

#### UNITED STATES NUCLEAR REGULATORY COMMISSION ADVISORY COMMITTEE ON REACTOR SAFEGUARDS WASHINGTON, D.C. 20555-0001

- MEMORANDUM TO: Noel Dudley, Senior Staff Engineer ACRS/ACNW
- FROM: Dr. F. Peter Ford, Chairman Materials and Metallurgy Subcommittee
- SUBJECT: CERTIFICATION OF THE MINUTES OF THE ACRS MATERIALS AND METALLURGY SUBCOMMITTEE MEETING CONCERNING THE PRESSURIZED THERMAL SHOCK (PTS)TECHNICAL BASIS REEVALUATION PROJECT, JANUARY 15-16, 2002 – ROCKVILLE, MARYLAND

I hereby certify that, to the best of my knowledge and belief, the minutes of the subject meeting issued on January 28, 2002, are an accurate record of the proceedings for the meeting.

Dr. F. Peter Ford, Chairman Materials and Metallurgy Subcommittee

Date



#### UNITED STATES NUCLEAR REGULATORY COMMISSION ADVISORY COMMITTEE ON REACTOR SAFEGUARDS WASHINGTON, D.C. 20555-0001

February 8 , 2002

MEMORANDUM TO: ACRS Members

Toel Dudley

FROM:

Noel Dudley, Senior Staff Engineer ACRS\ACNW

SUBJECT: CERTIFICATION OF THE MINUTES OF THE ACRS SUBCOMMITTEE MEETING ON MATERIALS AND METALLURGY CONCERNING THE PRESSURIZED THERMAL SHOCK (PTS) TECHNICAL BASIS REEVALUATION PROJECT, JANUARY 15-16, 2002 – ROCKVILLE, MARYLAND

The minutes of the subject meeting, issued on January 28, 2002, have been certified

as the official record of the proceedings of that meeting. A copy of the certified minutes is

attached.

Attachment: As stated

cc: Technical Support Branch Operations Support Branch (3 copies)

cc via e-mail:

J. Larkins S. Bahadur ACRS Fellows and Technical Staff E. Barnard



#### UNITED STATES NUCLEAR REGULATORY COMMISSION ADVISORY COMMITTEE ON REACTOR SAFEGUARDS

WASHINGTON, D.C. 20555-0001

January 28, 2002

MEMORANDUM TO:Dr. F. Peter Ford, Chairman<br/>Materials and Metallurgy SubcommitteefrolJuilleyFROM:Noel Dudley, Senior Staff Engineer<br/>ACRS/ACNW

SUBJECT: WORKING COPY OF THE MINUTES OF THE ACRS MATERIALS AND METALLURGY SUBCOMMITTEE MEETING CONCERNING THE PRESSURIZED THERMAL SHOCK (PTS) TECHNICAL BASIS REEVALUATION PROJECT, JANUARY 15-16, 2002 – ROCKVILLE, MARYLAND

A working copy of the minutes for the subject meeting is attached for your review. I would appreciate your review and comment as soon as possible. Copies are being sent to the Materials and Metallurgy Subcommittee members for information and/or review.

Attachment: As stated

cc: W. Shack M. Bonaca

cc via E-Mail:

- J. Larkins
- S. Bahadur



ML 02 029 0003

lssued: 1/28/02 Certified: 2/7/02

#### ADVISORY COMMITTEE ON REACTOR SAFEGUARDS MINUTES OF SUBCOMMITTEE MEETING ON MATERIALS AND METALLURGY PTS SCREENING CRITERION REEVALUATION PROJECT INITIAL RESULTS JANUARY 15-16, 2002 ROCKVILLE, MARYLAND

The ACRS Subcommittee on Materials and Metallurgy met on January 15-16, 2002, to hold discussions with representatives of the NRC staff concerning the initial results of the Pressurized Thermal Shock (PTS) Technical Basis Reevaluation Project's Fracture Analysis of Vessels – Oak Ridge (FAVOR) code. The entire meeting was open to public attendance. Mr. Noel Dudley was the cognizant ACRS staff engineer for this meeting. The meeting was convened at 8:30 a.m. and recessed at 4:30 p.m. on January 15, 2002, and reconvened at 8:30 a.m. and adjourned at 11:50 a.m. on January 16, 2002.

#### **ATTENDEES**

#### <u>ACRS</u>

P. Ford, Chairman W. Shack, Vice Chairman

NRC REPRESENTATIVES

- M. Mayfield, RES
- J. Rosenthal, RES
- E. Hackett, RES
- S. Malik, RES
- L. Abrams, RES
- B. Arcieri, Information System Laboratory, Inc.
- D. Bessette, RES

M. Bonaca, Member

N. Dudley, ACRS Staff

- H. Woods, RES
- D. Kolaczkowski, Sandia National Laboratory
- T. Dickson, ORNL
- A. Mosleh, University of Maryland
- Y. Chang, University of Maryland

There were no written comments or requests for time to make oral statements received from members of the public. Approximately 12 members of the public attended the meeting. A list of meeting attendees is available in the ACRS office files.

#### **INTRODUCTION**

Dr. F. Peter Ford, Chairman of the Materials and Metallurgy Subcommittee, stated that the purpose of the meeting was to review the status of the PTS Technical Basis Reevaluation Project. He noted that the staff would present the initial results of the reactor vessel failure frequency of Oconee Unit 1 as calculated by the FAVOR code. Dr. Ford summarized ACRS past reviews of the Reevaluation Project and associated SECY papers. He called on Mr. Michael Mayfield, RES, to introduce the presentation.

#### STATUS OF THE PTS TECHNICAL BASIS REEVALUATION PROJECT

Mr. Michael Mayfield, RES, thanked the Subcommittee for the time and effort it had expended in reviewing the Reevaluation Project. He explained that the staff, at the request of Dr. George Apostolakis, ACRS, was prepared to provide a detailed example of how the FAVOR code models and treats uncertainties when determining reactor vessel fracture and failure frequencies.

Dr. Edwin Hackett, RES, presented the status of the Reevaluation Project including the approach developed to assess the PTS risk; the inputs and models developed by the probabilistic risk assessment (PRA), thermal-hydraulics, and probabilistic fracture mechanics (PFM) working groups; and recent accomplishments. See attached viewgraph (VG) - 4. He explained that further work remains to be done in finishing the internal event analysis of four plants, completing external event risk contributions, and integrating the results in risk criteria. Dr. Hackett stated that based on the results of the FAVOR code, the risk of through wall cracking in the Oconee Unit 1pressure vessel would be 4 orders of magnitude less than the regulatory Guide 1.154, "Format and Content of Plant-Specific Pressurized Thermal Shock Safety Analysis Report for Pressurized Water Reactors," acceptance value of 5 X 10<sup>-6</sup> per year even after 60 years of extended operation. screening criterion after 40 years of operation. See attached VG-6. He also summarized the changes between the FAVOR code analysis and the 1980's analysis that resulted in this increased margin from the screening criterion. See attached VG-7.

The Subcommittee members and the staff discussed the following:

- the need to consider the effects of a containment in future analyses,
- dealing with plants that are approaching the screening criterion,
- calculating the reference transition temperature evaluated at the end of life fluence (RT<sub>PTS</sub>) at the end of an additional 20 year license renewal period,
- identifying uncertainties in the FAVOR code results,
- crediting operator actions in PTS scenarios, and
- determining the appropriated level of statistical confidence to use in the FAVOR code.

#### COMPUTATIONAL MODELS AND UNCERTAINTY

Dr. Mark Kirk, RES, presented the guidelines for the Reevaluation Project and the intended materials screening criteria. He explained the interaction and integration among the probabilistic risk assessment (PRA), the thermal-hydraulic, and probabilistic fracture mechanics (PFM) analyses. See attached VG-11. He described the concepts of model development and uncertainty treatment, and how the staff implemented these concepts during the Reevaluation Project.

The Subcommittee members and the staff discussed the data used in developing the models and the feedback loops between the three analyses. They also discussed the lessons learned concerning managing the extensive Reevaluation Project, which included input from several RES branches, national laboratories, NRC contractors, licensees, and the Nuclear Energy Institute.

**PRA**: Mr. Alan Kolaczkowski, Sandia National Laboratory, described the conceptual model for the treatment of uncertainties through the three analyses. He presented the constraints and fundamental assumptions the staff used in its PRAs and human reliability assessments. Mr. Kolaczkowski provided an overview of scenario modeling, the iterative modeling process, and the treatment of uncertainties.

In response to a question by Dr. Ford, the staff explained that aleatory uncertainties are added in the last step of the process, while epistemic uncertainties are assigned to the input parameters and propagated through the process. The Subcommittee members and the staff discussed the following:

- credit given to operator actions for mitigating events,
- effect of plant differences on the probability that operators could mitigate events,
- estimates and uncertainties associated with human error,
- need for licensees to measure human reliability,
- types of scenarios that are screened out in the Reevaluation Project,
- intermediate break size loss-of-coolant accidents as dominate risk scenarios, and
- introduction of time dependency in the scenarios.

**PFM**: Dr. Kirk provided additional details concerning the probabilistic fracture mechanics analysis preformed by the FAVOR code. He explained how the results from the stress intensity factor model and the fracture toughness model are compared to determine the probabilities of crack initiation and through-wall cracking. See attached VG-62. Dr. Kirk presented the data that was used to develop the fracture toughness model and the embrittlement correlations to predict the fracture toughness of irradiation embrittled reactor vessel materials. He described the constraints and fundamental assumptions associated with these models. See attached VG-64. He explained the process for model building and uncertainty characterizations.

Dr. Kirk provided details of how the following models are used to derive the probability of crack initiation of the probability of thru-wall cracking:

- Initiation Fracture Toughness best estimate model: See attached VG-69.
- Transition Temperature Model: derives the reference transition temperature (RT<sub>NDT</sub>) along with associated uncertainties. See attached VG-70.
- Initiation Fracture Toughness Model: derives the initiating fracture toughness (K<sub>Ic</sub>) along with associated uncertainties. See attached VG-72.

- Irradiation Shift Model: derives toughness transition temperature ( $\Delta T_0$ ) along with the associated epistemic uncertainties. See attached VG-77.
- Arrest Fracture Toughness Model: derives the arrest fracture toughness (K<sub>1a</sub>) along with associated uncertainties. See attached VG-82.

Dr. Kirk described how the charpy V-notch energy test specimen transition temperature ( $\Delta T_{30}$ ), Master Curve toughness transition temperature shift ( $\Delta T_0$ ), and associated uncertainties are used to derive the irradiated shift for K<sub>Ic</sub>. Similarly, the arrest temperature ( $\Delta T_{ARREST}$ ), along with associated uncertainties, is used to derive the irradiated shift for K<sub>ia</sub>.

Dr. Kirk described the assumptions and process used to build the flaw distribution model. He explained how the flaw model uses flaw data obtained from non-destructive testing, destructive testing, and expert judgement processes. The flow data was used to derive the density, size, orientation, and location of fabrication-induced flaws in welds, plates, and cladding materials in the reactor pressure vessels' beltline region along with the associated epistemic uncertainties in those flaw distributions.

