**UNITED STATES**
**NUCLEAR REGULATORY COMMISSION**
WASHINGTON, D.C. 20555-0001

OFFICE OF THE
INSPECTOR GENERAL

November 7, 2008

MEMORANDUM FOR: Vonna L. Ordaz
Assistant for Operations
Office of the Executive Director for Operations

FROM: Stephen D. Dingbaum
Assistant Inspector General for Audits
Office of the Inspector General

SUBJECT: STATUS OF OPEN AUDIT RECOMMENDATIONS – OCTOBER 2008

Attached is the OIG up-to-date status of all open audit recommendations as of the end of October 2008. Should you have any questions please feel free to contact Tony Lipuma at 301-415-5910.

Attachment: As stated

cc: J. Arildsen, OEDO
P. Shea, OEDO

# Status of Audit Recommendations - Open Audits

| Report # & Name | Unresolved | Resolved | Closed | Total |
|---|---|---|---|---|
| OIG-01-A-03 Government Performance and Results Act: Review of the Fiscal Year 1999 Performance Report | 0 | 2 | 0 | 2 |
| OIG-03-A-01 Review of NRC's Handling and Marking of Sensitive Unclassified Information | 0 | 2 | 0 | 2 |
| OIG-03-A-15 Audit of NRC's Regulatory Oversight of Special Nuclear Materials | 0 | 2 | 6 | 8 |
| OIG-04-A-20 Audit of NRC's Incident Response Program | 0 | 1 | 16 | 17 |
| OIG-04-A-22 Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act (FISMA) for Fiscal Year 2004 | 0 | 3 | 13 | 16 |
| OIG-04-A-24 Systems Evlaluation of the GeneralLicense Tracking System | 0 | 3 | 2 | 5 |
| OIG-05-A-08 System Evaluation of the Integrated Personnel Security System (IPSS) | 0 | 6 | 2 | 8 |
| OIG-05-A-09 Audit of the Budget Formulation Process | 0 | 3 | 1 | 4 |
| OIG-05-A-13 Audit of NRC's Telecommunications Program | 0 | 1 | 12 | 13 |
| OIG-05-A-17 Audit of NRC's Decommissioning Program | 0 | 1 | 3 | 4 |
| OIG-05-A-18 Security Controls Over Personal Computers and Laptops - FISMA | 0 | 5 | 3 | 8 |
| OIG-05-A-19 Audit of NRC's Generic Communications Program | 0 | 3 | 1 | 4 |
| OIG-06-A-09 Audit of NRC's Office of Nuclear Security and Incident Response | 0 | 1 | 5 | 6 |
| OIG-06-A-11 Audit of the NRC Byproduct materials License Application and Review Process | 0 | 2 | 0 | 2 |
| OIG-06-A-21 Audit of NRC's Baseline Security and Safeguards Inspection Program | 0 | 4 | 4 | 8 |
| OIG-06-A-22 Audit of NRC's Process for Releasing Commission Decision Documents | 0 | 1 | 1 | 2 |
| OIG-06-A-24 Evaluation of NRC's Use of Probabilistic Risk Assessment In Regulating the Commercial Nuclear Power Industry | 0 | 1 | 2 | 3 |
| OIG-07-A-05 Audit of NRC's Technical Training Center | 0 | 5 | 6 | 11 |
| OIG-07-A-06 Audit of NRC's Regulation of Nuclear Fuel Cycle Facilities | 0 | 1 | 0 | 1 |
| OIG-07-A-10 Audit of NRC's Badge Access System | 0 | 4 | 13 | 17 |
| OIG-07-A-11 Memorandum Report: Audit of NRC's Nuclear Material Events Database | 0 | 2 | 1 | 3 |
| OIG-07-A-12 Summary Report on Byproduct Material Security and Control | 0 | 1 | 0 | 1 |
| OIG-07-A-14 Audit of NRC's Non-Capitalized Property | 0 | 3 | 9 | 12 |
| OIG-07-A-15 Audit of NRC's License Renewal Program | 0 | 3 | 5 | 8 |
| OIG-07-A-16 Audit of NRC's Process for Placing Documents in the ADAMS Public and Non-Public Libraries | 0 | 7 | 1 | 8 |
| OIG-07-A-18 Assessment of Security at NRC Buildings | 0 | 2 | 25 | 27 |
| OIG-07-A-19 Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act (FISMA) for Fiscal Year 2007 | 0 | 8 | 7 | 15 |
| OIG-08-A-01 Results of the Audit of the United States Nuclear Regulatory Commission's Financial Statements for Fiscal Years 2007 and 2006 | 0 | 2 | 0 | 2 |
| OIG-08-A-03 Audit of NRC's Alternative Dispute Resolution Program | 0 | 2 | 2 | 4 |
| OIG-08-A-06 Memorandum Report: NRC's Planned Cybersecurity Program | 0 | 1 | 0 | 1 |
| OIG-08-A-07 Audit of NRC's Oversight of Licensees Nuclear Security Officers | 0 | 2 | 1 | 3 |
| OIG-08-A-09 Audit of NRC's Power Uprate Program | 0 | 6 | 2 | 8 |
| OIG-08-A-10 MEMORANDUM REPORT: AUDIT OF NRC'S CONTINUITY OF OPERATIONS PLAN | 0 | 2 | 0 | 2 |
| OIG-08-A-11 Audit of NRC's Accounting and Control Over Time and Labor Reporting | 0 | 6 | 0 | 6 |
| OIG-08-A-12 Audit of NRC's USAID-Funded Activities | 0 | 3 | 2 | 5 |

