



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

OFFICE OF THE
INSPECTOR GENERAL

November 13, 2008

MEMORANDUM TO: R. William Borchardt
Executive Director for Operations

FROM: Stephen D. Dingbaum */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: SYSTEM
EVALUATION OF THE GENERAL LICENSE TRACKING
SYSTEM (OIG-04-A-24)

REFERENCE: DIRECTOR, OFFICE OF FEDERAL AND STATE
MATERIALS AND ENVIRONMENTAL MANAGEMENT
PROGRAMS, MEMORANDUM DATED OCTOBER 27, 2008

Attached is the Office of the Inspector General's analysis and status of recommendations as discussed in the agency's response dated October 27, 2008. Based on this response, recommendations 1, 3, and 4 are closed. Recommendations 2 and 5 were closed previously. This closes all recommendations from this report.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca, Team Leader, at 415-5911.

Attachment: As stated

cc: V. Ordaz, OEDO
J. Arildsen, OEDO
P. Shea, OEDO

Audit Report

SYSTEM EVALUATION OF THE GENERAL LICENSE TRACKING SYSTEM

OIG-04-A-24

Status of Recommendations

Recommendation 1: Update the GLTS Security Plan to describe all controls currently in place. In-place controls are those marked at least at Level 3 in the self-assessment, and that were documented as passed in the last Security Test and Evaluation Report or in any test and evaluation on controls added since publication of that report.

Response Dated
October 27, 2008: As of the end of September all of the deliverables have been received and are currently in final review in preparation for the Authority to Operate submission to the OIS Computer Security Office. FSME is on track to make that formal submission by the end of October, which will also be provided to OIG for its review in order to close this recommendation.

OIG Response: The proposed corrective action addresses the intent of this recommendation. OIG reviewed the updated GLTS Security Plan and determined that it describes all controls currently in place. This recommendation is therefore closed.

Status: Closed.

Audit Report

SYSTEM EVALUATION OF THE GENERAL LICENSE TRACKING SYSTEM

OIG-04-A-24

Status of Recommendations

<u>Recommendation 3:</u>	<p>Update the GLTS Business Continuity Plan to include the following changes:</p> <ul style="list-style-type: none">➤ Record modifications to the plan in a record of changes to include what changes were made (e.g., the page numbers or section numbers where the changes were made), why the changes were made (e.g., annual update or update during re-accreditation), and date of change.➤ Describe the methods used to notify recovery personnel during business and non-business hours.➤ Incorporate all teams' roles and responsibilities and relevant points of contact information for team leaders, alternate team leaders, and team members.➤ Include procedures for restoring system operations, with a focus on how to clean the alternate site of any equipment or other materials belonging to the organization.
Response Dated October 27, 2008:	As of the end of September all of the deliverables have been received and are currently in final review in preparation for the Authority to Operate submission to the OIS Computer Security Office. FSME is on track to make that formal submission by the end of October, which will also be provided to OIG for its review in order to close this recommendation.
OIG Response:	The proposed corrective action addresses the intent of this recommendation. OIG reviewed the updated business continuity plan and determined that it contained all required changes. This recommendation is therefore closed.
Status:	Closed.

Audit Report

SYSTEM EVALUATION OF THE GENERAL LICENSE TRACKING SYSTEM

OIG-04-A-24

Status of Recommendations

Recommendation 4:

Update the GLTS Security Plan and/or GLTS self-assessment to consistently define the protection requirements (confidentiality, integrity, availability).

Response Dated
October 27, 2008:

As of the end of September all of the deliverables have been received and are currently in final review in preparation for the Authority to Operate submission to the OIS Computer Security Office. FSME is on track to make that formal submission by the end of October, which will also be provided to OIG for its review in order to close this recommendation.

OIG Response:

The proposed corrective action addresses the intent of this recommendation. OIG reviewed the updated self-assessment and determined that it consistently defined the protection requirements. This recommendation is therefore closed.

Status:

Closed.