



UNITED STATES  
NUCLEAR REGULATORY COMMISSION  
WASHINGTON, D.C. 20555-0001

OFFICE OF THE  
INSPECTOR GENERAL

October 31, 2008

MEMORANDUM TO: R. William Borchardt  
Executive Director for Operations

FROM: Stephen D. Dingbaum */RA/*  
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: SYSTEM  
EVALUATION OF SECURITY CONTROLS FOR  
STANDALONE PERSONAL COMPUTERS AND LAPTOPS  
(OIG-05-A-18)

REFERENCE: DIRECTOR, COMPUTER SECURITY OFFICE,  
MEMORANDUM DATED OCTOBER 15, 2008

Attached is the Office of the Inspector General's analysis and status of recommendations 2, 3, 4, 5, and 6 as discussed in the agency's response dated October 15, 2008. Based on this response, recommendations 2, 3, 4, 5, and 6 remain resolved. Recommendations 1, 7, and 8 were previously closed. Please provide an updated status of the resolved recommendations by July 31, 2009.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca, Team Leader, at 415-5911.

Attachment: As stated

cc: V. Ordaz, OEDO  
J. Arildsen, OEDO  
P. Shea, OEDO

## Audit Report

### SYSTEM EVALUATION OF SECURITY CONTROLS FOR STANDALONE PERSONAL COMPUTERS AND LAPTOPS

(OIG-05-A-18)

#### Status of Recommendations

Recommendation 2: Develop and require users to sign a rules of behavior agreement accepting responsibility for implementing security controls on standalone PCs and laptops.

Agency Response Dated  
October 15, 2008:

Standard rules of behavior have been developed and are currently in the concurrence process. Upon completion of the process, the rules will be implemented across the NRC and all of the NRC system users will be required to sign the rules annually. We expect to make the rules available by the first quarter of fiscal year 2009.

OIG Analysis:

The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives documentation that the rules of behavior have been distributed and signed.

**Status:**

Resolved.

## Audit Report

### SYSTEM EVALUATION OF SECURITY CONTROLS FOR STANDALONE PERSONAL COMPUTERS AND LAPTOPS

(OIG-05-A-18)

#### Status of Recommendations

Recommendation 3: Develop and implement procedures for verifying all required security controls are implemented on standalone PCs and laptops.

Agency Response Dated  
October 15, 2008: CSO is modifying the procedures for verifying security controls for standalone PCs and laptops based on OIG personnel feedback. CSO expects to have them completed by June 30, 2009.

OIG Analysis: The proposed corrective action addresses the intent of OIG's recommendation. This recommendation will be closed when OIG reviews the procedures and confirms that they have been implemented.

**Status:** Resolved.

## Audit Report

### SYSTEM EVALUATION OF SECURITY CONTROLS FOR STANDALONE PERSONAL COMPUTERS AND LAPTOPS

(OIG-05-A-18)

#### Status of Recommendations

Recommendation 4: Provide users guidance on compliance with Executive Order 13103, Computer Software Piracy, for standalone PCs and laptops.

Agency Response Dated  
October 15, 2008: The standard rules of behavior include statements regarding compliance with Executive Order 13103, Computer Software Piracy, for standalone PCs and laptops. Once the rules of behavior are distributed and signed, this recommendation should be closed.

OIG Analysis: The proposed corrective action addresses the intent of OIG's recommendation. This recommendation will be closed when OIG receives documentation that the rules have been distributed and signed.

**Status:** Resolved.

## Audit Report

### SYSTEM EVALUATION OF SECURITY CONTROLS FOR STANDALONE PERSONAL COMPUTERS AND LAPTOPS

(OIG-05-A-18)

#### Status of Recommendations

<u>Recommendation 5:</u>	Develop and require users to sign a rules of behavior agreement acknowledging their compliance with Executive Order 13103, Computer Security Piracy, for standalone PCs and laptops.
Agency Response Dated October 15, 2008:	The standard rules of behavior include statements regarding compliance with Executive Order 13103, Computer Software Piracy, for standalone PCs and laptops. Once the rules of behavior are distributed and signed, this recommendation should be closed.
OIG Analysis:	The proposed corrective actions address the intent of this recommendation. This recommendation will be closed when OIG receives documentation that the rules have been distributed and signed.
<b>Status:</b>	Resolved.

## Audit Report

### SYSTEM EVALUATION OF SECURITY CONTROLS FOR STANDALONE PERSONAL COMPUTERS AND LAPTOPS

(OIG-05-A-18)

#### Status of Recommendations

Recommendation 6: Develop and implement procedures for monitoring compliance with Executive Order 13103, Computer Security Piracy, for standalone PCs and laptops.

Agency Response Dated  
October 15, 2008:

Each office and region is responsible for ensuring all office/region staff and contractors that use the NRC systems read and sign the standard rules of behavior, including only using licensed software. Procedures for monitoring compliance with Executive Order 13103, Computer Software Privacy, will be incorporated into the procedures for verifying security controls for standalone PCs and laptops. Once the procedures for verifying security controls for standalone PCs and laptops are completed, this recommendation should be closed.

OIG Analysis: The proposed corrective action addresses the intent of OIG's recommendation. This recommendation will be closed when OIG receives documentation that the procedures for verifying security controls for standalone PCs and laptops are completed.

**Status:** Resolved.