# Status of Audit Recommendations - Open Audits

| Report # & Name | Unresolved | Resolved | Closed | Total |
|---|---|---|---|---|
| OIG-08-A-13 Evaluation of NRC's Training and Development Program | 17 | 0 | 0 | 17 |
| OIG-08-A-14 Audit of NRC's Controls Over the Process for Eliminating Management Directives | 0 | 2 | 0 | 2 |
| OIG-08-A-16 Audit of NRC's Premium Class Travel | 7 | 0 | 0 | 7 |
| OIG-08-A-17 Audit of NRC's Enforcement Program | 3 | 0 | 0 | 3 |
| OIG-08-A-18 Independent Evaluation of NRC's Implementation of FISMA for FY 2008 | 4 | 0 | 0 | 4 |
| OIG-08-A-19 Audit of NRC's Laptop Management | 5 | 0 | 0 | 5 |
| **Grand Total** | **36** | **103** | **145** | **284** |

# Status of OIG Audit Recommendations

| Rec # | Description | Responsible Office | Last OIG Correspondence | Agency Response Due | Agency Response Received | Status |
|---|---|---|---|---|---|---|
| **OIG-01-A-03 Government Performance and Results Act: Review of the Fiscal Year 1999 Performance Report (2/23/2001)** | | | | | | |
| 1 | Develop a Management Directive | OCFO | | | 6/16/2008 | Resolved |
| 3 | Include guidance on reporting unmet goals | OCFO | 6/16/2008 | 12/31/2008 | | Resolved |
| **OIG-03-A-01 Review of NRC's Handling and Marking of Sensitive Unclassified Information (10/16/2002)** | | | | | | |
| 1 | Update OUO Guidance | EDO,OIS | | | 10/27/2008 | Resolved |
| 2 | Mandate defined markings | EDO | 12/26/2006 | 12/31/2008 | | Resolved |
| **OIG-03-A-15 Audit of NRC's Regulatory Oversight of Special Nuclear Materials (5/23/2003)** | | | | | | |
| 1 | Conduct periodic inspections | EDO,NMSS | | | 9/26/2008 | Resolved |
| 3 | Document risk informed approach | EDO,NMSS | | | 9/26/2008 | Resolved |
| **OIG-04-A-20 Audit of NRC's Incident Response Program (9/16/2004)** | | | | | | |
| 4 | Conduct Periodic Reviews of Region's IRPs | EDO,NSIR | 9/18/2008 | 2/27/2009 | | Resolved |
| **OIG-04-A-22 Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act (FISMA) for Fiscal Year 2004 (9/30/2004)** | | | | | | |
| 5 | Re-certify and re-accredit the NRC Data Center/Telecommunications System. | CSO,OCIO | | | 10/16/2008 | Resolved |
| 8 | Re-certify and re-accredit the Emergency Telecommunications System | CSO,OCIO | | | 10/16/2008 | Resolved |
| **OIG-04-A-24 Systems Evlaluation of the GeneralLicense Tracking System (9/30/2004)** | | | | | | |
| 1 | Update the GLTS Security Plan | EDO,FSME,NMSS,OCIO | | | 10/27/2008 | Resolved |
| 3 | Update the GLTS Business Continuity Plan | EDO,FSME,NMSS,OCIO | | | 10/27/2008 | Resolved |
| 4 | Update the GLTS Security Plan | EDO,FSME,NMSS,OCIO | | | 10/27/2008 | Resolved |
| **OIG-05-A-08 System Evaluation of the Integrated Personnel Security System (IPSS) (1/14/2005)** | | | | | | |
| 1 | Re-certify and re-accredit IPSS | ADM,EDO | 10/3/2008 | 4/30/2009 | | Resolved |
| 2 | Update risk assessment risk levels | ADM,EDO | 10/3/2008 | 4/30/2009 | | Resolved |
| 3 | Update plan contact info | ADM,EDO | 10/3/2008 | 4/30/2009 | | Resolved |
| 4 | Update plan life cycle & IRO capability. | ADM,EDO | 10/3/2008 | 4/30/2009 | | Resolved |
| 5 | Update plan control descriptions. | ADM,EDO | 10/3/2008 | 4/30/2009 | | Resolved |
| 8 | Consistently define plan and assessment protection requirements | ADM,EDO | 10/3/2008 | 4/30/2009 | | Resolved |
| **OIG-05-A-09 Audit of the Budget Formulation Process (1/31/2005)** | | | | | | |
| 1 | EDO, CFO Roles | OCFO | 4/18/2008 | 12/31/2008 | | Resolved |
| 2 | PRC Role | OCFO | 4/18/2008 | 12/31/2008 | | Resolved |
| 3 | Document Process | OCFO | 4/18/2008 | 12/31/2008 | | Resolved |
| **OIG-05-A-13 Audit of NRC's Telecommunications Program (6/7/2005)** | | | | | | |
| 3 | Revise MD 2.3. | EDO,OCIO | 8/13/2008 | 3/29/2009 | | Resolved |
| **OIG-05-A-17 Audit of NRC's Decommissioning Program (9/21/2005)** | | | | | | |

