

PART X

THERAPEUTIC RADIATION MACHINES

1. Scope.

This part establishes requirements for use of therapeutic radiation machines. The provisions of this part are in addition to, and not in substitution for, other applicable provisions of these regulations. The use of therapeutic radiation machines shall be by, or under the supervision of, a licensed practitioner of the healing arts.

2. Definitions.

As used in this part the following definitions apply.

Absorbed dose rate means absorbed dose per unit time, for machines with timers, or dose monitor unit per unit time for linear accelerators.

Accessible surface means surface of equipment or of an equipment part that can be easily or accidentally touched by persons without the use of a tool.

Air kerma (K) means the kinetic energy released in air by ionizing radiation. Kerma is determined as the quotient of dE by dM, where dE is the sum of the initial kinetic energies of all the charged ionizing particles liberated by uncharged ionizing particles in air of mass dM. The SI unit of air kerma is joule per kilogram and the special name for the unit of kerma is the gray (Gy).

Authorized Medical Physicist means an individual qualified in accordance with Part G..

Beam scattering foil means a thin piece of material (usually metallic) placed in the beam to scatter a beam of electrons in order to provide a more uniform electron distribution in the useful beam.

Bent beam linear accelerator means a linear accelerator geometry in which the accelerated electron beam must change direction by passing through a bending magnet.

Contact therapy system means a therapeutic radiation machine with a short target to skin distance (TSD), usually less than 5 centimeters.

Dose monitor unit (DMU) means a unit response from the beam monitoring system from which the absorbed dose can be calculated.

External beam radiation therapy means therapeutic irradiation in which the source of radiation is at a distance from the body.

Field flattening filter means a filter used to homogenize the absorbed dose rate over the radiation field.

Filter means material placed in the useful beam to change beam quality in therapeutic radiation machines subject to Subpart X.6.

Gantry means that part of a radiation therapy system supporting and allowing movements of the radiation head about a center of rotation.

Interruption of irradiation means the stopping of irradiation with the possibility of continuing irradiation without resetting of operating conditions at the control panel.

Isocenter means the center of the sphere through which the useful beam axis passes while the gantry moves through its full range of motions.

Leakage radiation means radiation emanating from the radiation therapy system except for the useful beam.

Light field means the area illuminated by light, simulating the radiation field.

Megavolt (MV) [mega electron volt (MeV)] means the energy equal to that acquired by a particle with one electron charge in passing through a potential difference of one million volts in a vacuum. [Note: current convention is to use MV for photons and MeV for electrons.]

Moving beam radiation therapy means radiation therapy with any planned displacement of radiation field or patient relative to each other, or with any planned change of absorbed dose distribution. It includes arc, skip, conformal, intensity modulation and rotational therapy.

Nominal treatment distance means:

- (1) For electron irradiation, the distance from the scattering foil, virtual source, or exit window of the electron beam to the entrance surface of the irradiated object along the central axis of the useful beam.
- (2) For X-ray irradiation, the virtual source or target to isocenter distance along the central axis of the useful beam. For non-isocentric equipment, this distance shall be that specified by the manufacturer.

Periodic quality assurance check means a procedure, which is performed to ensure that a previous calibration continues to be valid.

Practical range of electrons corresponds to classical electron range where the only remaining contribution to dose is from bremsstrahlung X-rays.

Primary dose monitoring system means a system which will monitor the useful beam during irradiation and which will terminate irradiation when a pre-selected number of dose monitor units have been delivered.

Radiation field see "Useful beam".

Redundant beam monitoring system means a combination of two dose monitoring systems in which each system is designed to terminate irradiation in accordance with a preselected number of dose monitor units.

Secondary protective barrier see "Protective barrier".

Shadow tray means a device attached to the radiation head to support auxiliary beam blocking material.

Simulator (radiation therapy simulation system) means any X ray system intended for localizing the volume to be exposed during radiation therapy and reproducing the position and size of the therapeutic irradiation field.

Source skin distance (SSD) see "Target skin distance".

Stationary beam radiation therapy means radiation therapy without displacement of one or more mechanical axes relative to the patient during irradiation.

Target means that part of an X ray tube or accelerator onto which a beam of accelerated particles is directed to produce ionizing radiation or other particles.

Target skin distance (TSD) means the distance measured along the beam axis from the center of the front surface of the X ray target and/or electron virtual source to the surface of the irradiated object or patient.

Tenth value layer (TVL) means the thickness of a specified material which attenuates X radiation or gamma radiation to an extent such that the air kerma rate, exposure rate, or absorbed dose rate is reduced to one tenth of the value measured without the material at the same point.

Therapeutic radiation machine means X ray or electron producing equipment designed and used for external beam radiation therapy.

3. Administrative Requirements

A. Administrative Controls.

(1) Registrant. The registrant shall be responsible for directing the operation of the therapeutic radiation machines under his/her administrative control. The registrant or the registrant's agent shall ensure that the requirements of Part X are met in the operation of the therapeutic radiation machine(s).

a. A therapeutic radiation machine that does not meet the provisions of these regulations shall not be used for irradiation of patients.

b. Individuals who will be operating a therapeutic radiation machine for medical use shall be adequately instructed in the safe operating procedures and be competent in the safe use of the equipment. The licensing requirements pursuant to 32 MRSA Chapters 103 § 9851 et seq and 331 § 1100 I et seq as well as the associated rules established by the Radiologic Technology Board of Examiners shall be followed.

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(2) Written safety procedures and rules shall be developed by an ~~Authorized Medical~~ Physicist and shall be available in the control area of a therapeutic radiation machine, including any restrictions required for the safe operation of the particular therapeutic radiation machine. The operator shall be able to demonstrate familiarity with these rules.

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(3) Individuals shall not be exposed to the useful beam except for medical therapy purposes unless such exposure has been ordered in writing by a licensed practitioner of the healing arts. This provision specifically prohibits deliberate exposure of an individual for training, demonstration or other non-healing arts purposes.

(4) All individuals associated with the operation of a therapeutic radiation machine shall be instructed in and shall comply with the provisions of the registrant's quality management program.

(5) Information and Maintenance Record and Associated Information. The registrant shall maintain the following information in a separate file or package for each therapeutic radiation machine, for inspection by the Agency:

a. Report of acceptance testing;

b. Records of all surveys, calibrations, and periodic quality assurance checks of the therapeutic radiation machine required by Part X, as well as the name(s) of person(s) who performed such activities;

- c. Records of maintenance and/or modifications performed on the therapeutic radiation machine after August 1, 2001 as well as the name(s) of person(s) who performed such services;
- d. Signature of person authorizing the return of therapeutic radiation machine to clinical use after service, repair, or upgrade.

B. Records Retention.

- (1) All records required by Part X shall be retained until disposal is authorized by the Agency unless another retention period is specifically authorized in Part X.
- (2) All required records shall be retained in an active file from at least the time of generation until the next inspection.

4. General Requirements

A. Protection Surveys.

- (1) The registrant shall utilize the services of an Agency approved Radiological Physicist to determine the shielding requirement prior to plan review and approval by the Agency.
- (2) The registrant shall ensure that radiation protection surveys of all new facilities, and annually for existing facilities with an operable radiation measurement survey instrument calibrated in accordance with X.8. The radiation protection survey shall be performed by, or under the direction of an Agency approved Radiological Physicist and shall verify that, with the therapeutic radiation machine in a "BEAM ON" condition, with the largest clinically available treatment field and with a scattering phantom in the useful beam of radiation:
 - a. Radiation levels in restricted areas are not likely to because personnel exposures in excess of the limits specified in Part D of these regulations.; and
 - b. Radiation levels in unrestricted areas do not exceed the limits specified in Part D of these regulations.
- (3) In addition to the requirements of Part X.4(2) a radiation protection survey shall also be performed prior to any subsequent medical use:
 - a. After making any change in the treatment room shielding;
 - b. After making any change in the location of the therapeutic radiation machine within the treatment room;
 - c. After relocating the therapeutic radiation machine.
- (4) The survey record shall indicate all instances where the facility, in the opinion of a qualified expert, is in violation of applicable regulations. The survey record shall also include: the date of the measurements; the reason the survey is required; the manufacturer's name; model number and serial number of the therapeutic radiation machine; the instrument(s) used to measure radiation levels; the measured dose rate at several points in each area expressed in microsieverts or millirems per hour; the calculated maximum level of radiation over a period of 1 week for each restricted and unrestricted area; and the signature of the individual responsible for conducting the survey;

- (5) If the results of the surveys required by X.4.A.(2) or X.4.A.(3) indicate any radiation levels in excess of the respective limit specified in X.4.A.(2) the registrant shall lock the control in the "OFF" position and not use the unit:
 - a. Except as may be necessary to repair, replace, or test the therapeutic radiation machine, the therapeutic radiation machine shielding, or the treatment room shielding; or
 - b. Until the registrant has received a specific exemption from the Agency.