The Subcommittee members and the staff discussed the following:

- peer reviews of the FAVOR code,
- validation of the reactor pressure vessel fluence at 40 and 60 years,
- grouping the aleatory and epistemic uncertainties instead of treating them separately,
- use of the Master Curve and temperatures to determine K<sub>lc</sub>,
- difference between fitting data to  $RT_{NDT}$  or  $T_0$  to determine  $K_{Ic}$ ,
- validation of the  $\Delta T_{30}$  model when it is fitted to a small set of empirical data,
- validation of fluence across the reactor pressure vessel (RPV) wall,
- need to reevaluated the RPV wall 1/4 T flaw on the basis of the fluence attenuation,
- assumptions concerning the probability of detection of flaws, and
- the Monte Carlo sample size for flaw distributions.

**THERMAL HYDRAULICS**: Dr. Ali Mosleh, University of Maryland, presented the use of the thermal-hydraulic model results, with associated uncertainties, as inputs to the FAVOR code. He described the constraints and assumptions used in relationship to the RELAP5 code. He explained the development the RELAP 5 code and the thermal-hydraulic assessment process. He identified the equipment and systems modeled by the RELAP 5 code and noted that temperature and pressure were the important thermal-hydraulic parameters.

Dr. Mosleh described the Oconee PTS Event Classification Matrix, the development of PTS scenarios, and the derivation of the probability of occurrence for each PTS event. He noted that 94 percent of the total probability of PTS events is contributed by intermediate break size LOCA scenarios. Dr. Mosleh identified the sources of uncertainty in developing the scenarios and in the use of the RELAP 5 code. He described how these uncertainties are incorporated in

the FAVOR code. He presented the sensitivity testing of various parameters and explained how the effect of multiple sources of uncertainty were combined.

The Subcommittee members and the staff discussed the binning process and using experimental data to validate the RELAP 5.

#### INITIAL RESULTS FOR THE OCONEE, UNIT 1, REACTOR PRESSURE VESSEL

Dr. Kirk presented the initial results from the FAVOR code evaluation of the Oconee Unit 1 reactor pressure vessel fatigue and failure frequencies. He identified the PRA improvements that have been made since a similar analysis was performed in the 1980s. He described the improved mapping of reactor vessel embrittlement and the crediting of operator actions. He identified the contribution of different classes of events to the total vessel failure frequency and made the following observations:

- Dominant scenarios are initiated by primary system LOCAs.
- Realistic accounting for operator actions significantly mitigates the influence of secondary system events and results in reduced vessel fatigue and failure frequencies.

 The time of primary safety relief valve closure has significant influence on event severity.

#### RELAP 5 ASSESSMENT

In response to Subcommittee members' questions related to the validation and verification of the RELAP 5 code, Mr. David Bessette, RES, described the assessment of the code during the staff review of the AP600 design. He explained that the AP600 design is more challenging to simulate than existing plant designs because of the passive safety systems. During the review of the AP600, the staff evaluated the RELAP 5 code against experiential data from the ROSA facility. Some of the Oconee PTS accidents scenarios were included in this evaluation. Mr. Bessette presented a comparison of the RELAP 5 code predictions and the ROSA experimental data for temperature and pressure transients initiated by a LOCA. He identified the uncertainties in the results of RELAP 5 code runs for PTS scenarios and explained that some of the uncertainties have been addressed by sensitivity studies.

Mr. Jack Rosenthal, RES, presented additional staff activities that were used to validate the RELAP 5 code. He noted that the ACRS Thermal-Hydraulic Phenomena Subcommittee toured the Oregon State University (OSU) APEX facilities and reviewed the basis for using the one dimensional version of the RELAP code. He stated that the staff plans to issue an overview document that would identified the reports and papers associated with activities to validate the RELAP 5 code.

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The Subcommittee members, though discussions with the staff, verified the following:

- Some Oconee PTS scenarios were evaluated during the AP600 review.
- The staff performed break flow sensitivities.
- OSU APEX facility test results were used to validate the RELAP 5 code.
- There are no major uncertainties associated with the results of the RELAP 5 code.
- The RELAP 5 code reasonably predicted the transients of actual operating events.

The Subcommittee members questioned whether the staff had completed sensitivity studies of how uncertainties in the RELAP 5 code affect the FAVOR code results.

#### CREDITING OPERATOR ACTIONS

Dr. Alan Kolaczkowski presented the steps in the emergency operating procedures (EOPs) that the operators would follow in response to various scenarios that could lead to a PTS event. He explained that, on the basis of operator training and the EOPs, there is high confidence that operators will isolate a faulted steam generator. In addition, Mr. Kolaczkowski described how an expert elicitation assessment provided histograms for the probability of operator errors.

#### EXAMPLE PROBLEM

Dr. Mosleh presented an example of how the vessel failure frequency was calculated for the dominant transient in the Oconee analysis. He explained how the transient was derived from the PRA event characterization and binning process. He described how the thermal-hydraulic estimates of pressure and temperature over time were developed and how the FAVOR code calculated the reactor vessel fracture and failure frequencies. During the presentation, Dr. Mosleh identified the uncertainties in the different variables and explained how these uncertainties were quantified or how engineering judgement was used to determined the treatment of the uncertainties.

The Subcommittee members and the staff discussed the following:

- whether the Monte Carlo process would identify the tails of PTS event distributions,
- whether the assumed flaw size was realistic,
- fluence values assumed at a crack tip,
- use of the maximum value for the conditional probability of thru-wall cracking instead of a cumulative probability,
- assumptions concerning how a crack becomes thru-wall, and
- the numerical difference between the probability of crack initiation and the probability of thru-wall cracking.

#### SUBCOMMITTEE COMMENTS, CONCERNS, AND RECOMMENDATIONS

Dr. William Shack stated that the PTS Reevaluation Program was impressive.

Dr. Mario Bonaca stated that the initial results of the Reevaluation Project provide convincing evidence that PTS events happen less frequently than the staff previously predicted and that operators perform better than the staff had previously assumed.

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Dr. Peter Ford opined that given the importance of PTS events, a confidence level greater than 95 percent should be considered. He stated that the Reevaluation Project was impressive and created unique program and managerial challenges. Given that there is no experimental data against which to validate the derived vessel failure frequency, he questioned the verification and validation of the RELAP 5 code and interactive models such as peer reviews.

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#### STAFF AND INDUSTRY COMMITMENTS

None.

#### SUBCOMMITTEE DECISIONS

The Subcommittee requested that the staff present the following information at the February 7, 2002 ACRS meeting session on the Reevaluation Project.

• Overview of the PTS Technical Basis Reevaluation Project.

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- Initial results for the Oconee Unit 1 reactor pressure vessel failure frequency.
- Example of how the vessel fracture and failure frequencies are calculated.

#### FOLLOW-UP ACTIONS

None

#### PRESENTATION SLIDES AND HANDOUTS PROVIDED DURING THE MEETING

The presentation slides and handouts used during the meeting are available in the ACRS office files or as attachments to the transcript.

#### BACKGROUND MATERIAL PROVIDED TO THE SUBCOMMITTEE:

- Letter dated October 12, 2000, from Dana A. Powers, Chairman, ACRS, to William D. Travers, Executive Director for Operations, NRC, Subject: Pressurized Thermal Shock Technical Basis Reevaluation Project.
- SECY-00-0140, "Reevaluation of the Pressurized Thermal Shock Rule (10 CFR 50.61) Screening Criterion," dated June 23, 2000.
- SECY-01-0045, "Status Report Reevaluation of the Technical Basis for the Pressurized Thermal Shock Rule (10 CFR 50.61)" dated March 16, 2001.
- SECY-01-0185, "Status Report Reevaluation of the Technical Basis for the Pressurized Thermal Shock Rule (10 CFR 50.61)" dated October 5, 2001.

- Siu, N., NRC, "Uncertainty Analysis and Pressurized Thermal Shock: An Opinion; White Paper Last Revised September 3, 1999."
- Kirk, M., NRC, and William, P., ORNL, "Recommended Method to Account for Uncertainty in the Fracture Characterization Used to Re-Evaluate the Pressurized Thermal Shock(PTS) Screening Criteria," revised draft dated October 3, 2001. [Internal Use Only]
- Williams, P.T., and Dickson, T.L., ORNL, NUREG/CR-xxxx, ORNL/TM-2001-xx, "Fracture Analysis of Vessels - Oak Ridge FAVOR, v01.0, Computer Code: Theory and implementation of Algorithms, Methods, and Correlations," revised draft dated October 15, 2001. [Internal Use Only]
- Dickson, T.L., and Williams, P.T., ORNL, NUREG/CR-xxxx, ORNL/TM-2001-55, "Fracture Analysis of Vessels - Oak Ridge FAVOR, v01.0: Computer Code: User's Guide," revised draft dated October 10, 2001. [Internal Use Only]

NOTE: Additional details of this meeting can be obtained from a transcript of this meeting available in the NRC Public Document Room, One White Flint North, 11555 Rockville Pike, Rockville, MD, (301) 415-7000, downloading or viewing on the Internet at "http://www.nrc.gov/ACRSACNW," or can be purchased from Neal R. Gross and Co., 1323 Rhode Island Avenue, NW, Washington, D.C. 20005, (202) 234-4433 (Voice), 387-7330 (Fax), e-mail: nrgross@nealgross.com.

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#### ADVISORY COMMITTEE ON REACTOR SAFEGUARDS MEETING OF THE MATERIALS AND METALLURGY SUBCOMMITTEE PTS SCREENING CRITERION REEVALUATION PROJECT INITIAL RESULTS JANUARY 15, 2002 ROCKVILLE, MARYLAND

#### - AGENDA -

		TOPIC	PRESENTER	TIME
	۱.	Opening Remarks	P. Ford, ACRS	8:30-8:35 a.m.
	11.	Status of Pressurized Thermal Shock (PTS) Technical Basis Reevaluation Project	h. MAIFSELP, R € S E. Hackett, RES	9: <i>10</i> 8:35- <del>9:00</del> a.m.
		<ul> <li>A. Probabilistic Risk Assessment (PRA) Group</li> <li>B. Thermal Hydraulics (T/H) Group</li> <li>C. Probabilistic Fracture Mechanics (PFM)Group</li> </ul>	RES RES RES	
$\left( \right)$	íII.	Oconee Results	RES 4:00-4:30 pm	9:00-10:15 a.m.
1		<ul><li>A. Dominant transients</li><li>B. Predicted vessel failures</li><li>C. Relation to existing screening criteria</li></ul>	<b>А. М 052 ЕН, ИМО</b>	
,	1-	- BREAK -		10:15-10:30 a.m.
(	IV.	Modeling Process	RES A. KOL AC ZKOWSKI	9:10- 10:15 a.m 10:30-12:00 noor
		<ul> <li>A. Derivation of new screening criteria</li> <li>B. 1999 White paper</li> <li>C. Constraints, models, and uncertainties for</li> <li>PRA, T/H, and PFM</li> </ul>	M. KIRK, RES A. Mosleh, UMD	
		NODELING PROCESS (CONTINED) - LUNCH -		<i>(0:30 - 12:00 woon</i> / 12:00-1:00 p.m.
	V.	Modeling Process (Continued)	RES	1:00-2:15 p.m.
		- BREAK -		2:15-2:30 p.m.
	VI.	Modeling Process (Continued)	RES	<i>4:00</i> 2:30- <del>3:30</del> p.m.
	VII.	Example Problem	RES	3:30-5:00 p.m.
		A Definition of event sequences B. Decision for binning sequences	/	
	VIII.	Recess	P. Ford, ACRS	<del>Y</del> ∴ <i>30</i> <del>5:0</del> 0 p.m.