# Status of OIG Audit Recommendations

| Rec # | Description | Responsible Office | Last OIG Correspondence | Agency Response Due | Agency Response Received | Status |
|---|---|---|---|---|---|---|
| 1 | Retain Supporting Documentation | EDO,NMSS,OCFO | 7/9/2008 | 1/15/2009 | | Resolved |
| **OIG-05-A-18 Security Controls Over Personal Computers and Laptops - FISMA (9/22/2005)** | | | | | | |
| 2 | Rules of behavior agreement for security controls | CSO,EDO,OIS | 10/31/2008 | 7/31/2009 | | Resolved |
| 3 | Verify required security controls | CSO,EDO,OIS | 10/31/2008 | 7/31/2009 | | Resolved |
| 4 | Provide guidance on Executive Order 13103 | CSO,EDO,OIS | 10/31/2008 | 7/31/2009 | | Resolved |
| 5 | Rules of behavior agreement EO 13103 | CSO,EDO,OIS | 10/31/2008 | 7/31/2009 | | Resolved |
| 6 | Develop procedures for monitoring compliance with Executive Order 13103 | CSO,EDO,OIS | 10/31/2008 | 7/31/2009 | | Resolved |
| **OIG-05-A-19 Audit of NRC's Generic Communications Program (9/30/2005)** | | | | | | |
| 1 | Security Advisories in GC Program | EDO,NRR,NSI | 10/2/2008 | 3/20/2009 | | Resolved |
| 3 | Consistent Tracking Methodology | EDO,NRR,NSI | 10/2/2008 | 3/20/2009 | | Resolved |
| 4 | Effectiveness of GC Program | EDO,NRR | 10/2/2008 | 3/20/2009 | | Resolved |
| **OIG-06-A-09 Audit of NRC's Office of Nuclear Security and Incident Response (2/16/2006)** | | | | | | |
| 2 | Documenting Emergent Work | EDO,NSIR | 10/9/2008 | 1/30/2009 | | Resolved |
| **OIG-06-A-11 Audit of the NRC Byproduct materials License Application and Review Process (3/10/2006)** | | | | | | |
| 1 | Conduct a materials process vulnerability assessment | EDO,FSME,NMSS | 5/1/2008 | 10/30/2008 | | Resolved |
| 2 | Modify licensing process to address identified risks | EDO,FSME,NMSS | 5/1/2008 | 10/30/2008 | | Resolved |
| **OIG-06-A-21 Audit of NRC's Baseline Security and Safeguards Inspection Program (9/8/2006)** | | | | | | |
| 1 | Required Training | NSIR | 8/13/2008 | 12/30/2008 | | Resolved |
| 4 | Training Program Update | NSIR | 8/13/2008 | 12/30/2008 | | Resolved |
| 6 | Sample Size Guidance | NSIR | 8/13/2008 | 12/30/2008 | | Resolved |
| 7 | Sample Size Training | NSIR | 8/13/2008 | 12/30/2008 | | Resolved |
| **OIG-06-A-22 Audit of NRC's Process for Releasing Commission Decision Documents (9/8/2006)** | | | | | | |
| 1 | Develop a FOIA 552(a)(2) compliance program | EDO,OGC,OIS,SECY | 10/9/2008 | 1/16/2009 | | Resolved |
| **OIG-06-A-24 Evaluation of NRC's Use of Probabilistic Risk Assessment In Regulating the Commercial Nuclear Power Industry (9/29/2006)** | | | | | | |
| 3 | Full V&V for SAPHIRE and GEM | EDO,NRR,RES | 7/7/2008 | 12/31/2008 | | Resolved |
| **OIG-07-A-05 Audit of NRC's Technical Training Center (1/9/2007)** | | | | | | |
| 1 | Rec. 01 - Revise MD 13.1 | EDO,HR | 8/25/2008 | 3/2/2009 | | Resolved |
| 3 | Rec. 03 - Finalize Policies and Procedures | HR | 8/28/2008 | 3/2/2009 | | Resolved |
| 9 | Rec. 09 Periodically rotate cognizant instructors | EDO,HR | 8/25/2008 | 3/2/2009 | | Resolved |
| 10 | Rec. 10 Establish method to track course evaluations | HR | 8/28/2008 | 3/2/2009 | | Resolved |
| 11 | Rec. 11 Evaluation of Instructor performance | HR | 8/21/2008 | 3/2/2009 | | Resolved |
| **OIG-07-A-06 Audit of NRC's Regulation of Nuclear Fuel Cycle Facilities (1/10/2007)** | | | | | | |