B. Modification of Radiation therapy Unit

- (1) Modification of radiation therapy unit or room before beginning a treatment program. If the survey required by X.4.A indicates that an individual in an unrestricted area may be exposed to levels of radiation greater than those permitted by Parts D. of these regulations, before beginning the treatment program the registrant shall:
 - a. Either equip the unit with beam direction interlocks or add additional radiation shielding to ensure compliance with Part D. of these regulations
 - b. Perform the survey required by X.4.A. again; and
 - c. Include in the report required by X.4 the results of the initial survey, a description of the modification made to comply with X.4 and the results of the second survey;

C. Dosimetry Equipment.

- (1) The registrant shall have a calibrated dosimetry system available for use. The system shall have been calibrated by the National Institute for Standards and Technology (NIST) or by an American Association of Physicists in Medicine (AAPM) Accredited Dosimetry Calibration Laboratory (ADCL). The calibration shall have been performed within the previous 24 months and after any servicing that may have affected system calibration.
 - a. For beams with energies greater than 1 MV (1 MeV), the dosimetry system shall have been calibrated for Cobalt 60;
 - b. For beams with energies equal to or less than 1 MV (1 MeV), the dosimetry system shall have been calibrated at an energy (energy range) appropriate for the radiation being measured;
- (2) The registrant shall have available for use a dosimetry system for quality assurance check measurements. To meet this requirement, the system may be compared with a system that has been calibrated in accordance with X.4.C.(1). This comparison shall have been performed within the previous 12 months and after each servicing that may have affected system calibration. The quality assurance check system may be the same system used to meet the requirement in X.4.C.(1);
- (3) The registrant shall maintain a record of each dosimetry system calibration, intercomparison, and comparison for the duration of the license and/or registration. For each calibration, intercomparison, or comparison, the record shall include: the date; the model numbers and serial numbers of the instruments that were calibrated, inter-compared, or compared as required by X.4.C.(1) and X.4.C.(2); the correction factors that were determined; the names of the individuals who performed the calibration, intercomparison, or comparison; and evidence that the intercomparison was performed by, or under the direct supervision and in the physical presence of ~~an Authorized Medical~~ Physicist.

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D. Reports of External Beam Radiation Therapy Surveys and Measurements. The registrant for any therapeutic radiation machine subject to X.6 or X.7 shall furnish a copy of the records required in X.4.A. and X.4.B. to the Agency within 30 days following completion of the action that initiated the record requirement.

5. Quality Management Program.

A. The facility shall implement a quality management program. The facility may use the quality management programs found in either Appendix B or Appendix C.

6. Therapeutic Radiation Machines of Less Than 500 kV.

A. Leakage Radiation. When the X-ray tube is operated at its maximum rated tube current for the maximum kV, the leakage air kerma rate shall not exceed the value specified at the distance specified for that classification of therapeutic radiation machine:

(1) 50 kV Systems. The leakage air kerma rate measured at any position 5 centimeters from the tube housing assembly shall not exceed 100 mrad (1 mGy) in any one hour.

(2) >50 and <500 kV Systems. The leakage air kerma rate measured at a distance of 1 meter from the target in any direction shall not exceed 1 rad (1 cGy) in any 1 hour. This air kerma rate measurement may be averaged over areas no larger than 100 square centimeters. In addition, the air kerma rate at a distance of 5 centimeters from the surface of the tube housing assembly shall not exceed 30 rad (30 cGy) per hour.

(3) For each therapeutic radiation machine, the registrant shall determine, or obtain from the manufacturer, the leakage radiation existing at the positions specified in X.6.A.(1). and X.6.A.(2). for the specified operating conditions. Records on leakage radiation measurements shall be maintained at the installation for inspection by the Agency.

B. Permanent Beam Limiting Devices. Permanent diaphragms or cones used for limiting the useful beam shall provide at least the same degree of attenuation as required for the tube housing assembly.

C. Adjustable or Removable Beam Limiting Devices.

(1) All adjustable or removable beam limiting devices, diaphragms, cones or blocks shall not transmit more than 5 percent of the useful beam for the most penetrating beam used;

(2) When adjustable beam limiting devices are used, the position and shape of the radiation field shall be indicated by a light beam.

D. Filter System. The filter system shall be so designed that:

(1) Filters cannot be accidentally displaced at any possible tube orientation;

(2) For equipment installed after August 1, 2001, an interlock system prevents irradiation if the proper filter is not in place;

(3) The air kerma rate escaping from the filter slot shall not exceed 1 rad (1 cGy) per hour at 1 meter under any operating conditions; and

(4) Each filter shall be marked as to its material of construction and its thickness.

- E. Tube Immobilization.
- (1) The X-ray tube shall be so mounted that it can not accidentally turn or slide with respect to the housing aperture; and
 - (2) The tube housing assembly shall be capable of being immobilized for stationary portal treatments.
- F. Source Marking. The tube housing assembly shall be so marked that it is possible to determine the location of the source to within 5 millimeters, and such marking shall be readily accessible for use during calibration procedures.
- G. Beam Block. Contact therapy tube housing assemblies shall have a removable shield of material, equivalent in attenuation to 0.5 millimeters of lead at 100 kV, which can be positioned over the entire useful beam exit port during periods when the beam is not in use.
- H. Timer. A suitable irradiation control device shall be provided to terminate the irradiation after a preset time interval.
- (1) A timer with a display shall be provided at the treatment control panel. The timer shall have a preset time selector and an elapsed time or time remaining indicator;
 - (2) The timer shall be a cumulative timer that activates with an indication of "BEAM ON" and retains its reading after irradiation is interrupted or terminated. After irradiation is terminated and before irradiation can be reinitiated, it shall be necessary to reset the elapsed time indicator;
 - (3) The timer shall terminate irradiation when a preselected time has elapsed, if any dose monitoring system present has not previously terminated irradiation;
 - (4) The timer shall permit accurate presetting and determination of exposure times as short as 1 second;
 - (5) The timer shall not permit an exposure if set at zero;
 - (6) The timer shall not activate until the shutter is opened when irradiation is controlled by a shutter mechanism unless calibration includes a timer error correction to compensate for mechanical lag; and
 - (7) Timer shall be accurate to within 1 percent of the selected value or 1 second, whichever is greater.
- I. Control Panel Functions. The control panel, in addition to the displays required by other provisions in X.6. shall have:
- (1) An indication of whether electrical power is available at the control panel and if activation of the X-ray tube is possible;
 - (2) An indication of whether X-rays are being produced;
 - (3) A means for indicating X-ray tube potential and current;
 - (4) The means for terminating an exposure at any time;
 - (5) A locking device which will prevent unauthorized use of the therapeutic radiation machine; and

- (6) For therapeutic radiation machines manufactured after August 1, 2001, a positive display of specific filter(s) in the beam.
- J. Multiple Tubes. When a control panel may energize more than one X-ray tube:
- (1) It shall be possible to activate only one X-ray tube at any time;
 - (2) There shall be an indication at the control panel identifying which X-ray tube is activated; and
 - (3) There shall be an indication at the tube housing assembly when that tube is energized.
- K. Target Skin Distance (TSD). There shall be a means of determining the central axis TSD to within 1 centimeter and of reproducing this measurement to within 2 millimeters thereafter.
- L. Shutters. Unless it is possible to bring the X-ray output to the prescribed exposure parameters within 5 seconds after the X-ray "ON" switch is energized, the beam shall be attenuated by a shutter having a lead equivalency not less than that of the tube housing assembly. In addition, after the unit is at operating parameters, the shutter shall be controlled by the operator from the control panel. An indication of shutter position shall appear at the control panel.
- M. Low Filtration X-ray Tubes. Each therapeutic radiation machine equipped with a beryllium or other low filtration window shall be clearly labeled as such upon the tube housing assembly and shall be provided with a permanent warning device on the control panel that is activated when no additional filtration is present, to indicate that the dose rate is very high.
- N. Facility Design Requirements for Therapeutic Radiation Machines Capable of Operating in the Range 50 kV to 500 kV. In addition to shielding adequate to meet requirements of X.9, the treatment room shall meet the following design requirements:
- (1) Aural Communication. Provision shall be made for continuous two-way aural communication between the patient and the operator at the control panel;
 - (2) Viewing Systems. Provision shall be made to permit continuous observation of the patient during irradiation and the viewing system shall be so located that the operator can observe the patient from the control panel. The therapeutic radiation machine shall not be used for patient irradiation unless at least one viewing system is operational.
- O. Additional Requirements. Treatment rooms that contain a therapeutic radiation machine capable of operating above 150 kV shall meet the following additional requirements:
- (1) All protective barriers shall be fixed except for entrance doors or beam interceptors;
 - (2) The control panel shall be located outside the treatment room or in a totally enclosed booth, which has a ceiling, inside the room;
 - (3) Interlocks shall be provided such that all entrance doors, including doors to any interior booths, shall be closed before treatment can be initiated or continued. If the radiation beam is interrupted by any door opening, it shall not be possible to restore the machine to operation without closing the door and reinitiating irradiation by manual action at the control panel; and
 - (4) When any door referred to in X.6.O.(3) is opened while the X-ray tube is activated, the air kerma rate at a distance of 1 meter from the source shall be reduced to less than 100 rad (1 mGy) per hour.

