July 11, 2008

MEMORANDUM TO: R. William Borchardt
                   Executive Director for Operations

FROM: Stephen D. Dingbaum **/RA/**
                   Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: SYSTEM
                   EVALUATION OF THE AGENCYWIDE DOCUMENTS
                   ACCESS AND MANAGEMENT SYSTEM (OIG-04-A-21)

REFERENCE: DIRECTOR, OFFICE OF INFORMATION SERVICES,
                   MEMORANDUM DATED MAY 30, 2008

Attached is the Office of the Inspector General (OIG) analysis and status of the recommendations as discussed in the agency's response dated May 30, 2008. Based on this response, recommendations 1, 2, 4, 5, and 6 are closed. Recommendation 3 was previously closed. This closes all recommendations from this report.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca at 415-5911.

Attachment: As stated

cc: V. Ordaz, OEDO
       J. Arildsen, OEDO
       P. Shea, OEDO

Audit Report

**SYSTEM EVALUATION OF THE AGENCYWIDE DOCUMENTS
ACCESS AND MANAGEMENT SYSTEM**

OIG-04-A-21

Status of Recommendations

Recommendation 1:   Update the ADAMS Risk Assessment Report to be
                     consistent with National Institute of Standards and
                     Technology Special Publication 800-30, Risk Management
                     Guide.

Response Dated
May 30, 2008:   The Agencywide Documents Access and Management
                     System (ADAMS) Risk Assessment Report has been
                     updated as part of the ADAMS security certification and
                     accreditation, and it is consistent with the applicable National
                     Institute of Standards and Technology (NIST) and Nuclear
                     Regulatory Commission (NRC) guidance. The ADAMS
                     Certification and Accreditation (C&A) package is currently
                     with the Computer Security Office (CSO) for review. We
                     expect to have an Authority to Operate (ATO) by the end of
                     FY08.

OIG Analysis: The Office of Information Services updated the ADAMS risk
                     assessment as required by this recommendation. OIG will
                     ensure the action is complete as part of the Independent
                     Evaluation of NRC's Implementation of the Federal
                     Information Security Management Act for Fiscal Year 2008.
                     This recommendation is closed.

Status: Closed.

Audit Report

SYSTEM EVALUATION OF THE AGENCYWIDE DOCUMENTS ACCESS AND MANAGEMENT SYSTEM

OIG-04-A-21

Status of Recommendations

Recommendation 2:

Update ADAMS Security Plan to describe all controls currently in place. In-place controls are those marked at least at Level 3 in the self-assessment and that were documented as passed in the last Security Test and Evaluation Plan and Report or in any test and evaluation on controls added since publication of that report.

Response Dated
May 30, 2008:

The ADAMS System Security Plan has been updated as part of the ADAMS C&A process. We have ensured that the plan describes all controls currently in place. The ADAMS C&A package is currently with the CSO for review and expect to have an ATO by the end of FY 08.

OIG Analysis:

The action addresses the issue discussed in the report and the intent of the recommendation. OIG will ensure the action is complete as part of the Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act for Fiscal Year 2008. This recommendation is closed.

Status:

Closed.

**SYSTEM EVALUATION OF THE AGENCYWIDE DOCUMENTS
ACCESS AND MANAGEMENT SYSTEM**

OIG-04-A-21

Status of Recommendations

Recommendation 4:   Update the ADAMS Business Continuity Plan to include the following changes:

> ➢ Describe the methods used to notify recovery personnel during business and non-business hours for all scenarios.
> ➢ Incorporate all teams' roles and responsibilities and relevant points of contact information for team leaders, alternate team leaders, and team members for all scenarios.
> ➢ Include procedures for restoring system operations, with a focus on how to clean the alternate site of any equipment or other materials belonging to the organization.

Response Dated
May 30, 2008:   The ADAMS Business Continuity Plan (BCP) has been updated as part of the ADAMS C&A, and we have ensured that it includes the recommended changes. The ADAMS C&A package is currently with the CSO for review, and we expect to have an ATO by the end of FY08.

OIG Analysis: The action addresses the issue discussed in the report and the intent of the recommendation. OIG will ensure the action is complete as part of the Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act for Fiscal Year 2008. This recommendation is closed.

Status: Closed.

Audit Report

**SYSTEM EVALUATION OF THE AGENCYWIDE DOCUMENTS
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OIG-04-A-21

Status of Recommendations

Recommendation 5:   Update ADAMS Security Plan and/or ADAMS self-assessment to consistently define the protection requirements (confidentiality, integrity, availability).

Response Dated
May 30, 2008:   The ADAMS Security Plan has been updated as part of the ADAMS security accreditation and certification and we have ensured that it consistently defines the protection requirements. The ADAMS C&A package is currently with the CSO for review, and we expect to have an ATO by the end of FY08.

OIG Analysis: The action addresses the issue discussed in the report and the intent of the recommendation. OIG will ensure the action is complete as part of the Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act for Fiscal Year 2008. This recommendation is closed.

Status: Closed.

**SYSTEM EVALUATION OF THE AGENCYWIDE DOCUMENTS
ACCESS AND MANAGEMENT SYSTEM**

OIG-04-A-21

Status of Recommendations

Recommendation 6:   Track all actions items resulting from testing of the ADAMS security controls and contingency plan in either the agency's internal tracking system or in the agency's plan of action and milestones submitted to OMB.

Response Dated
May 30, 2008:   The response to this recommendation is included with the ADAMS system level action items in the Federal Information Security Management Act of 2002 plan of actions and milestones item number ADAMS 05-8. The ADAMS plan of action and milestones are tracked in the NRC System Information Control Database.

OIG Analysis: The action addresses the issue discussed in the report and the intent of the recommendation. OIG will ensure the action is complete as part of the Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act for Fiscal Year 2008. This recommendation is closed.

Status: Closed.