#### ADVISORY COMMITTEE ON REACTOR SAFEGUARDS MEETING OF THE MATERIALS AND METALLURGY SUBCOMMITTEE PTS SCREENING CRITERION REEVALUATION PROJECT INITIAL RESULTS JANUARY 16, 2002 ROCKVILLE, MARYLAND

#### - AGENDA -

	TOPIC	PRESENTER	TIME
IX. ≯	Opening Remarks	P. Ford, ACRS	8:30-8:35 a.m.
х.	<ul> <li>Example Problem (Continued)</li> <li>A. Definition of event sequences</li> <li>B. Decision for binning sequences</li> <li>C. Selection of one sequence to represent a bin</li> <li>D. Definition of initiating event frequencies</li> <li>E. T/H characterization of sequence</li> <li>F. PFM analysis of the sequence</li> <li>G. Combination of inputs to get vessel failure frequency</li> </ul>	RES A · Mosceni , UMD	<del>ያ:ਖ਼ડ</del> <del>8:35•</del> 10:00 a.m.
	- BREAK -		10:00-10:15 a.m.
XI.	Example Problem (Continued)	RES	<i>ه ه</i> 10:15-11: <del>30</del> a.m.
X!I.	Discussion	P. Ford, ACRS	1/105-11:30 a.m. <del>11:30-12:00 noon</del>
XIII.	Adjournment	P. Ford	11:30 a.n. <del>12:00 noon</del>

#### NOTE:

Presentation time should not exceed 50 percent of the total time allotted for specific item. The remaining 50 percent of the time is reserved for discussion.

Number of copies of the presentation materials to be provided to the ACRS - 25.

RELAP 5 verification	D. Gessette, Res	8:35 - 8:55
OPERATOR ACTIONS	A.KOLACZKOWSKI,	8:55-9:45

#### ADVISORY COMMITTEE ON REACTOR SAFEGUARDS MEETING OF THE MATERIALS AND METALLURGY SUBCOMMITTEE PTS SCREENING CRITERION REEVALUATION PROJECT INITIAL RESULTS JANUARY 15, 2002 ROCKVILLE, MARYLAND

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#### - AGENDA -

	TOF	<u>PIC</u>	PRESENTER	TIME
ι.	Opening Remarks		P. Ford, ACRS	8:30-8:35 a.m.
И.	Stat Tecl	us of Pressurized Thermal Shock (PTS) nnical Basis Reevaluation Project	E. Hackett, RES	8:35-9:00 a.m.
	А. В. С.	Probabilistic Risk Assessment (PRA) Group Thermal Hydraulics (T/H) Group Probabilistic Fracture Mechanics (PFM)Group	RES RES RES	
ut.	Oco	nee Results	RES	9:00-10:15 a.m.
	А. В. С.	Dominant transients Predicted vessel failures Relation to existing screening criteria		
		- BREAK -		10:15-10:30 a.m.
IV.	Mod	leling Process	RES	10:30-12:00 noon
	А. В. С.	Derivation of new screening criteria 1999 White paper Constraints, models, and uncertainties for PRA, T/H, and PFM		
		- LUNCH -		12:00-1:00 p.m.
V.	Mod	leling Process (Continued)	RES	1:00 <b>-</b> 2:15 p.m.
		- BREAK -		2:15-2:30 p.m.
VI.	Mod	leling Process (Continued)	RES	2:30-3:30 p.m.
VII.	Exa	mple Problem	RES	3:30-5:00 p.m.
	А. В.	Definition of event sequences Decision for binning sequences		
VIII.	. Recess		P. Ford, ACRS	5:00 p.m.

#### ADVISORY COMMITTEE ON REACTOR SAFEGUARDS MEETING OF THE MATERIALS AND METALLURGY SUBCOMMITTEE PTS SCREENING CRITERION REEVALUATION PROJECT INITIAL RESULTS JANUARY 16, 2002 ROCKVILLE, MARYLAND

#### - AGENDA -

	TOPIC	PRESENTER	TIME
IX.	Opening Remarks	P. Ford, ACRS	8:30-8:35 a.m.
Х.	Example Problem (Continued)	RES	8:35-10:00 a.m.
	<ul> <li>A. Definition of event sequences</li> <li>B. Decision for binning sequences</li> <li>C. Selection of one sequence to represent a bin</li> <li>D. Definition of initiating event frequencies</li> <li>E. T/H characterization of sequence</li> <li>F. PFM analysis of the sequence</li> <li>G. Combination of inputs to get vessel failure frequency</li> </ul>		
	- BREAK -		10:00-10:15 a.m.
XI.	Example Problem (Continued)	RES	10:15-11:30 a.m.
<b>X</b> ]].	Discussion	P. Ford, ACRS	11:30-12:00 noon
XIII.	Adjournment	P. Ford	12:00 noon

#### NOTE:

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Number of copies of the presentation materials to be provided to the ACRS - 25.

ACNW meeting notices, meeting transcripts, and letter reports are now available for downloading or viewing on the internet at http://www.nrc.gov/ ACRSACNW.

Videoteleconferencing service is available for observing open sessions of ACNW meetings. Those wishing to use this service for observing ACNW meetings should contact Mr. Theron Brown, ACNW Audiovisual Technician (301/415-8066), between 7:30 a.m. and 3:45 p.m. EST at least 10 days before the meeting to ensure the availability of this service.

Individuals or organizations requesting this service will be responsible for telephone line charges and for providing the equipment and facilities that they use to establish the videoteleconferencing link. The availability of videoteleconferencing services is not guaranteed.

The ACNW meeting dates for Calendar Year 2002 are provided below: ACNW Meeting No. and Meeting Date: 131st (Rockville, MD)—January 8–10 2002

- 132nd (Rockville, MD)—February 7, 2002
- 133rd (Rockville, MD)---March 19-21, 2002
- 134th (Rockville, MD)—April 16–18, 2002
- 135th (Las Vegas, NV-tentative)-May 21-23, 2002
- 136th (Rockville, MD)-June 18-20, 2002
- 137th (Rockville, MD)—July 23-25, 2002
- August 2002-No Meeting
- 138th (Rockville, MD)—September 24-26, 2002
- 139th (Rockville, MD)-October 22-24, 2002
- 140th (Rockville, MD)—November 19-21, 2002

December 2002—No meeting

Dated: December 13, 2001.

#### Andrew L. Bates,

Advisory Committee Management Officer. [FR Doc. 01-31213 Filed 12-18-01; 8:45 am] BLLING CODE 7590-01-P

#### NUCLEAR REGULATORY

Advisory Committee on Reactor Safeguards Meeting of the ACRS Subcommittee on Materials and Metallurgy; Notice of Meeting

> The ACRS Subcommittee on Materials and Metallurgy will hold a meeting on January 15–16, 2002, Room T–2B3, 11545 Rockville Pike, Rockville, Maryland.

The entire meeting will be open to public attendance.

The agenda for the subject meeting shall be as follows:

Tuesday, January 15, 2002—8:30 a.m. until the conclusion of business

Wednesday, January 16, 2002-8:30 a.m. until 12:00 Noon

The Subcommittee will review the preliminary results of the Fracture Analysis of Vessels: Oak Ridge (FAVOR) code calculation associated with the Reevaluation of the Technical Basis for the Pressurized Thermal Shock Rule Screening Criterion Project. The purpose of this meeting is to gather information, analyze relevant issues and facts, and formulate proposed positions and actions, as appropriate, for deliberation by the full Committee.

Oral statements may be presented by members of the public with the concurrence of the Subcommittee Chairman; written statements will be accepted and made available to the Committee. Electronic recordings will be permitted only during those portions of the meeting that are open to the public, and questions may be asked only by members of the Subcommittee, its consultants, and staff. Persons desiring to make oral statements should notify the cognizant ACRS staff engineer named below five days prior to the meeting, if possible, so that appropriate arrangements can be made.

During the initial portion of the meeting, the Subcommittee, along with any of its consultants who may be present, may exchange preliminary views regarding matters to be considered during the balance of the meeting.

The Subcommittee will then hear presentations by and hold discussions with representatives of the NRC staff, and other interested persons regarding this review.

Further information regarding topics to be discussed, whether the meeting has been canceled or rescheduled, and the Chairman's ruling on requests for the opportunity to present oral statements and the time allotted therefor, can be obtained by contacting the cognizant ACRS staff engineer, Mr. Noel F. Dudley (telephone 301/415-6888) between 7 a.m. and 3:45 p.m. (EST). Persons planning to attend this meeting are urged to contact the above named individual one or two working days prior to the meeting to be advised of any potential changes to the agenda, etc., that may have occurred.

Dated: December 12, 2001.

#### Sher Bahadur,

Associate Director for Technical Support, ACRS/ACNW.

[FR Doc. 01-31214 Filed 12-18-01; 8:45 am] BILLING CODE 7590-01-P

#### NUCLEAR REGULATORY COMMISSION

#### Privacy Act of 1974; New System of Records

AGENCY: Nuclear Regulatory Commission. ACTION: Notice of New System of Records.

**SUMMARY:** The Nuclear Regulatory Commission (NRC) is providing notice of the establishment of a new system of records, NRC-12, Child Care Tuition Assistance Program Records. EFFECTIVE DATE: The new system of records will become effective without further notice on January 28, 2002 unless comments received on or before that date cause a contrary decision. ADDRESSES: Send comments to: Secretary, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001. Attention: Rulemakings and Adjudications staff. Hand deliver comments to 11555 Rockville Pike, Rockville, Maryland, between 7:30 a.m. and 4:15 p.m. on Federal workdays. Copies of comments received may be examined at either the NRC Public Document Room, One White Flint North, 11555 Rockville Pike (first floor), Rockville, Maryland, or the NRC's Agencywide Documents Access and Management System (ADAMS). Comments are also available at the NRC's rulemaking Web site at http:// ruleforum.llnl.gov. This site also enables you to submit comments. Comments may be uploaded as files (any format), if your Web browser supports that function. For information about the interactive rulemaking Web site, contact Ms. Carol Gallagher, 301-415-5905; email: cag@nrc.gov.

FOR FURTHER INFORMATION CONTACT: Sandra S. Northern, Privacy Program Officer, FOIA/Privacy Act Team, Web, Publishing, and Distribution Services Division, Office of the Chief Information Officer, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, telephone: 301-415-6879; e-mail: ssn@nrc.gov.