P. Full Calibration Measurements.

(1) Full calibration of a therapeutic radiation machine subject to X.6 shall be performed by, or under the direct supervision of, an **Authorized Medical Physicist**:

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a. Before the first medical use following installation or reinstallation of the therapeutic radiation machine;

b. At intervals not exceeding 1 year; and

c. Before medical use under the following conditions:

(i) Whenever quality assurance check measurements indicate that the radiation output differs by more than 5 percent from the value obtained at the last full calibration and the difference cannot be reconciled; and

(ii) Following any component replacement, major repair, or modification of components that could significantly affect the characteristics of the radiation beam.

d. Notwithstanding the requirements of X.6.P.(1).c.:

(i) Full calibration of therapeutic radiation machines with multi-energy capabilities is required only for those modes and/or energies that are not within their acceptable range; and

(ii) If the repair, replacement or modification does not affect all energies, full calibration shall be performed on the affected energy that is in most frequent clinical use at the facility. The remaining energies may be validated with quality assurance check procedures against the criteria in X.6.P(1)c.(i)

(2) To satisfy the requirement of X.6.P.(1). full calibration shall include all measurements recommended for annual calibration by NCRP Report 69, "Dosimetry of X-Ray and Gamma Ray Beams for Radiation Therapy in the Energy Range 10 keV to 50 MeV" (1981).

(3) The registrant shall maintain a record of each calibration for the duration of the registration. The record shall include: the date of the calibration; the manufacturer's name, model number, and serial number for both the therapeutic radiation machine and the X-ray tube; the model numbers and serial numbers of the instruments used to calibrate the therapeutic radiation machine; and the signature of the **Authorized Medical Physicist** responsible for performing the calibration.

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Q. Periodic Quality Assurance Checks.

(1) Periodic quality assurance checks shall be performed on therapeutic radiation machines subject to X.6, which are capable of operation at greater than or equal to 50 kV.

(2) To satisfy the requirement of X.6.Q.(1), quality assurance checks shall meet the following requirements:

a. The registrant shall perform quality assurance checks in accordance with written procedures established by the **Authorized Medical Physicist**; and

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b. The quality assurance check procedures shall specify the frequency at which tests or measurements are to be performed. The quality assurance check procedures shall specify that the quality assurance check shall be performed during the calibration specified in X.6.P.(1). The acceptable tolerance for each parameter measured in the quality assurance check, when compared to the value for that parameter determined in the calibration specified in X.6.P.(1), shall be stated.

(3) The cause for a parameter exceeding a tolerance set by the **Authorized Medical Physicist** shall be investigated and corrected before the system is used for patient irradiation;

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(4) Whenever a quality assurance check indicates a significant change in the operating characteristics of a system, as specified in the **Authorized Medical Physicist's** quality assurance check procedures, the system shall be recalibrated as required in X.6.P.(1);

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(5) The registrant shall use the dosimetry system described in X.4.C.(2) to make the quality assurance check required in X.6.Q.(2.);

(6) The registrant shall have the **Authorized Medical Physicist** review and sign the results of each radiation output quality assurance check within 1 month of the date that the check was performed;

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(7) The registrant shall ensure that safety quality assurance checks of therapeutic radiation machines subject to X.6 are performed at intervals not to exceed 1 month;

(8) Notwithstanding the requirements of X.6.Q.(6) and X.6.Q.(7), the registrant shall ensure that no therapeutic radiation machine is used to administer radiation to humans unless the quality assurance checks required by X.6.Q.(6) and X.6.Q.(7) have been performed within the 30-day period immediately prior to said administration;

(9) To satisfy the requirement of X.6.Q.(7), safety quality assurance checks shall ensure proper operation of:

- a. Electrical interlocks at each external beam radiation therapy room entrance;
- b. The "BEAM ON" and termination switches;
- c. Beam condition indicator lights on the access door(s), control console, and in the radiation therapy room;
- d. Viewing systems;
- e. If applicable, electrically operated treatment room doors from inside and outside the treatment room;

(10) The registrant shall maintain a record of each quality assurance check required by X.6.Q.(1) and X.6.Q.(7) for 3 years. The record shall include: the date of the quality assurance check; the manufacturer's name, model number, and serial number of the therapeutic radiation machine; the manufacturer's name, model number and serial number for the instrument(s) used to measure the radiation output of the therapeutic radiation machine; and the signature of the individual who performed the periodic quality assurance check.

R. Operating Procedures.

- (1) The therapeutic radiation machine shall not be used for irradiation of patients unless the requirements of X.6.P and X.6.Q have been met;
- (2) Therapeutic radiation machines shall not be left unattended unless secured pursuant to X.6.I.(5);
- (3) When a patient must be held in position for radiation therapy, mechanical supporting or restraining devices shall be used;
- (4) The tube housing assembly shall not be held by an individual during operation unless the assembly is designed to require such holding and the peak tube potential of the system does not exceed 50 kV. In such cases, the holder shall wear protective gloves and apron of not less than 0.5 millimeters lead equivalency at 100 kV;
- (5) A copy of the current operating and emergency procedures shall be maintained at the therapeutic radiation machine control console; and
- (6) No individual other than the patient shall be in the treatment room during exposures from therapeutic radiation machines operating above 150 kV. At energies less than or equal to 150 kV, any individual, other than the patient, in the treatment room shall be protected by a barrier sufficient to meet the requirements of Part D of these regulations.

S. Possession of Survey Instrument(s). Each facility location authorized to use a therapeutic radiation machine in accordance with X.6 shall possess appropriately calibrated portable monitoring equipment. As a minimum, such equipment shall include a portable radiation measurement survey instrument capable of measuring dose rates over the range 1 mrem (10 μ Sv) per hour to 1 rem (10 mSv) per hour. The survey instrument(s) shall be operable and calibrated in accordance with X.8.

7. Therapeutic Radiation Machines, Photon Therapy Systems (500 kV and Above) and Electron Therapy Systems (500 keV and Above).

A. Possession of Survey Instrument(s). Each facility location authorized to use a therapeutic radiation machine in accordance with X.7 shall possess appropriately calibrated portable monitoring equipment. As a minimum, such equipment shall include a portable radiation measurement survey instrument capable of measuring dose rates over the range 1 mrem (10 μ Sv) per hour to 1 rem (10 mSv) per hour. The survey instrument(s) shall be operable and calibrated in accordance with X.8.

B. Leakage Radiation Outside the Maximum Useful Beam in Photon and Electron Modes.

- (1) The absorbed dose due to leakage radiation (excluding neutrons) at any point outside the maximum sized useful beam, but within a circular plane of radius 2 meters which is perpendicular to and centered on the central axis of the useful beam at the nominal treatment distance (i.e. patient plane), shall not exceed a maximum of 0.2 percent and an average of 0.1 percent of the absorbed dose on the central axis of the beam at the nominal treatment distance. Measurements shall be averaged over an area not exceeding 100 square centimeters at a minimum of 16 points uniformly distributed in the plane;
- (2) Except for the area defined in X.7.B.(1), the absorbed dose due to leakage radiation (excluding neutrons) at 1 meter from the electron path between the electron source and the target or electron window shall not exceed 0.5 percent of the absorbed dose on the central axis of the beam at the nominal treatment distance. Measurements shall be averaged over an area not exceeding 100 square centimeters;
- (3) For equipment manufactured after August 1, 2001, the neutron absorbed dose outside the useful beam shall be in compliance with International Electrotechnical Commission (IEC)

Document 601-2-1 (most current revision); and

- (4) For each therapeutic radiation machine, the registrant shall determine, or obtain from the manufacturer, the leakage radiation existing at the positions specified in X.7.B.(1) through X.7.B.(3) for the specified operating conditions. Records on leakage radiation measurements shall be maintained at the installation for inspection by the Agency.

