


# ASSIGNMENT TICKLER

| Chief:   | Mark Haire   | Facility:     | SONGS                                       | First Date of Exam:                                | 9/19/2008 |
|--|--|---------------|---|--|-----------|
| Written Exam Developed By: NRC / Facility  |  |               | Operating Test Developed By: NRC / Facility |  |           |
| Due Date   | Description  | Date Complete | Initials                                    | Notes  |           |
| 3/23/2008  | Exam Administration Date Confirmed (C.1.a; C.2.a & b)                                  | 5/29/08       | MSH   | X02380 05000341/2008301<br>X02380 05000342/2008301 |           |
| 5/22/2008  | NRC Staff & Facility Contact Assigned (C.1.d; C.2.e)                                   | 5/29/08       | MSH   |  |           |
| 5/22/2008  | Facility Contact Briefed on Security & Other Req's (C.2.c)                             | 5/29/08       | MSH   |  |           |
| 5/22/2008  | Corporate Notification Letter (ES-201 Att-3) Sent (C.2.d)                              | 5/2/08        | MSH   | produced by Chief Examiner                         |           |
| 6/21/2008  | Reference Material Due (if NRC authored) (C.1.e; C.3.c)                                | N/A           | MSH   |  |           |
| 7/6/2008 ✓   | Integrated Exam Outlines Due (C.1.e & f; C.3.d)  | 6/10/08       | MSH   |  |           |
| 7/11/2008  | Outlines Reviewed & Feedback Provided (c.2.h; C.3.e)                                   | 6/13/08       | MSH   |  |           |
| 8/5/2008 ✓   | Draft Exams w/References Due (C.1.e,f,g,h; C.3.d)                                      | 8/1/08        | SMG   | WILL TRY TO PROVIDE EARLY                          |           |
| 8/20/2008  | *Peer Reviewer Completes Review of Exam on ES-401-9                                    | 8/8/08        | SMG   |  |           |
| 8/20/2008  | *NRC BC Approves Feedback to Facility (C.2.h; C.3.f)                                   | 8/9/08        | SMG   |  |           |
| 8/20/2008  | *Exams Reviewed w/ Fac. (C.1.h;C.2.f & h; C.3.g)                                       | 8/18/08       | SMG   |  |           |
| 8/20/2008  | Preliminary Applications Due (C.1.i;C.2.g; ES-202)                                     | 8/21/08       | SMG   |  |           |
| 8/25/2008  | Preliminary Applications Reviewed (C.1.i;C.2.g)  | 8/21/08       | SMG   |  |           |
| 9/5/2008   | Final Applications Due (C.1.i;C.2.i;ES-202)  | 9/4/08        | SMG   |  |           |
| <del>9/25/08</del><br>9/5/2008   | On-Site Preparatory Week to Validate Operating Exam                                    | 8/29/08       | SMG   |  |           |
| <del>9/25/08</del><br>9/5/2008   | On-Site Audit (10%) of License App's (ES-202 C.2.e)                                    | 8/27/08       | SMG   |  |           |
| 9/12/2008  | Final Appl. OK'ed & Waiver Letters Sent (ES-204; C.2.e)                                | 9/15/08       | SMG   |  |           |
| 9/12/2008  | NRC Supervisor Approved Final Exams (C.2.i;C.3.h)                                      | 9/15/08       | SMG   |  |           |
| 9/12/2008  | Exam Approval Letter (ES-201 Att-4) and List of Applicants (ES-201-4) Prepared (C.2.i) | 9/16/08       | SMG   | produced by OLA                                    |           |
| 9/12/2008  | Proctor Rules Review w/Fac. & Written Authorized (C.3.k)                               | 9/17/08       | SMG   |  |           |
| 9/12/2008  | Exam Material to Exam Team (C.3.i)   | 9/16/08       | SMG   |  |           |
| <del>9/23/08</del><br>9/19/2008  | Administer Operating Exam On-Site  | 9/26/08       | SMG   | WRITTEN EXAM: 9/29                                 |           |
| 10/1/2008  | Facility Graded Exam & Comments Received   | 9/30/08       | SMG   |  |           |
| 10/4/2008  | NRC Written Exam Grading Completed   | 9/30/08       | SMG   |  |           |
| 10/4/2008  | Examiners Document Exam Grades on ES Forms   | 10/1/08       | SMG   |  |           |
| 10/14/2008   | NRC Chief Examiner Grading Review Completed  | 10/2/08       | SMG   |  |           |
| 10/15/2008   | NRC BC Review Completed  | 10/6/08       | SMG   |  |           |
| 10/19/2008   | License/Denials Mailed; Facility Notified of Results                                   | 10/6/08       | SMG   |  |           |
| 10/19/2008   | RPS/IP Number of Examinees Updated   | 9/25/08       | SMG   | print Report-21                                    |           |
| 11/3/2008  | Examination Report Issued <i>estimated →</i>   | 10/14/08      | SMG   | produced by Chief Examiner                         |           |
| 11/10/2008   | Package Closed Out   | 10/9/08       | SMG   |  |           |
| 11/10/2008   | Chief QA of ADAMS and SISP review complete   | 10/9/08       | SMG   |  |           |
| 11/18/2008   | Ref Mat'l Returned after Final Res of Appeals  | NA            | NA  |  |           |
| * Indicates the due dates are more conservative than the ES-201-1 requires.<br>(Replaces NUREG-1021, Revision 9, ES Forms 201-1 and 501-1) |  |               |   |  |           |

June 30, 2008

FROM:

Ryan Lantz  
Chief, Operations Branch  
Division of Reactor Safety



TO:

Steve Garchow  
Chief Examiner, Operations Branch

SUBJECT: INITIAL OPERATOR LICENSING EXAMINATION ASSIGNMENT

You have been assigned as Chief Examiner of the San Onofre, Units 1 and 2, initial licensing examination. The operating test has been scheduled to be completed by October 3, 2008. Thank you for contacting the San Onofre facility to finalize the details of the examination. You are reminded that the RPS/IP system must be maintained to ensure the examiners and numbers of candidates are accurate. In addition, you are reminded that only qualified examiners are permitted to conduct any part of the examination.



| Facility: San Onofre Units 2 and 3   |  | Date of Examination: 09/22/08   |    |  |
|--|--|---|----|--|
| Item   | Task Description   | Initials  |    |  |
|  |  | a   | b* | c#   |
| 1.<br>W<br>R<br>I<br>T<br>T<br>E<br>N  | a. Verify that the outline(s) fit(s) the appropriate model, in accordance with ES-401.   | RH  | A  | MSH  |
|  | b. Assess whether the outline was systematically and randomly prepared in accordance with Section D.1 of ES-401 and whether all K/A categories are appropriately sampled.  | RH  | A  | MSH  |
|  | c. Assess whether the outline over-emphasizes any systems, evolutions, or generic topics.  | RH  | A  | MSH  |
|  | d. Assess whether the justifications for deselected or rejected K/A statements are appropriate.  | RH  | A  | MSH  |
| 2.<br>S<br>I<br>M<br>U<br>L<br>A<br>T<br>O<br>R  | a. Using Form ES-301-5, verify that the proposed scenario sets cover the required number of normal evolutions, instrument and component failures, technical specifications, and major transients.  | RH  | A  | MSH  |
|  | b. Assess whether there are enough scenario sets (and spares) to test the projected number and mix of applicants in accordance with the expected crew composition and rotation schedule without compromising exam integrity, and ensure that each applicant can be tested using at least one new or significantly modified scenario, that no scenarios are duplicated from the applicants' audit test(s), and that scenarios will not be repeated on subsequent days.  | RH  | A  | MSH  |
|  | c. To the extent possible, assess whether the outline(s) conform(s) with the qualitative and quantitative criteria specified on Form ES-301-4 and described in Appendix D.   | RH  | A  | MSH  |
| 3.<br>W<br>/<br>T  | a. Verify that the systems walk-through outline meets the criteria specified on Form ES-301-2:<br>(1) the outline(s) contain(s) the required number of control room and in-plant tasks distributed among the safety functions as specified on the form<br>(2) task repetition from the last two NRC examinations is within the limits specified on the form<br>(3) no tasks are duplicated from the applicants' audit test(s)<br>(4) the number of new or modified tasks meets or exceeds the minimums specified on the form<br>(5) the number of alternate path, low-power, emergency, and RCA tasks meet the criteria on the form. | RH  | A  | MSH  |
|  | b. Verify that the administrative outline meets the criteria specified on Form ES-301-1:<br>(1) the tasks are distributed among the topics as specified on the form<br>(2) at least one task is new or significantly modified<br>(3) no more than one task is repeated from the last two NRC licensing examinations  | RH  | A  | MSH  |
|  | c. Determine if there are enough different outlines to test the projected number and mix of applicants and ensure that no items are duplicated on subsequent days.   | RH  | A  | MSH  |
| 4.<br>G<br>E<br>N<br>E<br>R<br>A<br>L  | a. Assess whether plant-specific priorities (including PRA and IPE insights) are covered in the appropriate exam sections.   | RH  | A  | MSH  |
|  | b. Assess whether the 10 CFR 55.41/43 and 55.45 sampling is appropriate.   | RH  | A  | MSH  |
|  | c. Ensure that K/A importance ratings (except for plant-specific priorities) are at least 2.5.   | RH  | A  | MSH  |
|  | d. Check for duplication and overlap among exam sections.  | RH  | A  | MSH  |
|  | e. Check the entire exam for balance of coverage.  | RH  | A  | MSH  |
|  | f. Assess whether the exam fits the appropriate job level (RO or SRO).   | RH  | A  | MSH  |
| a. Author: Rob Hampton<br>b. Facility Reviewer (*): William Arbour<br>c. NRC Chief Examiner (#): Mark Haire<br>d. NRC Supervisor: Ryan Lantz                 |  | Printed Name/Signature: <i>Rob Hampton</i><br><i>William Arbour</i><br><i>Mark Haire</i><br><i>Ryan Lantz</i> |    | Date:<br>6/3/08<br>6/3/08<br>6/16/08<br>7/2/08 |
| Note: # Independent NRC reviewer initial items in Column "c"; chief examiner concurrence required.<br>* Not applicable for NRC-prepared examination outlines |  |   |    |  |