SUPPLEMENTARY INFORMATION: The establishment of this new system of records, NRC-12, Child Care Tuition Assistance Program Records, will allow the NRC to collect and maintain family income data from NRC employees for the purpose of determining their eligibility for child care subsidies. and the amounts of the subsidies. It will also maintain information from the employee's child care provider(s) for verification purposes, e.g., that the provider is licensed. Data will be

#### SUBCOMMITTEE MEETING ON MATERIALS AND METALLURGY

JANUARY 15- 15- 2002 Date

#### PLEASE PRINT

**AFFILIATION** NAME UMD Chang Yung-Hsien MEYEN WESTINGHOUSE ELEGATIC CU. TFN BARRY SLOANE WESTNOHOUSE EVECTRIC Heshan Gunawardane University of Maryland Eric Frantz Westinghouse Flectic Westinchouse Elec vuce IShore CAK. Vickson KIDGE ATIONAL ERN Francatome AWP Maricie Natishan PEAL Robert Beatin ISL, JAC. W Arcien 15C, Inc Don Fletcher ISL, Inc CONPPT Bob Mardips MMC -Palisades tan artie Kon 7am Ali Mosleh Unix of Maryland PPNLO FRED SIMONEN TFL-COM PPNL (TEL-COM) STEVE

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JANUARY 15-, 2002 Date

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NRC ORGANIZATION NAME RESI JAME KIRIL DET Iner DET =77 RES /DRAA 1200 μa RES NRR DE EmcB Takeyana NRR/RLEP RES DRAA. ערינע RES/DET/MER 256 Ξες osentha RES PF D ß から *ie*Thno mas Davis RES MER  $\mathcal{E}T$ SRAB 9112 BARRY ELLIOT NRR EMCR 55 RYB UNE RES /DET /MEB arol Money DET MEB RES

#### SUBCOMMITTEE MEETING ON MATERIALS AND METALLURGY

JANUARY 16, 2002 Date

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NAME	AFFILIATION	
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Eric Frantz	Westinghouse Electric	
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Ron Gamble	Saiter Corp	0
Gary F Pratt	NMC - Palisades	
Ken Yoon	Franctome ANP	
Alter		
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Yung - Hsien Chang	UMD	
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FRED SIMONEN	PPNL (TELE - COM)	

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JANUARY #-16, 2002 Date

#### NRC STAFF SIGN IN FOR ACRS MEETING

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NAME <u>SHAH</u> MALIK <u>Cayetans</u> Santos Sr Nilesh Chokeshi	NRC ORGANIZATION RES   DET / MEB RES / DET / MEB RES   DET   MEA
Es Ancherr MARIN KIRNE	Res/BET/MEB Res/DET/MEB
Debbie Jackson	RESIDETIMEB
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### **ATTACHMENTS**

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# **Project Status**

- Approach developed to assess the PTS risk
- Involves inputs from and models developed in three different technical areas
  - Probabilistic Risk Assessment (PRA)
  - Thermal Hydraulics (TH)
  - Probabilistic Fracture Mechanics (PFM)

#### Recent accomplishments

- October 01: PFM Code (FAVOR) V01.0 released to public
- Estimating the risk of vessel failure for 4 plants



Plant	PRA	2 TH	(3) PFM	
Oconee	draft	draft	draft	draft
Palisades	Licensee revising	1 <sup>st</sup> cut	1 <sup>st</sup> cut	1 <sup>st</sup> cut
Beaver	NRC building	1 <sup>st</sup> cut	1 <sup>st</sup> cut	1 <sup>st</sup> cut
Calvert	begun	1 <sup>st</sup> cut		

## Preview of Results for Oconee 1



VG 6



## PRA, T/H, PFM Interaction & Integration



### **Probabilistic Fracture Mechanics Expanded**



### **Current Toughness & Embrittlement Models**



### Initiation Fracture Toughness (Best Estimate Model)

- Physical understanding suggests
  - Common T-dependence
  - Common scatter
  - Irradiation produces shift only
- <u>Best Estimate</u>: The Master Curve method (with *T<sub>o</sub>* transition temperature)
  - Physically based
  - Empirically validated
  - Weakest link statistics account explicitly for cleavage process
  - T<sub>o</sub> defined consistently for all steels
    - ✓ Temperature at 100 MPa√m
    - ✓ Corresponds to the position of the data instead of a representation of data



### Current Transition Temperature Model: RT<sub>NDT</sub>









## Pressurized Thermal Shock Rule (10CFR50.61) Re-Evaluation

Model Definition and Uncertainty Treatment













Thermal Hydraulics



ACRS Materials Subcommittee Meeting on PTS Re-Evaluation USNRC Headquarters • Rockville, MD • 15<sup>th</sup>-16<sup>th</sup> January 2002

### Mark Kirk, Shah Malik, Terry Dickson, Ed Hackett

Probabilistic Fracture Mechanics

### Roy Woods, Alan Kolaczkowski

Probabilistic Risk Assessment

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Probabilistic Fracture Mechanics

### Roy Woods, Alan Kolaczkowski

Probabilistic Risk Assessment

# **Meeting Objectives**

- Provide a status report on the PTS re-evaluation project
- Describe the modeling process and uncertainty quantification
- Discuss current results and insights from analysis of Oconee
- Provide one detailed example of the modeling and uncertainty process


## **Project Status**

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  - Thermal Hydraulics (TH)
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Beaver	NRC building	1 <sup>st</sup> cut	1 <sup>st</sup> cut	1 <sup>st</sup> cut
Calvert	begun	1 <sup>st</sup> cut		

## Work Remaining

- Q/A, finish internal events analysis for the Oconee, Palisades, Beaver, and Calvert
- External event risk contribution
- Integration of results (risk criteria)

### **Preview of Results for Oconee 1**







### **Overall Modeling / Uncertainty Process**

#### **Topics Discussed**

- Guidelines for project, and intended material screening criteria
- Interaction / integration of PRA, T/H, PFM analyses
- A concept for model development and uncertainty treatment was established in 1999. Today we focus on model development / uncertainty treatment procedures as implemented in this project
  - PRA
  - T/H
  - PFM

### Guiding Principles & Intended Materials-based Screening Criteria

- The methodology used in the PTS reevaluation project requires an
  - Explicit treatment of uncertainties across technical disciplines
  - Uncertainty classification & separation
    - ✓ Aleatory
    - ✓ Epistemic
  - Uncertainty quantification
- Intent: No new material measurements needed to assess vessel integrity



### Implemented Model Development / Uncertainty Treatment



Probabilistic fracture mechanics analysis

#### For each element

- Constraints imposed on the element, and/or fundamental assumptions
- Components of the element
- Process used for model building
- Uncertainty treatment
- Significant changes since 1980s evaluation



PRA in the Overall Process

# **Conceptual Model**



(Constraints & Fundamental Assumptions)

#### **Limitations**

- Typical PRA limitations
- Screened scenarios based on T-H and frequency (i.e., not passed on to PFM)
- External events being evaluated

### **Considered**

- Both full power and hot zero power initial conditions
- Timing of events generally early in scenario, though did consider, "late" failures and recoveries
- Considered both errors of omission & acts of commission
- Four functions of interest

## **Overview of Scenario Modeling**

General I	Functional Event T	ree for PTS	······································		······································
Initiator	Primary integrity	Secondary Pressure	Secondary Feed ok	Primary Flow/Press not PTS (1)	· · · / ·
	•			ok/controlled	minor PTS at most
		ok	overfeed	overfeed/pressurized/ no flow	possible significant PTS
	• • • • • •			underfeed/lost	core damage; not PTS
	ok		underfeed/lost	go to Primary Integrity failed	(Feed & Bleed) (2)
		1	: !	ok/controlled	minor PTS at most
		not	isolated/overfeed	overfeed/pressurized/ no flow	possible significant PTS
· · · · ·	<b></b>	depressurizing	· · · · ·	underfeed/lost	core damage; not PTS
			underfeed/lost	go to Primary Integrity failed	(Feed & Bleed) (3)
		see note (4)			
	1 1 20 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	L	l		

(2) loss of feed to both SGs; procedures call for Feed & Bleed which is equivalent to entering tree at

- Primary Integrity "failed"
- (3) like (2) above except secondary depressurization has further lowered RCS temp
- (4) logic is identical to rest of tree above except choices also exist for Primary Flow/Pressure even for Secondary Pressure and Feed "ok" state and PTS effects are generally potentially greater for all scenarios

## **Iterative Modeling Process**



# Iterative Modeling Process (cont.)



#### **Initiators Modeled\***

- LOCAs: Small, Medium, Large
- Transients
  - Rx-Turb Trip
  - 2 Loss of Bus
  - Loss of Instrument Air
  - Loss of Main Condenser/ Main Feedwater
  - Loss of Offsite Power (including station blackout)
- Other
  - Steam Gen Tube Rupture
  - Steam Line Break: Small, Large

\* for both full power & hot zero power

#### **Equipment Modeled**

- Primary Integrity PORV & block valve, SRVs, RCS as break source, consideration of pressurizer spray/heaters
- Secondary Pressure Steam Lines as break source, TBVs & associated block valves, MSSRVs, consideration of Turbine Stop/Control valves (ADVs not used at Oconee)
- Secondary Feed Main Feed, Emergency Feed, Condensate
- Primary Flow / Pressure Rx Coolant Pumps, HPI/charging, consideration of Core Flood Tanks/Low Pressure Injection, vent valves

& actuation/control (including Integrated Control System) & support systems

## **Operator Action Considerations**

#### Primary Integrity Control

- ✓ Operator fails to isolate an isolable LOCA
- ✓ Operator induces a LOCA

#### Secondary Pressure Control

- ✓ Operator fails to isolate
- ✓ Operator isolates when not needed
- ✓ Operator isolates wrong path/SG
- ✓ Operator creates an excess steam demand

#### Secondary Feed (& T) Control

- Operator fails to stop/throttle or properly align feed
- ✓ Operator feeds wrong (affected) SG
- ✓ Operator stops/throttles feed when inappropriate

#### Primary Flow/Pressure Control

- Operator does not properly throttle injection
- Operator trips RCPs when not supposed to &/or fails to restore them
- ✓ Operator fails to trip RCPs
- Operator does not inject enough when required (heading for core damage rather than a PTS concern)

(Uncertainty Treatment)

#### What is the PRA quantifying?

 Frequencies of a wide range of representative plant responses to plant upsets (i.e., scenarios), each described by a set of T-H curves, as a result of mitigating equipment successes and failures as well as operator actions, that result in various degrees of overcooling of the internal reactor vessel downcomer wall.