C. Leakage Radiation Through Beam Limiting Devices.

- (1) Photon Radiation. All adjustable or interchangeable beam limiting devices shall attenuate the useful beam such that at the nominal treatment distance, the maximum absorbed dose anywhere in the area shielded by the beam limiting device(s) shall not exceed 2 percent of the maximum absorbed dose on the central axis of the useful beam measured in a 10 centimeter by 10 centimeter radiation field;
- (2) Electron Radiation. All adjustable or interchangeable electron applicators shall attenuate the radiation, including but not limited to photon radiation generated by electrons incident on the beam limiting device and electron applicator and other parts of the radiation head, such that the absorbed dose in a plane perpendicular to the central axis of the useful beam at the nominal treatment distance shall not exceed:
 - a. A maximum of 2 percent and average of 0.5 percent of the absorbed dose on the central axis of the useful beam at the nominal treatment distance. This limit shall apply beyond a line 7 centimeters outside the periphery of the useful beam; and
 - b. A maximum of 10 percent of the absorbed dose on the central axis of the useful beam at the nominal treatment distance. This limit shall apply beyond a line 2 centimeters outside the periphery of the useful beam.

(3) Measurement of Leakage Radiation.

- a. Photon Radiation. Measurements of leakage radiation through the beam limiting devices shall be made with the beam limiting devices closed and any residual aperture blocked by at least 2 tenth value layers of suitable absorbing material. In the case of overlapping beam-limiting devices, the leakage radiation through each set shall be measured independently at the depth of maximum dose. Measurements shall be made using a radiation detector of area not exceeding 10 square centimeters;
- b. Electron Radiation. Measurements of leakage radiation through the electron applicators shall be made with the electron beam directed into the air and using a radiation detector of area up to but not exceeding 1 square centimeter suitably protected against radiation, which has been scattered from material beyond the radiation detector. Measurements shall be made using 1 centimeter of water equivalent build up material.

D. Filters/Wedges.

- (1) Each wedge filter that is removable from the system shall be clearly marked with an identification number. For removable wedge filters, the nominal wedge angle shall appear on the wedge or wedge tray (if permanently mounted to the tray). If the wedge or wedge tray is significantly damaged, the wedge transmission factor shall be re-determined;
- (2) If the absorbed dose rate information required by X.7(1) relates exclusively to operation with a field flattening filter or beam scattering foil in place, such foil or filter shall be removable only by the use of tools;
- (3) For equipment manufactured after August 1, 2001, which utilizes wedge filters, interchangeable field flattening filters, or interchangeable beam scattering foils:

- a. Irradiation shall not be possible until a selection of a filter or a positive selection to use "no filter" has been made at the treatment control panel, either manually or automatically;
 - b. An interlock system shall be provided to prevent irradiation if the filter selected is not in the correct position;
 - c. A display shall be provided at the treatment control panel showing the wedge filter(s), interchangeable field flattening filter(s), and/or interchangeable beam scattering foil(s) in use; and
 - d. An interlock shall be provided to prevent irradiation if any filter and/or beam scattering foil selection operation carried out in the treatment room does not agree with the filter and/or beam scattering foil selection operation carried out at the treatment control panel.
- E. Stray Radiation in the Useful Beam. For equipment manufactured after August 1, 2001, the registrant shall determine during acceptance testing, or obtain from the manufacturer, data sufficient to ensure that X-ray stray radiation in the useful electron beam, absorbed dose at the surface during X-ray irradiation and stray neutron radiation in the useful X-ray beam are in compliance with International Electrotechnical Commission (IEC) Document 601-2-1 (most current revision).
- F. Beam Monitors. All therapeutic radiation machines subject to X.7 shall be provided with redundant beam monitoring systems. The sensors for these systems shall be fixed in the useful beam during treatment to indicate the dose monitor unit rate.
- (1) Equipment manufactured after August 1, 2001, shall be provided with at least 2 independently powered integrating dose meters. Alternatively, common elements may be used if the production of radiation is terminated upon failure of any common element.
 - (2) Equipment manufactured on or before August 1, 2001, shall be provided with at least 1 radiation detector. This detector shall be incorporated into a useful beam monitoring system;
 - (3) The detector and the system into which that detector is incorporated shall meet the following requirements:
 - a. Each detector shall be removable only with tools and, if movable, shall be interlocked to prevent incorrect positioning;
 - b. Each detector shall form part of a beam monitoring system from whose readings in dose monitor units the absorbed dose at a reference point can be calculated;
 - c. Each beam monitoring system shall be capable of independently monitoring, interrupting, and terminating irradiation; and
 - d. For equipment manufactured after August 1, 2001, the design of the beam monitoring systems shall ensure that the:
 - i. Malfunctioning of one system shall not affect the correct functioning of the other system(s); and
 - ii. Failure of either system shall terminate irradiation or prevent the initiation of radiation.
 - e. Each beam monitoring system shall have a legible display at the treatment control panel. For equipment manufactured after August 1, 2001, each display shall:

- i. Maintain a reading until intentionally reset;
- ii. Have only one scale and no electrical or mechanical scale multiplying factors;
- iii. Utilize a design such that increasing dose is displayed by increasing numbers; and
- iv. In the event of power failure, the beam monitoring information required in X.7.F.(3)(e)iii. displayed at the control panel at the time of failure shall be retrievable in at least one system for a 20 minute period of time.

G. Beam Symmetry.

- (1) Bent beam linear accelerators subject to X.7 shall be provided with auxiliary device(s) to monitor beam symmetry;
- (2) The device(s) referenced in X.7.G.(1) shall be able to detect field asymmetry greater than 10 percent; and
- (3) The device(s) referenced in X.7.G.(1) shall be configured to terminate irradiation if the specifications in X.7.G.(2) cannot be maintained.

H. Selection and Display of Dose Monitor Units.

- (1) Irradiation shall not be possible until a new selection of a number of dose monitor units has been made at the treatment control panel;
- (2) The pre-selected number of dose monitor units shall be displayed at the treatment control panel until reset manually for the next irradiation;
- (3) After termination of irradiation, it shall be necessary to reset the dosimeter display before subsequent treatment can be initiated; and
- (4) For equipment manufactured after August 1, 2001, after termination of irradiation, it shall be necessary for the operator to reset the pre-selected dose monitor units before irradiation can be initiated.

I. Air Kerma Rate/Absorbed Dose Rate. For equipment manufactured after August 1, 2001, a system shall be provided from whose readings the air kerma rate or absorbed dose rate at a reference point can be calculated. [The radiation detectors specified in X.7.F may form part of this system.] In addition:

- (1) The dose monitor unit rate shall be displayed at the treatment control panel;
- (2) If the equipment can deliver under any conditions an air kerma rate or absorbed dose rate at the nominal treatment distance more than twice the maximum value specified by the manufacturer, a device shall be provided which terminates irradiation when the air kerma rate or absorbed dose rate exceeds a value twice the specified maximum. The dose rate at which the irradiation will be terminated shall be a record maintained by the registrant;
- (3) If the equipment can deliver under any fault condition(s) an air kerma rate or absorbed dose rate at the nominal treatment distance more than ten (10) times the maximum value specified

by the manufacturer, a device shall be provided to prevent the air kerma rate or absorbed dose rate anywhere in the radiation field from exceeding twice the specified maximum value and to terminate irradiation if the excess absorbed dose at the nominal treatment distance exceeds 400 rad (4 Gy); and