| Facility: SONGS 2 & 3   |                         | Date of Exam: 09/22/08              |          | Exam Level: RO <input checked="" type="checkbox"/> SRO <input checked="" type="checkbox"/> |         |     |     |
|---|-------------------------|-------------------------------------|----------|--|---------|-----|-----|
| Item Description  |                         |                                     |          | Initial  |         |     |     |
|   |                         |                                     |          | a  | b*      | c#  |     |
| 1. Questions and answers technically accurate and applicable to facility.   |                         |                                     |          | 2/   | A       | SMB |     |
| 2. a. NRC K/As referenced for all questions.<br>b. Facility learning objectives referenced as available.  |                         |                                     |          | 2/   | A       | SMB |     |
| 3. SRO questions are appropriate in accordance with Section D.2.d of ES-401   |                         |                                     |          | 2/   | A       | SMB |     |
| 4. The facility licensee's sampling process was random and systematic (If more than 4 RO or 2 SRO questions were repeated from the last 2 NRC licensing exams, consult the NRR OL program office).  |                         |                                     |          |  |         | SMB |     |
| 5. Question duplication from the license screening/audit exam was controlled as indicated below (check the item that applies) and appears appropriate:<br><input checked="" type="checkbox"/> the audit exam was systematically and randomly developed; or<br><input type="checkbox"/> the audit exam was completed before the license exam was started; or<br><input type="checkbox"/> the examinations were developed independently; or<br><input type="checkbox"/> the licensee certifies that there is no duplication; or<br><input type="checkbox"/> other (explain) |                         |                                     |          | 2/   | K       | SMB |     |
| 6. Bank use meets limits (no more than 75 percent from the bank at least 10 percent new, and the rest new or modified); enter the actual RO / SRO-only question distribution(s) at right.   |                         | Bank                                | Modified | New  | 2/      | A   | SMB |
|   |                         | 43 / 6                              | 3 / 2    | 29 / 17  |         |     |     |
| 7. Between 50 and 60 percent of the questions on the RO exam are written at the comprehension/analysis level; the SRO exam may exceed 60 percent if the randomly selected K/As support the higher cognitive levels; enter the actual RO / SRO question distribution(s) at right.  |                         | Memory                              | C/A      |  | 2/      | A   | SMB |
|   |                         | 21 / 2                              | 54 / 23  |  |         |     |     |
| 8. References / handouts provided do not give away answers or aid in the elimination of distractors.  |                         |                                     |          | 2/   | A       | SMB |     |
| 9. Question content conforms with specific K/A statements in the previously approved examination outline and is appropriate for the tier to which they are assigned; deviations are justified.  |                         |                                     |          | 2/   | A       | SMB |     |
| 10. Question psychometric quality and format meet the guidelines in ES Appendix B.  |                         |                                     |          | 2/   | A       | SMB |     |
| 11. The exam contains the required number of one-point, multiple choice items; the total is correct and agrees with the value on the cover sheet.   |                         |                                     |          | 2/   | A       | SMB |     |
| Printed Name / Signature  |                         |                                     |          |  | Date    |     |     |
| a.  | Author                  | Robert L. Hampton Jr. / [Signature] |          |  | 9/2/08  |     |     |
| b.  | Facility Reviewer (*)   | William Arbar / [Signature]         |          |  | 9/2/08  |     |     |
| c.  | NRC Chief Examiner (#)  | Stephen Garchow / [Signature]       |          |  | 9/3/08  |     |     |
| d.  | NRC Regional Supervisor | Ryan Lantz / [Signature]            |          |  | 9/11/08 |     |     |
| Note: * The facility reviewer's initials/signature are not applicable for NRC-developed examinations.<br># Independent NRC reviewer initial items in Column "c"; chief examiner concurrence required.   |                         |                                     |          |  |         |     |     |



| Facility: SONGS  |  | Date of Examination: 09/22/08                 |          | Operating Test Number: 1 |    |
|--|--|---|----------|--------------------------|----|
| 1. GENERAL CRITERIA  |  |   | Initials |                          |    |
|  |  |   | a        | b*                       | c# |
| a.   | The operating test conforms to the previously approved outline; changes are consistent with sampling requirements (e.g. 10 CFR 55.45, operational importance, safety function distribution).   | ✓   | A        | Smb                      |    |
| b.   | There is no day-to-day repetition between this and other operating tests to be administered during this examination.   | ✓   | A        | Smb                      |    |
| c.   | The operating test shall not duplicate items from the applicants' audit test(s) (see Section D.1.a).   | ✓   | A        | Smb                      |    |
| d.   | Overlap with the written examination and between different parts of the operating test is within acceptable limits.  | ✓   | A        | Smb                      |    |
| e.   | It appears that the operating test will differentiate between competent and less-than-competent applicants at the designated license level.  | ✓   | A        | Smb                      |    |
| 2. WALK-THROUGH CRITERIA   |  |   | -        | -                        | -  |
| a.   | Each JPM includes the following, as applicable:<br><ul style="list-style-type: none"> <li>* initial conditions</li> <li>* initiating cues</li> <li>* references and tools, including associated procedures</li> <li>* reasonable and validated time limits (average time allowed for completion) and specific designation if deemed to be time critical by the facility licensee</li> <li>* operationally important specific performance criteria that include: <ul style="list-style-type: none"> <li>- detailed expected actions with exact criteria and nomenclature</li> <li>- system response and other examiner cues</li> <li>- statements describing important observations to be made by the applicant</li> <li>- criteria for successful completion of the task</li> <li>- identification of critical steps and their associated performance standards</li> <li>- restrictions on the sequence of steps, if applicable</li> </ul> </li> </ul> | ✓   | A        | Smb                      |    |
| b.   | Ensure that any changes from the previously approved systems and administrative walk-through outlines (Forms ES-301-1 and 2) have not caused the test to deviate from any of the acceptance criteria (e.g., item distribution, bank use, repetition from the last 2 NRC examinations) specified on those forms and Form ES-201-2.  | ✓   | A        | Smb                      |    |
| 3. SIMULATOR CRITERIA  |  |   | -        | -                        | -  |
| The associated simulator operating tests (scenario sets) have been reviewed in accordance with Form ES-301-4 and a copy is attached. |  | ✓   | A        | Smb                      |    |
| Printed Name / Signature   |  |   | Date     |                          |    |
| a.   | Author   | Robert L. Hampton Jr. / Robert L. Hampton Jr. | 9/2/08   |                          |    |
| b.   | Facility Reviewer (*)  | William Arbow / William Arbow                 | 9/2/08   |                          |    |
| c.   | NRC Chief Examiner (#)   | Stephen Garchow / Stephen Garchow             | 9/3/08   |                          |    |
| d.   | NRC Supervisor   | Ryan Lantz / Ryan Lantz                       | 9/11/08  |                          |    |
| NOTE: * The facility signature is not applicable for NRC-developed tests.  |  |   |          |                          |    |
| # Independent NRC reviewer initial items in Column "c", chief examiner concurrence required.   |  |   |          |                          |    |