#### Sources of uncertainty:

- Modeling of the representative plant scenarios
- The frequency of each modeled scenario

(Modeling of Representative Scenarios)

- Each scenario is a collection of events
- Explicit modeling of event timing for operator actions; e.g., failure to take an action in multiple discrete times (by 10 min, by 20 min...)
- Dominant model uncertainties were quantified (e.g., timing of SRV reclosure)
- Minor model uncertainties were not quantified

#### (Modeling the Frequency of Each Scenario)

- Each scenario is the interaction of what is treated as random events:
  - Initiating event
  - Series of mitigating equipment successes/failures
  - Operator actions
- So, the occurrence of each scenario is random

Freqy<sub>scenario</sub> = Freqy<sub>Init Event</sub> x Prob<sub>Equip Response</sub> x Prob<sub>Oper Actions</sub>

### each with *epistemic* uncertainties described by a distribution

- The various scenarios frequencies characterize the aleatory uncertainties associated with the occurrence of a PTS challenge
- Latin hypercube sampling techniques are used to propagate the *epistemic* uncertainties to generate a probability distribution for each scenario frequency

## PRA / HRA (Significant Changes

from Circa-1980s PTS Analyses)

- Slight expansion of possible scenarios & initiators
- Slight expansion of support systems as initiators and as dependencies

Increases PTS Risk

Decreases PTS Risk

- Latest initiating event frequencies, equipment failure probabilities, common cause failure evaluations...
- Detailed HRA
  - Scenario context-based (considered variability in each context)
  - Includes observations from simulator exercises at Oconee
  - Latest procedures/training
  - More discrete time considerations for actions (less 'gross binning')
  - Includes NRC contractor & Licensee judgment
  - Consideration of acts of commission that would exacerbate cooling
- More sequence/T-H bins (less `gross binning')
- Detailed uncertainty analysis

## PRA / HRA Results



# **PRA Summary**

- Modeled relevant initiators, functions, and equipment
- Modeled key operator actions
- Treatment of uncertainty:
  - Important sequence modeling uncertainties analyzed
  - Described each sequence frequency uncertainty with a histogram



# **Overview of TH Presentation**

- Constraints and assumptions
- RELAP model
- Top-down method of defining plant states with PTS potential and important parameters
- Method of identifying needed RELAP runs
- Identification of dominant sources and types of uncertainty
- Identification of TH uncertainty runs, and corresponding frequency distributions

## **TH Constraints & Assumptions**

- Large number of actual TH sequences (1E4) need to be reduced to a manageable number of runs (1E2)
- Due to complexity of TH model (non-linearity), simplified screening criteria needed to focus uncertainty analyses performed using a detailed model
- RELAP5 provides an appropriate model for this RPV analysis

## **RELAP5 Model Description**

- Started with Oconee model developed by the INEEL for the early 1980's PTS evaluation
- Changes in Setpoints to Current Plant Values
- RWST Water Temperature Changed to 70°F (90° F originally used)
- Control Models Added to Simulate Operator Actions
  - RCP trip on 0.5°F subcooling
  - HPI throttling used combination of RCS temperature, Pzr level as throttling criteria depending on PRA transient definition

### **RELAP5 Model Description**

#### Various Model Corrections.

- Example modified level control model so that turbine-driven EFW flow to each SG is controlled independently to correct problem with overfilling of "intact" SG when the level in the other SG was low.
- Added two-dimensional downcomer model

## Current vs. 1980s Study

#### What is different this time?

- Major changes in computing capabilities
  - $\checkmark$  300+ cases vs < 10 cases in the 1980's studies
  - ✓ Allowed performance of uncertainty analysis
- Input preparation effort is about the same.
  - $\checkmark$  Still need to develop input data from plant information
- Post processing capability greatly improved
  - xmgr5 and Automatic Validation Script allows easy generation of plots
- Uncertainty evaluation included
- Experiments (APEX-CE) demonstrate a 1D model is appropriate
- 2 fluid code vs. 5 equation

## **Conceptual Model**



## TH Uncertainty Assessment Process



### Simple TH Model to Identify PTS Controlling Parameters



# **Important TH Parameters**

### **Temperature**

- Heat Capacities
  - Primary and secondary system
- Heat Sources
  - Decay heat and RCPs
- Heat Sinks
  - Primary system breach, SGs, HPI, CFTs, and LPI
- RCS Coolant Flow Rate
  - RCPs state
- RPV Energy Distribution
  - Mixing of core water in downcomer phenomenon (RPV Vent Valves)
  - RCS flow interruption-andresumption caused by vapor in candy cane
  - Boiling-condensation

### **Pressure**

- RCS coolant mass change
  - Primary system breach
  - HPI
- RCS energy change
  - Heat sources
  - Heat sinks
- Short term rapid RCS steam condensation
  - Mixing of core water in downcomer phenomena
  - Boiling-condensation
  - PZR spray

# Heat Capacity

- Large RCS heat capacity
  - requires large heat loss to decrease T<sub>dc</sub> fast
- Heat capacities are significant
  - Primary system, between ~1000 and ~1700 MJ/K, depending on steam quality
  - Secondary system, between ~120 to ~280 MJ/K in nominal situation, depending on SG level

### **Dominant Heat Source and Sinks**



VG 38
# TH Characteristics of PTS

- TH screening criteria
  - Requires rapid downcomer temperature decrease (Cooldown ramp > 100 °F/hr)
    - ✓ Only primary system breach and secondary side malfunction (breach or SGs Overfed) can satisfy this criterion alone
  - T<sub>dc</sub> needs to be below ~400 °F
  - Transients not screened based on RCS pressure
- Observations from analysis
  - PTS is more sensitive to T<sub>dc</sub> than P<sub>dc</sub>

     ✓ Primary parameter in uncertainty analysis is T<sub>dc</sub>
     ✓ P<sub>dc</sub> variation contributing to PTS uncertainty considered only
     in scenarios involving RCS repressurization
  - Downcomer heat transfer coefficient variation has little contribution to PTS risk uncertainty

# **Oconee-Specific TH Characteristics**



# **Oconee PTS Event Classification Matrix**

Primary Side		Breached		]
Secondary Side	Intact	Break Size <~ 1.5" Breach flow could be compensated by HPI	Break Size > ~1.5" Breach flow cannot be compensated by HPI	
Nominal	Not PTS Concern			Each cell is further divided
One SG Breach				(1) HPI activated without being controlled (2) HPI activated and controlled
Two SGs Breach				(3) HPI is failed or not required (4) HPI fails and is recovered
SG(s) Overfed				
SG(s) Breach + SG(s) Overfed				

#### Note: For break sizes > 1.5-inch, RCPs are tripped, and Secondary and primary sides are decoupled

# Convergence of Top-Down and Bottom-up PTS Event Classification Approaches



all scenarios

Each cell contains a number of TH runs, and

each TH run represents many PRA sequences

(1) HPI activated without being controlled
(2) HPI activated and controlled
(3) HPI is failed or not required
(4) HPI fails and is recovered

# Mapping PRA Event Sequences to TH Runs

- Through an iterative process
  - Combined cells based on similarity of PTS-relevant TH behavior (e.g., net impact on Tdc) to limit the number of required TH runs
  - Identified new TH runs
- Mapped PRA event tree sequences (or groups of sequences) to TH runs
- Applied sequence frequency and engineering judgment to further screen out sequences with
  - Sequence frequency <~1E-8 per year, or
  - Tdc above 400F within first ~8000 sec and no cooldown ramp >100F/hr
  - Criteria later validated by PFM analysis

# Event Category Selected for TH Uncertainty Analysis

Primary Side		Breached		(1) HPI activated without being controll
State Secondary Side State	Intact	Break Size <~ 1.5" Breach flow could be compensated by HPI	Break Size > ~1.5" Breach flow cannot be compensated by HPI	<ul><li>(2) HPI activated and controlled</li><li>(3) HPI is failed or not required</li></ul>
			6.1e-4	(4) HPI fails and is recovered
Nominal		·	<u> </u>	
			ļ	
<u>`````````````````````````````````````</u>		2.7e-7		+
One SG Breach	3.7e-6	6.3e-5		After somening out non DTS ris
				After screening out non-P15 ris
		<b>I</b>		sequences, 94% of the total seq
		2.8e-7		Frequencies fall in this cell;
Two SGs	1.7e-5			TH uncertainty analysis focuses
Breach		<u>4.9e-8</u>	3.1e-6	this dominant cell
		<u>3.3e-6</u>	<u>1.2e-6</u>	
		<u> </u>		
SG(s) Overfed		<u> </u>		
•		<u> </u>		-1 1
SG(s) Breach + SG(s) Overfed	1.3e-6	<b> </b>	 	_
	}·	┠	┨	
	<u> </u>	<u> </u>	1	

The cell is further divided into four categories for TH uncertainty analysis:

- 1. PZR SRV stuck open and remains open with valve open area greater than 1.5-inch in diameter
- 2. PZR SRV stuck open and is reseated with valve open area greater than 1.5-inch in diameter
- 3. LOCA between ~ 1.5-inch and 4-inch in diameter
- 4. LOCA between 4-inch and 8-inch in diameter

VG 45

# **Uncertainty Sources**

#### Model Uncertainty

#### Event Sequence Modeling and Mapping to TH Runs

- ✓ Level of Details in Event Tree Models (e.g, explicit representation of component degraded states) [Treated by adding needed details]
- ✓ Assignment of Event Tree Scenarios → TH Bins [not treated, believed to be small]
- ✓ Assignment of Representative RELAP Runs → TH Bins [Treated explicitly]

#### Use of TH Code

- **RELAP5 Internal Modeling Uncertainties** [important factors treated explicitly]
- ✓ RELAP5 Input Deck Preparation(nodalization) [not treated , believed to be small]

#### Parameter Uncertainty

- All parameters associated with modeling steps, as well as those used within models
- Important parameters treated explicitly

# Treatment of RELAP5 Related Uncertainties

- ID volume-average calculations validated
  - Included experimental and CFD results
  - Oregon State APEX program
- Empirical correlations
  - Perform uncertainty analyses and sensitivity studies
  - Important correlations treated explicitly

# Uncertainty Sources Treated and their Types

Epistemi

#### Parametric (Boundary Condition) Uncertainty

- Primary side breach size
- Primary system breach location
- Decay heat
- > Season
- HPI state
- HPI flow rate core flood tank pressure

#### **RELAP5 Code Model Uncertainty**

- RPV vent valves state
- Component heat transfer coefficient
- > Flow resistance
- Break flow rate
- Numerical "mixing" (removed by conservatively using a high cold leg reverse flow resistance)

eator

# Sensitivity of Tdc to Various Uncertainty Sources

- Purpose: Determine the individual impact of each factor on Tdc.
  - One-factor-at-a-time (1-FAT) method
  - Finite discrete probability distribution (DPD) for range of each variable (typically 3-point DPD)
  - Use of the average Tdc of the first 10,000 seconds as the measure of the effect
- Simultaneous effect of important factors considered subsequently

#### Examples of Sensitivity Study Results (Impact of HPI State)



# Treatment of Break Flow Rate Model Uncertainty

(Break Upstream Pressure: 7MPa/1028 psia)



Varied break area by +/- 30% to account for model differences

# Impact of Various Sources of Uncertainty for Fixed Break Size (2.8-inch Surge Line LOCA)



# Combined Effect of Multiple Sources of Uncertainty (1/3)

- 1. Selected the most influential source of uncertainty
- 2. For a given value of the selected variable (in Step 1), varied other uncertainty variables shown to have significant impact in the sensitivity analysis
- 3. For the selected variables, considered all possible combinations
- 4. For each combination, calculated the net effect of Tdc using additive assumption
- 5. Corresponding probability was calculated as

$$T = \sum \Delta T_i + T_{Nominal}$$

$$Prob(T) = \prod Prob(\Delta T_i)$$

#### Verification of Linear Additively Assumption (2.8-Inch Surge Line LOCA)



#### # Event Description

- 1. Winter; p(CFT) + 50 psi; 70% A<sub>brk</sub>; RVVVs Close; 70% HTC
- 2. Summer; RVVVs Close; 200% flow resistance
- 3. p(CFT) + 50 psi; 110% m(HPI); 70% A<sub>brk</sub>; 130% HTC
- 4. Summer; p(CFT) + 50 psi ; 90% m(HPI); 130% Abrk ; RVVVs fully Open; 200% flow resistance
- 5. Summer; 90% m(HPI); 90% Abrk; RVVVs fully Open; 130% HTC 438

**RELAP5 Cal. Avg T**dc (K) 345 362 391 406

449

Expected

332

360

387

415

Avg. Tdc (K)

Combined Effect of Multiple Sources of Uncertainty (2/3)

- 6. Repeated Steps 2-5 for all other values of the selected source of uncertainty in Step 1
- 7. Plotted the CDF of the resulting Ave Tdc values for all combinations of variables
- 8. Discretized the CDF into a finite number of representative Ave Tdc (typically 3-5) and corresponding probability mass : {Tdc(i); p(i) }



Combined Effect of Multiple Sources of Uncertainty (3/3)

- 9. Selected matching TH runs for each Ave Tdc point, and performed TH runs to generate time traces of Tdc, P, and h
- 10. Calculated frequency uncertainty distribution for TH runs in Step 9 as

$$\varphi_i = \theta \cdot p_i$$



# List of Results Generated as Input to PFM Analysis (1/2)

Primary Side State		Breached			
Secondary Side	Intact	Break Size <~ 1.5" Breach flow could be compensated by HPI	Break Size > ~1.5" Breach flow cannot be compensated by HPI		
Nominal	Not PTS Concern		<ul> <li>[1,36-6] 11 (2,828" surge line)</li> <li>[4,96-5] 14 (4" + 30% Aux surge line)</li> <li>[4,96-5] 142 (4" - 30% Aux surge line)</li> <li>[4,96-5] 142 (4" - 30% Aux surge line)</li> <li>[4,96-5] 142 (4" - 30% Aux surge line)</li> <li>[6,36-5] 142 (4" - 30% Aux surge line)</li> <li>[6,36-5] 142 (2828" surge line, Aux - 30%, VV Crased)</li> <li>[6,36-5] 142 (1.5", Aux + 30%, RCPs trip)</li> <li>[1,96-4] 145 (PZR-SRV, 2.54", summer)</li> <li>[1,96-5] 142 (PZR-SRV, 2.54", summer)</li> <li>[1,96-5] 142 (PZR-SRV, 2.54", summer)</li> <li>[1,96-5] 142 (PZR-SRV reseated at 100 minutes)</li> <li>[1,96-5] 149 (PZR-SRV reseated at 50 minutes)</li> <li>[1,96-5] 149 (PZR SRV SO, SRV reseated at 100 minutes)</li> <li>[1,96-5] 112 (PZR SRV SO, SRV reseated at 100 minutes)</li> <li>[1,96-5] 113 (PZR SRV SO, SRV reseated at 100 minutes)</li> <li>[1,96-5] 113 (PZR SRV SO, SRV reseated at 100 minutes)</li> <li>[1,96-5] 113 (PZR SRV SO, SRV reseated at 100 minutes)</li> <li>[1,96-5] 113 (PZR SRV SO, SRV reseated at 30 minutes)</li> <li>[1,96-5] 113 (PZR SRV SO, SRV reseated at 30 minutes)</li> <li>[1,96-5] 113 (PZR SRV SO, SRV reseated at 30 min, HPI mouted 10 minutes 5P subcool and 100" PZR level)</li> <li>[1,96-6] 113 (PZR SRV SO, SRV reseated at 50 min, HPI mouted 1 min after 5P subcool and 100" PZR level)</li> <li>[1,96-6] 113 (PZR SRV SO, SRV reseated at 50 min, HPI mouted 10 minutes 5P subcool and 100" PZR level)</li> </ul>		
		[5.6e-8] <u>8</u> (1" surge line + 1 SG SV SO) [1.0e-7] <u>28</u> (F&B, 1SG SV SO) [1.1e-7] <u>30</u> (#28 + HZP)			
One SG Breach	[2.1e-6] <u>27</u> (MSLB) [4.0e-7] <u>101</u> (#27 + HZP) [1.2e-6] <u>37</u> (1 SG SV SO + HZP)	[4.8e-7] 12 (1" surge line, ISG SV SO) [7.0e-7] 90 (2 SG SVs SO, HPI throttled @ 20 min after it can be throttled) [2.1e-7] 102 (#90 + HZP) [6.1e-5] 91 (SGA TR + ISGB SV SO and reseated @ 10 min after initiation + RCP tripped @ 1 min + HPI throttled @ 10 min after it can be throttled) [5.0e-8] 103 (#91 + HZP) [2.3e-7] 99 (MSLB + HPI throttled 20 min after it can be throttled) [2.3e-7] 100 (#99 + HZP)			

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Incertainty Cases

# List of Results Generated as Input to PFM Analysis (2/2)

Primary Side State		Breached		
Secondary Side State	Intact	Break Size <~ 1.5" Breach flow could be compensated by HPI	Break Size > ~1.5" Breach flow cannot be compensated by HPI	
		[2.7e-7] <u>29</u> (2 SG SVs SO) [5.0e-9] <u>31</u> (#29 + HZP)		
	[1.4e-5] <u>36</u> (2SVs SO) [2.6e-6] <u>38</u> (#36 + HZP)			
		[ <b>3.1e-8</b> ] <u>15</u> (1" + 4 TBVs fully SO + No HPI) [ <b>1.8e-8</b> ] <u>119</u> (#15 + HZP)	[3.1e-6] 110 (2" surge line, 4 TBVs opened @ 15 min)	
Two SGs Breach		[2.7e-8] 44 (1" LOCA + HPI F&R @2250s, 4 TBVs fully open) [1.3e-7] 120 (#44 + HZP) [3.1e-6] 111 (1" + 4 TBVs Opened @ 15 min, HPI recovered when CFTs are 50% discharged, HPI throttled @ 50 min)	<ul> <li>[2.4e-7] <u>116</u> (PZR SRV SO, HPI fail, 4 TBVs opened @ 15 min, HPI was recovered when CFT are 50% discharged; HPI was throttled @ 20 min after available)</li> <li>[4.2e-8] <u>125</u> (#116 + HZP)</li> <li>[7.4e-7] <u>117</u> (PZR SRV SO, HPI fail, 4 TBVs opened @ 15 min, HPI was recovered when CFT are 50% discharged; SRV reseated 5 min after HPI was recovered, HPI throttled I min after available).</li> <li>[1.3e-7] <u>126</u> (#117 + HZP)</li> </ul>	
SG(s) Overfeed				
SG(s) breach + SG(s) Overfed	[1.2e-6] <u>89</u> (F&B + 4 TBVs are opened and HPl is throttled after RCS pressure reaches 2275 psi) [6.6e-8] <u>98</u> (#89 + HZP)			

# Thermal Hydraulics Summary

- Advances in computing allow much more extensive analysis, including uncertainty evaluation
- Convergence of top-down and bottom-up approaches to arrive at uncertainty evaluation
- Dominant uncertainty sources identified
- Uncertainty of multiple sources combined effects quantified



PFM in the Overall Process

# Probabilistic Fracture Mechanics Expanded





Probabilistic Fracture Mechanics Expanded

# **Probabilistic Fracture Mechanics Expanded**



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# **Current Toughness & Embrittlement Models**



#### Toughness & Embrittlement Models → Constraints & Fundamental Assumptions ←



# Process for Model Building & Uncertainty Characterization



# Initiation Fracture Toughness Model



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### Initiation Fracture Toughness (Best Estimate Model)

- Physical understanding suggests
  - Common T-dependence
  - Common scatter
  - Irradiation produces shift only
- <u>Best Estimate</u>: The Master Curve method (with *T* transition temperature)
  - Physically based
  - Empirically validated
  - Weakest link statistics account explicitly for cleavage process
  - T<sub>o</sub> defined consistently for all steels
    - ✓ Temperature at 100 MPa√m
    - ✓ Corresponds to the position of the data instead of a representation of data





# Current Transition Temperature Model: RT<sub>NDT</sub>



Current Initiation Fracture Toughness Model: K<sub>Ic</sub>

#### Uncertainty Identification and Classification

- A physical understanding of the cleavage fracture process demonstrates that noncoherent particles (& other barriers to dislocation motion) are *alone* responsible for the scatter in K<sub>Ic</sub>
- This physical understanding coupled with the ideas that:
  - K<sub>Ic</sub> does not exist as a point property (associated length scale)
  - Both non-coherent crack initiating particles and postulated flaws are randomly distributed throughout the vessel
  - This distribution occurs over a size scale below that considered by a  $K_{\rm Ic}$  toughness model





# **RT<sub>NDT</sub> Bias Correction**



# **Irradiation Shift Model**



# **Current Model**





# Relationship Between CVN Transition Temperature & Toughness Transition Temperature








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### Current Arrest Fracture Toughness Model



### Best Estimate Model for Crack Arrest Transition Temperature & Fracture Toughness





### T/H Stress Design Applied Intensity Sources of data Factor K<sub>I</sub> × **Physical** Model Experimental Props. ✓ Destructive Flaw ✓ Non-destructive Data

- **Developed distributions** of flaws in
  - **Fabrication welds**
  - **Repair welds**
  - **Cladding welds**
  - Plate materials
- Each distribution includes
  - Flaw density
  - Flaw size
  - Flaw orientation
  - **Flaw location**

### Flaw Model, Overview

- PRODIGAL model
- **Expert elicitation**

### **Experimental Data Sources**

	Weld	Plate	Clad
PVRUF		$\checkmark$	$\checkmark$
Shoreham	$\checkmark$	$\checkmark$	
Hope Creek		$\checkmark$	
<b>River Bend</b>		$\checkmark$	

# (Assumptions & Process for Model Building) Flaw Model

# 1. Basic

2. Procedural

# 3. Based on observation and/or physical understanding



### Flaw Model – Procedural Assumptions

Assumption	Characterization	
Largest flaws main focus of destructive inspection (small flaws destroyed on sampling basis). Small flaw (NDE) data combined with larger flaw (DE) data in the final flaw distribution.		
All reported defects modeled as sharp cracks.	Conservative	
Complexicitisters of flaws idealized into single planar elliptical cracks.	Customary but	
Flaws measured in true size and shape, but in FAVOR assumed to lie only in the axial of circumterential direction.	Customary, but Unknown	Fürther Fefinement Is bevond
All fusion line flaws assigned to the surrounding constituent (plate or weld) that is the most embrittled.	<b>Conservative within</b> the context of a bi- material model	thẻ scope of FAVOR.
Weld flaw distribution based on rule of mixtures from different weld process constituents	Appropriate within the context of this model	

### Flaw Model

(Assumptions based on observation or physical understanding)

Assumption	Characterization
Truncation limits established based on physical	Conservative
arguments	(but no effect)
All weld flaws assumed to exist on the fusion line because (a) 95% of all weld flaws were found there, and (b) the mechanisms that generate flaws suggest this is where the majority of flaws will be.	Appropriate (obs & phys)
All cladding flaws assumed to exist parallel to the welding direction (circumferential).	Appropriate (physical)
Distribution of clad flaws based on PRODIGAL	Appropriate
model	(observation)
Plate flaw densities: 1/10 of weld density for	Appropriate
(based on expert elicitation)	(observation)
50% of plate flaws assumed to be oriented	Appropriate within the context of this model
	(physical)

### **Shoreham Flaw Density**



Flaw Density / Median Flaw Density

### Flaw Model in FAVOR

- Distribution used
  - Based on either rule of mixtures or bounding cases, as noted previously
- Treatment of uncertainty
  - Statistical uncertainty in data is the only uncertainty explicitly accounted for in the model
  - Uncertainty quantified by generating 1,000 different input files, randomly drawn from the distributions of possible flaw sizes and densities
  - Uncertainty modeled as *epistemic*

### Flaw Model – Significant Changes



### Probabilistic Fracture Mechanics Summary

- Toughness
  - Referenced to toughness data & physical understanding
    - ✓ Significant conservative bias in un-irradiated index temperature removed
    - ✓ Non-conservatism in arrest model removed
    - Aleatory nature of toughness uncertainty quantified
- Embrittlement
  - Referenced to toughness data & physical understanding
    - ✓ Correlation with better empirical/physical basis
    - Slight biases in in CVNbased shift estimates removed



### Fluence

- Spatial variation in fluence recognized, significant conservatism associated with max fluence assumption removed
- Flaws
  - Based on significantly more data than before
  - Most flaws now embedded rather than surface flaws
  - More flaws than before





# Analysis Procedure

### **Oconee 1 Results**

### **Vessel Specific Inputs**

- T/H events (w/ event frequencies)
- Fluence map
- Material map
- Material embrittlement

### **Generic Inputs**

- Flaw distribution
- Toughness distribution



### **Outputs & Interpretations**

- Description of dominant transients
- Insights from analysis
- Expression of results relative to existing screening criteria

### PRA & T/H Inputs

### ≈ 150 total transients analyzed

- ~ 50 screened (eliminated by inspection)
- ≈ 50 base case
- ~ 50 T/H sensitivity cases
- Initiating event frequencies
  - Range from 8E-9 to 3E-4
  - Reflect most recently available data and operator training procedures
  - Some IEFs considerably lower than in circa-1980s studies (e.g., MSLB dropped from an E-4 to an E-6 event)

### PRA Improvements (relative to Circa-1980s Analysis)

### **Residual Group**

- The "everything else group" in the 1980s
  - Collection of all "small" frequency sequences (<E-6/yr)
  - Worst case CPF applied (5.4E-3)
  - Accounted for > 1/2 of all PTS risk
  - No human actions credited
- In the current study:
  - Latest frequencies/probabilities
  - More refined sequence grouping (no 1 catch-all group)
  - Human actions credited realistically
  - CPIs/CPFs assessed for each sequence group

### **Steam Generator Tube Ruptures**

- Circa-1980s study
  - Likely SGTR sequences had low CPFs,
  - BUT binned less likely SGTRs in the "Residual Group", artificially elevating their significance
- Now
- <sup>VG 106</sup> Small breaks → slow cooling rate (even w/ RCP shutdown)

### Main Steam Line Break

- In 1980s accounted for nearly all remaining PTS risk
- In the current study
  - Human credit for rapid isolation of feed, and for throttling HPI
    - ✓ Improved training/procedures
    - Almost no human credit in original Oconee study
    - ✓ This study-typical values
      - > Isolate: E-2 by 10min
      - > Throttle: E-1 by 10min

Thus sequence frequencies low for severe cooldown

- Successful actions mitigate potential for damage
  - ✓ Isolating feed limits the cooldown rate
  - ✓ Throttling HPI limits pressure
  - Thus, more likely scenarios have low CPI/CPF

PRA Improvements (relative to Circa-1980s Analysis)

### Why credit for operator actions during Main Steam Line Break?

- Overcooling prevention & control are an integral part of Oconee crew training
- Oconee operators are 'sensitive' to overcooling
- Instrumentation is available & procedures are written to facilitate identification of an excessive steam demand
- Procedural hierarchy promotes rapid response to such an event (isolate faulted steam generator)
- Warnings to throttle HPI appear in numerous points throughout the procedures and it is a continuous action step
- Simulator observations 'confirm' successful response is likely



### Base Case Analysis Results for Oconee1



### The Contribution of Different Classes of T/H Events to Vessel Failure Frequency



### Observations

- Dominant scenarios are all primary system LOCAs.
- Realistic accounting of operator action significantly mitigates the influence of secondary system events on total failure probability.
- Time of SRV closure (and thus re-pressurization) has significant influence on event severity. Consequently, operator action involving throttling of injection following closure (especially when closure is later in time) has a significant influence on these results.

### **Preview of Results for Oconee 1**



### **Oconee Results Summary**

- Preliminary results for Oconee 1 look promising relative to the current risk criteria
  - Leads to perception that the risk of vessel failure is lower than we previously believed it to be
  - New risk goal remains to be established
  - Contribution of external events to overall risk
- Analyses of Palisades, Beaver Valley, and Calvert Cliffs are continuing



# Structure of Example Discussion

We will follow the dominant transient in the Oconee analysis through this process, discussing for it the



- PRA event characterization, and binning
- TH estimation of pressure and temperature vs. time
- PFM estimation of thru-wall cracking frequency

### and emphasizing at each step

- Treatment of variables and models as uncertain, or not
- How uncertainty is quantified
- Engineering judgments made, and their basis
- This is an illustrative example only, not a comprehensive treatment



### EXAMPLE PROBLEM: Scenario Bins 109, 112, 113

### What are these scenario bins?

- 109: Stuck–open pressurizer SRV
  - $\succ$  SRV recloses at 100 min.
  - > Operator fails to control repressurization

### • 112: As above

- Operator throttles HPI ~1 min after throttling criteria met
- 113: As above
  - Operator throttles HPI ~10 min after throttling criteria met

### At the beginning ... there was only bin 41

### Bin 41:

- Stuck-open pressurizer SRV
- SRV recloses at 100 min.
- No operator actions modeled
- Nearly all the initiators/event trees have many sequences that were originally placed into Bin 41 ... we'll show just one example



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### Note the following...

- Many sequences were originally binned into bin 41 including success as well as failure to throttle type sequences
- Various concurrent faults on the secondary side do not matter much to binning
  - Break (SRV open) is large enough that downcomer temperature response is largely driven by the primary (i.e., primary essentially "decouples" from the secondary)
  - Concurrent secondary faults make overall frequency quite low so don't matter much anyway
  - Conclusion reached by comparing a variety of T-H runs

# 'Failure to Throttle' event is handled with a fault tree of the form...



# Solving the model for all the sequences binned to Bin 41...


# **Summary of Bin Frequencies**

- Bin 83 (successfully throttles ~1min) 1E-3/yr
- Bin 84 (fails to throttle by 1 min; does ~10min) 4E-5/yr
- Bin 41 (fails to throttle by 10min or thereafter) 3E-5/yr

### Numerous Uncertainty Studies Performed for a SRV S.O.-Recloses

- HPI flowrate
- Winter-Summer injection water temperatures
- Wall heat transfer rate
- Cold leg flow resistance...

And found the following to dominate the uncertainty of the T-H response (besides when operator throttles):

- Timing of the SRV reclosure
- Degree SRV is open
- Full vs. Hot Zero Power
- High Cold Leg reverse flow resistance

Captured the uncertainty in these parameters by...

- Assigned a 50 50 probability SRV recloses at either 50 min or 100 min
- Assumed a uniform distribution for the open area of the stuck-open SRV
  - Only 1.5" diameter to full open (1.8") provides considerable cooling
  - Hence probability SRV is stuck-open with an area that results in considerable cooling
  - = area of interest/total possible area = 0.3
- Multiplied bin frequencies by 0.5 x 0.3
- Accounted for full vs. hot zero power (HZP) by similar treatment of other bins for hot zero power conditions (bins 92,93,42). Added full & HZP (small) contribution.
- Probability of high cold leg reverse flow resistance = 1.0

### This resulted in the final bin frequencies

### • 109: Stuck-open pressurizer SRV

- SRV recloses at 100 min
- Operator fails to control repressurization

1E-5/yr

- 112: As above
  - Operator throttles HPI ~1 min after throttling criteria met

4E-4/yr

- 113: As above
  - Operator throttles HPI ~10 min after throttling criteria met

1E-5/yr





# **TH Results**

- **300 transients run:** 
  - 46 base cases included in the RPV failure frequency analysis
  - 50 sensitivity cases included in the RPV failure frequency analysis
  - $\approx$  200 miscellaneous cases run to evaluate various aspects of plant response

# Transient 109 (& its Variants)

Case Number	Primary Side Failure	Operator Action
109	Stuck open pressurizer safety valve. Valve recloses at 6000 sece (RCS low pressure point)	None
112	Stuck open pressurizer safety	After valve recloses, operator throttles HPI 1
i E	valve. Valve recloses at 6000 secs	minute after 5°F subcooling or 100" pressurizer level is reached (throttling criteria is 5°F
		subcooling and 100" pressurizer level)
113	Stuck open pressurizer safety valve	Nalve After valve recloses, operator throttles
	recloses at 6000 secs.	HPI 10 minutes after 5°F subcooling or
		100" pressurizer level is reached (throttling criteria is 5°F subcooling and
		[][00]" nrecentizer [eve])

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### Event Category Selected for TH Uncertainty Analysis

Primary Side	Primary Side		eached	(1) HPI activated without being controlled		
State Secondary Side State	Intact	Break Size <~ 1.5" Breach flow could be compensated by HPI	Break Size > ~1.5" Breach flow cannot be compensated by HPI	(2) HPI activated and controlled (3) HPI is failed or not required		
Nominal			6.1e-4 8.9e-4	(4) HPI fails and is recovered		
One SG Breach	3.7e-6	2.7e-7 6.3e-5		After screening out non-PTS risk sequences, 94% of the total sequence		
Two SGs Breach	1.7e-5	2.8e-7 4.9e-8 3.3e-6	3.1e-6 1.2e-6	frequencies fall in this cell; TH uncertainty analysis focuses on this dominant cell		
SG(s) Overfed						
SG(s) Breach + SG(s) Overfed	1.3e-6					

The cell is further divided into four categories for TH uncertainty analysis:

- 1. PZR SRV stuck open and remains open with valve open area greater than 1.5-inch in diameter
- 2. PZR SRV stuck open and is reseated with valve open area greater than 1.5-inch in diameter
- 3. LOCA between ~ 1.5-inch and 4-inch in diameter
- 4. LOCA between 4-inch and 8-inch in diameter

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### Assessment of Discrete Probability Distribution of Various Variables

