The next one is item 30, the Electa Perfexion should be regulated under 10 CFR 35.1000 until 10 CFR 35.600 is modified to performance based

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which would allow the Perfexion to be regulated under 10 CFR 35.600. This will be added to the user need memo and will be considered for rulemaking. So we all know rulemaking is a process and takes time, so we'll keep this one open and I'll keep letting you know where it is in the process.

7 If you could turn over to the back, items 8 38, 39, 40, 42, and 43 all have to do with the 9 yytrium-90 microspheres guidance and I'll read through each one of them quickly. NRC staff should revise the 10 11 microspheres guidance to allow the written directive 12 to include either dose to target tissue in gray or rad in activity administered millicuries 13 or or 14gigabecquerels.

39. NRC staff should revise 15 the guidance include 16 microsphere to а paragraph referencing medical event reporting for microsphere 17 18 use.

staff 40. should 19 NRC revise the microsphere guidance to reinsert their proposed 20 21 paragraph with modification. The paragraph should 22 procedures for administrations requiring a state written directive should for yytrium-90 microsphere 23 24 administration be performed in accordance with the 25 written directive.

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42. NRC should revise the microsphere guidance to add a paragraph which states training in the manufacturer's procedures, commensurate with the individual's duties to be performed must be provided to individuals preparing, measuring, performing dosimetry calculations or implanting microspheres.

7 43. staff should revise NRC the 8 microsphere quidance to read the written directive 9 should include after implantation, but before release 10 from licensee control. of the patient The 11 radionuclide, including the chemical in physical form 12 yytrium-90 microspheres, the manufacturer, of the treatment site, and the total dose or administered 13 14 activity, all of these changes were approved by the Committee and have been incorporated into the guidance 15 as it is on the web right now. So that was a big task 16 for all of us. 17

45. ACMUI should form a subcommittee to 18 issues with 35.600 as they relate 19 address to the 20 Electa Perfexion. This subcommittee actually already 21 gave us the reports and that is the recommendations 22 from item 30 where we said Electa Perfexion should be regulated under 1000. So those two are tied together. 23 And the subcommittee has done their work on that. 24

Dr. Welsh?

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MEMBER WELSH: Ashley, number 43, it says partially accepted, whereas the other is relevant to the yytrium-90 microspheres say accepted. Is there a difference there?

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5 MS. TULL: I believe -- I don't have a copy of the guidance in front of me. The intent is 6 7 It just doesn't read exactly like the the same. Committee had recommended. I don't have a copy of the 8 guidance right in front of me, but what does it 9 10 actually say? Release of the patient -- I believe 11 it's from the post-operative recovery room. We had 12 that discussion before they are released. I believe that's the wording, from the post-recovery, post-13 14 operative --

MEMBER NAG: The license control, I think most post-operative recovery area rather than the licensee control.

MS. TULL: I'm trying to find the exactwording. It has to do with post-procedural.

20 MEMBER NAG: Right. When we are talking 21 about permanent implant, we had decided when were 22 making a permanent impact rules that the timing would 23 be from the post-operative -- that the post-operative 24 recovery area and -- but they're still under licensing 25 control. Here, in licensing control, it would before

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1	the needs of the patient from the post-recovery area.
2	CHAIRMAN MALMUD: Perhaps you could get us
3	the wording a little bit later in the meeting?
4	MS. TULL: It is. Is there a microphone
5	over here?
6	MR. BROWN: Do you want a wireless?
7	MS. TULL: How about this. I will print
8	off a copy of the guidance and give it to you. It was
9	something that was discussed and it's not a major
10	change. It goes back to the 2008 recommendations that
11	we're going to cover. And it's the wording from the
12	2008 recommendations that basically replace this.
13	I'll print off copies and give it to you.
14	CHAIRMAN MALMUD: Thank you.
15	MS. TULL: Okay, so jumping to the 2008
16	recommendations
17	MEMBER EGGLI: Actually, it's in 11.
18	MS. TULL: Yes, it's the post-operative
19	versus post-procedural. We revised that. Yes.
20	CHAIRMAN MALMUD: Back on the this is
21	Malmud. We're now back on the other page of 2008
22	recommendations?
23	MS. TULL: Yes.
24	CHAIRMAN MALMUD: Which item are we
25	looking at now, number 11?
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1	MS. TULL: Number 11, NRC staff should
2	make all changes as proposed except on page 2, the
3	word post-operative should be replaced with post-
4	procedural. That's the wording that replaces the 2007
5	wording. Does that answer your question?
6	CHAIRMAN MALMUD: Thank you.
7	MS. TULL: It was an ACMUI approved thing
8	that made this partially accepted. You modified one
9	of your previous recommendations. But I will print
10	copies and give everyone that.
11	CHAIRMAN MALMUD: Thank you.
12	MS. TULL: Okay, so number for the 2008
13	recommendation. NRC staff should provide the basis
14	for the decision to only allow one RSO per license.
15	This was a closed item. We provided emails from the
16	OGC during the last meeting.
17	We will be discussing it though as agenda
18	item nine. So this is an on-going issue.
19	NRC staff should pursue rulemaking to
20	allow more than one RSO on a medical use license with
21	the indication of one RSO as the individual in charge.
22	Again, this is going to be agenda item 9. It's an
23	open item.
24	3. NRC staff should promptly notify ACMUI
25	members in a separate memo when an ACMUI
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recommendation is not accepted. I think that this is a practice that we've picked up and we'll continue to do.

4 4. ACMUI should form a subcommittee which 5 includes Dr. Darrell Fisher, Mr. Ralph Lieto, Dr. Bruce Thomadsen, as the chair; and Dr. Richard Vetter. 6 7 The subcommittee's charge is to evaluate the efficacy and cost of cesium chloride versus 8 current and 9 proposed x-ray technologies and cobalt. And this is a 10 subcommittee report that was actually submitted on 11 October 13th. So if you want to mark this as closed, it is actually a closed item now. 12

13 5. NRC staff should incorporate the
14 subcommittee's recommendations for the Gamma Knife
15 Electa Perfexion in future rulemaking. Again, we will
16 add this to the user need memo. It is in the process.
17 6. Dr. Subir Nag suggested ACMUI form a

subcommittee to discuss 18 the permanent implant 19 brachytherapy rulemaking. The subcommittee would 20 include Dr. Nag, Dr. Bruce Thomadsen, and Dr. James The subcommittee would consult with other 21 Welsh. 22 knowledgeable individuals as necessary. This motion did not pass, but was later, if you look at item 14, 23 24 there was a subcommittee formed that actually did 25 this. So we'll get to that.

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1	7. Dr. Leon Malmud requested the NRC
2	staff email Dr. Nag separately once the permanent
3	implant brachytherapy proposed rule is published.
4	That was done and the email was sent on August 7th.
5	8. NRC staff should arrange a public full
6	Committee teleconference meeting in July to discuss
7	the permanent implant brachytherapy rulemaking. That
8	did happen. The item is closed as of July 21st.
9	9. NRC staff should revise the abnormal
10	occurrence criteria to read: a medical event that
11	results in (1) death, or (2) a significant impact on
12	patient health that would result in permanent
13	functional damage or a significant adverse health
14	effect that would not have been expected from the
15	treatment regimen as determined by an NRC or agreement
16	states designated consultant physician.
17	This is in progress and actually we talked
18	to the Office of Research. They are the ones who are
19	responsible for revising this abnormal occurrence
20	criteria and they have indicated that in 2009 they
21	will be open to revisions. So our group, our medical
22	group will send our proposed revisions to Research in
23	2009. Until then, we'll keep this item open.
24	10. NRC staff should incorporate the
25	three hands-on, in vitro, simulated cases approach as
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1 proposed during the meeting. Additionally, NRC staff 2 should indicate when it is appropriate for a licensee 3 to submit a license amendment to add the authorized 4 user or yytrium-90 microspheres to the license. Lastly, NRC staff should add a statement 5 to the guidance to require the manufacture to proctor 6 7 the first three cases performed by an authorized user. This was accepted and it is included in the current 8 guidance. 9 10 11. NRC staff should make all of the 11 changes as proposed, except on page two, the word replaced 12 post-operative should be with post-This goes back to the issue that we were procedural. 13 14 just discussing. This has been incorporated and is in the 15 current guidance. 16 12. NRC staff should send an EDO daily 17 indicating the ACMUI discussed the part 35 18 note permanent implant brachytherapy rulemaking at the July 19 21st ACMUI teleconference. We did send that out on 20 21 July 24th. 22 MEMBER THOMADSEN: Ouestion? MS. TULL: Yes. 23 MEMBER THOMADSEN: What's EDO? 24 25 MS. TULL: Executive Director of **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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## MEMBER THOMADSEN: Thank you.

MS. TULL: It's us notifying upper management of something that went on at the staff level. So they're aware that you discussed that.

13. NRC staff should proceed with -- this 6 7 is SECY 08-0080. It's just a formal document that 8 staff to the Commission. members sent It was suggested that NRC staff should proceed with this 9 10 document and publish the proposed rule in the Federal 11 Register as directed by the Commission. That is 12 closed. The SECY paper did go up. The Commission The proposed rule is published and we approved it. 13 14 have draft comments from the Committee and we will be discussing those comments further later during this 15 16 meeting.

17 14. ACMUI should form a subcommittee for the permanent implant brachytherapy rulemaking. 18 The subcommittee's charge is to meet within the next two 19 20 weeks to prepare ACMUI's comments on the proposed rulemaking. The subcommittee includes Dr. Nag as the 21 22 chair; Mr. Ralph Lieto; Dr. Bruce Thomadsen; Dr. 23 Richard Vetter; and Dr. James Welsh. And this is still on-going and in progress since we will wait for 24 a final report from the subcommittee, once we have a 25

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discussion later today.

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The proposed comment period is expected to end on November 7th. Now that was extended officially.

5 15. NRC staff should provide a status 6 update on the technical basis for the Rittenour or the 7 AAPM petition at the October 2008 meeting. That is on 8 the agenda, item 10. So we will be discussing that.

9 16. NRC staff should distribute request
10 letters for information on the individuals impacted by
11 the Rittenour or the AAPM petition to the certifying
12 boards as well as the professional societies.

13I believe there's a draft letter in your14binders behind Tab 10 and Dr. Ron Zelac will be15covering this in more detail during his presentation.

17. NRC staff shall allow 16 the manufacturers to continue their 17 to use current standards for proctoring the first three patient cases 18 for new authorized users for Sirtex. 19 At least the first two cases will be proctored by a physician and 20 21 from the MDS Nordion, all three will be cases 22 proctored by an MDS Nordion employee.

This has required no change to the quidance, so the quidance stood as it was written.

Any questions on any of those

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24 1 recommendations or any others? CHAIRMAN MALMUD: Are there any questions 2 for Ashley Tull? 3 4 Are none. MS. TULL: Okay, we will keep sending you 5 updated charts. 6 7 CHAIRMAN MALMUD: Thank you. 8 (Pause.) 9 CHAIRMAN MALMUD: Once again, we are ahead 10 of the agenda. May we move on to the next item which 11 is the Cesium Chloride Subcommittee report. Will that 12 be acceptable? Dr. Thomadsen? 13 14 MEMBER THOMADSEN: This is great. This as the subcommittee that we 15 you've heard was were 16 directed to form and look at regarding issues 17 replacement of cesium chloride irradiators. And the Committee was set up because of the Report of the 18 National Research Council which suggested that 19 the cesium chloride irradiators be phased out 20 and eliminated. 21 And we were directed by the Commission to 22 address those issues. And the three issues --23 24 MS. TULL: Really quickly -- the handout 25 that's in your binder is actually a draft subcommittee **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	report. It's dated July 22nd, I believe. And I'm
2	passing around the it's dated in September. This
3	is the October 13th report, which is the final
4	subcommittee that was approved by the full Committee
5	via email.
6	So please pull out what's in your binder
7	and replace it with the handouts that are coming out.
8	(Pause.)
9	MEMBER THOMADSEN: The three issues that
10	we addressed was the need for cesium-37 chloride
11	irradiators viable alternatives and the current
12	security.
13	Addressing the need for irradiators, there
14	are several uses that they perform. One is the
15	radiation of blood products. The original report that
16	came out assumed that approximately 10 percent of the
17	blood in the U.S. was irradiated and that is the blood
18	used in blood transfusions.
19	Discussions that a subgroup of the
20	subcommittee had with hematologists and oncologists
21	indicated that for these practices the value was
22	somewhere between 15 and 40 percent depending on the
23	particular practice. In patients involved with
24	hematology and oncology with particularly depressed
25	immune systems and that's why the irradiated that's
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1	why the blood needs to be irradiated.
2	The lower number in the report probably
3	comes from a higher fraction of trauma cases and that
4	may be a factor of where the survey was done that was
5	included in the original report.
6	So the for the trauma cases irradiation
7	of the blood is irrelevant since it's not a matter of
8	immune system response, but just getting blood back
9	into people who are often in accidents.
10	The other uses that these irradiators have
11	is for animal irradiation where a lot of the research
12	is done, particularly for stem cell research and other
13	systemic therapies where you need whole body radiation
14	of the animal, often mice, before infusion, so that
15	you can eliminate the animal's blood marrow before you
16	would be infusing other bone marrow into the patient
17	into the animals rather.
18	The use for animal irradiation is growing
19	as the research on stem cell is growing. And of
20	course, it may soon lead to other treatments for
21	currently untreatable conditions, so the use of the
22	irradiation in animals is very definitely a great
23	benefit to the society.
24	If we just summarize the need for
25	irradiators without the irradiators available,
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hematology and oncology patients would suffer potential death from the lack of irradiated blood. Without the irradiators available, much of the stem cell and systemic drug research could not be able to proceed.

The Committee then looked at alternatives 6 7 137 chloride irradiators. at cesium And the 8 alternatives are conventional x-ray units or linear accelerators. Both have been and are used for blood, 9 animal, and material irradiation. The conventional 10 11 irradiators, in the report, we go through a number of the models that are available. 12

For blood irradiation, only one of those 13 14units is FDA approved. Another one is up before the from my understanding. 15 FDA at the moment, The National Research Council listed the price for these 16 units as \$180,000, with \$10,000 a year for service 17 We looked at the prices. The current 18 contracts. prices seemed to be closer to \$250,000 with around 19 \$33,000 per year for the service contract. 20

21 Replacement tubes are not counted under 22 that service contract, and would be extra. As is 23 calibration and quality management, which would be 24 required to a much greater extent than with the cesium 25 chloride units. So the expenses are considerable for

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replacing a cesium chloride unit with a conventional x-ray unit.

3 Throughput is lower for the x-ray 4 machines, with 48,000 blood product units that have to 5 be irradiated. And x-ray tubes would last about, at the rate of about 50 units per day, would last about 6 7 3.7 years. So the replacement tubes would have to be 8 replaced about every four years on an average. As we mentioned in the last slide, this adds to the cost of 9 running the machine. 10

11 For animal irradiation, there are about 10 x-ray units available. Most of them are lower energy, 12 around 160 kVp. Very few are above the 200 kVp, and 13 14that limits the use to, use in animal irradiation because of the lack of penetration. Most of the 15 prices range between \$146,000 and \$250,000, again, 16 plus the service contracts, all of which run around 17 \$10,000 per year. 18

They do have cheaper units, but they are of the low energy type with short distances, which means that penetration is very small and have small field sizes, again, limiting their use for the animal irradiation.

There is also the question of whether the x-ray units can actually replace the cesium chloride

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as standards for animal irradiation. The relative biological effectiveness of the irradiation is different, possibly by a factor of two with the lower energy units. That's not a good, hard fixed number. The relative biological effectiveness is not well known, and in addition to that it depends on the species, it depends on the biological endpoint in addition to the energy of the radiation.

The dose rates can have an effect on the 9 10 biological effectiveness as well, which can change how 11 the animal would respond to a given dose, and also if 12 the dose rates are lower, which they usually are in these x-ray machines compared to the cesium, it makes 13 14giving anesthesia for the animals more difficult, and you end up having to use drug anesthesia as opposed to 15 16 gas.

The penetration, or the lack thereof, requires irradiating animals from several directions as opposed to the cesium irradiators, where you can just put the animals in and shoot them in one procedure.

Use of medical linear accelerators has been used for blood and for animals. We used to use that, must be 25 years ago, for the blood irradiation in the hospital. It was very inconvenient both for us

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1 and for the blood bank before they got their cesium 2 irradiator. It can be done. It presents a challenge, particularly in a busy facility as far as timing and 3 4 who is going to be doing the irradiation. But it's also a problem when people need the blood after hours, 5 and you have to train the blood bank people in either 6 7 running the accelerator or you have to have a call 8 schedule for the technicians running the accelerator to come in. 9

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If you are not using the radiotherapy department's linear accelerator, but trying to get an accelerator for the blood bank proper, the price becomes quite an impediment at around \$1.5 million as a start.

Turning our attention to the security of these devices, because it was the security that was raising the issue for the National Research Council. Since the time that the Council looked at these units, several things have changed.

security of 20 The the users has been enhanced through the required background checks and 21 22 fingerprinting. The security of the facility has been enhanced following the directives of the NRC, and I'll 23 24 point out such as in our place sometimes at great 25 expenses to the facility.

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31 1 And finally, there is the security of the 2 units themselves which there is a program with the DOE 3 and DHS to harden the machines themselves, to make it 4 much less likely that somebody who does get passed the 5 facility's security could get into the source proper. following security 6 So these three 7 enhancements, the units present little hazard for 8 unauthorized source removal or disruption. The lack of such security was a major factor in the original 9 report so the current situation doesn't really --10 11 doesn't compare with what the original report was looking at. 12

Summarizing all of results, 13 our the essential 14 irradiation facilities are for the irradiation of blood and research. It's -- their loss 15 would be a great detriment to our society, the health 16 and well-being of the people of this country. 17

Forced replacement of 137 cesium chloride based units would force many facilities to stop irradiating because of the great expense to replace the units. Also, to keep them going once you replaced it.
A few of the facilities, as most of the

24 facilities are nonprofit and few have resources for 25 funding and new x-ray unit or maintaining the unit and

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1 since the time that we wrote this report and the 2 economy has tanked, there was just an article in 3 today's USA Today about the money that goes into nonprofits which has essentially stopped going into 4 5 So the likelihood that all of these nonprofits. 6 places could replace their units is dwindling. 7 If not leading to the termination of 8 irradiation, the replacements would place an 9 incredible financial burden on these facilities which 10 have little funding. 11 While the x-ray units have been used for 12 blood, animal, and material irradiation, the difference 13 in the RBE complicates just simple 14 replacement and at the moment just the exchange wouldn't provide the same quality radiation that we 15 16 are used to. finally, the enhanced 17 And security programs for the 13 cesium chloride units make 18 19 replacement unnecessary. 20 Thank you. 21 Questions? 22 CHAIRMAN MALMUD: Thank you, Dr. Are there questions for Dr. Thomadsen? 23 Thomadsen. 24 CHAIRMAN MALMUD: Dr. Eqqli? 25 MEMBER EGGLI: Not too much as a question, **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

33 1 but a comment on using linear accelerators for 2 radiating research animals. In the Commonwealth of Pennsylvania 3 that violates Department of Health 4 regulation. It requires a special exemption so that would be another additionally limiting factor using 5 linear accelerators for animal research. 6 7 If a human is used on the machine by DOH regulation you can't do an animal without a special 8 9 exemption from the state. MEMBER THOMADSEN: Thank you. 10 11 CHAIRMAN MALMUD: Other comments. MEMBER NAG: I would like to make a 12 comment here that the radiation oncology immunity uses 13 14ceramic form of cesium chloride, not cesium chloride, cesium in ceramic for a low dose rate therapy and that 15 should not be confused -- this is going to a public 16 place and the public just sees cesium and cesium and 17 they just confuse one with the other. 18 19 MEMBER THOMADSEN: I'm sorry? 20 MEMBER NAG: Would you like to amplify on 21 that? 22 MEMBER THOMADSEN: No, you're absolutely 23 correct. 24 MEMBER NAG: The other one is cesium 131 25 which is another new radioactive material that is **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	being used for therapy again the layperson may confuse
2	that with the cesium 137 chloride.
3	CHAIRMAN MALMUD: Thank you, Dr. Nag, your
4	point being that both the ceramic enclosed cesium and
5	the cesium 131 are not issues of concern in this
6	discussion?
7	MEMBER NAG: right.
8	CHAIRMAN MALMUD: Thank you. Other
9	comments?
10	Rob.
11	MR. LEWIS: Thank you to the subcommittee
12	for this work. I would echo what Dr. Nag said that
13	currently the nonchloride forms of cesium are limited
14	to a matter of tens of curies just from a material
15	science property of production.
16	So the smaller sources of industrial uses
17	and in medical uses tend to be ceramic or glass
18	whereas the chloride form is only used in large
19	sources such as blood irradiation or research
20	irradiation or calibrators.
21	But I would ask the Committee to pull on
22	that issue a little bit. Given the cost you
23	described, if there was a ceramic form at a large
24	curie quantity available, if some fundamental research
25	was done and production was available, that's a big
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35 1 if, whether that's possible, would replacement of the 2 chloride form be attractive to hospitals? You can speculate a little bit. 3 4 CHAIRMAN MALMUD: Dr. Vetter? 5 MEMBER VETTER: I'd like the chair to go first. 6 7 CHAIRMAN MALMUD: I'm sorry, I didn't see 8 your hand. Dr. Thomadsen. MEMBER THOMADSEN: I didn't put it up. 9 I 10 was --11 CHAIRMAN MALMUD: Region One is on the Region One? I beg your pardon? We'll move on 12 line. if we may with Dr. Thomadsen. 13 14 MEMBER THOMADSEN: The Committee, in the actual report, it's mentioned that we considered that 15 issue and originally in one of the graphs we had a 16 recommendation that manufacturers --17 CHAIRMAN MALMUD: Could I ask the people 18 on the telephone to mute your phones please? 19 MS. TULL: It is. 20 CHAIRMAN MALMUD: On VTC as well. 21 Thank 22 I see you just did. you. MEMBER THOMADSEN: But as we discussed 23 24 this issue, two items came up. One was that the 25 manufacturer, which is not in this country, has **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

indicated that at the moment, at least, changing the form to something solid would present a hazard to those involved in the manufacturer, and they were not interested in trying to work on that. More importantly, however, the Committee

was not convinced that the solid form would actually 6 7 provide a safer source, and that may not be а 8 The Committee is not justifiable recommendation. convinced that it would make a less safe source. 9 Tt. just didn't feel that there was the research there to 10 11 make such a recommendation.

12 CHAIRMAN MALMUD: Thank you, Dr. 13 Thomadsen.

Dr. Vetter?

MEMBER VETTER: Just one further comment, 15 which is more of a question. We did not have the 16 information tell whether 17 to us the activity concentration would be equivalent, and if the ceramic 18 source, it actually occupies larger volume, 19 it is possible that it simply could not be done in our 20 21 current irradiators. You couldn't simply switch out 22 the sources. 23 MR. LEWIS: It would be a lower specific

24 activity.

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MEMBER VETTER: Consequently, we may not,

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it may not be practical to switch the sources out, which means you would have to trade units in again. We'd be back to the same question of trying, of affordability.

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## CHAIRMAN MALMUD: Dr. Nag?

Yes, I'm not sure, but I do 6 MEMBER NAG: 7 know there has been advances in the ceramic industry, 8 so that if this were a high enough priority, the ceramic industry would be able to find some ways of 9 10 getting enough of the cesium into its ceramic form. 11 So the first thing then becomes, is it more important to release it on an electronic or electrical version 12 that will make the cesium all together, or is it more 13 14 important for us to find research or to do research to find ways of getting higher quantity of cesium in some 15 safer form. I think that has to be explored. 16

17 CHAIRMAN MALMUD: Thank you, Dr. Nag. Dr. 18 Suleiman?

MEMBER SULEIMAN: I attended the cesium workshop along with Debbie Gilley, and let me share some of my observations.

Bottom line, cesium 137 seems to be more reliable, a little bit less expensive, than alternative technologies. The technical differences, notwithstanding, I think the transition to a non-

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cesium source would be feasible, but wouldn't be necessarily cheap. It would cause a lot more problems. I think the report also emphasizes the fact that we think with the enhanced security and other aspects, why do you want to eliminate it? There's a comic that somebody made, and I repeat it myself, we did not ban airplanes after 9/11.

think this is, 8 So Ι you know, maybe 9 terminating a technology that is really the best technology out there. 10 I was also surprised at how 11 widespread it was in terms of calibration standards 12 internationally, just not in the country. I knew it used for calibration purposes, but I didn't 13 was 14 realize that it was almost like the de facto standard for radiation metrology. 15

The other thing I think I would like to clarify, which I learned going through this whole process, that the big issue here is really the powder form, and the thing that's been obvious to me is that with all the technology and metallurgy, you know, why isn't there a solid form of it?

And what distressed me personally was because we don't manufacture this in this country, we get it from the Russians from their Maya facility and it is part of reprocessing. It's not their reactor

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operation, it is their reprocessing of spent fuel.

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2 And talking with people so, at the 3 meeting, I'm convinced, I said you ought to make them 4 have a million dollar award, but I'm convinced that a 5 solid form of the cesium source is feasible, notwithstanding some attenuation characteristics or 6 whatever. I think it was a drastic difference, but we 7 get back to the, the Russians seemed to be preoccupied 8 with other, they're the only site in the world that's 9 10 doing this, and so to start manufacturing from a 11 technical, from a solid form on a large scale would be creating some occupational issues that 12 they were concerned with. 13

14 Again, I don't think those are insolvable. I think those are all addressable, but you're dealing 15 with one source and so I think the technical problems 16 are resolvable. I think the economic issues are 17 feasible, and I also second, because I raised it also. 18 I question whether the solid form would be any less 19 secure or more secure. You can't predict what a 20 21 terrorist, I don't have a terrorist manual that tells 22 me how terrorists behave.

Even though the powder form is more dispersable, there are hazards associated with the solid bolus of material as well. But I think

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1	everybody is sort of, the consensus I felt was that,
2	don't panic, you know. Come up with some
3	technological solutions to maintain that source.
4	CHAIRMAN MALMUD: Thank you, Dr. Suleiman.
5	Other comments?
6	Dr. Nag?
7	MEMBER NAG: When we had reviewed this
8	last year what I remember the powder form easily put
9	it into a dispersing material and it flows up into the
10	air so although the radiation level is not high it is
11	easily dispersed and is something you cannot clean up.
12	The solid form, even if you do explode it, you can
13	shut down, or gather it up, clean it up a lot faster
14	and therefore that represents less of a problem.
15	MR. LEWIS: We are dancing on some
16	nonpublic information. What you said is okay, but we
17	wouldn't want to go any further about dispersing.
18	MEMBER NAG: That was a public it is a
19	public comment.
20	MR. LEWIS: What you said was fine.
21	CHAIRMAN MALMUD: Dr. Fisher?
22	MEMBER FISHER: Darrell Fisher. Having
23	the assignment of reviewing the impact of NRC guidance
24	to licensees on source security especially with
25	respect to blood irradiators, I was impressed with the
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degree to which licensees have gone to providing safe and secure facilities.

3 For example, one institution with 4 cesium 4 137 blood irradiators that are used primarily in 5 research had located these irradiators in places in facilities that were highly secure, only accessible 6 7 through multiple locked entries with coded entry pads 8 with several layers of video monitoring, with limited access to a select group of highly-trained users, with 9 high level of coordination with local law enforcement 10 11 on both protection of these facilities and local response to a breach of security. 12

almost though 13 It seemed as these 14facilities were protecting these sources to a degree Nonetheless, I found them to be highly 15 of overkill. In addition, the units themselves 16 safe and secure. had been secured with additional steel locks. 17 Tt. incomprehensible 18 seemed almost that even а knowledgeable person could gain entry to and access 19 and remove a cesium-137 source from these irradiators. 20 21 And that the impact of improved security as Dr. 22 Thomadsen has mentioned large has to а degree eliminated the need for source replacement to find 23 24 alternative sources.

The other interesting aspect of this

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review was the importance of cesium chloride in a research setting, that merely substitution for an xray source would provide enormous scientific hardship on institutions that were using cesium chloride in stem cell research to develop new treatments for cancer.

7 From a patient rights perspective, it did not seem that the change out of sources would be 8 beneficial to research and that the forced change in 9 irradiator types would actually be detrimental to on-10 11 going research and could cause not only excessive cost 12 federally-funded medical research, but also to significant delays in on-going research without a 13 14 perceived benefit of any kind.

> CHAIRMAN MALMUD: Thank you, Dr. Fisher. Do you wish to respond, Rob?

MR. LEWIS: Sure. Again, we thank the Committee and the subcommittee for their efforts on cesium chloride.

The next step -- I do want to address one point that was made. The National Academies Panel was aware of the enhanced security of facilities of NRC and agreement state licensees. It did occur after they started their report, but they were in place by the time they had finished their report and they were

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aware of those -- I don't want to put words in their mouths, certainly, but they made the recommendations in full awareness of those and they thought cesium chloride merited additional security beyond that of all of the nuclides because of its dispersibility and potential attractiveness or criminal acts.

7 The next step will be for the NRC staff to 8 Commission paper which will include develop a an attached ACMUI report and it will also consider the 9 10 National Academies results of the workshop, the 11 Report, our own visits to each of the vendors for 12 cesium chloride, and additional work we've done with Department of Homeland Security and the Department of 13 14 Energy on this topic. That Commission paper is due in about a month. And some portion or version of it will 15 be public so that we can provide the Commission all 16 the options they need to make a policy decision on 17 this matter and I think we also are going to be 18 19 looking at the existing facilities, existing 20 irradiators that have been in place and have paid for 21 themselves at this point long ago, as well as any new 22 licensees that are looking to be an irradiator and whether down the road in the long term we can do some 23 24 kind of fundamental research that will make an 25 attractive replacement for those new licensees at the

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very least, but may be for all licensees.

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2 And although the paper will be 3 forthcoming, we need to realize that despite the 4 economic and the scientific arguments, and practice of 5 medicine arguments that are being brought to bear on cesium chloride issue, there is 6 an increasing 7 expectation by Congress and by members of the public 8 that something needs to be done. In fact, legislation was drafted and introduced into both the House and the 9 Senate that would essentially phase out this material. 10 11 And what you have provided in this report 12 and through your support at the workshop will be our best defense, if you will, against those types of 13 14 political arguments and provide the Commission the ammunition they need to make a sound policy, public 15 policy. So thank you very much. 16 CHAIRMAN MALMUD: Thank you. Any other 17

I7CHAIRMAN MALMOD: Thank you. Any other18comments? I want to thank you all --

MEMBER NAG: Not me.

20 MEMBER GILLEY: I just have a procedural 21 question. Now that the ACMUI has given the report to 22 NRC and it will be part of the recommendations that go 23 to the Commission, will this ever be a public document 24 or able to share?

MS. TULL: Yes.

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45 1 MEMBER GILLEY: After the final report is 2 done --MS. TULL: I just distributed it within 3 4 NRC and kept it there for now. Your report is final, 5 as ACMUI, but I really wanted to kind of hold the report back until the full report went to 6 the Commission with all cesium chloride recommendations. 7 At that point, I'll actually put it as a subcommittee 8 report on the ACMUI website. 9 10 MEMBER GILLEY: Thank you. 11 MR. LEWIS: And if we are on procedural 12 issues, another one might be did the full Committee want to consider the subcommittees, or do we need to 13 14MS. TULL: It was voted on email. 15 So it is final. 16 MEMBER NAG: Could I have a question? 17 Т understand that another cesium chloride, round table 18 meeting or something, that you all went to. 19 What is the relation between the two? Is the ACMUI committee 20 21 report and round table do they have any relation to 22 each other, they are totally separate or what? Were you referring to some other --23 24 CHAIRMAN MALMUD: Are you talking about 25 the workshop? **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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46 MEMBER NAG: Workshop. What are the two -1 2 - could someone give me a differentiation between the two and --3 4 MR. LEWIS: They are unrelated. They are 5 independent data points that will qo into the Commission paper. 6 7 MEMBER NAG: And what was the workshop? 8 What was that? 9 The workshop was a public MR. LEWIS: 10 workshop and it had several roundtable sessions on 11 various topics. We brought in industry, other 12 government agencies, other foreign agencies, to talk about many of the things that are talked about in this 13 14paper, but to just give us a separate industry and government and member of the public point of view on 15 moving forward. 16 MEMBER NAG: This one is only a medical 17 18 use. Dr. Malmud, this is Ashley, and 19 MS. TULL: to answer Dr. Nag's question, ACMUI was formally 20 We asked Dr. Thomadsen as the subcommittee 21 invited. 22 chair to attend. He was unable to attend, but Debbie Gilley and Dr. Suleiman came on behalf on ACMUI and 23 24 basically just translated what was in the report that 25 was approved by the full Committee. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	MR. LEWIS: Your report was not provided
2	at the workshop.
3	MS. TULL: No, but the viewpoints.
4	CHAIRMAN MALMUD: Thank you, Ashley.
5	Does that address your concern, Dr. Nag?
6	Thank you.
7	That ends this discussion. We will now
8	take a break at 10 o'clock to resume at 10:15 with the
9	next item on the agenda, which will be the
10	Fingerprinting Subcommittee report by Dr. Vetter. So
11	thank you. A 15 minute break.
12	(Off the record.)
13	CHAIRMAN MALMUD: As we get together,
14	Ashley Tull has some handouts for us, and we'll
15	those will be passed out as soon as you all have a
16	chance to get to your seats.
17	MS. TULL: This is Ashley. The first
18	handout is the microspheres guidance that I promised a
19	few minutes ago. And if you look on the second page,
20	there is a number 2 that's kind of highlighted.
21	That's the actual sentence that we were discussing for
22	the recommendations, so if you want to focus on that,
23	that's the final outcome. And the second handout is
24	the fingerprinting report that's in your binder. It's
25	dated July 22 <sup>nd</sup> . This is an August, so this is the
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1	final Subcommittee report that was approved by the
2	Full Committee via email. So if you'll pull out
3	what's in your binder for Tab -
4	CHAIRMAN MALMUD: Six.
5	MS. TULL: Six. This replaces that. And
6	the microspheres guidance that's coming around, if you
7	want to stick it in your binders behind Tab 8, we're
8	going to have a microspheres discussion later today.
9	CHAIRMAN MALMUD: Thank you. If you will
10	turn to Tab 6. Dr. Vetter will introduce the subject.
11	Dr. Vetter.
12	MEMBER VETTER: Thank you, Dr. Malmud.
13	At the last opportunity that we had to
14	address the Commission, we brought up the issue of
15	fingerprints, and that many licensees were having
16	difficulty with the fingerprinting requirements. As a
17	result of that, a Subcommittee was appointed to
18	examine fingerprint options to improve efficiency, and
19	reduce costs for licensees. The team members were
20	Ralph Lieto, Dr. Bruce Thomadsen, and myself.
21	Rather than go through I don't have a
22	set of slides, and rather than go through the report
23	line-by-line, I'd just like to focus on the last
24	section of the report, which is basically conclusions,
25	"How to Decrease Costs and Increase Efficiency".
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You'll find that on the last page of the report. I'll wait for a moment here as we flip things around. And I apologize, my remarks are based on the report that was provided to us. Let me just quickly review and see if there's -- okay.

So how to decrease costs and increase 6 7 efficiency. First of all, under Item 1, actions that 8 licensees could consider, use fingerprints submitted under other state and federal requirements. 9 For 10 if of using example, for purposes biological 11 materials, if your institution was registered with 12 CDC, and individuals had to have fingerprints, and these individuals also needed to be fingerprinted for 13 14 purposes of the T&R requirements, you could actually request the NRC to allow you to use those. 15

-- if 16 That requires some you qo to Paragraph 3 of the order, which we don't have in front 17 of us, but if you go to those procedures, that 18 requires quite a bit of paperwork, and it's probably 19 easier simply to re-fingerprint. And, to the best of 20 21 my knowledge, that's what licensees were, in fact, 22 doing.

Number 2, reduce the number of people
approved for unescorted access. For instance, by
pairing up, or designating one person in a laboratory

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1 to do the irradiations, or two or three people, rather 2 than everyone. And, in fact, some licensees, I think, we doing that to a fairly limited extent, however, 3 4 because schedules and cost schedules depend on who's 5 available, and in order to assure that someone is available to do the irradiation all the time, it gets 6 7 to be a little bit complicated. And so I think in laboratories, blood 8 most cases, the banks, in particular, simply felt it would be impractical to do 9 10 that, so they designated a rather large fraction of 11 their people to actually go through the T&R, including fingerprinting. that's something in 12 the But the future that labs, as they get more comfortable with 13 14 this requirement, could continue to explore.

Three, isolate irradiator in a small room 15 to reduce the number of people who need access. Large 16 blood banks actually had the irradiator in a rather 17 central location in the lab, and there were many, many 18 people who could walk by that. They didn't all use 19 20 it, but they were all in this very large lab where the 21 irradiator was located. And by moving the irradiator 22 to a smaller room and locking that room, as Dr. Fisher mentioned earlier, he observed that some licensees had 23 24 done that. In fact, that has become a rather common 25 practice.

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1 It's expensive to do that. If you build 2 another room, you build some walls and a door, and you put a security lock of some sort on it, that can be 3 4 several thousand dollars, so it's expensive for labs to do that. But, in fact, in the long run, it does 5 turn out to be justifiable, even though it is a bit 6 7 costly, because it does reduce the number of -- it 8 does, number one, increase security. And, number two, it decreases the number of people who have to go 9 through the T&R process. 10

11 Point Four or D in our report, research 12 facilities could establish a core facility. A core facility is a small laboratory that's been set up to 13 14 do a very specialized procedure. So, for instance, for 15 they might have procedure а core mass 16 spectroscopy, and if any -- or core procedure for doing PCA analysis. And so, if a laboratory didn't 17 want to set up that particular procedure, but had some 18 research where they needed to utilize that, they could 19 simply pay the core facility do it for them. 20

For irradiator, I talked to several different researchers, the Committee talked to several researchers who didn't think a core facility for irradiation was a good idea. It's setting up a specialized laboratory where you have to hire -- you

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probably have to hire someone to be there and operate it. And it gets to be a problem with scheduling, as well. And researchers don't like other people controlling their schedules.

In fact, those of you who are familiar with research facilities know that they work 24/7, so the core facility I think is probably not practical for most facilities, but it is an option that larger research facilities could consider.