- (4) For each therapeutic radiation machine, the registrant shall determine, or obtain from the manufacturer, the maximum value(s) specified in X.7.1.(2) and X.7.1.(3) for the specified operating conditions. Records of these maximum value(s) shall be maintained at the installation for inspection by the Agency.
- J. Termination of Irradiation by the Beam Monitoring System or Systems During Stationary Beam Radiation Therapy.
- (1) Each primary system shall terminate irradiation when the pre-selected number of dose monitor units has been detected by the system;
 - (2) If the original design of the equipment included a secondary dose monitoring system, that system shall be capable of terminating irradiation when not more than 15 percent or 40 dose monitor units above the pre-selected number of dose monitor units set at the control panel has been detected by the secondary dose monitoring system; and
 - (3) For equipment manufactured after August 1, 2001, an indicator on the control panel shall show which monitoring system has terminated irradiation.
- K. Termination of Irradiation. It shall be possible to terminate irradiation and equipment movement or go from an interruption condition to termination condition at any time from the operator's position at the treatment control panel.
- L. Interruption of Irradiation. If a therapeutic radiation machine has an interrupt mode, it shall be possible to interrupt irradiation and equipment movements at any time from the treatment control panel. Following an interruption it shall be possible to restart irradiation by operator action without any reselection of operating conditions. If any change is made of a pre-selected value during an interruption, irradiation and equipment movements shall be automatically terminated.
- M. Timer. A suitable irradiation control device shall be provided to terminate the irradiation after a pre-set time interval.
- (1) A timer shall be provided which has a display at the treatment control panel. The timer shall have a pre-set time selector and an elapsed time indicator;
 - (2) The timer shall be a cumulative timer that activates with an indication of "BEAM ON" and retains its reading after irradiation is interrupted or terminated. After irradiation is terminated and before irradiation can be reinitiated, it shall be necessary to reset the elapsed time indicator;
 - (3) The timer shall terminate irradiation when a pre-selected time has elapsed, if the dose monitoring systems have not previously terminated irradiation.
- N. Selection of Radiation Type. Equipment capable of both X-ray therapy and electron therapy shall meet the following additional requirements:
- (1) Irradiation shall not be possible until a selection of radiation type (X-rays or electrons) has been made at the treatment control panel;
 - (2) The radiation type selected shall be displayed at the treatment control panel before and during irradiation;

- (3) An interlock system shall be provided to ensure that the equipment can principally emit only the radiation type that has been selected;
 - (4) An interlock system shall be provided to prevent irradiation with X-rays, except to obtain an image, when electron applicators are fitted;
 - (5) An interlock system shall be provided to prevent irradiation with electrons when accessories specific for X-ray therapy are fitted; and
 - (6) An interlock system shall be provided to prevent irradiation if any selected operations carried out in the treatment room do not agree with the selected operations carried out at the treatment control panel.
- O. Selection of Energy. Equipment capable of generating radiation beams of different energies shall meet the following requirements:
- (1) Irradiation shall not be possible until a selection of energy has been made at the treatment control panel;
 - (2) The nominal energy value selected shall be displayed at the treatment control panel until reset manually for the next irradiation. After termination of irradiation, it shall be necessary to reset the nominal energy value selected before subsequent treatment can be initiated;
 - (3) Irradiation shall not be possible until the appropriate flattening filter or scattering foil for the selected energy is in its proper location; and
 - (4) For equipment manufactured after August 1, 2001, the selection of energy shall be in compliance with International Electrotechnical Commission (IEC) Document 601-2-1 (most current revision).
- P. Selection of Stationary Beam Radiation Therapy or Moving Beam Radiation Therapy. Therapeutic radiation machines capable of both stationary beam radiation therapy and moving beam radiation therapy shall meet the following requirements:
- (1) Irradiation shall not be possible until a selection of stationary beam radiation therapy or moving beam radiation therapy has been made at the treatment control panel;
 - (2) The mode of operation shall be displayed at the treatment control panel;
 - (3) An interlock system shall be provided to ensure that the equipment can operate only in the mode that has been selected;
 - (4) An interlock system shall be provided to prevent irradiation if any selected parameter in the treatment room does not agree with the selected parameter at the treatment control panel;
 - (5) Moving beam radiation therapy shall be controlled to obtain the selected relationships between incremental dose monitor units and incremental movement. For equipment manufactured after August 1, 2001:
 - a. An interlock system shall be provided to terminate irradiation if the number of dose monitor units delivered in any 10 degrees of rotation or 1 cm of linear motion differs by more than 20 percent from the selected value;
 - b. Where angle terminates the irradiation in moving beam radiation therapy, the dose monitor units delivered shall differ by less than 5 percent from the dose monitor unit value

- selected;
- c. An interlock shall be provided to prevent motion of more than 5 degrees or 1 cm beyond the selected limits during moving beam radiation therapy;
 - d. An interlock shall be provided to require that a selection of direction be made at the treatment control panel in all units, which are capable of both clockwise and counter clockwise moving beam radiation therapy.
 - e. Moving beam radiation therapy shall be controlled with both primary position sensors and secondary position sensors to obtain the selected relationships between incremental dose monitor units and incremental movement.
- (6) Where the beam monitor system terminates the irradiation in moving beam radiation therapy, the termination of irradiation shall be as required by X.7.J; and
- (7) For equipment manufactured after August 1, 2001, an interlock system shall be provided to terminate irradiation if movement:
- (a) Occurs during stationary beam radiation therapy; or
 - (b) Does not start or stops during moving beam radiation therapy unless such stoppage is a pre-planned function.
- Q. Facility Design Requirements for Therapeutic Radiation Machines Operating above 500 kV. In addition to shielding adequate to meet requirements of X.9, the following design requirements are made:
- (1) Protective Barriers. All protective barriers shall be fixed, except for access doors to the treatment room or movable beam interceptors;
 - (2) Control Panel. In addition to other requirements specified in Part X, the control panel shall also:
 - a. Be located outside the treatment room;
 - b. Provide an indication of whether electrical power is available at the control panel and if activation of the radiation is possible;
 - c. Provide an indication of whether radiation is being produced; and
 - d. Include an access control (locking) device that will prevent unauthorized use of the therapeutic radiation machine;
 - (3) Viewing Systems. Windows, mirrors, closed circuit television or an equivalent viewing system shall be provided to permit continuous observation of the patient following positioning and during irradiation and shall be so located that the operator may observe the patient from the treatment control panel. The therapeutic radiation machine shall not be used for patient irradiation unless at least one viewing system is operational;
 - (4) Aural Communications. Provision shall be made for continuous two-way aural communication between the patient and the operator at the control panel. The therapeutic radiation machine shall not be used for irradiation of patients unless continuous two-way aural communication is possible;
 - (5) Room Entrances. Treatment room entrances shall be provided with warning lights in a readily observable position near the outside of all access doors, which will indicate when the useful

beam is "ON" and when it is "OFF";

- (6) Entrance Interlocks. Interlocks shall be provided such that all access controls are activated before treatment can be initiated or continued. If the radiation beam is interrupted by any access control, it shall not be possible to restore the machine to operation without resetting the access control and reinitiating irradiation by manual action at the control panel;
- (7) Beam Interceptor Interlocks. If the shielding material in any protective barrier requires the presence of a beam interceptor to ensure compliance with Parts D of these regulations, interlocks shall be provided to prevent the production of radiation, unless the beam interceptor is in place, whenever the useful beam is directed at the designated barrier(s);
- (8) Emergency Cutoff Switches. At least 1 emergency power cutoff switch shall be located in the radiation therapy room and shall terminate all equipment electrical power including radiation and mechanical motion. This switch is in addition to the termination switch required by X.7.K All emergency power cutoff switches shall include a manual reset so that the therapeutic radiation machine cannot be restarted from the unit's control console without resetting the emergency cutoff switch;
- (9) Safety Interlocks. All safety interlocks shall be designed so that any defect or component failure in the safety interlock system prevents or terminates operation of the therapeutic radiation machine; and
- (10) Surveys for Residual Radiation. Surveys for residual activity shall be conducted on all therapeutic radiation machines capable of generating photon and electron energies above 10 MV prior to machining, removing, or working on therapeutic radiation machine components which may have become activated due to photo neutron production.

R. Authorized Medical Physicist Support.

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- (1) The services of an Authorized Medical Physicist shall be required in facilities having therapeutic radiation machines with energies of 500 kV and above. The Authorized Medical Physicist shall be responsible for:

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- a. Full calibration(s) required by X.7.T and protection surveys required by X.4.A;
- b. Supervision and review of dosimetry;
- c. Beam data acquisition and transfer for computerized dosimetry, and supervision of its use;
- d. Quality assurance, including quality assurance check review required by X.7.U.(5).
- e. Consultation with the authorized user in treatment planning, as needed; and
- f. Perform calculations/assessments regarding misadministrations.