# Status of OIG Audit Recommendations

| Rec # | Description | Responsible Office | Last OIG Correspondence | Agency Response Due | Agency Response Received | Status |
|---|---|---|---|---|---|---|
| 1 | Fuel Cycle Facility framework | EDO,NMSS | 7/7/2008 | 1/30/2009 | | Resolved |
| ⊟ **OIG-07-A-10 Audit of NRC's Badge Access System (1/23/2007)** | | | | | | |
| 8 | Write and implement badge access system operating procedures | ADM,EDO | 5/6/2008 | 12/29/2008 | | Resolved |
| 10 | Replace visitor badges with expiring paper badges. | ADM,EDO | 5/6/2008 | 12/29/2008 | | Resolved |
| 13 | Security plan and Information System Security Officer. | ADM,EDO | 5/6/2008 | 12/29/2008 | | Resolved |
| 15 | Address penetration test report. | ADM,EDO | 5/6/2008 | 12/29/2008 | | Resolved |
| ⊟ **OIG-07-A-11 Memorandum Report: Audit of NRC's Nuclear Material Events Database (3/23/2007)** | | | | | | |
| 1 | Develop/implement FCNMED procedures | EDO,NMSS | 7/29/2008 | 1/16/2009 | | Resolved |
| 3 | Conduct QA review of FCNMED | EDO,NMSS | 7/29/2008 | 1/16/2009 | | Resolved |
| ⊟ **OIG-07-A-12 Summary Report on Byproduct Material Security and Control (3/30/2007)** | | | | | | |
| 1 | Independent Panel of Experts | EDO,FSME | 5/1/2008 | 10/30/2008 | | Resolved |
| ⊟ **OIG-07-A-14 Audit of NRC's Non-Capitalized Property (7/12/2007)** | | | | | | |
| 2 | Rec. 02 Property Custodian Evaluations | ADM,EDO | 8/29/2008 | 3/31/2009 | | Resolved |
| 7 | Rec. 07 Modify MD 13.1 | ADM,EDO | 8/29/2008 | 3/31/2009 | | Resolved |
| 11 | Rec. 11 Modify MD 13.1 to Notify AIGI | ADM,EDO | 8/29/2008 | 3/31/2009 | | Resolved |
| ⊟ **OIG-07-A-15 Audit of NRC's License Renewal Program (9/5/2007)** | | | | | | |
| 3 | Document Removal Guidance | EDO,NRR | 9/11/2008 | 2/27/2009 | | Resolved |
| 4 | Op Exper Reviews | EDO,NRR | 9/11/2008 | 2/27/2009 | | Resolved |
| 7 | Establish backfit review process | EDO,NRR | 9/11/2008 | 2/27/2009 | | Resolved |
| ⊟ **OIG-07-A-16 Audit of NRC's Process for Placing Documents in the ADAMS Public and Non-Public Libraries (9/6/2007)** | | | | | | |
| 1 | Update MD 3.4 | EDO,OIS | | | 10/28/2008 | Resolved |
| 2 | Create supplemental guidance document | EDO,OIS | | | 10/28/2008 | Resolved |
| 3 | Conduct training needs analysis | EDO,OIS | | | 10/28/2008 | Resolved |
| 4 | Develop Mechanism to indicate public/non-public rationale | EDO,OIS | | | 10/28/2008 | Resolved |
| 5 | Require offices to use mechanism developed to record public/non-public rationale | EDO,OIS | | | 10/28/2008 | Resolved |
| 6 | Conduct Periodic Assessments | EDO,OIS | | | 10/28/2008 | Resolved |
| 8 | Add a non-public, pending review category to E-RIDS notifications. | EDO,OIS | | | 10/28/2008 | Resolved |
| ⊟ **OIG-07-A-18 Assessment of Security at NRC Buildings (9/25/2007)** | | | | | | |
| 11 | Post signs directing pedestrian traffic | ADM,EDO | 10/27/2008 | 7/10/2009 | | Resolved |
| 13 | Increase width of painted walkway | ADM,EDO | 10/27/2008 | 7/10/2009 | | Resolved |
| ⊟ **OIG-07-A-19 Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act (FISMA) for Fiscal Year 2007 (9/28/2007)** | | | | | | |
| 1 | Review Security Categorizations | CSO,EDO,OIS | | | 9/23/2008 | Resolved |
| 2 | Categorize all NRC major applications and general support systems | CSO,EDO,OIS | | | 9/23/2008 | Resolved |
| 3 | Conduct annual self-assessments in accordance with guidance | CSO,EDO,OIS | | | 9/23/2008 | Resolved |