| Facility: SONGS   |  | Date of Exam: 06/04/07                  |   | Scenario Numbers: 1/2/3/4 |   | Operating Test No.: NRC |    |     |
|---|--|---|---|---------------------------|---|-------------------------|----|-----|
| QUALITATIVE ATTRIBUTES  |  |   |   |                           |   | Initials                |    |     |
|   |  |   |   |                           |   | a                       | b* | c#  |
| 1.  | The initial conditions are realistic, in that some equipment and/or instrumentation may be out of service, but it does not cue the operators into expected events.   | Y                                       | A | SMB                       |   |                         |    |     |
| 2.  | The scenarios consist mostly of related events.  | Y                                       | A | SMB                       |   |                         |    |     |
| 3.  | Each event description consists of <ul style="list-style-type: none"> <li>the point in the scenario when it is to be initiated</li> <li>the malfunction(s) that are entered to initiate the event</li> <li>the symptoms/cues that will be visible to the crew</li> <li>the expected operator actions (by shift position)</li> <li>the event termination point (if applicable)</li> </ul> | Y                                       |   |                           |   |                         |    |     |
| 4.  | No more than one non-mechanistic failure (e.g., pipe break) is incorporated into the scenario without a credible preceding incident such as a seismic event.   | Y                                       | A | SMB                       |   |                         |    |     |
| 5.  | The events are valid with regard to physics and thermodynamics.  | Y                                       | A | SMB                       |   |                         |    |     |
| 6.  | Sequencing and timing of events is reasonable, and allows the examination team to obtain complete evaluation results commensurate with the scenario objectives.  | Y                                       | A | SMB                       |   |                         |    |     |
| 7.  | If time compression techniques are used, the scenario summary clearly so indicates. Operators have sufficient time to carry out expected activities without undue time constraints. Cues are given.  | Y                                       | A | SMB                       |   |                         |    |     |
| 8.  | The simulator modeling is not altered.   | Y                                       | A | SMB                       |   |                         |    |     |
| 9.  | The scenarios have been validated. Pursuant to 10 CFR 55.46(d), any open simulator performance deficiencies or deviations from the referenced plant have been evaluated to ensure that functional fidelity is maintained while running the planned scenarios.  | Y                                       | A | SMB                       |   |                         |    |     |
| 10.   | Every operator will be evaluated using at least one new or significantly modified scenario. All other scenarios have been altered in accordance with Section D.5 of ES-301.  | Y                                       | A | SMB                       |   |                         |    |     |
| 11.   | All individual operator competencies can be evaluated, as verified using Form ES-301-6 (submit the form along with the simulator scenarios).   | Y                                       | A | SMB                       |   |                         |    |     |
| 12.   | Each applicant will be significantly involved in the minimum number of transients and events specified on Form ES-301-5 (submit the form with the simulator scenarios).  | Y                                       | A | SMB                       |   |                         |    |     |
| 13.   | The level of difficulty is appropriate to support licensing decisions for each crew position.  | Y                                       | A | SMB                       |   |                         |    |     |
| Target Quantitative Attributes<br>(Per Scenario; See Section D.5.d) |  | Actual Attributes<br>(SONGS Scenario #) |   |                           |   | -                       | -  | -   |
|   |  | 1                                       | 2 | 4                         | 6 |                         |    |     |
| 1.  | Total malfunctions (5-8)   | 8                                       | 7 | 7                         | 6 | Y                       | A  | SMB |
| 2.  | Malfunctions after EOP entry (1-2)   | 2                                       | 1 | 1                         | 1 | Y                       | A  | SMB |
| 3.  | Abnormal events (2-4)  | 3                                       | 3 | 3                         | 3 | Y                       | A  | SMB |
| 4.  | Major transients (1-2)   | 1                                       | 2 | 2                         | 1 | Y                       | A  | SMB |
| 5.  | EOPs entered/requiring substantive actions (1-2)   | 2                                       | 1 | 2                         | 2 | Y                       | A  | SMB |
| 6.  | EOP contingencies requiring substantive actions (0-2)  | 0                                       | 1 | 0                         | 0 | Y                       | A  | SMB |
| 7.  | Critical tasks (2-3)   | 3                                       | 3 | 2                         | 2 | Y                       | A  | SMB |