- (2) If the Authorized Medical Physicist is not a full time employee of the registrant, the operating procedures required by X.7.S shall also specifically address how the Authorized Medical Physicist is to be contacted for problems or emergencies, as well as the specific actions, if any, to be taken until the Authorized Medical Physicist can be contacted.

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S. Operating Procedures.

- (1) No individual, other than the patient, shall be in the treatment room during treatment or during

any irradiation for testing or calibration purposes;

- (2) Therapeutic radiation machines shall not be made available for medical use unless the requirements of X.4.A, X.7.T and X.7.U have been met;
- (3) Therapeutic radiation machines, when not in operation, shall be secured to prevent unauthorized use;
- (4) When adjustable beam limiting devices are used, the position and shape of the radiation field shall be indicated by a light field.
- (5) If a patient must be held in position during treatment, mechanical supporting or restraining devices shall be used; and
- (6) A copy of the current operating and emergency procedures shall be maintained at the therapeutic radiation machine control console.

T. Acceptance Testing, Commissioning and Full Calibration Measurements.

- (1) Acceptance testing, commissioning and full calibration of a therapeutic radiation machine subject to X.7 shall be performed by, or under the direct supervision of, an **Authorized Medical** ~~Physicist~~.

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- (2) Acceptance testing and commissioning shall be performed in accordance with "AAPM Code of Practice for Radiotherapy Accelerators: Report of AAPM Radiation Therapy Task Group 45" and shall be conducted before the first medical use following installation or reinstallation of the therapeutic radiation machine.

- (3) Full calibration shall include measurement of all parameters required by Table II of "Comprehensive QA for Radiation Oncology: Report of AAPM Radiation Therapy Committee Task Group 40" and shall be performed in accordance with "AAPM Code of Practice for Radiotherapy Accelerators: Report of AAPM Radiation Therapy Task Group 45". Although it shall not be necessary to complete all elements of a full calibration at the same time, all parameters (for all energies) shall be completed at intervals not exceeding 12 calendar months, unless a more frequent interval is required in Table II.

- (4) The **Authorized Medical** ~~Physicist~~ shall perform all elements of a full calibration necessary to determine that all parameters are within acceptable limits:

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- a. Whenever quality assurance check measurements indicate that the radiation output differs by more than 5 percent from the value obtained at the last full calibration and the difference cannot be reconciled. Therapeutic radiation machines with multi-energy and/or multi-mode capabilities shall only require measurements for those modes and/or energies that are not within their acceptable range; and
- b. Following any component replacement, major repair, or modification of components that could significantly affect the characteristics of the radiation beam. If the repair, replacement or modification does not affect all modes and/or energies, measurements shall be performed on the effected mode/energy that is in most frequent clinical use at the facility. The remaining energies/modes may be validated with quality assurance check procedures against the criteria in X.7.T.(4) a.

- (5) The registrant shall maintain a record of each calibration in an auditable form for the duration of the registration. The record shall include: the date of the calibration; the manufacturer's name, model number and serial number for the therapeutic radiation machine; the model

numbers and serial numbers of the instruments used to calibrate the therapeutic radiation machine; and the signature of the **Authorized Medical Physicist** responsible for performing the calibration.

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U. Periodic Quality Assurance Checks.

(1) Periodic quality assurance checks shall be performed on all therapeutic radiation machines subject to X.7 at intervals not to exceed those specified in "Comprehensive QA for Radiation Oncology: Report of AAPM Radiation Therapy Committee Task Group 40";

(2) To satisfy the requirement of X.7.U.(1), quality assurance checks shall include determination of central axis radiation output and a representative sampling of periodic quality assurance checks contained in "Comprehensive QA for Radiation Oncology: Report of AAPM Radiation Therapy Committee Task Group 40". Representative sampling shall include all referenced periodic quality assurance checks in an interval not to exceed 12 consecutive calendar months;

(3) The registrant shall use a dosimetry system that has been inter-compared within the previous 12 months with the dosimetry system described in X.4.C.(1) to make the periodic quality assurance checks required in X.7.U.(2);

(4) The registrant shall perform periodic quality assurance checks required by X.7.U.(1) in accordance with procedures established by the **Authorized Medical Physicist**;

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(5) The registrant shall review the results of each periodic radiation output check according to the following procedures:

a. The authorized user and the **Authorized Medical Physicist** shall be immediately notified if any parameter is not within its acceptable tolerance. The therapeutic radiation machine shall not be made available for subsequent medical use until the **Authorized Medical Physicist** has determined that all parameters are within their acceptable tolerances;

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b. If all quality assurance check parameters appear to be within their acceptable range, the quality assurance check shall be reviewed and signed by either the authorized user or **Authorized Medical Physicist** within 3 treatment days; and

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c. The **Authorized Medical Physicist** shall review and sign the results of each radiation output quality assurance check at intervals not to exceed 1 month.

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(6) Therapeutic radiation machines subject to X.7 shall have safety quality assurance checks listed in "Comprehensive QA for Radiation Oncology: Report of AAPM Radiation Therapy Committee Task Group 40" performed at intervals not to exceed 1 week;

(7) To satisfy the requirement of X.7.U.(6), safety quality assurance checks shall ensure proper operation of:

a. Electrical interlocks at each external beam radiation therapy room entrance;

b. Proper operation of the "BEAM ON", interrupt and termination switches;

c. Beam condition indicator lights on the access doors, control console, and in the radiation therapy room;

d. Viewing systems;

- e. Electrically operated treatment room door(s) from inside and outside the treatment room;
 - f. At least one emergency power cutoff switch. If more than one emergency power cutoff switch is installed and not all switches are tested at once, each switch shall be tested on a rotating basis. Safety quality assurance checks of the emergency power cutoff switches may be conducted at the end of the treatment day in order to minimize possible stability problems with the therapeutic radiation machine.
- (8) The registrant shall promptly repair any system identified in X.7.U.(7) that is not operating properly; and
- (9) The registrant shall maintain a record of each quality assurance check required by X.7.U.(1) and X.7.U.(7) for 3 years. The record shall include: the date of the quality assurance check; the manufacturer's name, model number, and serial number of the therapeutic radiation machine; the manufacturer's name, model number and serial number for the instrument(s) used to measure the radiation output of the therapeutic radiation machine; and the signature of the individual who performed the periodic quality assurance check.

8. Calibration of Survey Instruments.

- A. The registrant shall ensure that the survey instruments used to show compliance with Part X have been calibrated before first use, at intervals not to exceed 12 months, and following repair.
- B. To satisfy the requirements of X.8.A, the registrant shall:
- (1) Calibrate all required scale readings up to 1 rem (10 mSv) per hour with an appropriate radiation source that is traceable to the National Institute of Standards and Technology (NIST);
 - (2) Calibrate at least two (2) points on each scale to be calibrated. These points should be at approximately 1/3 and 2/3 of full-scale; and
- C. To satisfy the requirements of X.8.B, the registrant shall:
- (1) Consider a point as calibrated if the indicated dose rate differs from the calculated dose rate by not more than 10 percent; and
 - (2) Consider a point as calibrated if the indicated dose rate differs from the calculated dose rate by not more than 20 percent if a correction factor or graph is conspicuously attached to the instrument.
- D. The registrant shall retain a record of each calibration required in X.8.A for 3 years. The record shall include:
- (1) A description of the calibration procedure; and
 - (2) A description of the source used and the certified dose rates from the source, and the rates indicated by the instrument being calibrated, the correction factors deduced from the calibration data, the signature of the individual who performed the calibration, and the date of calibration.
- E. The registrant may obtain the services of individuals licensed by the Agency, the US Nuclear Regulatory Commission, an Agreement State, or a Licensing State to perform calibrations of survey instruments. Records of calibrations that contain information required by X.8.D shall be maintained by the registrant.

9. Shielding and Safety Design Requirements.

- A. Each therapeutic radiation machine subject to X.6 or X.7 shall be provided with such primary and/or secondary barriers as are necessary to ensure compliance with Part D of these regulations.
- B. Facility design information for all new installations of a therapeutic radiation machine or installations of a therapeutic radiation machine of higher energy into a room not previously approved for that energy shall be submitted for Agency approval prior to actual installation of the therapeutic radiation machine. The minimum facility design information that must be submitted is contained in Appendix A to Part X.