	Factors	Value 1	Value 2	Value 3
	Factors	Probability of Value 1	Probability of Value 2	Probability of Value 3
	Valva(s) Total Open Area	1.5"	1.8" (Fully open)	
	Valve(S) Total Open Area	0.5	0.5	
ion	Anna - in the second second	Not applicable	2 m	
diti	AND COLOR PLAN SCENCES	6. vi	, ,	
ono	Decay Heat	Nominal	HZP	
N N		0.98	0.02	
c (Boundary ty	Season	Winter	Spring/Fall	Summer
	Season	0.25	0.5	0.25
	HPI State	Fail	Success	
		0.0	1.0	
etri ain	HPI Flow Rate	90%	Nominal	110%
ert		0.1	0.8	0.1
ar: Inc	CETs processo	Unimportant		~~
		1. I.I.		m vi
	RVVVs state	Fully close	Nominal	Fully open
		0.25	0.5	. 0.25
del	Component Heat Transfer	70%	Nominal	130%
	Rate	0.1	0.8	0.1
Ma	Flow Posiciones	Unimportant	~~	~ •
de		w.w	w ./	m m
ບຶ⊉	Break Flow Rate	70%	Nominal	130%
PS- ain	(Break Area)	0.25	0.5	0.25
AF. erti		High CL rev. flow	Nominal	
	Numerical "Mixing"	resistance	Inominal	
B		1.0	0.0	

### **PDF and CDF of Combined Effect of Multiple Uncertainty Variables**



(992 combinations in total)

### Selected TH Runs Covering Uncertainty

TH Run ID	Description	TH Case Probability Preliminary Mean Frequency
146	PZR SRV Stuck Open (fully open) with	
	• Reduce 30% valve open area	
	• Summer $[T(HPI) = 85F, T(CFT) = 100F, and T(LPI) =$	35%
	85F]	2.9e-4 * 0.35 = 1.0e-4 per yr
	• 3. RVVVs Closed	
	• 4. High CL Rev. K	
147	PZR SRV Stuck Open (fully open) with	
	• Summer $[T(HPI) = 85F, T(CFT) = 100F, and T(LPI) =$	30%
	85F]	2.9e-4 * 0.30 = 9.0e-5 per yr
	• 2. High CL Rev. K	
148	PZR SRV Stuck Open with	
	• 1.5-inch valve open area	250
	• 130 % Component heat transfer coefficient	35% 2 0s 4 * 0 25 1 0s 4 per ser
	• RCPs trip	2.9e-4 = 0.33 = 1.0e-4 per yr
	• 4. High CL Rev. K	

### Tdc and Pdc Trends for TH Runs Covering Uncertainty



### Tdc and Pdc Representative Scenarios

- Involves RCS repressurization
  - Considered combinations of  $T_{dc}$  and  $P_{dc}$  representative scenarios
- T<sub>dc</sub> representative scenarios combined:
  - Representative scenarios in the event category SRVs Stuck Open and Remain Open (3 representatives)
  - SRV Reseating time (50 and 100 minutes) (2 representatives)
- P<sub>dc</sub> representative scenarios include:
  - Different HPI throttling times (1 minute, 10 minutes, and not throttled) (3 representatives)

### **Tdc Representative Scenario Selection**



- We considered six and selected two representative scenarios
- Timing of the SRV reseating dominates the uncertainty

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### **Representative Scenarios and Corresponding Probabilities**

TH Run ID	Descriptions	TH Case Probability Preliminary Frequency
112	50 <sup>th</sup> percentile + SRV reseated at 100 minutes +	0.475
	HPI Throttled at 1 minute after it could be throttled	$4.3e-4 = 0.475 \times 9.1e-4 / yr$
113	50 <sup>th</sup> percentile + SRV reseated at 100 minutes +	0.015
	HPI Throttled at 10 minute after it could be throttled	$1.6e-5 = 0.015 \times 9.1e-4 / yr$
109	50 <sup>th</sup> percentile + SRV reseated at 100 minutes +	0.01
	HPI is not throttled	$1.0e-5 = 0.01 \times 9.1e-4 / yr$
114	50 <sup>th</sup> percentile + SRV reseated at 50 minutes +	0.475
	HPI Throttled at 1 minute after it could be throttled	$4.3e-4 = 0.475 \times 9.1e-4 / yr$
115	50 <sup>th</sup> percentile + SRV reseated at 50 minutes +	0.015
	HPI Throttled at 10 minute after it could be throttled	$1.6e-5 = 0.015 \times 9.1e-4 / yr$
149	50 <sup>th</sup> percentile + SRV reseated at 50 minutes +	0.01
	HPI is not throttled	$1.0e-5 = 0.01 \times 9.1e-4 / yr$

### T<sub>dc</sub> representative scenarios:

- PZR SRV reseated at the 50th minute (0.5)
- PZR SRV reseated at the 100th minute (0.5)

### P<sub>dc</sub> representative scenarios:

- HPI throttled at 1 minute after it can be throttled (0.95)
- HPI throttled at 10 minutes after it can be throttled (0.03)
- HPI is not throttled (0.02)



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### **Pdc Trends of Representative Cases**



SRV reseated at 50 minutes SRV reseated at 100 minutes





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# Simulation of Flaw Location

**FAVOR** locates each flaw in a particular **RPV** sub-region by sampling from a cumulative distribution function (CDF) that expresses the fraction of total flaws as a function of subregion number



# **Simulation of Flaw Characteristics**



FAVOR determines the characteristics of each flaw by sampling CDFs generated from flaw characterization data to determine:

- $\rightarrow$  flaw depth
- $\rightarrow$  flaw length
- $\rightarrow$  location of inner crack tip

## Flaw Size & Density Uncertainty



# **Demonstration of PFM Methodology**

Track the first two flaws subjected to transient #109 that have a non-zero conditional probability of crack initiation (i.e. make some contribution to the estimated vessel failure frequency)



# **Treatment of Multiple Flaws**

### For 1 flaw in a RPV

- Probability of crack initiation =
- Probability of non-initiation =

### For 2 flaws in a RPV

- Probability of crack initiation =
- Probability of non-initiation =
- For n flaws in a RPV

(1 - CPI(1))

CPI(1)

CPI(1) & CPI(2)

(1 - CPI(1)) \* (1 - CPI(2))

 $CPI_{RPV} = 1 - \prod (1 - CPI_1) (1 - CPI_2)...(1 - CPI_n)$ 

- CPI (109,71) = (1-1.144E-3) (1-2.06E-5) = 1.165E-3
- CPF(109,71) = (1-1.144E-3)(1-2.7E-6) = 1.147E-3

# Estimation of RT<sub>NDT</sub>

 $RT_{NDT}$  (at the crack tip) is the sum of the initial (unirradiated) value  $RT_{NDT(u)}$  and the radiationinduced shift,  $\Delta T_{30}$ .

# $\mathbf{RT}_{NDT} = \mathbf{RT}_{NDT(u)} + \Delta \mathbf{T}_{30}$ for example: flaw 1



# Estimation of RT<sub>NDT(u)</sub>



## Estimation of $\Delta T_{30}$



Uncertainty in the embrittlement shift is accounted for by sampling from these distributions of the parameters Cu, Ni, P, and ot.

# Uncertainty in $\Delta T_{30}$

The uncertainty in the radiation-induced shift in  $RT_{NDT}$  is determined by first adjusting  $\Delta T_{30}$  to account for differences between CVN and fracture toughness transition, and then sampling about the mean of this adjusted value to account for the uncertainty in the adjustment.



### Estimating the Conditional Probability of Crack Initiation

# The CPI for each flaw is calculated by solving the Weibull CDF for $K_{Ic}$ for the fractional part (fractile) of the distribution that corresponds to the applied $K_{I}$



### Estimating the Conditional Probability of Thru-Wall Cracking (=Failure)



Ratio =1  $\rightarrow$  All initiated flaws failed at t=120 min. (repressurization)



# CPI Calculation for Flaw #2





# CPF Calculation for Flaw #2



# After Crack Initiation

A flaw that initiates in cleavage fracture is assumed to become an infinite-length inner surface breaking flaw



**VG** 1

The FAVOR Postprocessor Module Integrates the Uncertainties of the Transient Initiating Frequencies with the PFMI and PFMF Arrays to Generate Distributions for the Frequencies of RPV Fracture and RPV Failure



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## ESS (Emergency Summary Slide)

#### **Transients that generate PTS risk**

- Happen less frequently than we thought they would
- Around operators that perform better than we gave them credit for
- To a vessel that is tougher than we thought it was
- That contains smaller cracks than we thought it did

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- Uncertainties in RELAP5 PTS results relating to simulation of reactor vessel internal circulation
  - Uncertainties apply for transients in which reactor coolant pumps have been tripped and coolant loop natural circulation has been lost.
  - The RELAP5 PTS models employ a multi-dimensional downcomer noding scheme similar to that successfully employed in modeling the AP600 downcomer region.
  - RELAP5 is generally capable of simulating circulation upward through the core, through the vent valves and downward through the downcomer. The uncertainty in the vessel circulation rate is addressed via sensitivity studies evaluating effects of bypass size and flow loss coefficient.

- RELAP5/MOD3 recently underwent extensive assessments demonstrating its adequacy for simulating accidents in AP600
  - AP600 applications are generally more challenging to simulate than existing-plant applications because of the passive safety systems
  - AP600 accident phenomena and behavior include those found in PTS accident scenarios for existing plants
  - RCS pressure and temperature comparisons with AP600-related experimental data indicate a general RELAP5 capability for simulating these key PTS parameters



AVGI

**RELAP5** Assessment



Temperature (K)

A VCII2

- Uncertainty in RELAP5 PTS results relating to simulation of system outflows
  - The outflow rate can be affected by break location (pipe, elevation and circumferential orientation) and can influence both RCS pressures and temperatures (because ECCS injection rates are typically functions of RCS pressure)
  - This uncertainty is generally acknowledged and is addressed in the PTS study in the typical manner (break spectrum sensitivity studies covering break locations and sizes)

## **Beginning Steps in EP-1 (Trip)**

:2:





### **Beginning Steps in 501 (Loss of Subcooling)**

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#### **Beginning Steps in 503 (Excess Heat Transfer)**



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Continue 503 Later...HPI Throttling Steps

## **Crew Fails to Isolate Faulted SG**

- Number, location, and readability of SG pressure RCS temperature indications make depressurization easily discernable
- Isolation is early in procedure guidance

- If pressure drop is slow/partial, operators taught to err on side of isolation
- Training strongly oriented toward following procedures with sensitivity to overcooling
- Use of "BAGS" could catch error in later times, if not done early
- Takes only one action to isolate (close EFW control valve) unless verification checks show that an auto action failure requires multiple actions to completely isolate
- Simulated events isolation occurred in ~1-2 min
- NRC T-H runs show that shortest time period of interest ~10 min.
- Time of day, day of shift not a strong influence on operator response
- 1<sup>st</sup> cut : Mean: 0.5 failure is likely

0.1 failure is infrequent

0.01 failure is unlikely

0.001 failure is extremely unlikely

Uncertainty: Generally assumed lognormal, with error factor of 5 or 10 using THERP guidance

#### **Crew Fails to Isolate Faulted SG**

- Secondary depressurization is only problem
  - Fail to isolate within10 min; mean=0.001

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- Additional anomaly in scenario (e.g., concurrent LOCA)
  - Fail to isolate within 10 min; mean=0.01
  - Fail to isolate within20 min; mean=0.001

# For very important operator events...

:5-

• A more detailed expert elicitation assessment was performed with experts providing histograms for the failure probability

Quantiles 1%, 10%, 25%, 50%, 75%, 90%, 99% Failure 0.001 0.003 0.01 0.05 0.2 0.5 0.8 Probability