| Facility:                                 |   | SONGS 2 and 3    |             | Date of Exam: |                  | 09/22/08    |             | Operating Test No.: |             | NRC         |                  |             |             |             |             |                       |             |   |   |
|---|---|------------------|-------------|---------------|------------------|-------------|-------------|---------------------|-------------|-------------|------------------|-------------|-------------|-------------|-------------|-----------------------|-------------|---|---|
| A<br>P<br>P<br>L<br>I<br>C<br>A<br>N<br>T | E<br>V<br>E<br>N<br>T<br><br>T<br>Y<br>P<br>E | SCENARIOS        |             |               |                  |             |             |                     |             |             |                  |             |             |             |             |                       |             |   |   |
|   |   | SONGS #1         |             |               | SONGS #2         |             |             | SONGS #6            |             |             |                  |             |             |             |             | T<br>O<br>T<br>A<br>L | MINIMUM(*)  |   |   |
|   |   | CREW<br>POSITION |             |               | CREW<br>POSITION |             |             | CREW<br>POSITION    |             |             | CREW<br>POSITION |             |             |             |             |                       |             |   |   |
|   |   | S<br>R<br>O      | A<br>T<br>C | B<br>O<br>P   | S<br>R<br>O      | A<br>T<br>C | B<br>O<br>P | S<br>R<br>O         | A<br>T<br>C | B<br>O<br>P | S<br>R<br>O      | A<br>T<br>C | B<br>O<br>P | S<br>R<br>O | A<br>T<br>C |                       | B<br>O<br>P | R | I |
| SROU-1                                    | RX  | -                |             |               | -                |             |             |                     |             |             |                  |             |             |             |             | 0                     | 1           | 1 | 0 |
|   | NOR   | -                |             |               | 1                |             |             |                     |             |             |                  |             |             |             |             | 1                     | 1           | 1 | 1 |
|   | I/C   | 1,2,3,4,5        |             |               | 2,3,4,5          |             |             |                     |             |             |                  |             |             |             |             | 9                     | 4           | 4 | 2 |
|   | MAJ   | 6                |             |               | 6,7              |             |             |                     |             |             |                  |             |             |             |             | 3                     | 2           | 2 | 1 |
|   | TS  | 1,2              |             |               | 3,4,5            |             |             |                     |             |             |                  |             |             |             |             | 5                     | 0           | 2 | 2 |
| SROU-2                                    | RX  | -                |             |               |                  |             |             | -                   |             |             |                  |             |             |             |             | 0                     | 1           | 1 | 0 |
|   | NOR   | -                |             |               |                  |             |             | 1                   |             |             |                  |             |             |             |             | 1                     | 1           | 1 | 1 |
|   | I/C   | 1,2,3,4,5        |             |               |                  |             |             | 2,3,4               |             |             |                  |             |             |             |             | 8                     | 4           | 4 | 2 |
|   | MAJ   | 6                |             |               |                  |             |             | 7                   |             |             |                  |             |             |             |             | 2                     | 2           | 2 | 1 |
|   | TS  | 1,2              |             |               |                  |             |             | 2,4                 |             |             |                  |             |             |             |             | 4                     | 0           | 2 | 2 |
| SROI-1                                    | RX  | -                |             |               |                  | 1           |             |                     |             | -           |                  |             |             |             |             | 1                     | 1           | 1 | 0 |
|   | NOR   | -                |             |               |                  | -           |             |                     |             | 1           |                  |             |             |             |             | 1                     | 1           | 1 | 1 |
|   | I/C   | 1,2,3,4,5        |             |               |                  | 3,4,5       |             |                     |             | 3,8         |                  |             |             |             |             | 10                    | 4           | 4 | 2 |
|   | MAJ   | 6                |             |               |                  | 6,7         |             |                     |             | 7           |                  |             |             |             |             | 4                     | 2           | 2 | 1 |
|   | TS  | 1,2              |             |               |                  | -           |             |                     |             | -           |                  |             |             |             |             | 2                     | 0           | 2 | 2 |
| SROI-2                                    | RX  | -                |             |               | -                |             |             |                     |             | -           |                  |             |             |             |             | 0                     | 1           | 1 | 0 |
|   | NOR   | -                |             |               | 1                |             |             |                     |             | -           |                  |             |             |             |             | 1                     | 1           | 1 | 1 |
|   | I/C   | 1,2,3,4,5        |             |               | 2,3,4,5          |             |             |                     |             | 2,4,6       |                  |             |             |             |             | 12                    | 4           | 4 | 2 |
|   | MAJ   | 6                |             |               | 6,7              |             |             |                     |             | 7           |                  |             |             |             |             | 4                     | 2           | 2 | 1 |
|   | TS  | 1,2              |             |               | 3,4,5            |             |             |                     |             | -           |                  |             |             |             |             | 5                     | 0           | 2 | 2 |
| SROI-3                                    | RX  |                  |             | -             | -                |             |             |                     |             | -           |                  |             |             |             |             | 0                     | 1           | 1 | 0 |
|   | NOR   |                  |             | -             | 1                |             |             |                     |             | -           |                  |             |             |             |             | 1                     | 1           | 1 | 1 |
|   | I/C   |                  |             | 1,3,7,8       | 2,3,4,5          |             |             |                     |             | 2,4,6       |                  |             |             |             |             | 11                    | 4           | 4 | 2 |
|   | MAJ   |                  |             | 6             | 6,7              |             |             |                     |             | 7           |                  |             |             |             |             | 4                     | 2           | 2 | 1 |
|   | TS  |                  |             | -             | 3,4,5            |             |             |                     |             | -           |                  |             |             |             |             | 3                     | 0           | 2 | 2 |
| SROI-4                                    | RX  | -                |             |               |                  | 1           |             |                     |             |             |                  |             |             |             |             | 1                     | 1           | 1 | 0 |
|   | NOR   | -                |             |               |                  | -           |             |                     |             |             |                  |             |             |             |             | 0                     | 1           | 1 | 1 |
|   | I/C   | 1,2,3,4,5        |             |               |                  | 3,4,5       |             |                     |             |             |                  |             |             |             |             | 8                     | 4           | 4 | 2 |
|   | MAJ   | 6                |             |               |                  | 6,7         |             |                     |             |             |                  |             |             |             |             | 3                     | 2           | 2 | 1 |
|   | TS  | 1,2              |             |               |                  | -           |             |                     |             |             |                  |             |             |             |             | 2                     | 0           | 2 | 2 |
| SROI-5                                    | RX  |                  |             |               | -                |             |             |                     |             | -           |                  |             |             |             |             | 0                     | 1           | 1 | 0 |
|   | NOR   |                  |             |               | 1                |             |             |                     |             | -           |                  |             |             |             |             | 1                     | 1           | 1 | 1 |
|   | I/C   |                  |             |               | 2,3,4,5          |             |             |                     |             | 2,4,6       |                  |             |             |             |             | 7                     | 4           | 4 | 2 |
|   | MAJ   |                  |             |               | 6,7              |             |             |                     |             | 7           |                  |             |             |             |             | 3                     | 2           | 2 | 1 |
|   | TS  |                  |             |               | 3,4,5            |             |             |                     |             | -           |                  |             |             |             |             | 3                     | 0           | 2 | 2 |

| Facility: SONGS 2 and 3                   |   | Date of Exam: 09/22/08 |             | Operating Test No.: NRC |               |             |             |               |             |             |               |             |             |                       |            |   |   |
|---|---|------------------------|-------------|-------------------------|---------------|-------------|-------------|---------------|-------------|-------------|---------------|-------------|-------------|-----------------------|------------|---|---|
| A<br>P<br>P<br>L<br>I<br>C<br>A<br>N<br>T | E<br>V<br>E<br>N<br>T<br><br>T<br>Y<br>P<br>E | SCENARIOS              |             |                         |               |             |             |               |             |             |               |             |             | T<br>O<br>T<br>A<br>L | MINIMUM(*) |   |   |
|   |   | SONGS #1               |             |                         | SONGS #2      |             |             | SONGS #6      |             |             |               |             |             |                       |            |   |   |
|   |   | CREW POSITION          |             |                         | CREW POSITION |             |             | CREW POSITION |             |             | CREW POSITION |             |             |                       |            |   |   |
|   |   | S<br>R<br>O            | A<br>T<br>C | B<br>O<br>P             | S<br>R<br>O   | A<br>T<br>C | B<br>O<br>P | S<br>R<br>O   | A<br>T<br>C | B<br>O<br>P | S<br>R<br>O   | A<br>T<br>C | B<br>O<br>P |                       | R          | I | U |
| RO-1                                      | RX  |                        | -           |                         |               |             |             |               |             | -           |               |             |             | 0                     | 1          | 1 | 0 |
|   | NOR   |                        | -           |                         |               |             |             |               |             | 1           |               |             |             | 1                     | 1          | 1 | 1 |
|   | I/C   |                        | 2,4,5       |                         |               |             |             |               |             | 3,8         |               |             |             | 5                     | 4          | 4 | 2 |
|   | MAJ   |                        | 6           |                         |               |             |             |               |             | 7           |               |             |             | 2                     | 2          | 2 | 1 |
|   | TS  |                        | -           |                         |               |             |             |               |             | -           |               |             |             | 0                     | 0          | 2 | 2 |
| RO-2                                      | RX  |                        | -           |                         |               | -           |             |               |             |             |               |             |             | 0                     | 1          | 1 | 0 |
|   | NOR   |                        | -           |                         |               | 1           |             |               |             |             |               |             |             | 1                     | 1          | 1 | 1 |
|   | I/C   |                        | 2,4,5       |                         |               | 2,8         |             |               |             |             |               |             |             | 5                     | 4          | 4 | 2 |
|   | MAJ   |                        | 6           |                         |               | 6,7         |             |               |             |             |               |             |             | 3                     | 2          | 2 | 1 |
|   | TS  |                        | -           |                         |               | -           |             |               |             |             |               |             |             | 0                     | 0          | 2 | 2 |
| RO-3                                      | RX  |                        |             | -                       |               | 1           |             |               |             |             |               |             |             | 1                     | 1          | 1 | 0 |
|   | NOR   |                        |             | -                       |               | -           |             |               |             |             |               |             |             | 0                     | 1          | 1 | 1 |
|   | I/C   |                        |             | 1,3,7,8                 |               | 3,4,5       |             |               |             |             |               |             |             | 7                     | 4          | 4 | 2 |
|   | MAJ   |                        |             | 6                       |               | 6,7         |             |               |             |             |               |             |             | 3                     | 2          | 2 | 1 |
|   | TS  |                        |             | -                       |               | -           |             |               |             |             |               |             |             | 0                     | 0          | 2 | 2 |
| RO-4                                      | RX  |                        | -           |                         |               | -           |             |               |             | -           |               |             |             | 0                     | 1          | 1 | 0 |
|   | NOR   |                        | -           |                         |               | 1           |             |               |             | 1           |               |             |             | 2                     | 1          | 1 | 1 |
|   | I/C   |                        | 2,4,5       |                         |               | 2,8         |             |               |             | 3,8         |               |             |             | 7                     | 4          | 4 | 2 |
|   | MAJ   |                        | 6           |                         |               | 6,7         |             |               |             | 7           |               |             |             | 4                     | 2          | 2 | 1 |
|   | TS  |                        | -           |                         |               | -           |             |               |             | -           |               |             |             | 0                     | 0          | 2 | 2 |
| RO-5                                      | RX  |                        | -           |                         |               | -           |             |               |             |             |               |             |             | 0                     | 1          | 1 | 0 |
|   | NOR   |                        | -           |                         |               | 1           |             |               |             |             |               |             |             | 1                     | 1          | 1 | 1 |
|   | I/C   |                        | 2,4,5       |                         |               | 2,8         |             |               |             |             |               |             |             | 5                     | 4          | 4 | 2 |
|   | MAJ   |                        | 6           |                         |               | 6,7         |             |               |             |             |               |             |             | 3                     | 2          | 2 | 1 |
|   | TS  |                        | -           |                         |               | -           |             |               |             |             |               |             |             | 0                     | 0          | 2 | 2 |
| RO-6                                      | RX  |                        |             | -                       |               |             |             |               | -           |             |               |             |             | 0                     | 1          | 1 | 0 |
|   | NOR   |                        |             | -                       |               |             |             |               | -           |             |               |             |             | 0                     | 1          | 1 | 1 |
|   | I/C   |                        |             | 1,3,7,8                 |               |             |             |               | 2,4,6       |             |               |             |             | 7                     | 4          | 4 | 2 |
|   | MAJ   |                        |             | 6                       |               |             |             |               | 7           |             |               |             |             | 2                     | 2          | 2 | 1 |
|   | TS  |                        |             | -                       |               |             |             |               | -           |             |               |             |             | 0                     | 0          | 2 | 2 |
| RO-7                                      | RX  |                        |             |                         |               | 1           |             |               |             | -           |               |             |             | 1                     | 1          | 1 | 0 |
|   | NOR   |                        |             |                         |               | -           |             |               |             | 1           |               |             |             | 1                     | 1          | 1 | 1 |
|   | I/C   |                        |             |                         |               | 3,4,5       |             |               |             | 3,8         |               |             |             | 5                     | 4          | 4 | 2 |
|   | MAJ   |                        |             |                         |               | 6,7         |             |               |             | 7           |               |             |             | 3                     | 2          | 2 | 1 |
|   | TS  |                        |             |                         |               | -           |             |               |             | -           |               |             |             | 0                     | 0          | 2 | 2 |