APPENDIX A

INFORMATION ON RADIATION SHIELDING REQUIRED FOR PLAN REVIEWS

I. All Therapeutic Radiation Machines.

- A. Basic facility information including: name, telephone number and Agency registration number of the individual responsible for preparation of the shielding plan; name and telephone number of the facility supervisor; and the street address [including room number] of the therapeutic radiation machine facility. The plan should also indicate whether this is a new structure or a modification to existing structure(s).
- B. All wall, floor, and ceiling areas struck by the useful beam shall have primary barriers.
- C. Secondary barriers shall be provided in all wall, floor, and ceiling areas not having primary barriers.

II. Therapeutic Radiation Machines up to 150 Kv (photons only).

In addition to the requirements listed in Section I above, therapeutic radiation machine facilities which produce only photons with a maximum energy less than or equal to 150 kV shall submit shielding plans which contain, as a minimum, the following additional information:

- A. Equipment specifications, including the manufacturer and model number of the therapeutic radiation machine, as well as the maximum technique factors;
- B. Maximum design workload for the facility including total weekly radiation output, [expressed in gray (rad) or air kerma at 1 meter], total beam on time per day or week, the average treatment time per patient, along with the anticipated number of patients to be treated per day or week;
- C. A facility drawing indicating: scale [0.25 inch = 1 foot is typical]; direction of North; normal location of the therapeutic radiation machine's radiation port(s); the port's travel and traverse limits; general direction(s) of the useful beam; locations of any windows and doors; and the location of the therapeutic radiation machine control panel. If the control panel is located inside the therapeutic radiation machine treatment room, the location of the operator's booth shall be noted on the plan and the operator's station at the control panel shall be behind a protective barrier sufficient to ensure compliance with Part D of these regulations;
- D. The structural composition and thickness or lead/concrete equivalent of all walls, doors, partitions, floor, and ceiling of the room(s) concerned;
- E. The type of occupancy of all adjacent areas inclusive of space above and below the room(s) concerned. If there is an exterior wall, show distance to the closest area(s) where it is likely that individuals may be present; and
- F. At least one example calculation which shows the methodology used to determine the amount of shielding required for each physical condition [i.e.: primary and secondary/leakage barriers, restricted and unrestricted areas, entry door(s)] and shielding material in the facility:
 - (1) If commercial software is used to generate shielding requirements, please also identify the software used and the version/ revision date.
 - (2) If the software used to generate shielding requirements is not in the open literature, please also submit quality control sample calculations to verify the result obtained with the software.

III. Therapeutic Radiation Machines Over 150 kV.

In addition to the requirements listed in Section I above, therapeutic radiation machine facilities that produce photons with a maximum energy in excess of 150 kV and/or electrons shall submit shielding plans which contain, as a minimum, the following additional information:

- A. Equipment specifications including the manufacturer and model number of the therapeutic radiation machine, and gray (rad) at the isocenter and the energy(s) and type(s) of radiation produced [i.e.: photon, electron]. The target to isocenter distance shall be specified;
- B. Maximum design workload for the facility including total weekly radiation output [expressed in gray (rad) at 1 meter], total beam on time per day or week, the average treatment time per patient, along with the anticipated number of patients to be treated per day or week;
- C. Facility drawing [including both floor plan and elevation views] indicating relative orientation of the therapeutic radiation machine, scale [0.25 inch = 1 foot is typical], type(s), thickness and minimum density of shielding material(s), direction of North, the locations and size of all penetrations through each shielding barrier [ceiling, walls and floor], as well as details of the door(s) and maze;
- D. The structural composition and thickness or concrete equivalent of all walls, doors, partitions, floor, and ceiling of the room(s) concerned;
- E. The type of occupancy of all adjacent areas inclusive of space above and below the room(s) concerned. If there is an exterior wall, show distance to the closest area(s) where it is likely that individuals may be present;
- F. Description of all assumptions that were in shielding calculations including, but not limited to, design energy [i.e.: room may be designed for 6 MV unit although only a 4 MV unit is currently proposed], work load, presence of integral beam stop in unit, occupancy and use(s) of adjacent areas, fraction of time that useful beam will intercept each permanent barrier [walls, floor and ceiling] and "allowed" radiation exposure in both restricted and unrestricted areas; and
- G. At least one example calculation which shows the methodology used to determine the amount of shielding required for each physical condition [i.e.: primary and secondary/leakage barriers, restricted and unrestricted areas, small angle scatter, entry door(s) and maze] and shielding material in the facility:
 - (1) If commercial software is used to generate shielding requirements, also identify the software used and the version/ revision date; and
 - (2) If the software used to generate shielding requirements is not in the open literature, also submit quality control sample calculations to verify the result obtained with the software.

IV. Neutron Shielding

In addition to the requirements listed in Section III above, therapeutic radiation machine facilities that are capable of operating above 10 MV shall submit shielding plans, which contain, as a minimum, the following additional information:

- A. The structural composition, thickness, minimum density and location of all neutron shielding material;
- B. Description of all assumptions that were used in neutron shielding calculations including, but not limited to, neutron spectra as a function of energy, neutron fluence rate, absorbed dose and dose equivalent (due to neutrons) in both restricted and unrestricted areas;

- C. At least one example calculation, which shows the methodology used to determine the amount of neutron shielding, required for each physical condition [i.e.: restricted and unrestricted areas, entry door(s) and maze] and neutron shielding material utilized in the facility:
 - (1) If commercial software is used to generate shielding requirements, also identify the software used and the version/ revision date; and
 - (2) If the software used to generate shielding requirements is not in the open literature, also submit quality control sample calculations to verify the result obtained with the software.
- D. The method(s) and instrumentation that will be used to verify the adequacy of all neutron shielding installed in the facility.

V. References

- A. NCRP Report 49, "Structural Shielding Design and Evaluation for Medical Use of X Rays and Gamma Rays of Energies Up to 10 MeV" (1976).
- B. NCRP Report 51, "Radiation Protection Design Guidelines for 100 MeV Particle Accelerator Facilities" (1977).
- C. NCRP Report 79, "Neutron Contamination from Medical Electron Accelerators" (1984).

APPENDIX B

QUALITY MANAGEMENT PROGRAM

I. Definitions:

In addition to the definitions in X.2, the following definitions are applicable to a quality management program:

A. ~~Medical Event~~, means the administration of an external beam radiation therapy dose:

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- (1) Involving the wrong patient, wrong treatment modality, or wrong treatment site; or,
- (2) When the treatment consists of three (3) or fewer fractions and the calculated total administered dose differs from the total prescribed dose by more than 10 percent of the total prescribed dose; or
- (3) When the calculated weekly administered dose differs from the weekly prescribed dose by more than 30 percent; or
- (4) When the calculated total administered dose differs from the total prescribed dose by more than 20 percent of the total prescribed dose;

B. **Prescribed dose** means the total dose and dose per fraction as documented in the written directive. The prescribed dose is an estimation from measured data from a specified therapeutic radiation machine using assumptions that are clinically acceptable for that treatment technique and historically consistent with the clinical calculations previously used for patients treated with the same clinical technique;

C. **Recordable event** means the administration of an external beam radiation therapy dose when the calculated weekly administered dose differs by 15 percent or more from the weekly prescribed dose;

D. **Written directive** means an order in writing for a specific patient, dated and signed by an authorized user prior to the administration of radiation, containing the following information: total dose, dose per fraction, treatment site and overall treatment period.

II. **Scope and Applicability.** Each applicant or registrant subject to X.6 or X.7 shall establish and maintain a written quality management program to provide high confidence that radiation will be administered as directed by the authorized user. The quality management program shall include written policies and procedures to meet the following specific objectives:

A. Prior to administration, a written directive is prepared for any external beam radiation therapy dose;

- (1) A written revision to an existing written directive may be made provided that the revision is dated and signed by an authorized user prior to administration of the external beam radiation therapy dose or the next external beam radiation therapy fractional dose;
- (2) If, because of the patient's condition, a delay in order to provide a written revision to an existing written directive would jeopardize the patient's health, an oral revision to an existing written directive shall be acceptable, provided that the oral revision is documented immediately in the patient's record and a revised written directive is signed by an authorized user within 48 hours of the oral revision;
- (3) If, because of the emergent nature of the patient's condition, a delay in order to provide a

written directive would jeopardize the patient's health, an oral directive shall be acceptable, provided that the information contained in the oral directive is documented immediately in the patient's record and a written directive is prepared and signed by an authorized user within 24 hours of the oral directive.

