August 13, 2008

MEMORANDUM TO: R. William Borchardt
Executive Director for Operations

FROM: Stephen D. Dingbaum **/RA/**
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: SYSTEM
EVALUATION OF SECURITY CONTROLS FOR
STANDALONE PERSONAL COMPUTERS AND LAPTOPS
(OIG-05-A-18)

REFERENCE: DIRECTOR, COMPUTER SECURITY OFFICE,
MEMORANDUM DATED JULY 23, 2008

Attached is the Office of the Inspector General's analysis and status of
recommendations 1, 2, 3, 4, 5, and 6 as discussed in the agency's response dated
July 23, 2008. Based on this response, recommendations 2, 3, 4, 5, and 6 remain
resolved. Recommendation 1 is closed. Recommendations 7 and 8 were previously
closed. Please provide an updated status of the resolved recommendations by
December 29, 2008.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca at
415-5911.

Attachment: As stated

cc: V. Ordaz, OEDO
J. Arildsen, OEDO
P. Shea, OEDO

Audit Report

SYSTEM EVALUATION OF SECURITY CONTROLS FOR STANDALONE PERSONAL COMPUTERS AND LAPTOPS

OIG-05-A-18

Status of Recommendations

Recommendation 1:   Provide users guidance for implementing security controls on standalone PCs and laptops.

Response Dated
July 23, 2008:   CSO has finalized guidance for implementing security controls on standalone PCs and laptops and expects to issue the new guidance to the staff in August 2008. The specification can be found at http://www.internal.nrc.gov/ois/it-security/documents/Standalone_Hardening_Guide_SGI_Classified.doc

OIG Analysis: The corrective action meets the intent of the recommendation. This recommendation is closed because the guidance has been posted.

Status: Closed.

Audit Report

SYSTEM EVALUATION OF SECURITY CONTROLS FOR STANDALONE PERSONAL COMPUTERS AND LAPTOPS

OIG-05-A-18

Status of Recommendations

<u>Recommendation 2</u>:	Develop and require users to sign a rules of behavior agreement accepting responsibility for implementing security controls on standalone PC's and laptops.
Response Dated July 23, 2008:	Standard rules of behavior have been developed and have been in review with the Office of Human Resources and the National Treasury Employees Union (NTEU) since the beginning of May. Upon completion of the review, the rules will be implemented across the NRC and all of the NRC system users will be required to sign the rules annually. We expect to make the rules available by the first quarter of fiscal year 2009.
OIG Analysis:	The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives notice that the rules of behavior have been distributed and signed.
Status:	Resolved.

Audit Report

SYSTEM EVALUATION OF SECURITY CONTROLS FOR STANDALONE PERSONAL COMPUTERS AND LAPTOPS

OIG-05-A-18

Status of Recommendations

Recommendation 3: Develop and implement procedures for verifying all required security controls are implemented on standalone PCs and laptops.

Response Dated
July 23, 2008: CSO is finalizing procedures for verifying security controls for standalone PCs and laptops and expects to have them completed in the first quarter of fiscal year 2009.

OIG Analysis: The proposed corrective action addresses the intent of OIG's recommendation. This recommendation will be closed when OIG reviews the procedures and confirms that they have been implemented.

Status: Resolved.

Audit Report

**SYSTEM EVALUATION OF SECURITY CONTROLS FOR STANDALONE
PERSONAL COMPUTERS AND LAPTOPS**

OIG-05-A-18

Status of Recommendations

Recommendation 4: Provide users guidance on compliance with Executive Order 13103, Computer Software Piracy, for standalone PCs and laptops.

Response Dated
July 23, 2008: The standard rules of behavior include statements regarding compliance with Executive Order 13103, Computer Software Piracy, for standalone PCs and laptops. Once the rules of behavior are distributed and signed, this recommendation should be closed.

OIG Analysis:

The proposed corrective action addresses the intent of OIG's recommendation. This recommendation will be closed when OIG receives notification that the rules have been distributed and signed.

Status: Resolved.

Audit Report

**SYSTEM EVALUATION OF SECURITY CONTROLS FOR STANDALONE
PERSONAL COMPUTERS AND LAPTOPS**

OIG-05-A-18

Status of Recommendations

Recommendation 5:   Develop and require users to sign a rules of behavior agreement acknowledging their compliance with Executive Order 13103, Computer Security Piracy, for standalone PCs and laptops.

Response Dated
July 23, 2008:   The standard rules of behavior include statements regarding compliance with Executive Order 13103, Computer Software Piracy, for standalone PCs and laptops. Once the rules of behavior are distributed and signed, this recommendation should be closed.

OIG Analysis: The proposed corrective actions address the intent of this recommendation. Once the rules of behavior are distributed and signed, this recommendation will be closed.

Status: Resolved.

Audit Report

**SYSTEM EVALUATION OF SECURITY CONTROLS FOR STANDALONE
PERSONAL COMPUTERS AND LAPTOPS**

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Status of Recommendations

Recommendation 6:	Develop and implement procedures for monitoring compliance with Executive Order 13103, Computer Security Piracy, for standalone PCs and laptops.
Response Dated July 23, 2008:	Each office and region is responsible for ensuring all office/region staff and contractors that use the NRC systems read and sign the standard rules of behavior, including only using licensed software. Procedures for monitoring compliance with Executive Order 13103, Computer Software Privacy, will be incorporated into the procedures for verifying security controls for standalone PCs and laptops. CSO is finalizing procedures for verifying security controls for standalone PCs and laptops and expects to have them completed in the first quarter of fiscal year 2009.
OIG Analysis:	The proposed corrective action addresses the intent of OIG's recommendation. This recommendation will be closed when OIG receives and evaluates the procedures for monitoring compliance with Executive Order 13103, Computer Software Piracy, for standalone PCs and laptops.
Status:	Resolved.