| Facility: SONGS 2 and 3                   |  | Date of Exam: 09/22/08 |             | Operating Test No.: NRC |               |             |             |               |             |             |               |             |             |                       |            |   |   |
|---|--|------------------------|-------------|-------------------------|---------------|-------------|-------------|---------------|-------------|-------------|---------------|-------------|-------------|-----------------------|------------|---|---|
| A<br>P<br>P<br>L<br>I<br>C<br>A<br>N<br>T | E<br>V<br>E<br>N<br>T<br><br>T<br>Y<br>P<br>E  | SCENARIOS              |             |                         |               |             |             |               |             |             |               |             |             | T<br>O<br>T<br>A<br>L | MINIMUM(*) |   |   |
|   |  | SONGS #1               |             |                         | SONGS #2      |             |             | SONGS #6      |             |             |               |             |             |                       |            |   |   |
|   |  | CREW POSITION          |             |                         | CREW POSITION |             |             | CREW POSITION |             |             | CREW POSITION |             |             |                       |            |   |   |
|   |  | S<br>R<br>O            | A<br>T<br>C | B<br>O<br>P             | S<br>R<br>O   | A<br>T<br>C | B<br>O<br>P | S<br>R<br>O   | A<br>T<br>C | B<br>O<br>P | S<br>R<br>O   | A<br>T<br>C | B<br>O<br>P |                       | R          | I | U |
| RO-8                                      | RX   |                        | -           |                         |               |             | -           |               |             |             |               |             |             | 0                     | 1          | 1 | 0 |
|   | NOR  |                        | -           |                         |               |             | 1           |               |             |             |               |             |             | 1                     | 1          | 1 | 1 |
|   | I/C  |                        | 2,4,5       |                         |               |             | 2,8         |               |             |             |               |             |             | 5                     | 4          | 4 | 2 |
|   | MAJ  |                        | 6           |                         |               |             | 6,7         |               |             |             |               |             |             | 3                     | 2          | 2 | 1 |
|   | TS   |                        | -           |                         |               |             | -           |               |             |             |               |             |             | 0                     | 0          | 2 | 2 |
| Instructions:                             |  |                        |             |                         |               |             |             |               |             |             |               |             |             |                       |            |   |   |
| 1.  | Check the applicant level and enter the operating test number and Form ES-D-1 event numbers for each event type; TS are not applicable for RO applicants. ROs must serve in both the "at-the-controls (ATC)" and "balance-of-plant (BOP)" positions; Instant SROs must serve in both the SRO and the ATC positions, including at least two instrument or component (I/C) malfunctions and one major transient, in the ATC position. If an Instant SRO <i>additionally</i> serves in the BOP position, one I/C malfunction can be credited toward the two I/C malfunctions required for the ATC position. |                        |             |                         |               |             |             |               |             |             |               |             |             |                       |            |   |   |
| 2.  | Reactivity manipulations may be conducted under normal or <i>controlled</i> abnormal conditions (refer to Section D.5.d) but must be significant per Section C.2.a of Appendix D. (*) Reactivity and normal evolutions may be replaced with additional instrument or component malfunctions on a 1-for-1 basis.  |                        |             |                         |               |             |             |               |             |             |               |             |             |                       |            |   |   |
| 3.  | Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirements specified for the applicant's license level in the right-hand columns.  |                        |             |                         |               |             |             |               |             |             |               |             |             |                       |            |   |   |

|  |              |                               |              |                            |              |                 |              |            |                 |                 |              |              |
|--|--------------|-------------------------------|--------------|----------------------------|--------------|-----------------|--------------|------------|-----------------|-----------------|--------------|--------------|
| Facility: SONGS  |              | Date of Examination: 09/22/08 |              | Operating Test No. NRC 1-4 |              |                 |              |            |                 |                 |              |              |
| Competencies   | SRO          |                               |              |                            | RO (ATC)     |                 |              |            | BOP             |                 |              |              |
|  | SCENARIO     |                               |              |                            | SCENARIO     |                 |              |            | SCENARIO        |                 |              |              |
|  | 1            | 2                             | 4            | 6                          | 1            | 2               | 4            | 6          | 1               | 2               | 4            | 6            |
| Interpret/Diagnose Events and Conditions   | 1,2,3, 4,5,6 | 2,3,4, 5,6,7                  | 2,3,4, 5,6,7 | 1,2,3, 4,5,7               | 2,4,5, 6     | 3,4,5, 6,7      | 4,6,7, 8     | 2,4,6, 7   | 1,3,6, 7,8      | 2,5,6, 7,8      | 2,3,5, 6,7   | 3,7,8        |
| Comply With and Use Procedures (1)   | ALL          | ALL                           | ALL          | ALL                        | 2,3,4, 5,6   | 1,3,4, 5,6,7    | 1,4,6, 7,8   | 2,4,6, 7   | 1,3,6, 7,8      | 1,2,5, 6,7,8    | 1,2,3, 5,6,7 | 1,3,5, 7,8   |
| Operate Control Boards (2)   | N/A          | N/A                           | N/A          | N/A                        | 2,3,4, 5,6   | 1,3,4, 5,6,7    | 1,4,5, 6,7,8 | 2,4,6, 7   | 1,3,6, 7,8      | 1,2,5, 6,7,8    | 1,2,3, 5,6,7 | 1,3,4, 5,7,8 |
| Communicate and Interact   | ALL          | ALL                           | ALL          | ALL                        | 1,2,3, 4,5,6 | 1,3,4, 5,6,7, 8 | 1,4,5, 6,7,8 | 2,4,5, 6,7 | 1,3,4, 5,6,7, 8 | 1,2,3, 5,6,7, 8 | 1,2,3, 5,6,7 | 1,3,4, 5,7,8 |
| Demonstrate Supervisory Ability (3)  | ALL          | ALL                           | ALL          | ALL                        | N/A          | N/A             | N/A          | N/A        | N/A             | N/A             | N/A          | N/A          |
| Comply With and Use Tech. Specs. (3)   | 1,2          | 3,4                           | 2,3          | 2,4                        | N/A          | N/A             | N/A          | N/A        | N/A             | N/A             | N/A          | N/A          |
| Notes:<br>(1) Includes Technical Specification compliance for an RO.<br>(2) Optional for an SRO-U.<br>(3) Only applicable to SROs. |              |                               |              |                            |              |                 |              |            |                 |                 |              |              |

