



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

OFFICE OF THE
INSPECTOR GENERAL

November 7, 2008

MEMORANDUM TO: R. William Borchardt
Executive Director for Operations

FROM: Stephen D. Dingbaum */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: INDEPENDENT
EVALUATION OF NRC'S IMPLEMENTATION OF THE
FEDERAL INFORMATION SECURITY MANAGEMENT ACT
FOR FISCAL YEAR 2004 (OIG-04-A-22)

REFERENCE: DIRECTOR, COMPUTER SECURITY OFFICE,
MEMORANDUM DATED OCTOBER 16, 2008

Attached is the Office of the Inspector General's analysis and status of the recommendations as discussed in the agency's response dated October 16, 2008. Based on this response, recommendation 5 remains resolved. Recommendations 8 and 10 are closed. Recommendations 1, 2, 3, 4, 6, 7, 9, 11, 12, 13, 14, 15, and 16 were closed previously. Please provide an updated status of recommendation 5 by April 30, 2009.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca, Team Leader, at 415-5911.

Attachment: As stated

cc: V. Ordaz, OEDO
J. Arildsen, OEDO
P. Shea, OEDO

Audit Report

Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act for Fiscal Year 2004 OIG-04-A-22

Status of Recommendations

Recommendation 5: Re-certify and re-accredit the NRC Data Center/Telecommunications System (DC/T).

Agency Response Dated
October 16, 2008: NRC completed certification and accreditation of the Data Center Services system on June 3, 2008 (Authority to Operate memo ML080230212 is available in ADAMS). NRC anticipates the Telecommunications System accreditation decision in the 2nd quarter FY 2009.

OIG Response: The proposed actions address the intent of the recommendation. OIG reviewed the Authority to Operate memo for the Data Center Services System and determined that it is re-certified and re-accredited. However, the accreditation decision has not been made for the Telecommunications System. Since this recommendation now addresses two separate systems, this recommendation will be closed when OIG reviews the accreditation memo for the Telecommunications System.

Status: Resolved.

Audit Report

Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act for Fiscal Year 2004 OIG-04-A-22

Status of Recommendations

Recommendation 8: Re-certify and re-accredit the Emergency Telecommunications System.

Agency Response Dated
October 16, 2008:

NRC completed certification and accreditation of the OCIMS on July 21, 2008 (Authority to Operate memo ML081850069 and package ML081820033 are available in ADAMS). We request that this recommendation be closed.

OIG Response:

The corrective action addressed the intent of the recommendation. OIG reviewed a copy of the Authority to Operate memo and determined that the system was re-certified and re-accredited. This recommendation is therefore closed.

Status:

Closed.

Audit Report

Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act for Fiscal Year 2004 OIG-04-A-22

Status of Recommendations

Recommendation 10: Update the NRC Local Area Network/Wide Area Network (LAN/WAN) Business Continuity Plan.

Agency Response Dated
October 16, 2008:

NRC completed updating the NRC LAN/WAN Business Continuity Plan, which we now refer to as LAN/WAN contingency plan, on May 27, 2008 (Contingency plan ML081270168 is available in ADAMS). We request this recommendation be closed.

OIG Response:

The corrective action addressed the intent of the recommendation. OIG reviewed a copy of the May 27, 2008, NRC LAN/WAN Continuity Plan and determined that it was updated. This recommendation is therefore closed.

Status:

Closed.