10 Point F, if employees have to travel some 11 distance -- did I skip one? Yes, okay. E, sorry, I 12 skipped Point E. The order allows relaxing certain requirements for specific individuals, so an example 13 14 is someone with an active federal security clearance would not have to go through the fingerprinting. 15 So, for instance, in my own case, I could have requested 16 the documentation from the NRC confirming that I have 17 a security clearance. And I could have sent that 18 in for 19 documentation а -- to request special а 20 exemption from the fingerprinting requirements. And 21 we could probably guess how long all of that would 22 take, or when our security unit was in our area doing all of the fingerprinting for all of those individuals 23 24 in our building, I could have taken the 10 minutes it 25 took walk the hall me to across and get my

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fingerprints done. So, obviously, that's what I chose.

though the order does allow 3 So even 4 relaxing certain requirements for specific 5 individuals, it does require а fair amount of paperwork, and the paperwork may, in fact, be onerous. 6 7 It is an option people can consider, and perhaps in some small number of cases it is justifiable to do 8 9 But I think most licensees would find that to that. 10 be onerous.

the employees must travel some 11 F, if 12 like 20 miles for fingerprinting, perhaps distance, they could arrange for their own licensee security, or 13 14 local law enforcement to do the fingerprinting on That is something that I think should be 15 site. That's workable either, 16 considered. not always though. In fact, a couple of licensees told me that 17 local law enforcement would not do the fingerprinting 18 They simply didn't want to get involved in 19 for them. this NRC business, and so they ended up traveling to 20 another jurisdiction 20 miles away. 21

Well, if you have a large number of people who have to do that, that's considerable amount of time, considerable impact on the time that those people have at work, so what they should explore, if

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they haven't already, is setting up a time when that jurisdiction would actually come to their own facility and fingerprint a large number of people at one time.

Then under actions that the NRC or others 4 should consider to remove obstacles for licensee, we 5 considered two things. One is that licensees have, 6 7 and, frankly, they continue to experience 8 unclassifiable fingerprint cards. Some tell me as high as 25 percent. I think a more realistic number, a 9 10 10 more typical number is percent or less. 11 Nevertheless, there some individuals whose are 12 fingerprints simply come back unclassifiable. And in my own case, we had 10 individuals that we've gone in 13 14 six times, and we have now asked -- Minnesota is now an agreement state, so we have asked for an extension 15 the deadline for those 10 individuals. 16 of And, frankly, we're trying to explore options now. 17 We don't know what we're going to do at this point, but 18 the state did give us an extension on the fingerprint 19 deadline for those 10 individuals. 20

What's puzzling about this is I have not, and my experience is very limited, but I or other members of the Committee have not heard about any problems when fingerprinting physicians for licensing purposes. But in those cases, the fingerprints are

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1 done through local law enforcement to the FBI. In the 2 case we're discussing here with T&R, they're first 3 going to the NRC, and then they go to FBI. And we 4 don't understand what all happens in that process, 5 but, apparently, we're just more or less quessing here, the fingerprints -- we think the fingerprints, 6 7 the images are being degraded somewhere along the way. And so, for a very small number of people, especially 8 those who have skin conditions, the fingerprints 9 simply are coming back unclassifiable. 10

11 We don't know what the solution to that We propose, perhaps, there is a way to look at 12 is. this in a jurisdictional manner that would allow the 13 14 licensee to have local law enforcement take the fingerprint and send it directly to FBI, rather than 15 through the NRC. We don't know if that would help or 16 not, but it, perhaps, is an option. But there is a 17 small number of people, real people, real workers for 18 whom we are unable to get classifiable fingerprints. 19 20 And that issue simply must be addressed, and we don't 21 know -- the licensees are simply sort of stuck. So 22 the NRC, we're asking that the NRC take a look at that, and remove those obstacles. 23

And finally, the Committee recommends that the NRC should address portability of results; that

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1 is, transfer of T&R determinations from one licensee 2 to another so that when an individual who's granted unescorted access at one institution moves to another 3 4 institution, they could transfer that T&R, or at least 5 the fingerprinting portion of that. Perhaps, in a manner analogous to exposure history requests, where 6 7 we can simply write to another licensee and get the 8 exposure history of that individual when they come to work for us, or perhaps there's a national registry of 9 some sort that could be set up, or there may be some 10 11 other process to accomplish portability of results. 12 But we would like to see something done, so that when an individual who's been granted unescorted access at 13 14 one institution doesn't have to go through the entire process when they transfer employers. 15 And that is our report. Would Mr. Lieto 16 or Dr. Thomadsen like to add anything? 17 CHAIRMAN MALMUD: Additional comments? Ι want to thank you all for the effort on behalf of this item.

18 19 20 As you will recall, we are responding to a 21 request from an authority higher than our own with 22 respect to the need to do the fingerprinting. And, therefore, our response was not an argument for or 23 24 against the fingerprinting. We understand that it 25 will be done. The question is, how can it be done

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57 1 most efficiently? And this is the Subcommittee's report with regard to those issues. 2 3 Did I understand that what you said could 4 be interpreted as when the fingerprints go directly to 5 the FBI, they have a very high rate of acceptability, but when they go through another agency first, that 6 7 the number of rejects is up to 25 percent? MEMBER VETTER: That's stating it a little 8 bit more confidently than the Subcommittee is. 9 We simply have not heard of any problems associated with 10 11 physician fingerprints that are sent directly from 12 local law enforcement to the FBI. We've not heard of any problems. We don't know if any exist, but in my 13 14 own case when I asked about that, physicians said no,

we've never heard of any problems in that regard. 15 That doesn't mean some didn't exist. 16 But in this particular case, we are hearing of problems when we 17 talk RSOs other institutions, 18 to that at 19 unclassifiable fingerprints are fairly common. Α 20 small number, but -

22 MEMBER VETTER: We're simply guessing that 23 there is something different about the process that 24 results in degrading the fingerprints when they are 25 going through the NRC first, rather than directly to

Thank you.

CHAIRMAN MALMUD:

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the FBI.

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2 CHAIRMAN MALMUD: One other item that you 3 mentioned was questioning the need to re-fingerprint 4 when relocating to another institution.

MEMBER VETTER: Right.

CHAIRMAN MALMUD: But let's say that there 6 7 educated, distinguished, good-looking is another 8 gentleman, such as yourself, who purports to be yourself as he transfers from the Mayo Clinic 9 to 10 another institution, but is not you, and yet has an 11 I.D. that says he is you. How would that person be 12 confirmed as being you without fingerprints?

MEMBER VETTER: I suppose in any other way that an institution who would hire me confirms that it's really me, regardless of the fingerprinting issue. I don't have a good answer for that.

17 CHAIRMAN MALMUD: Is there any other --18 because it may be that we're raising a question for 19 which there already is an answer, and that is that 20 they either have another way, or there is no other 21 certain way. I don't know the answer. Rob?

22 MR. LEWIS: Well, on that particular 23 point, and there is a question, I believe, in our 24 fingerprinting questions and answers, so it was raised 25 before of, can a doctor who works at many different

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59 1 hospitals use the first hospital's result at the 2 subsequent hospitals? And the answer is yes and no. 3 I mean, you can use the first fingerprinting result, 4 but each hospital has to have its own T&R 5 determination, because each hospital -- one hospital might say I don't want anybody with unescorted access 6 7 that has any criminal record. The second hospital 8 might say I don't want anybody with unescorted access without a felony. Since the individual licensees can 9 define their T&R, then you can use the original 10 11 fingerprinting result, but you put them through your 12 own process at a subsequent facility. And that's the way it's set up. Whether that's the most efficient is 13 14 something we're interested in feedback in, but that's just the way we've asked people to do it. 15 MEMBER VETTER: If I could just react, 16 just very briefly. The intention of the Subcommittee 17 was to recommend some sort of a process whereby the 18 individual wouldn't have to be re-fingerprinted. 19 We certainly do understand, as Mr. Lewis explained, that 20 21 each facility has to do its own T&R. 22 CHAIRMAN MALMUD: I wanted to thank you again for a very thorough -- you and the Subcommittee 23 24 for a very thorough job. 25 Ι think that Chris wanted to say

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1	something.
2	MR. EINBERG: Yes. Thank you, Dr. Malmud.
3	Thank you, Dr. Vetter, and the Subcommittee for this
4	report. I'll respond to a couple of the points you
5	made, but I just want to let you know what has
6	happened to your Subcommittee report. We've
7	transmitted this to the Commission through a
8	Commission Assistance Note so the Commission has a
9	copy of your Subcommittee report.
10	Additionally, this Subcommittee report has
11	been provided to the Rulemaking Working Group that's
12	dealing with fingerprinting, so they'll be using it
13	for this in their consideration as they move forward
14	in codifying the fingerprinting.
15	To now address some of your points that
16	you raised. You raised some good points, and I want
17	to take time to clarify some of the issues that you
18	did raise. Regarding the rejection rate, you
19	indicated that some licensees, may be as high as 25
20	percent.
21	I did speak to our Office of
22	Administration, who processes the fingerprints for
23	NRC, and handles the submissions of fingerprints, and
24	they confirmed that there are some very high rejection
25	rates with certain licensees. Overall, the rejection
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rate is approximately 7 percent, and they attribute the high rejection rate for certain licensees to perhaps the lack of experience in taking fingerprints. And so, licensees that tend to use local law enforcement who are trained to do fingerprints have a lower rejection rate.

7 For those licensees that are experiencing 8 difficulties, they do refer the licensees to the FBI's 9 website, and does give some guidance on taking 10 The FBI and other local law enforcement fingerprints. 11 and professional organizations do offer training in 12 regards to taking fingerprints, so that's available to licensees to decrease the rejection rate, as well. 13

14 Regarding submittal of fingerprints directly to the FBI by either local law enforcement or 15 by licensees, that's not permitted under the Energy 16 Policy Act. The Energy Policy Act basically states 17 that the fingerprints must be submitted by the NRC to 18 the Department of Justice, which is, in essence, the 19 And so, under the current law, there is no 20 FBI. 21 mechanism for submitting fingerprints directly to the 22 It has to go through the NRC, and so that's why FBI. there's that second step. And that pertains for 23 24 agreement states, also, so agreement state licensees 25 have to submit their fingerprints to the NRC, and NRC

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1	forwards those fingerprints to the FBI.
2	MR. LUEHMAN: Can I interject there,
3	Chris, for just a second?
4	MR. EINBERG: Sure.
5	MR. LUEHMAN: And one of the reasons for
6	that is that well, there's two reasons. One is,
7	that the NRC does our Office of Administration does
8	do a quality check, not necessarily just of the
9	fingerprints, but of the cards themselves before they
10	go to the FBI. That's Point A, but then Point B is
11	that if you when you go to the FBI directly, if you
12	went to the FBI directly, they have to have, and we
13	have to have verification that your requesting the
14	right kind of check. I mean, the FBI can run checks
15	in all sorts of databases. They can run them on
16	individual databases, they have a number of different
17	databases, and one of the things that sending them
18	the reason the Policy Act was written the way it was
19	was, the NRC will insure that the right check is being
20	requested. Because, again, the FBI can run through a
21	number of databases, or they can run specifically
22	through one database, depending upon what the check is
23	being done for. So that's an administrative burden
24	that the FBI doesn't want to do. They want to get the
25	Agency to make sure that the checks are classified for
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the proper series of checks, or a single check that has to be done. So those are some of the reasons behind, I think, why the Energy Policy Act says what it does.

MR. EINBERG: Thank you, Jim.

To kind of clarify some of the other 6 7 points, also, address some of the other 8 recommendations that you made, Dr. Vetter. You had recommended that perhaps there is a master list, or a 9 list of entities that are authorized to approve 10 11 fingerprints. And the NRC cannot endorse a list of 12 entities who are authorized to perform fingerprinting.

We do have a question and answer that's 13 14 developed, Supplemental Q&A, Number 3. And, basically, that says you can have your local law 15 enforcement agency, or other authorized individuals 16 take fingerprints, but we cannot get into the business 17 of endorsing a list of entities, because, inevitably, 18 there's going to be somebody who's left off that list, 19 and has reason to be dissuaded about that, to put it 20 21 lightly.

And then just to also echo a point that Ron made about the portability of the fingerprint results, or the T&R determinations. Basically, Ron did correctly indicate that each individual licensee

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is responsible for making their own trustworthiness, reliability determinations based on their own criteria. Each licensee will have their own criteria for determining who's trustworthy and reliable.

5 For the fingerprinting results to be transferred from one licensee to another, written 6 7 permission has to be given by the individual 8 requesting that the first agency who requested the 9 original fingerprints release those fingerprints. 10 Now, anecdotally, when we were giving the workshops 11 around the country on this, a lot of licensees said 12 that they would probably be reluctant to provide or release those types of records, because of liability 13 14 concerns. And so, most likely, the second licensee, the new licensee would need to 15 request or the 16 fingerprints once again.

Those are the only points that I wanted toaddress.

CHAIRMAN MALMUD: Thank you. Mr. Lieto.

MEMBER LIETO: Well, two points. One, I 20 21 think I really would challenge your statement that 22 licensees would be reluctant to transfer that information at the request of the individual. You do 23 it all the time for film radiation badge records, and 24 25 I think the inconvenience of repeat fingerprinting, I

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think that you would find that the individual would be more than willing to have that information transferred. So there may be some licensees that are -- may have expressed some reluctance, but there may be questions more to the fact of if the information would violate some confidentiality issues. And I think, again, the NRC could go a long ways to answering those questions by emphasizing the fact that that can be done.

10 The other point that I wanted to make 11 about the unclassifiables is that it's my method 12 understanding that the ink card of fingerprinting is not the standard practice with most 13 14 law enforcement, or with law enforcement agencies high rejection rates -- we're 15 period. So the experiencing high rejection rates, and we're using one 16 of the same agencies that's endorsed by our state 17 police. So it may be that what you say is true, that 18 there may be a problem with people's experience in 19 doing this, but it also relates to the fact that the 20 21 ink card method is a very time consuming, because they 22 have to send it in, it has to be looked at, and then you get the rejection notice. It comes back. 23 We 24 still aren't in compliance with the order, because 25 we're still going through this unclassifiable re-

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fingerprinting methodology. And I think the intent was to have everybody done by I think what, June? And so, I think if there would have been some acceptance early on that you could go ink card or electronic, I think there would have been a lot more of the individuals not being rejected than there are. And I think we're still going to have the problems with the ink card methodology.

CHAIRMAN MALMUD: Mr. Luehman.

MR. LUEHMAN: To respond to that, I agree. 10 11 I mean, I think that the standard is rapidly becoming the electronic, because, in fact, the electronic --12 the system can tell you whether you've got -- right 13 14 away whether you've got an acceptable set of prints. Unfortunately, I don't think -- the availability of 15 that is not uniform across the large numbers and types 16 of licensees that are involved in this. But to the 17 extent that that's accessible to them, I think you're 18 19 correct, that the electronic is the way to go.

That having been said, the FBI does, in fact, our working group that considers this, which is the IICWG, which is the Increased Controls Working Group, has just - we've just approved a supplement to a question and answer on this, because even despite electronic and/or correct ink fingerprinting, the FBI

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1 does experience a certain amount of unclassifiable 2 fingerprints, even with what we consider a valid 3 fingerprint card. And we have recently added to, or 4 we are about to add to our list of questions and 5 answers the procedure that will be followed that after certain number of attempts 6 а to get а set of 7 classified, fingerprints that there are special 8 circumstances where there's a special process that 9 could be followed with the FBI that does not involve 10 fingerprints, does not involve the submission of 11 fingerprints.

12 Again, the criteria under which those can be used, that method can be used is limited. And an 13 14inadequate set of fingerprints on the card is not a But there are -- we have supplemented 15 qood reason. our questions and answers, or we will shortly be 16 supplementing our questions and answers to address 17 18 what the FBI says is a valid issue, which is a certain number of people do have unclassifiable fingerprints, 19 20 regardless of quality of the fingerprints taken.

21 CHAIRMAN MALMUD: Thank you. Chris. I'm 22 Bill. sorry, 23 MEMBER VAN DECKER: As someone who didn't

24 serve on the Subcommittee, I heard more about 25 fingerprinting than I probably want to know right now.

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And second, I wanted to thank Chris' little interaction here, because it answered a big part of my question I was going to start with, is where we go with this Subcommittee report, and where things are going.

I think there's two pieces to this, as I 6 7 Number one is an informational piece to what all see. 8 the licensees know, at a time where there's some give and take on codification of what's going on. 9 And I would just say knowing how many small hospitals there 10 11 are out there, and lots of other stuff, that some way 12 of at least not creating more confusion in all of this will help things down the line, including some of this 13 14information that was given as background in the report, which you can't say do this one way, or do 15 this the other way. Some of that information may be 16 helpful to arrive you at places and choosing how 17 they're going to go about doing something like this. 18 So I think that the informational piece of this is 19 20 important.

And I guess the second piece of this is, I'd be interested in what you see as the time line until you have something "codified" in place, that this becomes a more rote issue, and utilizing some of this information. I guess the last piece of that to

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Dr. Vetter would then be, looking at your report, are there certain key pieces of it that you would like to see as motions from Full ACMUI to at least give some direct consideration in this process, rather than continuing ongoing discussion. It sounds like it's going to take a while.

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CHAIRMAN MALMUD: Was that a question to Dr. Vetter?

The second piece was a -- I 9 MR. LUEHMAN: guess the first piece was just a reaffirmation from 10 11 NRC that some type of informational piece is going to 12 be put into place, either through NRC, or through what other groups of interest. And the second piece of the 13 14 question, NRC's time line to codification. And then the third piece to Dr. Vetter was, what were the key 15 pieces of this report that you see we should have like 16 one or two sentences about that we think are key? 17 That was reasonable. 18

For the first part, could I 19 MR. LEWIS: 20 ask -- could I answer your question with a question? 21 And I had the same thought as you did as we were 22 walking through the presentation. Many of these are things that the Committee or the Subcommittee 23 is 24 advocating that licensees should do. So process-wise, 25 does the Committee have a view on how those things

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1	should be communicated to licensees? And I can offer
2	up some ideas. We could put it on our own
3	fingerprinting toolbox website, or we can do some more
4	formal communications, or we could put it on the
5	Committee's website. There are many options, but I
6	was wondering if the Committee had a particular view,
7	aside from the internal communication, which Chris
8	mentioned, that has been provided to the Commission,
9	and is being considered by the implementation of
10	Increased Control Working Group, and the Rulemaking,
11	which is many a couple of years down the road,
12	frankly.
13	The recommendations you have for licensees
14	seem to be more near term recommendations about given
15	the current situation, here's some things you can do.
16	CHAIRMAN MALMUD: Dr. Vetter.
17	MEMBER VETTER: My response to your
18	question would be, what would the Committee how
19	would they like to see the information conveyed to
20	licensees? I guess this, just off the top of my head,
21	I wouldn't push, necessarily, that the report itself,
22	as it exists, be put anywhere for licensees. But I
23	think we would appreciate if the information in the
24	report is incorporated into Q&As, or these other
25	websites, web pages you were talking about.
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It's the content that might be useful to licensees in one form or another, not necessarily as this particular report. Though I wouldn't object to that if that -- so I think whatever the NRC felt was the most expeditious way to communicate the information to licensees, Q&A or some other way, would be fine.

8 Decker's And in response to Dr. Van 9 question about whether or not the Subcommittee thinks 10 -- requests that any of these points be put in the 11 form of a motion for further support or whatever, the 12 Committee -- the report, itself, was, if I understand correctly, was sent to all of you, and all 13 you 14 approved it. So the report has been approved, so, thus, in terms of being integral part of the report, 15 each of these recommendations has been put forth to 16 the Commission to consider. Notice we use should, we 17 don't have the authority to use shall, anyway. 18 But these are recommendations for them to consider. 19

We would hope that they would have a little more precise view of some of these things, a deeper understanding of some of the issues, such as the unclassifiables, and they would know what's workable, and what isn't. But that they would take the intent of the report, which is supported by the

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1	Committee to heart and do what they can to implement
2	those two particular recommendations.
3	CHAIRMAN MALMUD: Thank you, Dr. Vetter.
4	Is there another comment?
5	MEMBER GILLEY: I have one.
6	CHAIRMAN MALMUD: Please, Debbie.
7	MEMBER GILLEY: Debbie Gilley. In the
8	unclassifiable fingerprints, are you seeing an
9	increase of number of unclassifiables in the medical
10	community versus the industrial community, or is the 7
11	percent across the board?
12	MR. LUEHMAN: I don't have the details of
13	the breakout. I understand it's 7 percent across the
14	board.
15	MEMBER GILLEY: I think it might be the
16	nature of the applicants in the medical community, and
17	some of their hygiene maybe issues that have the
18	sluffing of the skin cells that make it more
19	difficult. I had a lot of trouble getting
20	fingerprints for this particular ACMUI requirement,
21	and that was some of the things that were suggested to
22	me by the fingerprint specialist when I went there.
23	CHAIRMAN MALMUD: I hope that you're
24	suggesting that the health care providers hands are
25	cleaner than most.
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MEMBER GILLEY: Absolutely.

2 CHAIRMAN MALMUD: Thank you. I just 3 wanted to clarify that for the record. Any other 4 comments?

5 MR. GUIBERTEAU: I guess I just have --6 Dr. Malmud, I just have one, clarifying Mr. Lieto's 7 statement about the local law enforcement taking hand-8 rolled fingerprints. And as you had correctly pointed 9 out, ink is quickly being replaced by electronic 10 fingerprinting.

11 The local law enforcement can take 12 electronic fingerprints, but they have to be reprinted out on the cards and submitted directly to the NRC, so 13 14 they don't have to necessarily take ink-rolled They could take electronic fingerprints 15 fingerprints. with the machines that they have, and print them out 16 on the NRC cards. And so that may improve, perhaps, 17 the rejection rate, as well. 18

19 MEMBER VETTER: It was my understanding, though, the order said ink, ink prints on cards. 20 Ι 21 mean, because we specifically ended up having to go that route when we had the other alternative available 22 So I would -- if that's the case, then there 23 to us. 24 is a huge misconception out there and misinformation. 25 And Ι think really that needs to be clarified,

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because, like I said, it's a route that we would not have gone.

3 MR. EINBERG: Ι think this is qood 4 information, and it could be fed back through the 5 IICWG, and a Q&A could be developed. As you may or may not be aware, electronic fingerprinting submission 6 7 is allowable to the NRC by licensees, as long as the 8 licensees establish electronic fingerprinting program with the NRC. And this is afforded to any licensee, 9 10 but it's more cost-effective to large licensees. And 11 that may also cut down on the rejection rate.

MEMBER VETTER: Well, I think it goes to 12 the recommendation from the Subcommittee that there is 13 14locations where, especially where the electronic is much more available, it facilitates those individuals 15 going to those locations. And, 16 plus, the readv feedback when they do it, that oh, this fingerprint 17 was not acceptable, we need to redo it. And, again, 18 19 facilitates getting people done, and not having to go 20 through the repetition process.

CHAIRMAN MALMUD: Thank you. I think that completes the discussion regarding this item. If we may, we'll move on to the next item, which is under Tab 7, Permanent Implant Brachytherapy Rulemaking Subcommittee report. Dr. Nag. Dr. Nag has a slide

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presentation.

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2 MEMBER NAG: Yes. Thank you very much. This is the work that has been going on for the last 3 4 three or four years into forming new rules for 5 permanent brachytherapy because there were some drawbacks to the way the rules were written. 6 They would not apply to permanent brachytherapy, and that 7 was started sometime I believe in 2004. 8 And the report, or the proposed rules were published on August 9 6<sup>th</sup>, 2008. And the Subcommittee is making comments on 10 11 that report. I would like to thank the members of the 12 Subcommittee who are up there, Bruce Thomadsen, James Welsh, and Ralph Lieto. We did have teleconference. 13

14 In addition, we sought input from practicing members of the radiation oncology community 15 as to how it would affect their practice. 16 What we felt was that the proposed rules or written directives 17 for permanent implant is source strength based rather 18 19 than dose-base was really appropriate. And we, 20 therefore, support this rule, because when you place 21 permanent seed, you know what source strength you're 22 placing in, or what source strength you want to place You may or may not know the actual dose that 23 in. 24 comes out afterwards, because the source is removed, 25 and the organ can expand and so forth.

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One little comment, that is in the rule the word "activity" and "source strength" both being used. The correct word is "source Strength", and, therefore, whenever you are having activity in that rule it should be replaced by source strength.

Now, when the rules were made, or were 6 7 formulated, it was developed with the idea of pre-8 planned permanent brachytherapy, prostate 9 brachytherapy in mind. Now, the rule, however, is 10 going to apply to every kind of brachytherapy. 11 Therefore, you cannot extrapolate from pre-planned 12 prostate brachytherapy to all forms of brachytherapy. And because it was done with a pre-planned prostate 13 14 brachytherapy in mind, the proposed rule led to some unintended consequences. 15

I'm sure no one thought that these would 16 would unintended 17 apply, and it create some And I'm going to give some examples of 18 consequences. 19 what these unintended consequences are, and what the 20 Subcommittee proposes.

One of the unintended consequences would be that very well-performed implant, that's medically acceptable would be classified as medical event, and I'll tell you why. Now, if the source strength administered by more than 20 percent or more from the

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total source strength documented in the pre-implant written directive, it will be called a medical event. And the NRC has said that the pre-implant written directive cannot be changed, and the pre-implantation written directive serves as the basis for determining a medical event had occurred.

7 This seemed quite logical. However, it is 8 logical if you are using a pre-planned method. 9 However, there are more than one way of doing a 10 permanent implant. In fact, many times we do 11 permanent implant based on a real time adaptive 12 interactive technique, meaning that the source strength we are putting in is not based on some pre-13 14 planned volume, but on the actual volume that we are seeing as we are doing our implant. I'll show you a 15 This is a more accurate method, and 16 diagram of that. we are constantly updating our plan 17 as we are implanting. If we see that the prostate or the organ 18 19 is expanding, or is getting bigger, or smaller, is 20 moving, we update that. And this to show you an 21 example.

22 On the -- we are having an ultrasound 23 where we are seeing the image of the organ. We are 24 feeding it into a computer, into a treatment planning 25 computer. So what's happening is you are seeing, this

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1 is -- the little one is the preplanned volume, but as 2 we were implanting, on the ultrasound we are seeing 3 that this now the new volume. So if we were going to 4 put the seed according to the old volume, we would be under-dosing this new volume. So, therefore, the more 5 accurate way of doing it is seeing where you are 6 7 actually implanting, and because you have a computer 8 that is linked to your ultrasound, you can update that And, therefore, doing it this way, we are now 9 dose. putting in the source strength that is required for 10 11 implanting the organ as it is in the OR. So you 12 cannot base that on a pre-implant volume, or preimplant written directive. 13

14 Therefore, the basis for the ME, the recommendation is that the basis for the Medical Event 15 should be the total source strength implanted after 16 administration, but before the patient leaves 17 the post-procedure recovery area. And not to be based on 18 the pre-implantation written directive, and this will 19 20 allow any intraoperative adaptation, if required, and 21 most of the time it is required. And could then apply 22 to both a pre-planned technique, and a real time adaptive technique. And to add to that, even those who 23 24 are doing a pre-planned method very often, if they see 25 the volume is changing on the day that of the

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implantation, they will modify their written directive, anyway. So this will allow both techniques. And if you are doing that, then the preimplantation word should, therefore, be deleted from pre-implantation written directive in the other section, well, that's as to match. So our recommendation.

8 The other concern is that it will be 9 considered a medical event if the total source 10 strength implanted outside the treatment site, and 11 within the three centimeter boundary of the treatment 12 site exceeded 20 percent of the total source strength documented in the pre-implant written directive. 13 Now, 14 what do you mean by the treatment site? It's rather Treatment site is the area you treat, but to 15 simple. a radiation oncologist, there are various definitions, 16 and we're going through those definitions. 17

The definition in NRC is anatomical 18 description of tissue intended to receive a radiation 19 dose as described in a written directive. 20 And, 21 therefore, that's somewhat ambiguous. Now, let's see 22 how does the radiation oncologist do a plan, and I think this diagram will help us to understand. 23

The one in the center is the gross tumor volume; that is, if you have a tumor and you can see

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80 1 it, or you can feel it, that area is the Gross tumor 2 However, we do not just implant -- that is volume. 3 not our only target, because tumor can spread microscopically along that. 4 And, therefore, that 5 microscopic expansion is usually not equal in all Therefore, I have drawn what's called a 6 directions. 7 clinical target volume purposely that it's more in one 8 in the other direction, because direction, less 9 clinically, we see how it the plane spread. Ιf 10 there's a plane where the spread can go more, there 11 will be a bigger margin there; where, for example, if 12 you have a bone or some issue that will prevent the spread, the margin will be less in that direction. 13 14 But once you have that area where you have the tumor and the microscopic spread, then you have to 15 add the margin in the planning process, because many 16 other things happen in the planning. When you put 17 source in a certain area, there are dips 18 in the 19 isotopes, and there are uncertainty about where 20 exactly the tumor is, and so forth, so we have like a 21 punch for the planning target volume. 22 Again, the margin in the planning target volume is not equal on all sides. In the area where 23 24 you have a critical structure, for example, you have

25 the spinal cord, you have the bowel, you will have a

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1 less margin in that area, more margin in a place which 2 is like muscle or something that you cannot damage. 3 So that was the area we are really interested in, is 4 the planning target volume, and not necessarily the 5 Gross tumor volume. So the previous definition makes it quite ambiguous. Are you referring to this volume? 6 7 If you are referring to the Gausse target volume, then if you say well, more than 3 cm, you are having a 8 problem, or you are having medical event, then this 9 could be different. 10

11 So, therefore, what we want to say is that because there are various volumes we have to be more 12 specific of the volume. And the other thing is that 13 14 the margin, how much to place in the margin, how much to place inside the tumor which is in the margin is a 15 medical decision. That is a clinical judgment. 16 NRC interfere into the medical 17 is not supposed to judgment. And, technically, when you say tumor site, 18 19 are you meaning the Gross tumor volume, the margin as in the clinical target volume, or the margin as in the 20 21 planning target volume? This is quite unclear from 22 the definition we have now.

23 So what is the recommendation? We want to 24 clarify that to be considered a medical event, the 25 total source strength implanted outside the treatment

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site, and here we want to clarify that the treatment site will include the Gross tumor, the clinical target volume, plus invariable planning margin as defined by the authorized user exceeds 20 percent of the total source strength documented in the written directive.

If we are having this definition, then the NRC will not be interfering with the clinical judgment, because you are saying outside the planning target. And the planning target volume is defined by the medical judgment.

11 The other concern is that it will be a 12 medical event, even if a single brachytherapy source were implanted beyond 3 cm outside the boundary of the 13 14 treatment site. However, what we have seen is that in the normal course of a properly executed implant, few 15 source strength end up beyond the 3 cm outside the 16 Why? Because seed can be deposited into 17 boundary. the periprostatic-like vessels, and then they can 18 19 migrate to a distant organ, like the lung, but this is 20 correctly recognized by the NRC not to be a medical 21 event, so that's not a problem. However, a few of the 22 deposited seeds can travel to the adjacent pelvic area, maybe 4 cm away, but still in the pelvis, via 23 24 the pelvic vessel, and then it will be impossible to 25 judge whether it was something that was deposited and

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migrated, or whether it was implanted in that area.

A few seeds can be implanted into the urethra which is right in the middle of our volume, or into the adjacent bladder. And they're normally excreted in the urine, and you don't see them. But sometimes they may not be totally excreted in the urine, but may be traveling downward, and be somewhere halfway, and then it will be considered a medical event.

10 In the permanent implant of other organs, 11 some seeds can be sucked along the middle plat has 12 been retracted. When you place these seeds, we are placing them one by one. When you're putting them 13 14 down, if you pull them down, one or two seeds may be pulled down along the middle plat, 15 and may be deposited along the path of the middle plat, but more 16 than 3 cm. And then the patient may accidentally move 17 during the middle of retraction causing some seed to 18 be deposited more than 3 cm. 19

None of these things would be recognized while the implant is going on unless you are doing a pleural continuously doing the implantation of seed, which is not possible.

24 So the other thing is that the permanent 25 implant are done in prostate, but the rule would apply

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1 to permanent implant everywhere, in the liver, in the 2 brain, in the abdominal cavity, and so forth. And in other organs, you may or may not have a strong capsule 3 4 to define the boundary. And in that case, you may not 5 know exactly where the Gross tumor volume is, and, therefore, you might want to make a volume, and you 6 7 may not have tissue to anchor the seed. For example, 8 if you are trying to do implant against the bone, what we do is we put this -- or against the surface of the 9 peritoneum, what we do is we place the 10 radioactive 11 seed in gelfoam, and then we plaster the whole gelfoam 12 on top of the area of concern. And sometimes, or in the lung we do the same thing. We place it in a 13 14 gelfoam, and put it on the surface of the organ, and sometimes the gelfoam will be absorbed, and some of 15 those seeds can then float into the open cavity which 16 will be the thoracic cavity, or the abdominal cavity. 17 And if that happens, then a couple of seeds may be 18 19 then deposited more than 3 cm away.

So all of these would then be considered a medical event, and they are medically not a problem. And we would be spending hours trying to determine whether that was a medical event or not. So our recommendations are medical event would be if the total source strength implanted outside the treatment

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1 site, and now we have accepted that the treatment site 2 should include the planning target volume, exceed 20 3 percent of the total source strength, so this will 4 take care that if you had a few seeds moving, which 5 can happen, we still have that 20 percent. And it will take care of any source migration, any seed that 6 7 has dislodged, but will still hold accountable some 8 practitioners who have wrongly identified the organ and placed a lot of seed in a different area. 9 And we are still holding accountable people who are making 10 11 mistakes, but a few seeds being dislodged, et cetera, 12 would not be called a medical event. If you define it this way, then Section 8-2.3 will become superfluous, 13 14 and, therefore, can be eliminated.

of 15 concern that the section An area medical 16 licensee shall report as а event anv 17 administration requiring a written directive, if а written directive was not prepared. 18 Not having a 19 written directive prior to the administration is 20 already a violation, so creating that into a medical 21 event, that will -- it will serve only to add to the 22 number of medical events without adding to the safety. The proposed rule change will only add medical events 23 24 that are rule violation only, but they're not harmful. 25 And administration done without written directive

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would, therefore, be cited as a regulation violation, rather than be called a medical event.

So, basically, I would like to summarize 3 4 at this point, that we are concerned that with the 5 proposed rules, the above situations that I have mentioned will inappropriately be deemed to be medical 6 7 events, when, in reality, they sometimes occur in the 8 course of some normal properly executed brachytherapy implants, and these are beyond the control of the 9 10 authorized user. We are concerned that this neuro 11 will then simply abandon permanent brachytherapy procedure rather than risking having medical events. 12

In fact, as we know, many people are 13 14shying away from doing brachy because the regulations are already so burdensome. And if you are going to 15 now say even good implants will be called medical 16 events, many people will just say I'm going to stop 17 And this will be then detrimental to 18 doing it. 19 patient care, because technically speaking, brachytherapy is still the most conformal form of 20 21 therapy. It's the best way to put a maximum dose into 22 the tumor compared to any other form of radiation We, therefore, recommend that in Section 23 therapy. 24 (a) (2) (i), (2), (3), and (4), the word "pre-25 implantation" will be deleted from pre-implantation

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1 written directive. In Section (a)(2)(ii), clarify 2 that the treatment site includes the Gross tumor, 3 clinical target volume, and a variable planning 4 margin, as defined by the AU. And, therefore, 5 (a) (2) (iii) will become superfluous, and, therefore, Activity should be made 6 be deleted. by source 7 strength wherever it applies to permanent 8 brachytherapy, and that administration without the written directive should be cited as 9 regulation violation, and not medical event, per se. 10

11 The other thing is that some of these 12 things could have been avoided if the NRC had sent the rule back to the ACMUI before sending it out for 13 14 public comment, because as we have mentioned before, these rules were made on basis of recommendation of 15 the ACMUI several years ago, about five or six years 16 ago. But when those rules were formulated, they never 17 came back to the ACMUI to say is that what you meant, 18 or is that -- because sometimes the changing of one or 19 two words may mean a huge difference. And, therefore, 20 21 our plea is that if the NRC is going to form some 22 rules based on the recommendation of the ACMUI, they should at least come back to us before they are 23 24 published. And I think we have to thank members of 25 the Subcommittee. I got a lot of input from members

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88 1 of ASTRO, ACRO, which is a colleague of radiation 2 oncology, and the Brachytherapy Society. This is the 3 sum total of the opinion of a large number of 4 practicing physicians. Thank you. 5 CHAIRMAN MALMUD: Thank you, Dr. Nag. Ιf I may just ask some brief questions. Was this a 6 7 consensus report, or was there a minority report, as 8 well? This is -- we did not get any 9 MEMBER NAG: -- when we voted in the Subcommittee, there were no 10 11 abstentions, and there were no nays. They were all 12 yes. CHAIRMAN MALMUD: Thank you. 13 14 MEMBER NAG: In the meeting in Ashville in the public radiation oncology forum, again, this is 15 the sum total of their own report. And whatever --16 there were no minority, they were all addressed. 17 CHAIRMAN MALMUD: So this has the strength 18 19 of a consensus report. 20 MEMBER NAG: Yes. 21 CHAIRMAN MALMUD: Thank you very much. 22 Other questions for Dr. Nag? Debbie. 23 MEMBER GILLEY: Debbie Gilley. Is there a 24 definition of a gross tumor volume, a clinical target 25 volume, and a planning target volume in the current **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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regulations? And, if so, does the planning target volume include the pelvis and the urethra?

MEMBER NAG: Okay. First of all, in the -3 4 - if you are talking about following regulation in the NRC on the Federal Register, that does not have these 5 The only volumes they have is the 6 three volumes. 7 treatment site. And that is why we are saying it's 8 ambiguous, because the word "treatment site", we don't know whether it refers to which of these volumes. 9 These volumes are taken from the ICRU report, the 10 11 International Commission on Radiation Units, and these 12 are the volumes, these three volumes are used by radiation oncologists universally. in 13 So the 14radiation oncologist and ICRU report, none of those three volumes are defined in the NRC. 15

MEMBER GILLEY: Currently, we have had 16 medical events that have included implanting seeds in 17 the wrong anatomical position that may have been 18 included in the planning target volume, for instance, 19 for the pelvis, and the rectum. Is this definition 20 21 going to allow those type of medical events to still 22 be reported, or are we now going to look at the medical community taking the definition 23 of the 24 planning target volume to have it be the practice of 25 medicine?

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1 MEMBER NAG: Can we go into that slide 2 where I had the volume, because I think that is very 3 important, because that will show you -- the reason we 4 cannot give a standard 2 cm or something, the margin 5 cannot be a constant margin. For example, if you are taking a prostate, less than 1 cm from the posterior 6 7 border of the prostate is the rectum. So, therefore, 8 when we make a planning target volume, the planning volume does not expand posteriorly, because you have 9 10 planning volume the rectum there. The expands 11 laterally, and anteriorly, but it does not expand 12 superiorly because that will go into the bladder. So that's the reason why we want to use the word planning 13 14 target volume, because the planning target volume is clinically relevant, because -- for example, here is 15 the gross target volume. So if you were implanting the 16 prostate, you would -- this is the prostate, 17 for example. Then critical spot here would be the rectum, 18 19 so the planning target volume would not go into the 20 rectum, because you are not going to implant the 21 So the planning target volume would stop rectum. 22 On the laterally, where this is no tissue, you here. expand as much as you want. And I think this is the 23 24 reason why we have been trying to hammer that it means 25 more clinical -- previously, there were all right, how

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1 many cms do you need to expand? We cannot say it's 2 2 cm, because if you put 2 cm posteriorly, you are going to go into the rectum, and that is absolutely not 3 4 allowed. But if you go -- and if we take then only 5 half cm, then if you go only half cm laterally, it's not enough. So we have to define the planning target 6 7 volume for each organ according to the clinical needs, 8 and the clinical should I say risk of harming normal So the planning target volume includes the 9 tissue. spread, and the risk of damaging normal 10 risk of And it's a balance of normal tissue with the 11 tissue. risk of the spread. 12 CHAIRMAN MALMUD: Dr. Vetter. 13 14 MEMBER VETTER: On one of your slides, Dr. Nag, you were referencing 35.3045 (a), "A licensee 15 shall report as a medical event any administration 16 requiring a written directive if a written directive 17 is not prepared." 18 19 MEMBER NAG: Yes. I'd 20 MEMBER VETTER: like to ask а 21 question, perhaps of Dr. Howe. Ι think that 22 particular paragraph was intended to address Iodine 131 events, where therapeutic levels were administered 23 24 when diagnostic were intended. 25 DR. HOWE: This is Dr. Howe. That's not **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

quite true. In Part 35, we have written directives 2 for unsealed material, and when you have a written directive for unsealed material, that is you go back into the definitions and you have a prescribed dosage. A prescribed dosage includes both diagnostic and administration, 6 therapeutic type of SO we have, because we can go back to a procedure for the lower activities of I-131, or maybe I-123, that we have a 8 way of identifying those as medical events.

10 But for the sealed source therapy, the 11 written directive is -- the prescribed dose is the 12 in the written directive. So if there is dose no written directive, there is no prescribed dose, there 13 14 is no prescribed dose to be out of compliance with. And we ended up with a situation where you could have 15 -- with the sealed sources, you could have a therapy 16 dose individual that would not 17 qiven to an be considered a medical event. And, therefore, would not 18 19 be reported to the NRC.

Yes, 20 it may be a violation, but it 21 wouldn't be reported to the NRC, and so whether we 22 found it or not would be very arbitrary. And so, the purpose for putting 3045(viii) in was to capture those 23 24 sealed source events in which there was no written 25 event, no written directive. It wasn't that there

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93 1 wasn't a complete written directive, it's just there 2 wasn't any written directive at all, because we had no way of getting out of that circular argument that the 3 4 dose for those sealed sources is what's in the written And if there is no written directive, 5 directive. there is no dose, there is no medical event. So that 6 7 was the hole that we were trying to fill. With that wording, we will not capture any more I-131s, because 8 we're already capturing those as medical events. 9 MEMBER NAG: if 10 Now, they are ruled 11 violations, but they are not let's say harmful to the patient, is there any way we can say that we can have 12 then a rule violation, because that itself is already 13 14 -- doesn't that have to be reported? 15 DR. HOWE: If you have a rule No. violation, you do not have to report rule violations. 16 MEMBER NAG: I think this is something 17 Ralph, you had worked on this portion of it. Can you 18 19 -- do you have any comments? 20 MEMBER LIETO: Well, I think you've 21 summarized it pretty well, Dr. Nag. Ι see Dr. 22 Vetter's concern that there might be these medical events that are not getting reported. 23 And, to me, 24 again, I quess if a licensee is that unscrupulous that 25 they're not going to do a written directive where it's **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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required, and then kind of cover it up by not -- upon discovery not doing any type of corrective action, I would think there would be a lot of other issues that you'd need to worry about than not having a written directive. To me, there's just -- I guess I would ask where is the evidence that you're basing this on 6 for the fact that there's a suspicion that medical events are occurring, but they're getting around it 8 because there was no written directive at the time prior to administration.

11 I would think that there would be, one, there would be licensing violations and citations 12 because you violated other parts of Part 35 already. 13 14 The other thing is that this applies to all applying a written directive. 15 applications The situation you're trying to address is the ones with 16 the sealed sources, but it's going to apply to all the 17 unsealed radiopharmaceutical therapy administrations, 18 as well. And I think in the examples that are given in 19 20 Subcommittee report, it actually the the uses 21 radiopharmaceutical therapies of as а sort 22 substantiation for that. I really don't think this needs to be made a medical -- this violation needs to 23 be made a medical event. 24

And then I think, also, I think it's a

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very slippery slope to start that if you're going to make certain regulation violations relating to written directive compliance a medical event, I just don't see the justification.

CHAIRMAN MALMUD: Excuse me. I just wanted to clarify what you were saying, Ralph. So you're saying that you think that currently there is not a need to make this kind of dosimetry a medical event, because it already is being handled otherwise.

MEMBER LIETO: Right. It's a regulatory violation already.

CHAIRMAN MALMUD: Thank you. Dr. Nag.

MEMBER NAG: Yes. The other point I had is 13 14 that this whole issue is on permanent implant; whereas, the part about having a written directive, or 15 not having a written directive is not specific to 16 This applies to any of 17 permanent implant. type implant, including HDR and so forth. If I do an HDR, 18 19 I don't have a written directive, it's not and 20 specific permanent brachytherapy. to And my 21 preference would be that since this is a rulemaking on 22 permanent brachytherapy, restrict it we onlv to permanent brachytherapy, and instead of muddling up 23 24 the issue somewhat when you're having an overall 25 question, because the written directive -- doing a

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procedure without a written directive is the broad base that applies to every form of brachytherapy. And that is separate regulation that says you cannot do brachytherapy without a written directive, because that covers it broadly.

6 CHAIRMAN MALMUD: I think that Rob Lewis 7 is going to make a comment.

8 Well, I guess I MR. LEWIS: do see a 9 If we eliminate the word "precircular argument. 10 implantation" from written directive, and we only do 11 the written directive after -- an example of а 12 situation where the new criteria you propose would be tripped to become a medical event. And I think it 13 14 hinges on the definition of planning target volume, which brings me back to why isn't that defined pre-15 implementation? 16

MEMBER NAG: Right. Well, I would say that 17 we do this in the operating room all the time. So our 18 19 planning target would be to say that we are going to 20 implant this organ, and when you do this, you have a 21 diagram that you are planning on the operating room on 22 the computer. And that is printed out, so our plan would be to say implant like I showed you. And at the 23 24 end, when we do the x-ray, we found half of those 25 seeds were not in the planning target volume, was

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1 below, or on the side, or posterior, or in the rectum, 2 then it will be definitely become a medical event. So you do have a written directive that you can go back 3 4 to, but that written directive was done when you had 5 just finished doing your implant. Because until such time as you have completed your implant, you can keep 6 7 on changing as you are seeing change in the shape. So the point where you are completing the implant is when 8 you say well, now I have implanted the target the way 9 I want to, and now we are going to stop. 10 11 The mistakes are usual -- I mean, I have examined quite a few of the misadministrations. 12 The mistakes were made not because they went outside of 13 14 what they were planning, but what happened is they misidentified the plan. They thought that the bladder 15 was the prostate, and they put a lot of the seed into 16 the bladder, or they thought that the bladder or the 17 prostate was some other organ, and the sub-urethral 18 19 area was the prostate, and they put the seed there. 20 So those would be caught because your planning target 21 on your diagram was the prostate with the margin. And 22 when you came back, and all the seeds are outside, identifiable 23 that is easily verv as а 24 misadministration. 25 CHAIRMAN MALMUD: Dr. Howe, I think you **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

wanted to make a comment.

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DR. HOWE: Yes. This is Dr. Howe. I'd like to clarify two points, and one is that if comments are coming back that not having a written directive is a medical event, will affect in any way the nuclear medicine therapy medical events. That's not true, because the medical event definition for unsealed material is based on dosage.

Dosage is defined in Part 35 as, 9 "The 10 activity or range of activity of unsealed byproduct 11 material as documented in a written directive, or in accordance with the directions of the authorized user 12 for procedures performed pursuant to 100 and 200." So 13 14 if you were -- if you have a procedure manual, and you intending to 15 qive of the diagnostic are one procedures, then you have the procedural manual number 16 that gives you the doses. And if you made a mistake 17 and you gave a therapy, something requiring a written 18 directive, we have a means of identifying that as a 19 written directive. So we won't be increasing any 20 21 written directives for the unsealed material, because 22 we already have a means of determining what the dose is, if there's no written directive. 23

The only one we don't have is the one for the sealed source. Have we had an example of that?

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1	Yes, we have. We had intervascular brachytherapy that
2	was given to a patient that was not did not have a
3	written directive provided for them. Are we -
4	MEMBER NAG: Permanent implant?
5	DR. HOWE: In this case, it was not
6	permanent implant, but it could be for other cases,
7	because if there isn't a written directive for that
8	person, then you've got a medical event.
9	The other issue is, we're not medical
10	events are not violations, and so a medical event is
11	when is an event that NRC wants reported to us.
12	They don't have to injure the patient. That's not our
13	criteria. Our criteria is very, very low. It's
14	almost a precursor type of thing. We get triggered at
15	very low levels, so that we get the precursor events,
16	but we also get the really high events. So we capture
17	both of them. So in this case, the argument that this
18	is already a violation isn't really relevant to the
19	situation, because yes, it's a violation, but NRC
20	wants these things reported to it up front so that if
21	we have trends, we can then take some kind of
22	effective action. And that would be to notify all
23	licensees, not just the violation for the one
24	licensee.
25	CHAIRMAN MALMUD: Thank you, Dr. Howe.
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MEMBER NAG: In the old days, there was something called reporting criteria and misadministration or medical event. In that case, there's a difference between the two, and it would probably make sense to make not having a written directive reportable event, а but not а misadministration or medical event.

8 Although you are saying that medical event 9 per se does not have to be harmful to the patient, I 10 agree with that. But the moment you have a medical 11 event in a hospital, it leads to a tremendous amount 12 of paperwork, tremendous amount of anxiety, reporting to the patient where even though you can tell them 13 14 it's not harmful, the moment you have to report it to the patient separately and to the referring physician 15 separately that there was a medical event, it creates 16 a tremendous amount of anxiety and paperwork for all 17 concerned, the hospital, the NRC, and everyone. 18 19 Because any of those will then have to be investigated and so forth. 20

## CHAIRMAN MALMUD: Dr. Howe.

DR. HOWE: I had forgotten, I also had a third point, and that was with regard to the preimplantation. Okay? And the treatment site. Well, the treatment site right now is written in a very

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global manner, in which the authorized user gets to define the treatment site. Whether he uses your terms or uses some other terms, he gets to define it. So the gold standard is the physician sets his own standard.

Your description of changing from the pre-6 7 implantation, what you're inferring is maybe a week or 8 so before. In this case, pre-implantation is right up 9 to the moment that you implant, SO your latest 10 computer diagram the day you're doing the 11 implantation, two minutes before you put the needle 12 in, 30 seconds before you put the needle in, is always pre-implantation, because we don't distinguish it 13 14 being a week or some other time, just preimplantation. 15

had medical events 16 Have we where the physician has used our regulations to avoid having to 17 report serious errors? And the answer is yes, and in 18 19 permanent brachytherapy, and in prostate 20 brachytherapy. We had two cases where the physician 21 was going to implant, and I don't have the numbers in 22 front of me, say 70 seeds. The seeds went into the bladder, the seeds were pulled out of the bladder in a 23 24 timely manner so there was no dose to the wrong 25 treatment site. The physician rewrote the permanent

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prostate brachytherapy to say the first fraction I wanted to give 30 seeds, and I will follow-up with a second fraction. The second fraction was never followed up. There was an error.

5 In another case, the same thing happened, where recognizing that the patient hadn't left the 6 7 surgery, the physician changed the number of seeds 8 that they were going to give from a reasonable amount of seeds to a very low fraction of that. And neither 9 those were medical events, because 10 one of the 11 physician changed the written directive prior to 12 completion of the procedure. That's what we were trying to go for, the errors. 13

14 MEMBER NAG: I need to respond to that. This -- what you are referring to is not particularly 15 for permanent brachytherapy only. You can do the same 16 removable brachytherapy, and 17 thing in your in removable brachytherapy you can write your directive 18 and say well, I'm giving four implants instead of 19 three, and so you could do the same thing, as well. 20 21 And that would not be a medical event in removable 22 brachytherapy, so why would that be a medical event in permanent brachytherapy? 23

But more important than that, whenever the word "pre-implantation" is written in here, the way it

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1 is interpreted by most people, and I would say 2 including many of the NRC officials, the amount you write before you go to the OR. Before you go to the 3 4 OR, you say certain millicurie. That is the pre-5 implantation that most people refer to. And then when you went to the OR, you did your ultrasound, and you 6 7 saw you need 45, that would be then considered a post-8 implantation, and you are not allowed to change your 9 pre-implantation written directive. And, therefore, 10 would be considered a medical event. So that's what 11 we are trying to prevent, so the actual number that we 12 should go by is the number that we are planning when we are doing the implant. We have put our seeds, we 13 14 have looked at the dosimetry, because the dosimetry available almost instantaneously within a few seconds. 15 We don't like it, so we need to put a few more seeds 16 here, a few more seeds there, so the written directive 17 from which you have to calculate your deviation is 18 19 basically the written directive when the whole 20 procedure is done, and the physician has certified that he has done a good implant. So you have to 21 22 calculate the deviation from that point in time which basically before the patient is 23 leaving the is 24 operating room. This is what our definition is, not 25 leaving the post-procedural area.

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I know it's a very fine matter of debate, but it's -- we are trying to prevent frivolous medical 2 events, basically.

4 CHAIRMAN MALMUD: Dr. Zelac has his hand 5 raised, but I have a question for you, Dr. Nag. Ιt wasn't clear to me, how would you deal with the issue 6 7 Howe just described in order to bring that Dr. 8 attention to the fact that there was а misadministration or a significant problem in treating 9 the patient that she cited? How would you propose 10 11 dealing with it?

MEMBER NAG: Well, in any other treatment, 12 let's even forget permanent implant, in the removable 13 14 implant, if you haven't given enough, what do you do? 15 You well, -- this is say we can not а misadministration because we can give more. 16 We find that the dose is not enough, so you put your needle 17 in, and you find that with the needle that you have, 18 19 you cannot give a good enough dose, you say all right, 20 we are going to give a separate dose, and you change 21 your administration to say instead of three plats in, 22 four plats in. So I think this is something being done on-line by the physician as they are seeing it, 23 and I think that is not a misadministration, because 24 25 they are seeing it as they are going. And if they

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feel they cannot give the full dose -- let's say I'm doing an implant. In the middle of the implant, I find the tumor is much bigger, and I don't have enough seeds with me. Then it is up to the judgment of the physician as to whether they should stop the implant at that point, or let implant completed and say needs an additional implant to do the job properly.

8 CHAIRMAN MALMUD: But my understanding, 9 and perhaps I misheard, but I thought I heard Dr. Howe 10 describe a situation in which the physician having 11 made the error, said that the physician was satisfied 12 with giving the smaller number, but would complete the 13 dose with an additional number, which were never 14 administered. Did I hear you correctly, Dr. Howe?

DR. HOWE: That's correct.

MEMBER NAG: Yes. So in that case -

DR. HOWE: And in the second case, they changed the number from a significant number - once again, I may not have the right number - 70 seeds down to 30 seeds, and said that's what I wanted to give. And it was because most of the seeds went into the bladder.

CHAIRMAN MALMUD: So how would you propose
dealing with that with the proposed -- excuse me, Dr.
Nag. How would your recommendation deal with a

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situation such as that?

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2 MEMBER NAG: Then that situation is something that would be a problem for the hospital 3 4 administration, because you can rightly -- you can do an incorrect calculation and say I'm going to give 20 5 millicurie, when really I was doing that, I was going 6 40 millicurie, 7 to qive let's say. Some other 8 physician said okay, I'm going to give 20 millicurie. 9 He wrote it in the pre-implantation directive, 20 10 millicurie. He ended up giving 20 millicurie. That 11 patient is not cured. He's going to have a number of 12 those -- there's no regulation from NRC that can catch However, over a period of years, he's going to 13 that. 14 have a lot of recurrences, and he will be caught.

On the other hand, another physician is 15 doing wrong planning and putting half the seed in the 16 rectum, he's going to have -- like a fistula. 17 He's going to have lawsuits on their hands, but he's 18 19 correctly doing what he's saying he's prescribing. So 20 is not something I think you can solve this by 21 changing the way you are writing the prescription, 22 because in the prescription he could 20 put millicurie, all the 20 millicurie would be in the 23 24 prostate, and within the 2 cm of the prostate. Half 25 of them may be in the rectum. They would still not be

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considered a medical event. So I think there are some methods that really no matter how you put in the regulation, you cannot rectify.

Whereas, the example you mentioned, your objective was to give so many, and your prescription, you said he modified to say two implantations, and a second implantation he's going to do to make up for it. If he didn't do that second implant, well, then it would be a medical event, because he didn't do it, because he had two accidents.

11 CHAIRMAN MALMUD: Perhaps not being a 12 radiation oncologist, I'm asking some very naive 13 questions. Excuse me.

MEMBER NAG: No, it's not naive. It's something we deal with every time, too.

16 CHAIRMAN MALMUD: Say that the patient was 17 to have received 60 seeds as the calculated pre-18 treatment dose. And 30 of those seeds went into the 19 bladder, and, therefore are going to be voided out 20 with urine.

MEMBER NAG: Right.

CHAIRMAN MALMUD: Therefore, the patient had received 30, which was rewritten to be the correct dose by the physician who administered it in the example that Dr. Howe cited. The 30 that would be

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108 1 urinated out, what's their fate, how were they accounted for? What happens? Is there a recording of 2 3 the fact that they were voided? 4 MEMBER NAG: They are recorded in the 5 place where we say -- where we plat the radioactive receive Х number of millicurie 6 source. We of 7 radioactive source, then we say Y went into the 8 patient, and number Z was not used or returned back to 9 the manufacturer. 10 CHAIRMAN MALMUD: Will they have been 11 returned? When does the patient void these, the ones that are in the bladder? 12 MEMBER NAG: The ones in the bladder are 13 voided -- there are two ways. One is immediately 14implant before the patient 15 after the leaves the 16 operating room, we do a cystoscopy, and if we see a lot of seeds in the bladder, usually we do see one or 17 In my experience, I have seen one or two. 18 We two. 19 then pull that one or two seeds out, and then they are 20 stored for decay. And at the end, we would write 21 there are five seeds stored for decay, and 20 seeds or 22 80 seeds placed in the patient. CHAIRMAN MALMUD: So that in the case 23 24 cited, if 60 were prescribed as the total dose, 30 25 were theoretically in the bladder, and then voided and **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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retrieved by cystoscopy while the patient was still in the suite, there would be a disconnect; namely, that the dose was to have been X rads, or whatever, and the number of seeds retrieved is one-half of what that would have been.

## MEMBER NAG: Right.

7 CHAIRMAN MALMUD: But now the dose has 8 been rewritten to be what the patient received retrospectively after having realized that 30 went 9 the bladder, and no more therapy is being 10 into 11 offered. How would that come to the attention of the 12 hospital itself? Is each of these cases reviewed individually? 13

Well, when you do quality 14 MEMBER NAG: things we 15 assurance, one of the do in quality assurance is to say what doses are being given to 16 patients. Same thing in other kinds of implants. 17 Ι mean, if you -- let's pick out a permanent implant. 18 19 Ιf did a removable HDR patient, and you're we 20 consistently giving your patient half the dose that 21 the rest of the country is giving, it is not a 22 misadministration, because that's what you wanted to give, but it is below what the recommended, or the 23 24 standard dose that's been given by the rest of the 25 country. You had something. Right?

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CHAIRMAN MALMUD: I understand your explanation. I'm sorry, who was going to raise a question? Please.

4 MEMBER WELSH: I was just going to comment 5 on -- Jim Welsh, commenting on the question, as well. In this particular case Dr. Howe brings up, if a 6 7 number of seeds were placed into the bladder, by the 8 proposed new definition, these would be outside the Twenty percent would be outside the PTV, and, 9 PTV. 10 therefore, it would be potentially categorizable as a 11 medical event. And the reason why this might be is 12 that the PTV, or the bladder, rather, is a critical organ outside of the expansion that would include the 13 14 PTV, as Dr. Nag's illustration clearly demonstrated.

Therefore, if there's an under-dose to the 15 prostate because X number of seeds have wound up in 16 the bladder, you would recognize that, too, because 17 the normal dose is 145 to 150 gray. If you wind up 18 in the bladder, whether they're 19 putting 20 seeds 20 urinated out, extracted out through cystoscopy, or remain embedded within the bladder wall, it's a 21 22 medical event because they're outside the PTV. And it's also an under-dosing of the prostate, because 23 24 instead of the 145 gray, you might be getting half 25 that, and there would be a lot of explaining to do on

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1	that account alone.
2	MEMBER SULEIMAN: So who would pick that
3	up, sir?
4	CHAIRMAN MALMUD: Dr. Suleiman asks who
5	would pick that up.
6	MEMBER SULEIMAN: Yes. Let me regress
7	just a little bit more. You've got a tumor. You want
8	to deliver how many gray, 145 to 150? That's the
9	target calculation. You then back then you say I
10	need so many seeds of so much activity to deliver that
11	target to deliver the dose there. I mean, that's
12	the thinking that's got to go away before you even
13	start. So then you go in, this is the practice of
14	medicine. You've got a certain uncertainty, you put
15	it in there. And for some reason either the seeds
16	migrate, you don't deliver the the tumor is bigger.
17	You finish the procedure. You realize that you're not
18	going to deliver 150 gray. You realize with the
19	amount of seeds you've delivered you've placed, some
20	of whom are now outside the target area, and maybe
21	elsewhere, you really have to go through a
22	recalculation of what the actual absorbed dose is to
23	both the tumor and whatever. At that point, you're
24	just the procedure isn't completed as far as I
25	would be concerned, because you do a reassessment, and
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1	then you say we need to go back in and deliver more
2	dose. We need you don't just say finished, that's
3	it. This is what we delivered. We gave 100 gray.
4	MEMBER NAG: I wish to correct you there.
5	Actually, that process is going on even before that.
6	When you are putting your needle and you start putting
7	your seeds, you are recalculating as the seeds are
8	going in. You don't wait until you finished
9	everything, and then recalculate.
10	MEMBER SULEIMAN: You can actually do
11	that?
12	MEMBER NAG: Yes. This is what the on-
13	line -
14	MEMBER SULEIMAN: Software.
15	MEMBER NAG: Yes. That is what the real
16	time implantation is, that we are at that thing as we
17	are going, so if we put the needle in and we find it
18	different from the pre-plan, so that's one area where
19	you're adapting. Halfway through the implant, if we
20	see that one area is getting too much, one area is
21	getting too little, we replan because all of these
22	are now almost instantaneous.
23	MEMBER SULEIMAN: So you're doing real
24	time dosimetry.
25	MEMBER NAG: This is all real time, yes.
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1	MEMBER SULEIMAN: In a manner of speaking.
2	MEMBER NAG: Right. And as you're putting
3	the seed in, the computer is constantly updating the
4	dosimetry. Actually, I have a paper which is the
5	ABA's recommendation on real time planning. I think I
6	had given it in one of the place here, but I think I
7	have given it in the the reference to that is given
8	in the report, not in the slide. But that's the
9	basis, that you're constantly updating your dosimetry
10	as you're placing, and, therefore, correcting.
11	MEMBER SULEIMAN: That's what you do.
12	MEMBER NAG: No. That's what I a few
13	of us started doing five to ten years ago. Now, more
14	than half the people are doing it by the real time.
15	So the proportion of people -
16	MEMBER SULEIMAN: Well, then how does Dr.
17	Howe's scenario happen then?
18	MEMBER WELSH: I would find that this
19	is Jim Welsh. I'd find it less and less likely to
20	happen. Again, I personally know of no one who is
21	using the old pre-implant dosimetry any more. And in
22	my career, I did it once, and once you have had a
23	taste of real time intraoperative dosimetry, you can't
24	go back to that approach any longer. So I don't think
25	that too many people are going to be using the pre-
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1 planning approach any longer.

2 MEMBER NAG: There's still a lot of people doing pre-plan, but the proportion keeps on changing. 3 4 And when the rules were promulgated, the basis of that was in 2002, a large proportion was doing it pre-5 small proportion was doing it real time. 6 plan, 7 Although, the report I was in was 2002. But now, that 8 ratio is changing, more people are doing real time, less people are doing pre-plan. 9 10 CHAIRMAN MALMUD: I see a hand of NRC 11 staff. Is that right? 12 MS. BHALLA: Yes. CHAIRMAN MALMUD: Could you come to the 13

14 microphone, please.

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16

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MS. BHALLA: Sure.

CHAIRMAN MALMUD: Thank you.

MS. BHALLA: Yes. Dr. Malmud and the 17 Committee, my name is Neelham Bhalla, and I'm in the 18 Rulemaking branch of the Division of Rulemaking and 19 Intergovernmental Liaison. So, anyway, we have done 20 21 this proposed rule, and it started under my -- as my 22 project. But then with other competing projects going on, my colleague, who is here, Ed Lord, he finished 23 24 this proposed rule.

The whole basis of this proposed rule came

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1 from what ACMUI had given to us maybe about three or 2 years ago, very nicely written paper titled four 3 something like the Guiding Principles for Permanent 4 Brachytherapy Implant, and then we -- Dr. Zelac is here, and this was taken to the Commission, as this is 5 what the ACMUI has been advising us to do. And their 6 7 problems with the brachy implants specifically, Ι 8 think the concentration had been for prostate implants, because that has been -- that's where most 9 of these procedures are being done. 10

So we did the proposed rule. Basically, the working group worked very hard, and there were all these parameters given to us in terms of three centimeters from the target volume, in terms of -there were these specifics. And that's what we based -- the whole proposed rule is based on.

Two things I would like to go 17 into a detail a little bit about this. So this concept that 18 19 now Dr. Nag is proposing, and about talking the real 20 time implantation, perhaps it's happening now, but at 21 the same time, there are institutions out there which 22 are still using the old methodology. So when we are doing the regulations, they pretty cover a broader 23 24 range, so that we are covering people who are on the 25 cutting edge of the practice, as well as those who are

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1	still using the old methodologies. So that would be
2	one of our reasons to really say how we have done it,
3	what we have done it. Okay? So that's one.
4	And two is, I would like to know from Dr.
5	Nag the difference between the source strength, as
6	opposed to activity, because, to me, pretty much
7	activity is a multiplication of source strength times
8	the number of sources. So these are my two questions,
9	and I would like to have an answer.
10	MEMBER NAG: Yes. The first thing, I was
11	a member of that Subcommittee of the ACMUI that had
12	made all the recommendations based on which the NRC
13	recommendation was made. And that is why the first
14	thing I said was had the NRC came back to us first,
15	and said these are the recommendations you made.
16	Based on your recommendations, these are how we are
17	formulating the rules. Some of these things would
18	have been modified at that stage. That's one.
19	Secondly, in terms of the difference
20	between activity and source strength we have gone
21	over many times, so I would like Dr. Thomadsen, who is
22	an expert on this, to clarify.
23	CHAIRMAN MALMUD: Please do, Dr.
24	Thomadsen.
25	MEMBER THOMADSEN: The source strength is
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1	a term to express the air kerma strength for the
2	sources. This is a measured quantity for the sources.
3	Activity is ambiguous, first, because it's not clear
4	what is meant by the activity, since it probably is
5	not the activity that's contained in the sources,
6	because there's no way to really know that.
7	MEMBER NAG: Apparent activity.
8	MEMBER THOMADSEN: What's that?
9	MEMBER NAG: Apparent activity. There's a
10	difference between apparent activity and real
11	activity.
12	MEMBER THOMADSEN: Right. The other option
13	is it may be apparent activity, as opposed to what
14	activity is contained in the source. The apparent
15	activity is taking the air kerma strength from the
16	source, which you can measure, dividing it by the
17	exposure rate constant, or air kerma strength's
18	constant for a naked point source of the same
19	radionuclide. And so, the apparent activity is a
20	derivative calculated value that has no real bearing
21	on activity as we think of it, how much activity is in
22	the source. So the air kerma strength, or the source
23	strength as it would be termed, is a much more direct
24	and appropriate quantity for use, if you're trying to
25	be precise about the strength of the source.

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1	CHAIRMAN MALMUD: Thank you, Dr.
2	Thomadsen.
3	MEMBER FISHER: However, when you purchase
4	seeds, you purchase seeds in units of activity,
5	millicurie, becquerel. You don't purchase these seeds
6	in terms of air kerma strength.
7	MEMBER NAG: No, you can do it both ways.
8	You can either specify -
9	MEMBER FISHER: I'm not quite finished.
10	Both units are typically specified. The air kerma
11	strength is the unit used in treatment plan in
12	software, but typically you look at seeds, you
13	purchase seeds in terms of their unit activity in
14	millicurie or becquerel, so I'm not sure that I agree
15	with the statement that you made, that we can only
16	specify this in terms of source strength or source
17	activity. I'm not sure I agree with that yet.
18	I think that the regulations can just as
19	well be written in terms of a seed activity, or a
20	total seed activity for a given patient treatment.
21	CHAIRMAN MALMUD: Thank you, Dr. Fisher.
22	Dr. Thomadsen, you were going to say something.
23	MEMBER THOMADSEN: I was going to say that
24	increasingly, the orders for brachytherapy sources are
25	in terms of source strength, as opposed to activity
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119 1 because all the treatment planning softwares in terms 2 of that, the base for the dosimetry algorithm, the 3 TG43 is in terms of source strength. The AAPM and the 4 AVS have both recommended that the term activity not 5 be used in brachytherapy, that source strength is used, so the activity designations are decreasing as 6 7 far as their use in ordering. The companies can 8 handle orders in either. They maintain the ability to do either source strength or activity orders, but 9 10 increasingly, the source strength is what's being 11 used. Also, the well chambers that are used in 12 assaying the brachytherapy sources 13 come with 14 calibrations in terms of source strength, not in terms of activity, which the calibration labs do 15 not 16 provide. 17 CHAIRMAN MALMUD: Thank you, Dr. Thomadsen. 18 I would like to add to that. 19 MEMBER NAG: From the American Brachytherapy Society, and from 20 21 ASCO, qiven recommendations to the we have 22 manufacturers to report and send the sources in source strength in air kerma. Some of them are lagging 23 24 behind, but it is a tendency, and slowly changeovers

have been made. And I think if the NRC also has

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120 1 source strength, that will push even more 2 manufacturers to go towards source strength reporting, 3 and that is the direction we want to go to, anyway. 4 So I would strongly recommend putting source strength 5 there. If you put activity and source strength interchangeably, this changeover will not happen as 6 7 quickly. 8 CHAIRMAN MALMUD: Dr. Suleiman. MEMBER SULEIMAN: I have a clarification. 9 Are all of these seeds the same nuclide? 10 11 MEMBER NAG: No. We are talking about Iodine-125. 12 That's why you don't MEMBER SULEIMAN: 13 14 want activity, because depending on the nuclide -MEMBER NAG: No. 15 MEMBER FISHER: If you're going to talk --16 I'm sorry. This is Darrell Fisher. If you're going 17 in terms of units of millicuries, 18 to speak or becquerel, as you did in your discussion, then you're 19 20 speaking in units of activity. CHAIRMAN MALMUD: Debbie Gilley. 21 22 MEMBER GILLEY: Yes. I just have some questions about scope of practice. Do you not look at 23 24 a CT or ultrasound prior to ordering the seeds to 25 determine how many seeds you need, and the activity, **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	or the source strength?
2	MEMBER WELSH: Sometimes, no. This is Jim
3	Welsh. The answer is no.
4	MEMBER GILLEY: Oh, okay. So that's still
5	- how would you determine what you were going to need
6	prior to the implant? This is a surgical procedure.
7	MEMBER NAG: Okay. Different centers do
8	it a little differently. Most centers do it, do the
9	order by the patient so that they would have more
10	likely than not either a CT or a pre-implantation
11	ultrasound to give some idea, not necessarily to place
12	exactly on that many seeds, and they order a certain
13	percentage more than that. So that is just to have in
14	stock, that is not what they want to implant, so
15	that's a big difference. We have in stock a certain
16	number of seeds more than what we need. Then when we
17	are doing our implant, and you are doing it real time,
18	you have put your probe in, you have determined the
19	volume, then you say well, I'm going to be starting to
20	put X number from that.
21	MEMBER GILLEY: But you're at large
22	medical institutions. What does the surgical centers
23	do that do one or two implants every week? I mean, I
24	have a lot of out-patient surgical centers in my
25	state, so what is the standard of practice?
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1	MEMBER NAG: They usually will order about
2	10, 15 percent more than what they think they will.
3	And then when they are doing the implant, if it is 10
4	percent larger, they have those seeds, because
5	otherwise they will under-dose.
6	MEMBER GILLEY: So I suggest to you that
7	there is already some pretreatment planning as far as
8	a written directive goes at the time you order the
9	seeds.
10	MEMBER NAG: It is not really a pre-
11	implant planning, because what they do is they use a
12	normal gram that X volume will require about Y number,
13	or Y source strength to give approximately so much of
14	dose. It is a very rudimentary planning, it's not
15	really a treatment planning.
16	CHAIRMAN MALMUD: May I just pause for a
17	moment. It seems to me that what we're looking at is
18	a technique which is in transition from a you had
19	given us a superb presentation, I believe it was you,
20	several years ago about prostate therapy with photos
21	and so on, which I remember vividly. I think every
22	male in the room remembers it vividly.
23	(Laughter.)
24	CHAIRMAN MALMUD: So we're going through a
25	transition in which the pre-implantation therapy
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123 1 planning with ultrasound pre-therapy is now fading, 2 and in its place is coming real time CT implantation 3 therapy. Is that correct? 4 MEMBER NAG: The ratio is changing. CHAIRMAN MALMUD: But it is transitioning. 5 MEMBER NAG: It is, yes. 6 7 CHAIRMAN MALMUD: And so some patients -after all, the patients are not knowledgeable about 8 9 this, some of us are not knowledgeable, are being treated in departments in which they use ultrasound 10 11 pre-implantation planning, and others are going to departments where they're using real time CT therapy. 12 MEMBER NAG: No, real time ultrasound 13 14 planning. CHAIRMAN MALMUD: Real time ultrasound 15 planning. 16 MEMBER NAG: A few centers are doing real 17 time MRI planning. 18 CHAIRMAN MALMUD: All right. 19 So now we 20 have three types of therapy, real time MRI, real time 21 ultrasound, and real time -- and pre-treatment 22 ultrasound. MEMBER NAG: And also a few centers are 23 24 doing now real time CT. So, basically, real time 25 imaging based planning. That is the whole criteria, **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

real time imaging based, whatever imaging method you want to use.

CHAIRMAN 3 MALMUD: The question arises, 4 this having been brought to our attention by you and 5 by Dr. Howe, how do we, as a responsible consulting committee, protect the patient who is being treated in 6 7 a therapy unit which uses pre-implantation ultrasound 8 to base the therapy dosimetry, winds up in the hands of a therapist who has accidentally delivered half of 9 the dose into the urinary bladder, which will 10 be 11 excreted promptly, and then does not follow through. simply that would be picked up 12 Is that in the hospital's routine review of radiation oncology, or is 13 14 this something that the hospital would miss, and the should be concerned about, because this 15 NRC is technically a misadministration, if only half the dose 16 was delivered, and the rest of the dose was 17 not delivered? 18

MEMBER NAG: Well, if he is doing a preimplantation technique, then he's not using the real time method, then he would have been writing the dose before he went, because he's doing it pre-implant. That would already be in there, how many millicurie he wanted to place.

CHAIRMAN MALMUD: But he changed his dose.

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In the example cited by Dr. Howe, the therapist, I don't know if it was a male or female, changed the dose. Therefore, how would this be picked up, and how would that patient be protected? Would that patient be protected under the practice of medicine guidelines, with a review within the hospital, or is the only way that that would be flagged, through the NRC mechanism? That's my question.

Right. But the problem with 9 MEMBER NAG: 10 trying to flaq -- you are trying to use an 11 inappropriate method to do it, because then you are going to be putting -- to try to get that one person 12 who tried to deviate the rule, you are now going to 13 14 be getting say 100 good implants, because they are now considered a medical event. 15

CHAIRMAN MALMUD: I understand. But if I'm 16 that one patient who naively is in the hands of that 17 one therapist, and has received an inadequate dose for 18 my prostate cancer, it is a critically important issue 19 And having been brought before the NRC, if it 20 to me. 21 hadn't come before the NRC, it wouldn't have been an 22 issue to the NRC, but having been brought to the NRC, can we turn our backs on this for fear of additional 23 24 paperwork, which we all are generally opposed to, 25 abandon that patient? That's the anyway, and

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1 question. It's a moral question that is raised. 2 We're not a moral group, we're a legal group, but 3 we're still moral human beings. What do we do about 4 that patient, having been brought to our attention? 5 Can it be dealt with? And I ask you, I ask this of the radiation therapists, and the radiation therapy 6 7 physicists, is there a mechanism already existent in your hospitals, and in out-patient therapy units that 8 will address this issue on behalf of that patient, or 9 is this something that falls to the NRC because there 10 11 is no current method to deal with that issue? Ralph. MEMBER LIETO: Two points. One, the issue 12

about pre-implantation seems to be driving this, and 13 14 that's why the Subcommittee recommended that that be dropped. The recommendation that's in the body of the 15 report, and I believe still in the regulation, is that 16 the written -- that the medical event would be based 17 on the source strength in the patient upon release. 18 19 that the authorized user would have the ability So 20 that after implanting, based on their judgment, if 21 they had to add or subtract number of seeds from their 22 pre-implantation directive, or planning, that that would be the final determination of what the dose was 23 24 to the patient. Okay? So it's going to be the point 25 release from the recovery upon room, or post-

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procedural room, I forget the terms that's used. That's what would be determining whether the written directive was violated or not.

4 The issue about who finds this, the written -- violations or medical events are self-5 identified events. It's extremely rare, I don't know 6 7 of any right off the top of my head, but maybe it does 8 occur, where the NRC comes in and looks at the treatment plans, and compares this written directive 9 the pre-implantation treatment plan, pulls 10 versus 11 patient records, and so forth. They may spot check a 12 patient record, but in terms of the medical event reporting, it's a self-identifying process, and so 13 14 it's really the licensee who goes back, looks at these administrations, and identifies the events. 15 And if they're outside the written -- outside the medical 16 event reporting criteria, reports that to the NRC. 17 So that's, to answer your question, is it the NRC that's 18 19 identifying this, or is the -- it's the licensee 20 that's actually identifying the events upon review. 21 CHAIRMAN MALMUD: So it is the licensee

22 who identifies it. And, Dr. Howe, was it the licensee 23 who identified this problem to the NRC?

DR. HOWE: The physician that changed the written directive identified it but I would also say

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1	that NRC in its inspection program, does identify
2	written medical events that the licensee had not
3	identified in the past.
4	CHAIRMAN MALMUD: So in this case, the
5	physician himself identified the problem.
6	DR. HOWE: And he changed the written
7	directive so he would not have a medical event.
8	MEMBER NAG: But he correct it by doing a
9	second implant.
10	DR. HOWE: But he didn't.
11	CHAIRMAN MALMUD: He didn't.
12	MEMBER NAG: Okay, but that the method
13	I mean, the community rule for such an implant you
14	need the grade. Now if you have now done your
15	planning and said it's now six for a 30 minute, you
16	are not going to get grade. You are falling below the
17	medical standard, that would be reported by the
18	medical standards.
19	CHAIRMAN MALMUD: So it's a medical
20	practice issue. And this physician identified the
21	fact that he only delivered one-half of the does,
22	let's say that he intended.
23	MEMBER NAG: Right.
24	CHAIRMAN MALMUD: Now, that being the
25	case, was the patient informed that the patient only
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129 1 received one half a dose? This is really a medical 2 practice issue. MEMBER SULEIMAN: Is it? 3 4 DR. HOWE: Yes, and no. 5 MEMBER SULEIMAN: Where is it stated in medical practice that the doses got -- well, here's 6 7 the standard that you flag the person at. 8 MEMBER NAG: Most of the standards that are developed are written by the ABS and most of them 9 10 were primarily authored either by one of the committee 11 members or one of the principal authors and we do give 12 those guidelines, so those guidelines -- it's like any other medicine, you know, who many milligrams do you 13 14 take when you have --MEMBER SULEIMAN: 15 You know, I've been bragging on the therapy, on the radiation therapy, the 16 brachytherapy community, big time to my colleagues in 17 FDA, especially, because I think radiation -- radio-18 19 therapeutics right now are still in the dark ages relative to that of in terms of dosimetry but if 20 21 somebody is supposed to get 150 gray and that patient 22 winds up getting 110 or 120, forget the source strength and the activity, you want to know that the 23 24 dose that was delivered to the tumor was what it 25 should have been. How is that going to get flagged?

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1	CHAIRMAN MALMUD: Dr. Welsh?
2	MEMBER SULEIMAN: How is that going to get
3	flagged?
4	CHAIRMAN MALMUD: How is it going to get
5	flagged?
6	MEMBER WELSH: As I was trying to say
7	earlier, the routine standard recommendation is to do
8	formal post-implant dosimetry and have that documented
9	somewhere in the medical record.
10	MEMBER SULEIMAN: I can't see any
11	physician walking away with an incomplete dose. I
12	mean, that would bother me immensely. I mean, I would
13	think that now, maybe the procedure wound up not
14	giving a complete dose, therefore, the procedure
15	the total treatment is not finished. They've got to
16	go back and do it right.
17	MEMBER WELSH: There are formal
18	recommendations made by our society, the American
19	Brachytherapy Society, for example, that state that
20	post-implant dosimetry should be done and it should be
21	documented in the chart that, for example, if the dose
22	prescribed was 135 gray, what did the prostate
23	actually receive. This way you can get some feedback
24	on what to tell your patient in terms of prognosis,
25	risk of side effects, based on the quality of that
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implant using parameters such as the D90 et cetera which are normally used.

And this is, in my opinion, standard of care and as mentioned, something that should be done so that an implant can be judged on the quality, how complete was the job really achieved. So, yes, the answer is that there is a procedure that gives postimplant dosimetry to all prostate implants as an example.

CHAIRMAN MALMUD: Dr. Eggli?

11 MEMBER EGGLI? I think we're way down in 12 the weeds and we need to bring it up to a higher level for just a second. The regulatory process will never 13 14keep pace with changes in medicine. Regulations have to be written thoughtfully to allow changes that occur 15 in the practice of medicine. And we're assessing here 16 harm versus good done. And our goal is to prevent 17 harm, although there are some -- there is no way to 18 19 prevent all harm, because no regulation can be written 20 such that someone can't sneak by and create harm 21 undetected. But if the community perceives the 22 regulation as oppressive and stays away from a therapy which would benefit patients, then harm has been done 23 24 and there has to be a balance in the overall risk 25 versus benefit.

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If the bad actors are few and far between 1 2 and thousands and thousands of patients don't get leading 3 edge therapy because the regulation 4 discourages physicians from providing that therapy and 5 I can tell you having to call the patient and tell them that a medical event occurred when a perfectly 6 7 good therapy happened, will, in fact, discourage 8 physicians from engaging in those therapies because it medical/legal 9 at risk that they puts them are unwilling and rightfully unwilling to endure. 10 11 So we need to look at the balance of good versus harm and we are concentrating on a few outliers 12

versus harm and we are concentrating on a few outliers who create harm and potentially throwing out the baby with the bath water and allowing state of the art treatments to be delayed in their adoption simply because we want to catch everyone who does harm, which will never happen.

CHAIRMAN MALMUD: Dr. Welsh?

I would 19 MEMBER WELSH: Yes, like to 20 reiterate Dr. Eggli's sentiment about our big picture 21 here. The subcommittee, the committee here and the 22 staff should be reminded that the primary purpose of our subcommittee was to focus on the definition of 23 24 treatment site and what constitutes a medical event. 25 And that is relevant with Dr. Nag's wording and

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suggestions. It is relevant and works for whether we use pre-implantation approaches or real time intraoperative methods.

4 The administration of radio-isotope material without a written directive constituting a 5 medical event was considered a less important subject 6 7 and was thrown in here at the very last slide as a 8 sort of footnote. And it seems like we've focused too much on that aspect and perhaps that is worthy of a 9 complete separate discussion and topic, but I would 10 11 like to get back to the important point that Dr. Nag 12 brought up, which was the definition of the treatment site and what constitutes a medical event because that 13 14 was really the core of our subcommittee's goal and this last aspect about whether administration without 15 a written directive would constitute a medical event 16 was really a footnote in all of this. 17 CHAIRMAN MALMUD: Dr. --18 19 MEMBER VETTER: I just wanted to point out 20 there are members of the public who have been waiting 21 some time to comment. 22 CHAIRMAN MALMUD: All right. Hello, please introduce yourself. 23 24 MR. LOHR: Hi, I'm Ed Lohr. I'm with the 25 NRC rulemaking and I have this rulemaking, if you **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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134 1 will, I'm the project manager. What I want to point out is a document that was sent to the NRC by your 2 3 committee and signed by you, sir, Dr. Malmud, that 4 makes a recommendation to the NRC and I'm quoting 5 here. It says, "Implants in which more than 20 percent of the total source strength documented in the 6 7 pre-implantation written directive is implanted in 8 tissue organs adjacent to the treatment site, should 9 be classified as a medical event". 10 That is the official position from the 11 committee. Ι just wanted that to be brought out subcommittee is 12 because your recommending now reversing that. My only comment. 13 14 MEMBER NAG: Yes, and I was one of the principal ones who looked at the subcommittee report. 15 There were two of us, Jeff Williamson and myself were 16 the main ones. But that is why I'm saying some of the 17 unintended consequences that came after we looked at 18 that how exactly we should word it to that unintended 19 20 consequences do not creep in. 21 CHAIRMAN MALMUD: I saw another hand. 22 Ralph? MEMBER LIETO: I was just going to say, 23 24 Mr. Lohr's point is well-taken but the suggested 25 change by the current subcommittee is also consistent NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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with the approach that we've taking regarding the Y 90 microsphere brachytherapy device in that the total dose or activity administered is based on the administration before the patient leaves the postprocedural room. So we're just recommending also to be consistent with approach that we've taken more recently.

CHAIRMAN MALMUD: Is there another hand? Dr. Zelac?

I'm not exactly sure where to 10 DR. ZELAC: 11 jump because there have been a number of things said 12 that I would like to comment on. However, I'll try to keep it as specific as possible to the particular 13 14 point that's being discussed now. And this is in the form of a question not a statement. As has been made 15 clear, before a procedure is done, seeds have to be 16 ordered and there is some expectation on the part of 17 the therapist as to how many seeds are going to be 18 required to treat this particular case, not the exact 19 20 number but approximate number.

21 My question is, does the number of seeds 22 which might be implanted differ by more than 20 23 percent from that expected number for implantation 24 very often or not at all?

MEMBER NAG: I wouldn't say very often but

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136 1 I would say often enough. If you want like a 2 percentage, I don't know, maybe 30, 40 percent we do 3 defer quite a lot from what we thought we might need. 4 So I can't give you an exact number but it happens 5 quite a lot, but what I'm saying is that the point from which you should judge the deviation should not 6 7 be the point from the number of seeds that were ordered but from the number of seeds that we finally 8 9 plan to put in. 10 If the tumor, for example, happens to be 11 much less then, you know, we might lower the number or 12 might lower source and still be justified. So it does have some relation but you cannot coordinate one with 13 14 the other. 15 DR. ZELAC: Thank you. CHAIRMAN MALMUD: That was your 16 first question, Dr. Zelac. You said you had others. 17 DR. ZELAC: Not in the way of a question 18 but just a statement I think might have some bearing 19 The whole point of having written directives is 20 here. 21 to provide some reasonable assurance that what a 22 physician intends is in fact, what's carried out.

That's the whole point of it, otherwise, we don't need a written directive. And a medical event is supposed to be and indication that what the physician had

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planned wasn't carried out. It was outside of the scope of what the original plan had been.

And the point about that is that it's important essentially to identify these lapses in procedures where the physician's directions were not carried out. That's the whole point of having medical events.

8 MEMBER NAG: And Ι agree with you 9 completely, and your second part is also very 10 important that, you know, that there was a deviation. 11 Now, here the point is that my plan is to give --12 There are two considerations I have. One is what dose I want to give and secondly, what number of source 13 14plan we need that it was that dose which is dependent on volume and many other things. So I have a certain 15 plan before but when I go in and I see that it is 16 somewhat different because of the shape and size, then 17 I am, in real time, changing what I'm planning to give 18 because that will -- that actually one is what I'm 19 20 finally planning on the table based on what I see on 21 the table.

So we adjust my deviation based on what I'm seeing on the table, not based on something that I have ordered. And sometimes I'll order 10, 20 percent, 30 percent, more if I'm not sure of what I'm

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planning to implant.

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2 And then the second part of it, and here, 3 and you can go back to the subcommittee report from 4 four or five years ago, that there is a small 5 subparagraph in there that says that the NRC should note that implantation done at other sites, other than 6 7 boundaries are prostate, where the not SO well 8 defined, and there has to be a leeway or words to that So we did recognize even at that time that 9 effect. there are different organs that have to be implanted 10 11 where the degree of number of seeds placed in the 12 margin are different.

## CHAIRMAN MALMUD: Dr. Zelac?

Let me 14 DR. ZELAC: ask a follow-up If you've made this determination, 15 question then. you go into the OR based on the treatment 16 when planning system and the visualization system is there, 17 that the number of seeds that you anticipate at that 18 point in time needing to implant properly that patient 19 significantly from what you had thought 20 differs 21 before, what would prevent you from simply issuing an 22 oral written directive at that point, before you start the implantation, that says, "I expect to implant so 23 much source strength or so much activity" and then 24 deviations from that would constitute if outside the 25

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1 boundaries, a medical event?

2 MEMBER NAG: Yes, I think that would be 3 coming a little closer to my actual intent because 4 there are two or three places where I'm changing the One is when I'm in the OR and I'm doing my 5 plan. first planning of the site. Then I have some idea 6 7 which maybe now quite different from the first, and 8 then as you are doing an implant, remember the dynamic phenomena, the site is changing, where we are planning 9 10 to put the seeds is changing.

11 So now if I'm seeing that there are areas 12 of under dosage, I am having another one or two doses So at the beginning of the changes as I'm going. 13 14implant, the number or the plan that I have would be closer to the truth but still quite far from my 15 initial plan but as I'm going closer and closer to the 16 end of the implant, I'm getting closer to what my 17 actual number should be. 18

19 CHAIRMAN MALMUD: Thank you, Dr. Nag. Dr. 20 Zelac?

DR. ZELAC: I could ask then a follow-up question; if you were making a comparison to what was actually implanted to what you anticipated needing at the beginning of the procedure, not the prior, not a pre-implant, but at the beginning, would you have

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1	variations of more than 20 percent often or not at
2	all?
3	MEMBER NAG: Okay, a very good question.
4	The feeling is that it's going to be less but I would
5	not say it would never happen but I would say it would
6	happen less often.
7	CHAIRMAN MALMUD: We have Dr. Eggli.
8	MEMBER EGGLI: I think an interesting
9	comment is Ron's last one, Dr. Zelac's last comment on
10	the purpose of a written directive. In many cases
11	therapies are provided by a physician other than or
12	a person other than the physician actually ordering
13	it. It's true in the nuclear medicine therapies.
14	It's true with a linear accelerator where a therapist
15	delivers the therapy that the physician ordered. The
16	intent of the written directive, you said, and I tried
17	to quote you as close as I can, is to make sure that
18	the patient is given what the physician intended.
19	In the case of brachytherapy, here, it is
20	in fact, that same physician who is administering
21	that dose and their intention is changing dynamically
22	over the course of the procedure are they are more
23	reliably able to determine the volume to be treated.
24	Somehow that concept of the written
25	directive then, needs to encompass the dynamic nature
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of treatment planning in brachytherapy so that it accommodates the real time treatment planning that occurs that says that I don't need as many seeds as I thought, and maybe 30 percent less or I'm going to need 40 percent more seeds than I thought because in the real time planning process, as I'm here in the OR, I see that and it turns out I have seeds in stock and I can accommodate it.

9 But there's -- so Ι see a difference 10 between -- or a subtlety in the concept of the intent 11 of the written directive in a therapy where, in fact, 12 physician writing the the therapy is also administering the therapy. I see the issue. 13 Ι 14 understand the issue of wanting to make sure that you 15 can't just cover up an error by changing the directive, but you need to be able -- the concept of 16 the written directive has to be dynamic enough to 17 encompass these dynamic changes that occur over the 18 19 process of treatment.

CHAIRMAN MALMUD: Thank you, Dr. Eggli. Ithink next was, yes, Dr. Suleiman.

22 MEMBER SULEIMAN: I've gone 360 degrees on 23 this. The real true clinical end point or surrogate 24 end point would be the dose in gray and the fact that 25 the activity or source strength or whatever may vary

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1	is it's a quality control thing. It's an
2	intermediary thing and trying to lock in on that as a
3	metric is causing problems and it's causing
4	unnecessary, you know, record keeping.
5	Ultimately, you know what the dose should
6	be, what the absorbed dose ought to be and when it's
7	all finished, when it's all finished, you need to come
8	up with a final number and show that to total
9	delivered dose was pretty close to what you had
10	planned in the first place. And you can dispense with
11	all the intermediary stuff because that's up to the
12	skill of the physician and all the support he's
13	getting or she's getting.
14	CHAIRMAN MALMUD: Dr. Nag.
15	MEMBER NAG: The main reason why what
16	we are expecting now at the second part but the first
17	part, the main reason why we had to change the way we
18	could have interactive for permanent implants is that
19	as opposed to a removable implant, in a permanent
20	implant you cannot control the dose. You can control
21	the source plant you're putting in but the user cannot
22	control the dose because the dose is the dependent on
23	what happened afterward, the where the seed will end
24	up, where the seed moved afterwards and how the organ,
25	for example, the prostate, expands or contracts after
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143 1 the implant because you're doing a post-operating 2 implant dosimetry -- that's what the reason --3 MEMBER SULEIMAN: That is real uncertainty 4 due to --5 MEMBER NAG: That was the reason why we wanted to change from a dose based prescription to a 6 7 source like based prescription because that's what the -- one of the major reasons for the change. 8 Now, when we make those change, some of these unintended 9 consequences are creeping up because the major reason 10 11 of the change was to change from a dose based perception which is controllable to a source plan 12 based prescription which we can control. 13 14 CHAIRMAN MALMUD: Mr. Lieto and then Dr. Howe. 15 It seems to me the issue, MEMBER LIETO: 16 if I can just attempt to boil this down, is does the 17 committee accept the subcommittee's position that the 18 19 medical event should be based on the activity 20 implanted --21 MEMBER NAG: Source strength. 22 MEMBER LIETO: \_\_\_ source strength implanted at -- when the patient is released from the 23 24 recovery room or is the medical event going to be 25 based pre-implantation activity on the source **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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144 1 strength? It seems we're going back and forth about 2 this because that's what was currently written in the proposed rules and gets to most of the points, I 3 4 think, that Dr. Zelac is driving at. 5 And I think we need to, you know, go from there. 6 7 CHAIRMAN MALMUD: What is your 8 recommendation in this subcommittee report? Just remind the committee what your recommendation is, 9 which of the two options? 10 11 MEMBER LIETO: The option recommended is that the basis for the medical event should, quote 12 from the report, "The basis of the medical event 13 14should be the total source strength implanted after administration but before the patient needs the post-15 treatment recovery area", end quote. 16 CHAIRMAN MALMUD: And that 17 is the recommendation that this subcommittee of the ACMUI is 18 19 making now in order to correct the unintended 20 consequence of what a similar subcommittee of this 21 committee made before; is that correct? Do you and 22 Dr. Nag agree with what I just said? MEMBER NAG: Yes, that and the definition 23 24 of the treatment site because the two are somewhat 25 related. **NEAL R. GROSS** 

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1	CHAIRMAN MALMUD: May we take that as a
2	motion?
3	MEMBER LIETO: So moved.
4	MEMBER EGGLI: Second.
5	CHAIRMAN MALMUD: And it's been seconded.
6	All in favor? Oh, discussion? Discussion, sorry.
7	MEMBER LIETO: Can anyone provide, if
8	there is such a thing, a summary of the position of
9	EBS or AAPM on this particular issue?
10	MEMBER NAG: Yes, EBS and AAPM have both
11	made the recommendation in writing to the NRC which is
12	available on the NRC website which AdLaw has which I
13	have seen and they're exactly the same as this.
14	CHAIRMAN MALMUD: I assumed that because
15	you last slide said that your presentation was with
16	the approval of these groups.
17	MEMBER NAG: Right.
18	CHAIRMAN MALMUD: The input of these
19	groups.
20	MEMBER NAG: And the one thing is,
21	basically, the same, and I mean, AdLaw is a public
22	document. If you can, you know if you can print
23	out that portion of the letter
24	MR. LOHR: If you will, sir, what he's
25	referring to is the comments that are received on the
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proposed rule, they are public documents. They are available at the NRC website. They're also available at regulations.gov. I only have one hard copy and I have not reviewed them. I simply have them, nor has the working group reviewed them or analyzed them in any manner. So I cannot say anything except that we have them here and they're available publicly.

MEMBER NAG: I have reviewed them. I can say that they are exactly the same.

10 CHAIRMAN MALMUD: So the committee, having 11 heard that you have reviewed them, and that from your 12 perspective, they are in agreement, we'll vote based upon your motion and your statement. All in favor. 13 14 Any opposed? Three opposed, how many in favor again? One, two, three, four, five, six, seven, eight. 15 Eight for, three opposed. Motion carries. 16 Okay, now -- okay, go ahead, Dr. Thomadsen. 17

MEMBER THOMADSEN: I might ask if it might 18 for staff if 19 useful the NRC there be were а 20 subcommittee to look at possible ways to help the staff 21 evaluate whether there have been 22 misadministrations based on this recommendation.

23CHAIRMAN MALMUD: A retrospective study24you mean?

MEMBER THOMADSEN: No, no, a prospective

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study so to speak based on these guidelines, the problem that you've brought up, how do you record misadministrations in some of these egregious cases? And it sounds like it may be helpful if we were to think about that, too, not that I'm positive that a subcommittee could come up with recommendations, but at least they might be able to contemplate the issue and provide some guidance.

9 CHAIRMAN MALMUD: Someone from NRC wish to10 respond to Dr. Thomadsen's question?

11 DR. HOWE: Clearly those that people 12 decided weren't medical events because they changed things and it never came to our attention, we're not 13 14 going to be able to address but we do have a few cases where, two cases in particular where changes were made 15 to avoid a medical event. And using what we consider 16 to be kind of a loophole of before completion of the 17 procedure rewrite the written directive 18 to to 19 something that wasn't intended in any way. It was to 20 cover up -- not to cover up, but to essentially, not 21 to have an error even though the error was there.

MR. LEWIS: I would suggest that maybe we have to let the working group on the rulemaking do their work to analyze the comments and we'll be in a more informed position of all the options and part of

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148 1 looking at the final rule language will be to 2 determine any regulatory impacts that the new language 3 might entail. And so I guess what I'm saying is we're 4 not there yet. Thank you for the offer. 5 CHAIRMAN MALMUD: Dr. Naq? Yes. MEMBER NAG: There are basically 6 7 three major recommendations. In the last basic 8 recommendation summary there are three major recommendations of the subcommittee 9 and then the fourth one is basically more like a word thing about 10 11 activity with the plan it's source and а recommendation basically 12 but, know, you more nomenclature. 13 The fifth one about administration without 14 working directive and regulation violation and not a 15 medical event per se, is not a permanent implant 16 specific recommendation. It needs to be something 17 that can be solved for all type of brachytherapy and 18 if that is postponed and not considered as part of 19 this recommendation, that's fine with us. But the 20 21 first three are specific for permanent brachytherapy 22 and we would like those to be recommendations. Now, if they are going to be delayed or if 23 24 there are some -- what I would say is we would take a 25 motion of each of these points separately and have a **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1	yes/no vote for each of this rather than a whole vote
2	of the whole document.
3	CHAIRMAN MALMUD: So what you're saying is
4	that what the committee has just voted on
5	MEMBER NAG: Was the first part.
6	CHAIRMAN MALMUD: I beg your pardon?
7	MEMBER NAG: Was part one.
8	CHAIRMAN MALMUD: Were the three
9	paragraphs that begin the three bullet points that
10	begin with Paragraph 35.3045.
11	MEMBER NAG: No, what the committee voted
12	just now was Part One which is that implantation
13	should be deleted with pre-implantation with the new
14	directive. We did not talk about treatment site and
15	so forth. The whole thing was on Part One. What I'm
16	saying is to make it clear, we should vote on each of
17	those sub-parts separately.
18	MEMBER THOMADSEN: Clarification?
19	CHAIRMAN MALMUD: Dr. Thomadsen?
20	MEMBER THOMADSEN: I want to ask Mr.
21	Lieto, I think you made the motion, what his motion
22	actually was.
23	CHAIRMAN MALMUD: Ralph, you're being
24	asked to re
25	MEMBER LIETO: You mean the one we just
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1	voted on?
2	CHAIRMAN MALMUD: Yes.
3	MEMBER THOMADSEN: What was it that we
4	approved? It would be nice to know.
5	MEMBER LIETO: It was one of the
6	recommendations of the subcommittee was that the pre-
7	implantation piece be or excuse me, the medical
8	event should be based on the total source strength
9	implanted after administration but before the patient
10	is released from the post-treatment recovery.
11	MEMBER THOMADSEN: So your motion is
12	MEMBER LIETO: Basically, it's removing
13	the pre-implantation
14	MEMBER THOMADSEN: you were intending
15	to just move that first.
16	MEMBER NAG: Yes.
17	MEMBER LIETO: I'm sorry, just to move
18	that what?
19	MEMBER THOMADSEN: The first
20	recommendation.
21	CHAIRMAN MALMUD: Take a look at next to
22	the last slide.
23	MEMBER LIETO: It was to get us off what I
24	thought was the sort of the merry-go-round of the
25	issues that we were discussing.
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151 1 MEMBER NAG: What I'm suggesting are put 2 those up on the board and therefore you can vote each 3 of those -- that is why I had made them in bullet 4 points. The last slide --5 CHAIRMAN MALMUD: It's the last slide before the roses and it's the first bullet point. 6 MEMBER THOMADSEN: I guess it really gets 7 8 down to just asking the committee do they accept the subcommittee's report or they don't. I mean, that was 9 what I thought your motion said. 10 11 CHAIRMAN MALMUD: Well, that's what I thought your motion was, too, that we accepted your 12 report. 13 14 MEMBER NAG: But the way the motion was 15 made, it was only that first paragraph. MEMBER LIETO: Well, I will so move that 16 accept the subcommittee's report 17 the ACMUI as submitted in the ACMUI's packet. 18 CHAIRMAN MALMUD: That's a motion. 19 MEMBER LIETO: That's a motion. 20 21 MEMBER THOMADSEN: I second that motion 22 also. CHAIRMAN MALMUD: Seconded again. 23 Is 24 there discussion if this? Yes, Dr. Welsh? 25 MEMBER WELSH: I would be in favor of this **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1 with the exception of the second to last one where 2 administrations without written directive be cited as 3 regulation violation and are not medical events per 4 se. I think that could dilute the overall message and 5 that is such a controversial point which is different in spirit from the first three, which are very clear 6 7 and fully supported by ASTRO, ABS and ACRO that penultimate one was not discussed by ACRO, ASTRO and 8 9 ABS and therefore, I would suggest excluding that 10 particular paragraph.

11 CHAIRMAN MALMUD: Dr. Welsh, Ι will I am extremely pleased that you have 12 editorialize. raised this point because I'm very concerned about the 13 14 case example cited by Dr. Howe which would have escaped any kind of action by approving the fifth 15 bullet point. Mr. Lieto? 16

MEMBER LIETO: I take exception with that. The example she giving would not be effected by this whatsoever. The issue that Dr. Howe has been raising is the fact that the individual changed the other written directive and then changed it afterwards based on their poor implantation procedure.

The point about not having a written directive applies to all written directives, not just brachytherapy, HDR. I mean, it applies to HDR,

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1 brachytherapy, radio-pharmaceutical therapies. And so 2 it also is a part of the proposed rules on permanent This subcommittee was directed to address 3 implants. the proposed rules as they were addressing the 4 5 permanent implant -- permanent implant medical event definition. That's part of those proposed rules and 6 7 that's why it was commented on. So you're saying that 8 MEMBER SULEIMAN: that's an absolute violation of the regulation. 9 Ιt shouldn't be factored in as a medical event. 10 11 MEMBER LIETO: Correct. I don't believe

12 that it should be considered a medical event. It's a 13 violation of the regulations already.

14 CHAIRMAN MALMUD: So they would still be 15 flagged for this.

MEMBER LIETO: Absolutely.

17 CHAIRMAN MALMUD: Is that what you were18 going to say, Dr. Nag?

19 MEMBER NAG: No, what I was going to say is the first four points have been discussed by many 20 21 scientific organizations including ASTRO, ACRO and ABS 22 and therefore, that -- those four can be taken The fourth point about the administration 23 together. 24 without written directive applies to permanent implant 25 as well as other types of implants. They are -- it's

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154 1 a slightly different issue, although it is linked to 2 this issue but it's a slightly different issue. Ιt 3 has a much broader implication. It has not been 4 discussed by the other scientific boards like the first four have been and therefore, if we need to make 5 a yes or no vote, it could potentially have some 6 7 conflicts if you try to make a yes and no vote of all 8 of them together. So I would prefer the first four points to be as block vote and then the fifth point to 9 be a separate vote and, you know, the two can be --10 11 both of them may be yes and yes or yes -- or no and no, but they should be voted separately. 12 CHAIRMAN MALMUD: I understand your point. 13 14Mr. Lieto? MEMBER LIETO: Well, I've got to voice my 15 strongest objection. This is not an ASTRO report. 16 It's not an ABS report, okay. The fact that they 17 supported it is terrific, but this is a report from 18 19 the subcommittee of the ACMUI, okay, and if ASTRO has a problem with it, ABS has a problem with it, APM has 20 21 a problem with it, or Society of Nuclear Medicine has 22 a problem with it, then they can put their comments in and reject to that point if they so believe. I don't 23 24 think they will but this was a report from the 25 subcommittee of the ACMUI, not ASTRO, ABS or any other

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5 CHAIRMAN MALMUD: Thank you, Mr. Lieto. I 6 interpreted Dr. Nag's comment to clarify his response 7 to my earlier question which was, did it have the 8 approval of all and it turns out that the first bullet 9 -- the first four bullet points had the approval of 10 all but not the entire. That's how I understood your 11 comment. It --

MEMBER NAG: Yes, right.

13 CHAIRMAN MALMUD: He was not rejecting his 14 own motion. He was just clarifying his earlier 15 response.

MEMBER LIETO: But I think the point that 16 is being made is that that should be pulled off as 17 where the report 18 being part of is the а \_\_\_ recommendations of the subcommittee is addressed is 19 20 the fact that these other agencies or other 21 organizations didn't approve it and I have an 22 objection to that.

23 MEMBER NAG: Not didn't approve. They24 didn't discuss it.

CHAIRMAN MALMUD: They didn't discuss it.

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1	MEMBER NAG: They did not discuss that
2	last one.
3	CHAIRMAN MALMUD: They only discussed the
4	first four bullets.
5	MEMBER NAG: Right, because that was not
6	on the agenda.
7	CHAIRMAN MALMUD: Thank you for clarifying
8	that. Dr. Zelac, you had your hand up.
9	MEMBER ZELAC: Just so that perhaps that
10	I'm perfectly clear before a vote is actually taken,
11	with the two events that Dr. Howe described under
12	current regulations the ones that are on the books
13	right now, those were not medical events. Under what
14	is out as the proposed rule, they would be medical
15	events. Under what is being proposed now by the
16	advisory committee's subcommittee, it would not be
17	medical events. Am I correct?
18	MEMBER NAG: I don't
19	MEMBER LIETO: I don't my opinion, they
20	would be because
21	DR. ZELAC: But if the physician has the
22	opportunity to essentially change the written
23	directive, up until the point where the patient is
24	released, what would preclude exactly what these
25	physicians did?
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5 MEMBER SULEIMAN: It's modifying it because of the way the procedure went because of the 6 7 physiology and whatever. That's just -- I would 8 consider that a modification. If that had lied, if they had adulterated -- if they messed -- if they did 9 10 something, record something that was not correct, 11 that's -- that crosses over into an ethical situation. 12 I mean, modifying because a car is going off on the shoulder and you bring it on is one thing, but if 13 14 you've run over somebody, if you change the numbers because you screwed up --15

Well, 16 CHAIRMAN MALMUD: may I ask a In nuclear medicine, if we prescribe 100 17 question? millicuries of I-131 for thyroid cancer, and it comes 18 19 in two capsules, and the patients is qiven the 20 capsules to swallow. Swallows one capsule and then 21 the bottle is put back into the pig and they don't 22 realize the patient didn't get the whole dose. That's considered a misadministration. 23

Why is it not a misadministration if a whole dose of radiation therapy, which was ordered by

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the radiation therapist but under the standard practice of his or her therapy, gets into the wrong organ, why is that not administration, particularly when there is mendacity with telling the patient that the patient didn't get what the patient was supposed to get and is not going to get it? Mr. Lieto?

7 MEMBER LIETO: In your example, if the 8 patient had been discharged and left the facility, it 9 would be a medical event. But if the tech went back, 10 assayed the vial, found that the other capsule was 11 still in there, went back and gave the patient that 12 other capsule before they left, it would not be a 13 medical event.

CHAIRMAN MALMUD: That's correct.

MEMBER LIETO: And that's what we're saying in this example, in this scenario here, with the seeds. It's the same thing. Once they leave the licensee's control from the treatment area, then that's when the medical event is determined.

CHAIRMAN MALMUD: That's not the analogous situation. The one that Dr. Howe described was one in which the dose -- I'll give the nuclear medicine. I ordered 100 millicuries. We gave the patient 50 by mistake. The other 50 went back to the pharmacy in a pig because it was thought that the patient had

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swallowed both capsules and we changed the order to say 50 millicuries instead of 100. Thank you and goodbye. That's the equivalent of what she described in the patient who was to have gotten seeds into the prostate for cancer.

And I wonder why is one situation treateddifferently from the other? Dr. Nag?

8 MEMBER NAG: The reason for that is for 9 the implantation procedure is a dynamic procedure, so 10 in your case, you are not going to change whether the 11 patient is going to need 50 millicuries or 100 12 millicuries, depending on when he's swallowing and every minute when he's swallowing is it changing 13 14 something? Well in our case, it means changing minute by minute. So it is a dynamic procedure and we want 15 to be able to be able to have the written directive in 16 such a way that it understands or it takes into 17 account that brachytherapy is a dynamic procedure and 18 19 not aesthetic procedure.

20 CHAIRMAN MALMUD: Oh, I'm not debating 21 I'm not debating that. I'm in favor of what that. 22 you I'm still questioning --I'm still want. concerned about this patient who thought he 23 was 24 getting fully treated for his prostate cancer, got a 25 fraction of the dose and then was told everything is

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fine, and the doctor changed the dose that he had ordered previously and now there's no follow-up. That's of concern to me and I wonder how will it be picked up?

5 Will it be picked up in a tumor committee, will it be picked up in the ordinary process of 6 7 medical care and therefore, it's strictly and issue of 8 medical practice or is the fact that the NRC has this 9 oversight ability, the only means that it will be 10 picked up and dealt with? It has to be dealt with. 11 This patient can't be allowed to think that he was 12 adequately treated when the physician himself who planned the therapy knows he didn't treat the patient 13 14 adequately. That's my concern. Dr. Welsh?

I might argue that in Dr. 15 MEMBER WELSH: Howe's presented case that using Dr. Nag's proposed 16 nomenclature this would be classified as a medical 17 event and the reason is that if 20 seeds wound up in 18 the bladder, 20 seeds are outside the PTV, because by 19 Dr. Nag's proposed definitions, critical organs are 20 21 not part of the PTV. Therefore, if you have a whole 22 slew of seeds in the rectum, a whole slew of seeds in bladder, regardless of 23 the whether they are 24 subsequently removed, urinated out, or remain in 25 place, it is outside of the PTV and potentially an

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1	administration or medical event.
2	So I think that it would satisfy the
3	concern for the patient and when you do the post-
4	implant dosimetry, as a backup check, it would be
5	verified that these seeds are not in the position
6	they're supposed to be.
7	DR. HOWE: Could I make a follow-up
8	MEMBER WELSH: I do think we need to have
9	some checks and balances though.
10	DR. HOWE: Could I make a follow-up to
11	that comment?
12	CHAIRMAN MALMUD: Dr. Howe?
13	DR. HOWE: If you're permitted to change
14	the written directive before the patient leaves, in
15	this particular case they would have just said, "Oh, I
16	intended to give 30 to the put 30 in the bladder
17	and take them out". There's nothing that holds you to
18	the treatment site. You can change the treatment site,
19	too. As long as you can change the written directive,
20	you can change any element of the written directive no
21	matter how strange it appears, because in these cases,
22	we're not really talking about you, Dr. Nag, or you,
23	Dr. Welsh. We're talking about somebody that doesn't
24	want to be held accountable for a medical event and
25	they're using the regulation to not be held
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accountable for a medical event.

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In this particular case, subsequent patients found by NRC had lots of medical events.

4 MEMBER NAG: And let me -- yes, how are 5 you going to write a recommendation for someone who is incompetent? He has determined that he wants to 6 7 implant again in a prostate and in his calculation, 8 he's totally wrong and he calculated he needs only 10 millicuries when you need 100 millicuries. He 9 then he 10 10 millicuries, implants that and has 11 prescribed 10 millicuries, pre-implantation, post-12 implantation was 10 millicuries. That patient is bound to fail. That definitely is not a medical event 13 because he said he wanted 10 millicuries. 14

So how is that different from what this unscrupulous physician is to what is an incompetent physician, the other is an unscrupulous physician. How are you going to catch them?

19 CHAIRMAN MALMUD: I would ask you that 20 question since you are the radio-therapist and I am 21 not.

22 MEMBER NAG: And the way we -- the way we catch them is by the medical board. If a patient --23 24 if а physician is having а large number of 25 recurrences, we -- you know, we do review the outcome

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163 1 results. That is an incompetent physician. If the 2 patient is having a rectal morbidity and having a 3 fistula, most likely he will end up with a lawsuit. 4 So you know, I think you know, you cannot catch 5 everything just by the definition of regulation. So the way we are trying to do it is to 6 7 catch all the usual ones, have a definition that will 8 catch the bad actor, at the same time, it's not going to catch dose-setting post-implant because it's like a 9 sieve, how small do you make the sieve without letting 10 11 everything out and yet getting the good ones. CHAIRMAN MALMUD: Thank you. So you say 12 that the medical board does review the outcomes of the 13 14 therapies? MEMBER NAG: Of the patient and also when 15 having the dosimetry, it consistently if 16 vou're someone is giving, you know, half of what the ABS has 17 recommended, you know, they are going to be -- they 18 19 are going to be caught. That's why we have peer 20 reviews and peer reviews, every -- not every implant, 21 every treatment plan is peer reviewed by your peers 22 and --CHAIRMAN MALMUD: No. 23 24 MEMBER NAG: You're supposed to have a 25 peer review. That's what the charts are meant for. NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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164 1 MEMBER THOMADSEN: But it doesn't have to 2 every case. This is Thomadsen. There's be no 3 specification of a percentage of the cases. So you 4 can't say every implant gets reviewed. They don't. if I could --5 MR. LEWIS: Dr. Malmud, this, to me brings us back almost full circle, to a 6 7 point that Dr. Zelac made that what's important to us 8 is at some point in time even in a dynamic procedure, a physician makes a decision that, "This is what I 9 10 intend to have". 11 MEMBER NAG: Yes. And the medical event then LEWIS: 12 MR. becomes locked in, is contingent upon that decision 13 14 and if the decision is made after the fact, then what you intend to happen becomes a variable, and you can 15 out of medical events. The current regulation and the 16 as proposed regulation will close that loop but maybe 17 not in a way that appreciates the dynamic procedure. 18 The proposal by the subcommittee, I think 19 20 you're hearing a lot of concern from the NRC staff, 21 goes too far in the other direction, that you can 22 redefine after the fact and we have a very specific example that's an ongoing event right 23 now, that 24 illustrates that that regulation could be abused. And 25 so maybe I'm stating the obvious but what we need, I **NEAL R. GROSS** 

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guess, is a consensus point where medical event is locked in, variation from what was intended at some point and as we said, it could be right up until the procedure is being done. It doesn't have to be, you know, days or weeks in advance but we do need a firm decision as regulated.

## CHAIRMAN MALMUD: Dr. Nag?

8 MEMBER NAG: Yes, we do have our 9 intention. You know, our intention is those in the 10 region of 120 gray, let's say. So that is a dose that 11 is not to be measured by that plus or minus 20 percent 12 but an intention of approximately what we are trying to achieve. And then we have a number of millicuries 13 14 that we start with to hopefully get that dose and then we are changing from that, so if there's a huge 15 deviation from our initial intended dose in line with 16 -- you know, if you had what is in your case, that 17 patient obviously was less than 50 percent of the 18 intended dose. So maybe we can have both, that you 19 know, that there would be some relation to the dose 20 21 that was intended and then -- but the 20 percent would 22 be plus, minus, you know, final -- you know final source plan that you wanted to come up with. 23

24 So, you know, someone -- I'm saying that 25 well, you know, I wanted only you know -- because in

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166 1 your situation he would end up -- instead of 140, he 2 will end up with 70 gray or somewhere in that range. 3 So we may have to do something like that if you want -4 - you had some point with that, or --5 CHAIRMAN MALMUD: Who had a comment, Dr. Welsh? 6 7 I did. There was -- I don't remember who brought it up here, but there was a suggestion I think, if I 8 recall correctly, or a question about what would we do 9 or what do we think about an oral written directive 10 11 put down at the time of the real time dosimetry. Ιf we were to accept that proposed solution, whoever, it 12 still could be consistent with Dr. Nag's principles 13 14and what he has written down and it might satisfy the concerns of those who are wary of post-procedure 15 written directive changes. 16 So whoever brought that question up, that 17 point up, could you perhaps reiterate what you said 18 before? 19 DR. ZELAC: I did. The current regulation 20 having to do with written directives permits the 21 22 physician to make changes when it's in the interest of It's basically a result of changes in 23 the patient. 24 the condition of the patient such that there can be a 25 change in the written directive orally as long as it's **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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put down in writing within 48 hours.

if it were possible and I'm not Now, saying it is under the current written directive regulations, to massage that а little bit to accommodate this situation SO that you could essentially come up with a pre-implantation written 10 directive, seconds before you start your implantation, and that may solve much of the problem associated with this.

10 So if I might reply then, MEMBER WELSH: 11 it appears that that solution may be a viable solution 12 with the understanding as Dr. Nag has pointed out, that intra-procedure, intra-operatively, there is a 13 14 dynamic process wherein the volume is changing and you may want to make some subtle changes here and there 15 but it might still be a viable solution that would be 16 acceptable to all. 17

ZELAC: Because again the criteria 18 DR. that we're looking at were changes from what is in the 19 20 pre-implantation directive by more than 20 percent 21 being a medical event. I mean, that's why I asked the 22 question before if it's just before you start the procedure would you expect variations of more than 20 23 24 percent from that number in terms of the anticipated 25 source strength to be implanted? And the answer I got

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1	was rarely.
2	CHAIRMAN MALMUD: Dr. Nag?
3	MEMBER NAG: Yes, but the suggestion
4	you're making would not help to catch the really
5	unscrupulous person because after the fact when he
6	implanted and he implanted only 50 percent, he can
7	then make a verbal written directive that I am now
8	giving
9	MEMBER SULEIMAN: No, the current the
10	definition of the written directive is that it must be
11	created before the procedure begins.
12	MEMBER NAG: Right. But then it wouldn't
13	allow intra-operative changes; whereas if you're
14	allowing the written directive to be verbally changed,
15	then you could verbally change it after and say 50
16	percent. So it doesn't solve that problem either.
17	MEMBER FISHER: No, that's not correct.
18	MEMBER NAG: Why?
19	CHAIRMAN MALMUD: Who is speaking? Dr.
20	Fisher.
21	MEMBER FISHER: If you have a written
22	directive that states the physician intent to achieve
23	a certain outcome, and during that procedure you're
24	making those adjustments that you need to make to
25	achieve the original intent, then you're not violating
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1	that written directive.
2	MEMBER NAG: Let me with a dynamic
3	procedure, your written directive before what you say
4	you need 15 millicuries or 50 at normal strength.
5	MEMBER SULEIMAN: See, but that's where
6	the problem is because those are variables. The final
7	dose is the one that's the more static, the more
8	finite, the more targeted thing and so that you're
9	not going to mess that up often.
10	MEMBER NAG: You will, but that was the
11	reason why we changed from those now, we are going
12	back, and saying none of these things will occur.
13	Because now you're going back to the old method of
14	doing it dose-based rather than source-strength based
15	and we said that source-strength based would not work
16	because I mean, the dose-based doesn't work in
17	brachytherapy because many of the things are not under
18	the physician's control. So that's why we go back to
19	a dose-based prescription.
20	MEMBER SULEIMAN: I disagree.
21	CHAIRMAN MALMUD: There is disagreement
22	from a number of the members. It's now 1:15. The
23	cafeteria begins closing at 1:30. So in order for us
24	to get some lunch, we'll have to interrupt this
25	discussion if we may and then return to it. So what I
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suggest is that we meet back here at 2:00 o'clock. Is that okay? 2:00? And then if we have to we'll adjust the schedule later, because we have some people here for the next presentation who have a return flight and we'll -- so we'll come back to this. I apologize for the interruption but we do not control the cafeteria.

7 (Whereupon at 1:18 p.m. a luncheon recess 8 was taken.)

Ladies and gentlemen, 9 CHAIRMAN MALMUD: 10 I'm going to change the order of the presentations 11 today. Because our 2:45 p.m. schedule would delay the 12 departure of those who have flown in just to discuss the Yttrium-90 with your indulgence we'll pick up the 13 14 topic of Yttrium-90 Microsphere Licensing Guidance now and then come back to the subject we were discussing 15 before. 16

I asked of Dr. Nag and he's agreeable with that. So that we'll move ahead on the next item which will be the Yttrium-90 Microsphere Licensing Guidance. But I think we need an AV person here. Do we have one?

He's there. I see him. Okay. Great. I didn't see you back there. Hi. Okay. So Dr. Salem will do the present and we'll skip a minute to get those slides in there because we have changed the

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1	order of things.
2	So the next item on the agenda is Yttrium-
3	90 Microsphere Licensing Guidance. When we have
4	completed that, we will then come back to a discussion
5	of Permanent Implant Brachytherapy Rulemaking and then
6	move on depending upon what the time allows. Dr.
7	Zelac indicates that it may not be necessary for him
8	to use the total time allowed for him. So we may be
9	able to get back on our schedule again.
10	With that, I'll introduce a face familiar
11	to most of you and that's Dr. Salem from Northwestern.
12	Dr. Salem.
13	8. YTTRIUM-90 MICROSPHERE LICENSING GUIDANCE
14	DR. SALEM: Thank you, Mr. Chairman.
15	Thank you for the ability to change the schedule and
16	accommodate some of our earlier flights.
17	MS. TULL: Here are the handouts for Dr.
18	Salem's slides.
19	DR. SALEM: Thank you.
20	MS. TULL: So please take two pages at a
21	time.
22	DR. SALEM: All right. So I'd like to
23	take about ten minutes or so to discuss some ideas we
24	have about the next steps in involving Y-90 therapy

at the NRC guidance level. As everybody knows on the

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Committee, we've worked with the NRC and the ACMUI and had 490 and 390 now represented for AU eligible for Yttrium microspheres and I'd like to spend a few minutes talking about that and some of the issues that have come up. I'd also like to point out that we do have representation from the Society of Interventional Radiology here and the American Board of Radiology to discuss any issues that NRC or ACMUI might have.

As a brief review, this therapy has been 9 10 around for about eight to ten years or so in this 11 country and I think it's fair to say there is a steady 12 increase in adoption of this therapy as a treatment option for many patients. I think conservatively over 13 14 5,000 patients have been treated in the U.S. in the I think that's a conservative 15 last ten years or so. 16 estimate.

The status for a long time was the 35.490 and recently with the September revisions of the NRC document it's now under 390 and some of the work that we did with the NRC on this was for interventional radiologists to fall under 390 or at least meet some of the requirements to become authorized user eligible for Y-90 under 390.

In parallel over the last five to ten years or so, I would like to point out there have been

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several collaborative efforts between the societies on this therapy. The first one was spearheaded by Dr. Nag. This is the Rebok document published in Rad Journal of 2006 really reviewing this therapy, the status of this therapy. It was very well represented and, in this document, it did recommend that radiation oncology, nuclear medicine and interventional radiologists were all qualified to be authorized users.

10 Also at the American College of Radiology 11 level, another document has been published, the 12 guidance document, practice guidelines in 2008. Also very well represented by several members of ASTRO, 13 14ACRO, SIR and the American Board of Radiology and it did go through several committees, the Radiation 15 Committee, 16 Oncology Interventional Committee, obviously the comments reconciliation 17 and aqain several types of conclusion that specifically to AUs, 18 19 this document also agreed that all three 20 subspecialties were qualified to be authorized users. 21 So the scope of the issue that we have

today that I would like to address is that under 390 there are many states and local radiation safety committees or safety officers that are uncertain if interventional radiology fulfill the requirements of

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35.390 and the reality of it is that it has created confusion and certainly an impedance of some the ability of interventional radiologists to qain authorized user status and unfortunately this does limit in some cases the ability of patients to therapeutic options.

7 ideally, you Now would want to work 8 collaboratively medicine, with nuclear radiation oncology and IR. Unfortunately, that is not practical 9 or plausible in several centers. Hence some of the 10 11 confusion that's been created and hence one of the topics of discussion today. 12

I would like to review for the Committee 13 14what interventional radiology training is about. It's five years of diagnostic radiology with anywhere from 15 700 to 960 clinical hours in nuclear medicine of which 16 are 80 hours of classroom and laboratory 17 there There is a formal written radiation physics 18 training. 19 examination that reviews safety and biology, etc. There's a formal written radiology examination and a 20 21 formal oral board examination. Interventional 22 radiologists then complete added fellowships in interventional radiology in catheter-based techniques. 23 Of 24 the 80 hours that interventional 25 radiologists now have, I just sort of underlined some

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1	of the salient features of the training that's
2	included: the radiation biology, radiation
3	protection, safe handling and administration and, of
4	course, quality control of radiopharmaceuticals.
5	If I could have the slides displayed in
6	the front. I apologize. That's been changed.
7	(Off the record comment.)
8	So again also under the 80 hours, other
9	subjects are surveying dose calibration, managing
10	radiation spills and accidents and, of course,
11	prevention and management of medical events.
12	Qualifications for authorized user status
13	by interventional radiologists, I think it is well
14	known and well recognized by most, if not all,
15	knowledgeable of this therapy that Y-90 today is
16	performed safely and effectively at institutions with
17	IRs and non IRs as authorized users. And one of the
18	critical aspects of this therapy does revolve around
19	patient selection criteria for liver-directed therapy
20	and the safety delivery of this therapy using advanced
21	catheterization techniques which is in the realm of
22	interventional radiology.
23	Interventionals have also worked very
24	extensively with Yttrium therapies since the beginning
25	and have organized courses and workshops and symposia.
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5 One, Ι think, of the most powerful arguments for interventionalists having a road to 6 7 authorized users is that authorized users today are 8 being proctored by interventional radiologists. So 9 they being given their credentials by are interventional radiologists. 10

11 So the proposal to be discussed here today, the above line talks about 35.390 and 490 which 12 is the status today. One of the things I'd like to 13 14 discuss and proposed for the Committee is to permit interventional radiologists that are under 35.290 with 15 appropriate examination administered 16 the bv the American Board of Radiology and this has been approved 17 by the American Board of Radiology to then provide a 18 road or pathway to authorized user status for Y-90. 19

The Society of Interventional Radiology and the American Board would most likely provide a course of CME hours to be determined, taught by experts involved in Y-90 microsphere therapy and the two largest aspects of the course would involve first of all patient selection of preparation at the IR

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specific subjects, so therapy planning and dosimetry, techniques of MAA and vascular mapping, the IRspecific portions of the procedure and also the dose selection and preparation of Y-90 and specific radiation physics and dosimetry as it applies to Y-90. This would not prevent people that are going to authorized become user from the vendor-specific 8 training that is already in the NRC quidance So no change in that. documents.

10 So to summarize right now authorized user 11 approach is 35.390 or 490 with vendor training per the 12 quidance document. We would like to propose or at least open up a discussion on the possibility of 13 14 having 290 plus an ABR primary clinical certificate for Y-90 and, of course, vendor training as 15 а possibility for consideration for IRs as authorized 16 The American Board of Radiology has already 17 users. agreed to this approach to grant this primary AU 18 certificate and, as I mentioned before, would not 19 preclude other recognized and standard vendor training 20 21 and onsite support from the manufacturers of Y-90 22 microspheres.

Open for discussion.

24 MEMBER NAG: One quick question. Who 25 grants the primary AU certificate? I thought it was

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not within the jurisdiction of American Board of Radiology. Authorized user is an NRC term and therefore can only be granted by the NRC, not by the ABR. Am I right or am I wrong, someone from NRC?

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DR. GUIBERTEAU: Mickey Guiberteau. 5 I am trustee of the American Board of Radiology, 6 the 7 primarily for nuclear medicine and other issues. 8 That's the way we perceive it. We give AU eligible 9 certificates. That means that a person who is a 10 diagnostic radiologist, a candidate, who becomes а 11 diplomat by receiving a certificate by going through 12 our exam process that's been approved by the NRC then becomes AU eligible. That is presuming that they have 13 14 been attested to us that they've completed that training and they've had their examinations. 15 They become -- They basically have achieved deemed status 16 through that certificate for 290 and 392 portions of 17 the rule. But, yes, we don't grant AU. 18

19DR. SALEM: I think the correct item would20be AU eligibles. Is that it?21DR. GUIBERTEAU: That's the term.

DR. SALEM: Or AU eligible.
CHAIRMAN MALMUD: Dr. Howe.
DR. HOWE: Dr. Nag, I think the important
thing is we asked the American Board of Radiology to

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179 1 put some kind of distinguishing mark on their 2 certification that we could tell that these requirements versus 3 individuals met NRC's other 4 individuals that didn't. They happened to select the term "AU eligible." It does not mean they're AUs. 5 Ιt just means that's how we distinguish them. 6 7 Thanks for MEMBER NAG: that 8 clarification. 9 CHAIRMAN MALMUD: Thank you. Dr. Eggli. MEMBER EGGLI: Could this proposed pathway 10 11 to be implemented without a rule change? 12 DR. HOWE: No. CHAIRMAN MALMUD: Dr. Eggli. 13 14 MEMBER EGGLI: If it requires a rule --I'm sorry. I'm sorry about 15 DR. HOWE: It's 35.1000. So 35.1000 is not in 35 as one 16 that. of the regular modalities. So this is guidance on the 17 website. So we would not need a rule change. 18 19 MEMBER EGGLI: Okay. CHAIRMAN MALMUD: Dr. Welsh. 20 21 MEMBER WELSH: Jim Welsh. Thanks, Dr. 22 Salem, for that excellent presentation. Right now, 390 users are required to have 700 hours of total 23 laboratory 24 training, 200 hours of classroom and 25 training to be AU eligible, documenting that they have **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

the appropriate safety training. How would you propose that this certification procedure goes? In your presentation, you said a number of hours to be determined. What can you tell us that would assure the Committee that IRs would have the requisite level of training and experience particularly in safety status?

8 So I think it's important to DR. SALEM: recognize that when we talk about AU status here the 9 10 request is for AU status for Y-90 primarily. And the 11 discussions we've had right now revolve around some 12 type of training course which would be co-sponsored by the SIR and the ABR. And this would be in the 13 14 vicinity of 20 to 40 additional CME credits where participants would come and attend and really get very 15 in-depth Y-90 only type training. 16

And so this would leave most AU eligible 17 radiologists with their portion that they received in 18 diagnostic radiology to 80 hours plus a number of 19 hours that we deem are acceptable, not too short but 20 21 also not too long that makes providing this kind of 22 training prohibitive and, in fact, impossible in many ways. From there, the idea is that person might then 23 be able to sit for this examination and from there 24 25 then become AU eligible for Y-90.

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CHAIRMAN MALMUD: Dr. Eggli.

MEMBER EGGLI: Most of the therapeutic uses come under part 300 and the training and experience requirements are in 390 with the exception that the use of radioactive iodine has slightly different requirements and is covered in 392 and 394.

7 I guess for some consistency in therapy, 8 although I guess here we would be into rulemaking, I would personally prefer to see something like a 396 or 9 something like that that dealt specifically with a 10 11 therapeutic application limited to Y-90. Ιf you 12 essentially grant 390 style authorizations to folks trained to 290 I guess the question would be do you 13 14 open up some kind of a wide range of therapeutic possibilities because actually I actually heard Dr. 15 Salem say it would be predominantly limited to Y-90. 16 So again, I would prefer to see something like a 396 17 limiting the therapeutic use to Y-90. 18

CHAIRMAN MALMUD: Dr. Nag.

Yes. Two points. 20 MEMBER NAG: First of 21 all, you can't use 396 because 396 was the pathway for 22 radiation oncologists to be in unsealed sources if they were radiation oncologists and they had to --23 MEMBER EGGLI: That didn't exist then. 24 25 MEMBER NAG: But I mean something similar. **NEAL R. GROSS** 

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1	MEMBER EGGLI: Something like that.
2	MEMBER NAG: Something similar. But
3	secondly, if we were to have a pathway like that, what
4	would then that interventional radiologist to say,
5	"Now I'm authorized user and now I'm going to use it
6	to do Yttrium-90 or I want brachytherapy" or some
7	other thing?
8	MEMBER EGGLI: Again, if you wrote it as a
9	subpart it would be limited to Y-90.
10	MEMBER NAG: That is if it was a subpart.
11	But if it was the way Dr. Salem is requesting that
12	they would therefore gain authorized status with 20
13	hours, wouldn't that prevent that person from now
14	saying, "Well, I am an authorized user. I'm going to
15	put in a catheter and use XYZ isotope"?
16	DR. SALEM: Can I reply to some of that?
17	The intent is certainly not that and, in fact, I
18	specifically stated in the training course that this
19	was specifically for Y-90. The reason I said
20	predominantly Y-90 is because the concept here is
21	transarterial microsphere brachytherapy and there is
22	research being done in P-32 and other types of
23	similarly administered microspheres. This is not a
24	mechanism to have wide scope ability to perform
25	brachytherapy. This is a transvascular micro

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brachytherapy. This is what this is. So that's the explanation.

CHAIRMAN MALMUD: Dr. Thomadsen.

MEMBER THOMADSEN: A precedent for something like that would be 491 which is the strontium 90 ophthalmic applicators which only a user there is only approved for that use.

8 Ι would throw a But question to my 9 radiation oncologists colleagues here and as well Dr. 10 pointed out that the interventional Salem has 11 radiologists train the radiation oncologists on that. 12 They really don't train the radiation oncologists on They train them in the procedure, but the that. 13 14 radiation oncologists don't do the procedure. Thev write a prescription issuably because they are the 15 ones who are familiar with radiation reactions at high 16 doses in various parts of the body and the question to 17 my colleagues would be what would you think would be 18 the minimum requirements necessary for somebody to 19 have enough training and experience in such reactions 20 21 and expectations and doses necessary for control of 22 tumor in order to qualify as an authorized user.

23 MEMBER NAG: I think for that you would 24 require training on oncology. You would require 25 training on the adverse effects of radiation and how

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cancer spreads and how cancer is controlled and basically you would require like a semi-radiation oncology residency. In fact, I don't know how you can learn only about liver cancer oncology without having some general oncology expertise.

Now talking about that the report that was 6 7 sent out says that the radioembolization team requires 8 expertise in medical management, someone who has medical management of the cancer patient, someone who 9 can perform the scan which is an interventional 10 11 radiologist, someone who can perform a scan with an 12 interventional radiology scan and then assume responsibility for the delivery of the microsphere and 13 14 be the authorized user and then monitor radiation 15 safety.

So that person would therefore have to be 16 a radiologist as well maybe have training in medical 17 management of the cancer patient if they are going to 18 Otherwise this function can be 19 be one and the same. 20 done by two people. So actually we have five 21 functions that are mentioned here probably best 22 managed by at least three or four people. So we have five different individual kinds of management that are 23 24 needed. Now whether it's performed by -- Can all 25 those five be performed by one person? Almost

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impossible. By three or four, definitely. Whether someone has -- whether two people can share and show competency in all those five functions, that's something we have to see.

CHAIRMAN MALMUD: Dr. Salem.

DR. SALEM: Just a few comments. First, I 6 7 interventional radiologists who think have been 8 performing and focused on oncologic therapies are 9 extremely well trained and extremely well competent and able to handle and deal with all of the issues 10 11 that Dr. Nag has mentioned when it comes to diagnosis 12 and management, etc.

I think it's also important to recognize that we are not asking to take over the cancer management of the patient. This is an administrative request for authorized user status. Of course, the patient is also managed by his surgeon and his medical oncologist and his radiation oncologist.

The request here is for authorized user status without implication that this will be done solo by interventional radiologists without really the multidisciplinary team which is very well laid out in all guidance documents.

24 CHAIRMAN MALMUD: Other comments or 25 questions of Dr. Salem?

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186 1 MEMBER NAG: I think a similar request --2 CHAIRMAN MALMUD: I think Mr. Lieto was 3 next. 4 MEMBER LIETO: Along that line of the 5 comment that you just made about the team approach, aren't at least one of those an authorized user to 6 7 begin with and has been involved either radiation 8 oncology and/or nuclear medicine? So wouldn't one or both of those team members be an authorized user? 9 10 Because what you're saying is that you would have 11 potentially a team member or a team approach in which 12 none of them have nuclear or say radiopharmaceutical or radioactive material experience and training and 13 14 it's only going to be the IR that's going to have this. That's why he needs to be the AU. 15 That was a question I guess more. 16 First of all, there are 17 DR. SALEM: Yes. many different models where this therapy is being 18 applied because it depends on local practice patterns, 19 size of the hospital, the referral base, etc. And it 20 21 is not the norm to have as you stated everybody be an authorized user. 22 23 However, in some centers, the radiation 24 oncologist is an AU. In some centers, the nuclear 25 medicine and in some centers, the IR. And there are **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1 very successful and well-run practices where in fact 2 only the IR is the authorized user not because of by but 3 choice because of the inability of other 4 disciplines to participate, maybe too clinically busy. 5 It's not often that easy to have everybody join and meet to work with this therapy. But everybody is 6 7 involved in some way and the interventional 8 all radiologist is the common denominator in 9 practices.

10 Therefore, in these uncommon MEMBER NAG: 11 circumstances where you do not have a radiation 12 oncologist or nuclear medicine in this modern а hospital you are suggesting that the therapy would 13 14 then be done by interventional radiologists with a surgeon and that's the only involvement that would be 15 Is that what you're suggesting? 16 there.

DR. SALEM: I'm suggesting that there are places where this, in fact, happens and has been going on for many, many years.

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CHAIRMAN MALMUD: Dr. Welsh.

21 MEMBER WELSH: Jim Welsh. I'm not sure I 22 could agree with that because wouldn't -- I understand 23 and agree with the idea that the IR is the common 24 denominator. But isn't nuclear medicine always 25 present, too, if you're doing the imaging? So you

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1	have to have nuclear medicine as well and therefore
2	you would have an AU available in the institution.
3	Correct me if I misinterpret that.
4	DR. SALEM: Yes. So we need to make sure
5	that we're talking about the same thing when we talk
6	about present or the AU or there is some terminology I
7	think that we differ with. At our institution, for
8	example, neither radiation oncologists nor nuclear
9	medicine physicians are authorized users.
10	MEMBER GILLEY: Wait. But you're a broad
11	scope academic.
12	DR. SALEM: Yes.
13	MEMBER GILLEY: Okay. A different set of
14	rules here.
15	DR. SALEM: Well What is that?
16	MS. TULL: This is Ashley. I said and
17	agreement states. This is guidance so the agreement
18	states can follow whatever the agreement state feels
19	they need to follow.
20	DR. SALEM: So Dr. Welsh is correct.
21	There is always also nuclear medicine involved in the
22	imaging assessment of lung shunting and extrahepatic
23	flow. That is correct. But that does not necessarily
24	mean that the nuclear medicine physician is an
25	authorized user.
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1	DR. GUIBERTEAU: For Y-90 microspheres.
2	DR. SALEM: For Y-90 microspheres.
3	MS. TULL: Dr. Malmud, this is Ashley.
4	There are interventional radiologists named as
5	authorized users in agreement states.
6	The state can regulate under its own
7	jurisdiction. This is not regulation. There is no
8	level of compatibility with Part 1000. It's
9	Compatibility D. So we write this guidance. We do
10	send this guidance to the agreement states so that the
11	state regulators can look at it. But if they choose
12	to on a case-by-case basis approval an interventional
13	radiologist as an authorized user we found this is I
14	don't want to say a common practice, but it is out
15	there.
16	CHAIRMAN MALMUD: Mr. Lieto.
17	MEMBER LIETO: I have a question for our
18	agreement state member across the table.
19	(Laughter.)
20	MEMBER GILLEY: Not important.
21	MEMBER LIETO: How frequently does or do
22	agreement states not follow NRC guidance? In other
23	words, do they take that as their template and they go
24	from there? Or do they just Or is it hit and miss?
25	Some agreement states follow it explicitly or?
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1	MEMBER GILLEY: Some. It depends on the
2	skill level and the number of employees. Some follow
3	NRC agreement guidance documents verbatim. Other
4	states that have larger programs with more people that
5	can do development of regulations and guidance do not.
6	MEMBER LIETO: Thank you.
7	CHAIRMAN MALMUD: Other comments or
8	questions?
9	MEMBER VETTER: Question.
10	CHAIRMAN MALMUD: Please do.
11	MEMBER VETTER: This is Dick Vetter.
12	Could Ashley or someone review for us the
13	qualifications of those authorized users in general?
14	In a state where an IR is an authorized user, what are
15	their qualifications that allow them to be an
16	authorized user?
17	MS. TULL: That is completely up to the
18	state.
19	MEMBER WELSH: Can I ask a follow-up
20	question?
21	CHAIRMAN MALMUD: Dr. Welsh and a member
22	of the public.
23	MEMBER WELSH: Okay. On the same
24	thinking, what would disqualify a radiation oncologist
25	or a nuclear medicine physician who has gone through
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1 all the training and is AU eligible but now is not an 2 authorized user? 3 MEMBER VETTER: Yes, I'm confused about 4 that as well. 5 MS. TULL: I'm sorry. Repeat the question. 6 7 if MEMBER WELSH: So somebody is а 8 radiation oncologist or nuclear medicine physician and 9 has gone through all the training and has board certification and is AU eligible, a state can say that 10 11 you're not an authorized user. 12 MS. TULL: They could have more stringent I can't imagine it being anything more criteria, yes. 13 14than a radiation oncologist, I mean. MEMBER NAG: The only -- If he wanted to 15 apply and if he could, if he took the training of that 16 three cases, the three cases and the vendor training. 17 So if he doesn't want to do -- If a radiation 18 oncologist doesn't want to do a vendor training and 19 doesn't want to do the three cases then he couldn't 20 21 apply. 22 MEMBER GILLEY: May I? CHAIRMAN MALMUD: Please. 23 24 MEMBER GILLEY: I'm Debbie Gilley. Part 25 1000 is a unique animal and because of the way it's **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

set up it's meant for the innovated new technology to come on board. We would be able to get some experience with that and then the intent I thought was once it became a common practice out there we would roll it out of partner -- and put it into the 200, 300 or 400 or 600 or which ever one it best fit and what we have here is a gap.

8 The agreement states, some of them have more experience with this technology than others just 9 10 by the nature of their size and the number of medical institutions within their state. 11 So they have 12 flexibility to do that and that's part of the reason it's Part 1000 is to give the agreement states some of 13 14 that flexibility. So you're going to find it to be across the board. There are 35 different agreement 15 There are going to be 35 different ways they 16 states. handle Part 1000. 17

## CHAIRMAN MALMUD: Ashley.

MS. TULL: Another point to make is for the broad scopes. This is going to be driven by the Radiation Safety Committee. So it's going to be institution by institution. That's how you could very easily have a interventional radiologist as the authorized user.

CHAIRMAN MALMUD: Dr. Welsh.

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MEMBER WELSH: So then, in summary, Dr. Salem, it sounds like you're proposing that IRs be authorized users because there is a shortage of AUs and because you feel that IRs can be qualified for this type of therapy.

I mean fundamentally I believe 6 DR. SALEM: 7 and this has never changed that radiation oncology and 8 nuclear medicine and IRs are qualified and have the qualifications to be authorized users for this very 9 10 unique technology. This is I think one of the very 11 important aspects. Is there a shortage of AUs? There 12 at times as I have been told because I'm a are representative here of the SIR and the ABR that there 13 14 are at times a lot of confusion on the qualifications and the ability of IRs to meet the AU standard that 15 the NRC has just put out and so this is why this 16 discussion is being initiated is to find solutions to 17 this. But it is in all honesty part of the problem 18 but certainly not the majority of the problem. 19

Member of the Public, CHAIRMAN MALMUD: 20 21 would you please introduce yourself? 22 MR. SOULEN: Hi, I'm Dr. Michael Soulen. I'm a Professor of Radiology and Surgery at 23 the 24 University of Pennsylvania and Ι run the 25 interventional oncology program at the University of

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Pennsylvania. I'm using Yttrium before actually it was introduced to the United States. I guess the original TheraSphere trial for HCC almost ten years ago.

5 Just to give you sort of a perspective on the IR as an AU, when we started doing this at Penn 6 7 one of our nuclear medicine, actually a couple of 8 nuclear medicine attending were the authorized users And the problems that ensued were 9 for Yttrium-90. although one might conceive that a nuclear 10 that 11 medicine physician or a radiation oncologist might be 12 instrumental in the management, diagnosis and prescription for the patient. 13

14 In fact, the patients are referred to the radiology clinic. They're assessed by us. 15 We make the treatment plan. We review the diagnostic images 16 and analyze them. All the factors that go into the 17 plan, the treatment dose, are actually determined by 18 the interventional radiologist and then we fill out a 19 spreadsheet which we would then hand our authorized 20 21 user to sign so then the material can be administered. 22 So, in fact, all the treatment planning and the data necessary to do the treatment planning and the image 23 24 analysis of the treatment planning with the exception 25 of calculation of lung shunts by nuclear medicine on

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the diagnostic MAA study was already being done by the image radiologist. He was essentially doing all the work and admitting the patient, treating the patient and doing all the follow-up care of the patient afterward in terms of response evaluation and complications including issues management of any relative with liver function which is something we've been managing frankly for many years. So essentially we're doing almost all the work.

Now if we had an AU who was present and 10 11 active and available to make the patient's access to care smooth and easy that would be fine. But we would 12 be sitting in a room with a catheter in a patient 13 14wondering where our nuclear medicine attending was to show up so we could actually administer the dose and 15 sign the treatment plan. Or we would have a nuclear 16 medicine attending come in and inject the dose himself 17 into the wrong catheter because they didn't really 18 understand the mechanics of what was going on in this 19 particular instance. 20

So finally and I think it relates to the comment you just made, our institution came to us. Our radiation safety officers came to us and said, "We want the IR to be the AU for this because you guys really know what's going on and you guys are doing all

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the work and trying to get these other people involved is actually inhibiting us, slowing down the process and making it less efficient in our institution."

4 So I think even in major medical centers where there is lots of expertise the care of the 5 patient goes to the people who are willing and able 6 7 and we do delivery brachytherapy. We work with our 8 radiation oncologists to get the catheters and do the mapping, get the anatomy and get the delivery systems 9 10 in the right place. But they make the treatment plan 11 to the delivery because that's what they do in taking an active role in the management of the patient. 12

And if you're not therapy, the image 13 14radiologists are doing all the work for the treatment planning and the treatment administration and the 15 clinical care and so if you don't have 16 in that 17 institution even though we had a nuclear medicine authorized user they weren't serving 18 а helpful 19 function if, in fact, they were inhibiting access to care by not being an active role in the care of the 20 21 patient.

So I think as we were saying there's really sort of a fairly compelling argument for making possible for image radiologists who are actually providing the care and the treatment of the patients

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197 1 to have authorized user status in situations where 2 there is not someone else who has authorized user 3 status available to be involved in that care. Again, 4 this is sort of a single institution perspective on --5 Again I didn't go seeking authorized user My physicians came to me and said, "We want 6 status. 7 you to do this because you do a better job than if we 8 have someone else doing that who is not actively involved in treating liver cancer." Again, I think 9 10 applies uniquely to this this application of 11 brachytherapy in the liver. 12 CHAIRMAN MALMUD: Thank you. Dr. Nag. Yttrium-90 microsphere is MEMBER NAG: 13 14 under 1,000. It does not require the physical presence of the authorized user. Am I right? 15 Ιt requires to be involved in the planning. 16 You know, the comment that we are waiting for the authorized 17 user to be able to put it in cannot be true because 18 you don't need the physical presence. Am I right? 19 This is Ashlev. 20 MS. TULL: You're 21 There is no physical presence requirement in correct. 22 quidance right now. However, I believe from the talking to the manufacturers the current practice is 23 24 to wait for the AU to show up. 25 Ι would ask either of the one **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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198 1 manufacturers to address that. Sam Putnam. 2 MR. PUTNAM: I can speak to that. Sam 3 Putnam from Sirtex, Medical Director. That's true and 4 I think most places across the country when they do 5 have radiation oncologists, nuclear medicine docs, as the authorized user they would appreciate having them 6 7 actually present in the room. They often and usually 8 do wait for those physicians to show up. So I wouldn't say, Dr. Welsh, that there's 9 10 shortage of radiation oncologists or а nuclear 11 medicine docs who could be the authorized users. But I think there's a shortage of interest among those 12 doctors to be the AUs and to actually be part of the 13 14 therapy. MEMBER NAG: Yes, but radiation is not 15 stopping you because it is unique to have user in the 16 planning but the authorized user does not have to be 17 physically present. So it's not hindering the 18 administration of radiation. 19 MR. PUTNAM: Well, it does. At the two 20 21 institutions I provide this therapy, they don't buy 22 into that and we do have to wait for the authorized users to be present. 23 MEMBER NAG: But that is not a radiatiOon 24 25 issue.

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1	MR. PUTNAM: I understand.
2	MEMBER NAG: That is an institution issue.
3	MR. PUTNAM: It is an institution issue.
4	That's right. But we still wait.
5	CHAIRMAN MALMUD: Dr. Thomadsen.
6	MEMBER THOMADSEN: I think a sampling of
7	the institutions that the AAPM's task group on
8	microspheres would indicate that the authorized user
9	is seldom present for these therapies.
10	CHAIRMAN MALMUD: Thank you. Other
11	comments? Yes, Debbie.
12	MEMBER GILLEY: Just for clarification,
13	there are no regulations on Part 1000. They are
14	guidance documents and you had mentioned the
15	regulations and they simply So there's a big
16	difference between guidance documents and regulations
17	when it comes to the agreement states.
18	MEMBER NAG: So in that there is
19	nothing like regulation guidance. There's nothing
20	that is stopping the interventional radiologist from
21	going ahead so long as they have an authorized user in
22	their planning committee. Am I right or not?
23	DR. SALEM: I think Dr. Nag is correct. I
24	mean it depends on the location of where you're at,
25	but I think in terms of best medical practice, I think
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1 there are some people that have some inherent 2 resistance to just signing off on written directives that then again in the spirit of medical legal issues 3 4 that were discussed previously, the previous session, might come into play if a program is run such that an 5 authorized user is never physically present in an area 6 7 and I would point out that I believe one of the 8 rationales for stating that the authorized user doesn't have to be there was because of the very issue 9 that the interventionalist could not be an authorized 10 11 This was the origin of this. So I think good user. medical practice if the authorized user can be there 12 whether the radiation oncologist, the nuclear medicine 13 14 physician or the IR, I think best medical practice would dictate that that would be the best way to do 15 it. 16 CHAIRMAN MALMUD: Other comments? 17 Dr. Vetter. 18 19 MEMBER VETTER: A question. Maybe I'm 20 just getting foggier. But what problem are we trying to solve? 21 22 CHAIRMAN MALMUD: I think the issue before us is the request of the interventional radiologists 23 24 to move ahead with one of two pathways to achieve 25 authorized specifically for user status or the **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	Yttrium-90. Am I correct?
2	DR. SALEM: Yes.
3	MEMBER VETTER: That's the solution.
4	What's the problem?
5	CHAIRMAN MALMUD: The problem is that they
6	feel that they do not have that process in place
7	currently and they're seeking NRC approval for it.
8	MEMBER WELSH: If I may?
9	CHAIRMAN MALMUD: Yes, Dr. Welsh.
10	MEMBER WELSH: This is Dr. Welsh here.
11	This is why I asked Dr. Salem earlier if you perceive
12	that there's a shortage of Aus. Because if the answer
13	is no, then perhaps there is no reason to change
14	things. But from what I'm hearing where radiation
15	oncologists and nuclear medicine physicians were Board
16	certified are not AUs there very well could be a
17	shortage of AUs for this therapy and therefore there
18	is a problem that needs a solution. So we're hearing
19	the solution. But the question may be is there truly
20	a shortage of AUs to provide this therapy nationwide.
21	CHAIRMAN MALMUD: We have another member
22	of the public.
23	DR. FACCHINI: Good morning. Thank you,
24	Mr. Chairman. My name is Frank Facchini. I'm an
25	interventional radiologist just outside of Chicago.
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I'm in an agreement state and a very experienced agreement state due to Dr. Salem's work. Because of my practice, we cover five hospitals. I am an authorized user at only one of those hospitals and our radiation oncologist also covers that said five hospitals.

7 So truly it's very, very difficult for me 8 to have him in the room with me and that is why I sought out AU status personally. 9 I did it post 10 I work very closely with our IEMA and I September. 11 did it by providing my ABR certificate, showing my 12 classroom work and my experience and then under the guidance of our RSO I did the material handling as Dr. 13 14 Salem has proposed. I provided actually seven I involved all of the planning that went 15 patients. into it, the treatment planning, the receipt of the 16 radionuclide, the disposal and I gained approval that 17 18 way.

But the entire impetus was that it was just near impossible for us to get all of these people in the same room at the same time and it actually compromised in my opinion patient safety because as you have a microcatheter in the artery and you're waiting and waiting that catheter can get clogged. There can be issues. So how efficient we are is

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absolutely relevant to patient care. Thank you for your time.

Thank you. Dr. Nag. 3 CHAIRMAN MALMUD: 4 MEMBER NAG: Thank you for that statement, but that still the same issue I had before. You don't 5 have to wait for the authorized user to be in the 6 7 Why are you waiting for the authorized user to room. be in the room if that's not required for their 8 It requires that they be involved in the 9 presence? planning and so forth. So you don't have to wait in 10 11 the room with the microcatheter in place. So that's that you're bringing in 12 an argument that's not relevant. 13 14 CHAIRMAN MALMUD: Thank you, Dr. Nag. May I ask a member of the staff? Is it correct that we do 15

16 not need to have an authorized user in the room at the 17 time of the injection of the radioactive product into 18 the catheterized vessel in the liver?

This is Dr. Howe. 19 DR. HOWE: When we were 20 first developing the quidance for the Yttrium 21 microspheres we modeled after the manual brachytherapy 22 and manual brachytherapy did not require the physical only sections that required 23 presence. The the 24 physical presence were HDR and Gamma Knife. So we did 25 not require the physical presence. There was an

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1 understanding that you normally had the manual 2 brachytherapy authorized user there, but that was not a strict requirement. 3 Thank you. So your 4 CHAIRMAN MALMUD: question is answered, Dr. Nag, that it's not required. 5 May I ask a question of the public that's here and 6 7 also Dr. Salem? Who calculates, who checks, the dose 8 when it's delivered currently? DR. SALEM: Checks the dose or calibrates 9 the dose? 10 11 CHAIRMAN MALMUD: Yes. DR. SALEM: Pretreatment 12 or post 13 treatment. 14 CHAIRMAN MALMUD: Pretreatment. DR. SALEM: So pretreatment all the doses 15 are calibrated in nuclear medicine. 16 CHAIRMAN MALMUD: By a nuclear physician 17 or a member of the staff. 18 19 DR. SALEM: Correct. 20 CHAIRMAN MALMUD: Is that true for the 21 other institutions represented here? What do you mean by 22 MEMBER SULEIMAN: dose? 23 (Off the record discussion.) 24 25 CHAIRMAN MALMUD: The activity in the **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	product? Who makes sure that what you plan is really
2	what you intend is what you receive?
3	DR. FACCHINI: In my institution, I
4	actually do it personally.
5	CHAIRMAN MALMUD: And you are Dr.?
6	DR. FACCHINI: Facchini.
7	CHAIRMAN MALMUD: Dr. Soulen, how about
8	the University of Pennsylvania?
9	DR. SOULEN: In my institution, a nuclear
10	medicine technologist checks the initial activity in
11	the vial and then they then check the residual
12	activity. So non nuclear medicine physician, but the
13	technologist then brings me the worksheet which I sign
14	off on as the AU.
15	CHAIRMAN MALMUD: Thank you.
16	DR. SOULEN: Prior to that me being the
17	AU, it got signed off by the nuclear medicine AU.
18	CHAIRMAN MALMUD: And is there a third
19	institution represented?
20	DR. VERMEERE: Bill Vermeere from Medical
21	College of Wisconsin. It's the nuclear medicine
22	pharmacist at our institution who calibrates the dose
23	pre and post treatment.
24	CHAIRMAN MALMUD: Thank you.
25	MEMBER NAG: And in the south area
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1 CHAIRMAN MALMUD: I see a member of the Would you go up to the mike? And you are? 2 public. HAGERMAN: 3 MR. Jim Hagerman from MDS 4 Norran. I'm involved in training many centers through 5 our vendor certification program and very rarely have I seen an instance where a hospital authorized user, 6 7 be it radiation oncology or nuclear medicine, will not 8 insist on being in the room in the interventional So there are a lot of pragmatic logistical 9 suite. issues with having an authorized user who is not 10 11 physically infusing the device and I think when you need two people to make that necessary it does impose 12 issues. 13 14 CHAIRMAN MALMUD: Thank you. MR. SALDARINI: I am Joe Saldarini with 15 Regarding your question about the preparation 16 Sirtex. of dose and certification of the activity, I can speak 17 for 20 institutions and it's all done very carefully 18 and precisely in nuclear medicine. 19 20 CHAIRMAN MALMUD: By whom in nuclear medicine? 21 22 MR. SALDARINI: By the hot lab technician under the guidance of the authorized user or the 23 24 nuclear medicine physician. 25 CHAIRMAN MALMUD: Thank you. Dr. NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

Suleiman.

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2 MEMBER SULEIMAN: I'm just going to reveal How accurate are the dose calibrators 3 my thinking. Or are these just 4 that you calibrate these with? 5 checks for activity? When you say calibrated, it means something very special to me and these are 6 7 Yttrium sources which are beta emitters. And I hear 8 the term that these are calibrated in the hospital. Ι lot of hospitals don't 9 think а even have the 10 capability of calibrating Yttrium sources. So I think 11 the very sloppy use of the term "calibration" is 12 misinformative and potentially hazardous to the public safety because it's not an accurate estimate of the 13 14 activity or the dose. Thank you. I'll ask Dr. 15 CHAIRMAN MALMUD: Zelac to comment on the accuracy of the calculation of 16 an Yttrium dose in a well counter. 17 DR. ZELAC: Pass. 18 (Laughter.) 19 CHAIRMAN MALMUD: Dr. Howe. 20 21 DR. HOWE: Although we haven't come out 22 with anything addressing Yttrium-90 we have in the past experienced a number of medical events where 23 24 people have thought they could measure accurately P-25 32, Samarium and other radionuclides in а dose **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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208 1 calibrator and it wasn't really true. So we've 2 already recommended that you use the manufacturer's number and then extrapolate using a volume type of 3 4 thing. Although with the microspheres, you have to 5 keep them up in solution. So volume is not necessarily an accurate way of doing things. 6 So we 7 don't depend on the nuclear medicine technologist to 8 be able to accurately measure Yttrium. 9 CHAIRMAN MALMUD: Thank you, Dr. Howe. We 10 have another member of the public. 11 DR. SELWYN: Hi. Dr. Selwyn. My views do not represent the Navy. Let me say that first. 12 All They're my views. right. 13 14 But in terms of calibration of Yttrium-90 in a dose calibrator, they could be upwards of 30 15 This is research that has been conducted. 16 percent. It's in publications as well based on geometry and 17 dependence of the dose calibrator at the facility. 18 19 So, yes, I would steer away from saying calibration at 20 all with these. All right. It's really just the 21 manufacturer's stated activity and you're injecting 22 that. Okay. CHAIRMAN MALMUD: Thank you. 23 24 MS. LAIROBENT: Lynn Fairobent with AAPM. 25 Dr. Nag, to your question and the point that NRC may **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

not require the physical presence, it may be a case that it is required under CMS for reimbursement. However, it may be a procedure done under personal supervision and therefore the individual would have to be physically present.

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6 CHAIRMAN MALMUD: Thank you. I see 7 another hand. Dr. Thomadsen.

8 MEMBER THOMADSEN: In answer to that at 9 our institution, we just don't charge for the 10 physician's physical presence and if the radiation 11 oncologist isn't there, we don't charge.

12 But back to your question, I'm not sure that you were getting the answer to the question that 13 14 you had intended to ask when you were asking about who prepares the dose in that I was interpreting your 15 question earlier how ever it was stated not in who's 16 who's 17 preparing the dose, but preparing the prescription. Was that what you were asking or were 18 you asking the physical handling of the radioactive 19 material? 20

21 CHAIRMAN MALMUD: I was asking about the 22 handling of the radioactive material because the material comes and it settles. And therefore if 23 24 vou're getting, let's just use а number, 10 25 millicuries and you have to shake it to make sure that

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the spheres are evenly distributed and then draw out half of it, you're not really getting 50 percent when you draw out half because the spheres are not uniformly distributed exactly. So you're getting something close to it but not exactly. I was just wondering who was doing that.

But your question is one which I think Dr. Salem addressed or one of the members of the public addressed with respect to calculating the dose and that was with the liver geometry and the portion of the liver that needed to be dealt with in terms of calculating the dose. Did you address that or a member of the public?

DR. SALEM: No, not really, but I can expand on it a little bit.

16 CHAIRMAN MALMUD: A member of the public 17 addressed that.

DR. SALEM: Again it depends.

19 CHAIRMAN MALMUD: Dr. Soulen addressed 20 that.

DR. SALEM: So I guess it depends again on who is involved in the team, who the authorized user is. In a radiation oncology authorized users, this is the work of the authorized user and is done by the authorized user. In our institution, this is done by

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the interventional radiologist authorized user. So it really is that aspect, a critical aspect, is done by the authorized user. So this does not change irrespective of who it is.

CHAIRMAN MALMUD: Thank you. Rob.

MR. LEWIS: Getting back to I think to Dr. 6 7 Vetter's question on what is the problem, if it is not 8 NRC requirements or even the agreement state the requirements that are causing the presence of the AU 9 but rather the vendor recommendations or facility-10 11 specific procedures, I quess, is your premise that or 12 thesis that if NRC were to come out and say that the IR can be an AU and therefore have the presence that 13 14 the vendors and the facilities will be more amenable to changing their procedures? I mean, what are we 15 trying -- What regulatory action are you asking? 16

I think that the premise is 17 DR. SALEM: you've just heard I think several sort of observations 18 about what is working and what is not working and in 19 20 my opinion unfortunately some solutions are sort of 21 band-aid solutions in terms of this person can be the 22 He doesn't have to be there. And so the request AU. still at its core is irrespective of the practice 23 24 pattern interventional radiology is requesting and 25 stating that they would like to proceed with a pathway

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1	that will permit them to gain authorized user status
2	just like nuclear medicine or radiation oncology and
3	we'd like to develop a program that is acceptable by
4	the Committee and the NRC to allow this pathway with
5	or without the problems that occur at the institutions
6	and so to leave that as an option. That's really the
7	core of the request for today.
8	CHAIRMAN MALMUD: Thank you. That's clear
9	enough? Yes. Please come up to the microphone.
10	(Off the record comment.)
11	Sorry. Did you want to make
12	DR. SELWYN: A quick comment again. Dr.
13	Selwyn.
14	CHAIRMAN MALMUD: Dr. Selwyn.
15	DR. SELWYN: On dosimetry versus radiation
16	oncology, dosimetry treatment planning, of
17	brachytherapy treatment planning is much more
18	extensive and we have treatment planning programs that
19	do that. In terms of this treatment, it is very
20	minimum. It is a simple equation. Okay. Technicians
21	can do it. The IR can easily do it. The physicist
22	can easily do it. There's not much to it. It's the
23	liver size. All right. It's the mass of the liver,
24	that's it, versus when you're looking at
25	brachytherapy. So they're not asking the IR to do the
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1 job of the radiation oncologist at this point. In the 2 future, that may change and this may have to be revisited planning. 3 in terms of treatment But 4 currently it's very minimal.

5 CHAIRMAN MALMUD: Excuse me. It's not 6 simply liver size, is it? It's the liver size versus 7 the portion of the liver that's being percused by the 8 vessel that you're injecting and a ratio of that mass 9 over the liver mass and it's calculated by taking 10 slices and then adding them up.

11 DR. SELWYN: No, that is not true. It's approximation there different 12 an and are two modalities. There are two different ways from the two 13 14 different companies and they can address it if they'd like. But a basic answer to that is that one 15 assumption is that the microspheres go to the entire 16 It's very simple. It's the mass of the liver 17 liver. and the activity is assumed to be distributed 18 homogeneously throughout the entire liver which it's 19 not. But this is the modality that's being used for 20 clinical trials. 21

CHAIRMAN MALMUD: Excuse me. What about
 shunting? How do you check for shunting?
 DR. SELWYN: You can subtract the shunting

25 if you have an accurate number on that. But lots of

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people don't subtract the shunting at all. But you can and the company does say to do that, one minus F, which is the shunt value. Dr. Salem can also talk very long about this as well. But it is a very simple solution. It is not what I think people think about dosimetry and treatment planning, but it would take longer to go into the details.

CHAIRMAN MALMUD: Thank you.

This is Neelham Bhalla from 9 MS. BHALLA: 10 With regard to if I understood what NRC Rulemaking. 11 the issue is for the interventional radiologist to be authorized user for the 35.1000 procedures and this 12 one in particular, there is another way and that's how 13 14 interventional radiologists came to NRC to be the authorized users for perithelial administration of 15 radiopharmaceuticals in terms of Zevalin and two or 16 17 three other names and they came. They petitioned that these drugs come. They are FDA approved and it's 18 19 The calibration is easy and therefore they easy. should be allowed to be authorized users. 20

This petition came to us I think about a year ago or so or two years ago and so there is -- A note, the petition was denied. So I just wanted everyone here to know that that is the process for coming to request the NRC to be authorized users for

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1	some things which are not outright in the regulation.
2	DR. SALEM: I'm sorry. This was a request
3	by interventional radiology.
4	MS. BHALLA: That is correct.
5	DR. SALEM: To administer Zevalin.
6	MS. BHALLA: Correct. It's not only
7	Zevalin but there were three Bexxar, Zevalin and
8	DR. SALEM: By interventional radiology?
9	MS. BHALLA: Yes, the group was the
10	interventional radiologists and it came from That
11	is the group that came and it's under Petition No.
12	TRM3519 and you can go into the details of the whole
13	petition in that regard.
14	CHAIRMAN MALMUD: Thank you. Dr. Welsh
15	had a comment before you leave the microphone. What
16	were you going to say, Dr. Welsh?
17	MEMBER WELSH: I think that there might be
18	a misinterpretation here. I think we're alluding to
19	the Stein petition and the Stein petition was
20	hematology/oncology petitioning to administer Zevalin,
21	Bexxar and Quadromed. Is that what we're talking
22	about here or is this something separate?
23	DR. SALEM: That I've heard of. I've not
24	heard of interventional radiology giving Zevalin.
25	MS. BHALLA: Okay. That is correct. It's
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1	the Stein petition, but the issue is very similar.
2	It's
3	MEMBER NAG: Medical oncology.
4	DR. SALEM: It's medical oncology.
5	MS. BHALLA: It's medical oncologists
6	coming up instead of radiologists. But it's a very
7	similar issue of somebody who wants to be an
8	authorized user which clearly does not meet the
9	requirements spelled out in Part 35.
10	CHAIRMAN MALMUD: Thank you. Dr. Welsh.
11	MEMBER WELSH: A quick reply or comment.
12	There are some superficial analogies, but underlying
13	this are some very significant differences in the meat
14	of the matter and one of the critical differences is
15	that medical oncologists and hematologists have zero
16	training during their residency and fellowship and
17	another critical difference is that there is no
18	shortage of qualified AUs for the administration of
19	Zevalin, Bexxar and Quadromed and that's why I think
20	there are some big differences here where radiologists
21	have some underlying training and there's a discussion
22	about adding some training that would make them
23	qualified to be safe AUs and I still haven't gotten a
24	clear answer about whether there's a shortage or not.
25	CHAIRMAN MALMUD: May I just editorialize
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217 1 for а moment? When you say that the medical 2 oncologist have no training, you mean they have no training in the handling of radioactive material. 3 4 MEMBER WELSH: That's correct. 5 CHAIRMAN MALMUD: Thank you. Because we don't --6 7 (Laughter.) You would be offending a very large group 8 9 of people. 10 Dr. Guiberteau. 11 DR. GUIBERTEAU: Ι think from the 12 perspective of diagnostic radiologists that one of the issues here is the method under which this agent was 13 14 approved and I think if it was not microbrachytherapy it would clearly be one of the other agents that we 15 have commonly developed and will develop many, many 16 in molecular medicine in terms of 17 more injecting materials that are labeled to peptides 18 for cell surfaces, within the cells, delivered in this case in 19 a mechanical way and I think what the devil is, the 20 21 radiology community is, the length of time it takes to 22 take a new technology like this from Part 1000 that's clearly being done and integrate it in and making some 23 semblance of fairness to 24 it. That is we have agreement states with apparently a tabula rasa of what 25 **NEAL R. GROSS** 

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they want to do. We train people in our state to do these and they go to another state and they can get licensed.

4 And so I guess just -- I'm sure you've heard this all before. 5 But the feeling of the community is that we don't know what to do. 6 We're 7 totally confused. IR in terms of the American Board 8 of Radiology is probably in the next five years going to be its own direct pathway and we have to know how 9 to train those people to get this, to get certified, 10 11 and to get AU status to do these procedures. So I 12 guess my plea is here that it would be very nice if the Committee would consider some way to move this 13 14into part of the rules so that we can have some semblance of understanding of what we're supposed to 15 do. 16

17 CHAIRMAN MALMUD: Thank you. Other18 comments. Dr. Eggli.

MEMBER EGGLI: I think interventional radiologists make perfectly good authorized users. I think my concern here is mixing the part of the regulation that deals with diagnostic applications versus therapeutic applications and I think that what we need to look for is not a way to add it to 290 as a subclass of 290 but as a subclass of 390 setting up

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219 1 reasonable training and experience requirements that 2 allowed interventional radiologists to do this 3 procedure. But my concern is mixing the definitions 4 of diagnostic applications versus therapeutic 5 applications among sealed sources. Therefore CHAIRMAN MALMUD: Thank you. 6 7 you would recommend that this be for a very specific 8 application for the therapeutic application. 9 MEMBER EGGLI: Under Part 300. CHAIRMAN MALMUD: Under Part 300. 10 Thank 11 We had two hands showing here. you. 12 MEMBER NAG: I would agree with Dr. Eggli that this is therapeutic and if you want to either 13 14 have interventional radiologists that will have similar training so that they would qualify either 15 under 300 or under 390 whatever that would be a more 16 logical way that will, too, ensure enough training and 17 yet allow them to do only that portion of 300. 18 19 CHAIRMAN MALMUD: Thank you and, Mr. 20 Lieto, you had a comment as well. 21 MEMBER LIETO: Yes. Well, I was also 22 going to echo my support for Dr. Eggli's comment about making a specific category under 300 training and 23 24 experience because I think it's a therapeutic use 25 whether you call it brachytherapy or what it truly is, **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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a radiopharmaceutical therapy, regardless. It belongs in the therapeutic portion of the regulations.

3 One of the things in talking about the AU 4 and AU being present and why AUs may not be there and 5 so forth, I think you need to understand that and I think, Dr. Malmud, you gave a perfect example to me 6 7 earlier today in that when you are the AU and you're going to be giving a therapeutic application to a 8 patient just like you said, "I want to be there." 9 That's the patient. I wrote the written direct for I 10 11 want to be there and know what's going on and I think it's the same way generally speaking in that the AU is 12 not just someone who signs the written directive. 13 He 14 is accountable for supervising in all the aspects that go along with that administration. So it's not just 15 filling out the written directive and that's the end 16 17 all and be all. They are accountable for the the people under that written 18 supervision of all directive. 19

I'm kind of wondering and they're saying that there's reluctance and some of the colleagues in the back there are saying that getting the multiple parties together may be sometimes problematic. But I'm sure they want to be there because of the fact of their responsibilities that they can't, I shouldn't

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say that they can't, but they don't want to delegate to someone else. And I think that's why even though Dr. Nag has said the AU doesn't need to be there the AUs want to be there for these administrations.

5 CHAIRMAN MALMUD: Thank you. I would just comment. We were discussing something different. 6 We 7 were discussing the use of I-131 orally for thyroid 8 disease, either hyperthyroid or cancer. And there it's a simpler process. I see the patient. 9 I make the diagnosis. I calculate the dose. I order the 10 11 dose. I physically check it in the well counter. I 12 physically hand it to the patient. It's me. Ιt doesn't require a team and what I understand from Dr. 13 14 Salem is that this is complicated because it requires a team and getting the team together actually makes 15 the process less efficient than more efficient. 16

That's the difference between the twosituations. I'm not taking a position either way.

MEMBER LIETO: No, actually it wasn't a point. It was actually a point Dr. Nag was making and his point was that the regulations don't require you to be there.

CHAIRMAN MALMUD: I know that. I wasn't suggesting that they do. I'm just saying it's a similar process.

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1	MEMBER LIETO: Right and I'm just saying
2	the same thing is that you want to be there because of
3	your responsibilities to the patient having done the
4	written directive and so forth.
5	CHAIRMAN MALMUD: Yes, but I was not
6	The context of our discussion was not meant to be
7	analogous to this discussion. They were totally
8	unrelated.
9	I'm sorry. Who was next? Someone had a
10	comment. Dr. Suleiman.
11	MEMBER SULEIMAN: I'm going to take a step
12	back. I'm very troubled by these regulations and I'm
13	very troubled by everything that's interdisciplinary
14	and I think the whole purpose of the NRC involvement
15	here is radiation safety clearly from a radiation
16	perspective, not the practice of medicine.
17	I see things very differently from FDA
18	perspective how we approved I mean, unfortunately
19	the Yttrium-90 was approved as a medical device. It's
20	a tiny little brachytherapy device. That's because
21	our lawyers got involved and read the laws and said,
22	"This is a brachytherapy source." But the radiation
23	safety characteristic we have, it's more like an
24	unsealed source because there's millions of these
25	little products. Regardless of what people think
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about the semantics and the definition, the radiation safety handling of it is as you would an unsealed source.

4 As things get more interdisciplinary and 5 as imaging technologies evolve and they're going to get a whole lot more complicated than we see here, if 6 7 the NRC is going to try to break these things into 8 more and more subcategories and you have all these evolving, very specialized disciplinary developing for 9 therapy, for diagnostics, for a whole multitude of 10 11 applications, this approach is going to just get more 12 and more complicated. I think you're seeing that here. 13

14 Ι would be more than comfortable with somebody who understands the hazards of radiation 15 involved with thing. I would be more than comfortable 16 with a medical practitioner who understood what it was 17 they were doing and somehow we need to solve that, you 18 know, get that. But to throw all these multitude of 19 20 regulations and is this person doing this and is this 21 a sealed source, an unsealed source, is it a beta 22 gamma emitter which clearly raises emitter or а different issues, I don't know what the solution is. 23 24 But I think the problem is that we're trying to 25 microcategorize both the users of these products and

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1	the way we're classifying them.
2	I'm really glad this is under 1000 because
3	when you start to try to break it out and put it
4	someplace else where are you going to put it and
5	wherever you put it you can argue that it belongs
6	probably someplace else.
7	CHAIRMAN MALMUD: Dr. Thomadsen and then
8	Dr. Eggli.
9	MEMBER THOMADSEN: I would like to make a
10	motion at this moment.
11	CHAIRMAN MALMUD: Please.
12	MEMBER THOMADSEN: That there is formed a
13	subcommittee of this group to draft a set of proposed
14	qualifications that if satisfied by an interventional
15	radiologist would qualify them for authorized user
16	status for this application.
17	MEMBER VETTER: Is there a second to that
18	motion?
19	MEMBER VAN DECKER: Second.
20	MEMBER VETTER: Dr. Van Decker seconds.
21	Discussion? You wanted to say something, Dr. Eggli.
22	Is that related to the motion?
23	MEMBER EGGLI: Semi.
24	MEMBER VETTER: Okay.
25	MEMBER EGGLI: I think that as you look at
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the way things are broken down if you're authorized for a higher level you're typically authorized for a lower level of functionality and I think from the point of view from safety and training there is a clear break point between diagnostic uses and therapeutic uses of radioactive materials with respect to safety and training.

8 I think that impossible thresholds and the 9 200 hour threshold for Part 390 is something this 10 Committee argued vociferously against. So I think a 11 200 hour threshold for those Part 300 uses may be off 12 the wall, but I think the training requirements are 13 different for diagnostic than for therapeutic uses.

14 And I would agree with Orhan to the extent that I'm a lumper instead of a splitter. 15 But a mechanism need to be found that allows interventional 16 radiologists to become an authorized user under a 17 portion of the regulation that governs the use of 18 therapeutic radioactive materials. 19 And from that 20 Ι support Dr. Thomadsen's motion that extent а 21 subcommittee be formed to try to discover this after. 22 But I feel very strongly that it needs to under the regulation that pertains to therapeutic uses 23 not 24 diagnostic uses.

MEMBER VETTER: Dr. Malmud, I'll turn the

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chair back to you. Just for your information, there's a motion on the floor now by Dr. Thomadsen to form a subcommittee to develop the recommendations for the training requirements as discussed earlier.

5 CHAIRMAN MALMUD: Has it be seconded? 6 MEMBER VETTER: Yes, it has. We are 7 discussing the motion and Dr. Welsh has his hand up 8 next.

9 MEMBER WELSH: So my point is that before 10 we vote on whether there should be a subcommittee to 11 put together some guidelines the question still has to 12 be answered "Do we really need to have interventional 13 radiologists as authorized users?"

14 I've heard some comments from the public that one of the reasons for moving in this direction 15 is that the AU at the institution is dragging his feet 16 and getting to the IR suite. We've learned that the 17 physical presence of an AU is not mandatory. So that 18 argument has to be discarded, although I personally as 19 a radiation oncologist find it embarrassing if a 20 21 radiation oncologist is there during not the 22 But nevertheless procedure. by the current quidelines, the authorized user does not have to 23 24 physically be present.

Therefore in my mind the only real reason

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why we would want to IRs as an authorized user is if there is a shortage of qualified AUs and, if the answer is yes, then I will vote in favor of having such a subcommittee. But if the answer is that there is plenty of AUs already, what's the need?

DR. SALEM: I think there is, I mean, as I 6 7 said before, to a certain extent a shortage. But I 8 also say this sort of representing interventional radiologists that there's a genuine desire to become 9 10 an authorized user not just to fulfill this shortage 11 but, in fact, out of interest and I think out of best 12 care, out of sort of providing continuity of care. Ι think there's a genuine desire to do this, not just to 13 14 plug up holes basically. But there's a genuine request to do this. 15

## CHAIRMAN MALMUD: Dr. Nag.

MEMBER NAG: Yes. I don't think that 17 there's a shortage per se. But I think that it's lack 18 of interest. I think you would agree with me, but 19 20 there might be a lack of interest in some of the AUs 21 to be leaving their own area that they are busy at 22 that point to then leave and go to some other area. And then there's a reluctance of the hospital to say, 23 24 "Well, you can go ahead without the AU." So I think 25 that's what I'm hearing. It's not necessarily a

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physical shortage. Am I correct?

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2 DR. SALEM: Again, the reality is а 3 mixture of all of these things, a little bit of 4 shortage, a little bit of lack of interest, I think, 5 good clinical care, maybe some medical legal issues and again, like I said, the genuine desire. 6 This is 7 an independent, also, request and desire to become 8 authorized users. I think interventional radiologists qualifications 9 believe they have the and can participate and contribute to this therapy equally. 10 11 That's really, I guess, at the source of the request. CHAIRMAN MALMUD: Dr. Welsh is next. 12

MEMBER WELSH: My question for you, Riad, 13 14is I can't speak for all of radiation oncologists and apparently I don't because I apparently think that 15 there's great enthusiasm in the radiation oncology 16 community and what I'm hearing objectively that maybe 17 there is not and perhaps if it's to the point where 18 it's hard to get a physician out of the oncology 19 20 center and coming up to the IR to what is his 21 responsibility in my mind, then that represents a 22 problem. It's representative of perhaps a lack of genuine interest. 23

24 You're telling me that interventionalists 25 in the interest of best patient care and genuine

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1	desire to move this treatment forward and to the
2	forefront interventionalists as a whole are in favor
3	of this. Do you think that perhaps you are
4	representing a small minority yourself?
5	(Laughter.)
6	DR. SALEM: An excellent question. Very,
7	very worded. Again, I used to think that. I'll be
8	honest with you. I used to think that and I am slowly
9	being convinced otherwise. I see more and more
10	genuine interest, investigation, symposia, courses,
11	publications, genuine curiosity than I thought I would
12	ever see. So I used to think that.
13	CHAIRMAN MALMUD: You were next, Dr.
14	Eggli.
15	MEMBER EGGLI: I support an
16	interventionalist being able to do that. They're the
17	primary drivers on these patients. If I had to go to
18	somebody else to get them to sign off on my high dose
19	iodine patients that I felt I was responsible for, I
20	would be very unhappy about that.
21	I think the interventional radiologists do
22	take care of patients. I think that's one of the areas
23	where radiation oncology, nuclear medicine and
24	interventional radiology share a common practice
25	pattern in that although for the two interventional
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230 1 radiologists and the nuclear medicine docs we are 2 imagers. We take care of patients every day and 3 basically this is from my point of view the 4 interventional radiologist's patients and Ι can 5 understand him not wanting me as an interloper in his 6 case. 7 So I think that the primary driver ought 8 to have a mechanism whereby they can become authorized 9 to do the things that they do. Again, my concern is where we put that authorization. But I firmly believe 10 11 these guys are taking care of the patients and they ought to be the ones who are driving the bus here. 12 CHAIRMAN MALMUD: If I may, there's a 13 14motion on the floor and seconded to set up subcommittee to try to achieve that goal. 15 Is that correct? Is that the motion? 16 MEMBER VETTER: Yes. 17 MEMBER NAG: It's still under discussion. 18 CHAIRMAN MALMUD: You are still discussing 19 the motion. 20 Dr. Fisher. 21 22 MEMBER FISHER: I would speak against the motion. If this is a workable proposal, then there is 23 no need for this subcommittee to rethink the issue as 24 25 well Dr. Salem has presented it here this as **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1	afternoon. It looks like he has the, at least from my
2	perspective, two possible answers to the question as
3	long as we understand what the question is. But why
4	form a subcommittee when the work has been done
5	already and you have the American Board of Radiology
6	willing to work it.
7	DR. SALEM: I think 290 is the wrong place
8	for this.
9	MEMBER FISHER: Then let them
10	DR. SALEM: We could change it to 390 or
11	300 XX or something I guess.
12	CHAIRMAN MALMUD: Dr. Thomadsen.
13	MEMBER THOMADSEN: And that's what I think
14	part of the subcommittee's work would be to craft what
15	that pathway, what we think that pathway should be.
16	Just because the ABR and the Society of Interventional
17	Radiology have defined what they think doesn't mean
18	that we agree anymore than we may think that the
19	pathway to authorized users might be Board
20	certification and the NRC differs with us on that.
21	There are reasons to differ.
22	CHAIRMAN MALMUD: Thank you. Dr.
23	Guiberteau. Then Dr. Vetter.
24	DR. GUIBERTEAU: I just want to say that I
25	have had lengthy discussions with the ABR and we
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232 1 didn't make a specific proposal about how this should 2 be done. I mean we agree that the NRC is the one who has to set up the training requirements and the safety 3 4 requirements that they feel are necessary. The ABR is 5 in a position since classically for radiologists and most position users you want training, you want 6 7 attestation, and you want a test and the ABR has 8 committed if the NRC so agrees to a training pathway, an alternative training pathway, for interventional 9 radiologists that we will provide a test to see that 10 11 the body of knowledge that has been presented to the candidates will be appropriately confirmed. 12 CHAIRMAN MALMUD: Thank you. 13 And Dr. 14Vetter. MEMBER VETTER: Yes. Just to clarify as I 15 understood the motion, the motion did not presume that 16 the training requirements would fall under 200, 300, 17 400, 1000, anywhere. That would be all be part of 18 19 what was developed. 20 CHAIRMAN MALMUD: That's correct. Dr. 21 Naq. I know like Dr. Salem and a 22 MEMBER NAG: few other interventional radiologists who I 23 know 24 really well, they are like a diehard microspheres. 25 They are willing to go through all the training **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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safely. Would other interventional radiologists be equally diehard to be able to pursue the training? Let's say that Dr. Thomadsen's subcommittee would be -- For example, if they say the 700 hours and the 200 hours, would they be still having that determination to follow that?

8 CHAIRMAN MALMUD: The only way we'll get 9 an answer to that question is offering the opportunity and seeing how many people avail themselves of it. 10 I 11 think there is no certain way of predicting. Some 12 radiation oncologists practice in freestanding clinics. It would be impractical for them to leave 13 14 the freestanding clinics and go to an in-patient service, spend the time there and then rush back 15 16 again.

So I don't think we can predict that and 17 given the experience that preceded us with approval of 18 endocrinologists to give I-131 therapy, the majority 19 of them don't do it either. But it's still there for 20 21 those who wish to. I don't think your question has an 22 answer yet.

However, but we will move on this motion. 23 All in favor of the motion? 24

All opposed to the motion?

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1	So it's how many? Four again. It's easy
2	to count the against. How many for?
3	Ten for. One opposed.
4	(Off the record comment.)
5	Is there an abstention?
6	One abstention. So it's 10-1-1.
7	MEMBER GILLEY: May I make a comment?
8	CHAIRMAN MALMUD: Please do.
9	MEMBER GILLEY: Okay. My suggestion as a
10	path forward to go would be encourage NRC to begin the
11	rulemaking process to move microspheres out of Part
12	1000 and move it into regulations and then these
13	issues we have and these gaps with guidelines versus
14	regulations, T&E can all go through the public review
15	process of the rulemaking. It's already in place.
16	CHAIRMAN MALMUD: I think for that you
17	have a second. If that's a motion, Dr. Eggli seconds
18	it.
19	MEMBER EGGLI: Second.
20	CHAIRMAN MALMUD: Is there discussion of
21	that motion? That's in addition to the other motion,
22	not instead of the other motion.
23	MEMBER GILLEY: That's correct.
24	MEMBER LIETO: I just have a question.
25	CHAIRMAN MALMUD: Yes.
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1	MEMBER LIETO: May I ask NRC staff how
2	many items in Part 1000 have ever been moved out?
3	(Off the record comments.)
4	Part 1000 has been there since what?
5	2002?
6	DR. HOWE: This is Dr. Howe. We were
7	going to move intervascular brachytherapy out because
8	we had enough experience with it that we thought we
9	could move it into rulemaking and then it dropped in
10	its use. So it didn't become cost/benefit.
11	Right now, we have a recommendation to
12	move the perfection into 600. We haven't moved any
13	into 1000 yet because there is a tremendous resource
14	that's involved in rulemaking. But that doesn't
15	preclude us from moving it.
16	MEMBER LIETO: Okay. My answer is none.
17	CHAIRMAN MALMUD: The number is quite
18	small in other words.
19	MEMBER LIETO: None.
20	CHAIRMAN MALMUD: That's a small number.
21	(Laughter.)
22	Dr. Suleiman.
23	MEMBER SULEIMAN: I'm going to restate
24	what I said earlier. I think by trying to force these
25	in certain holes and whatever, you're going to cause
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problems. The technologies are changing so fast. In this case, they're either going to drop in use by the time you come out with rules. It may not longer be a valid technology. It may have morphed into a hybrid technology with some other imaging modalities. You're seeing some x-ray applications taking over for some 6 radioactive sources like the Gamma Knife or at least 8 competing with them and I think you have -- I think take a step back and think very carefully.

I kind of like 1000 because it catches 10 11 everything. Maybe you eliminate all the others and 12 put them all back under 1000 and just address the users in terms of radiation safety qualifications. I 13 14 just see this as pretty ugly right now and I don't see 15 it getting cleaner. Ι see it getting more complicated. 16

Thank 17 CHAIRMAN MALMUD: you. When something is very ugly, the only thing that can happen 18 to it is it begins to look prettier. So the answer to 19 your request, Dr. Salem, is that this subcommittee --20 21 MEMBER WELSH: Do we still have a motion? 22 CHAIRMAN MALMUD: I thought we voted on it. 23 24 MEMBER GILLEY: My motion. 25 CHAIRMAN MALMUD: Your motion. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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237 1 MEMBER WELSH: To move it out of 1000. 2 MEMBER GILLEY: And may I make another 3 comment. Ιt takes а long time to go through 4 rulemaking. So I suggest if we're going to solve the 5 gaps between the agreement states and the non agreement states and the variabilities that at some 6 7 point, Tom, we need to start that clock. 8 CHAIRMAN MALMUD: So it's been moved and 9 seconded. All in favor? 10 Any opposed? 11 (No verbal response.) 12 Carries unanimously. So we have two motions. 13 14 MEMBER SULEIMAN: I am slow. 15 CHAIRMAN MALMUD: Are you abstaining again? 16 MEMBER SULEIMAN: What's the motion that 17 was actually on the floor? 18 MEMBER GILLEY: Encourage NRC to begin the 19 rulemaking process. Move microspheres out of Part 20 21 1000 and into regulation. 22 MEMBER SULEIMAN: I would vote against that. 23 CHAIRMAN MALMUD: So it's a 10 or 11. How 24 25 many hands for? **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

238 1 Eleven for. One opposed. 2 MEMBER NAG: Since made the we suggest speed 3 subcommittee, I would to up the 4 procedure, we name members to the subcommittee. 5 CHAIRMAN MALMUD: All right. We will do But I wanted just to -- Because we have a guest 6 that. 7 today. 8 DR. SALEM: Thank you for the time for I must be honest that I find myself 9 this, but 10 confused. 11 (Laughter.) MEMBER EGGLI: At least, there's two of 12 13 us. 14 DR. SALEM: In terms of -- I understand some of the processes that we may initiate. Is there, 15 I'm going to ask the Committee, a short-term solution 16 to opening a pathway for interventionalists? 17 The reason I say this is with resources that we have in 18 our communities and our societies a program that is 19 numbered to be determined plus a training course that 20 21 Dr. Welsh was describing with an examination can be 22 accomplished within six to 12 months. But if this is not anything that will 23 24 accomplish anything substantive for interventional 25 radiologists, then it would be nice to know because **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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that's certainly much less work for me. But it would be nice to know if this is really not plausible. That really this has to go through the process and this will take some time.

CHAIRMAN MALMUD: I understand your concern. What I heard here today is that the spirit of this subcommittee is to find the mechanism to grant you what you're requesting.

DR. SALEM: Okay.

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10 In addition, there's CHAIRMAN MALMUD: 11 second motion to get things organized with respect to 12 larger issues that are prevalent. That's separate and that will take a long time. The first one should be 13 14as rapid as the subcommittee can get together, meet and then report back to the Committee. But the spirit 15 it was to try to achieve the goal that you're 16 of trying to achieve. 17

DR. SALEM: Thank you.

19 CHAIRMAN MALMUD: And you asked me to20 appoint a subcommittee. Dr. Zelac.

21 DR. ZELAC: It's probably worth noting 22 that guidance is something that is adjustable in a relatively short period of time 23 as opposed to 24 rulemaking. So if a determination is made the 25 Committee that it would be appropriate to move in this

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240 1 direction and that's the recommendation that comes 2 from the Committee, then the staff is in the position consider that 3 to recommendation and to move 4 accordingly in short notice. 5 CHAIRMAN MALMUD: Dr. Zelac speaks for the NRC. So he suggested to do this as guidance and it 6 7 would be a relatively short turnaround. 8 DR. SALEM: Thank you. 9 CHAIRMAN MALMUD: I need to appoint a chair of this committee. Who is intensely interested 10 11 in this subject? (Laughter.) 12 MEMBER NAG: I estimate that Bruce made 13 14the recommendation. He would be the chair, but Dr. Thomadsen is the chair but I would help. 15 I'll be willing to help him. 16 CHAIRMAN MALMUD: Dr. Thomadsen, would you 17 please chair? 18 MEMBER THOMADSEN: I would, but this may 19 have ramifications on future motions being made by 20 21 people on this Committee from now on. 22 CHAIRMAN MALMUD: And I'll ask a nuclear radiologist to be there and that will be Dr. Eggli. 23 24 MEMBER NAG: I have looked at it for a 25 long time. NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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241 1 CHAIRMAN MALMUD: Dr. Nag certainly. And 2 we need a physicist, don't we? Dr. Welsh. 3 MEMBER WELSH: You need another member on 4 it. 5 CHAIRMAN MALMUD: Yes. MEMBER WELSH: You have a physicist, the 6 7 chair. 8 CHAIRMAN MALMUD: We have physicist as 9 chair. 10 MEMBER NAG: Yes, I hope so. 11 CHAIRMAN MALMUD: So we have it. Do we need a radio -- We don't need a radiopharmacist for 12 this, do we? No. Okay. 13 14 MEMBER THOMADSEN: I think it might be very useful. 15 CHAIRMAN MALMUD: You think it would be 16 All right. 17 useful. There we are because the measurements of the Yttrium and the well counter are 18 precise estimates. 19 20 (Laughter.) 21 CHAIRMAN MALMUD: Very well. 22 MEMBER NAG: I think Jim also that you want to be on the committee. 23 24 MEMBER WELSH: You're right. 25 MEMBER NAG: Dr. Welsh wanted to be on the **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	committee.
2	CHAIRMAN MALMUD: They are precise, yes.
3	So we have the committee. You are the chair. Do you
4	approve of your membership?
5	MEMBER THOMADSEN: I think they're
6	delightful.
7	CHAIRMAN MALMUD: Could we have done any
8	better?
9	MEMBER EGGLI: Is there a person NRC staff
10	liaison for us?
11	CHAIRMAN MALMUD: The NRC staff liaison.
12	MEMBER NAG: Not for the subcommittee
13	though.
14	CHAIRMAN MALMUD: Not on the subcommittee.
15	All right. Then we'll go to the person on the NRC
16	staff and sitting over to my left are Dr. Howe and Dr.
17	Zelac, both of whom look intensely interested in the
18	subject. So we'll get it to them and then they will
19	get it to their hierarchy as well.
20	I hope that that shows some progress with
21	this.
22	DR. SALEM: Thank you very much. Thank
23	you for the time.
24	CHAIRMAN MALMUD: Thank you for being here
25	and thank you to the members of the public who spoke
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1	today as well.
2	Do you want to take a short break? Be
3	back at 3:45 p.m. Off the record.
4	(Whereupon, the above-entitled matter went
5	off the record at 3:34 p.m. and resumed at 3:45 p.m.)
6	CHAIRMAN MALMUD: It will be necessary at
7	4:00 o'clock for several members of the Committee to
8	leave so that they can get their badges, which have to
9	be done during this hour. So Ashley will give me a
10	tap on the head to remind me when they have to be
11	taken out.
12	(Laughter.)
13	MS. TULL: I thought you liked me.
14	MEMBER GILLEY: Taken out?
15	MEMBER NAG: What do you mean? You take
16	them out like the mafia?
17	CHAIRMAN MALMUD: All right. Let's see.
18	What are we proceeding with? We're back to Dr. Nag's
19	item. Is that correct?
20	MEMBER NAG: Yes.
21	CHAIRMAN MALMUD: And you will recall
22	there were a number of bullet points. The first four
23	are the ones that you wanted us to hopefully agree
24	with and then
25	MEMBER NAG: Yes. If I may?
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CHAIRMAN MALMUD: You are on. Yes.

ahead.

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MEMBER NAG: Okay. I have thought it would be more efficient to make this more into like a line item, make it into part A and part B. So we will work on part A separate from part B.

7 Part A is specific recommendations that 8 are specific for limited brachytherapy. And those are line 9 the ones before the that says permanent 10 implantation should be deleted, treatment sites should 11 be clarified, and then A through B will become superfluous. And that one should be eliminated. 12 And the activities should be replaced by source strength. 13

So my motion is that these are the recommendation of the Subcommittee, and we vote on this. And then I will make a separate recommendation for the next one.

18 MEMBER THOMADSEN: Do we still have the 19 motion, Mr. Lieto's motion, on the floor?

CHAIRMAN MALMUD: We do.

21 MEMBER NAG: If we do, I am modifying it 22 to include this all as one.

MS. TULL: This is Ashley. You voted on it. MEMBER THOMADSEN: It started as an

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245 1 amendment to the --2 CHAIRMAN MALMUD: We voted on it. 3 MEMBER THOMADSEN: Oh, we did vote on it? 4 CHAIRMAN MALMUD: Yes. We passed that 5 one. MEMBER THOMADSEN: Then it was moved 6 7 again. 8 MS. TULL: The vote was 8:3:0. MEMBER THOMADSEN: I mean, we had passed 9 10 it. And then we -- what? 11 MS. TULL: This is Ashley. The vote was 8:3:0, 8 in favor, 3 opposed, no abstentions. 12 CHAIRMAN MALMUD: We finished. 13 14 MS. TULL: But that was just for the pre-implantation, which I believe is the first 15 thought. 16 CHAIRMAN MALMUD: That was for the first 17 bullet point. 18 And then we go to the 19 MEMBER NAG: Yes. second bullet point that clarifies that the treatment 20 site include the volume plus a very low treatment 21 22 margin. 23 CHAIRMAN MALMUD: If that is a motion, 24 will someone second the second bullet point? MEMBER WELSH: I will second it. 25 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

246 CHAIRMAN MALMUD: It has been seconded. 1 2 Any further discussion of the second bullet, just the second bullet? 3 4 MEMBER FISHER: I am sorry, but I think that when we took our first vote, we voted on this set 5 of recommendations, not the first bullet. 6 CHAIRMAN MALMUD: Dr. Nag says that his 7 motion was Mr. Lieto, and it was only the first one. 8 9 MEMBER NAG: Mr. Lieto's motion on the first --10 11 CHAIRMAN MALMUD: Ralph, do you recall? Was it one or all four? What had you proposed 12 originally? 13 14 MEMBER LIETO: Yes. This is Ashley. I think that 15 MS. TULL: there was a second recommendation. 16 MEMBER LIETO: We voted on first one, 17 which was the issue --18 MEMBER NAG: Pre-implantation. 19 MEMBER LIETO: -- which really addressed 20 21 the first bullet up there. The second --22 MEMBER EGGLI: But that wasn't the wording. 23 MEMBER LIETO: Pardon? 24 25 MEMBER EGGLI: That wasn't the wording of **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	your motion, though.
2	MEMBER LIETO: No.
3	CHAIRMAN MALMUD: Well, it looks like
4	today is a day of corrections. So do you wish to
5	correct your motion?
6	MEMBER LIETO: No, but it did the same
7	thing.
8	MEMBER EGGLI: Right. Your motion said
9	something to the effect that up until the time the
10	person leaves the procedure area, the written
11	directive could be modified was the essence of your
12	first motion that passed.
13	MEMBER LIETO: Right, that the medical
14	event is based on the written directive at the time
15	the patient leaves the proposed treatment procedure
16	room or whatever the term is used.
17	MEMBER NAG: I would like to now it
18	means the same thing, alternative
19	MEMBER LIETO: It is verbatim out of the
20	report.
21	MEMBER NAG: Yes. The one that was
22	confirmed said it would be a medical event if the
23	total source strength administered occurred by 20
24	percent or more from the source strength documented in
25	the pre-implantation written directive.
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248 1 Okay. The recommendation was that the 2 administration of byproduct material, all radiation byproduct material 3 from results in total source 4 strength administered deploying by 20 percent or more 5 from the total source strength documented in the directive, that there is delete 6 written 7 "pre-implantation." So basically the same thing is a 8 summarized form of the same. 9 CHAIRMAN MALMUD: Just deleting pre-implantation. 10 11 MEMBER NAG: Right. CHAIRMAN MALMUD: And that is the motion 12 that we had moved on or that you wish us to move on? 13 14 That is the motion? That first one was already 15 MEMBER NAG: So I forgot that it had been moved already. 16 moved. So we have to go on to the next two. 17 CHAIRMAN MALMUD: So the proposer's memory 18 of the first motion was limited to the first bullet 19 20 point. May we move on to the second bullet point? 21 MEMBER THOMADSEN: But I believe that that 22 was the case in retrospect. But then did not Mr. Lieto make a second motion to approve the entire 23 24 report, the recommendations of the entire report? 25 CHAIRMAN MALMUD: That is correct. NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

249 1 MEMBER THOMADSEN: It was seconded. And 2 in the discussion, it was then --CHAIRMAN MALMUD: Interrupted. 3 4 MEMBER THOMADSEN: -- interrupted. 5 CHAIRMAN MALMUD: Right. MEMBER THOMADSEN: And now we are resuming 6 7 So I think we have a motion on the floor. that. The 8 transcriber could --9 CHAIRMAN MALMUD: You are correct. You 10 are correct. 11 MEMBER THOMADSEN: -- possibly correct me on that. 12 CHAIRMAN MALMUD: Dr. Thomadsen is 13 14 correct. The motion is on the floor. Perhaps we should just -- do you want to table it or do you want 15 to move it forward? What would you like? 16 MEMBER NAG: What is the motion? I would 17 like to make clear. 18 CHAIRMAN MALMUD: The motion is to approve 19 20 everything as it stands on that. 21 MEMBER NAG: But the first one has already 22 been approved. 23 MS. TULL: That's okay. 24 CHAIRMAN MALMUD: Yes, we know that. The 25 issue is not the first one any longer. The issue is **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

250 1 what remains on there. You can either table it or you 2 can bring it forward and reject it and then go through 3 each bullet point at a time. Or withdraw it, or you 4 can amend it. 5 Whose motion is it? Ralph, it is your motion. What would you like to do? 6 7 MEMBER LIETO: To approve. My motion was 8 to approve the report. 9 The whole thing? CHAIRMAN MALMUD: 10 MEMBER LIETO: Yes, all the 11 recommendations in the report. 12 CHAIRMAN MALMUD: All right. Any further discussion of that? 13 MEMBER GILLEY: I would like a definition 14 tumor, clinical 15 of gross target volume, what invariable planning margins 16 are as far as the parameters because that will determine whether or not 17 we have a medical event per se. I don't have 18 definitions for those in the regulations. 19 They are not even in the 20 MEMBER NAG: 21 reqs. They are in ICIU-52, I believe. 22 MEMBER THOMADSEN: They updated it to 62. They put some out for the new one, but I'm not sure 23 24 what that --25 MEMBER NAG: In the ICIU regs. Ιt NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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basically says that the gross tumor volume is the volume that contains the tumor. And the minimum target volume is the area of the gross tumor plus the variable margin. That's the margin that contains microscopic tumor. And the planning target volume is the area around that, the area that the radiation oncologists wish to implant. Those are the three volumes.

> MEMBER THOMADSEN: It is in the slide. CHAIRMAN MALMUD: Mr. Lieto?

11 MEMBER LIETO: Hopefully this will help to answer Debbie's question. The regulation addresses 12 And the subcommittee is making a treatment site. 13 14recommendation to clarify that definition so that you can more easily determine medical events. 15 And the treatment site is now being clarified to be named the 16 PTV, the planned tumor volume, which is defined in 17 ICIU. It is an international definition and is 18 19 clearly understood across the radiological, radiation oncology community. 20

## CHAIRMAN MALMUD: Dr. Welsh?

22 MEMBER WELSH: I would like to discuss 23 amending the motion by including the bullet points 24 with the exception of the last one because I think the 25 last one is controversial enough that there could be

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1	enough dissention that the whole package might not
2	pass and could be throwing the baby out with the
3	bathwater by mixing that last item in here.
4	The others are clearly very relevant to
5	prostate brachytherapy and are causing a great deal of
6	consternation to active practitioners.
7	The last issue I think we're going to have
8	a lot different opinions on, but I think the first
9	four items I think we would have a lot of unanimity
10	on. And, therefore, I would propose separating that
11	last one out.
12	CHAIRMAN MALMUD: Dr. Welsh recommends
13	dropping the last bullet point and voting on the
14	bullet points above with the exception of the first
15	one, which has already been approved.
16	VICE CHAIRMAN VETTER: Second.
17	CHAIRMAN MALMUD: It has been seconded by
18	Dr. Vetter. That's an amendment to the motion.
19	MEMBER SULEIMAN: You are saying we are
20	voting on the second, third, and fourth bullet points?
21	CHAIRMAN MALMUD: Second, third, fourth,
22	fifth.
23	MEMBER THOMADSEN: Everything except the
24	last one.
25	CHAIRMAN MALMUD: Two, three, four, five.
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253 1 MEMBER SULEIMAN: And does that mean we 2 are going to discuss the last one separately? MALMUD: not being 3 CHAIRMAN That's discussed in this motion. The last one is not being 4 5 addressed in this motion, only the bullet points up to the last one. 6 MEMBER SULEIMAN: Well, if we are going to 7 8 limit it just to the bullet points up to that and you're not allowing us to decide if we're going to 9 discuss the last one separately --10 11 MEMBER NAG: The last one would be a separate motion. 12 CHAIRMAN MALMUD: Suleiman, I have 13 Dr. 14 never disallowed any discussion. No. What I am that the motion that is on the table 15 saying is addresses the bullet points except for the last one. 16 So let's not discuss the last one until we are done 17 with the motion above. 18 Again, I would like to amend 19 MEMBER NAG: the motion since the first one has already passed and 20 21 \_ \_ 22 MEMBER THOMADSEN: Don't we have a motion? We have an amended motion on the floor right now. 23 24 CHAIRMAN MALMUD: Yes, we do. 25 MEMBER FISHER: You can amend an **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	amendment.
2	CHAIRMAN MALMUD: Sure, you can.
3	MEMBER NAG: I am amending the amendment.
4	MEMBER THOMADSEN: He is not amending the
5	amendment.
6	MEMBER NAG: Yes.
7	MEMBER THOMADSEN: It is a new amendment.
8	MEMBER GILLEY: A new amendment? Until we
9	vote on this amendment.
10	VICE CHAIRMAN VETTER: The amendment is
11	the last item.
12	MEMBER NAG: Right. And I am last. I am
13	eliminating the first and the last. The first has
14	already passed.
15	VICE CHAIRMAN VETTER: Don't worry about
16	it. You succeeded.
17	CHAIRMAN MALMUD: We now understand what's
18	on the table is bullets 2, 3, 4, and 5.
19	MEMBER THOMADSEN: We haven't voted on
20	that amendment yet, have we?
21	CHAIRMAN MALMUD: No. That's the
22	amendment. It would be just those four. So all in
23	favor of this amendment, please raise your hand.
24	Eight.
25	All opposed?
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255 1 Two opposed. It's -- oh, three. Where is 2 the third? I'm sorry. Okay. 3 MEMBER NAG: Now I would like to make a new motion for the --4 5 MEMBER SULEIMAN: Whoa. We haven't finished this one. We just voted on whether we --6 MEMBER NAG: Yes. 7 8 CHAIRMAN MALMUD: Do you wish to amend 9 your new --10 MEMBER NAG: The new motion is now we go to the last bullet point and --11 MEMBER THOMADSEN: No, no. We have a 12 motion on the floor. 13 14 MEMBER NAG: No. The motion has already 15 been voted. Everyone is going by 16 CHAIRMAN MALMUD: parliamentary rules now. So we have another amendment 17 on the floor. And that is to vote on items 2, 3, 4, 18 and 5. Am I correct? 19 VICE CHAIRMAN VETTER: That is the motion. 20 21 That is the new motion. 22 CHAIRMAN MALMUD: That is the new motion. Dr. Vetter says it is so. So it must be so. So it's 23 24 2, 3, 4, and 5, not 1. It has already been approved, 25 not the last one. It is not on the table. So is that **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

256 1 correct? And it has been moved and seconded. Any further discussion? 2 3 (No response.) CHAIRMAN MALMUD: All in favor 4 of 5 approving items 2, 3, 4, and 5? Nine. 6 All opposed? 7 Nine to two. Okay. Now we'll move 8 Two. 9 So we now have approved 1, bullet 1, bullet 2, on. bullet 3, bullet 4, bullet 5. 10 11 Does anyone wish to tackle the last bullet that you wished to be deferred? Dr. --12 MEMBER NAG: I will make a separate motion 13 14for that. CHAIRMAN MALMUD: Okay. Make a separate 15 emotion. 16 MEMBER NAG: My motion now is that 17 administration without working with written directive 18 should be cited as regulation violations and are not 19 20 medical events per se. CHAIRMAN MALMUD: Is there a second to 21 that motion? 22 23 MEMBER NAG: That was your motion. 24 MEMBER LIETO: That is not exactly what --25 CHAIRMAN MALMUD: No second to the motion. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	I beg your motion?
2	MEMBER WELSH: Second.
3	CHAIRMAN MALMUD: Dr. Welsh seconds the
4	motion. Is there any further discussion of the
5	motion, which has been moved and seconded?
6	MEMBER NAG: I would like Ralph to clarify
7	why that is not what is in the report.
8	MEMBER LIETO: Thank you. The
9	administration without written directive is a
10	violation of regulations already. I mean, it's not
11	that we're adding or changing anything.
12	What the body of the report reflects is a
13	discussion to support the fact that they should not be
14	classified as medical events. And this is part of the
15	proposed rules that the subcommittee was asked to
16	address. It's not something new that was brought up.
17	It's an addition into the definition of
18	the rules that are under the title of permanent
19	brachytherapy. They encompass all written directives,
20	not just permanent brachytherapy. It also includes
21	temporary brachytherapy as well as radiopharmaceutical
22	as well as the part 1000 therapies.
23	So I felt that, for the reasons that are
24	described in the report, that making a violation of
25	the regulations a medical event when there was not
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to me, I guess I am also looking for the support as to why not having a written directive needs to be a medical event. Okay?

4 I'm not saying that it's not a violation 5 that needs to be handled as a violation, but just like any other type of medical event that you find that you 6 7 self-identify, this would be handled in the same way 8 that you handle any type of self-identified regulation under the licensee's auspices. And that's where I 9 think it should stay. I don't think it needs to be in 10 11 the medical event reporting.

Contrary to what was said earlier, that 12 the reason for this is so that medical events are not 13 14 necessarily things that indicate harm to the patient, 15 that's true. But these go into the reporting mechanisms for the medical events, which means 16 it automatically within 24 hours goes into the public 17 18 venue.

19 It's handled just the same way as a 20 reactor event would be in terms of notification to the 21 general public. And I don't think that they warrant 22 that type of reporting.

23 CHAIRMAN MALMUD: Thank you for clarifying 24 that.

MEMBER NAG: How would you make a motion

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1	of that, that we should issue an LIS? Can you state
2	how we can make it into a motion?
3	MEMBER LIETO: Just as it states here,
4	that that part should be stricken from the proposed
5	rule.
6	MEMBER NAG: That the LIS be issued
7	emphasizing that administration we thought required
8	written directive of violation of regulation and are
9	not medical events per se, but you must access to
10	identify any deviation from the requirements? That's
11	what mine says.
12	CHAIRMAN MALMUD: May I make a suggestion
13	to you? What would you think of the wording that
14	says, "Administrations without prior written
15	directives are to be cited as regulation violations,"
16	period?
17	MEMBER LIETO: Well, written directives
18	are required prior to the administration.
19	CHAIRMAN MALMUD: Ah, but we heard about
20	written directives that are changed afterwards.
21	MEMBER LIETO: I mean, that's in the
22	regulation right now if I'm not mistaken that a
23	written directive is required to be signed and dated
24	prior to administration. I mean, that's the way the
25	current rule states. I am not recommending changing
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that.

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CHAIRMAN MALMUD: I didn't recommend a change either. I just recommended that it be reiterated.

Dr. Suleiman?

MEMBER SULEIMAN: If they don't have a 6 7 written directive, it's a serious violation, correct? 8 Without a written directive, how would you know whether you had a medical event because you wouldn't 9 10 whether you have exceeded the area or know the 11 quantity or whatever. And it's double jeopardy to 12 get hit on the lack of written directive both violation and then get hit with a medical event when 13 14 it's an administratively defined medical event.

So I think that is consistent. In other words, the lack of a written directive basically just qualifies them from a medical event, but it is a heavier penalty. I mean, it is a heavier --

MR. LEWIS: Right. While I agree with what Mr. Lieto said, I think you have to take this slide into context with what it's together with, which is your Committee comments on the proposed rule, not the current rule.

MEMBER SULEIMAN: Correct.

MR. LEWIS: Among your comments is a

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261 1 change in when a written directive occurs, whether 2 it's before or after the actual procedure. I think that to properly give context to the last bullet, you 3 4 have to consider that fact that it's not always ahead 5 of time the way that you proposed that we changed the proposed rule. 6 7 It's not always a pre-procedural written 8 It can be a post-procedural written directive. 9 directive, as we talked about this morning. 10 MEMBER LIETO: Does that make а 11 difference? MEMBER SULEIMAN: Wait. Ι 12 want clarification. You can modify it, but you had to have 13 14 something on the table in the first place. I mean, you are going in with a target dose. And you then 15 modify. And then you make the corrections. 16 But going without any written directive, 17 how do you know if you are on target or not at all? 18 So I think without a written directive to me means no 19 written directive. 20 21 CHAIRMAN MALMUD: Please, Dr. Welsh? 22 MEMBER WELSH: So this morning we discussed issues relevant to this particular topic 23 24 One of the issues we discussed was how do you solve 25 the dilemma of real-time interoperative planning, **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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where the plan is generated in the operating room and then the written directive is put together after the fact?

4 Dr. Zelac put together a suggestion that at the time the plan is finished, that is when an oral 5 written directive might be generated. I kind of like 6 7 that idea because then you do have something that you template, a quide that serves 8 use as а as your pre-procedural written directive and you could still 9 have an adjustment afterwards based on what happens to 10 11 volume change, size changes in the procedure.

MEMBER SULEIMAN: I would argue that the fact that you are even initiating the software program to start calculating to me is sort of an implicit. I mean, it hasn't been finalized but tells me that there is some planning and thinking going into this process.

So I would argue that that doesn't mean it doesn't have a -- it may not have a written, in-writing directive, but I think the initiation of the software to do the treatment planning, do the dosimetry --

22 MEMBER WELSH: In that case, there can 23 never be an administration without a written directive 24 by your definition.

MEMBER SULEIMAN: No because you have

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1	said: I want the opportunity to make changes. So you
2	have now committed to having a final directive based
3	on what happened during the procedure.
4	So you cover yourself. You allow yourself
5	that flexibility that when you're finished, you need
6	to document what happened. And then that
7	MEMBER LIETO: I would agree. I mean, the
8	regulations, the current regulations, in force say you
9	have to have a written directive prior to the
10	administration.
11	What determines the medical event is that
12	written directive that is made before the patient is
13	released. After you have done your changes in your
14	real time and whatever, the medical event is based on
15	the written directive changes before the patient is
16	released.
17	CHAIRMAN MALMUD: I don't think you want
18	that because if you had a sound medical reason for
19	changing the written directive, then you would have a
20	medical event, even though you had a sound reason for
21	it? No. You don't want that.
22	MEMBER LIETO: Why would you have a
23	medical
24	CHAIRMAN MALMUD: Because you changed your
25	written directive.
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264 MEMBER LIETO: But you did that before the 1 2 patient was released from your control. During the course of the treatment, you make these --3 4 CHAIRMAN MALMUD: You modify it. 5 MEMBER LIETO: -- changes and modify it based on whatever. That then becomes your basis for 6 7 the medical event determination. All right. 8 CHAIRMAN MALMUD: Now I 9 understand. 10 Dr. Howe? 11 DR. HOWE: This is Dr. Howe. The issue 12 wasn't that hadn't modified you your written directive, and the issue wasn't that you didn't have a 13 14complete written directive. The issue was you didn't have a written directive at all. 15 receives 16 А person а treatment that requires a written directive and there is no written 17 And it happens rarely, but we have had 18 directive. patients that have gotten therapeutic procedures in 19 which there was no written directive at all. And we 20 21 wanted those to be reported to the NRC. And the 22 important concept here is reporting. 23 CHAIRMAN MALMUD: Reported as what, as violations or as medical events? 24 25 DR. HOWE: No. As a medical event. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

265 1 CHAIRMAN MALMUD: Oh, okay. 2 DR. HOWE: Because you don't have to report violations, but you do have to report medical 3 4 events. 5 CHAIRMAN MALMUD: Mr. Lieto? MEMBER LIETO: And I address that in this 6 7 report. Let's say you have two scenarios, I mean, 8 there are two scenarios. You have a patient. You do not have a written directive, verbal or written. 9 It's the patient you intended to give the therapy to. 10 11 And you give the patient what you intended 12 to, but there is no written directive. Okay? There are no health and safety issues in terms of harm to 13 14 the patient in that scenario. That patient hasn't been harmed. Okay. You didn't document what you 15 intended to do. I mean, you did what you intended to 16 do. You just didn't document it. 17 My second scenario is the patient, 18 no written directive or verbal given of what you intended 19 You say you are intended to give a I-123 20 to do. 21 diagnostic administration and, instead of 200 mics, 22 you give 200 millicuries of I-131. Okay? You obviously have exceeded by ten percent and exceeded 23 all the dose criteria for a medical event. And that 24 25 has to be reported. **NEAL R. GROSS** 

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1	CHAIRMAN MALMUD: Okay. May I ask you a
2	question? Why would anyone give a therapeutic dose
3	without a written directive? What would the
4	circumstances be that would excuse the absence of a
5	written directive?
6	MEMBER LIETO: I'm not making any excuses
7	for it. I'm just saying
8	CHAIRMAN MALMUD: I understand that. That
9	is the first part of my question.
10	MEMBER SULEIMAN: I can see that.
11	CHAIRMAN MALMUD: You can see that. Dr.
12	Suleiman from the FDA?
13	MEMBER SULEIMAN: I would say these are
14	approved for humanitarian use. The patient is not
15	going to live very long. And so you have "Why bother?
16	I'll give this person what I gave the last person"
17	and sort of
18	CHAIRMAN MALMUD: Well, you still have a
19	written directive. You write out a prescription for
20	what you are going to do.
21	MEMBER SULEIMAN: Well, maybe they felt so
22	casual about the thing they forget to write the
23	written directive. You asked me to come up with a
24	scenario. That's all I did.
25	CHAIRMAN MALMUD: No one on this Committee
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will vote for that.

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Dr. Welsh?

MEMBER WELSH: I can't give you an example, but Dr. Howe says it has happened. So maybe we should ask under what circumstances this has happened.

7 DR. HOWE: It happened with intervascular 8 brachytherapy, in which there were patients coming in 9 and the authorized user reviewed cases for -- there 10 were like four potential people. They reviewed the 11 cases for three, never reviewed the case for the 12 fourth one.

The first person didn't show up. They gave the intervascular brachytherapy to the remaining three. It was never a written directive for the fourth person. There was never an evaluation for the fourth person. And they received the intervascular brachytherapy.

19 CHAIRMAN MALMUD: We would all agree, 20 having heard this story, that we would object to it. 21 There is no one here who would approve of that I don't 22 think.

23 So, therefore, once again I ask the 24 question, under what circumstances? I mean, after 25 all, this is not emergency room medicine, where quick

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1	decisions have to be made, even then thoughtfully.
2	What would be the reason for giving a
З	patient a therapeutic dose of radioactive material
4	without a written directive?
5	MEMBER NAG: Even in the emergency is
6	obvious because I forget under what part that it is
7	because of the emergency nature of the procedure, you
8	can have a verbal written directive that you can sign
9	within 48 hours or 34 hours. So even that is that. I
10	have used that provision. So I know that.
11	CHAIRMAN MALMUD: This is for radiation
12	therapy?
13	MEMBER NAG: For radiation therapy for
14	brachy dose.
15	CHAIRMAN MALMUD: So you are saying there
16	are valid reasons not to have a written directive?
17	MEMBER NAG: No. But, I mean, the
18	provision is already there for emergency, under
19	emergency conditions,
20	CHAIRMAN MALMUD: For emergency.
21	MEMBER NAG: you have to do that.
22	CHAIRMAN MALMUD: Why would someone be
23	scheduled for again I would ask the same question.
24	Can you give me an example?
25	MEMBER THOMADSEN: I am just curious. Why
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1	are you looking for justified examples? I don't think
2	anybody is saying that it is ever justifiable.
3	CHAIRMAN MALMUD: Then we should reaffirm
4	that it's not justifiable. I am puzzled by
5	MEMBER THOMADSEN: That's fine, too. I
6	mean, it says it's a violation. Nobody is arguing
7	that it is not a violation. It's Hynia's the people
8	are wicked and evil, but it's probably not a medical
9	event. That's the only thing that this is saying.
10	If you wanted to take on an appendix that
11	says, "And we heartily"
12	CHAIRMAN MALMUD: I said that was the
13	first part of my question. Okay. So now it's okay
14	not to have a written directive. So now I will play
15	the role of the sloppy practitioner. I didn't have a
16	written directive for the last three. I don't need
17	one for this one.
18	Give him 100 millicuries. He only needed
19	ten. Where is the evidence that he only needed ten?
20	Where is the evidence that I gave the wrong dose? It
21	isn't there because there was no written directive.
22	Why wasn't there a written directive? Because I
23	didn't need it the last three times. It doesn't get
24	reported to the NRC. Don't worry about it.
25	Once we go down a slippery slope of not
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having written directives, I think we enter a world which none of us lives in but which exists. And that is the world of sloppy medicine.

And that's what concerns me. That's why I asked my question in two phases. Once we open the door, who knows what will happen? It's like, you know, look how many prescription errors there are in the United States according to the Institute of Medicine. Why wouldn't the same errors be made with radioactive material?

That's what my concern is. My concern is for the patient who will suffer as a result of laxity in requiring us to write a written directive.

I don't live in the world of emergency medicine. So, therefore, it's easy for me to write a written directive. And I never have not written one.

Dr. Welsh?

MEMBER WELSH: I think that we would all agree that there are no circumstances in which you shouldn't have a written directive. Even if it's an emergency and you have to put it together the day after, you should always have a written directive. And I think everyone would agree with that.

The question at hand is, if a written directive, for whatever heinous reason, was not put

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1	there, what do you call that? Is it a medical event
2	or is there another category which would be more
3	appropriate? And is there such thing as a reportable
4	regulation violation?
5	CHAIRMAN MALMUD: Is there such a thing as
6	a reportable regulation violation?
7	DR. HOWE: No, there is not. The only
8	thing we have reportable in part 35 is if you have a
9	leak test that exceeds a certain level, if you have a
10	medical event, if you have embryo fetus that receives
11	a dose over a certain level.
12	So there are very few reportable things in
13	part 35.
14	CHAIRMAN MALMUD: Dr. Suleiman?
15	MEMBER SULEIMAN: Yes, a quick question.
16	You are talking about amending the regulations. This
17	is rulemaking. Why can't you have a reportable
18	violation? I mean, I think the resistance against
19	making this a medical event is to make it a medical
20	event so it's reportable.
21	Well, this is where you take the wrong
22	reason, the wrong reg to get a right solution and
23	downstream this is going to cause other complications.
24	Why call it a medical event when, in fact, it is a
25	failure to write the written directive, you know? And
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272 1 why not make it reportable under the proposed 2 rulemaking? I would agree to that that --3 MEMBER NAG: 4 MEMBER SULEIMAN: Let's call a spade a 5 space. MEMBER NAG: I mean, having a procedure 6 7 where a written directive is required, a legal written 8 directive, is a reportable violation. I have no 9 problem with that. 10 MR. LEWIS: Just for the record, we do 11 have other parts that apply to medical licensees. And have reportable violations of exposures 12 those of personnel, releases to environment, failure of --13 14 MEMBER SULEIMAN: I mean, this is serious. This is a therapy. And they haven't done a written 15 Yes. As soon as they find out, they 16 directive. should have to report it. 17 CHAIRMAN MALMUD: So there are interim 18 levels between --19 Well, 20 MR. LEWIS: there are other 21 regulations that have reporting requirements. 22 CHAIRMAN MALMUD: Good. Can you give us one that we could all agree upon that's not as severe 23 as a medical event? 24 25 MR. LEWIS: Because our system for NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

reporting for the conditions in part 35, patient dose was off by 20 percent or wasn't what was prescribed, those are defined as medical events. And that is our system for reporting.

5 So, again, I guess one way to look at this 6 is if NRC wants to hear about it, it should be 7 reported as a medical event. Help me out, Donna-Beth, 8 if I am off base, but we don't need another regulatory 9 system of different types of things to report. Let's 10 just have one thing.

11 CHAIRMAN MALMUD: You see, that's where we 12 have a problem. We recognize as physicians that there may be a variation of more than 20 percent in a dose 13 14 received by the patient, which is not really a medical It can occur in the hands of the best 15 event. That physician and that institution should 16 physician. not be subjected to what you go through when you have 17 a "medical event." 18

We are looking for something in which you will be informed but does not have the course of action following it which actually discourages reporting events.

We would like you to know about these 23 24 events. We would like you to know how manv 25 administrations given without we are а written

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directive so that you could send somebody in there and say, "Hey, what is going on around this place?" and begin haunting them the way a regulatory agent should haunt a provider that is not adhering to the rules. We are in the spirit of Halloween you raised it. You raised heinous issues before.

7 So the point is we are looking for 8 something. We are not trying to escape it. On the other hand, the punishment does not fit the crime. 9 10 The punishment is too severe for а legitimate 11 practitioner whose therapy dose is outside the 12 guidelines for a reason which may be very explainable without it being plastered on the internet and causing 13 14 embarrassment.

15 Is there something between a regulatory 16 violation and a medical event that could be reported 17 to the NRC without the sequelae of a medical event? 18 MR. LEWIS: Not in part 35.

19 CHAIRMAN MALMUD: Then that is something 20 that we would probably want all to work with you to 21 try to develop over the long haul because I think that 22 would improve the safety of patients by making the 23 incidents not so severe that some parties might decide 24 to try and hide them, rather than report them.

MR. LEWIS: NRC only wants to hear about

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1	things we need to hear.
2	CHAIRMAN MALMUD: Of course.
3	MR. LEWIS: We are not trying to create
4	something we need to hear about. In the past, we drew
5	the line of things we want to hear about versus things
6	we don't need to hear about at medical event.
7	CHAIRMAN MALMUD: But you realize traffic
8	has three colored lights:
9	MR. LEWIS: Yes.
10	CHAIRMAN MALMUD: a green, an orange,
11	and a red.
12	MR. LEWIS: I appreciate what you said.
13	CHAIRMAN MALMUD: I am trying to get the
14	orange in there.
15	Dr. Zelac?
16	DR. ZELAC: Actually, in thinking about
17	this, we really have kind of a fundamental problem
18	here, which has already been alluded to. The whole
19	concept of medical events was to bring out for
20	consideration facilities where there were lapses in
21	procedures so that there could be attention paid to
22	those lapses.
23	And we have made the point repeatedly that
24	medical events were not violations. Well, here you
25	have got a case where there is something that is being
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1	classified a medical event which, in fact, is a
2	violation. So it doesn't really belong in that
3	category.
4	CHAIRMAN MALMUD: What happens when a
5	medical event is reported to, let's say, district one?
6	What happens?
7	DR. HOWE: For region one?
8	CHAIRMAN MALMUD: Region 1.
9	DR. HOWE: A potential medical event may
10	come into region 1. Region 1 will tell the licensee
11	to report it to the WHO. It becomes an event
12	notification. It can be called a potential medical
13	event if there is still a question or it can become a
14	full medical event.
15	And then region 1 will either evaluate
16	what it was and decide it is really important for us
17	to go out and schedule a reactive inspection or region
18	1 may decide that yes, it was a medical event, but it
19	doesn't appear to be a serious problem with your
20	program. We have an inspection coming up at a certain
21	time. We will go on a routine inspection. This is
22	one of the things that we'll ask about.
23	And so depending on what it is coming in,
24	there will be a value judgment made as to how NRC will
25	react on it.
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277 1 CHAIRMAN MALMUD: It is not made public, 2 then. The event notification is made 3 DR. HOWE: 4 public. If we think it is a potential medical event, we're not sure, we'll hold it for about five days. 5 And then it becomes public. If we know it is a 6 7 medical event, we'll make it public. 8 CHAIRMAN MALMUD: Dr. Eqgli? 9 MEMBER EGGLI: Well, that's not all. There are other notification requirements, including 10 11 the patient and referring physician. But the medical 12 event is based on a variance from a planned therapy, implies it's a variance from the written which 13 14 directive. You're redefining now medical event to include the absence of a written directive. 15 So you are fundamentally changing the 16 definition of the medical event, which is the flip 17 side of what Dr. Zelac just pointed out, which is that 18 medical events are not considered violations, where in 19 this case we have a violation. 20 21 You are changing the definition of a 22 medical event because you now no longer have anything to benchmark against whether or not this really is a 23 24 medical event without changing the definition to 25 include absence of a written directive. So you are **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

now fundamentally changing the definition of medical event across the board.

It is sneaking in in a subsection of brachytherapy, but it will apply broadly because it doesn't say brachytherapy administrations without written directive. It says administrations without written directive. So you are fundamentally changing the definition in a place where it probably ought not to be fundamentally changed.

MEMBER NAG: And this was another reason why I wanted to separate a discussion of permanent brachytherapy with this because this applies not only to permanent brachytherapy but for other sources, too. I wanted this to be a separate discussion because it implies that there were broad implications.

It doesn't really deal with the 16 DR. HOWE: 17 unsealed sources because the way the rules are written, we are able to capture those events in which 18 19 unsealed therapy is given but there wasn't an a 20 written directive because we can go back to the second 21 part of prescribed dosage and we can see that that 22 prescribed dosage is also based on your procedures.

And if your procedure manual includes one of the diagnostic things and you gave a therapeutic, then we say, "This is your diagnostic procedure. You

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1	intended to give whatever this was. You gave this
2	that differs from the dose you would have given in the
3	diagnostic by" such and such.
4	So we have a regulatory basis to get into
5	the unsealed. It's the sealed sources where the dose
6	is dependent on what is in the written directive
7	because no written directive, there's no dose for it
8	to be different from and you weren't supposed to get a
9	dose, but OGC has determined that is not a medical
10	event and it's not reportable.
11	And so someone gets a therapeutic dose
12	without a written directive. It's not reportable to
13	the NRC.
14	MEMBER NAG: Right.
15	DR. HOWE: That's the thing we want to
16	fix.
17	MEMBER NAG: It's more than permanent
18	brachytherapy. It includes removable brachytherapy,
19	HDR, and gamma knife but does not include the unsealed
20	source. Let me correct myself.
21	CHAIRMAN MALMUD: Okay. So where do we
22	stand at the moment?
23	MEMBER SULEIMAN: I would like to amend if
24	there is a motion on the floor. I don't know if there
25	is a motion on the floor.
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1	MEMBER NAG: I have withdrawn it.
2	MEMBER SULEIMAN: I was going to say
3	change the wording on that last thing to say
4	"Administrations without a written directive should be
5	cited as a reportable regulatory violation and are
6	not"
7	MEMBER NAG: I was going to say the same
8	thing.
9	MEMBER SULEIMAN: And how the NRC does
10	that is up to I mean, you have got other
11	reportable.
12	CHAIRMAN MALMUD: Was that a motion you
13	just made?
14	MEMBER SULEIMAN: It was an amendment to a
15	motion I thought was on the floor. Otherwise I will
16	make it a motion.
17	MS. TULL: There is a motion on the floor,
18	yes.
19	CHAIRMAN MALMUD: What is the motion on
20	the floor?
21	MS. TULL: I had NRC staff should accept
22	the sixth recommendation of the Permanent Implant
23	Brachytherapy Subcommittee report, which would just be
24	the last bullet listed on that slide.
25	MEMBER NAG: Yes. I would amend that and
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1	say administration without a written directive should
2	be classified as a reportable regulatory violation.
3	CHAIRMAN MALMUD: That is a motion. Is
4	there a second to that motion?
5	VICE CHAIRMAN VETTER: Second.
6	CHAIRMAN MALMUD: Dr. Vetter seconds it.
7	Is there any further discussion of that motion?
8	MEMBER LIETO: As I understand, there is
9	not any mechanism.
10	VICE CHAIRMAN VETTER: They are writing
11	the rules right now.
12	MEMBER LIETO: Right, but that
13	VICE CHAIRMAN VETTER: That is
14	nonnegotiable.
15	MEMBER LIETO: That does not get to the
16	gist of the issue in terms of what is being proposed
17	in the current rules. The proposed rule states that
18	any administration without a written directive. And
19	that is what the subcommittee report asks to be
20	withdrawn.
21	CHAIRMAN MALMUD: That can be dealt with
22	as a separate motion. First we move on this motion.
23	MEMBER WELSH: May I ask
24	MEMBER NAG: I am confused.
25	MEMBER WELSH: Before I make
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1	CHAIRMAN MALMUD: Dr. Welsh?
2	MEMBER WELSH: I would like to have some
3	clarification from Ralph about that point. I think
4	that the motion is that administrations without
5	written directive should be cited as reportable
6	regulation violations, period.
7	How about if we said "and are not medical
8	events"? Would that satisfy what you just brought up?
9	MR. LEWIS: Or may or may not be medical
10	events because
11	MEMBER NAG: That is why the "per se" is
12	there.
13	MEMBER WELSH: Yes, per se. Would that
14	satisfy what your thought was?
15	CHAIRMAN MALMUD: You are asking a
16	question of whom, Dr
17	MEMBER LIETO: I believe it would, yes.
18	MEMBER WELSH: So, therefore, there is an
19	amendment to the motion.
20	CHAIRMAN MALMUD: The amendment to the
21	motion reads, "Administrations without written
22	directives should be cited as reportable regulation
23	violations and may or may not constitute MEs," period.
24	Is that what you're saying?
25	VICE CHAIRMAN VETTER: Yes.
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1	CHAIRMAN MALMUD: And that has been
2	seconded. And Dr. Zelac has a comment.
3	DR. ZELAC: My suggestion would be to add
4	the words "when a written directive is required"
5	because there are many administrations for which a
6	written directive is not required.
7	CHAIRMAN MALMUD: Thank you.
8	Dr. Zelac makes that suggestion to your
9	motion. Is that acceptable?
10	MEMBER NAG: Yes.
11	CHAIRMAN MALMUD: So that it will read,
12	"When a written directive is required, administrations
13	without written directives should be cited as
14	reportable regulation violations."
15	DR. HOWE: I don't think you want to say
16	"cited." I think you want to say "reported."
17	CHAIRMAN MALMUD: It should be reportable?
18	DR. HOWE: Classified as.
19	CHAIRMAN MALMUD: "Should be reported as
20	regulation violations" you can polish up the words
21	"and are not necessarily MEs" or "may or may not be
22	MEs." Is that right, ""may or may not be MEs"? Is
23	that acceptable?
24	MEMBER SULEIMAN: I just have a question
25	about the last clause, "may or may not." Why not just
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1	not say anything?
2	CHAIRMAN MALMUD: Well, because a patient
3	can come into the hospital for a bone scan and,
4	instead of getting 20 millicuries of technetium on
5	IMDP, they get 20 millicuries of I-131.
6	MEMBER SULEIMAN: By definition, that
7	would be a medical event you are reporting. Why do
8	you have to have
9	CHAIRMAN MALMUD: That will be reported.
10	VICE CHAIRMAN VETTER: Because there was
11	no written directive.
12	CHAIRMAN MALMUD: There was no written
13	directive. The patient didn't have a written
14	directive, came in with a referral for a bone scan.
15	DR. HOWE: In that case you would use the
16	procedures for the diagnostic procedures. And there
17	would be something in writing. It wouldn't be a
18	written directive. That's your second alternative.
19	MEMBER SULEIMAN: Standing order dosage
20	activity that they exceeded by
21	CHAIRMAN MALMUD: How about Mrs. Smith
22	brings her daughter in for I-131 and the daughter sits
23	there and someone says, "Are you Ms. Smith?" and the
24	mother says, "Yes"? They come in. They give the
25	mother the dose. There was no written directive.
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285 1 I'm trying to bring up absurd situations 2 in which you may want --DR. HOWE: 3 It is more or less someone 4 comes in and gets a therapy dose. And they weren't 5 intending to get anything, and they got it. CHAIRMAN MALMUD: Yes. 6 7 DR. HOWE: In some cases like the Smiths, 8 you might consider that wrong patient, wrong person. But it's the sealed source one. 9 There wasn't really any written directive there to give anything, but 10 11 somebody had extra material and they just gave it to 12 you. CHAIRMAN MALMUD: Okay. Dr. Zelac? 13 14 DR. ZELAC: Ι think the determination should really be made on the basis of what was 15 delivered. If it was a dose delivered that required a 16 written directive and there wasn't one, that's an 17 issue. 18 19 CHAIRMAN MALMUD: Yes, I agree. 20 MEMBER SULEIMAN: And the second part of that would be if a dose were given and there wasn't a 21 22 written directive but it was a dose that was clearly wrong, you know, you were giving them much more than 23 24 they would have received if you had bothered to write 25 the --**NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	CHAIRMAN MALMUD: Do you want to leave off
2	the last part of that statement, just say that it's
3	gone off the board now. We will get it back.
4	MS. TULL: What I am giving you is your
5	actual recommendation.
6	CHAIRMAN MALMUD: Oh, wonderful. Thank
7	you. I hope you have improved it.
8	MS. TULL: So it is this one right here.
9	CHAIRMAN MALMUD: NRC staff should accept
10	the sixth recommendation. NRC staff should accept the
11	sixth recommendation of the Permanent Implant
12	Brachytherapy Subcommittee report, later amended to
13	read "When a WD is required, administrations without a
14	prior WD are to be reported as regulatory violations
15	that may or may not constitute a medical event."
16	Is there agreement on that? Debbie, do
17	you agree?
18	MEMBER GILLEY: I just wanted to know the
19	status of this being a recommendation and the impact
20	on agreement states. Maybe NRC can provide
21	clarification since it is not in regulations and it is
22	not a compatibility issue at this time as a
23	recommendation from ACMUI.
24	MR. LEWIS: This would be a comment on the
25	proposed rule, which we would refer to the working
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1	group. And if the working group for the rulemaking,
2	which would include agreement state people, adopt the
3	final rule, it would be about a year's time. And then
4	the states would have the normal times after that to
5	become compatible.
6	MEMBER GILLEY: So it would be
7	compatibility B.
8	MR. LEWIS: Well, I don't want to say
9	that, but
10	MEMBER GILLEY: Thank you.
11	CHAIRMAN MALMUD: All in favor of the
12	motion? Do you want to call the motion? All in
13	favor?
14	Any opposed?
15	Two opposed. Any abstentions?
16	(No response.)
17	CHAIRMAN MALMUD: May I see the count
18	again for the number?
19	Ten in favor, two opposed.
20	MEMBER GILLEY: I would like to make a
21	comment. I think this is an implementation issue for
22	agreement states. And that's where I come from voting
23	opposing it. It leaves a lot questionable. And I'm
24	not familiar with what goes on in all the agreement
25	states. So that's why I chose to vote against it.
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1	CHAIRMAN MALMUD: Thank you.
2	Ralph?
3	MEMBER LIETO: So what happens to the
4	subcommittee report? You basically sort of chopped it
5	up into pieces, but the report in its entirety has
6	never been acted on. Will this go to the working
7	group if there is no formal recommendation for that or
8	is it up to the individual members to take this and
9	send it in as individual comments because, as I am
10	viewing right now, this doesn't leave our packets and
11	it doesn't go to to the working group on the proposed
12	rule?
13	Any individual can comment on any proposed
14	rule. So if you feel a certain way as an individual
15	about any rule, I would encourage you to comment.
16	That's what we do that process for.
17	But in terms of this subcommittee report,
18	it is my understanding that the full Committee was
19	going to consider it and submit it as a comment of the
20	Committee to the rulemaking working group.
21	CHAIRMAN MALMUD: That's correct.
22	MEMBER NAG: And based on what I have
23	heard, the way I was planning to modify is to add the
24	way this wording is, that sixth bullet. The way you
25	have that written, that is the way it was supposed to
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1	be on that. That last item I had would be like this
2	wording.
3	CHAIRMAN MALMUD: Yes. That was the sixth
4	bullet. So we passed the first bullet. Then we
5	passed the middle four. Then we passed the sixth. Is
6	that a summary, Dr. Thomadsen?
7	MEMBER THOMADSEN: I think that is a fair
8	summary. And maybe for Mr. Lewis' peace of mind in
9	passing this along, we could just endorse the entire
10	report to be passed on to the group.
11	CHAIRMAN MALMUD: Is that a motion?
12	MEMBER THOMADSEN: That is a motion.
13	CHAIRMAN MALMUD: Would someone care to
14	second Dr. Thomadsen's recommendation? Thank you, Dr.
15	Nag. And any comments?
16	(No response.)
17	CHAIRMAN MALMUD: If not, may I see a show
18	of hands for moving the report forward? All in favor?
19	Let's see. We have ten. And how many
20	abstentions?
21	(Laughter.)
22	CHAIRMAN MALMUD: I fooled you. I asked
23	for abstentions.
24	(No response.)
25	CHAIRMAN MALMUD: How many opposed?
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1	(No response.)
2	CHAIRMAN MALMUD: Two. Okay. Two
3	opposed. All right. Dr. Nag, we thank you for a
4	lively discussion, brief as it was.
5	(Laughter.)
6	MS. TULL: Dr. Malmud, I need to steal the
7	four members to go get badges if you want to take a
8	quick break. And then we'll start right in with the
9	medical isotopes discussion.
10	CHAIRMAN MALMUD: Very good.
11	MEMBER NAG: At 5:00 o'clock or 5:15?
12	MS. TULL: No. Like 4:45-4:50, as soon as
13	we get back.
14	MEMBER NAG: Well, it's 5:00 now.
15	MS. TULL: I'll notify you as soon as we
16	get back.
17	CHAIRMAN MALMUD: And, by the way, we
18	should thank Dr. Zelac for his graciousness in
19	postponing his two presentations until tomorrow.
20	(Laughter.)
21	DR. ZELAC: You are very welcome.
22	(Whereupon, the above-entitled matter went off the
23	record at 4:40 p.m. and resumed at 4:51
24	p.m.)
25	CHAIRMAN MALMUD: I have been asked to
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1	open the topic. The topic is medical isotope
2	shortages, and Chris will do the intro.
3	MR. EINBERG: Very good. Thank you, Dr.
4	Malmud.
5	11. MEDICAL ISOTOPE SHORTAGES
6	MR. EINBERG: Recently there have been
7	some shutdowns and some shortages on medical isotopes.
8	The global production of molybdenum-99 is dependent
9	on a small number of processing facilities and aging
10	reactors around the world.
11	These recent shortages have highlighted
12	this important issue. And we're seeking the ACMUI's
13	input on these shortages, what impact any potential
14	shortages to medical isotopes may have, specifically
15	molybdenum-99.
16	And, as you may know, the Chalk River
17	reactor in Canada is an aging reactor. It's 52 years
18	old. There is a reactor in the Netherlands, the
19	high-flux reactor. That is 47 years old. And
20	recently, as I indicated, these two facilities were
21	shut down at the same time.
22	Combined, these reactors produce 70
23	percent of the world supply for molybdenum-99. And
24	there is an increased attention being paid to the
25	shortages of molybdenum-99 and what the impacts to the
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medical	community	may	be.
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Recently the Chairman of the NRC was at an IAEA meeting approximately two weeks ago. And this was a topic of intense interest at the IAEA meeting. The spring meeting of NEA in Europe will have medical isotopes and the shortages as a key topic on the agenda there.

8 So we have put together a series of 9 questions for the ACMUI to solicit your input on what 10 are the potential impacts to medical shortages of 11 isotopes.

12 Additionally, if there is anything that the ACMUI understands that regulatory relief could be 13 14 provided or sees that there is regulatory relief because of shortages, like 15 needed we would to understand those issues as well. 16

17 Currently two entities in the United States expressed interest in developing 18 have facilities to produce medical isotopes, but in the 19 best case, these two facilities will be at least four 20 21 or five years wait before they were being able to 22 produce any type of medical isotopes.

With that, I turn it over to the Committee to address the questions or if you would like, I could read the questions as --

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293 1 MS. TULL: I'll put the questions on the 2 screen. 3 CHAIRMAN MALMUD: Okay. Dr. Van Decker? 4 MEMBER VAN DECKER: Why don't I open up a 5 piece of this since these jogging questions seem to have the word "cardiac" involved in them quite a bit. 6 7 I'm sure Dr. Eggli, Ms. Gilley, or I will have much more to say as well because obviously, you know, while 8 we have been talking a lot about therapeutics today, 9 the large volume of what goes on in this country is 10 11 really diagnostic and where a technician kind of fits 12 And so these shortages will have volume-wise into. quite a bit of impact fairly quickly. 13 14 You know, we have had two slowdowns one in November and December of last year 15 already: when the Canadian plant had difficulties and was shut 16 down and somehow brought back up relatively quickly. 17 And then we have had another slowdown just a couple of 18 19 months ago when Europe had a problem. I think you well point out that these are 20 21 all aging plants. And the reliability I think in the 22 future, how we look at them, we need to be a little bit concerned about. 23 24 You know, all of the technetium in this 25 country is coming from moly coming in from these **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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294 1 outside countries and are then being made into moly 2 generators by industry here in the U.S. but obviously 3 is getting the raw moly from outside. 4 You know, I don't have the exact numbers to your questions, but I kind of have some sense from 5 some industry surveys and some claims data I have been 6 7 involved in. would probably think 8 Ι that on the diagnostic realm in this country, there are probably 9 10 15 20 million between and diagnostic 11 radiopharmaceutical studies performed in the United 12 You know, I would think that probably right States. now almost 50 percent of them are cardiac or close to 13 14that. And of that, in the marketplace right now 15 -- and these are just gross numbers -- I would think 16 probably about 70 percent of that is being done with 17 tech radiopharmaceuticals. 18 You know, there is a small percentage of 19 still thallium and some opportunities and some that is 20 21 obviously some of the PET tracers. But obviously the 22 ability to get to those in a meaningful financial way and for the volumes that we do this for is a hard 23 24 thing to say. 25 So we're not talking about a small issue **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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as far as the diagnostic stuff, especially in the realm of cardiology. And I'm sure my two colleagues will talk about the non-cardiology applications quite a bit.

You know, in the realm of how soon we need this stuff for diagnostic realm, you know, it is not usually the type of thing that we absolutely need something the next day.

I mean, most of that type of stuff if the 9 symptoms are that bad is probably going to cath labs. 10 11 But, you know, when you are trying to make a relatively straightforward and at least good risk 12 stratification process, I would think that probably 13 14 the majority of these studies get done, a good chunk, within a week and then another big chunk within two 15 weeks and then only some outliers after that. 16

So you're talking about a relatively short period of time where these become germane to a decision-making process on what is going to be done with the patient as far as further workup goes or further meds or further reassurance.

So it's not like we can withstand, you know, several months of slowdowns here and not be in a situations where it will clearly impact care the way patients are used to receiving that care.

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You know, obviously at times we have had some slowdown bits. You know, we have had to try to find other ways to kind of make sure that we are taking care of patients the best as possible. I think the fears in people's minds are that, you know, slowdown availability will either lead to some extra people going towards an invasive root to be sure that there is an answer. There might be some people that go to other roots.

You know, obviously perfusion pad is a root but not easily available to the volumes we need. There are some other modalities that can be tried in all of this, but depending on a patient-to-patient basis in their patient characteristics, you know some may not fit quite as well for diagnostic reliability.

And so you come to a realm where you're 16 am I doing something with say, "Well, 17 trying to slightly less diagnostic possibilities so at least I 18 try to take out the biggest piece of the risk and then 19 retest down the list to look for the intermediate 20 21 level of risk that I really want to get an answer for. 22 So am I now layering tests because of what I've gotten to plus some degree of exposure to of some 23 24 of the population to a more invasive approach?

And I think that all of that, you know,

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hopefully did not go on too much in these two periods of slowdown because they were relatively short, but I can clearly foresee that if this becomes commonplace and unpredictable in how it happens, that certainly we're going to have to re-deal with paradigms of how we deal with all of this.

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You know, thallium kind of filled the role for some of this in the short term in these places, being cyclotron-produced, but thallium can be a piece of the solution here for short terms. But obviously the radiation dosimetry is not the most perfect for a situation that could deal with some of the tech agents.

And I would certainly say that from the world of diagnostic nuclear cardiology anyway, you know, unreliable up and downs when the decision process can have reasonably quick repercussions to it to some degree does create some problems. You know, certainly we would like to see ways that that can kind of be ameliorated.

You know, obviously I don't know what this answer could possibly be other than a newer source in a more reliable place. And, as you just pointed out, that likelihood, even at its best, would probably be a few years away. But I think that is something that

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1	the discussion certainly needs to be dealing with.
2	I have to say, looking old but probably
3	being a little bit younger, I'm not quite sure of the
4	outplay of the marketplace and the prior for
5	production of medical isotopes within the U.S.
6	I hear the words Union Carbide sometimes
7	in these discussions, and I picture that on a sign in
8	north Jersey when I was a young kid. I'm not sure
9	what it did back then either.
10	I am not quite sure why that kind of
11	phased out of this country and became more on other
12	soils, whether it was regulatory environment or
13	whether there were marketplace pressures or what
14	really caused this.
15	I guess some understanding of that as we
16	try to figure out what is the best thing for stability
17	in access to patients in the future here would
18	probably be helpful. And I look forward to my other
19	colleagues' comments on that.
20	So I think I would end my piece of it that
21	way. And I will come back in later. I'm looking to
22	hear some of my other colleagues' comments in all of
23	this. But, you know, I think that obviously the high
24	volume issues that are more diagnostic and have
25	turnover time as a piece of workup certainly get
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significantly affected by this. And it's something we can handle for short periods of time once in a while, but it's not something I think we want to be at risk for for prolonged periods of time in the future if we could avoid it.

## CHAIRMAN MALMUD: Thank you.

Comment, Dr. Welsh?

8 MEMBER WELSH: Jim Welsh here. I would 9 like to just reiterate a lot of things that we heard 10 from Dr. Van Decker. In my review, I agree with that 11 estimate between 15-20 million cases per year with 12 most of them being cardiology. I've heard estimates 13 of up to 60 percent of the consumption going. We have 14 nuclear cardiology.

Also, there are a number of therapeutic 15 of radioisotopes that while, representing a 16 uses minority of the overall uses of byproduct materials, 17 though, nevertheless, quite important, I understand 18 that 80 percent of the world's cobalt-60 comes from 19 20 reactor. And that places an exceptional one 21 vulnerability for those who own and operate gamma knife units. 22

We had a discussion this afternoon about yttrium-90. There is always discussion about I-131. And new radiopharmaceuticals are going to be using

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Older ones, such as bezar, are perhaps going to have more utility in years to come as data is maturing about the efficacy of these treatments. Therefore, therapeutic uses of byproduct material that is coming from across international boundaries is in the limelight.

8 Then there are these issues about domestic 9 production versus international shipment and the 10 controversy about highly enriched uranium, which we 11 talked about cesium earlier today. That's а 12 relatively smaller security concern compared to the real risk of highly enriched uranium winding up in the 13 14wrong hands.

15 And that there's a Schumer we know 16 The Schumer amendment is being ignored. amendment. 17 And there is the Burr amendment that is allowing it. Perhaps by having isotope production in our 18 own country, the Schumer amendment could be abided by. 19 We wouldn't need the Burr amendment, and we would have 20 21 adequate supply.

But, as I said, it's not as simple as just saying, "Yes, let's do it." It's going to take some time. That's my comment.

CHAIRMAN MALMUD: Thank you.

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1	Dr. Suleiman?
2	MEMBER SULEIMAN: FDA has a group that
3	actually addresses drug shortages. And with all the
4	press that these supplies have been receiving the last
5	year, we have been in discussions with the
6	manufacturers.
7	Even though there's a heightened concern
8	and awareness, we continued to be assured by the
9	manufacturers that their supplies are okay.
10	The last round when the Canadian reactor
11	was shut down, it turned out that the shipments to the
12	U.S. were not curtailed. They were curtailed to
13	Canada and other places. That's just what I
14	understand right now.
15	CHAIRMAN MALMUD: Steve Mattmuller?
16	MEMBER MATTMULLER: Steve Mattmuller.
17	Just a quick comment that typically have a Covidien
18	generator. And we had a Lantheus generator for a
19	while. And then we were affected by that shortage.
20	But in the interest of time, I would defer
21	my time to the public comments from the SNM, who I
22	know are waiting for us in the audience.
23	CHAIRMAN MALMUD: Dr. Atcher?
24	DR. ATCHER: Robert Atcher,
25	radiopharmaceutical chemist by training. I am here as
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302 1 the President of the Society of Nuclear Medicine. 2 In response to the four questions that you 3 see, we have responded with answers to all four. In 4 addition, we surveyed our members. So that there is 5 some data -- I don't know if it's in your packages, but there is some data available on the impact. 6 7 We also have reports that the last outage Nordion experienced resulted in people 8 that not 9 getting generators. So there was some impact in the 10 U.S. 11 Within the answers to our surveys, there is a lot of the questions that I think I have heard so 12 far in the discussion answered in terms of alternative 13 14 procedures that might be entertained. We are probably closer to 20 million than 15 15 million in terms of the number of procedures done. 16 We are estimating that 90 percent of those procedures 17 are single photon, as opposed to PET imaging. And of 18 those, about 90 percent of the single photon studies 19 are done with technetium. 20 21 So we are at about 70,000 procedures a day 22 that utilize technetium 99M and, therefore, dependent on the availability of the molybdenum-99. 23 24 After the outage that occurred about a 25 year ago, we put together a task group in the society **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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to look at the issues associated with short-term, mid-term, and long-term potential solutions to the issue because having a domestic source of this isotope has become more and more important.

And since 9/11 with the potential for the borders to close to shipments of radioisotopes, it has become even more critical over and above the issues associated with the outages that have occurred at the Chalk River facility and the fact that the two new reactors that they assured us were going to be able to supply us in the future have now been canceled.

And we still await the ultimate outcome of that since Nordion has now sued AECL. And the result of whatever happens with that particular lawsuit is still up in the air.

The bottom line is that our membership and, therefore, the nuclear medicine practitioners in general are significantly impacted by this. The outage that occurred a year ago resulted in some serious scrambling because we were down with the Nordion facility.

About 70 percent of the molybdenum-99 that is supplied to the U.S. was not available. And so there was an attempt to up the production at the reactor in the Netherlands, but it was not able to

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meet the requirements.

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2 Similarly, in my discussions with Nordion, 3 they try to cover any shortages, although, as we 4 describe what happened a few months ago, the perfect 5 storm of having all the reactors go out at the same time, there was really no option there. 6 So we're 7 looking at in the short term those reactors that are 8 currently producing moly-99 to have material that is qualified for use in the United States and which is 9 mostly an FDA issue. 10

11 In the intermediate term, there is the 12 proposal from the University of Missouri. We recently got one from the reactor at McMaster, which is very 13 14similar in terms of its scope of using an existing reactor but building a processing facility to process 15 Again, that is going to be something 16 the material. that is going to take a few years for them to be able 17 to get the licensing and the facility built. 18

And then in the longer term, probably having a facility that would be constructed to current regulatory standards would probably be the optimal solution.

I just returned from the European Association of Nuclear Medicine, where this problem is much more critical than it is here right now. And

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305 1 they are having the same discussions that we are about 2 the potential for a new facility. 3 There is а facility that is under 4 construction now in France which is going to come 5 online, but it will not supply all of the needs of the European community. 6 7 And so the discussion is, what do we do in the absence of something to replace both the reactor 8 in the Netherlands and the reactor in Belgium that 9 involved in the molybdenum-99 10 also have been 11 production activity? And so this is a worldwide problem right 12 And we are kind of at this point where one of 13 now. the questions that come up is, well, what is the 14 lifetime of technetium 99M as a diagnostic agent? 15 It's probably within a reasonable lifetime in terms of 16 justification for building a new reactor. 17 the So that's one of the things where NRC obviously would be 18 19 plying a role. The second one -- and we discussed this at 20 21 the earlier break -- is that there is a proposal that 22 BWXT has been making for an old reactor design but to use it for a current application. 23 And that is a 24 liquid core reactor in which you could just sample the 25 nuclear fuel as the reactor operates to extract the **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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306 1 molybdenum-99, but that is not a research reactor and 2 it's not a power reactor. It's somewhere in the 3 middle. And so there may be some need for some 4 regulatory clarification as far as how that facility would be licensed. 5 I know the hour is late. So barring any 6 7 questions that you might have for me, I will stop 8 there. 9 CHAIRMAN MALMUD: Thank you. Are there questions? Dr. Eggli? 10 11 MEMBER EGGLI: Not so much a question as 12 In response to question 2, -- and I more a comment. think the society has answered it in their letter -- a 13 14 week is by far the outside that most procedures can be delayed. And many of them that are urgent can't be 15 delayed a week. 16 And then what it results in is looking for 17 an alternative diagnostic effort, which is typically 18 either more morbid, higher risk for the patient, or 19 significantly more expensive. So that there is both 20 21 an economic and a patient care impact. 22 Ιf look something like you at lymphosentigraphy in lymph node evaluation, breast 23 24 cancer patients, they will simply go without it if the 25 tech is unavailable for the sentinel lymph node **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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And although the number of nuclear medicine procedures is high, 20 million, it's only about 5 percent of diagnostic imaging procedures in the United States on an annual basis.

9 As a result, in the marketplace, I think 10 there isn't room for a whole lot of competition, that 11 the marketplace supports the vendors that exist and 12 not a whole lot more. So there may be disincentive 13 for vendors to get into the business.

14 We certainly see that on the side of radiopharmaceuticals, 15 pharmaceutical where radiopharmaceuticals these days have only a 16 most single vender. And if the pharmaceutical portion goes 17 away, you simply do without it for extended periods of 18 19 time.

DMSA is a classic example of a radiopharmaceutical that seems to have FDA problems every 18 to 24 months and disappears from the market for 6 months at a time. There is just nobody else in the business.

So that even though 20 million seems like

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308 1 a lot of studies, compared to the cost of providing 2 the service, the market is small. And there has to be some economic incentive for someone to get into the 3 4 business of building a reactor that is going to be 5 produce molybdenum for medical purposes. If we are going to have one in the United 6 7 States, it may require some kind of subsidy for the 8 public qood to make the technetium radiopharmaceuticals available. Certainly my practice 9 10 reflects what the society is reporting. The vast majority of all clinical nuclear 11 medicine with procedures is, in fact, 12 done It's safe technetium-labeled radiopharmaceuticals. 13 14 and effective, and it can be labeled for lots of And nothing else really at this point is a 15 things. viable substitute for a technetium label. 16 And so I think that if we are going to 17 have something in the United States, reactor in the 18 19 United States, that supplies technetium, there may need to be some form of subsidy, at least 20 on a 21 start-up basis, because the start-up costs are huge 22 and the marketplace is still relatively small. CHAIRMAN MALMUD: Thank you. 23 Mr. Guiberteau? 24 25 MR. GUIBERTEAU: Well, I think Doug will **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

be happy to know there is a group that is trying to lobby for decreasing our dependent on foreign molybdenum and allowing for drilling for molybdenum offshore.

(Laughter.)

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6 MR. GUIBERTEAU: And so far they haven't 7 really come together. I think there are three things 8 in terms of performing nuclear medicine procedures 9 that molybdenum has really, the lack thereof has 10 really, hurt us in the last two times it has occurred. 11 And, of course, it has been brief, as Bill was 12 saying.

Most nuclear medicine diagnostic procedures other than cardiac procedures are performed by diagnostic radiologists. And what happens is in the nuclear medicine section, when we are not able to perform these tests reliably, the referral patterns change. And right now it has only been brief.

When that happens to us, some of these people eventually if it keeps happening don't come back. And it harms the whole specialty.

The other thing that Doug brought up that is very important and what we did in our hospital system when this happened because we are within, our nuclear medicine department is within, the diagnostic

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310 1 radiology realm, we tracked the names of those 2 patients that we had to cross off our list and find 3 out what other studies they had within our system. 4 Almost all of them went to studies such as 5 CT and MR. The expense increased by two to five And this is not a small amount, even with 6 percent. 7 just five percent of the total diagnostic imaging. 8 So the reliability helps us not only in 9 terms of changing the algorithms for working these It also makes it much more expensive. 10 patients up. 11 And it also can delay the care of patients, which has its own expense. 12 CHAIRMAN MALMUD: Thank you. 13 14 MEMBER THOMADSEN: This is Thomadsen. matter of information, 15 Just for the first as а the report from the NCRP on 16 question, population exposure, which is now out for comment, has several 17 appendices with fairly good numbers on the number of 18 procedures that are performed each year. 19 The table is for 2005 but probably could just be expanded by about 20 21 seven percent to get last year. 22 CHAIRMAN MALMUD: Thank you. Other comments? Member of the public? 23 24 MR. BROWN: Roy Brown with CORAR. In 25 anticipation of this meeting and seeing the questions **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

staff 1 that the NRC posed, CORAR is the 2 radiopharmaceutical manufactures of North America. We 3 turned to our medical resources about a month ago and 4 asked for their most recent data. It takes quite a 5 while to get this information. will be passing along -- Dr. Van 6 Ι

7 Decker's numbers were very, very, very accurate. I 8 have 2007 numbers here I will be forwarding on to the 9 Committee, but they go out and sample a few thousand 10 hospitals to get actual numbers of procedures by 11 hospital. And then they expand that out.

So all the marketing gurus in the U.S. use AMR data. That's data that I will forward on to the committee for you. But Dr. Van Decker's numbers are very close.

CHAIRMAN MALMUD: Thank you.

Dr. Welsh?

MEMBER follow-up 18 WELSH: Just some comments for discussion. 19 Ι was disappointed, of 20 course, to hear that the Maple 1 and Maple 2 reactors 21 have been canceled. And in a way, it was a bit of a 22 relief because we know that they were not compliant with the recommendation that they do not use 23 or 24 require HEU.

So I have read a number of recent reports

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1 saying that there are solutions that are 2 technologically feasible in which modern reactors if 3 they were built from scratch with modern technology, 4 as opposed to an old reactor that is trying to be 5 adapted to go from HEU to LEU, these modern reactors, like the aqueous homogenous reactors, could use LEU 6 7 and in principle be much more cost-efficient because 8 of the decrease in the intensity of the security required for HEU. 9

And whether or not that is a reality or is a myth remains to be determined. But it does raise the possibility that there could be considerably less cost associated with a new reactor, with a modern design that doesn't require highly enriched uranium because of the security concern.

Also, if we hear that Europe is having this increased need for a radiopharmaceutical and it is not being met by Belgium, France might supply it. If we could supply it here, that also could justify the cost and could perhaps be more profitable than initial predictions, which were that this would not be economically feasible.

CHAIRMAN MALMUD: Thank you.

Other comments?

MR. EINBERG: Do we have any information

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1	on the French reactor or the French initiative to
2	build a new reactor?
3	MEMBER EGGLI: Let me say nothing about
4	the French reactor, but I was involved with a National
5	Academy of Sciences briefing on this issue as well
6	about a year or so ago, I believe.
7	At that time there were other countries,
8	like Argentina, Australia that were saying, "Oh, we
9	can provide all sorts of things." I haven't followed
10	up on this.
11	It was interesting. There were a lot of
12	players who were coming to the table. I had been, I
13	would say, personally a little bit concerned because
14	it seems like it is all foreign reactors.
15	The elimination of highly enriched uranium
16	as a source is basically being dictated by this
17	country. We are not going to provide actors with
18	highly enriched uranium as a target anymore and
19	encouraging the use of low enriched uranium because
20	low enriched uranium poses less of a risk. And so a
21	lot of reactors are having to re-tool. And I think
22	some of the stuff that happened in Canada was actually
23	a direct result of some of that.
24	So I think everything is really in play.
25	I think it's a good effort. It's noble to try to get
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314 1 an assessment of what is going on right now. I am 2 clueless, I mean, except when I hear somebody tell me 3 that the Australians promise that they can provide 4 everybody with everything, though they are not geared 5 up yet. I haven't heard anything else except for 6 7 those statements. And there were people from other countries saying, you know, "We are already switched 8 to LEU. And we are already producing." 9 am surprised with all of these 10 So Ι 11 promises, you know, we haven't seen anything more tangible. There a lot of lack of 12 seems to be information right now. 13 14 MR. EINBERG: Has the initial Canadian study been finalized on the use of --15 MEMBER EGGLI: I really don't know. 16 CHAIRMAN MALMUD: 17 Thank you. Other comments? 18 Roy Brown with CORAR 19 MR. BROWN: Hi. 20 I can answer some of these questions. again. 21 The National Academy study is in the final 22 phase right now. We expect it will be out sometime probably in the December time frame. 23 24 We would be glad to provide, CORAR would 25 be glad to provide, some additional information on **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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315 1 LEU. Just for your information, the IAEA has an 2 effort underway called -- CORAR did a research project 3 called the CRP to help countries develop their own 4 source of moly. That has been the source of a lot of the 5 LEU production. That has been in countries like 6 7 Argentina, Korea, Indonesia, where it has been very, 8 very, very small-scale. There have been some gel generators in 9 India where they make 50-millicurie generators that 10 11 really won't do us much good in the U.S. So although there have been some successes with LEU around the 12 world, not only the kind of scale we need in the U.S. 13 14 CORAR will be glad to come back and provide any information either NRC or ACMUI would like 15 on this at future meetings. 16 CHAIRMAN MALMUD: Other comments? 17 Dr. Fisher? 18 For the benefit of the 19 MEMBER FISHER: Committee, I wondered, Roy, if you would explain what 20 21 CORAR is, what it stands for, and its purpose? 22 MR. BROWN: Roy Brown with CORAR. CORAR the Council Radionuclides 23 is on and 24 Radiopharmaceuticals. It is the North American trade 25 association for the manufacturers of nuclear medicine **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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3 radiopharmaceutical producers in North America are 4 members of CORAR. We also represent companies that 5 produce other types of isotopes for medical research.

CHAIRMAN MALMUD: 6 Other questions or comments? Dr. Welsh? 7

8 MEMBER WELSH: Quick comment again about 9 the LEU/HEU issue. The request, the Schumer amendment, came from the United States that around the 10 11 globe reactors stop using HEU. But since we are by 12 far the largest consumer of radioisotope for medical purposes, there is little financial incentive for 13 14 Chalk River to switch from HEU to LEU if it is going to come them a lot and there is nothing in it for them 15 other than just being good guys and complying with 16 Americans' request, plus the Burr amendment. 17

And I don't think that we're ever going to 18 get around this unless we take the lead in the United 19 20 States and make isotope ourselves with LEU and show 21 the world that it can be done. And if Canada, 22 Belgium, France want to be competitive in this market, they would, too, have to follow this lead. 23

24 But until somebody starts generating 25 isotope en masse, not like Argentina, Australia, with

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1	a lot of promise but nothing being kept, the United
2	States is probably the only country that can do this.
3	And others will then be forced to follow suit if they
4	want to maintain their share of the market.
5	CHAIRMAN MALMUD: Other comments?
6	DR. ZELAC: Dr. Malmud?
7	CHAIRMAN MALMUD: Dr. Zelac?
8	DR. ZELAC: Just for clarification and,
9	anyone, please correct me if I am wrong, but when we
10	are talking about HEU versus LEU, we are not except in
11	the case of the homogeneous liquid reactors talking
12	about the fuel itself. We are talking about the
13	targets which are being irradiated and then moly and
14	others stripped off from the fission products. Is
15	that correct? Okay. Thank you.
16	CHAIRMAN MALMUD: No other comments?
17	MEMBER VAN DECKER: Can I ask a question?
18	CHAIRMAN MALMUD: Yes.
19	MEMBER VAN DECKER: Since the NRC put this
20	topic on the table, what were the NRC's thoughts on
21	where it saw itself fitting into this?
22	MR. EINBERG: Well, the NRC would like to
23	have a good assessment as to what the situation is
24	because while we regulate the safe use of medical
25	isotopes, we don't promote the use of isotopes. It's
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1 more of along the lines of Department of Energy and
2 other federal agencies.
3 We want to be fully informed as to what
4 the situation is. We want to be on top of it. And,
5 as such, we're soliciting input. Especially with the

medical community, we want to be aware of any shortages and make sure that patient treatment is not adversely impacted.

9 MR. LUEHMAN: The only thing that I would 10 add is that obviously when there is export of HEU to 11 provide targets, you know, the NRC has to approve all 12 of that export.

And obviously, as I think Dr. Welsh has 13 14 summarized, that is a very controversial activity. Every time it comes up that there is going to be 15 16 export of HEU targets, there are diametrically opposed, probably the correct words, views of that in 17 Congress. And so to the extent that there are other 18 19 options, that there are other paths that could be explored, I think that the Commission wants to look at 20 21 those because ultimately the Commission does have to 22 approve exports of high enriched uranium targets for use in this endeavor. And if there were alternatives 23 24 to that, I think the Commission would like to explore 25 those.

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1	And obviously going to some kind of
2	high-production low enriched scenario would be one of
3	those. I mean, it would probably be preferable even a
4	high enriched as long as it was in the United States
5	and we weren't exporting those targets.
6	So I think that those are the other things
7	that the Commission is looking at, the perception of a
8	proliferation concern.
9	CHAIRMAN MALMUD: Public?
10	MR. BROWN: Roy Brown with CORAR. One
11	more comment on LEU versus HEU. The reactors in
12	Canada and Europe have done a very good job converting
13	the fuel over from HEU to LEU over the last several
14	years.
15	But you are right. The HEU is currently
16	used for targets. To be able to switch to LEU targets
17	is a very long and lengthy and costly process. All
18	the major moly manufacturers now are looking at it.
19	What it requires, it requires a new waste
20	stream. I mean, if you think about it, if you are
21	using less than 20 percent uranium, rather than
22	greater than 80 percent uranium, you produce a lot
23	more mixed fission products.
24	You produce a lot more plutonium. That
25	needs to be taken out of the moly before it is
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320 1 finished. You need to write new drug master files. 2 You need to go to FDA. The generator manufacturers need to go to FDA with supplements with those new 3 4 DMFs. 5 So it's a very lengthy and costly process. That's why it will take a long time to convert from 6 7 HEU targets to LEU targets. So it is not a simple 8 process. 9 This is something the National Academy of Sciences addressed in their report. And hopefully it 10 11 will have a good write-up in that when that report comes out in December. 12 CHAIRMAN MALMUD: These are informational 13 14 items only. So there is no action to be taken. MR. I appreciate everyone's 15 EINBERG: input on this issue. And it will help the NRC and the 16 Commission understand this critical shortage if it 17 does appear. 18 19 CHAIRMAN MALMUD: Thank you. 20 Ashley has several announcements to make 21 now. 22 MS. TULL: I just have three quick things. This is Ashley. For members of the public, if you 23 24 are not coming back tomorrow, if you would please fill 25 out the public feedback forms? They're right there by **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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321 1 the red and white box. It's four or five questions. Fill it out. Drop it in the box. You're done. 2 Ιf 3 you're staying tomorrow, you can do it tomorrow. For ACMUI members, will you please take 4 off your badges and leave them here so I don't have to 5 reprint them? And you can leave your binders and 6 7 anything else that you want here because this room 8 will be locked as soon as we all leave. 9 That's it. 10 CHAIRMAN MALMUD: Thank you. So we will 11 all meet here tomorrow morning at 8:00 o'clock. 12 (Whereupon, the above-entitled matter was recessed at 5:32 p.m., to be reconvened on Tuesday, 13 October 28, 2008, at 8:00 a.m.) 14 15 16 17 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com