*Instructions:*

*Circle the applicants' license type and enter one or more event numbers that will allow the examiners to evaluate every applicable competency for every applicant.*



| Facility: <u>San Onofre</u>  |  | Date of Exam: <u>9/29/08</u> |     | Exam Level: RO <input checked="" type="checkbox"/> SRO <input checked="" type="checkbox"/> |  |
|--|--|------------------------------|-----|--|--|
| Item Description   | Initials                                 |                              |     |  |  |
|  | a  | b                            | c   |  |  |
| 1. Clean answer sheets copied before grading   | Ⓢ  | A                            | Smb |  |  |
| 2. Answer key changes and question deletions justified and documented  | N/A                                      | N/A                          | N/A |  |  |
| 3. Applicants' scores checked for addition errors (reviewers spot check > 25% of examinations)   | Ⓢ  | A                            | Smb |  |  |
| 4. Grading for all borderline cases (80 ±2% overall and 70 or 80, as applicable, ±4% on the SRO-only) reviewed in detail   | N/A                                      | N/A                          | Smb |  |  |
| 5. All other failing examinations checked to ensure that grades are justified  | N/A                                      | N/A                          | Smb |  |  |
| 6. Performance on missed questions checked for training deficiencies and wording problems; evaluate validity of questions missed by half or more of the applicants | Ⓢ  | A                            | Smb |  |  |
| Printed Name/Signature   |  | Date                         |     |  |  |
| a. Grader  | <u>RICHARD WINTERHOUSE / [Signature]</u> | <u>9/30/2008</u>             |     |  |  |
| b. Facility Reviewer(*)  | <u>William Archibut / [Signature]</u>    | <u>9/30/08</u>               |     |  |  |
| c. NRC Chief Examiner (*)  | <u>S. Garchow / [Signature]</u>          | <u>10/1/08</u>               |     |  |  |
| d. NRC Supervisor (*)  | <u>R. LANTZ / [Signature]</u>            | <u>10/6/08</u>               |     |  |  |
| (*) The facility reviewer's signature is not applicable for examinations graded by the NRC; two independent NRC reviews are required.                              |  |                              |     |  |  |

10/01/2008

14:22:24

Report 21

## Operator Licensing Exam Schedule

From 06/01/2008 To 09/30/2009

Region: 4

Phase Code: 5

| Exam Week  | Site/Docket No./Insp Rpt #                       | # Candidates       |          | Type  | Exam Author | Chief Examiner      | Examiners Assigned   |
|------------|--|--------------------|----------|-------|-------------|---------------------|--|
| 08/25/2008 | San Onofre / 05000361 / 2008301<br>TAC #: X02380 |                    |          | Prep  | FFF         | GARCHOW, STEPHEN M. | GARCHOW, STEPHEN M.<br>HAIRE, MARK S.<br>PRESBY, PETER A.  |
| 08/25/2008 | San Onofre / 05000362 / 2008301<br>TAC #: X02381 |                    |          | Prep  | FFF         | GARCHOW, STEPHEN M. | GARCHOW, STEPHEN M.<br>HAIRE, MARK S.<br>PRESBY, PETER A.  |
| 09/22/2008 | San Onofre / 05000361 / 2008301<br>TAC #: X02380 |                    |          | Admin | FFF         | GARCHOW, STEPHEN M. | APGER, GABRIEL W.<br>GARCHOW, STEPHEN M.<br>HAIRE, MARK S.<br>PATE, ANTHONY L.<br>PRESBY, PETER A. |
| 09/22/2008 | San Onofre / 05000362 / 2008301<br>TAC #: X02381 | RO - 6<br>SROU - 1 | SROI - 2 | Admin | FFF         | GARCHOW, STEPHEN M. | APGER, GABRIEL W.<br>GARCHOW, STEPHEN M.<br>HAIRE, MARK S.<br>PRESBY, PETER A.                     |
| 11/03/2008 | San Onofre / 05000361 /<br>Procedure #: 7111111B |                    |          |       |             | GARCHOW, STEPHEN M. | BALDWIN, RICHARD S.<br>GARCHOW, STEPHEN M.<br>OSTERHOLTZ, CLYDE C.                                 |
| 11/03/2008 | San Onofre / 05000362 /<br>Procedure #: 7111111B |                    |          |       |             | GARCHOW, STEPHEN M. | BALDWIN, RICHARD S.<br>GARCHOW, STEPHEN M.<br>OSTERHOLTZ, CLYDE C.                                 |



## Operator Licensing Exam Schedule

From 08/01/2008 To 09/30/2008

Region: 4

Phase Code: 5

| Exam Week  | Site/Docket No./Insp Rpt #                       | # Candidates       |          | Type  | Exam Author | Chief Examiner      | Examiners Assigned   |
|------------|--|--------------------|----------|-------|-------------|---------------------|--|
| 05/22/2008 | San Onofre / 05000361 / 2008301<br>TAC #: X02380 |                    |          | Prep  | FFF         | GARCHOW, STEPHEN M. | GARCHOW, STEPHEN M.<br>HAIRE, MARK S.<br>PRESBY, PETER A.  |
| 05/22/2008 | San Onofre / 05000362 / 2008301<br>TAC #: X02381 |                    |          | Prep  | FFF         | GARCHOW, STEPHEN M. | GARCHOW, STEPHEN M.<br>HAIRE, MARK S.<br>PRESBY, PETER A.  |
| 08/25/2008 | San Onofre / 05000361 / 2008301<br>TAC #: X02380 |                    |          | Prep  | FFF         | GARCHOW, STEPHEN M. | GARCHOW, STEPHEN M.<br>HAIRE, MARK S.<br>PRESBY, PETER A.  |
| 08/25/2008 | San Onofre / 05000362 / 2008301<br>TAC #: X02381 |                    |          | Prep  | FFF         | GARCHOW, STEPHEN M. | GARCHOW, STEPHEN M.<br>HAIRE, MARK S.<br>PRESBY, PETER A.  |
| 08/30/2008 | San Onofre / 05000361 / 2008301<br>TAC #: X02380 |                    |          | Prep  | FFF         | GARCHOW, STEPHEN M. | GARCHOW, STEPHEN M.<br>HAIRE, MARK S.<br>PRESBY, PETER A.  |
| 08/30/2008 | San Onofre / 05000362 / 2008301<br>TAC #: X02381 |                    |          | Prep  | FFF         | GARCHOW, STEPHEN M. | GARCHOW, STEPHEN M.<br>HAIRE, MARK S.<br>PRESBY, PETER A.  |
| 09/22/2008 | San Onofre / 05000361 / 2008301<br>TAC #: X02380 |                    |          | Admin | FFF         | GARCHOW, STEPHEN M. | APGER, GABRIEL W.<br>GARCHOW, STEPHEN M.<br>HAIRE, MARK S.<br>PATE, ANTHONY L.<br>PRESBY, PETER A. |
| 09/22/2008 | San Onofre / 05000362 / 2008301<br>TAC #: X02381 | RO - 6<br>SROU - 1 | SROI - 2 | Admin | FFF         | GARCHOW, STEPHEN M. | APGER, GABRIEL W.<br>GARCHOW, STEPHEN M.<br>HAIRE, MARK S.<br>PRESBY, PETER A.                     |

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 9/22/08 9/29/08 9/29/08 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of \_\_\_\_\_. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