- B. Prior to the administration of each course of radiation treatments, the patient's identity is verified, by more than one method, as the individual named in the written directive;
- C. External beam radiation therapy final plans of treatment and related calculations are in accordance with the respective written directives;
- D. Each administration is in accordance with the written directive; and
- E. Any unintended deviation from the written directive is identified and evaluated, and appropriate action is taken.

III. Development of Quality Management Program.

- A. Each application for registration subject to X.6 or X.7 shall include a quality management program that specifies staff, duties and responsibilities, and equipment and procedures as part of the application required by Part B of these regulations. The registrant shall implement the program upon issuance of a Registration Certificate
- B. Each existing registrant subject to X.6 or X.7 shall submit to the Agency a written certification that a quality management program has been implemented.

IV. As a part of the quality management program, the registrant shall:

- A. Develop procedures for, and conduct a review of, the quality management program including, since the last review, an evaluation of a representative sample of patient administrations, all recordable events, and all misadministrations to verify compliance with all aspects of the quality management program;
- B. Conduct these reviews at intervals not to exceed 12 months;
- C. Evaluate each of these reviews to determine the effectiveness of the quality management program and, if required, make modifications to meet the requirements; and
- D. Maintain records of each review, including the evaluations and findings of the review, in an auditable form, for 3 years.

V. The registrant shall evaluate and respond, within 30 days after discovery of the recordable event, to each recordable event by:

- A. Assembling the relevant facts including the cause;
- B. Identifying what, if any, corrective action is required to prevent recurrence; and
- C. Retaining a record, in an auditable form, for 3 years, of the relevant facts and what corrective action, if any, was taken.

VI. The registrant shall retain:

- A. Each written directive; and
- B. A record of each administered radiation dose, in an auditable form, for 3 years after the date of

administration.

VII. The registrant may make modifications to the quality management program to increase the program's efficiency provided the program's effectiveness is not decreased.

VIII. The registrant shall evaluate each **medical event and shall take the following actions in response to a **medical event**;**

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A. Notify the Agency by telephone no later than the next calendar day after discovery of the **medical event**.

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B. Submit a written report to the Agency within 15 days after discovery of the **medical event**. The written report shall include: the registrant's name; the prescribing physician's name; a brief description of the event; why the event occurred; the effect on the patient; what improvements are needed to prevent recurrence; actions taken to prevent recurrence; whether the registrant notified the patient or the patient's responsible relative or guardian (this person will subsequently be referred to as "the patient"), and if not, why not, and if the patient was notified, what information was provided to the patient. The report shall not include the patient's name or other information that could lead to identification of the patient;

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C. Notify the referring physician and also notify the patient of the **medical event**, no later than 24 hours after its discovery, unless the referring physician personally informs the registrant either that he/she will inform the patient or that, based on medical judgment, telling the patient would be harmful. The registrant is not required to notify the patient without first consulting with the referring physician. If the referring physician or patient cannot be reached within 24 hours, the registrant shall notify the patient as soon as possible. The registrant shall not delay any appropriate medical care for the patient, including any necessary remedial care as a result of the **medical event**, because of any delay in notification;

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D. Retain a record of each **medical event** for 5 years. The record shall contain the names of all individuals involved (including the prescribing physician, allied health personnel, the patient, and the patient's referring physician), the patient's social security number or identification number if one has been assigned, a brief description of the event, why it occurred, the effect on the patient, what improvements are needed to prevent recurrence, and the actions taken to prevent recurrence; and

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E. If the patient was notified, furnish, within 15 days after discovery of the **medical event**, a written report to the patient by sending either a copy of the report that was submitted to the Agency, or a brief description of both the event and the consequences as they may effect the patient, provided a statement is included that the report submitted to the Agency can be obtained from the registrant;

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IX. Aside from the notification requirement, nothing in X.5.H. affects any rights or duties of registrants and physicians in relation to each other, patients, or the patient's responsible relatives or guardians.

APPENDIX C

ALTERNATIVE QUALITY MANAGEMENT PROGRAM

I. Definitions:

In addition to the definitions in Part A and X.2, the following definitions are applicable to a quality management program:

A. **Medical Event**, means the administration of an external beam radiation therapy dose:

- (1) Involving the wrong patient, wrong treatment modality, or wrong treatment site; or,
- (2) When the treatment consists of three (3) or fewer fractions and the calculated total administered dose differs from the total prescribed dose by more than 10 percent of the total prescribed dose; or
- (3) When the calculated weekly administered dose differs from the weekly prescribed dose by more than 30 percent; or
- (4) When the calculated total administered dose differs from the total prescribed dose by more than 20 percent of the total prescribed dose;

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B. **Recordable event** means the administration of an external beam radiation therapy dose when the calculated weekly administered dose differs by 15 percent or more from the weekly prescribed dose;

C. **Written directive** means an order in writing for a specific patient, dated and signed by an authorized user prior to the administration of radiation, containing the following information: total dose, dose per fraction, treatment site and overall treatment period.

II. Each registrant shall establish and maintain a written program to provide assurance that radiation is administered to humans as directed by the authorized user. The program shall include the following elements:

- A. Procedure for preparing written directives for the administration of radiation, however, a written directive is not required when an authorized user personally administers a dosage provided the pertinent facts are documented as otherwise required;
- B. Procedure for verifying by more than one method the identity of the individual to be administered radiation;
- C. Procedure for updating the therapy operating and emergency procedures manual;
- D. Procedure for verifying that final plans of treatment and related calculations for brachytherapy, teletherapy, and gamma stereotactic radiosurgery are in accordance with the respective written directives;
- E. Procedures assuring that administration of radiation is carried out as specified in the written directive or the therapy operating and emergency procedures manual;
- F. Procedures for identifying and evaluating unintended deviations from the written directive or the therapy operating and emergency procedures manual including taking appropriate action for recordable events and **medical event**;

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III. Each registrant shall evaluate and respond to **medical events**, as follows:

A. For a medical event:

- (1) The licensee shall notify by telephone the agency no later than the next calendar day after discovery of the medical event.
- (2) The licensee shall submit a written report to the agency within 15 days after discovery of the medical event. The written report must include the licensee's name; a brief description of the event; why the event occurred; the effect on the patient; what improvements are needed to prevent recurrence; whether the licensee notified the patient, or the patient's responsible relative or guardian (this person will be subsequently referred to as "the patient" in this section), and if not, why not, and if the patient was notified, what information was provided to the patient. The report must not include the patient's name or other information that could lead to identification of the patient.
- (3) The licensee shall notify the referring physician and also notify the patient of the medical event no later than 24 hours after its discovery, unless the referring physician personally informs the licensee either that he will inform the patient or that, based on medical judgment, telling the patient would be harmful. The licensee is not required to notify the patient without first consulting the referring physician. If the referring physician or patient cannot be reached within 24 hours, the licensee shall notify the patient as soon as possible thereafter. The licensee may not delay any appropriate medical care for the patient, including any necessary remedial care as a result of the medical event, because of any delay in notification.
- (4) If the patient was notified, the licensee shall also furnish, within 15 days after discovery of the medical event, a written report to the patient by sending either:
 - a. A copy of the report that was submitted to the Agency; or
 - b. A brief description of both the event and the consequences as they may affect the patient, provided a statement is included that the report submitted to the Agency can be obtained from the licensee.

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- B. Each licensee shall retain a record of each medical event for five years. The record must contain the names of all individuals involved (including the prescribing physician, allied health personnel, the patient, and the patient's referring physician), the patient's social security number or identification number if one has been assigned, a brief description of the medical event, why it occurred, the effect on the patient, what improvements are needed to prevent recurrence, and the actions taken to prevent recurrence.
- C. Aside from the notification requirement, nothing in this section affects any rights or duties of licensees and physicians in relation to each other, patients, or the patient's responsible relatives or guardians.

IV. Each registrant shall evaluate and respond to recordable events within 30 days after discovery by assembling the relevant facts, identifying the cause of the recordable event, and taking appropriate action, if any is required, to prevent recurrence.

V. Each registrant shall conduct an annual evaluation of the human administration program including any recommendations for changes to be made as well as any

modifications made since the last evaluation and, if required, revise procedures to assure that the radiation is administered as directed by the authorized user. Modifications made to the program shall not decrease the effectiveness of the program.

VI. Each registrant shall retain, in auditable form, for 3 years:

- A. Each written directive;
- B. A record of each administered radiation dose where a written directive is required;
- C. A record of each annual review of the program including the evaluations and findings of the review;
- D. A record of each recordable event, the relevant facts, and any corrective actions taken.