# Status of OIG Audit Recommendations

| Rec # | Description | Responsible Office | Last OIG Correspondence | Agency Response Due | Agency Response Received | Status |
|---|---|---|---|---|---|---|
| 10 | Develop and implement a methodology for identifying which listed systems reside on the NRC network | CSO,EDO,OIS | | | 9/23/2008 | Resolved |
| 11 | Develop and implement quality assurance procedures for POA&Ms. | CSO,EDO,OIS | | | 9/23/2008 | Resolved |
| 12 | Follow NIST guidance when issuing IATOs | CSO,EDO,OCM ,OIS | | | 9/23/2008 | Resolved |
| 13 | Develop and implement quality assurance procedures for certification and accreditation documentation | CSO,EDO,OIS | | | 9/23/2008 | Resolved |
| 14 | Develop and implement procedures to ensure employees/contractors receive proper security training. | CSO,EDO,OIS | | | 9/23/2008 | Resolved |
| **OIG-08-A-01 Results of the Audit of the United States Nuclear Regulatory Commission's Financial Statements for Fiscal Years 2007 and 2006 (11/9/2007)** | | | | | | |
| 1 | System Vulnerabilities | OCFO | 12/18/2007 | 11/28/2008 | | Resolved |
| 2 | Fee Billing System Controls | OCFO | 12/18/2007 | 11/28/2008 | | Resolved |
| **OIG-08-A-03 Audit of NRC's Alternative Dispute Resolution Program (12/14/2007)** | | | | | | |
| 2 | Incorporate guidance in Enforcement Policy | EDO,OE | 6/30/2008 | 1/16/2009 | | Resolved |
| 3 | Develop ADR tracking system | EDO,OE | 6/30/2008 | 1/16/2009 | | Resolved |
| **OIG-08-A-06 Memorandum Report: NRC's Planned Cybersecurity Program (3/18/2008)** | | | | | | |
| 1 | Develop and implement plans for a cybersecurity oversight program | EDO,NSIR | 5/6/2008 | 12/30/2008 | | Resolved |
| **OIG-08-A-07 Audit of NRC's Oversight of Licensees Nuclear Security Officers (3/18/2008)** | | | | | | |
| 1 | Revise regulations governing licensee behavioral observation programs. | EDO,NSIR | 9/17/2008 | 1/30/2009 | | Resolved |
| 2 | Integrate behavioral observation program regulations | EDO,NSIR | 9/17/2008 | 1/30/2009 | | Resolved |
| **OIG-08-A-09 Audit of NRC's Power Uprate Program (3/28/2008)** | | | | | | |
| 2 | Provide Cross-References to IP 71004 | EDO,NRR | 9/24/2008 | 3/15/2009 | | Resolved |
| 4 | Develop Safety Evaluation Writing Training for NRR Staff | EDO,NRR | 9/24/2008 | 3/15/2009 | | Resolved |
| 5 | Implement Internal Controls to Communicate the Safety Evaluation | EDO,NRR | 9/24/2008 | 3/15/2009 | | Resolved |
| 6 | Strengthen or Assign a Power Uprate Coordinating Authority | EDO,NRR | 9/24/2008 | 3/15/2009 | | Resolved |
| 7 | Identify Power Uprate Program Roles & Responsibilities | EDO,NRR | 9/24/2008 | 3/15/2009 | | Resolved |
| 8 | Develop an Information Sharing Tool for Project Managers | EDO,NRR | 9/24/2008 | 3/15/2009 | | Resolved |
| **OIG-08-A-10 MEMORANDUM REPORT: AUDIT OF NRC'S CONTINUITY OF OPERATIONS PLAN (5/21/2008)** | | | | | | |
| 1 | Physical Security Survey Guidance | ADM,EDO,NSI R | 7/22/2008 | 12/31/2008 | | Resolved |
| 2 | Conduct Physical Security Surveys | ADM,EDO,NSI R | 7/22/2008 | 12/31/2008 | | Resolved |
| **OIG-08-A-11 Audit of NRC's Accounting and Control Over Time and Labor Reporting (6/17/2008)** | | | | | | |
| 1 | High Level TACs | OCFO | 8/22/2008 | 12/19/2008 | | Resolved |
| 2 | Recordation vehicles | OCFO | 8/22/2008 | 12/19/2008 | | Resolved |
| 3 | Detailed System analysis | OCFO | 8/22/2008 | 12/19/2008 | | Resolved |

# Status of OIG Audit Recommendations

| Rec # | Description | Responsible Office | Last OIG Correspondence | Agency Response Due | Agency Response Received | Status |
|---|---|---|---|---|---|---|
| 4 | Electronic Signatures | OCFO | 8/22/2008 | 12/19/2008 | | Resolved |
| 5 | Retention Requirements | OCFO | 8/22/2008 | 12/19/2008 | | Resolved |
| 6 | Report on open work assignments | OCFO | 8/22/2008 | 12/19/2008 | | Resolved |
| **OIG-08-A-12 Audit of NRC's USAID-Funded Activities (7/15/2008)** | | | | | | |
| 2 | Correct Accounting Records | OIP | 10/9/2008 | 1/15/2009 | | Resolved |
| 3 | Develop Procedures | OIP | 10/9/2008 | 1/15/2009 | | Resolved |
| 4 | Internal Controls | OIP | 10/9/2008 | 1/15/2009 | | Resolved |
| **OIG-08-A-13 Evaluation of NRC's Training and Development Program (7/16/2008)** | | | | | | |
| 1 | Review course files | EDO,HR | | | 9/22/2008 | Unresolved |
| 2 | Develop a plan | EDO,HR | | | 9/22/2008 | Unresolved |
| 3 | Complete OP 404 | EDO,HR | | | 9/22/2008 | Unresolved |
| 4 | Centralize course materials | EDO,HR | | | 9/22/2008 | Unresolved |
| 5 | Lifecycle maintenance procedure | EDO,HR | | | 9/22/2008 | Unresolved |
| 6 | Form working group | EDO,HR | | | 9/22/2008 | Unresolved |
| 7 | Schedule courses | EDO,HR | | | 9/22/2008 | Unresolved |
| 8 | Impact on employees | EDO,HR | | | 9/22/2008 | Unresolved |
| 9 | New performance metrics | EDO,HR | | | 9/22/2008 | Unresolved |
| 10 | Leverage LMS capabilities | EDO,HR | | | 9/22/2008 | Unresolved |
| 11 | Develop cost tracking | EDO,HR | | | 9/22/2008 | Unresolved |
| 12 | Finalize OP 410 | EDO,HR | | | 9/22/2008 | Unresolved |
| 13 | Develop evaluation strategy | EDO,HR | | | 9/22/2008 | Unresolved |
| 14 | Evaluate collecting data | EDO,HR | | | 9/22/2008 | Unresolved |
| 15 | Develop e-learning strategy | EDO,HR | | | 9/22/2008 | Unresolved |
| 16 | Implementation plan | EDO,HR | | | 9/22/2008 | Unresolved |
| 17 | Develop a process | EDO,HR | | | 9/22/2008 | Unresolved |
| **OIG-08-A-14 Audit of NRC's Controls Over the Process for Eliminating Management Directives (7/18/2008)** | | | | | | |
| 1 | Add specificity to MD 1.1 | ADM,EDO | 9/3/2008 | 3/2/2009 | | Resolved |
| 2 | Publish hierarchy of guidance | EDO | 9/3/2008 | 3/2/2009 | | Resolved |
| **OIG-08-A-16 Audit of NRC's Premium Class Travel (9/12/2008)** | | | | | | |
| 1 | Update MD 14.1 | OCFO | | | 10/14/2008 | Unresolved |
| 2 | Interim Guidance | OCFO | | | 10/14/2008 | Unresolved |
| 3 | Traveler Training | OCFO | | | 10/14/2008 | Unresolved |
| 4 | Internal Controls - Tickets | OCFO | | | 10/14/2008 | Unresolved |
| 5 | Internal Controls - Vouchers | OCFO | | | 10/14/2008 | Unresolved |
| 6 | eTravel | OCFO | | | 10/14/2008 | Unresolved |

# Status of OIG Audit Recommendations

| Rec # | Description | Responsible Office | Last OIG Correspondence | Agency Response Due | Agency Response Received | Status |
|---|---|---|---|---|---|---|
| 7 | Chairman Travel | OCFO | | | 10/14/2008 | Unresolved |
| ⊟ **OIG-08-A-17 Audit of NRC's Enforcement Program (9/26/2008)** | | | | | | |
| 1 | Develop guidance | EDO,OE | | | 10/27/2008 | Unresolved |
| 2 | Define data collection requirements | EDO,OE | | | 10/27/2008 | Unresolved |
| 3 | Develop QA process | EDO,OE | | | 10/27/2008 | Unresolved |
| ⊟ **OIG-08-A-18 Independent Evaluation of NRC's Implementation of FISMA for FY 2008 (9/26/2008)** | | | | | | |
| 1 | Update the NRC System Information Control Database to identify all interfaces between systems | EDO,OIS | | | 10/27/2008 | Unresolved |
| 2 | Develop procedures for NRC System Information Control Database | EDO,OIS | | | 10/27/2008 | Unresolved |
| 3 | Develop policy/procedures for FDCC for all desktops and laptops | CSO,EDO | | | 10/27/2008 | Unresolved |
| 4 | Develop process for verifying FDCC controls are implemented on all desktops/laptops | CSO,EDO,OIS | | | 10/27/2008 | Unresolved |
| ⊟ **OIG-08-A-19 Audit of NRC's Laptop Management (9/30/2008)** | | | | | | |
| 1 | Develop policies and procedures for monitoring security controls. | EDO,OIS | 9/30/2008 | 10/30/2008 | | Unresolved |
| 2 | Communicate Policy for monitoring of Security Controls | EDO,OIS | 9/30/2008 | 10/30/2008 | | Unresolved |
| 3 | Provide mandatory formal training to IT Coordinators and Property Custodians | EDO,OIS | 9/30/2008 | 10/30/2008 | | Unresolved |
| 4 | Develop a process for verifying security controls are implemented on agency laptops | EDO,OIS | 9/30/2008 | 10/30/2008 | | Unresolved |
| 5 | Develop a protocol for updating agency laptops | EDO,OIS | 9/30/2008 | 10/30/2008 | | Unresolved |