|     | PRINTED NAME         | JOB TITLE / RESPONSIBILITY | SIGNATURE (1)        | DATE    | SIGNATURE (2)        | DATE     | NOTE |
|-----|----------------------|----------------------------|----------------------|---------|----------------------|----------|------|
| 1.  | LARRY R ZILY         | EXAM AUTHOR                | Larry Zily           | 9/21/08 |                      |          |      |
| 2.  | ROGER R. Monast      | EXAM AUTHOR                | Roger R. Monast      | 9/22/08 |                      |          |      |
| 3.  | Robert H. Hampton    | Instructor                 | Robert H. Hampton    | 9/22/08 | Robert H. Hampton    | 9/29/08  |      |
| 4.  | George J. Marengo    | Instructor                 | George J. Marengo    | 9/11/08 | George J. Marengo    | 9/29/08  |      |
| 5.  | Brian Beltrami       | Computer Engineer          | Brian Beltrami       | 9/18/08 | Brian Beltrami       | 9/29/08  |      |
| 6.  | Kevin Balch          | Computer Engineer          | Kevin Balch          | 9/4/08  | Kevin Balch          | 10/2/08  |      |
| 7.  | SHARON D. NORT       | OAI                        | Sharon D. NORT       | 9/4/08  | Sharon D. NORT       | 10/2/08  |      |
| 8.  | CORKY CALSBECK       | OAI                        | Corky Calsbeck       | 9/4/08  | Corky Calsbeck       | 9/30/08  |      |
| 9.  | MATTHEW ADAM GUARDIA | NUCLEAR COMPUTER TECH      | Matthew Adam Guardia | 9/18/08 | Matthew Adam Guardia | 10/1/08  |      |
| 10. | EDUARDO JACOME       | NUCLEAR COMPUTER TECH      | Eduardo Jacome       | 9/18/08 | Eduardo Jacome       | 10/1/08  |      |
| 11. | Vincent Gagnon       | Computer Engineering Sup   | Vincent Gagnon       | 9/20/08 | Vincent Gagnon       | 9/30/08  |      |
| 12. | William Arbour       | Simulator Supervisor       | William Arbour       | 9/24/08 | William Arbour       | 9/30/08  |      |
| 13. | MARC RICE            | COMPUTER TECH              | Marc Rice            | 9/22/08 | Marc Rice            | 10/1/08  |      |
| 14. | James Vrica          | Operations                 | James Vrica          | 9/24/08 | James Vrica          | 10-3-08  |      |
| 15. | W. Smith Jr          | Operations                 | W. Smith Jr          | 9/18/08 | W. Smith Jr          | 10/21/08 |      |

NOTES:



page 1 of 5

ES-201

## Examination Security Agreement

NRC  
Form ES-201-3

## 1. Pre-Examination

9/22/08  
9/29/08  
09/20/08

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 09/20/08 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

## 2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 09/20/08. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

| PRINTED NAME           | JOB TITLE / RESPONSIBILITY | SIGNATURE (1)       | DATE     | SIGNATURE (2)       | DATE     | NOTE |
|------------------------|----------------------------|---------------------|----------|---------------------|----------|------|
| 1. LARRY R. ZILLY      | EXAM AUTHOR                | Larry R. Zilly      | 09/16/08 | Larry R. Zilly      | 10/16/08 |      |
| 2. ROGER R. MONTGOMERY | EXAM ADMIN                 | Roger R. Montgomery | 9/22/08  | Roger R. Montgomery | 09/22/08 |      |
| 3. ROBERT L. HANCOCK   | Instructor                 | Robert L. Hancock   | 9/22/08  | Robert L. Hancock   | 9/22/08  |      |
| 4. CAROL J. MURRAY     | Instructor                 | Carol J. Murray     | 9/1/08   | Carol J. Murray     | 9/1/08   |      |
| 5. BRIAN E. SCHWARTZ   | Computer Engineer          | Brian E. Schwartz   | 9/22/08  | Brian E. Schwartz   | 9/22/08  |      |
| 6. KEVIN BALCH         | Computer Engineer          | Kevin Balch         | 9/22/08  | Kevin Balch         | 9/22/08  |      |
| 7. SHARON A. HART      | O&I                        | Sharon A. Hart      | 9/22/08  | Sharon A. Hart      | 10/22/08 |      |
| 8. CORY CALLECH        | O&I                        | Cory Callech        | 9/22/08  | Cory Callech        | 9/22/08  |      |
| 9. MATTHEW ALAN SWARTZ | Simulation Operator Tech   | Matthew Alan Swartz | 9/22/08  | Matthew Alan Swartz | 10/1/08  |      |
| 10. EDUARDO JACOME     | NUCLEAR COMPUTER TECH      | Eduardo Jacome      | 9/22/08  | Eduardo Jacome      | 10/1/08  |      |
| 11. VINCENT SCARSON    | Computer Engineering Sup   | Vincent Scarson     | 9/20/08  | Vincent Scarson     | 9/30/08  |      |
| 12. WILLIAM R. BURR    | Simulation Supervisor      | William R. Burr     | 9/22/08  | William R. Burr     | 9/22/08  |      |
| 13. MARC RICE          | COMPUTER TECH              | Marc Rice           | 9/22/08  | Marc Rice           | 10/1/08  |      |
| 14. JAMES E. KELLY     | Operations                 | James E. Kelly      | 9/22/08  | James E. Kelly      | 10/2/08  |      |
| 15. WENDY L. JONES     | Operations                 | Wendy L. Jones      | 9/22/08  | Wendy L. Jones      | 10/2/08  |      |

NOTES:



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NRC

ES-201

Examination Security Agreement

Form ES-201-3

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|----------------------|----------------------------|--------------------------|---------|--------------------------|-----------|------------------|
| 1. Kevin M Geister   | Operator                   | <i>Kevin M Geister</i>   | 5-18-08 | <i>Kevin M Geister</i>   | 10-1-08   |                  |
| 2. James T Hawkins   | Computer Tech              | <i>James T Hawkins</i>   | 5-27-08 |                          |           |                  |
| 3. MICHAEL R SHINKAN | COMPUTER TECH              | <i>Michael R Shinkan</i> | 6-6-08  | <i>Michael R Shinkan</i> | 10-3-08   |                  |
| 4. LAWRENCE A KOMAN  | COMPUTER TECH              | <i>Lawrence A Koman</i>  | 6-6-08  |                          | 10-1-08   |                  |
| 5. BRIAN HANCOCK     | Computer Foreman           | <i>Brian Hancock</i>     | 6-6-08  | <i>Brian Hancock</i>     | 10-06-08  |                  |
| 6. John McGreal      | Operator                   | <i>John McGreal</i>      | 6-8-08  |                          |           | Vacation         |
| 7. John Davis        | Shift Manager              | <i>John Davis</i>        | 6-11-08 | <i>John Davis</i>        | 10/1/08   |                  |
| 8. EDWARD C COLLINS  | COMPUTER TECH              | <i>Edward C Collins</i>  | 6-13-08 | <i>Edward C Collins</i>  | 10/1/08   |                  |
| 9. ANTHONY REYES     | TELECOM TECH               | <i>Anthony Reyes</i>     | 6-20-08 | <i>Anthony Reyes</i>     | 10/2/2008 |                  |
| 10. MIKE MIYASHIRO   | TELECOM TECH               | <i>Mike Miyashiro</i>    | 6-20-08 | <i>Mike Miyashiro</i>    |           | Contract Vendor  |
| 11. MARK MELWARD     | Admin Software Eng.        | <i>Mark Melward</i>      | 6-20-08 | <i>Mark Melward</i>      |           | Left the company |
| 12. Edwin Kamman     | Operator                   | <i>Edwin Kamman</i>      | 6/29/08 | <i>Edwin Kamman</i>      | 10/1/08   |                  |
| 13. Wm Doyle         | Operator                   | <i>Wm Doyle</i>          | 6-29-08 |                          |           |                  |
| 14. RL Meyers        | Operator                   | <i>RL Meyers</i>         | 7/1/08  | <i>RL Meyers</i>         | 9/29/08   |                  |
| 15. NIGEL LO         | CONTRACTOR                 | <i>Nigel Lo</i>          | 7/9/08  | <i>Nigel Lo</i>          | 9/30/08   |                  |

NOTES:

