



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

OFFICE OF THE
INSPECTOR GENERAL

November 25, 2008

MEMORANDUM TO: R. William Borchardt
Executive Director for Operations

FROM: Stephen D. Dingbaum **/RA/**
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF U.S.
NUCLEAR REGULATORY COMMISSION'S LAPTOP
MANAGEMENT (OIG-08-A-19)

REFERENCE: DEPUTY EXECUTIVE DIRECTOR FOR CORPORATE
MANAGEMENT, OFFICE OF THE EXECUTIVE DIRECTOR
FOR OPERATIONS, MEMORANDUM DATED
OCTOBER 30, 2008

Attached is the Office of the Inspector General's analysis and status of recommendations 1, 2, 3, 4, and 5 as discussed in the agency's response dated October 30, 2008. Based on this response, all recommendations are resolved. Please provide an updated status of the resolved recommendations by March 2, 2009.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca, Team Leader, at 415-5911.

Attachment: As stated

cc: V. Ordaz, OEDO
J. Arlidsen, OEDO
P. Shea, OEDO

Audit Report

AUDIT OF NRC'S LAPTOP MANAGEMENT

OIG-08-A-19

Status of Recommendations

Recommendation 1: Develop agencywide policy and procedures regarding the implementation and monitoring of security controls, especially concerning virus protection and operating system updates, for all agency-owned laptop computers.

Response Dated
October 30, 2008:

Agree. U.S. Nuclear Regulatory Commission (NRC) will develop agencywide policy and procedures regarding the implementation and monitoring of security controls, especially concerning virus protection and operating system updates, for all agency-owned laptop computers.
Completion date: January 30, 2009.

OIG Analysis:

The corrective action addresses the intent of this recommendation. This recommendation will be closed when OIG receives a copy of the agencywide policy and procedures and determines that it contains language regarding the implementation and monitoring of security controls, especially concerning virus protection and operating system updates, for all agency-owned laptop computers.

Status:

Resolved.

Audit Report

AUDIT OF NRC'S LAPTOP MANAGEMENT

OIG-08-A-19

Status of Recommendations

Recommendation 2: Communicate the policy in recommendation 1 to the agency when initially complete. Send periodic reminders of the policy requirements, as well as detailed instructions on how to fulfill the requirements.

Response Dated
October 30, 2008: Agree. NRC will issue a memo to office directors and regional administrators and issue a yellow announcement to staff, including detailed instructions on how to fulfill the requirements. The periodic reminder of the laptop policy requirements will be a part of the continuous monitoring reminders that go out annually to system owners.
Completion date: January 30, 2009.

OIG Analysis: The corrective action addresses the intent of this recommendation. This recommendation will be closed when OIG receives a copy of the memo to office directors and regional administrators and the yellow announcement to staff, and determines that it contains detailed instructions on how to fulfill the requirements.

Status: Resolved.

Audit Report

AUDIT OF NRC'S LAPTOP MANAGEMENT

OIG-08-A-19

Status of Recommendations

Recommendation 3: Provide mandatory formal training to all IT coordinators and property custodians on how to update security controls on laptops.

Response Dated
October 30, 2008:

Agree. IT coordinators and property custodians do not have the necessary skill sets to update security controls on laptops. The system administrator and Information System Security Officer (ISSO) roles have been identified as roles with significant security responsibility. These roles have been identified to perform this type of task, and personnel that occupy roles should have the necessary skill sets to implement and maintain security controls on laptops. NRC will provide mandatory formal training to all system administrators and ISSOs on how to update security controls on laptops. Completion date: July 1, 2009.

OIG Analysis:

The corrective action addresses the intent of this recommendation. This recommendation will be closed when OIG receives documentation that mandatory formal training for system administrators and Information System Security Officers has been provided and determines that the training contained directions on how to update the security controls on laptops. Completion date: July 1, 2009.

Status:

Resolved.

Audit Report

AUDIT OF NRC'S LAPTOP MANAGEMENT

OIG-08-A-19

Status of Recommendations

Recommendation 4: Develop a process for verifying that all required security controls are implemented on agency-owned laptops.

Response Dated
October 30, 2008: Agree. NRC will develop a process for verifying that all required security controls are implemented on agency-owned laptops. Completion date: March 31, 2009.

OIG Analysis: The corrective action addresses the intent of this recommendation. This recommendation will be closed when OIG receives the process and determines that it will verify that all required security controls are implemented for the agency-owned laptops.

Status: Resolved.

Audit Report

AUDIT OF NRC'S LAPTOP MANAGEMENT

OIG-08-A-19

Status of Recommendations

Recommendation 5: Develop a protocol to facilitate the efficient and routine updating of agency-owned laptops located at headquarters.

Response Dated
October 30, 2008: Agree. NRC will develop a protocol to facilitate the efficient and routine updating of agency-owned laptops located at headquarters. Completion date: July 1, 2009.

OIG Analysis: The corrective action addresses the intent of this recommendation. This recommendation will be closed when OIG receives the protocol and determines that it facilitates the efficient and routine updating of agency-owned laptops located at headquarters.

Status: Resolved.