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| PRINTED NAME             | JOB TITLE / RESPONSIBILITY | SIGNATURE (1)                | DATE    | SIGNATURE (2)                | DATE NOTE |
|--------------------------|----------------------------|------------------------------|---------|------------------------------|-----------|
| 1. Brewster W. Cotton    | NCO                        | <i>Brewster W. Cotton</i>    | 7/11/08 | <i>Brewster W. Cotton</i>    | 10/1/08   |
| 2. RALPH W. MAUER        | OPERATIONS                 | <i>Ralph W. Mauer</i>        | 7/11/08 | <i>Ralph W. Mauer</i>        | 10/1/08   |
| 3. Jesse Robinson        | CZ                         | <i>Jesse Robinson</i>        | 7/11/08 | <i>Jesse Robinson</i>        | 10/2/08   |
| 4. David L Ward          | CRS / WIN Sponsor          | <i>David L Ward</i>          | 7/17/08 | <i>David L Ward</i>          | 10/2/08   |
| 5. GENE BECK             | OPS / WIN TEAM             | <i>Gene Beck</i>             | 7/17/08 | <i>Gene Beck</i>             | 10/1/08   |
| 6. Ronald Kelley         | OPS / NCO                  | <i>Ronald Kelley</i>         | 7/24/08 | <i>Ronald Kelley</i>         | 10/2/08   |
| 7. D. Michael Fitzgerald | OPS / NCO                  | <i>D. Michael Fitzgerald</i> | 7/24/08 | <i>D. Michael Fitzgerald</i> | 10/1/08   |
| 8. Al Bites              | OPS / CRS                  | <i>Al Bites</i>              | 7/24/08 | <i>Al Bites</i>              | 10/4/08   |
| 9. Paul L. Jungnitsch    | OPS / NCO                  | <i>Paul L. Jungnitsch</i>    | 7-24-08 | Vacation                     |           |
| 10. MICHAEL VALA         | OPS / NCO                  | <i>Michael Vala</i>          | 7-25-08 | <i>Michael Vala</i>          | 10-1-08   |
| 11. Clark F. Baker       | OPS / CRS                  | <i>Clark F. Baker</i>        | 7-25-08 | <i>Clark F. Baker</i>        | 10-3-08   |
| 12. Mark A. Poore        | OPS / NCO                  | <i>Mark A. Poore</i>         | 7-28-08 | <i>Mark Poore</i>            | 10-1-08   |
| 13. Lee Boerneke         | CRS                        | <i>Lee Boerneke</i>          | 7-28-08 | <i>Lee Boerneke</i>          | 10-3-08   |
| 14. Gary Houser          | Operator SRO               | <i>Gary Houser</i>           | 7-29-08 | <i>Gary Houser</i>           | 10-1-08   |
| 15. STEVEN C NELSON      | OPERATOR                   | <i>Steven C Nelson</i>       | 7-30-08 | <i>Steven C Nelson</i>       | 10-1-08   |

NOTES:



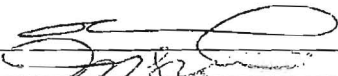
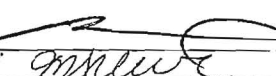
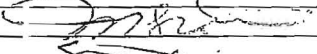
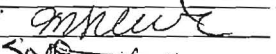
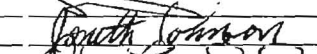
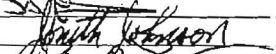
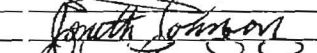


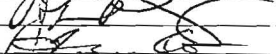

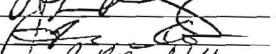
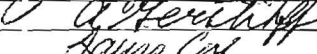
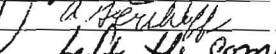
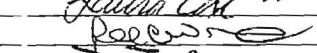
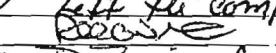
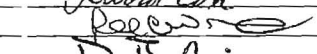
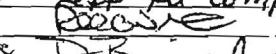

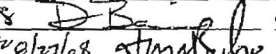
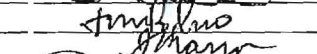
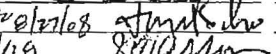
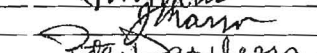
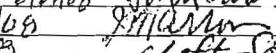

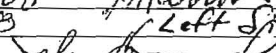

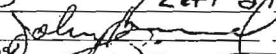
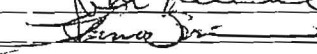
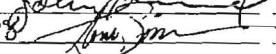
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|------------------------------|----------------------------|--|----------------|---|--|
| 1. <u>ROBERT P. HOWARD</u>   | <u>OPERATOR</u>            |    | <u>8-6-08</u>  |    | <u>10/2/08</u>                         |
| 2. <u>MARK A. HORSCHTAL</u>  | <u>CRS</u>                 |    | <u>8-25-08</u> |    | <u>10-01-08</u>                        |
| 3. <u>Steve A. Peterson</u>  | <u>Supervisor TT</u>       |    | <u>8/7/08</u>  |    | <u>10/2/08</u>                         |
| 4. <u>Janith Johnson</u>     | <u>Computer Tech</u>       |    | <u>8-8-08</u>  |    | <u>10-1-08</u>                         |
| 5. <u>James L. Darling</u>   | <u>CRS</u>                 |   | <u>8-11-08</u> |   | <u>10-3-08</u>                         |
| 6. <u>Jonathan V.</u>        | <u>Reactor Engineer</u>    |   | <u>8/13/08</u> |   | <u>10/6/08</u>                         |
| 7. <u>ALFRED GERSHROFF</u>   | <u>REACTOR ENGINEER</u>    |  | <u>8/14/08</u> |  | <u>9/22/08</u>                         |
| 8. <u>LAURA COX</u>          | <u>Simulator Engineer</u>  |  | <u>8/21/08</u> |  | <u>Left the company out of country</u> |
| 9. <u>RICHARD WHITEHOUSE</u> | <u>INSTRUCTOR</u>          |  | <u>8-25-08</u> |  | <u>9-24-08</u>                         |
| 10. <u>Dana BARRIE</u>       | <u>Supv Z</u>              |  | <u>8-25-08</u> |  | <u>9/29/08</u>                         |
| 11. <u>JOHN RODGERS</u>      | <u>INSTRUCTOR</u>          |  | <u>8-26-08</u> |  | <u>9/30/08</u>                         |
| 12. <u>JAMES MARRON</u>      | <u>INSTRUCTOR</u>          |  | <u>8/27/08</u> |  | <u>9/30/08</u>                         |
| 13. <u>PELE DARBORN</u>      | <u>MEM TECH</u>            |  | <u>9/9/08</u>  |  | <u>Left Site</u>                       |
| 14. <u>John Kennel</u>       | <u>Instructor</u>          |  | <u>9/19/08</u> |  | <u>10/1/08</u>                         |
| 15. <u>TEM SIMMONS</u>       | <u>OPERATOR</u>            |  | <u>9-19-08</u> |  | <u>10/1/08</u>                         |

NOTES:



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|---------------------------|---------------------------------------|-------------------------------|--------------------|-------------------------------|--------------------|
| 1. Katherine Angello      | SRHP / Health Physics Defense Analyst | <i>Katherine Angello</i>      | 9/19/08            | <i>Katherine Angello</i>      | 10/3/2008          |
| 2. Jeff T. Allen          | Instructor / OPS NRC                  | <i>Jeff T. Allen</i>          | 9/19/08            | <i>Jeff T. Allen</i>          | 9/30/08            |
| 3. James Perkins          | Instructor                            | <i>James Perkins</i>          | 9/22/08            | <i>James Perkins</i>          | 9/25/08            |
| 4. <del>Roger Grabo</del> | <del>Instructor</del>                 | <del><i>Roger Grabo</i></del> | <del>9/22/08</del> | <del><i>Roger Grabo</i></del> | <del>9/25/08</del> |
| 5. EMIL JACOBS            | INSTRUCTOR                            | <i>Emil Jacobs</i>            | 9/22/08            | <i>Emil Jacobs</i>            | Vacation           |
| 6. UBERT COETZEE          | EXAMINER (SOUTH AFRICA)               | <i>Ubert Coetzee</i>          | 9/22/08            | <i>Ubert Coetzee</i>          | Left the country   |
| 7. CHRIS LAMBAARD         | Training Manager (South Africa)       | <i>Chris Lambaard</i>         | 9/22/08            | <i>Chris Lambaard</i>         | Left the country   |
| 8. LAWRENCE R. BELL       | Training Instructor                   | <i>Lawrence R. Bell</i>       | 9/23/08            | <i>Lawrence R. Bell</i>       | 10/2/08            |
| 9. David E. Craig         | Training Instructor                   | <i>David E. Craig</i>         | 9/23/08            | <i>David E. Craig</i>         | 9/30/08            |
| 10. Chet W. Jorziak       | CRS / Surrogate SRO                   | <i>Chet W. Jorziak</i>        | 9/23/08            | <i>Chet W. Jorziak</i>        | 10/1/08            |
| 11. TIM CUSICK            | INSTRUCTOR                            | <i>Tim Cusick</i>             | 9/23/08            | <i>Tim Cusick</i>             | 9/23/08            |
| 12. ROBERT BOYER          | COMPUTER ENGINEERING                  | <i>Robert Boyer</i>           | 9/24/08            | <i>Robert Boyer</i>           | 10/1/08            |
| 13. Roger Grabo           | Instructor                            | <i>Roger Grabo</i>            | 9/24/08            | <i>Roger Grabo</i>            | 9/30/08            |
| 14.                       |                                       |                               |                    |                               |                    |
| 15.                       |                                       |                               |                    |                               |                    |

Same as #13

NOTES: